

# Some approaches to tackle ill-posed inversion problem

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# Outline

- Introduction & Motivation
- Methods
- Mock data tests
- Real lattice results



# Difficulties in the inversion

Relation between correlators and spectral functions:

$$G(\tau, \vec{p}, T) = \int_0^\infty \frac{d\omega}{2\pi} \rho(\omega, \vec{p}, T) K(\tau, \omega, T)$$

Discretized  
 $\sim O(10)$

Continuous  
 $\sim O(1000)$

$$K(\tau, \omega, T) = \frac{\cosh(\omega(\tau - 1/2T))}{\sinh(\omega/2T)}$$

Spectral functions are crucial to understand in-medium hadron properties and transport properties of QGP:

$$G(\tau, \mathbf{p}) = \int d\mathbf{x} e^{-i\mathbf{p}\cdot\mathbf{x}} \langle J_H(0,0) J_H^+(\tau, \mathbf{x}) \rangle$$

$$J_H(\tau, \vec{x}) = \bar{q}(\tau, \vec{x}) \Gamma_H q(\tau, \vec{x})$$

Heavy quark diffusion coefficient :

$$D = \frac{\pi}{6\chi_{00}} \lim_{\omega \rightarrow 0} \frac{\rho_{ii}(\omega, \vec{p}=0, T)}{\omega}$$

$$J_H(\tau, \vec{x}) = T^{\mu\nu}(\tau, \vec{x})$$

Shear viscosity:

$$\eta = \pi \lim_{\omega \rightarrow 0} \lim_{\mathbf{p} \rightarrow 0} \frac{\rho^{12,12}(\omega, \mathbf{p}, T)}{\omega}$$



# Maximum Entropy Method(MEM)

- A method based on Bayesian theorem:

$$\langle \rho \rangle = \int d\alpha P[\alpha|\bar{G}] \int \mathcal{D}\rho P[\rho|\bar{G}, \alpha] \rho$$

$$\approx \int d\alpha P[\alpha|\bar{G}] \hat{\rho}_\alpha \text{ (sharp-peak assumption)}$$

Goal: obtain the most probable solution

- $P[\alpha|\bar{G}] = \frac{P[\alpha]}{P[\bar{G}]} \int \mathcal{D}\rho P[\bar{G}|\rho, \alpha] \cdot P[\rho|\alpha]$ 
  - $P[\bar{G}|\rho, \alpha] \sim \exp(-\chi^2[\rho]/2)$ : likelihood function
  - $P[\rho|\alpha] \sim \exp(\alpha S[\rho])$ : prior probability

sharp-peak assumption

minimize  $F = \frac{\chi^2}{2} - \alpha S$

- Shanon-Jaynes entropy:

$$S[\rho] = \int d\omega \left[ \rho(\omega) - D(\omega) - \rho(\omega) \ln \frac{\rho(\omega)}{D(\omega)} \right]$$

- Default Model  $D(\omega)$ : parameter needed as input

[M. Jarrell, J. E. Gubernatis, Phy. Rep.269,133(1996)]

[M. Asakawa et al., Prog.Part.Nucl.Phys. 46(2001) 459-508]



# Stochastic Analytic Inference(SAI)

- A stochastic method based on Bayesian theorem :

$$\langle n \rangle = \int d\alpha \langle n \rangle_{\alpha} P[\alpha | \bar{G}]$$

- $n(x) = \frac{\rho(\omega)}{D(\omega)}, \quad x \equiv \phi(\omega) = \int_0^{\omega} D(v)dv$  [H. Ohno, PoS(LATTICE 2015)175]

Goal: find the distribution of  $P[\alpha | \bar{G}]$

- Field treatment of  $n(x)$  gives:

$$\langle n \rangle_{\alpha} = \int \mathcal{D}n n \mathbf{P}[n | \alpha, \bar{G}] = \int \mathcal{D}n n \frac{1}{Z(\alpha)} e^{-\chi^2/2\alpha}$$

- Posterior probability:  $P[n | \alpha, \bar{G}] = \frac{1}{P[\bar{G} | \alpha]} P[\bar{G} | \alpha, n] P[n | \alpha]$

$$\Rightarrow \begin{cases} P[\bar{G} | \alpha, n] = \frac{1}{Z'} e^{-\chi^2[n]/2\alpha}, \text{ likelihood function} \\ P[n | \alpha] = \Theta(n) \delta \left( \int_0^{x_{max}} dx n(x) - 1 \right), \text{ prior probability} \\ P[\bar{G} | \alpha] = Z/Z', \quad \text{normalization factor} \end{cases}$$

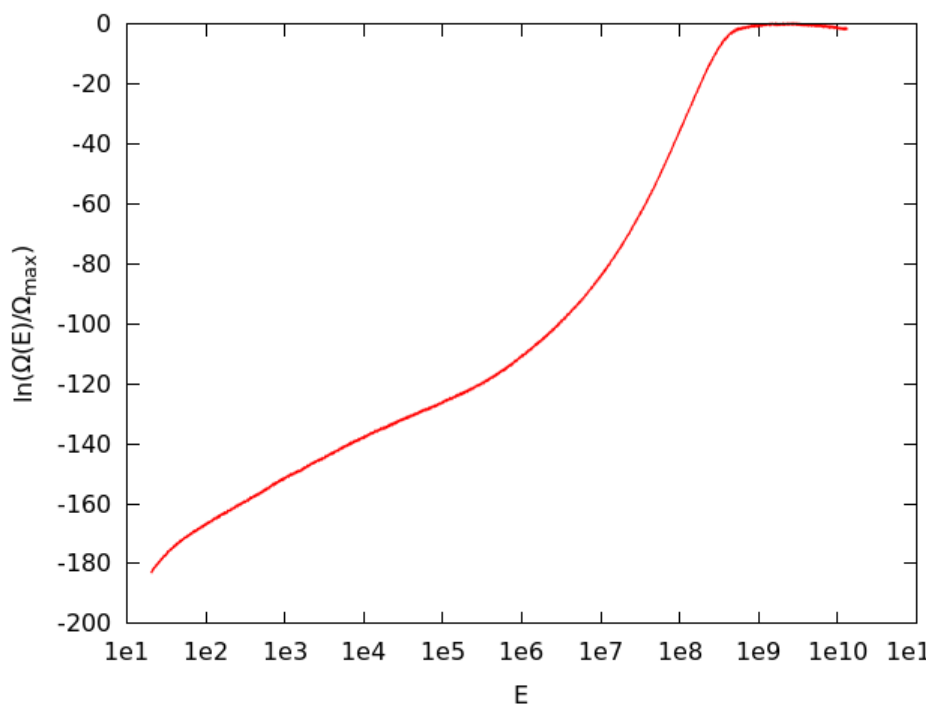
- $P[\alpha | \bar{G}] = \frac{P[\alpha]}{P[\bar{G}]} \int \mathcal{D}n P[\bar{G} | \alpha, n] P[n | \alpha] \sim P[\alpha] \alpha^{-\frac{N}{2}} Z(\alpha)$



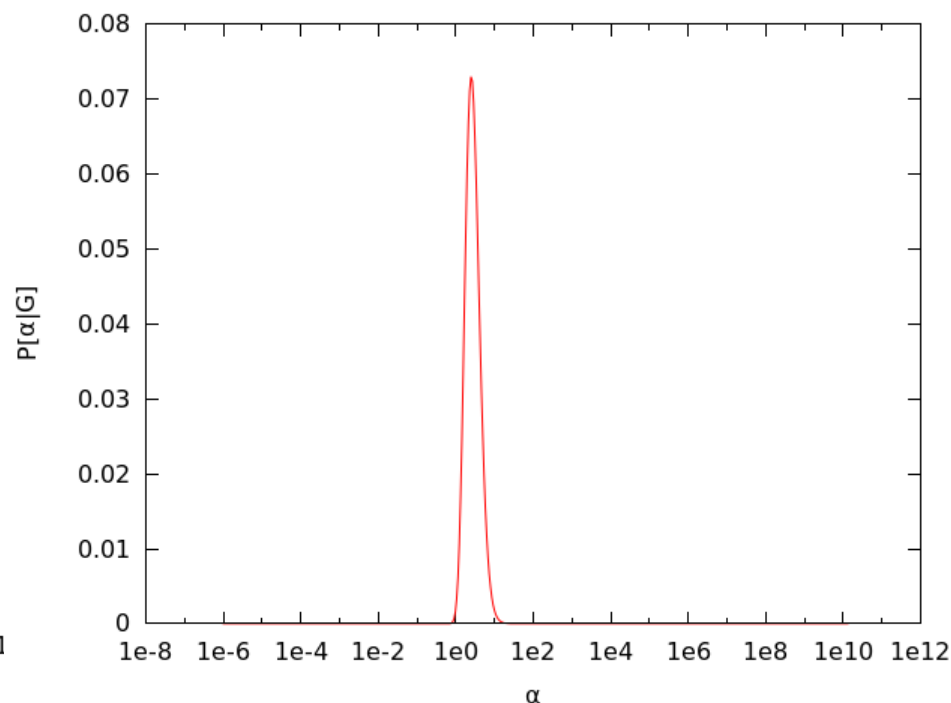
# Stochastic Analytic Inference

- Density of States(DoS):  $\Omega(E) = \int \mathcal{D}n \delta(\chi^2[n]/2 - E)$
- $P[\alpha|\bar{G}] = P[\alpha] \alpha^{-\frac{N}{2}} \int dE \Omega(E) e^{-E/\alpha}$

F.-G. Wang, D.P. Landau arXiv:cond-mat/0107006



Density of States.



$P[\alpha|\bar{G}]$ .

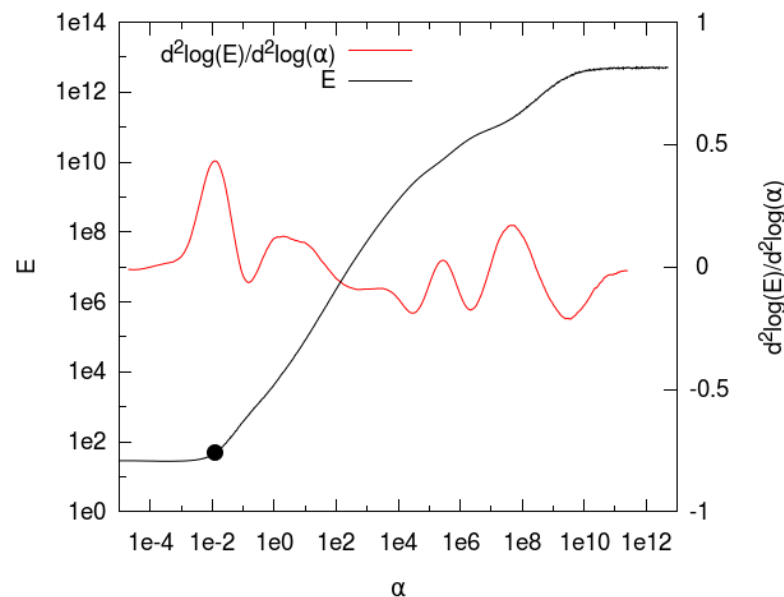


# Stochastic Optimization Method(SOM)

[H.-T. Shu, H.-T. Ding, O. Kaczmarek, S. Mukherjee, H. Ohno, PoS(LATTICE 2015)180 ]

- Based on Central Limit Theorem.
- **No prior information** is needed. All information comes from correlators:  
 $E = \chi^2[\rho]/2$  (Fictitious energy)
- Field treatment of  $\rho$ . Evolves with fictitious temperature  $\alpha$ .
- Possible solution obtained when **phase transition** occurs.

[K.S.D. Beach arXiv:cond-mat/0403055]



Phase transition occurs at the *kink(black spot)*.

## SAI to SOM

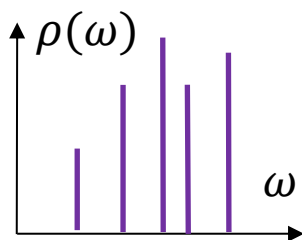
- ❑ SAI reduces to SOM when using **constant default model!**  
 $x = \omega, n(x) = \rho(\omega)$

# Basis of Stochastic approaches

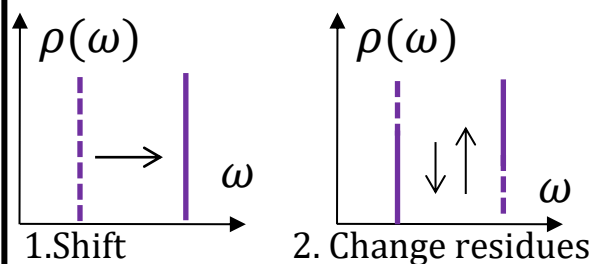
## SAI

- Ingredients:  $\delta$  functions

$$\rho(\omega) = \sum_t^K r_i \delta(\omega - a_i),$$



- Elementary updates



- Normalization

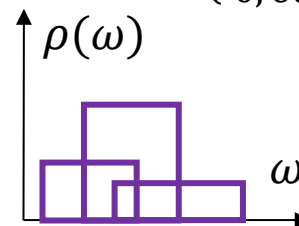
$$\sum r_i = \bar{G}(\tau_0)$$

## SOM

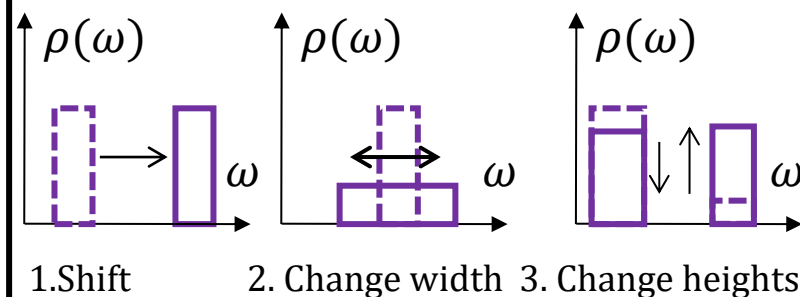
- Ingredients: boxes

$$\rho(\omega) = \sum_t^K \eta(P_t)(\omega),$$

$$\eta(P_t)(\omega) = \begin{cases} h_t, & \omega \in [c_t - w_t/2, c_t + w_t/2,] \\ 0, & \text{otherwise} \end{cases}$$



- Elementary updates



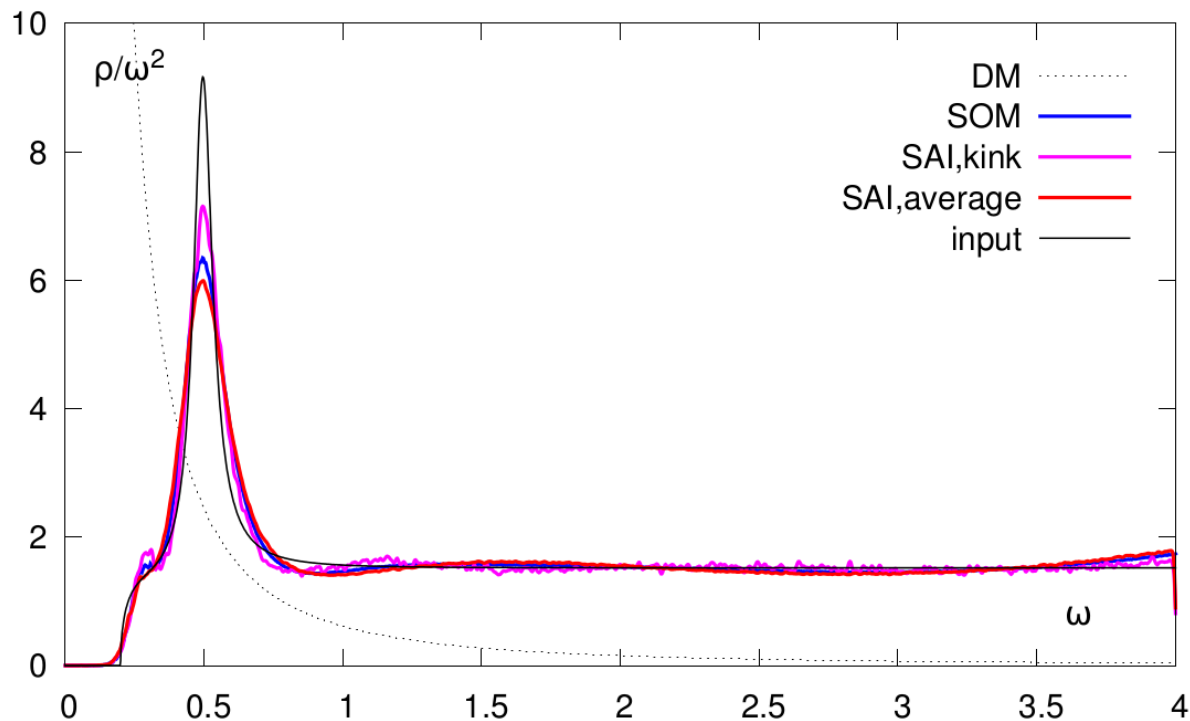
- Normalization

$$\sum h_i w_i = \bar{G}(\tau_0)$$





# Mock data test: Different spectral functions



$\rho(\omega) = \rho_{res} + \rho_{cont}$ ,  
corresponding to  $T < T_c$

Error in mock data:

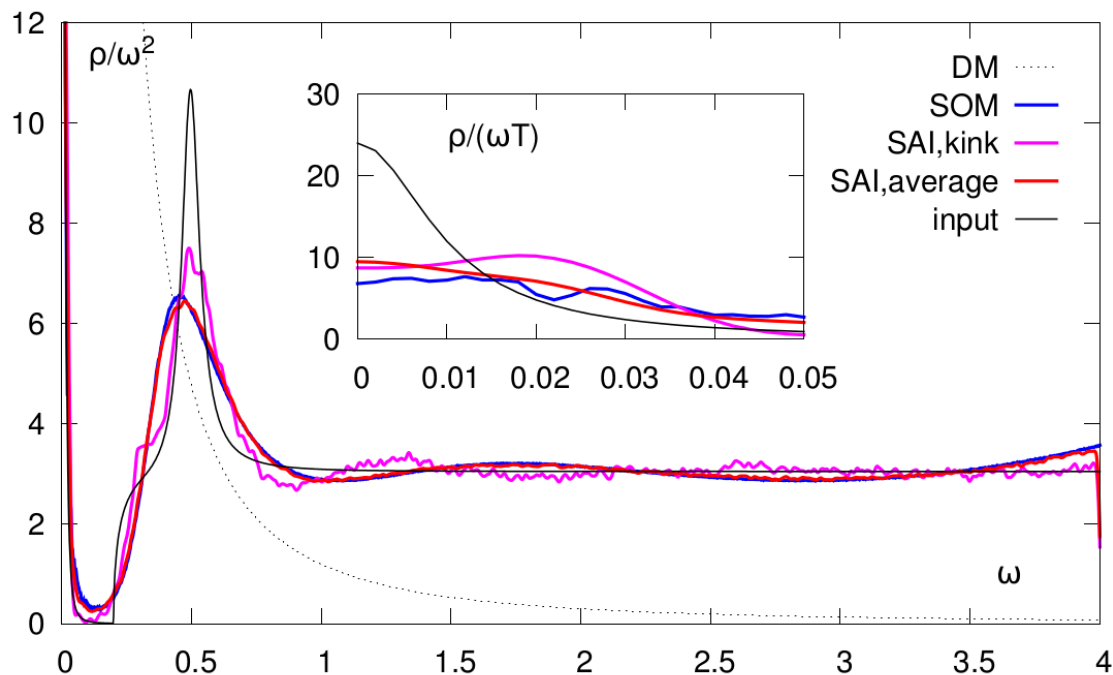
$$\sigma(\tau) = \epsilon G(\tau) \tau$$

$$N_\tau = 48, \epsilon = 10^{-4}$$

1. SOM gives similar results to SAI with constant DM.
2. SAI&SOM reconstruct the input well.



# Mock data test: Different spectral functions

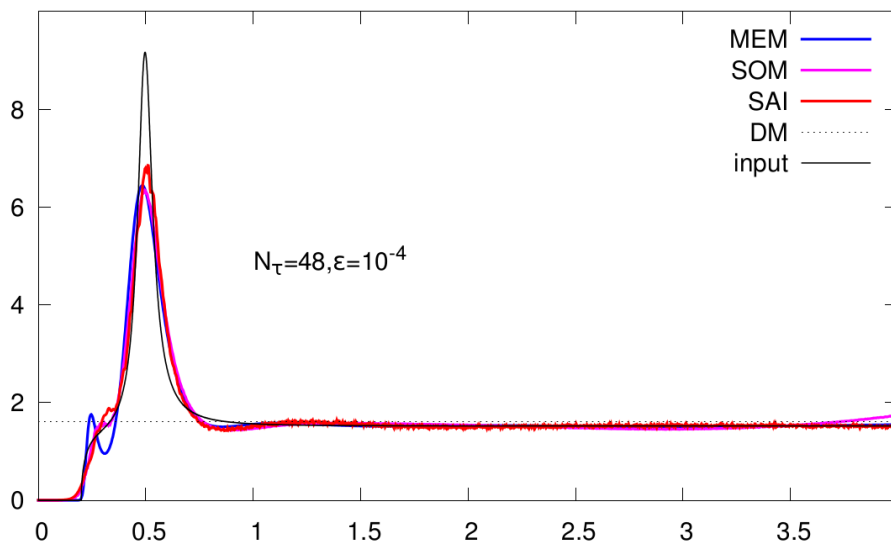
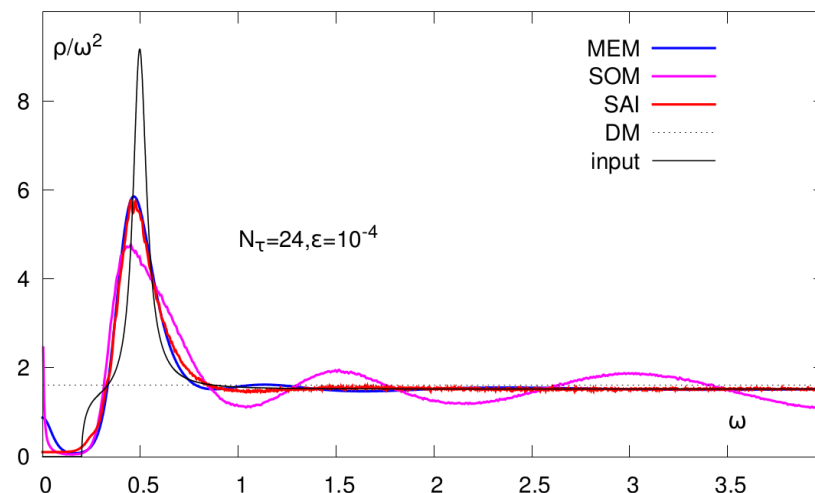
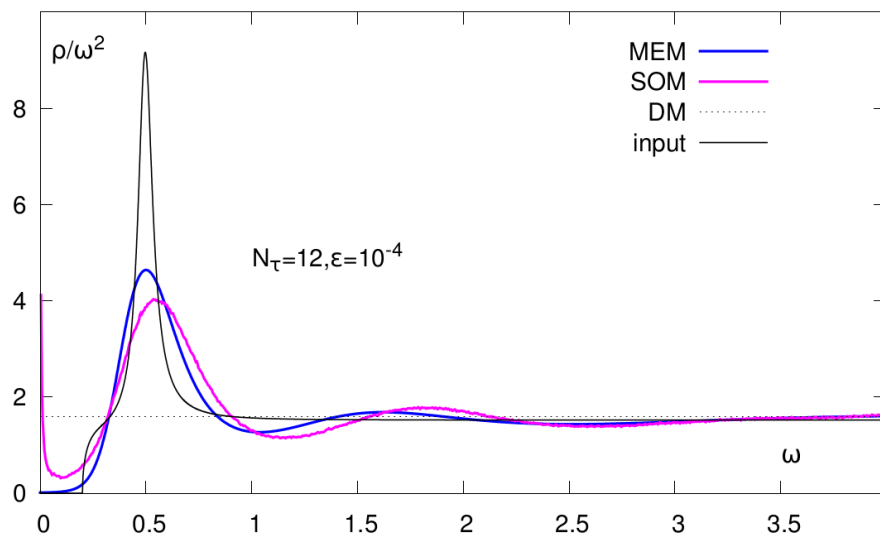


$$\rho(\omega) = \rho_{trans} + \rho_{res} + \rho_{cont},$$

corresponding to  $T > T_c$   
 $N_\tau = 48, \epsilon = 10^{-4}$

1. MEM&SAI with constant DM and SOM can not reconstruct the transport peak precisely.
2. MEM&SAI&SOM reconstruct the resonance peak, but the width and peak-location differ from the input.
3. SAI&SOM can reconstruct the continuum part well.

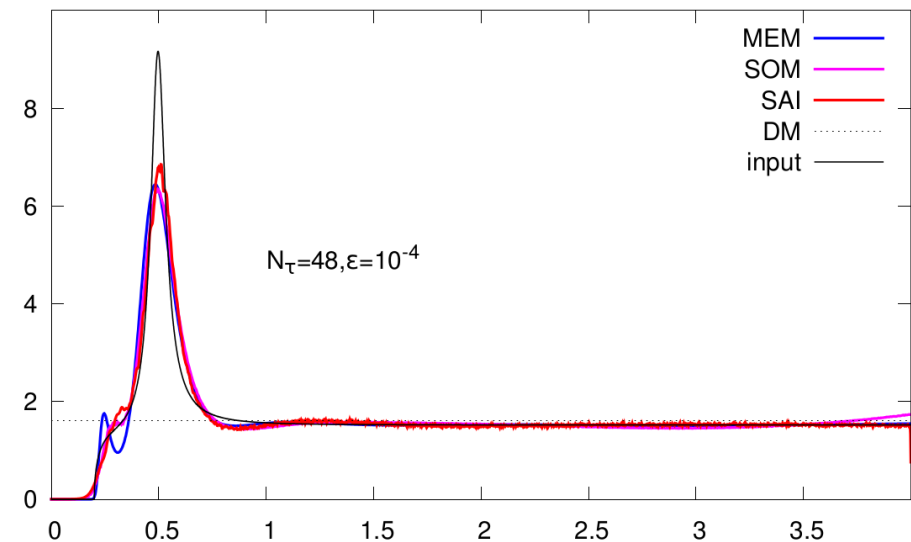
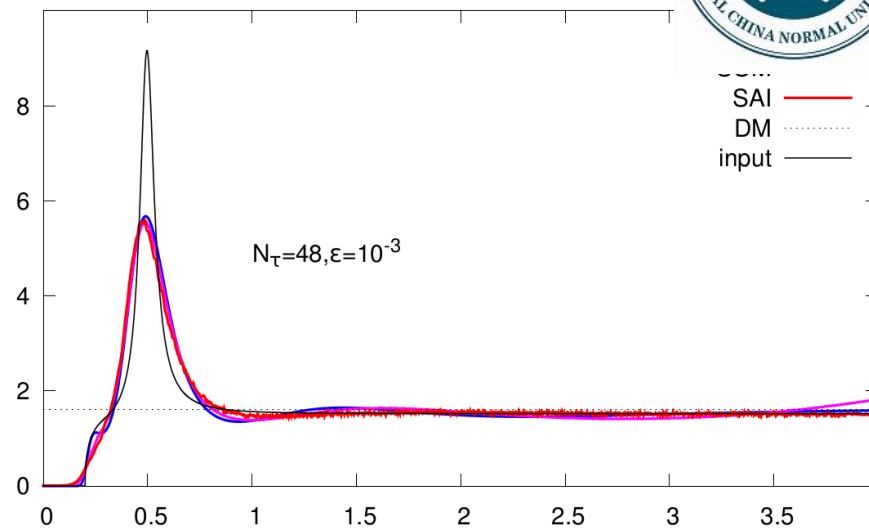
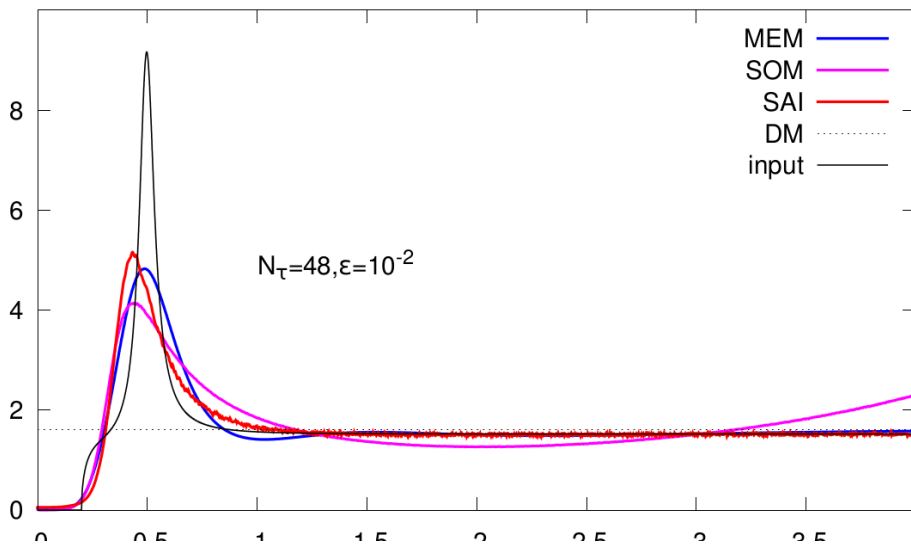
# Mock data test: Dependence on $N_\tau$



1. MEM reconstructs the resonance peak well even at small  $N_\tau$ .
2. SAI&SOM give fake transport peak at small  $N_\tau$ .
3. SAI&SOM reconstruct the continuum part well.
4. MEM gives fake resonance peaks.



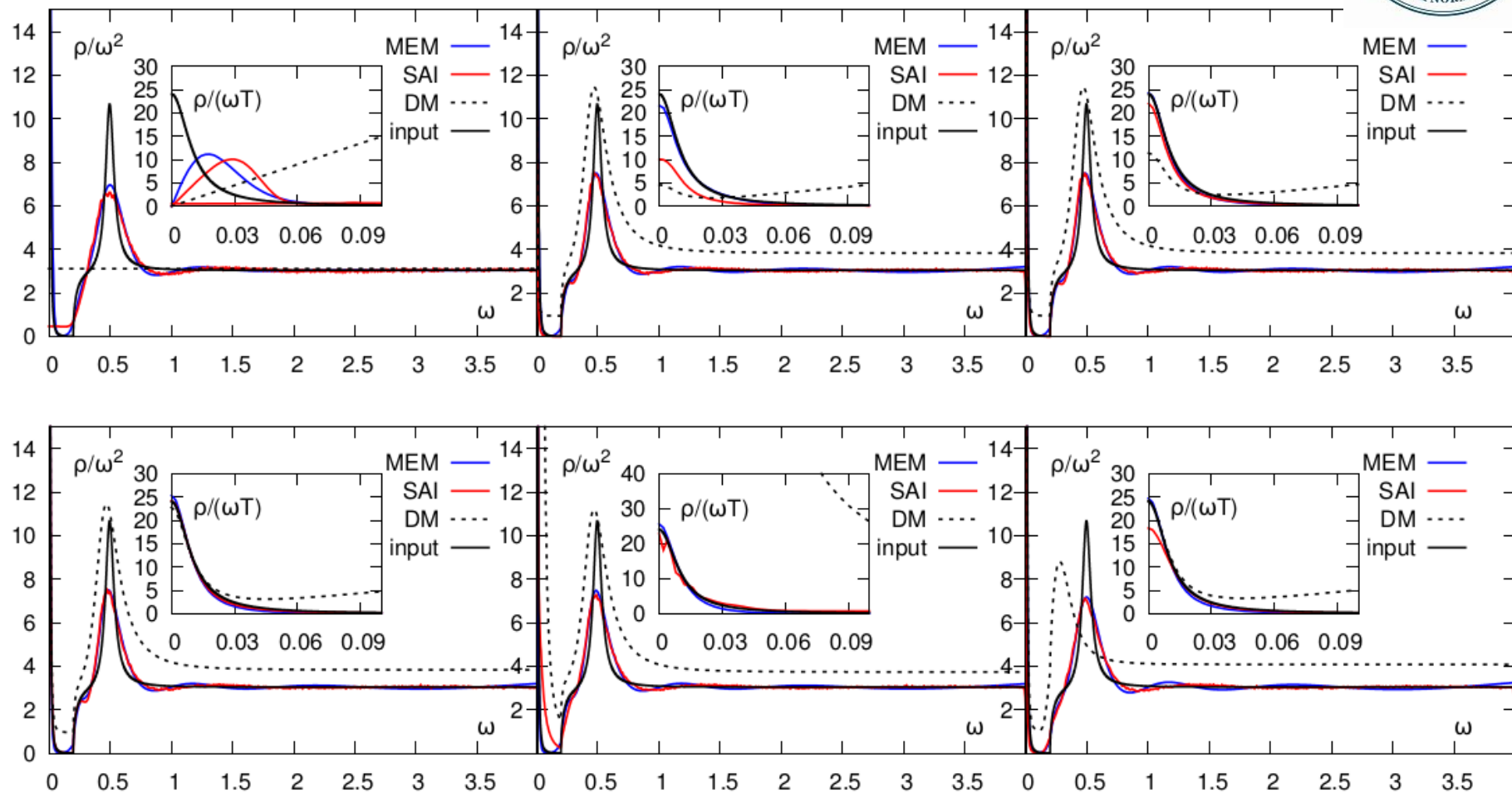
# Mock data test: Dependence on noise level



1. MEM gives bad output with noisy data.
2. SAI&SOM give a rough resonance peak with noisy data.
3. SAI&SOM reconstruct the continuum part well.
4. As noise becomes weak, the output approaches to the input for all methods.

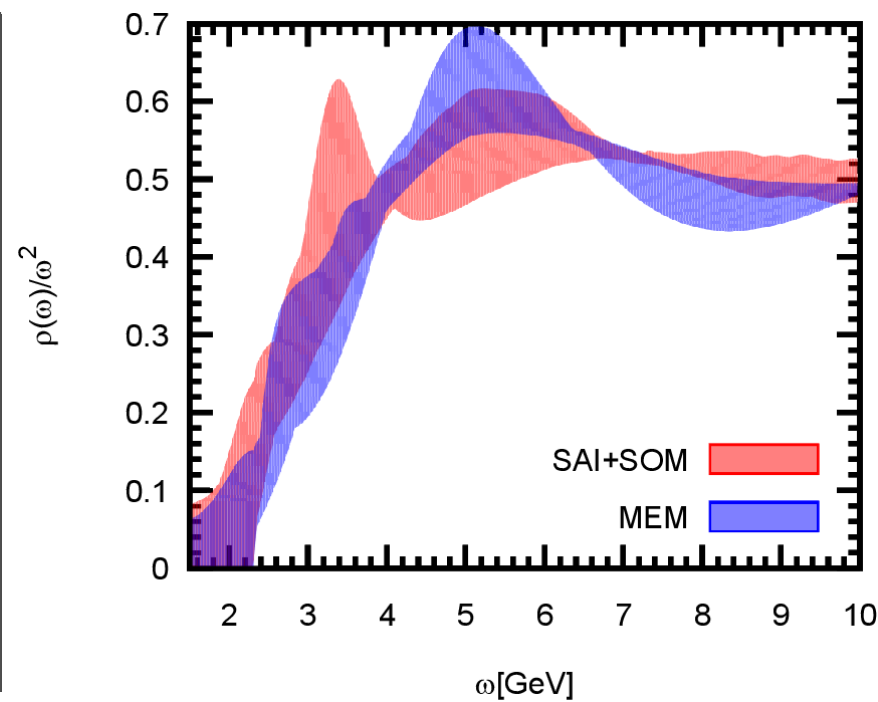
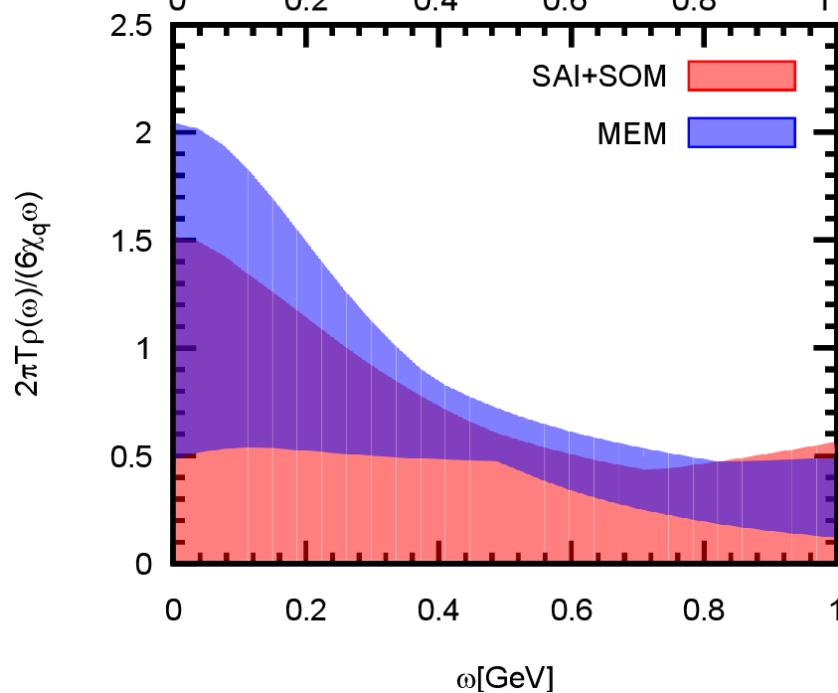
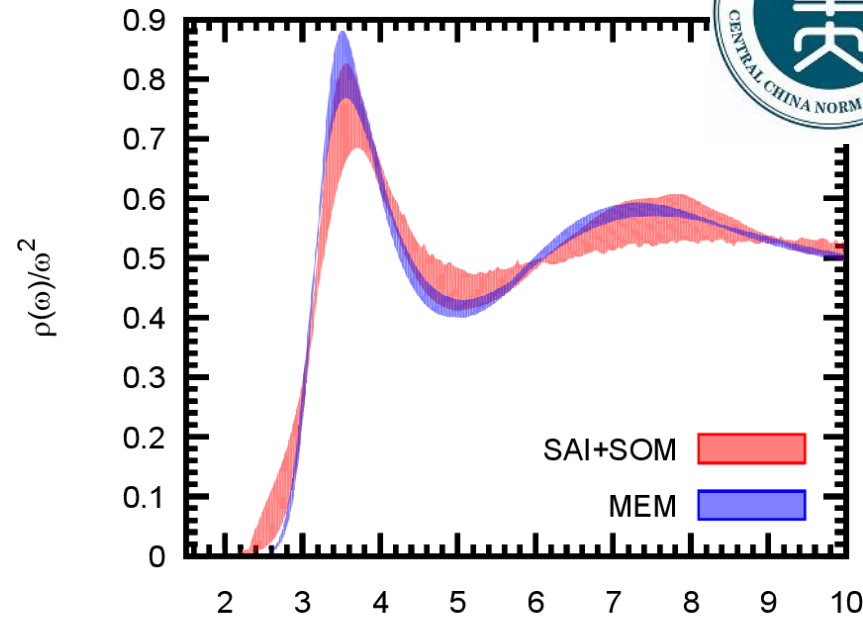
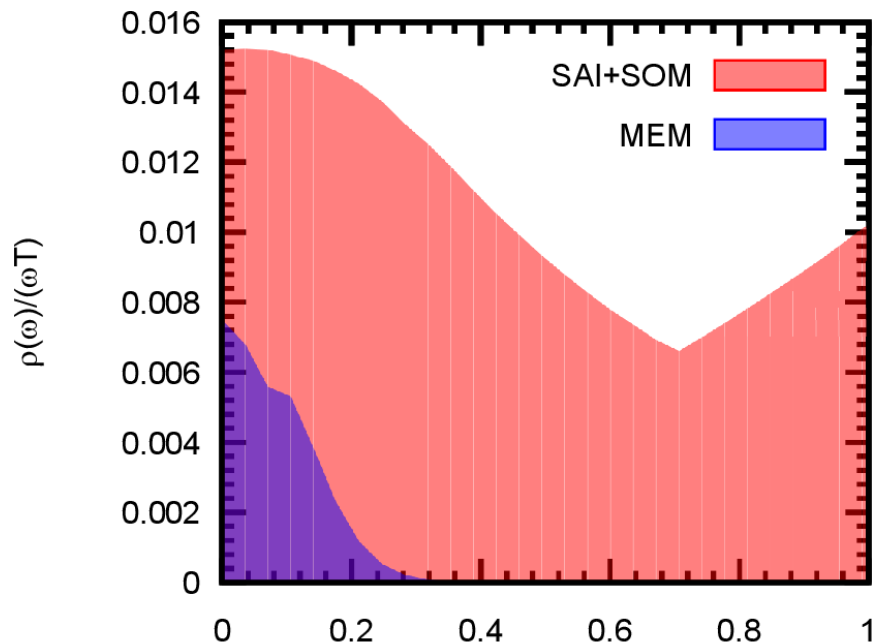
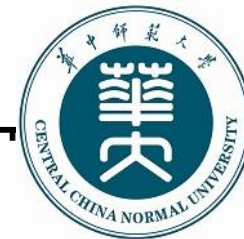
# Mock data test: Dependence on $DM$

$N_\tau = 48, \epsilon = 10^{-4}$  for all.



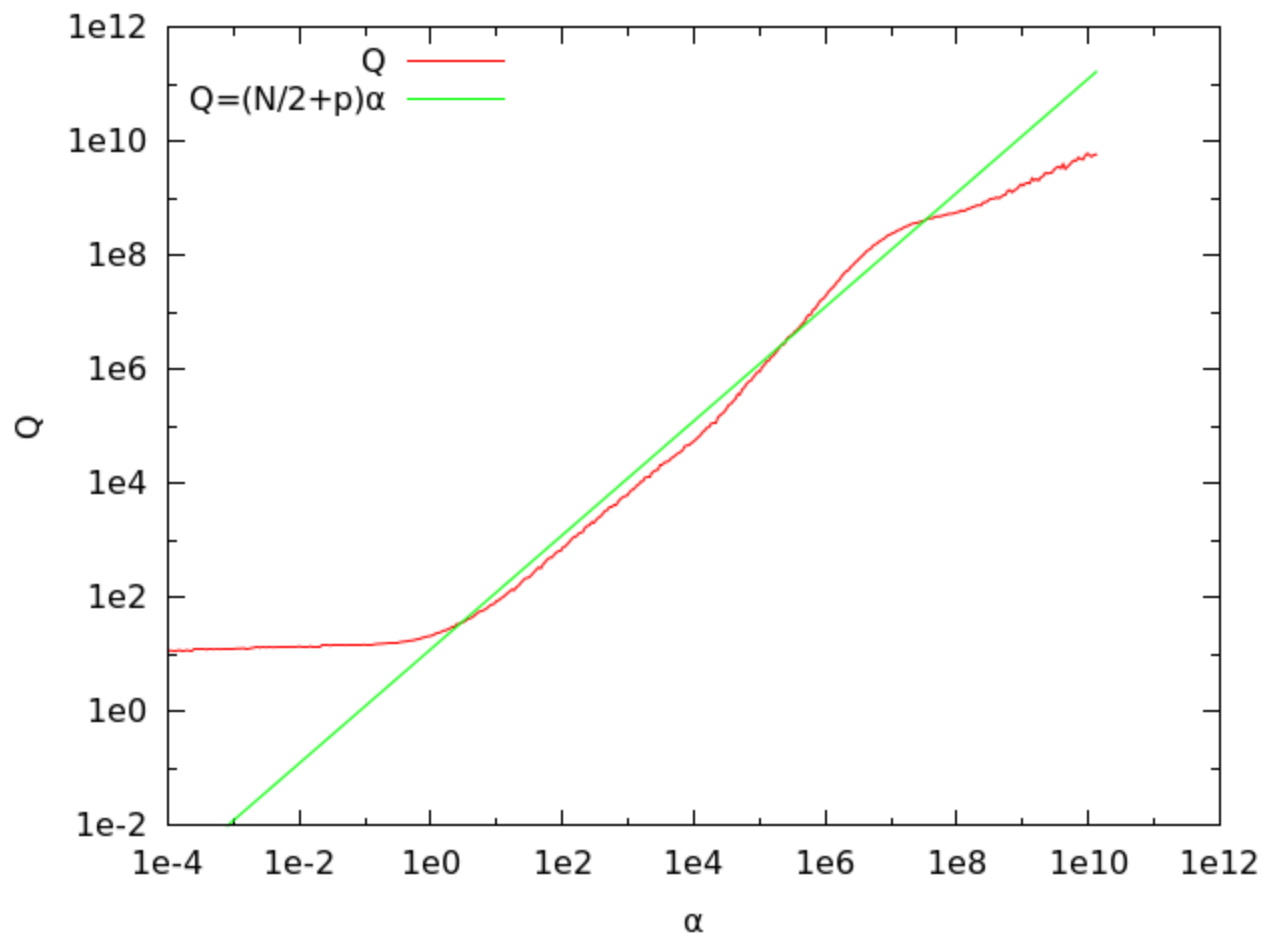
1. Dependence is weak for resonance peak and continuum.
2. There is dependence for transport peak. But upper bound exists in this case.

# Real Lattice Results at $0.75T_c$ & $1.5T_c$





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## Mock SPFs

➤ Resonance peak :  $\rho_{res} = c_{res} \frac{\Gamma(\omega, \omega_0, \gamma_0) M}{(\omega^2 - M^2)^2 + M^2 \Gamma^2(\omega, \omega_0, \gamma_0)} \frac{\omega^2}{\pi}$

Where  $\Gamma(\omega, \omega_0, \gamma_0) = \theta(\omega - \omega_0) \gamma_0 \left(1 - \frac{\omega_0^2}{\omega^2}\right)^5$

➤ Transport peak:

$$\rho_{trans} = c_{trans} \frac{\eta \omega}{(\omega^2 - \eta^2)^2}$$

➤ Free continuum:

$$\rho_{cont} = c_{cont} \frac{N_c}{8\pi^2} \Theta(\omega^2 - 4m^2) \omega^2 \tanh\left(\frac{\omega}{4T}\right) \times \sqrt{1 - \left(\frac{2m}{\omega}\right)^2} \left[ a^1 + a^2 \left(\frac{2m}{\omega}\right)^2 \right]$$

➤ Free Wilson:

$$\rho_{Wilson} = c_{Wilson} \frac{N}{L^3} \sum_k \sinh\left(\frac{\omega}{2T}\right) \left[ b^1 - b^2 \frac{(\sum_{i=1}^3 \sin^2 k_i)}{\sinh^2 E_k(m)} \right]$$

Where  $\cosh E_k(m) = 1 + \frac{K_k^2 + M_k^2(m)}{2(1 + M_k(m))}$ ,  $K_k = \sum_{i=1}^3 \gamma_i \sinh k_i$





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## Mock SPFs parameters

Elements in SPF	Parameters
$\rho_{res}^1$	$c_{res} = 1, \omega_0 = 0.2, \gamma_0 = 0.20, M = 0.5$
$\rho_{res}^2$	$c_{res} = 4, \omega_0 = 0.2, \gamma_0 = 0.25, M = 1.2$
$\rho_{res}^3$	$c_{res} = 6, \omega_0 = 0.2, \gamma_0 = 0.20, M = 2.5$
$\rho_{trans}$	$c_{trans} = 0.2, \eta = 0.01$
$\rho_{cont}$	$c_{cont} = 20, a^1 = 2, a^2 = 1, m = 0.1$
$\rho_{Wilson}$	$c_{Wilson} = 1, b^1 = 3, b^2 = 1, m = 0.112$

$N_\tau = 48, a = 1, \tau_{min} = 1, \omega \in [0,4].$

Error in mock data:  $\sigma(\tau) = \epsilon G(\tau)\tau$