International Workshop on Next Generation Nucleon Decay and Neutrino Detectors (NNN16)

chaired by Jun Cao (高能所), Miao He (高能所)

from Thursday, 3 November 2016 at **08:30** to Saturday, 5 November 2016 at **18:00** (Asia/Shanghai) at **IHEP (A214)**

Summary for Parallel session 2: Sensors/Electronics/DAQ

Zhimin Wang <u>wangzhm@ihep.ac.cn</u>, IHEP,CAS With **Thomas Lindner (**Triumf**), Matthew Worcester (**BNL**)**, Matthew Wetstein(IA State), NNN'16, Beijing, 2016-11-05 14:00 - 18:00 Parallel 2: sensors/electronics/DAO Conveners: Thomas Lindner (T), Matthew Wetstein (I), Matthew Worcester (B), Mr. Zhimin WANG (+ 国科学院高能物理研究所) Location: B326 Parallel session 2 The KM3NeT Digital Optical Module 24' 14:00 Speaker: Ronald Bruijn (Nikhef) Material: Slides 🗖 - Sensors Technical developments towards IceCube-Gen2 24' 14:24 Speaker: Juan-Pablo Yanez (University of Alberta) – Electronics Material: Slides 🔣 14.48 PMT instrumentation of 1UNO 24' Speaker: Dr. Zhonghua Qin (高能所) -DAQMaterial: Slides 15:12 LAPPD 24' Apologize for the Speaker: Andrey Elagin (U) Material: Slides 👘 partial summary Coffee break 24' 15:36 A new ADC chip Vulcan for PMT readout 24' 16:00 and not highlight Speaker: Mr. Christian Grewing (Forschungszentrum Juelich: Electronics and Analytics) Material: Slides 😣 all your points. 16:24 Frontend electronics for Hyper-K 24' Speaker: Marcin Ziembicki (W) For more Material: Slides 🗖 16:48 SP TPC 24' technical details, Speaker: Shanshan Gao (www.bnl.gov) Material: Slides please refer to 17:12 ICARUS 24' Speaker: Ms. guang meng (infn) the parallel talks Material: Slides 🔼 nEXO 24' 17:36 Speaker: Mr. Wenhuan Wu (高能所)

Material:

Slides 📆

Sensors: photon detection



KM3NeT

IceCube-

Gen2

According to the larger and larger target volume, smart/intelligent/digital PMT or module showing more advantages: digitize signal/control with front end electronics directly considering cost, signal quality, reliability etc.

incoming photon

 Fast timing (<100ps), large area and vertex sensitive LAPPD is under developing and testing





Ronald Bruijn (Nikhef)

KM3NeT

- Cherenkov light
- Large area, good efficiency, photon counting
- Hold of >400bars: Sea-bed: ~3.5 km deep
- Full directional detection
- Monitoring and calibration
- Reliability, lower power consumption
- Cost effective

ARCA and ORCA 5.7 Mton to ~ Gton

Pressure water Un-changeable with reliability



Digital Optical Module (DOM)

Multi-PMT Concept

Segmented photocathode : 31 3" PMTs in a 17" sphere (equivalent to 3 10" PMTs)

+ All front-end and digitization electronics, slow control sensors and supporting mechanics



KM3NeT Digital Optical Module (DOM)



Advantages

- Large photocathode area
- Directional Sensitivity
 - <u>Photon Counting</u> (1 vs 2 vs ... photons, background suppression)
 - Less overhead
 - Cost effective

Minimal glass penetrations

PMT bases and light concentration

PMT base – KM3NeT design

- HV generation on the base
 - Cockroft-Walton circuit
 - Input 3.3 V
 - Output to -1400 V
 - Controlled by custom ASIC : Coco
- <u>Time-over-threshold</u> readout (ToT)
 - Custom ASIC: PROMis
 - Pre-amplifier
 - Digitization on the base
 - LVDS signal output
- LOW power (140 mW for 31 PMTs)
- HV and threshold adjustable over I2C
- Each base has a unique electronic identifier
- 3.3 V, I2C, LVDS over thin kapton cable
- Adjustable for different PMT manufacturers







Negative HV on photo-cathode

Gain: 3 *10⁶

HV tuned to set ToT to a specific value at fixed threshold

Mechanics

'Penetrator' (KM3NeT design) Selected Feedthrough for power and optical fibre Holds of 400 bar Mechanics Cooling structure (mechanical support and passive cooling) Titanium collarto mount on ropes 3D printed support structure (SLS) Glass sphere (comes in 2 halves) Defines PMT, piezo, led, ... positions 13 Barrier for optical gel withstands up to 670 bar



Pressure ice Un-changeable with reliability

Juan-Pablo Yanez (University of Alberta)

IceCube-Gen2

A wide band neutrino observatory

- » Optical, radio & surface veto
- » MeV to EeV v detection range \rightarrow supernovae ... astr=
- » Requires additional 13k to 15k optical modules



Gen2 Surface Veto



IceCube-Gen2 DOM



Gen2 DOM other candidates

mDOM



36 cm

- Directional information
- More sensitive area per module
- Precise timing
 PoS(ICRC2015)1147



- Directional information
- More sensitive area per module
- Smaller geometry PoS(ICRC2015)1137



- ~26 cm
- More sensitive area per \$
- Small diameter
- Lower noise rate
- Lower UV
 threshold

PoS(ICRC2015)1134





13 cm

- Small diameter
- Directional info.
- More area per module

IceCube Digital Optical Module

» IceCube DOM



- » Single, large (10") PMT per module
- » Full waveform digitization
- » Glass transmissivity of 0.5 at ~350 nm
- »Calibration LEDs on-board
- » Power consumption ~3W
- » Discriminator
- » Local coincidence logic for readout
- » Delay line: 75ns
- » Redundant digitizers

Segmented modules, more but smaller PMTs Uniform angular coverage Dynamic digitization scheme

~1W/module

Keep

- »Photon counting capabilities to high charge (1-thousands pe)
- » Withstand > 550 bar freeze-in pressure
- » Survive shock vibration
- » Gel cushioning for optical coupling, electronics

Zhonghua Qin (IHEP)

Pressure water Un-changeable with reliability

JUNO PMT system

- 20 kton Liquid scintillator
- ~75% photon cathode coverage
- High efficiency, energy response
- Double calorimetry
- waveform measurement
- Implosion protection



Requirement on PMT performance

typical value (lower limit) Parameter list MCP PMT Dvnode PMT 3 inch PMT PDE (QE*CE) 27% (>24%) 27% (>24%) 25% (QE) @420nm 5% (<15%): within ±70°; Non-uniformity of 8% (< 10%) 1 PDE 20%(<30%): within ±80°; 107 107 10⁶ Gain HV 2500 ∨ (<2800∨) 2000V (<2500V) 1000V@106 @Gain=107 @Gain=107 P/V 3.5 (>2.8) 3 (>2.5) ≥2 2.7ns (<3.5ns) TTS(FWHM) 12ns (<15ns) ≤3.5 **Rise/Fall time** 1.7ns / 12ns 5ns / 9ns Dark rate 20kHz (<30kHz) 10kHz (<50kHz) ≤1.5KHz Ratio of Pre-0.5% (<1%) / 1% 0.8% (1.5%) / 10% 1 pulse/After pulse (<2%) (<15%) < 10% < 10% 1 Non-linearity @ Gain=107, 0-1000pe 238U:400, 232Th:400, 238U:50, 232Th:50, Radioactivity 1 40K-20 40K:40 level (ppb) Pressure Up to 0.8MPa Up to 0.8MPa Up to 0.8MPa tolerance



MCP-PMT



Dynode -PMT



3inch-PMT



The main Parameters for each PMT:

PMT mass testing /characterization (1)

Four test facilities will be equipped in standard commercial container

- each container can test 36 PMTs in parallel;
- LED located in each testing drawer box;
- homogeneous light field produced by the light shaping tube;
- earth magnetic field shielded to less than 10%;
- commercial electronics used for the first two containers and JUNO electronics for the rest;
- the first test facility will be available by end of 2016;



Waterproof Potting (2)

preliminary design of the potting scheme

-with multiple waterproof layers: putty + glue + pouring sealant;

 a stainless-steel shell will be the encloser for heat conducting;

- HDPE as the cable jacket for easier surface treatment;

Potting for JUNO prototype

 only HV divider was there;

totally 40 PMTs with different types potted;

 work on going: many samples for heat conducting test, putty test, thermal cycle test, and connector study.







Heat conducting test



Test of nutty



thermal cycle test



connector prototype

Implosion protection design and test



test







PC or PETG not working with thickness < 5 mm, and loss light significantly with thickness > 5 mm Acrylic cover thickness >= 9 mm is a good choice for JUNO.







Pressure water Un-changeable with reliability

Marcin Ziembicki (W)

Hyper-K



- Giant underground water Cherenkov Detector
 - Two tanks
 - 520 kt of ultrapure water
 - 93,400 photosensors (80,000 ID + 13,400 OD)
- Measure charge and timing of pulses coming from Cherenkov photons.

Front end electronics under water (current baseline design)

ADVANTAGES:

- Shorter cables
 - Better signal quality
- Smaller number of cables
 - Savings on weight and structure costs

DISADVANTAGES:

- Hydrostatic pressure at the bottom of the tank (~6 atm)
- Poor to none serviceability
 - Extreme reliability is a must!
- Limits for power consumption
 - Heat dissipation affects water circulation

Baseline option



^(*) Rise time and TTS are limited by a pre-amplifier. Intrinsic TTS is ~1ns.





Some examples of early adopters:

- ANNIE Accelerator Neutrino Neutron Interactions Experiment
- Cherenkov/Scintillation light separation for particle ID
- Optical Time Projection Chamber
- ANNIE TOF measurements at Fermilab Test Beam



nore (lots of interest shown at the "Early Ad by Incom Inc. in 2013) NuDot

Electronics

- Front end electronics for photon detection
 - Hit: photon counting
 - Timing: leading edge
 - Time over threshold (ToT): Width of pulse
 - Multi Discriminators
 - Amplitude
 - Charge
 - Waveform sampling

_ JUNO



Considering physics requirements, partial or full information recording, reliability, power consumption, cost, ...

KM3NeT

IceCube-Gen2

KM3NeT



DAQ/Electronics



~140mW@31 PMTs



(Slow control, DAQ pipeline, White Rabbit, other sensors) Implements software state machine

UART

Serial terminal Tunneled over ethernet

23

Led Flasher

Temperature/Humidity

~1W/module

serial DAC

n thresholds

Power

Comms

waveform

pulses

60

time (ns)

70

80

sample points (w=1) sample points (w<1)

summed pulses

Mainboard From T.Karg

PMT Base

IceCube-Gen2



ADC

- » Measure amplitude at fixed times
 - » Conventional approach, used in IceCube
 - » Power consumption too high for multiple **PMTs**

Leading edge time and time-over-threshold*

- » Measure at a fixed amplitude
 - » Low power, no current flow below threshold
 - » Need multiple thresholds/discriminators

Discriminators

Top-Ref

 $\leq R1$

≤R2

≶r3

 $\leq R4$

≤ R5

< R6

≥ R7

R64

ADC Chip Vulcan for JUNO PMT waveform

sampling

- The receiver electronics are attached to the PMT underwater for:
 - Lower data bandwidth on the cable
 - Programmable signal threshold modes
 - Local data storage (RAM) for supernova events
 - Programmable digital signal pre-processing to further reduce data bandwidth
- Intelligent PMT developed together with several groups in Asia and Europe

General Control Unit (GCU): Instituto Nazionale di Fisica Nucleare, Università di Padova

- Connection to the BEC and control of the HV and ADU
- LVDS signal interface to the ADU

DAQ

BEC

PCU

GCU

ADU

HV

BIAS

Liquid Scintilator

Receiver Electronics

PMT

<u>100m</u>

- Dedicated fast memory (2GB) for local signal storage (supernova)
- Configurable digital processing of the signal and signal over threshold generation

Analog to Digital conversion Unit (ADU), Vulcan System on Chip: Forschungszentrum Jülich, ZEA-2

- Highly linear, low noise receiver
- 3 8bit, 1Gb/s Flash ADC with programmable characteristics
- Programmable data reduction and low jitter clock generation
- Configurable trigger schemes, overshoot compensation
- All integrated regulators w/o external capacitors for all internal supplies

Signal Mode



1. Two signal chains with programmable gains and parallel TIA inputs, combined input resistance R $\approx~5\Omega$

2. With larger input currents >20mA the TIA inputs saturate, the ESD diodes open with a combined resistance R $\approx 5\Omega$, The voltage over the diodes is measured with the third signal chain

Signal over Threshold



3 LVDS trigger lines are available one for each of the 3 ADC Configurable trigger modes:

- Analog voltage comparator for fastest trigger
- Digital threshold value
- Integrating the last 8/16/32 values and compare with digital threshold



<u> Option 2 – water (current baseline design)</u>

ADVANTAGES:

- Shorter cables
 - Better signal quality
- Smaller number of cables
 - Savings on weight and structure costs

DISADVANTAGES:

- Hydrostatic pressure at the bottom of the tank (~6 atm)
- Poor to none serviceability
 - Extreme reliability is a must!
- Limits for power consumption
 - Heat dissipation affects water circulation



Avoid single point of failure

•

- Lower module transmits data to upper module; if unable, then to its neighbors
- On-going R&D on protocol and expected data rates





Cold Electronics for LAr and LXe

- Readout electronics developed for low temperatures (77K-300K) is an *enabling* technology for noble liquid detectors for neutrino experiments
 - Front end ASICs are integrated with the TPC electrodes in noble liquid to minimize the capacitance and noise
 - On chip **digitization** to convert to digital signals inside detector cryostat
 - Multiplexing to high speed serial link, to reduce cable plants, minimize outgassing, make possible the scalability to larger detector volumes
- First step: ICARUS
 - Cold LArTPC with warm FE and digitization
 - Achieved excellent S/N (G. Meng's slides)
 - Planned warm electronics upgrade for SBN

The first neutrino event! 0.6 mm spatial resolution in 8m image size.

Extremely high quality image thanks to the very high S/N of electronics (not forgetting purity, mechanical precision and stability...).



Wire Noise Level in MicroBooNE



- Next generation: MicroBooNE, ArgoNeuT, LArIAT, others...
 - Cold FE with warm digitization
 - Overall achieved excellent S/N (slides from Asaadi, Bishai, Mooney)
 - Beginning to produce physics results
- Future development: large LArTPCs
 - ProtoDUNE-SP/SBND toward DUNE
 - Cold FE to achieve low S/N
 - Cold digitization for simplicity of large cryostat design
 S. Gao's slides
 - Dual-phase unique amplification and "warm" CE (Shuoxing's slides)
- nEXO readout in LXe
 - Cold FE, option to use either warm or cold digitization
 - Which option achieves necessary S/N? (Wenhuan's slides)

Cold electronics

Advantages of CE

- Having front-end electronics in the cryostat close to the wire electrodes
 - Yields the best SNR
- Highly multiplexed circuits with fewer digital output
 - Greatly reduce the number of cryostat penetrations
 - Give the designers of both the TPC and the cryostat the freedom to choose the optimum configurations
- CMOS in LAr has less than half the noise as that at room temperature, higher mobility and higher transconductance /current ratio



Warm readout to cold readout



Test result of ASIC in 0.25 μm

guang meng (infn)

ICARUS

ICARUS T600 TPC

ICARUS T600 is the *unique* example of very large mass liquid argon (LAr) Time Projection Chamber (TPC). It provides 3D imaging of any ionizing event (like an electronic bubble chamber). A major feature is the continuous sensitivity, self triggering capability, and calorimetric measurement.

- 2 identical T300 modules adjacent (3.6m × 3.9m × 19.6m each)
- ✤ 2 chambers per module, 1.5 m drift length each
- 3 readout wire planes per chamber wires at 0, ±60° (ind1, ind2, coll view)
- 53248 wires, 3 mm pitch and plane spacing



Drift direction Electrons drift velocity = 1,5 mm/µs

CNGS neutrino interaction in ICARUS T600 (May 2010)



The first neutrino event! 0.6 mm spatial resolution in 8m image size.

Extremely high quality image thanks to the very high S/N of electronics (not forgetting purity, mechanical precision and stability...).



ICARUS front-end electronics

- The ICARUS T600 read-out electronics was designed to provide continuous digitization and waveform recording of the signals from each wire of the TPC.
- Decoupling Board: it receives 32 analogue signals from the chamber and passes them to the analogue board via decoupling capacitors; it also provides wire biasing voltage and distribution of the test signals.
- Analog Board: it hosts 32 front-end low noise charge sensitive pre-amplifiers, performs data multiplexing and data conversion ADC (10 bit). The sampling period for each channel is 400 ns.
- Digital Board: it provides multi event buffer memory for 32 channels, data compression, and trigger logic.



Signal to noise ratio (S/N) better than 10 and a ~ 0.6 mm single point resolution were obtained during the LNGS run, resulting in precise spatial reconstruction of events, allowing for measuring muon momentum by multiple scattering (MS) with Δp/p ~16% in the 0.4-4 GeV/c range.

New simplified/compact design

- A new, compact design, has been conceived to host both analogue and digital electronics on a single board directly connected to the proprietary flanges.
- ♦ One mini-crate, mounted on the flange, can host 9 boards for 576 channels, 64 channels each.
- The backplane of the crate distributes the power supply and local control signals.
- A single boards hosts 64 front-end low noise charge sensitive pre-amplifiers, 64 serial 12 bit ADC (2.5 MHz), FPGA, memory, optical link interface...



NNN16, Beijing 2016

Shanshan Gao (bnl)

To DAO

To SSP

To Slow Control

Overview of ProtoDUNE-SP

- Deep Underground Neutrino Detector (DUNE)
 - DUNE will take neutrino beam data from Fermilab in the Long Baseline Neutrino Facility (LBNF) starting in 2026



Validate mechanical and electrical design and interfaces

ENC comparision

RT

ProtoDUNE-SP TPC Readout Electronics

- Front End Electronics System
 - 960 FE ASICs/960 ADC ASICs/120 Cold FPGAs
 - 120 Front End Mother Board assemblies
 - 6 sets of cold cable bundles
 - 6 sets of signal feed-throughs
 - 6 warm interface electronics crates
 30 WIBs, 6 PTCs, 6 PTBs



Local

Diago

Signal Feed-through and Warm Interface Boards



Indium wire seal

SBND WIB + ProtoDUNE FEMB

platform can be set up without signal feed-through





- Real-time channel data
- Utilize engineering development tools used at BNL
 - Can be used simultaneously with DAQ system
 Will simplify debugging of entire system

FEMB Noise Measurement

20160605





1129e- at RT and 562e- at LN2 @ 1us peaking time

Wenhuan Wu (IHEP)

Lower noise with CE Lower radioactivity

nEXO

0vββ Search at EXO-200/nEXO



Energy resolution from 1.2% (EXO) to 1% (nEXO) at Q value

33

2

nEXO

Adapting LAr ASIC readout similar to BNL **Cold ASICs** for Charge readout(IHEP)



Critical spec.: <200e-/ch; tested ~265e-/ch

Digital multiplexing vs. Analog multiplexing

- Advantage
 - All digital processing
 - Simple system
- Challenges
 - More power
 - Analog & digital crosstalk
 - Too much data processing on chip
 - High-speed serial data transmission, may be a challenge

- Advantage
- No ADCs
 - · make chip's architecture as simple as possible, also the DAQ
 - · Low crosstalk between analog and digital
- Share one buffer on chip and one ADC external, power reduced greatly
- Off chip ADC could be high sampling frequency to improve SNR
- Minimize number of cables between cold and warm (2clk+2power+1output)

Challenges

- Shielding(P+G) become important
- Noise performance a little worse

From single channels to large tiles



Barrel (e.g. 24 ladders)

Analog power/Digital power

Tune switch to change

2M sampling rate/channel

@160K

@160K

High rate performance

Negative polarity

NOT include output buffer

ENC decreases as T goes down Scheme 2

-ENC(e)

- In the next few months, they should be able to demonstrate a single channel readout.
- Perform an intermediate demonstration with 10 x 10 cm² tile?
- For analog SiPMs, approximately 20~30 channels, about the size for an ASIC based readout, also the size of proposed Si interposer.

15

Measurement results(IHEP)

DAQ

IceCube-Gen2

- From the view of intelligent/digital PMT or modules, fiber or net cables are used for digital data transferring in tens to thousands meters distance directly to PC for further analysis, even no global triggering.
- The issues are the event builder, bandwidth, data volume.

KM3NeT



ICARUS

ICARUS T600 DAQ event builder



- The 96 front-end electronics crates have their local CPU connected to the event builder PC through an Ethernet link.
- Throughput: 80 MB/s per chamber, building rate ~3Hz for a 1.6ms full drift image using 4 parallel builders and data compression factor of 4.

Readour Rack 1 Switch fe/ge GE Switch ge/ge Chamber 1 Switch ge/ge GE Switch ge/ge Fibre Channel Fibre

Upgrading DAQ

- The system provisionally uses the CONET transfer protocol.
- Each mini-crate (flange) will require two CONET loops.
- Each A3818 can handle 4 loops (2 flanges).
- On each PC can host 2 A3818, a total of 24 readout PC will be needed for the whole detector





The readout DAQ could keep the existing DAQ architecture, simply replacing the VME CPU in each readout unit with a PC equipped with a CONET interface. Expected building rate ~15Hz without data compression.



 The whole DAQ can be hosted in a 54U rack

 4 X 24 fiber bundles (+ spares) from control room to mini crate (~50/100m)

From 3Hz to 15Hz

Lossless data compression

Online lossless data compression

- In data collected with T600 LAr-TPC, the difference between one sample and the previous one is within ± 7 ADC counts in more than about 98% of the cases.
- This allows for storing the differences instead of the full 10 bit data, using fewer bits.

15 14 13 12				11	10	9	8	7	6	5	4	3	2	1	0
4-bit				4-bit				4-bit				4-bit			
Difference of				Difference of				Difference of				Difference of			
channel N				channel N+1				channel N+2				channel N+3			

וט	Difference																
15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0		
1	0 (0 0					10-bit full difference										

- Assuming to handle data in 2-Byte format, the choice is to pack four 4 bit difference (± 7 ADC counts) obtaining a ~4 compression factor.
- When the difference is larger than [7], the full difference is stored in 2-Byte with a 4 bit flag (1000).
- The compression efficiency is affected by the large energy deposition from e.m showers or high dE/dx tracks.
- Differential value storing with 4bits instead of total 10bits, with ~4 compression factor

During LNGS run the real measured compression factor was 3.92.

Words for summary

- Huge detector target volume asking for more intelligent detecting sensors
 - Directional
 - High efficiency
 - Cost effective
 - High Reliability
- More photon sensors come true or under developing
 - 20" MCP PMT
 - LAPPD
- Front end electronics and DAQ also updating our mind and design
 - Cold electronics for better SNR and sensitive measurement

Thanks for your attention!

Thanks all the speakers and attendance of Parallel session 2.