

Mixing and CPV in charm hadrons at LHCb

Wojciech Krzemień

On behalf of the LHCb collaboration

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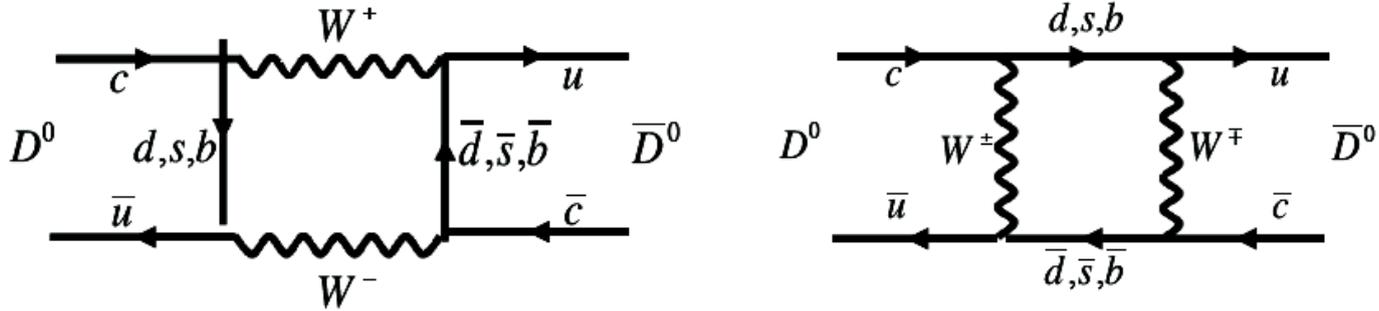


Outline

- Introduction
- Mixing and CP studies in $D^0 \rightarrow K^+\pi^-$ decays
- Search for direct CPV with $D^+_{(s)} \rightarrow \eta'\pi^+$ decay
- A_F measurements with $D^0 \rightarrow h^+h^-$
- Summary & Outlook

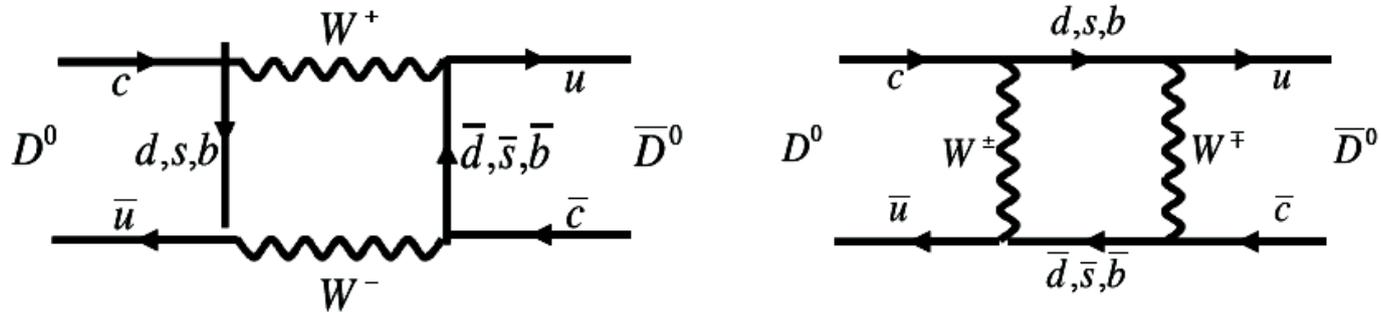
Neutral flavour mesons mixing

Weak interactions do not conserve the flavour



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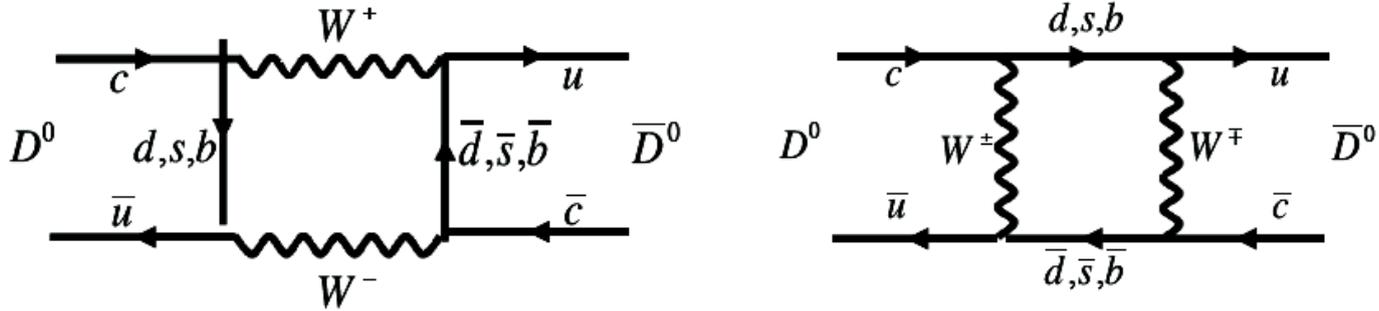


Flavour states are not eigenvectors of the full Hamiltonian

$$\frac{\partial}{\partial t} |\Phi\rangle = H |\Phi\rangle$$

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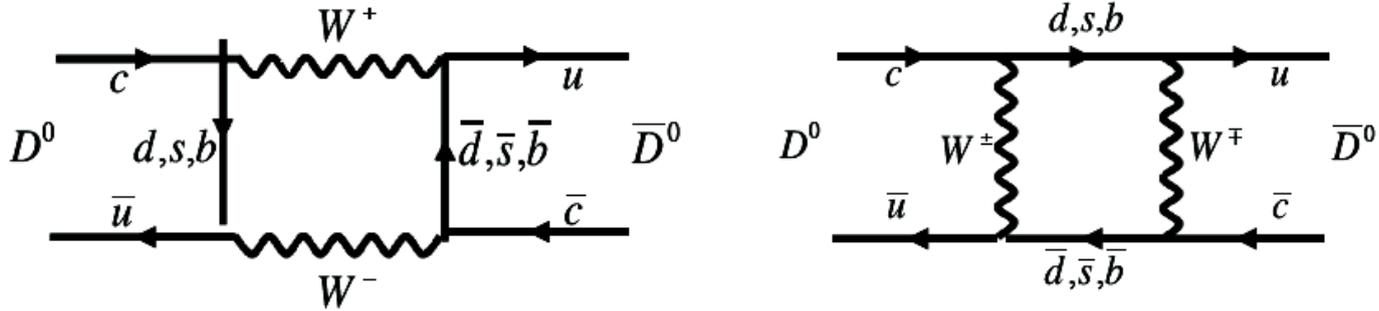
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Mass eigenstates expressed as a superposition of flavour eigenstates :

$$|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle \quad |p|^2 + |q|^2 = 1 \quad p, q \text{ are complex}$$

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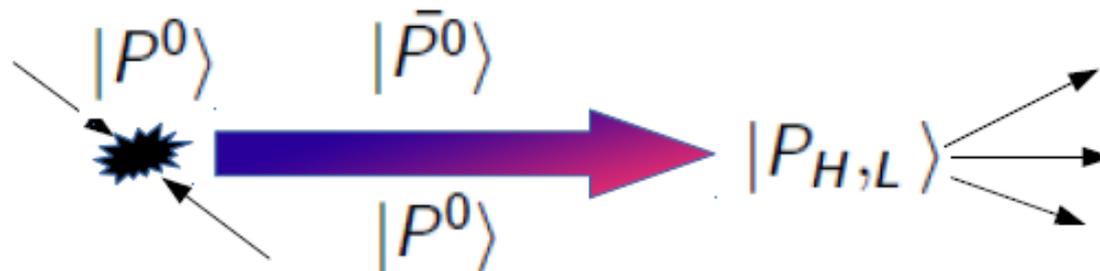


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Neutral flavour mesons mixing II

Probabilities of mixing:

$$\Pr[P^0 \rightarrow P^0] \sim e^{-\Gamma t} (\cosh(y\Gamma t) + \cos(x\Gamma t))$$

$$\Pr[P^0 \rightarrow \bar{P}^0] \sim e^{-\Gamma t} |q/p|^2 (\cosh(y\Gamma t) - \cos(x\Gamma t))$$

Mixing parameters:

$$x = \frac{\Delta m}{\Gamma} \quad \Delta\Gamma = \Gamma_1 - \Gamma_2$$

$$y = \frac{\Delta\Gamma}{2\Gamma} \quad \Delta m = m_1 - m_2$$

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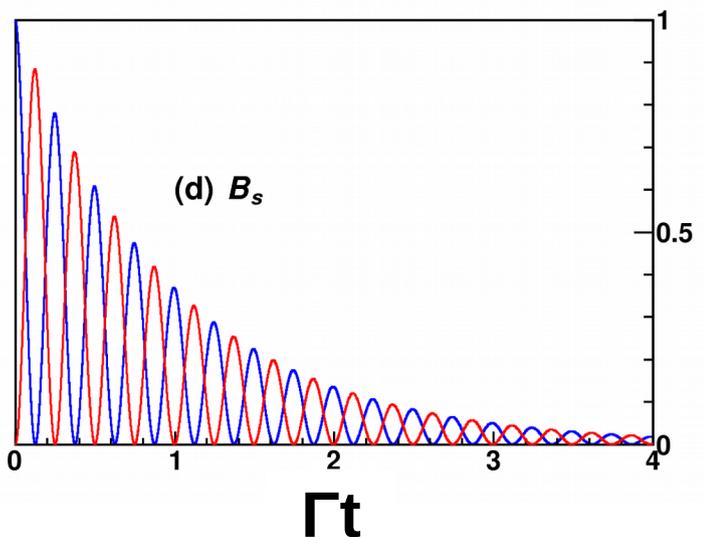
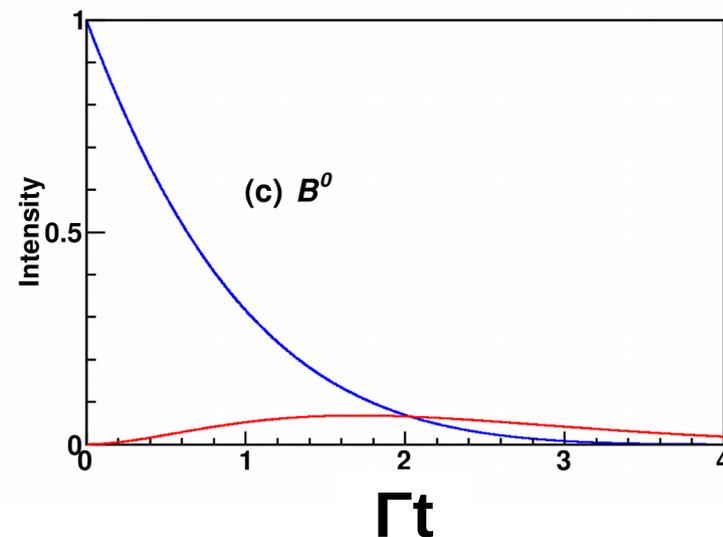
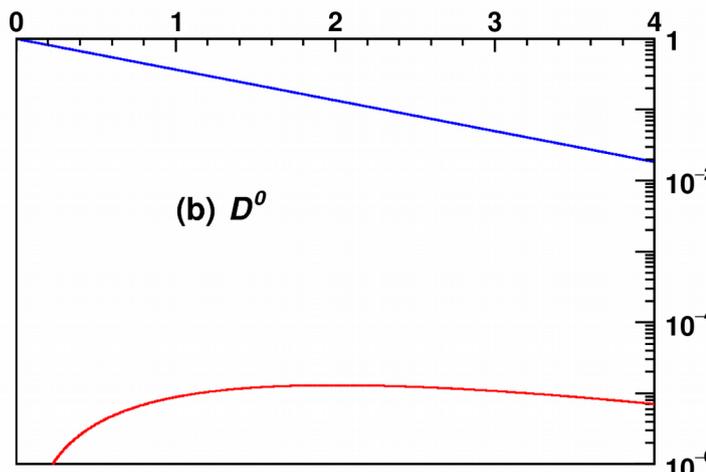
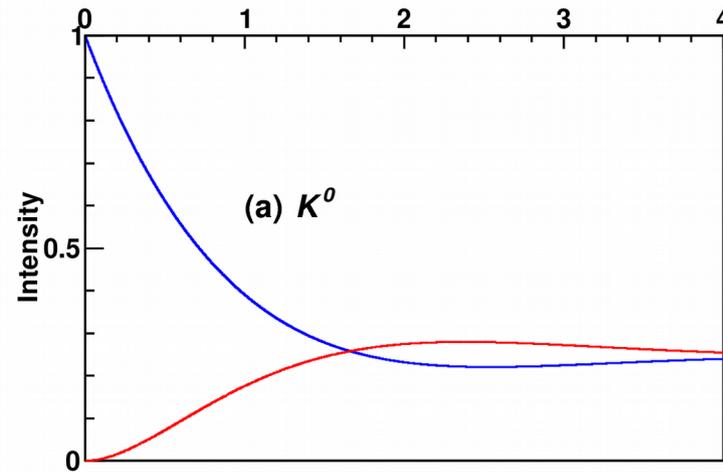
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D^0 very slow:
 $x \approx 0.001, y \approx 0.001$

K^0 slow:
 $x \approx -0.95, y = 0.99$

B^0 fast:
 $x \approx 0.78, y < 0.01$

B_s^0 the fastest:
 $x \approx 26.1, y \approx 0.15$

CP violation and its types

C – charge conjugation (particle \rightarrow antiparticle) $\hat{C}|\vec{r}, t, q\rangle = e^{i\alpha_1}|\vec{r}, t, -q\rangle$
P – parity (spatial reflection) $\hat{P}|\vec{r}, t, q\rangle = e^{i\alpha_2}|-\vec{r}, t, q\rangle$

The CP discrete symmetry is broken if:

$$\lambda_f \equiv q/p \quad \bar{A}_f / A_f \neq 1$$

CP violation in decay

$$\Gamma(P^0 \rightarrow f) \neq \Gamma(\bar{P}^0 \rightarrow \bar{f})$$

$$|\bar{A}_f / A_f| \neq 1$$

- Depends on decay mode
- At least one amplitude with different strong and weak phases

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CP violation in mixing

$$\Gamma(P^0 \rightarrow \overline{P}^0) \neq \Gamma(\overline{P}^0 \rightarrow P^0)$$

$$|q/p| \neq 1$$

- Not depends on decay mode
- only for neutral mesons

CP violation in interference between mixing and decay

$$\Gamma(P^0 \rightarrow \overline{P}^0 \rightarrow f_{CP}) \neq \Gamma(\overline{P}^0 \rightarrow P^0 \rightarrow f_{CP})$$

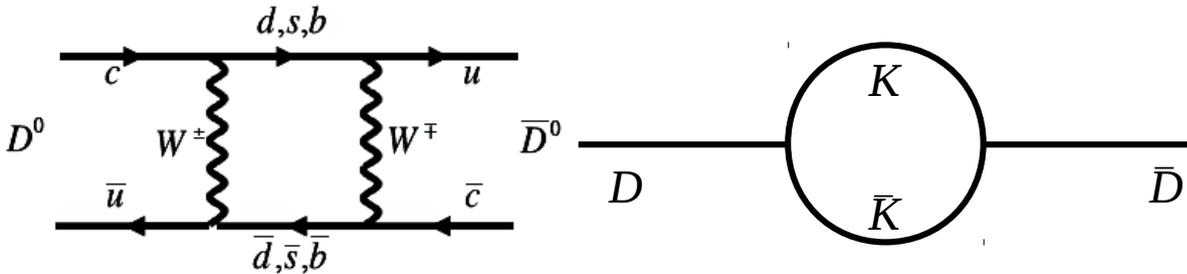
Relative phase between q/p and \overline{A}_f/A_f non-zero

Mixing and CPV in charm

Standard Model predictions (PDG2016):

→ Predictions for mixing very imprecise

$x, y: O(10^{-2}) - O(10^{-7})$



long-range contributions dominates – hard to calculate

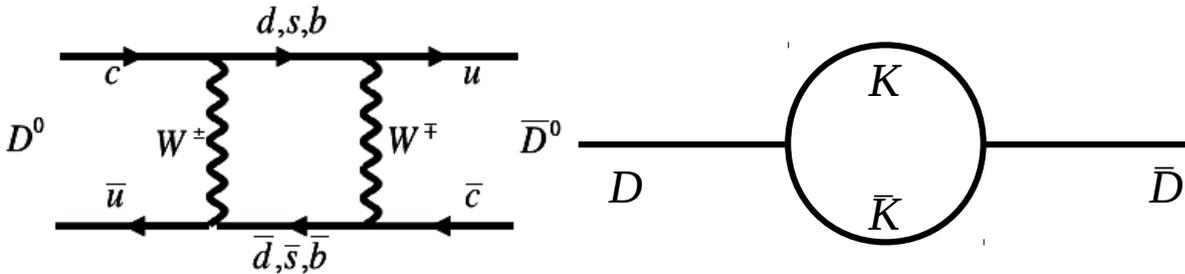
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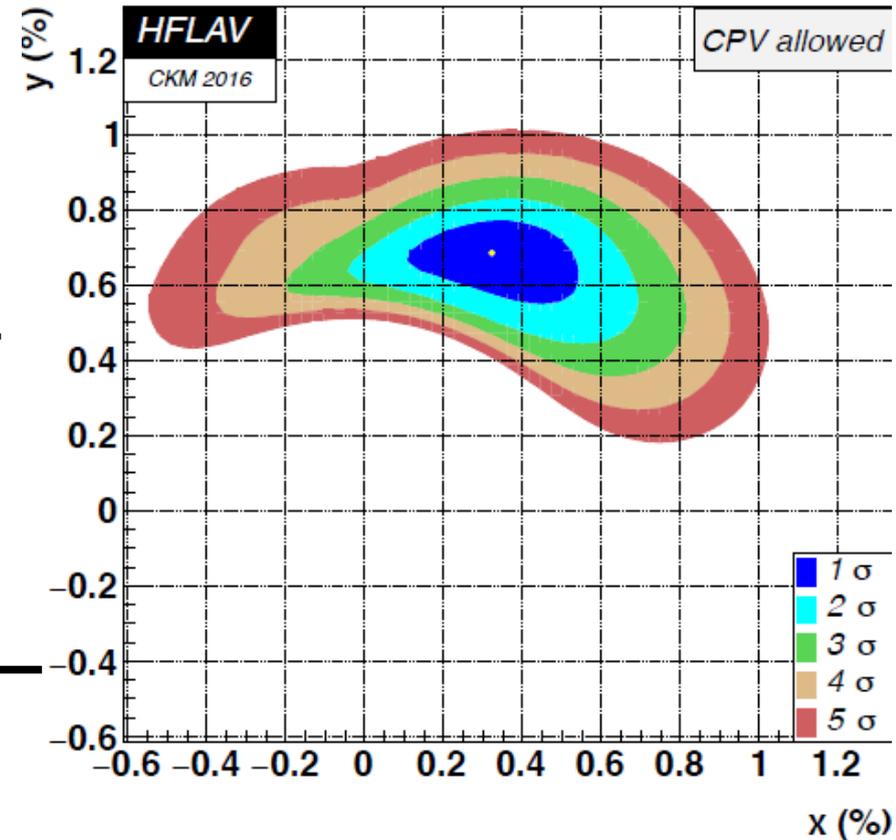
Experimental status:

→ Mixing established ($\sim 11 \sigma$ effect)

→ First evidence Babar, Belle, CDF: PRL 98 (2007) 211802, PRL 98 (2007) 211803, PRL 100 (2008) 121802

→ Recent LHCb measurement: PRL 113 (2013) 231802

→ No CPV observed so far

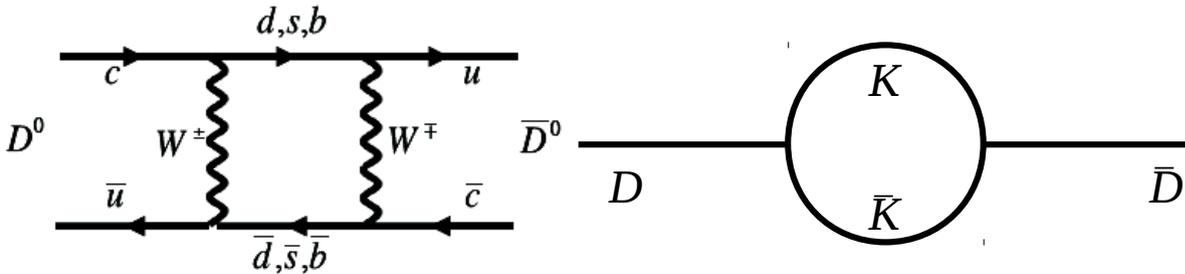


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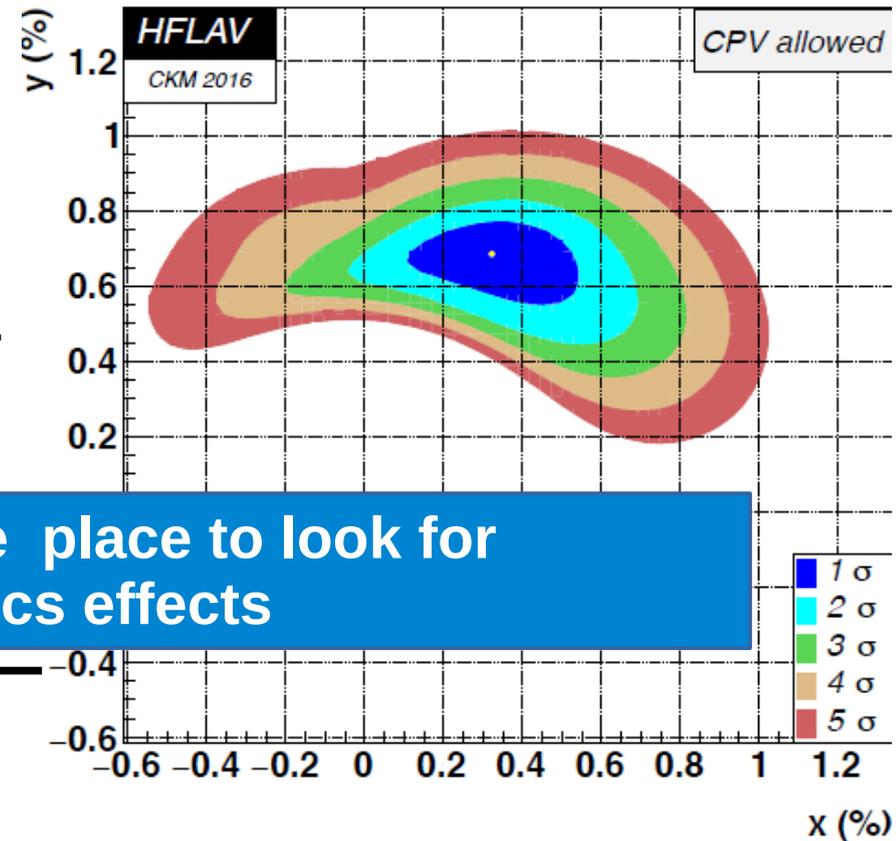
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long-range contribution

→ Almost no CPV effects

Charm as a unique place to look for New Physics effects



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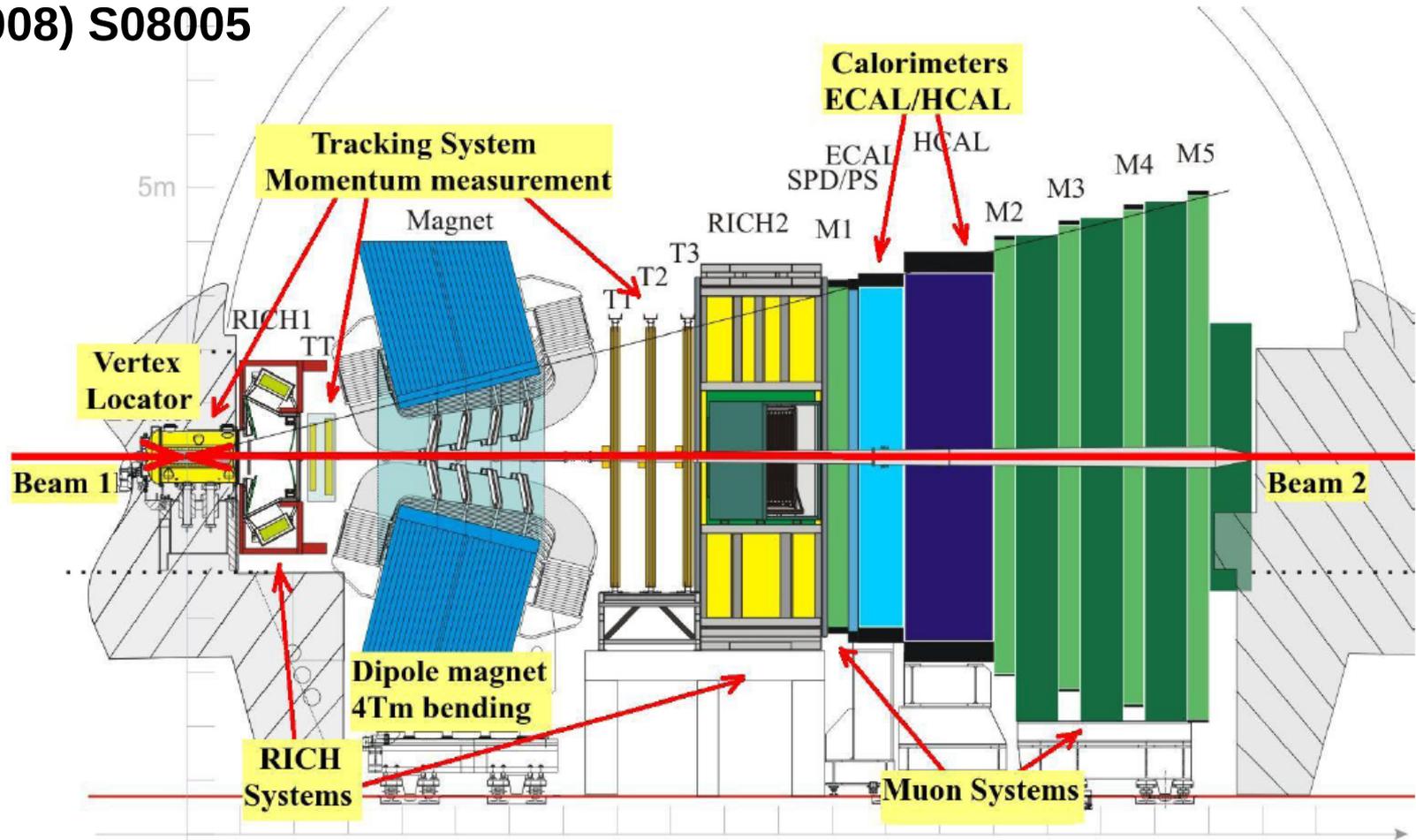
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Large Hadron Collider Beauty detector

JINST 3 (2008) S08005



- Single-arm forward spectrometer covering range $2 < \eta < 5$ ($10 < \theta < 300$ mrad)
- Momentum resolution $\Delta p/p = 0.5\% @ 5 \text{ GeV}/c$ to $1\% @ 200 \text{ GeV}/c$
- Impact parameter resolution: $20 \mu\text{m}$ from high p_T tracks, decay lifetime ~ 45 fs

Charm in LHCb

LHCb-CONF-2016-005

→ Charm produced copiously in the pp collisions:

$$\sigma(pp \rightarrow c\bar{c}) \sim 1419 \mu\text{b} @ 7 \text{ TeV}$$

Nucl.Phys.B871(2016)1

$$\sigma(pp \rightarrow c\bar{c}) \sim 2940 \mu\text{b} @ 13 \text{ TeV}$$

JHEP03(2016) 159

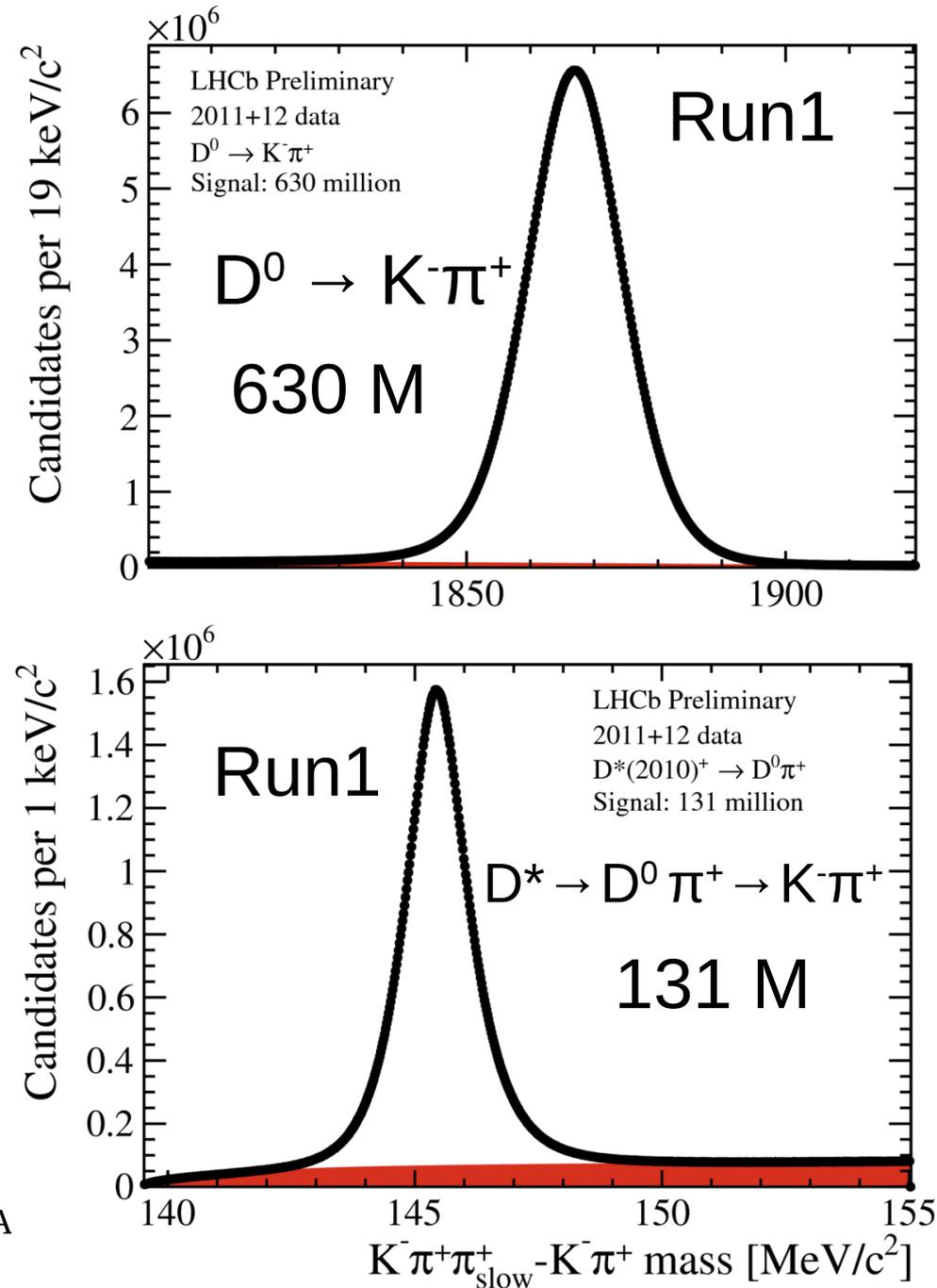
→ In Run I 2011-2012 ($L = 3 \text{ fb}^{-1}$) produced:

$$\sim 5 \times 10^{12} D^0,$$

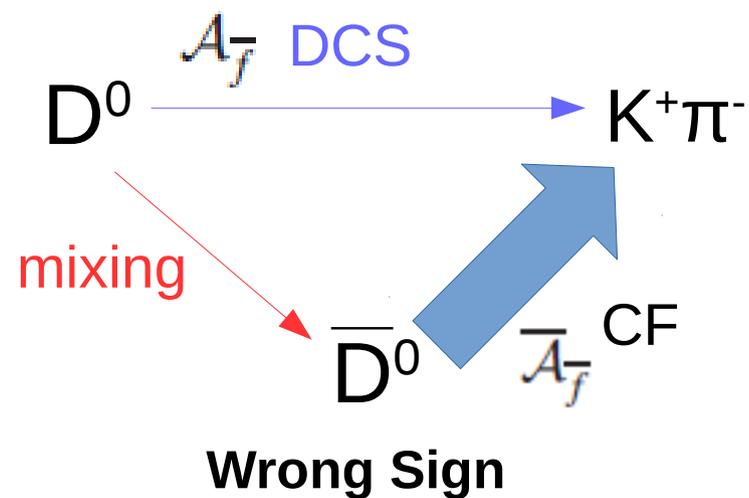
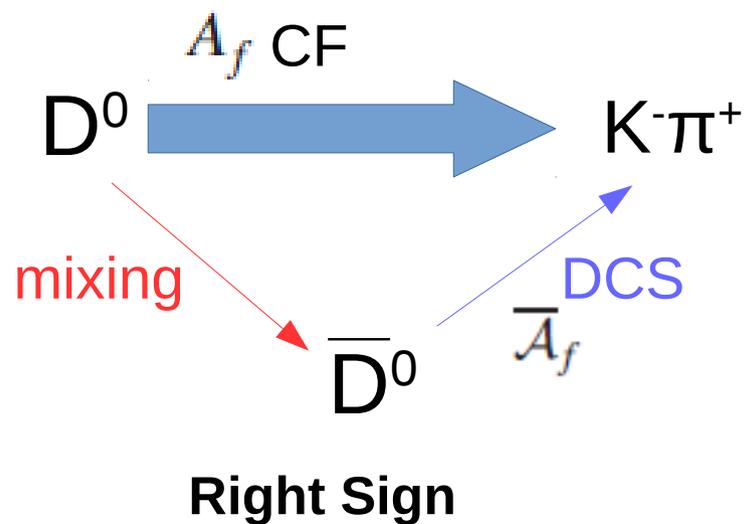
$$\sim 2 \times 10^{12} D^{*+}$$

~30 x larger collected statistics than previous experiments

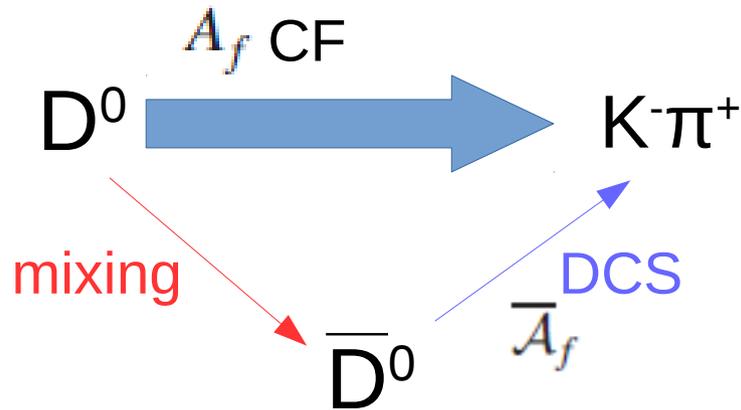
→ In Run II: higher cross-sections due to higher energy and improved trigger



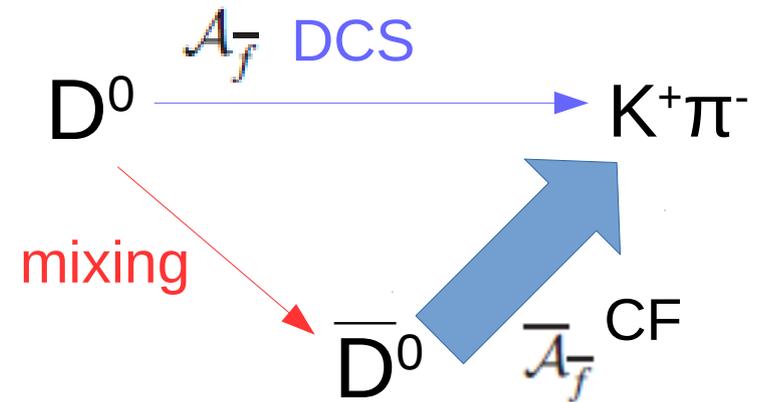
Mixing and CP studies in $D^0 \rightarrow K^+\pi^-$ decays



Mixing and CP studies in $D^0 \rightarrow K^+\pi^-$ decays



Right Sign



Wrong Sign

Assuming small values of x and y parameters the ratio $R(t) = WS/RS(t)$:

$$R(t)^\pm = R_D^\pm + \sqrt{R_D^\pm} y'^\pm \left(\frac{t}{\tau}\right) + \frac{(x'^\pm)^2 + (y'^\pm)^2}{4} \left(\frac{t}{\tau}\right)^2$$

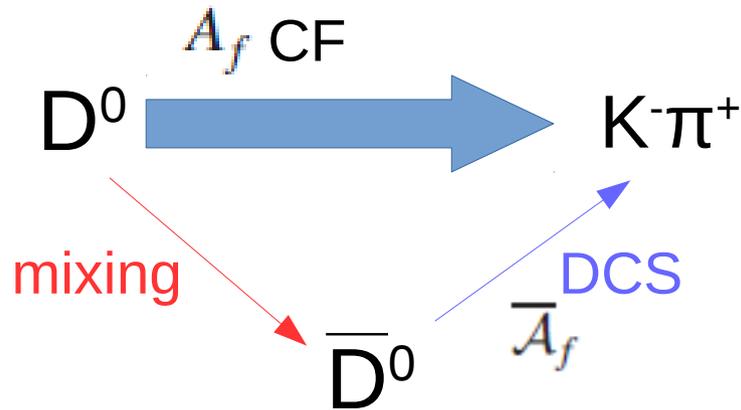
$$R_D^+ = |\bar{A}_f/A_f|^2$$

$$R_D^- = |\bar{A}_f/\bar{A}_f|^2$$

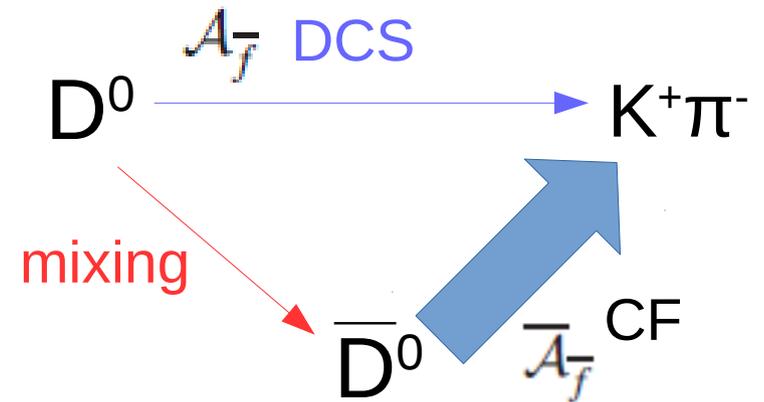
$$\rightarrow x' = x \cos(\delta) + y \sin(\delta)$$

$$\rightarrow y' = y \cos(\delta) + x \sin(\delta)$$

Mixing and CP studies in $D^0 \rightarrow K^+\pi^-$ decays



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$$R_D^+ = |\bar{A}_f/A_f|^2$$

$$R_D^- = |\bar{A}_f/A_f|^2$$

$$\rightarrow x' = x \cos(\delta) + y \sin(\delta)$$

$$\rightarrow y' = y \cos(\delta) + x \sin(\delta)$$

If $R^+(t) \neq R^-(t)$ then CP is violated:

$\rightarrow R_D^+ \neq R_D^-$ direct CPV

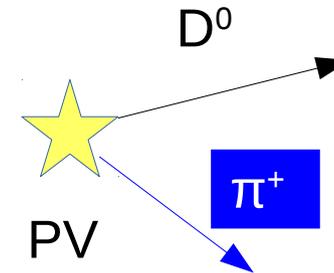
$\rightarrow x'^+ \neq x'^-$ or $y'^+ \neq y'^-$ indirect CPV

SM expectation for CPV in mixing $\sim O(10^{-3})$

Mixing and CP studies in $D^0 \rightarrow K^+ \pi^-$ decays

- Run I data sample 2011 and 2012 ($3 \text{ fb}^{-1} \text{ pp @7 TeV}$ and $@8 \text{ TeV}$)
- Time-dependent asymmetry $R(t)$
- Double-tagged data : $\bar{B} \rightarrow D^{*+} \mu^- X$, $D^{*+} \rightarrow D^0 \pi^+$
- Fit D^* mass to extract D^0 in five time bins
- Correct for time-dependent detector effects

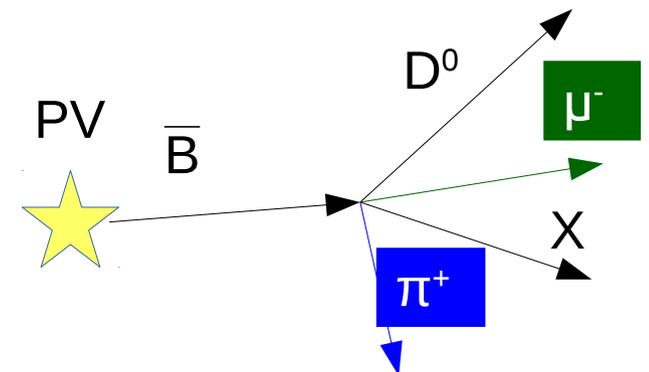
Prompt charm:



$$pp \rightarrow D^{*+}$$

$$D^{*+} \rightarrow D^0 \pi^+$$

Double-tagged secondary charm



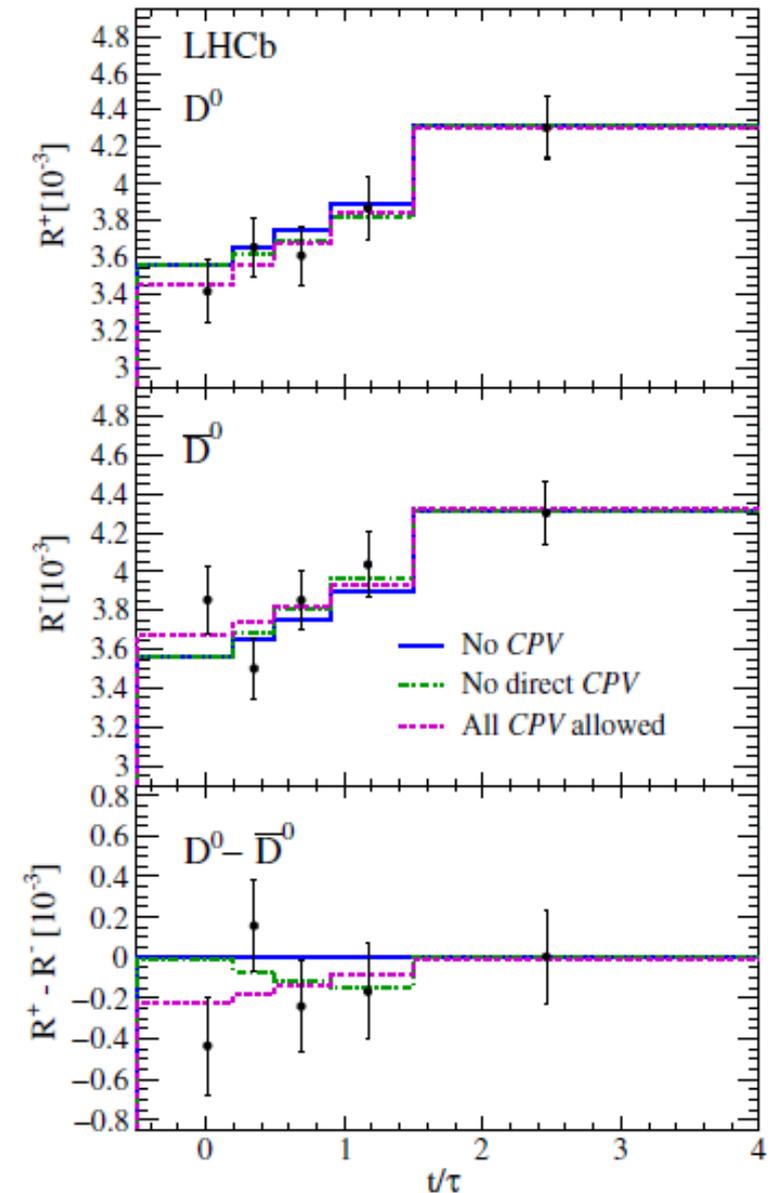
$$pp \rightarrow \bar{B} \rightarrow D^{*+} \mu^- X$$

$$D^{*+} \rightarrow D^0 \pi^+$$

Mixing and CP studies in $D^0 \rightarrow K^+\pi^-$ decays

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- Time-dependent asymmetry $R(t)$
- Double-tagged data : $\bar{B} \rightarrow D^{*+}\mu^- X$, $D^{*+} \rightarrow D^0\pi^+$
- Fit D^* mass to extract D^0 in five time bins
- Correct for time-dependent detector effects
- Three fit scenario considered:
 - **No CPV allowed**
 - **No direct CPV allowed**
 - **All CPV allowed**

Consistent with non-CPV hypothesis

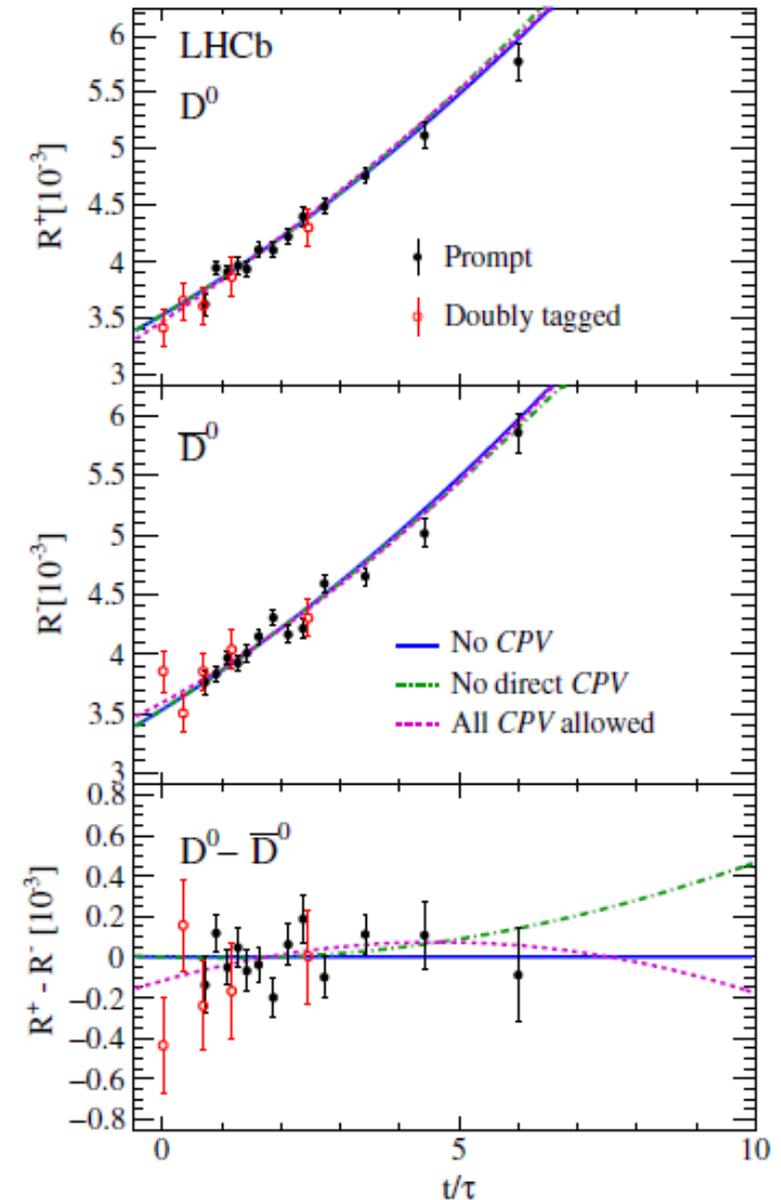


Mixing and CP studies in $D^0 \rightarrow K^+\pi^-$ decays

- Combined fit using two independent data samples:
 - Double-tagged (DT) sample
 - Prompt sample (**PRL 111 (2013) 251801**)
- Complementary decay-time coverage and higher purity for DT
- Precision improved by 10-20 % (DT sample 2.5% of signal)

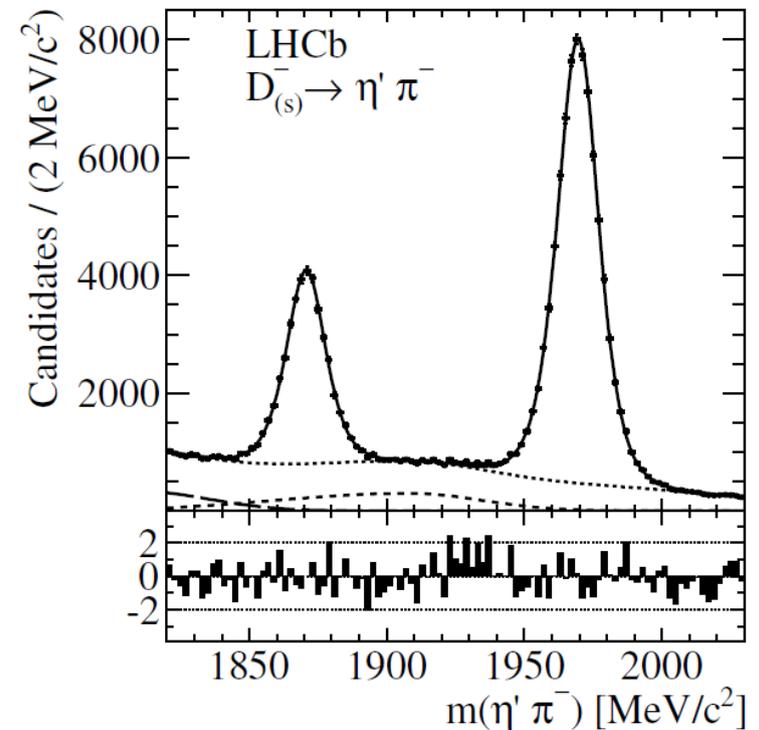
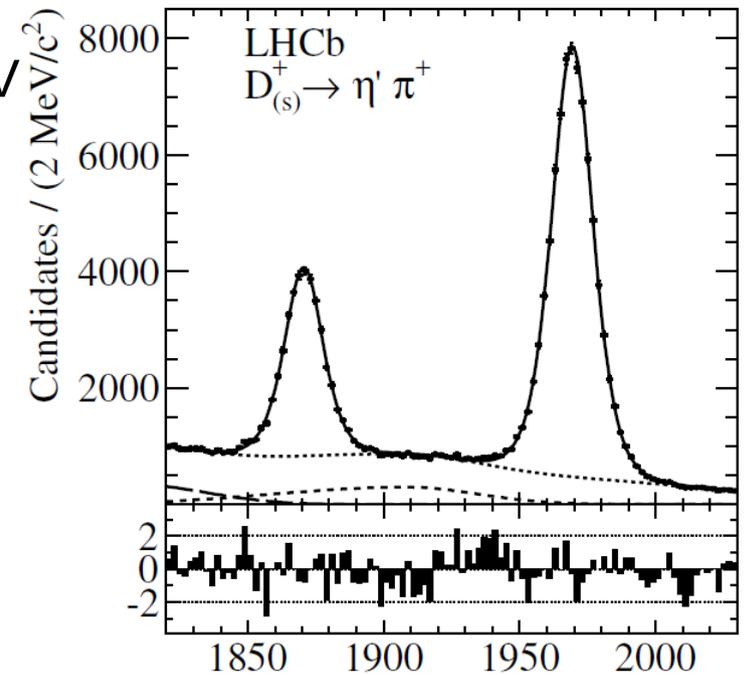
	All CPV allowed	
$R_D^+[10^{-3}]$	3.474 ± 0.081	3.545 ± 0.095
$(x^+)^2[10^{-4}]$	0.11 ± 0.65	0.49 ± 0.70
$y^+[10^{-3}]$	5.97 ± 1.25	5.1 ± 1.4
$R_D^-[10^{-3}]$	3.591 ± 0.081	3.591 ± 0.090
$(x^-)^2[10^{-4}]$	0.61 ± 0.61	0.60 ± 0.68
$y^-[10^{-3}]$	4.50 ± 1.21	4.5 ± 1.4
χ^2/ndf	95.0/108	85.9/98

Consistent with non-CPV hypothesis



Search for direct CPV with $D_{(s)}^+ \rightarrow \eta' \pi^+$ decay

- Run I data sample 2011 and 2012 ($3 \text{ fb}^{-1} \text{ pp @7 TeV}$ and $@8 \text{ TeV}$)
- Reconstruction of $\eta' \rightarrow \pi^- \pi^+ \gamma$
- $63 \times 10^3 D^\pm$, $152 \times 10^3 D_{(s)}^\pm$
- Never measured before at hadron colliders



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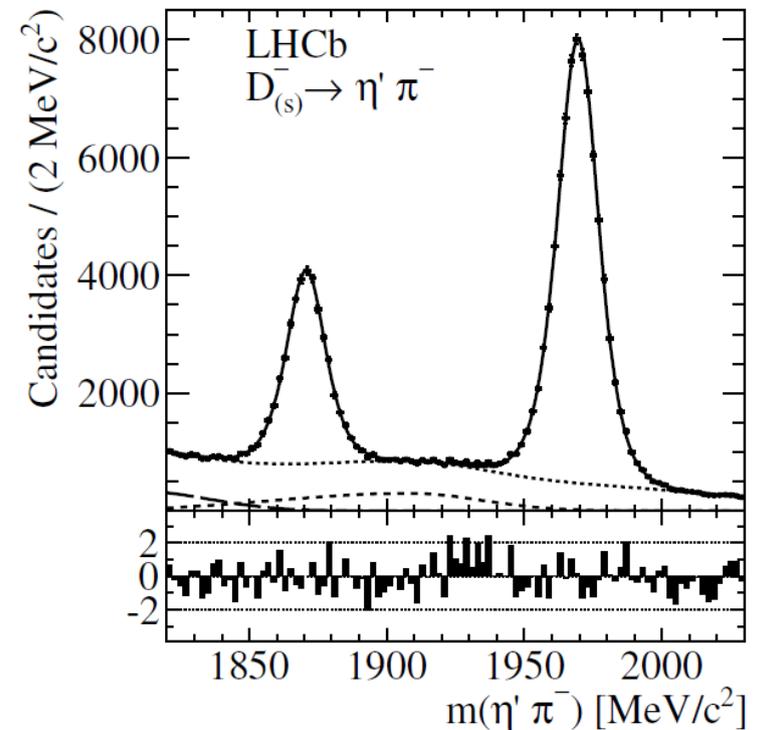
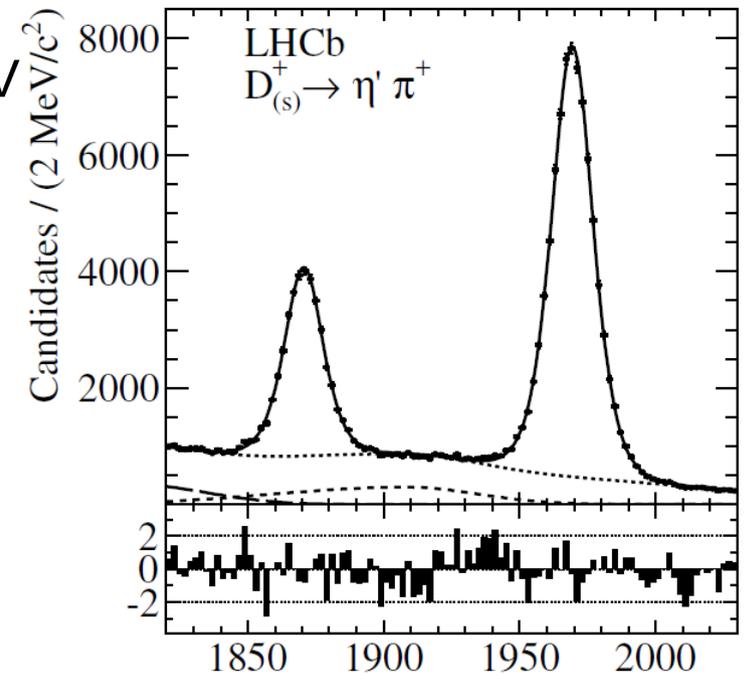
$$\mathcal{A}_{CP}(D^\pm \rightarrow \eta' \pi^\pm) \approx \Delta \mathcal{A}_{CP}(D^\pm \rightarrow \eta' \pi^\pm) + \mathcal{A}_{CP}(D^\pm \rightarrow K_s^0 \pi^\pm)$$

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\mathcal{A}_{CP} known from previous measurements at level $O(10^{-3})$:

Belle: PRL 102 (2012) 021601, erratum:PRL 102 (2012)119903

D0: PRL 112 (2014) 111804



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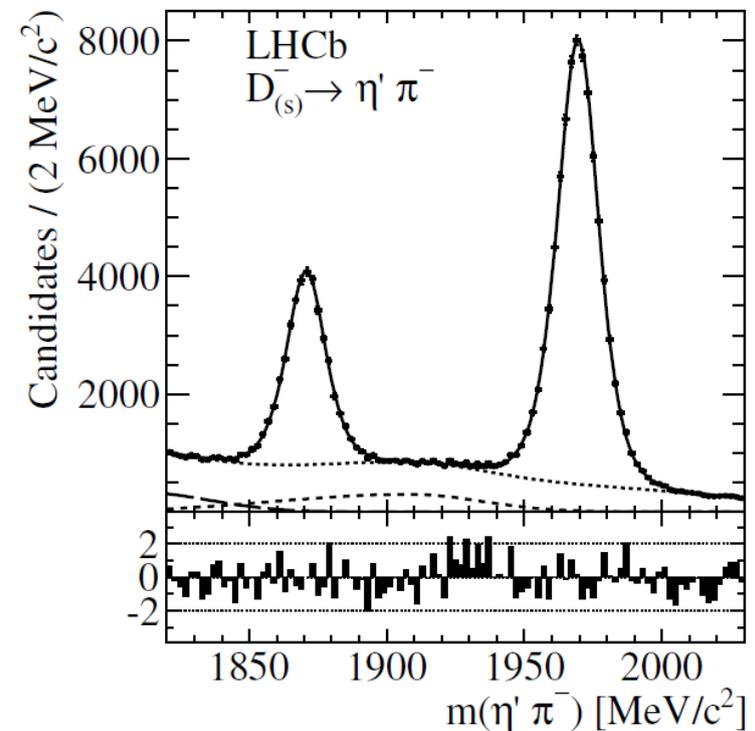
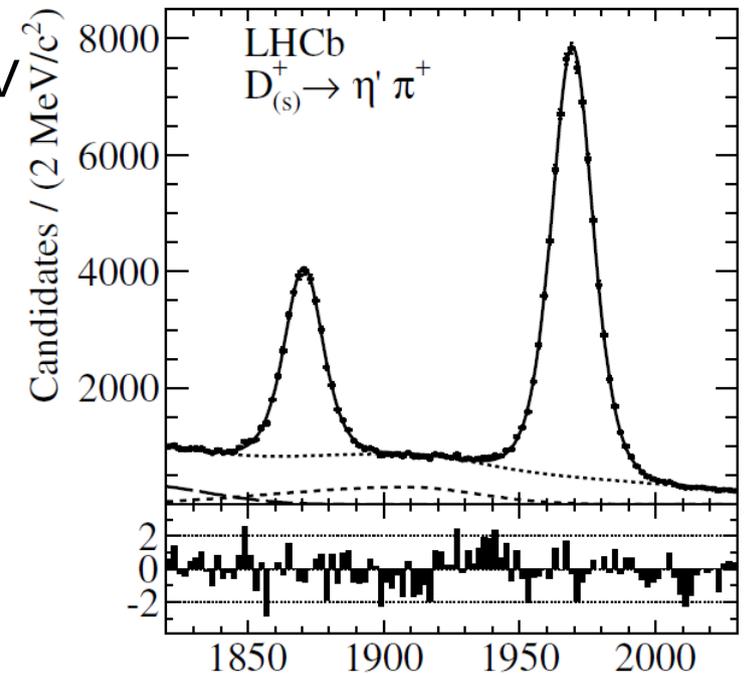
$$\mathcal{A}_{CP}(D \rightarrow \eta' \pi^+) = (-0.61 \pm 0.72 \pm 0.53 \pm 0.12)\%$$

$$\mathcal{A}_{CP}(D_s \rightarrow \eta' \pi^+) = (-0.82 \pm 0.36 \pm 0.22 \pm 0.27)\%$$

→ **The most precise measurement**

→ **Consistent with CP symmetry invariance**

W. Krzemień, PANIC 2017



A_Γ measurements with $D^0 \rightarrow h^+h^-$

Measurement of indirect asymmetry of effective lifetimes $A_\Gamma \simeq -A_{CP}^{indir}$

Assuming mixing parameters x, y are small time-dependent asymmetry to CP eigenstates:

$$A(t) \equiv \frac{\Gamma(D^0(t) \rightarrow f) - \Gamma(\bar{D}^0(t) \rightarrow f)}{\Gamma(D^0(t) \rightarrow f) + \Gamma(\bar{D}^0(t) \rightarrow f)} \simeq A_{CP}^{dir} - A_\Gamma \frac{t}{\tau_D}$$

average D^0
lifetime

$$f = \pi^+\pi^- \text{ or } K^+K^-$$

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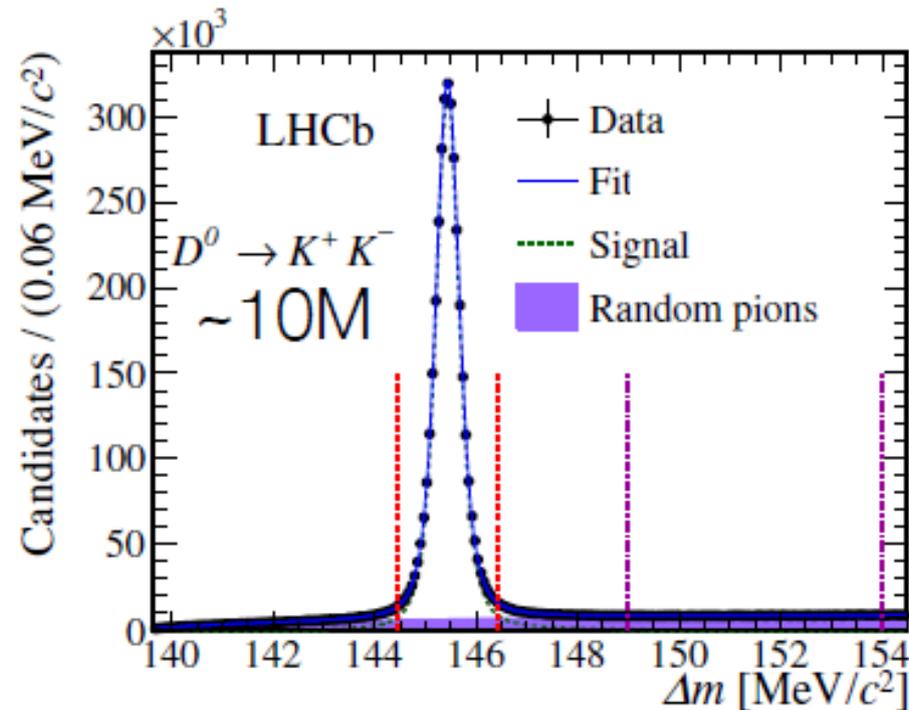
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If no CPV asymmetry in mixing:

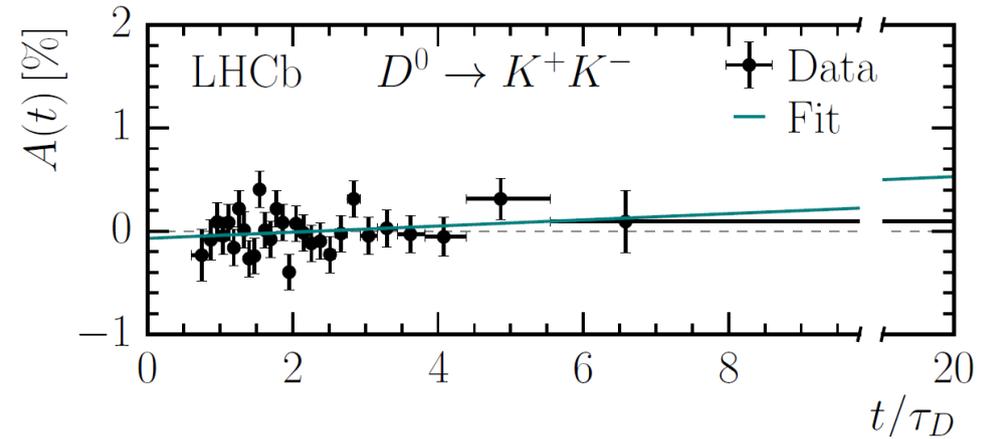
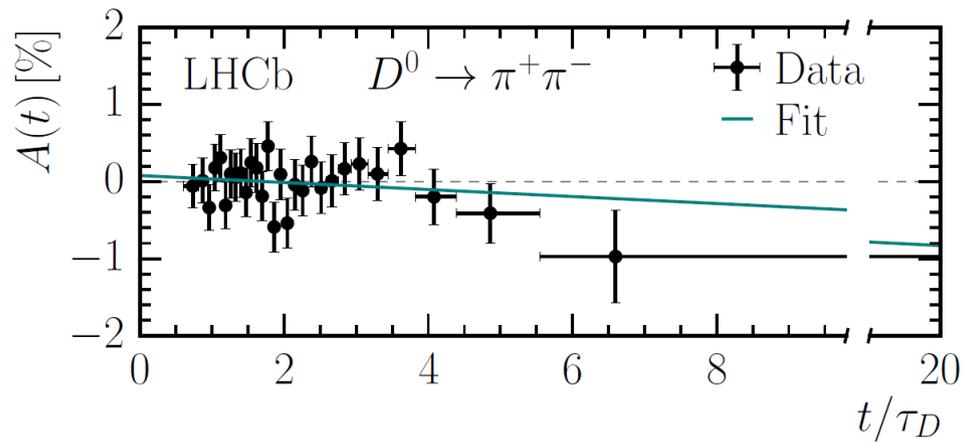
$$A_\Gamma = -x \sin \phi \rightarrow |A_\Gamma| < |x| \lesssim 5 \times 10^{-3} \quad \phi = \arg((q\bar{A}_f)/(pA_f))$$

A_{Γ} measurements with $D^0 \rightarrow h^+ h^-$



- Run I data sample 2011 and 2012 (3 fb^{-1} pp @7 TeV and @8 TeV)
- Prompt D^0
- Initial flavour based on the “soft” pion charge: $D^{*+} \rightarrow D^0 \pi^+$
- High statistics control sample $D^0 \rightarrow K^- \pi^+$
- Two independent analyses (different approaches)

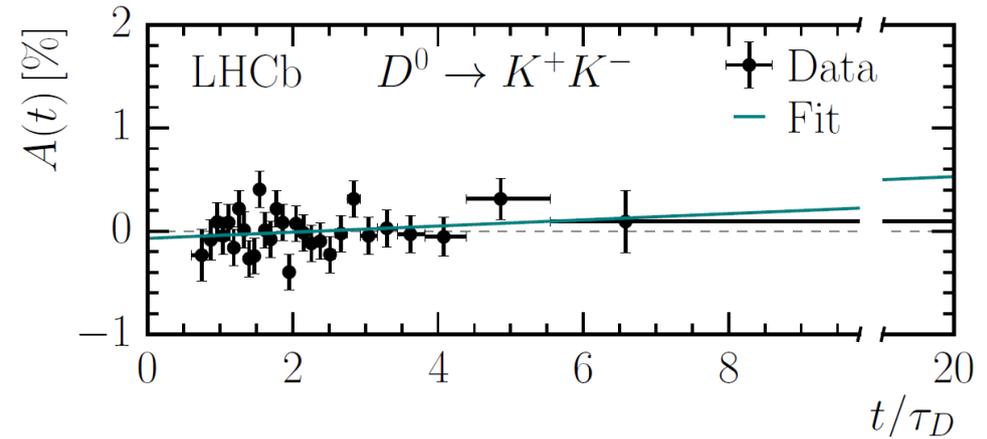
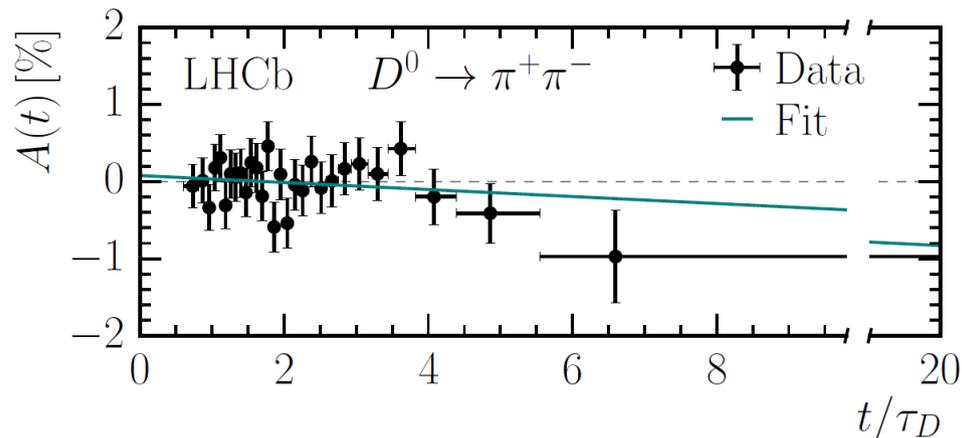
A_Γ measurements with $D^0 \rightarrow h^+h^-$



$$A_\Gamma(K^+K^-) = (-0.30 \pm 0.32 \pm 0.10) \times 10^{-3}$$

$$A_\Gamma(\pi^+\pi^-) = (+0.46 \pm 0.58 \pm 0.12) \times 10^{-3}$$

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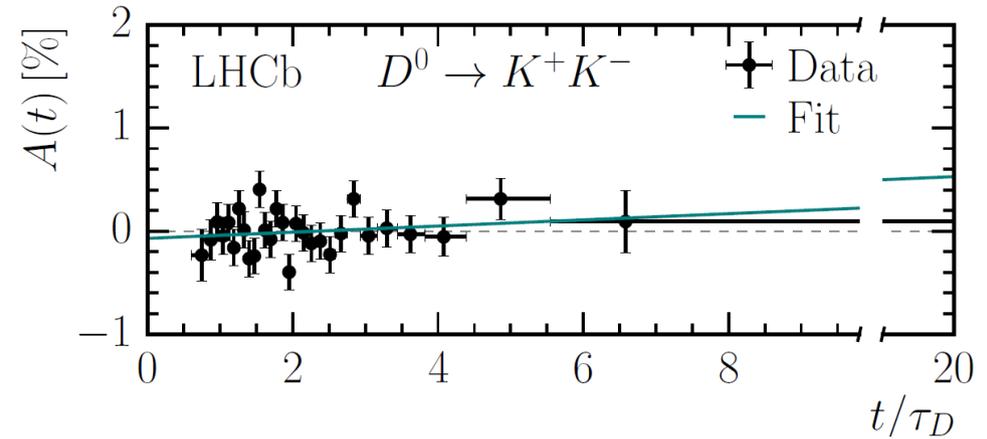
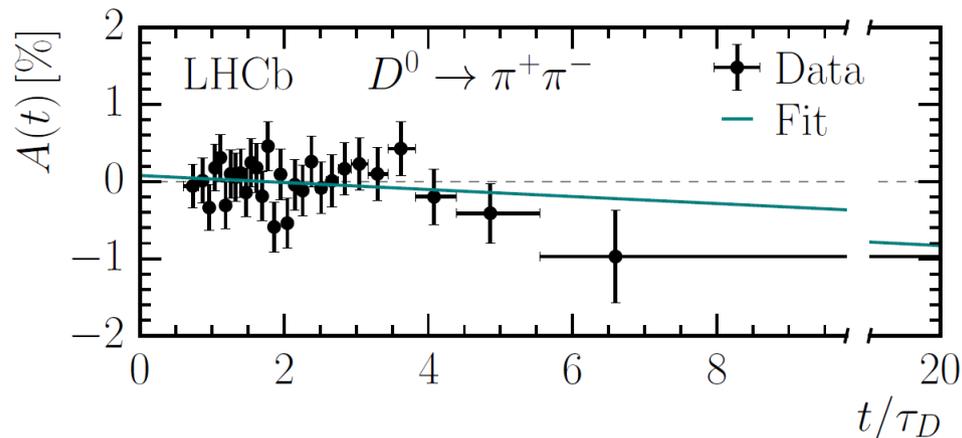
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Assuming no direct CPV and combining two channels:

$$A_\Gamma = (-0.13 \pm 0.28 \pm 0.10) \times 10^{-3}$$

$$\Delta A_\Gamma = (-0.76 \pm 0.66 \pm 0.04) \times 10^{-3}$$

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Combining with muon-tagged statistically

independent sample $B \rightarrow D^0\mu^- X$ (JHEP 04 (2015) 043)

$$A_\Gamma = (-0.29 \pm 0.28) \times 10^{-3}$$

Consistent with CP symmetry conservation. The most precise result to date

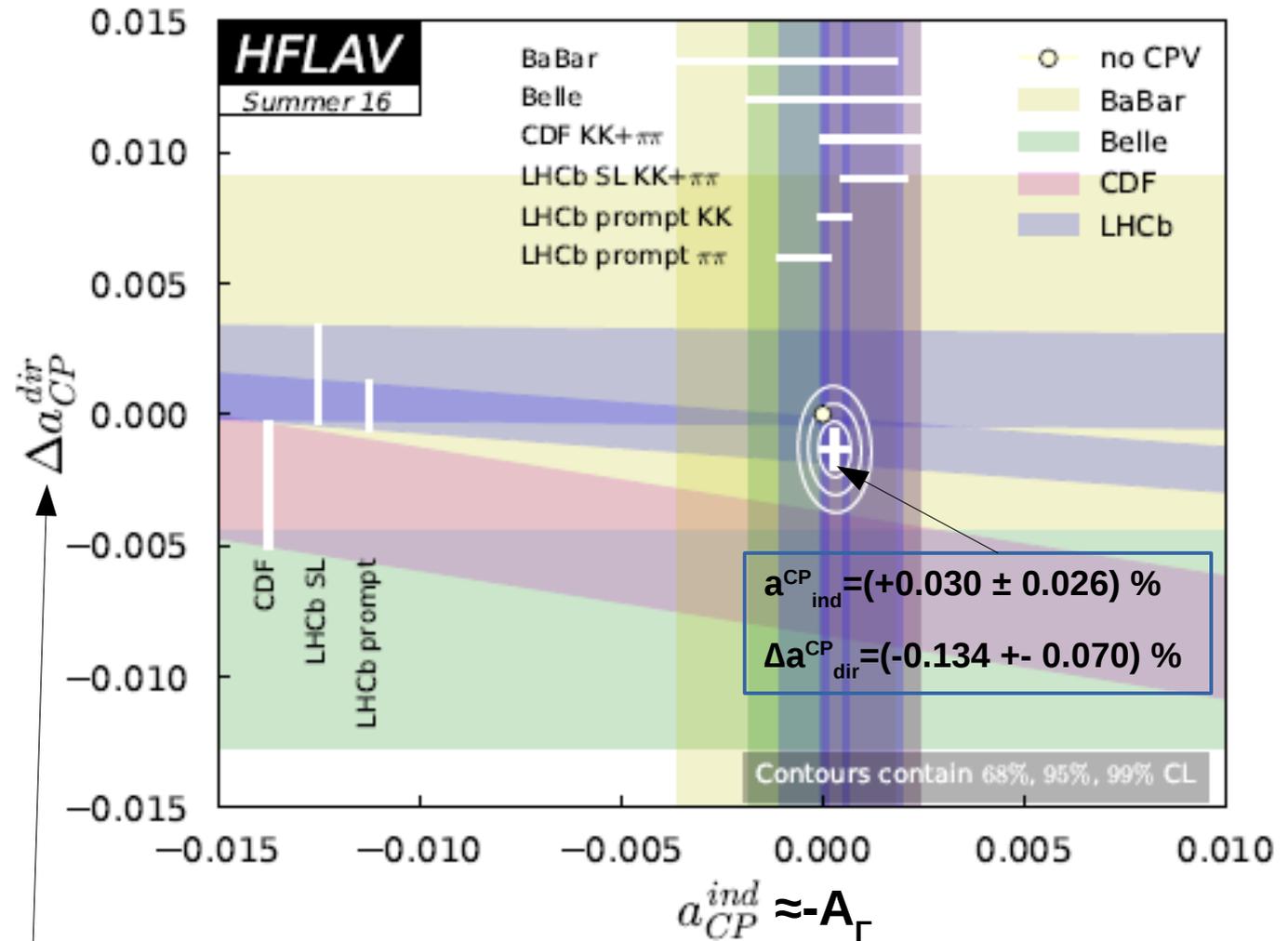
A_{Γ} measurements with $D^0 \rightarrow h^+h^-$

Earlier LHCb results:

ΔA_{CP} PRL116(2016)191601
JHEP07(2014)041

y_{CP} JHEP04(2012)129

A_{Γ} PRL112(2014)041801
JHEP04(2015)043



$$\Delta A_{CP} = \Delta a_{CP}^{dir} \left(1 + \frac{\langle t \rangle}{\tau} y_{CP}\right) + \frac{\Delta \langle t \rangle}{\tau} a_{CP}^{ind}$$

Summary and Outlook

- Mixing and CP violation studies as precise tests of SM and probes of New Physics effects,
- LHCb provided many results confirming SM predictions based on Run I 2011/2012 data (3 fb^{-1}),
- Charm mixing confirmed, no CP violation discovered so far,
- Results mostly limited by statistics,

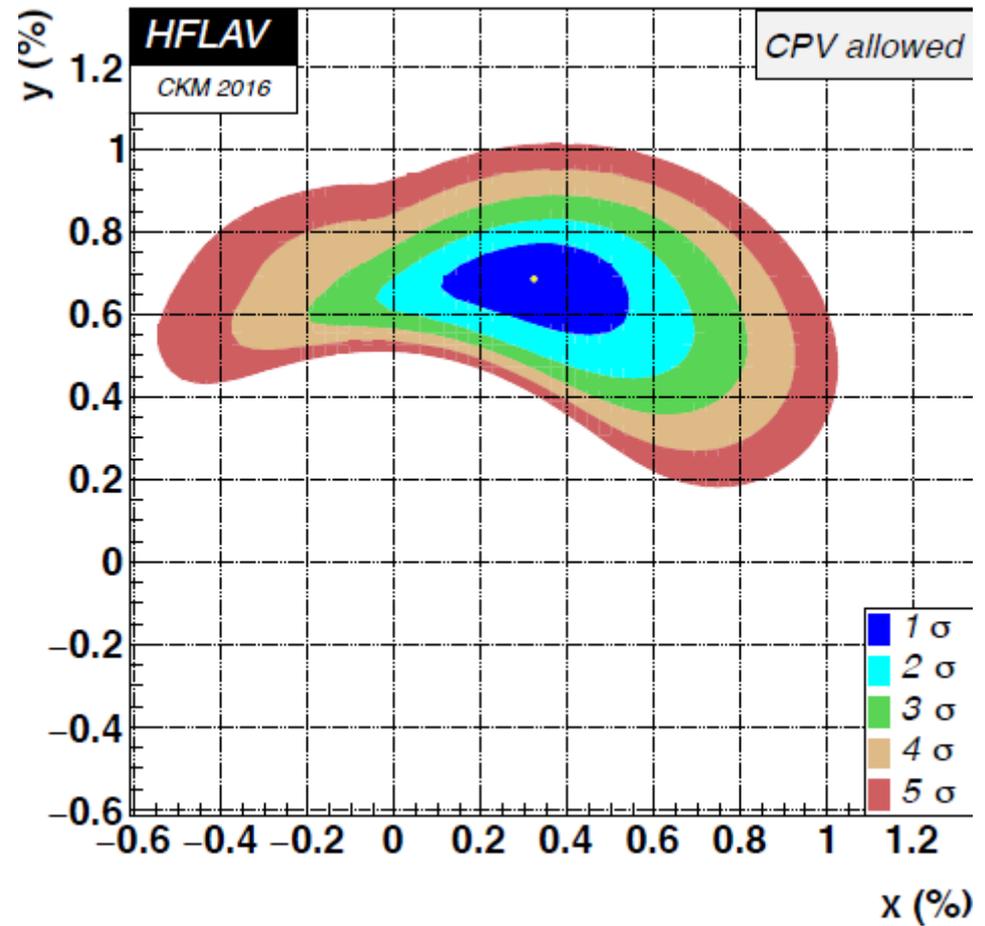
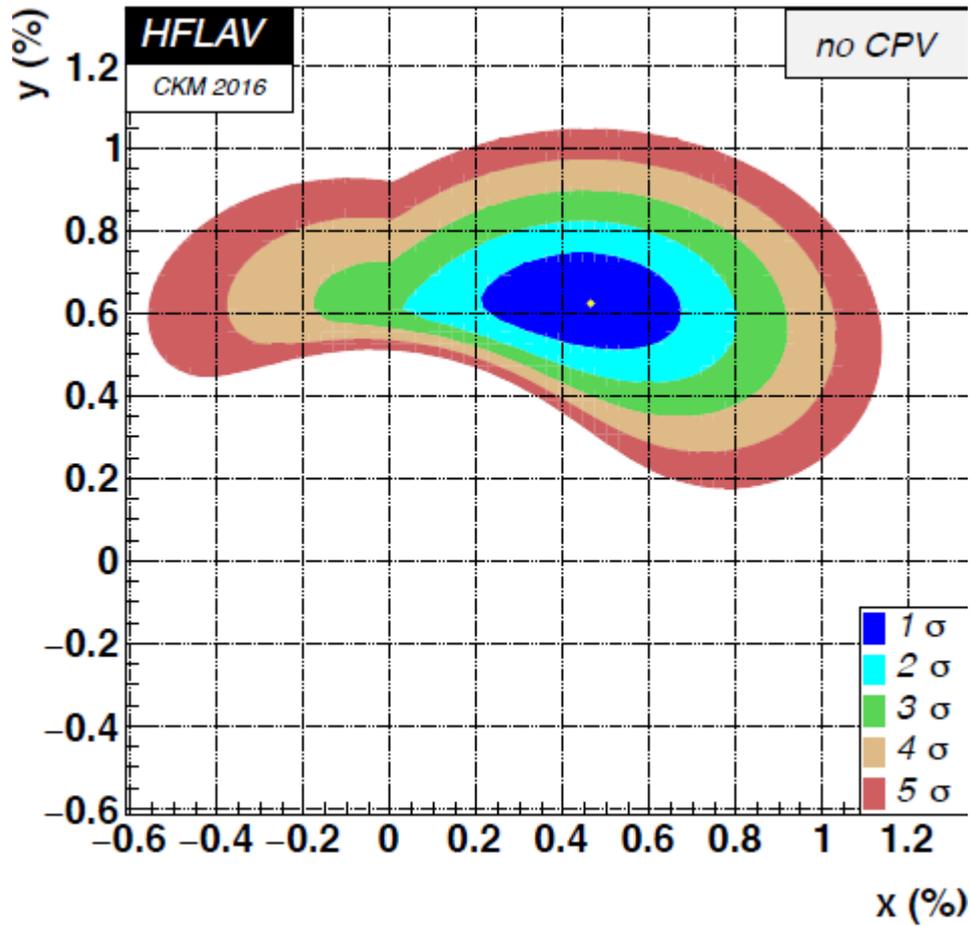
- Run II in progress

	LHC era			HL-LHC era	
	Run 1 (2010-12)	Run 2 (2015-18)	Run 3 (2021-24)	Run 4 (2027-30)	Run 5+ (2031+)
ATLAS, CMS	25 fb^{-1}	100 fb^{-1}	300 fb^{-1}	→	3000 fb^{-1}
LHCb	3 fb^{-1}	8 fb^{-1}	25 fb^{-1}	50 fb^{-1}	* 300 fb^{-1}

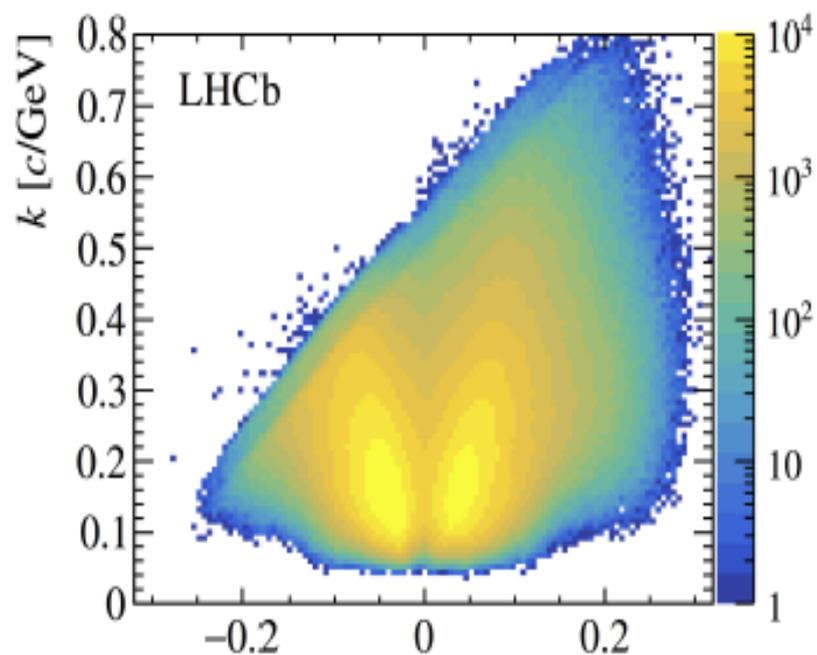
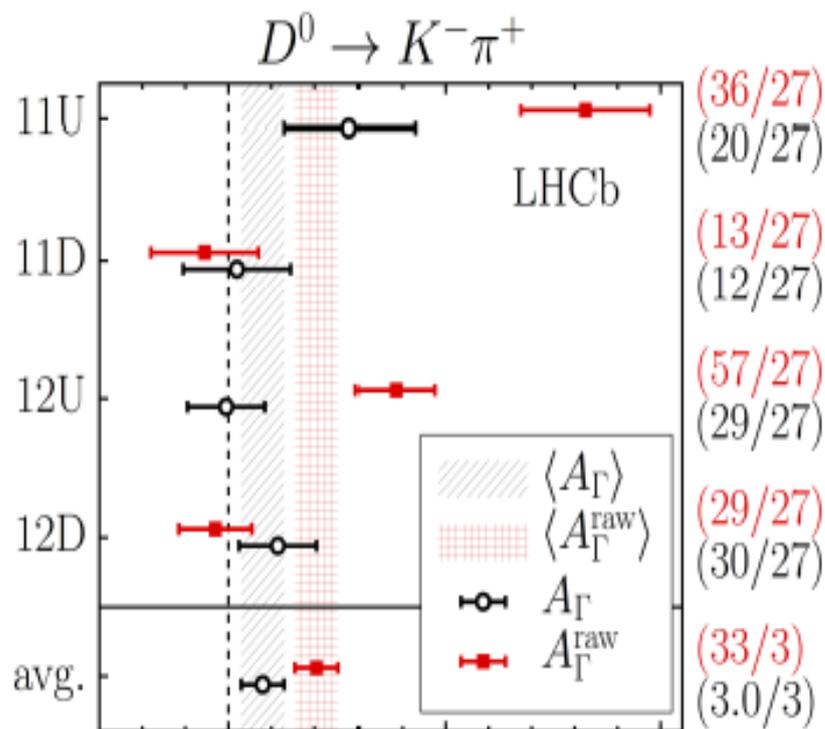
* assumes a future LHCb upgrade to raise the instantaneous luminosity to $2 \times 10^{34} \text{ cm}^{-2}$

Thank you for your attention

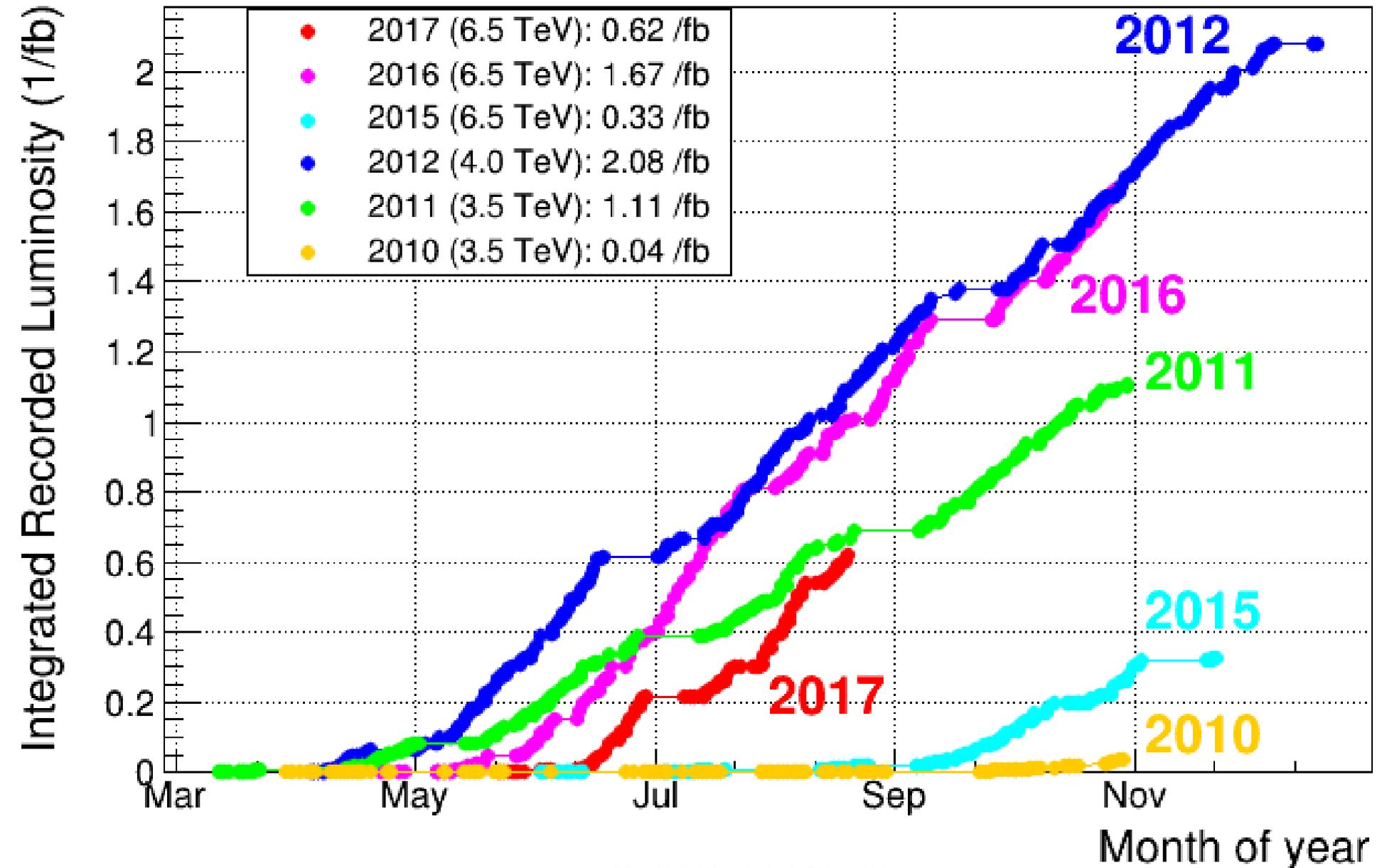
Mixing and CPV in charm



- The high-statistics control sample of $D^0 K \rightarrow \pi$ (assumption: CPV effect below the sensitivity)
- D^0 reconstruction asymmetries corrected using $D^0 - \bar{D}^0$ yields in equally populated times bin.
- main source of systematic errors: peaking background from D^0 coming from B decays.
- soft-pion detection asymmetries corrected by reweighting using 3-D distributions



LHCb Integrated Recorded Luminosity in pp, 2010-2017



Asymmetries relations

Observables:

$$A_{\Gamma} \equiv \frac{\tau(\overline{D}^0 \rightarrow h^+ h^-) - \tau(D^0 \rightarrow h^+ h^-)}{\tau(\overline{D}^0 \rightarrow h^+ h^-) + \tau(D^0 \rightarrow h^+ h^-)}$$

$$\Delta A_{CP} \equiv A_{CP}(K^+ K^-) - A_{CP}(\pi^+ \pi^-)$$

Theoretical params:

$$a_{CP}^{\text{dir}} \equiv \frac{|\mathcal{A}_{D^0 \rightarrow f}|^2 - |\mathcal{A}_{\overline{D}^0 \rightarrow f}|^2}{|\mathcal{A}_{D^0 \rightarrow f}|^2 + |\mathcal{A}_{\overline{D}^0 \rightarrow f}|^2}$$

$$a_{CP}^{\text{ind}} \equiv \frac{1}{2} \left[\left(\left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right) x \sin \phi - \left(\left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) y \cos \phi \right]$$

Relations:

$$A_{\Gamma} = -a_{CP}^{\text{ind}} - a_{CP}^{\text{dir}} y_{CP}$$

$$\Delta A_{CP} = \Delta a_{CP}^{\text{dir}} \left(1 + y_{CP} \frac{\langle \overline{t} \rangle}{\tau} \right) + a_{CP}^{\text{ind}} \frac{\Delta \langle t \rangle}{\tau} + \overline{a_{CP}^{\text{dir}}} y_{CP} \frac{\Delta \langle t \rangle}{\tau}$$

$$\approx \Delta a_{CP}^{\text{dir}} \left(1 + y_{CP} \frac{\langle \overline{t} \rangle}{\tau} \right) + a_{CP}^{\text{ind}} \frac{\Delta \langle t \rangle}{\tau}$$

Search for direct CPV with $D_{(s)}^+ \rightarrow \eta' \pi^+$ decay

$$\mathcal{A}_{\text{raw}}(D_{(s)}^\pm \rightarrow f^\pm) = \frac{N(D_{(s)}^+ \rightarrow f^+) - N(D_{(s)}^- \rightarrow f^-)}{N(D_{(s)}^+ \rightarrow f^+) + N(D_{(s)}^- \rightarrow f^-)}$$

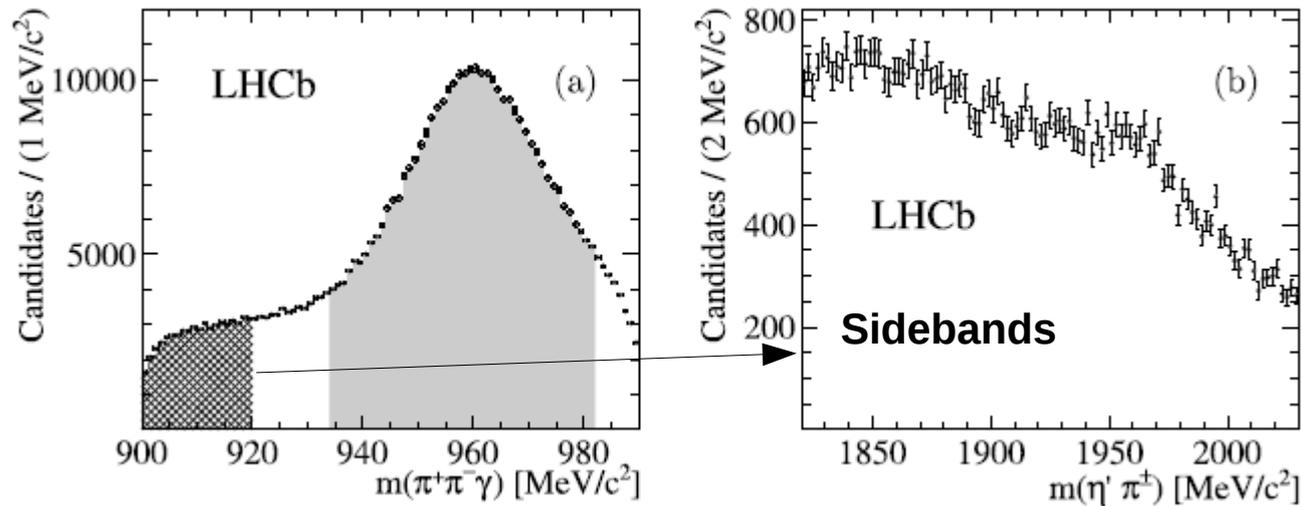
$$\mathcal{A}_{\text{raw}} \approx \mathcal{A}_{CP} + \mathcal{A}_P + \mathcal{A}_D$$

$$\begin{aligned} \Delta \mathcal{A}_{CP}(D^\pm \rightarrow \eta' \pi^\pm) &\equiv \mathcal{A}_{CP}(D^\pm \rightarrow \eta' \pi^\pm) - \mathcal{A}_{CP}(D^\pm \rightarrow K_S^0 \pi^\pm) \\ &= \mathcal{A}_{\text{raw}}(D^\pm \rightarrow \eta' \pi^\pm) - \mathcal{A}_{\text{raw}}(D^\pm \rightarrow K_S^0 \pi^\pm) \\ &\quad + \mathcal{A}(\bar{K}^0 - K^0), \end{aligned}$$

$$\begin{aligned} \Delta \mathcal{A}_{CP}(D_s^\pm \rightarrow \eta' \pi^\pm) &\equiv \mathcal{A}_{CP}(D_s^\pm \rightarrow \eta' \pi^\pm) - \mathcal{A}_{CP}(D_s^\pm \rightarrow \phi \pi^\pm) \\ &= \mathcal{A}_{\text{raw}}(D_s^\pm \rightarrow \eta' \pi^\pm) - \mathcal{A}_{\text{raw}}(D_s^\pm \rightarrow \phi \pi^\pm). \end{aligned}$$

- Estimated by simulation, taking into account mixing, regeneration and CP violation $\sim (-0.08 \pm 0.01)\%$

Search for direct CPV with $D_{(s)}^+ \rightarrow \eta' \pi^+$ decay



Main peaking background: $D_{(s)}^\pm \rightarrow \phi_3 \pi \pi^\pm$

Table 1

Systematic uncertainties (absolute values in %) on $\Delta\mathcal{A}_{CP}$. The total systematic uncertainty is the sum in quadrature of the individual contributions.

Source	$\delta[\Delta\mathcal{A}_{CP}(D^\pm)]$	$\delta[\Delta\mathcal{A}_{CP}(D_s^\pm)]$
Non-prompt charm	0.03	0.03
Trigger	0.09	0.09
Background model	0.50	0.19
Fit procedure	0.08	0.04
Sideband subtraction	0.03	0.02
K^0 asymmetry	0.08	—
π^\pm detection asymmetry	0.06	0.01
$D_{(s)}^\pm$ production asymmetry	0.07	0.02
Total	0.53	0.22

Search for direct CPV with $D^+_{(s)} \rightarrow \eta' \pi^+$ decay

- 12 exclusive subsamples for each final state:
 - Collision energies
 - Magnet polarity
 - 3 Trigger selections

- In each subsample: 3x3 kinematic bins base on p_T and eta of bachelor pion

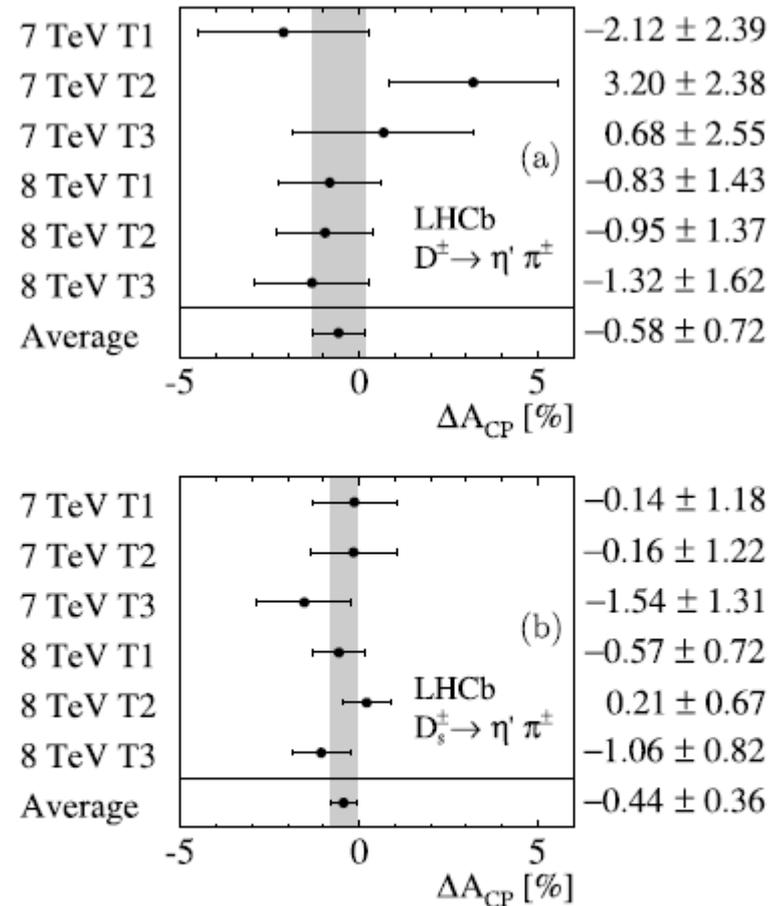
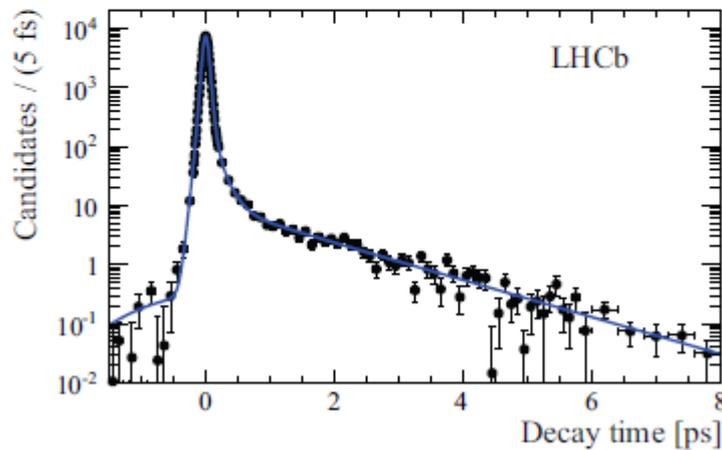


Fig. 4. ΔA_{CP} results for (a) $D^\pm \rightarrow \eta' \pi^\pm$ and (b) $D_s^\pm \rightarrow \eta' \pi^\pm$ decays, as a function of pp centre-of-mass energy and trigger selection. Uncertainties are statistical only. A shaded band representing the 68.3% confidence intervals obtained from the weighted average over all the samples is shown to guide the eye.

Decay time resolution

- B_s^0 have fast oscillations: period $2\pi/\Delta m_s \approx 350$ fs
- Decay time resolution σ_t will dilute the measured oscillation amplitude
- The dilution factor: $D(\sigma_t) = e^{-\frac{(\sigma_t \Delta m_s)^2}{2}}$



[Phys. Rev. D 87, 112010]

- Resolution measured from data
- Combinations of $\mu^+\mu^-K^+K^-$ events
- Same selection as for B_s^0 apart for decay time cuts
- Mostly prompt events with true decay time of zero
- Effective decay time resolution $\sigma_t = 45$ fs

$$D(\sigma_t = 45 \text{ fs}) \approx 0.73$$

LHCb parameters

- LHC beam energy in pp collisions (\sqrt{s}): 7 and 8 TeV (2010-2012), 13 to 14 TeV (ongoing Run II)
- Collected integrated luminosity: 1 fb^{-1} (2011), 2 fb^{-1} (2012)
- Acceptance: $2 < \eta < 5$
- data taking efficiency $\approx 90\%$
- trigger efficiency: 90% for dimuon channels, 30% for multi-body hadronic final states
- track reco. efficiency: $\approx 96\%$ for long tracks
- Momentum resolution: $\frac{\Delta p}{p} = 0.5\%$ for low momentum till 1% at $200 \text{ GeV}/c$
- ECAL resolution: $1\% + 10\% \sqrt{E[\text{GeV}]}$
- impact parameter resolution: $20 \mu\text{m}$ for high-pT tracks
- invariant mass resolution: $8 \text{ MeV}/c^2$ for B to J/Psi decays, $22 \text{ MeV}/c^2$ for two-body B decays, $100 \text{ MeV}/c^2$ for B to phi photon
- decay time resolution: 45 fs for B_s to J/Psi and B_s to D_s pi
- electron ID efficiency: 90% (5% miss probability)
- kaon ID efficiency: 95% (5% miss probability)
- muon ID efficiency: 97% ($1-3\%$ miss probability)

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