

Lattice Nuclear Physics

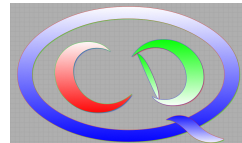
Ulf-G. Meißner, Univ. Bonn & FZ Jülich

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by DFG, SFB/TR-110

by CAS, PIFI

by Volkswagen Stiftung



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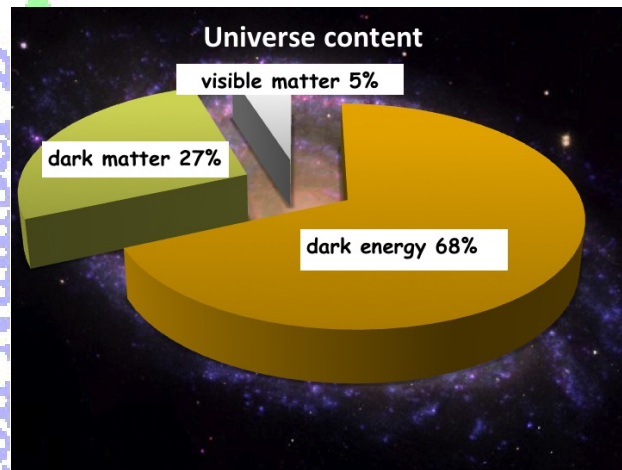
- Introduction: The BIG picture
- Basics of nuclear lattice simulations
- Results from nuclear lattice simulations
- Ab initio alpha-alpha scattering
- New insights into nuclear clustering
- Summary & outlook

The BIG Picture

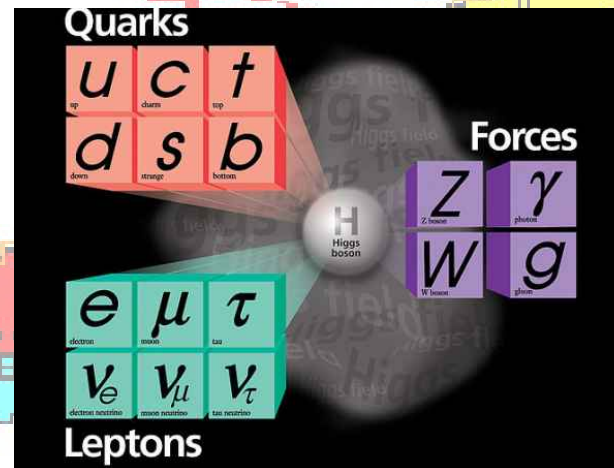
WHY NUCLEAR PHYSICS?

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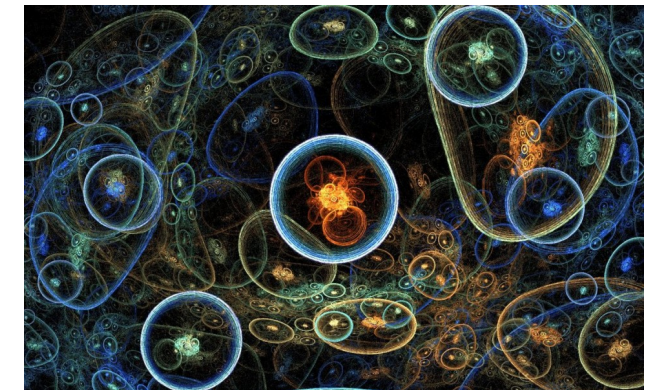
- The matter we are made off



- The last frontier of the SM



- Access to the Multiverse



Neutron Number N

- ★ 3-nucleon forces
- ★ limits of stability
- ★ alpha-clustering
- ⋮



- ★ alpha-particle scattering: ${}^4\text{He} + {}^4\text{He} \rightarrow {}^4\text{He} + {}^4\text{He}$
- ★ triple-alpha reaction: ${}^4\text{He} + {}^4\text{He} + {}^4\text{He} \rightarrow {}^{12}\text{C} + \gamma$
- ★ alpha-capture on carbon: ${}^4\text{He} + {}^{12}\text{C} \rightarrow {}^{16}\text{O} + \gamma$
- ⋮

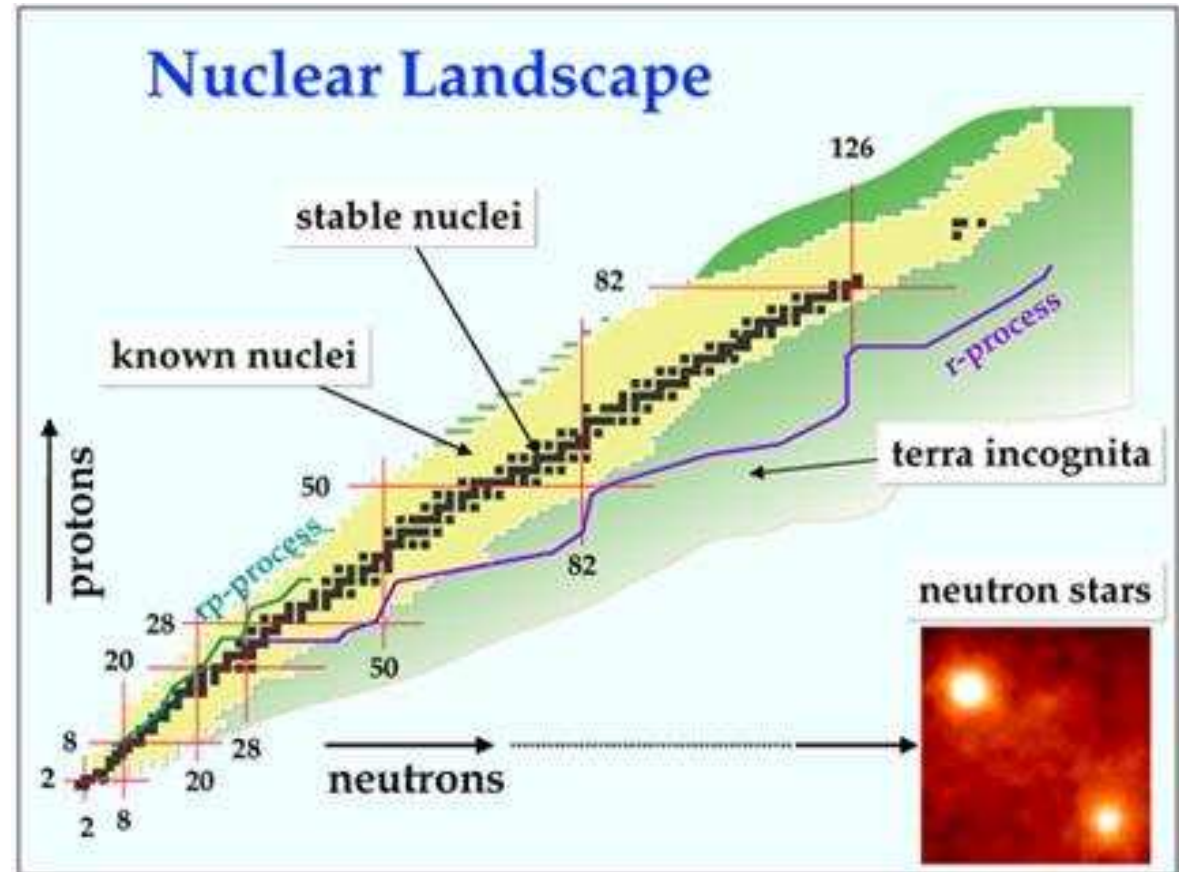
THE NUCLEAR LANDSCAPE: AIMS & METHODS

- Theoretical methods:

- Lattice QCD: $A = 0, 1, 2, \dots$
- NCSM, Faddeev-Yakubowsky, GFMC, ... :
 $A = 3 - 16$
- coupled cluster, ... : $A = 16 - 100$
- density functional theory, ... : $A \geq 100$

- Chiral EFT:

- provides **accurate 2N, 3N and 4N forces**
- successfully applied in light nuclei
with $A = 2, 3, 4$
- combine with simulations to get to larger A



⇒ Chiral Nuclear Lattice Effective Field Theory

Basics of nuclear lattice simulations

for an easy intro, see: UGM, Nucl. Phys. News **24** (2014) 11

for an early review, see: D. Lee, Prog. Part. Nucl. Phys. **63** (2009) 117

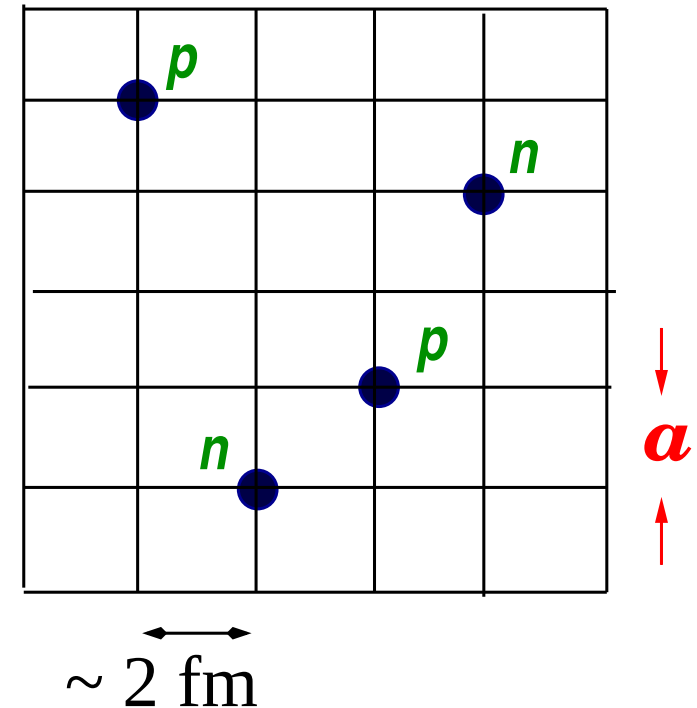
upcoming textbook, see: T. Lähde, UGM, Springer Lecture Notes in Physics

NUCLEAR LATTICE EFFECTIVE FIELD THEORY

Frank, Brockmann (1992), Koonin, Müller, Seki, van Kolck (2000) , Lee, Schäfer (2004), . . . Borasoy, Krebs, Lee, UGM, Nucl. Phys. **A768** (2006) 179; Borasoy, Epelbaum, Krebs, Lee, UGM, Eur. Phys. J. **A31** (2007) 105

- *new method* to tackle the nuclear many-body problem
- discretize space-time $V = L_s \times L_s \times L_s \times L_t$:
nucleons are point-like particles on the sites
- discretized chiral potential w/ pion exchanges
and contact interactions + Coulomb
- see Epelbaum, Hammer, UGM, Rev. Mod. Phys. **81** (2009) 1773
- typical lattice parameters

$$p_{\text{max}} = \frac{\pi}{a} \simeq 314 \text{ MeV [UV cutoff]}$$



- strong suppression of sign oscillations due to approximate Wigner SU(4) symmetry
E. Wigner, Phys. Rev. **51** (1937) 106; T. Mehen et al., Phys. Rev. Lett. **83** (1999) 931; J. W. Chen et al., Phys. Rev. Lett. **93** (2004) 242302
- physics independent of the lattice spacing for $a = 1 \dots 2$ fm

E. Wigner, Phys. Rev. **51** (1937) 106; T. Mehen et al., Phys. Rev. Lett. **83** (1999) 931; J. W. Chen et al., Phys. Rev. Lett. **93** (2004) 242302

J. Alarcon et al., EPJA **53** (2017) 83

TRANSFER MATRIX METHOD

- Correlation-function for A nucleons: $Z_A(\tau) = \langle \Psi_A | \exp(-\tau H) | \Psi_A \rangle$

with Ψ_A a Slater determinant for A free nucleons
[or a more sophisticated (correlated) initial/final state]

- Transient energy

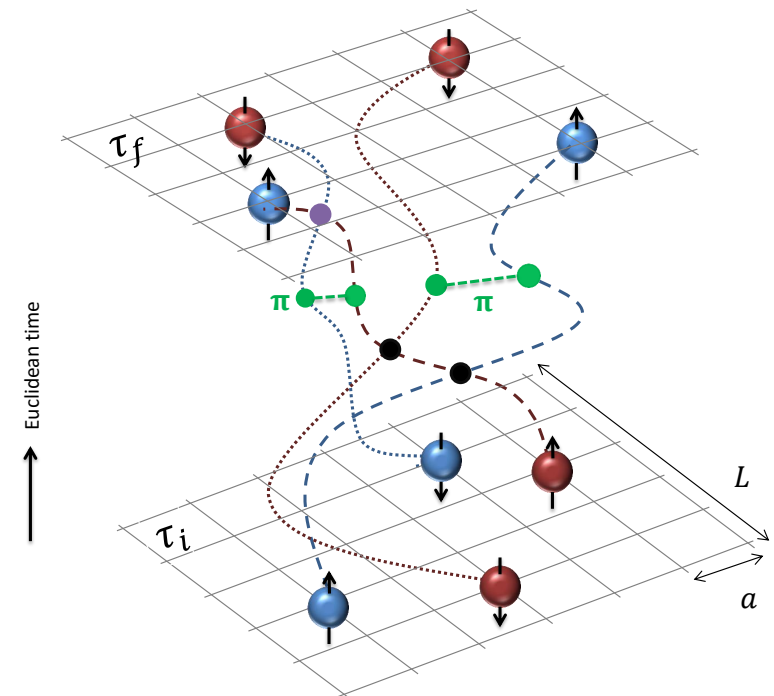
$$E_A(\tau) = -\frac{d}{d\tau} \ln Z_A(\tau)$$

→ ground state: $E_A^0 = \lim_{\tau \rightarrow \infty} E_A(\tau)$

- Exp. value of any normal-ordered operator $\mathcal{O}$

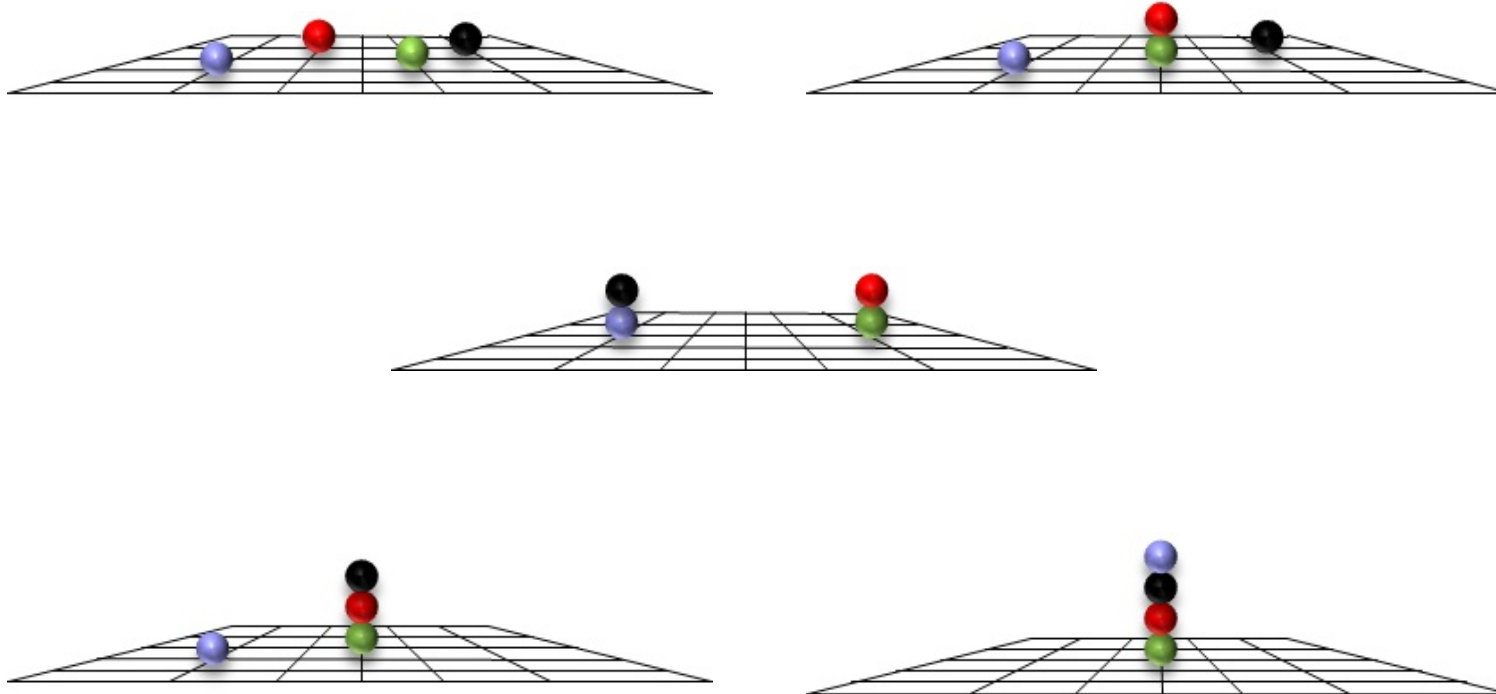
$$Z_A^{\mathcal{O}} = \langle \Psi_A | \exp(-\tau H/2) \mathcal{O} \exp(-\tau H/2) | \Psi_A \rangle$$

$$\lim_{\tau \rightarrow \infty} \frac{Z_A^{\mathcal{O}}(\tau)}{Z_A(\tau)} = \langle \Psi_A | \mathcal{O} | \Psi_A \rangle$$



CONFIGURATIONS

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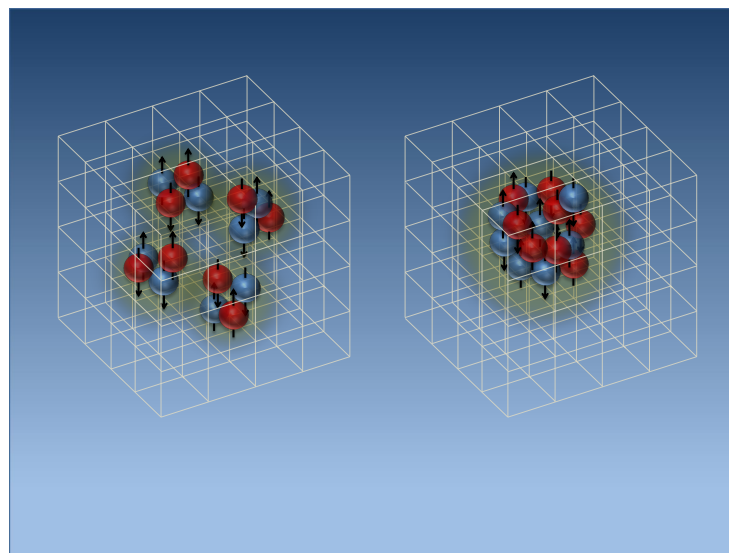
- ⇒ all *possible* configurations are sampled
- ⇒ preparation of *all possible* initial/final states
- ⇒ *clustering* emerges *naturally*

-
- A wooden dice cup, made of light-colored wood with visible grain, is tipped over on its side. Seven white dice with black pips are scattered on the surface in front of the cup's opening. The surface is a light-colored, textured fabric. The background is a soft-focus pattern of green, blue, and yellow.



6 Pflops

Lattice: some results



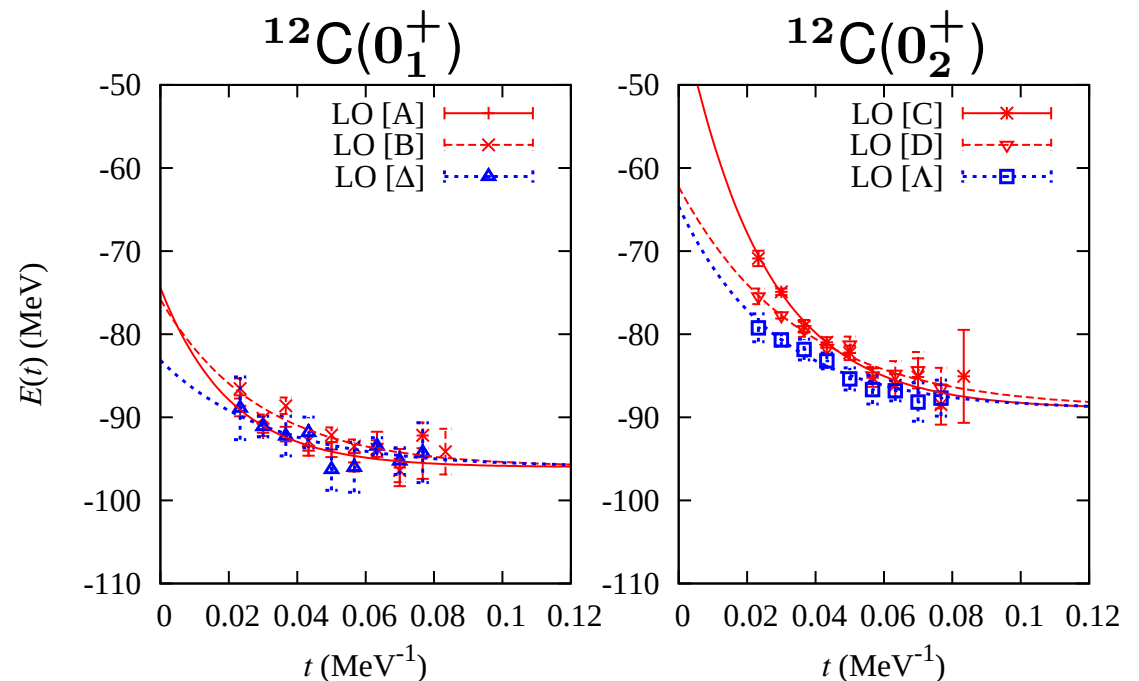
NLEFT

Epelbaum, Krebs, Lähde, Lee, Luu, UGM, Rupak + post-docs + students

Epelbaum, Krebs, Lee, UGM, Phys. Rev. Lett. **104** (2010) 142501; Eur. Phys. J. A **45** (2010) 335; ...

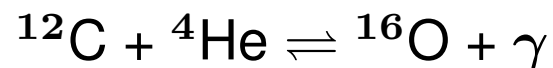
- some groundstate energies and differences [NNLO, 11+2 LECs]

	E [MeV]	NLEFT	Exp.
old algorithm	${}^3\text{He} - {}^3\text{H}$	0.78(5)	0.76
	${}^4\text{He}$	-28.3(6)	-28.3
	${}^8\text{Be}$	-55(2)	-56.5
	${}^{12}\text{C}$	-92(3)	-92.2
new algorithm	${}^{16}\text{O}$	-131(1)	-127.6
	${}^{20}\text{Ne}$	-166(1)	-160.6
	${}^{24}\text{Mg}$	-198(2)	-198.3
	${}^{28}\text{Si}$	-234(3)	-236.5



- promising results $\Rightarrow$ uncertainties down to the 1% level
- excited states more difficult $\Rightarrow$ projection MC method + triangulation

- Bethe 1938, Öpik 1952, Salpeter 1952, Hoyle 1954, ...



- a corresponding state was experimentally confirmed at Caltech at $E - E(\text{g.s.}) = 7.653 \pm 0.008 \text{ MeV}$ Dunbar et al. 1953, Cook et al. 1957

- still on-going experimental activity, e.g. EM transitions at SDALINAC
M. Chernykh et al., Phys. Rev. Lett. **98** (2007) 032501

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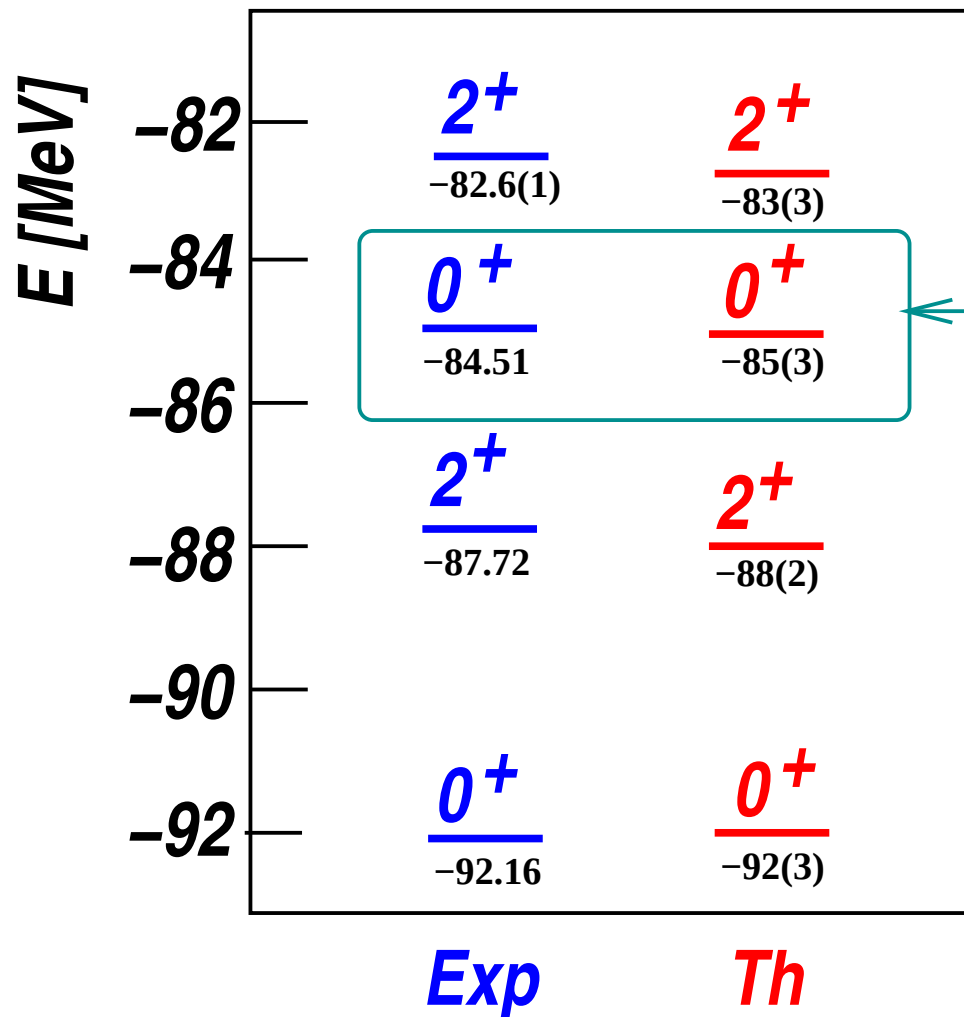
BREAKTHROUGH: SPECTRUM of CARBON-12

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Epelbaum, Krebs, Lee, UGM, Phys. Rev. Lett. **106** (2011) 192501

Epelbaum, Krebs, Lähde, Lee, UGM, Phys. Rev. Lett. **109** (2012) 252501

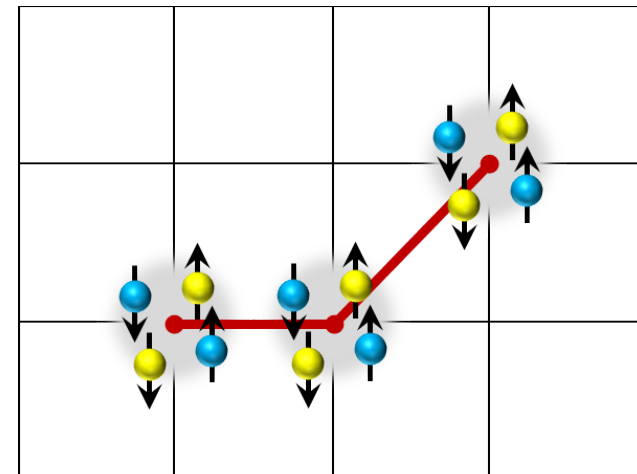
- After $8 \cdot 10^6$ hrs JUGENE/JUQUEEN (and “some” human work)



⇒ First ab initio calculation of the Hoyle state ✓

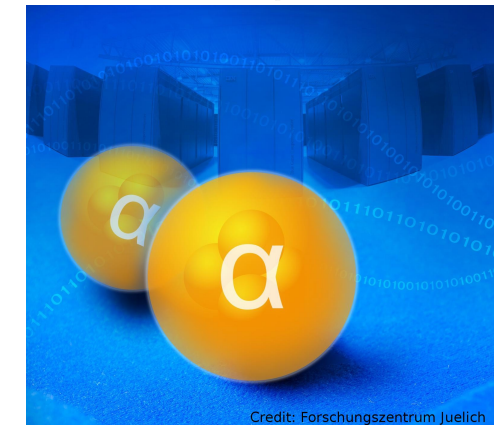
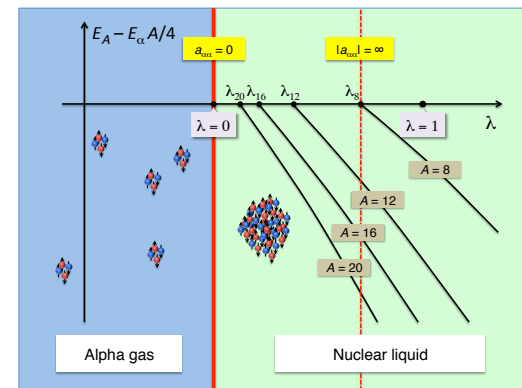
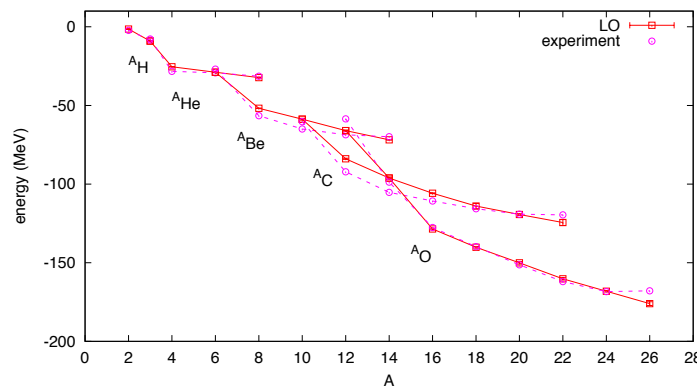
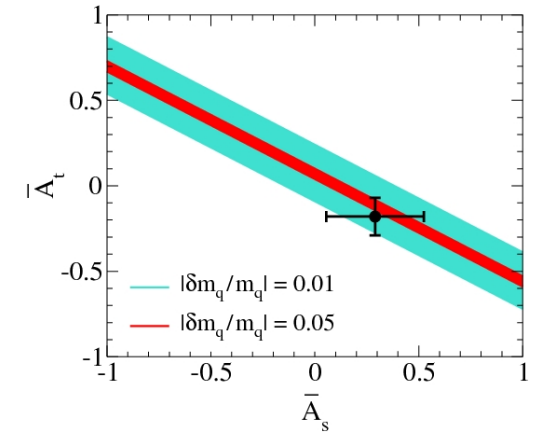
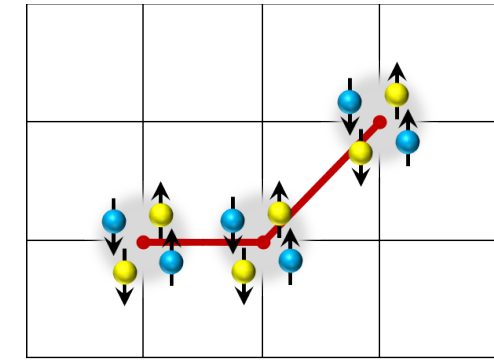
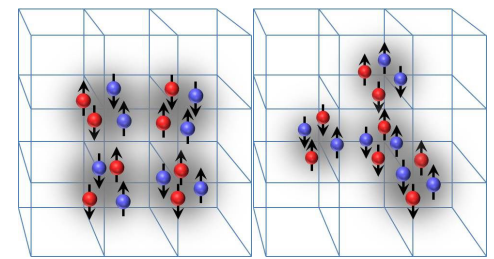
Hoyle

Structure of the Hoyle state:



RESULTS from LATTICE NUCLEAR EFT

- Lattice EFT calculations for $A=3,4,6,12$ nuclei, [PRL 104 \(2010\) 142501](#)
- *Ab initio* calculation of the Hoyle state, [PRL 106 \(2011\) 192501](#)
- Structure and rotations of the Hoyle state, [PRL 109 \(2012\) 142501](#)
- Validity of Carbon-Based Life as a Function of the Light Quark Mass
[PRL 110 \(2013\) 142501](#)
- *Ab initio* calculation of the Spectrum and Structure of ^{16}O ,
[PRL 112 \(2014\) 142501](#)
- *Ab initio* alpha-alpha scattering, [Nature 528 \(2015\) 111](#)
- Nuclear Binding Near a Quantum Phase Transition, [PRL 117 \(2016\) 132501](#)
- *Ab initio* calculations of the isotopic dependence of nuclear clustering,
[arXiv:1702.05177](#), [PRL \(2017\) in print](#)



ADIABATIC PROJECTION METHOD

- Basic idea to treat scattering and inelastic reactions:
split the problem into two parts

First part:

use Euclidean time projection to construct an *ab initio* low-energy cluster Hamiltonian, called the **adiabatic Hamiltonian**

Second part:

compute the two-cluster scattering phase shifts or reaction amplitudes using the adiabatic Hamiltonian

ADIABATIC PROJECTION METHOD II

- Construct a low-energy effective theory for clusters

- Use initial states parameterized by the relative separation between clusters

$$|\vec{R}\rangle = \sum_{\vec{r}} |\vec{r} + \vec{R}\rangle \otimes \vec{r}$$

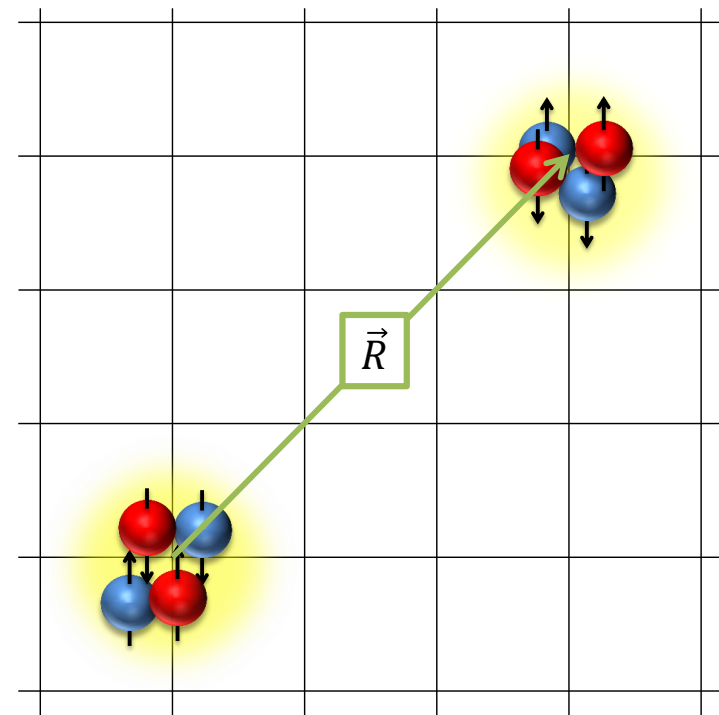
- project them in Euclidean time with the chiral EFT Hamiltonian H

$$|\vec{R}\rangle_{\tau} = \exp(-H\tau)|\vec{R}\rangle$$

→ “dressed cluster states” (polarization, deformation, Pauli)

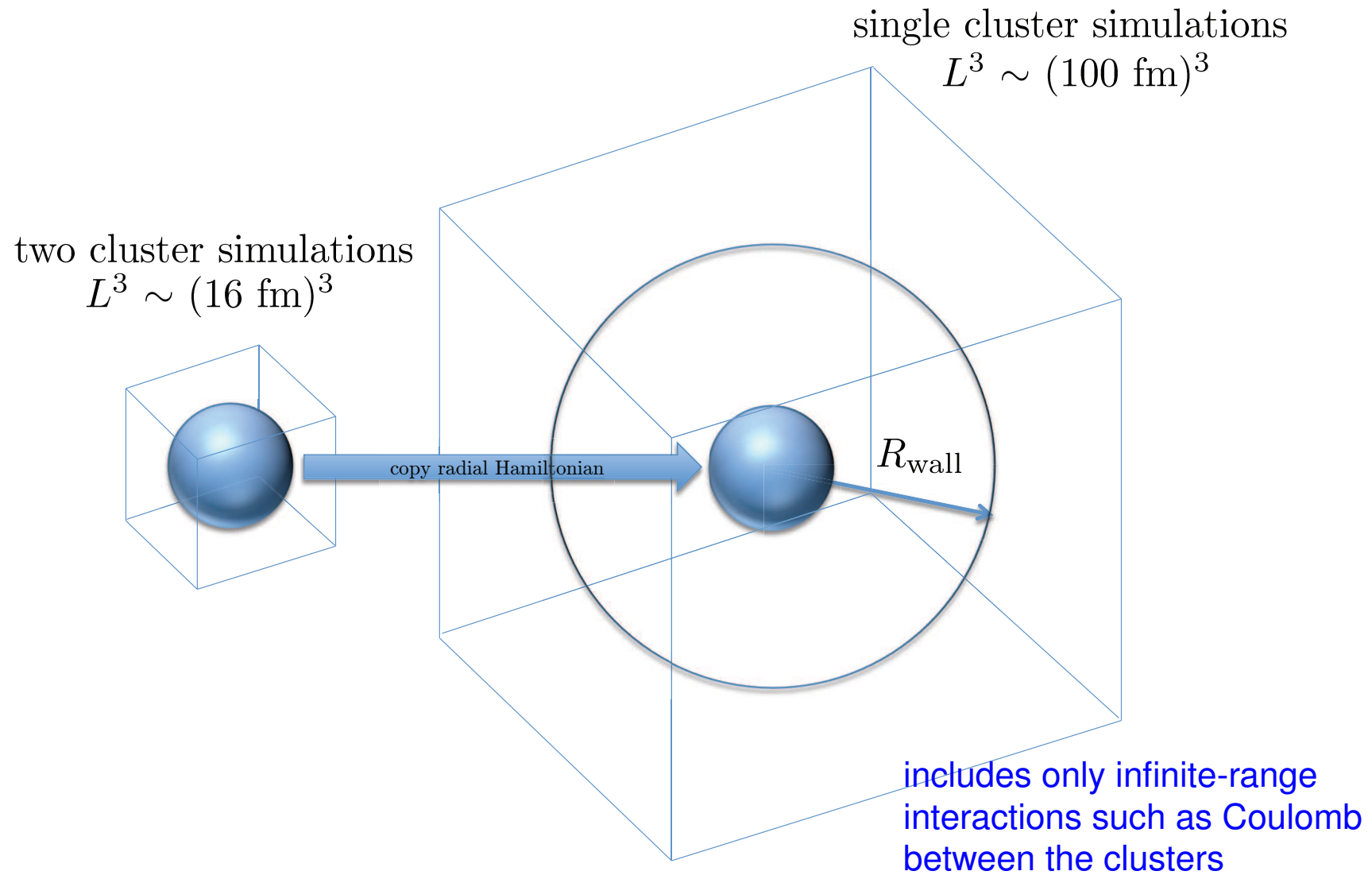
- Adiabatic Hamiltonian (requires norm matrices)

$$[H_{\tau}]_{\vec{R}\vec{R}'} = {}_{\tau}\langle \vec{R} | H | \vec{R}' \rangle_{\tau}$$



ADIABATIC HAMILTONIAN plus COULOMB

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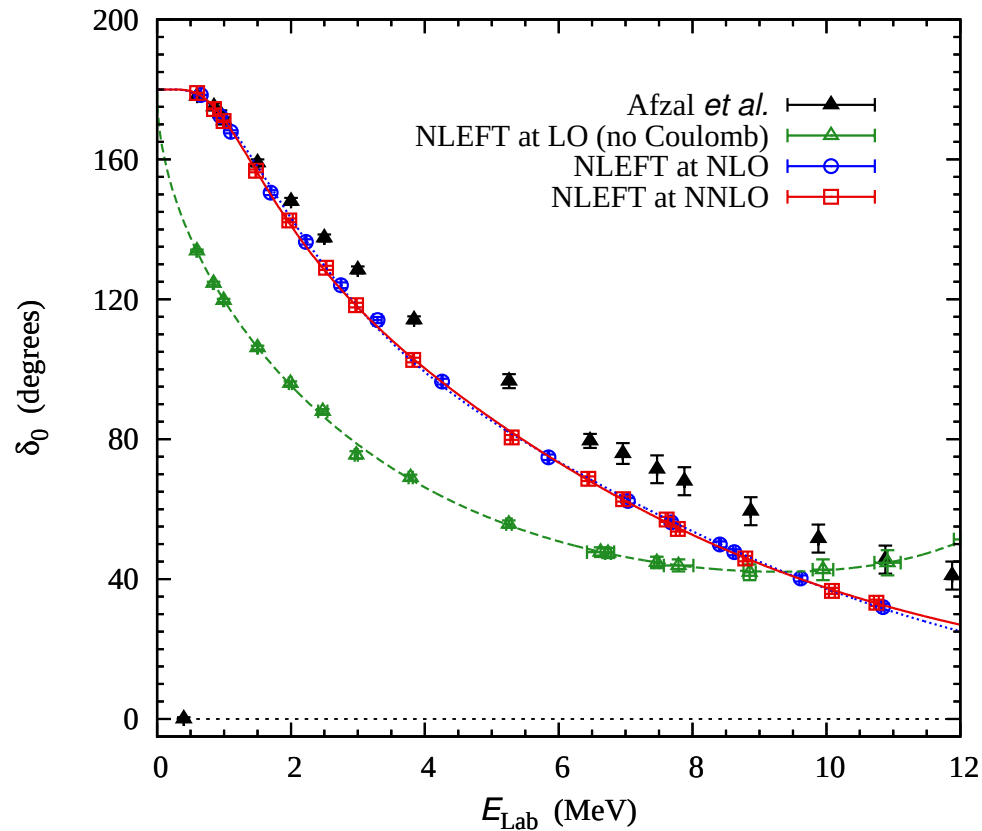


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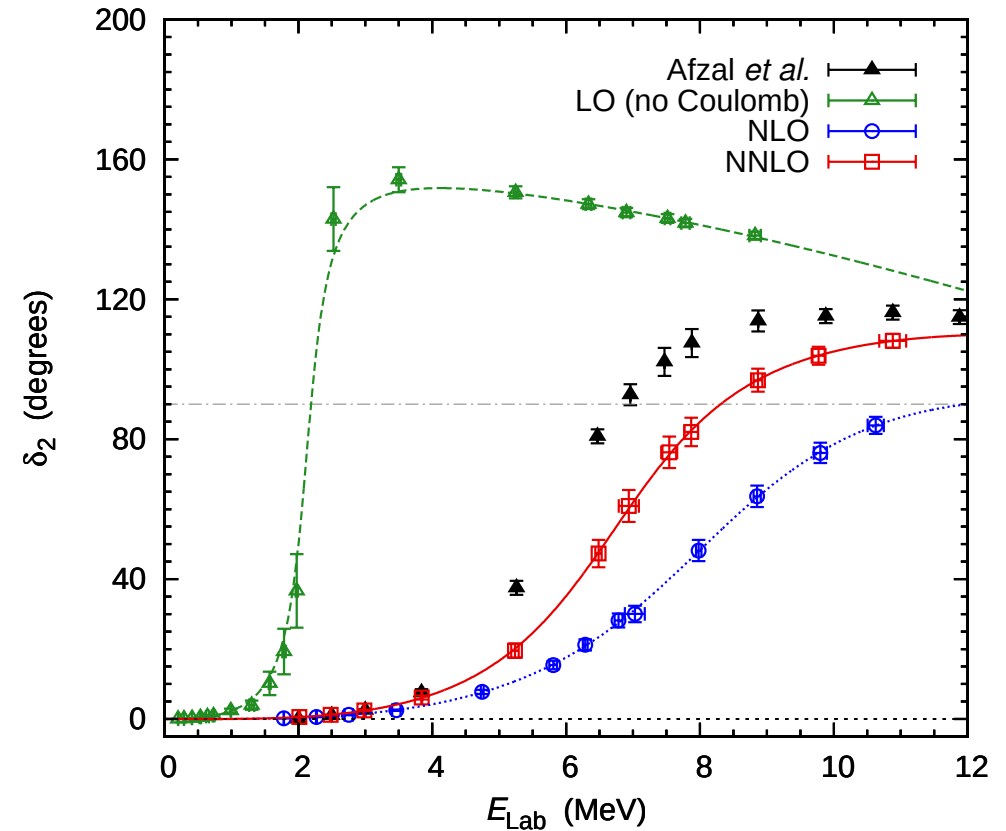
PHASE SHIFTS

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- Same NNLO Lagrangian as used for the study of ^{12}C and ^{16}O [only stat. errors]



$$E_R^{\text{NNLO}} = -0.11(1) \text{ MeV } [+0.09 \text{ MeV}]$$



$$E_R^{\text{NNLO}} = 3.27(12) \text{ MeV } [2.92(18) \text{ MeV}]$$

$$\Gamma_R^{\text{NNLO}} = 2.09(16) \text{ MeV } [1.35(50) \text{ MeV}]$$

Data: Afzal *et al.*, *Rev. Mod. Phys.* **41** (1969) 247

New insights into nuclear clustering

Elhatisari, Epelbaum, Krebs, Lähde, Lee, Li, Lu, UGM, Rupak
[arXiv:1702.05117]

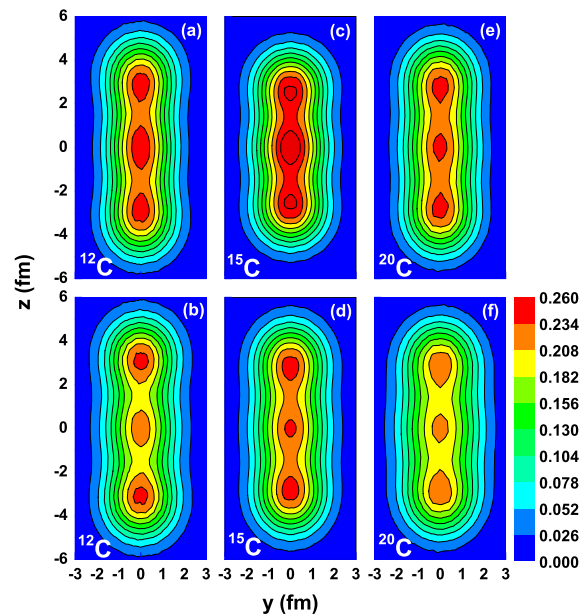
CLUSTERING in NUCLEI

- Introduced theoretically by Wheeler already in 1937:

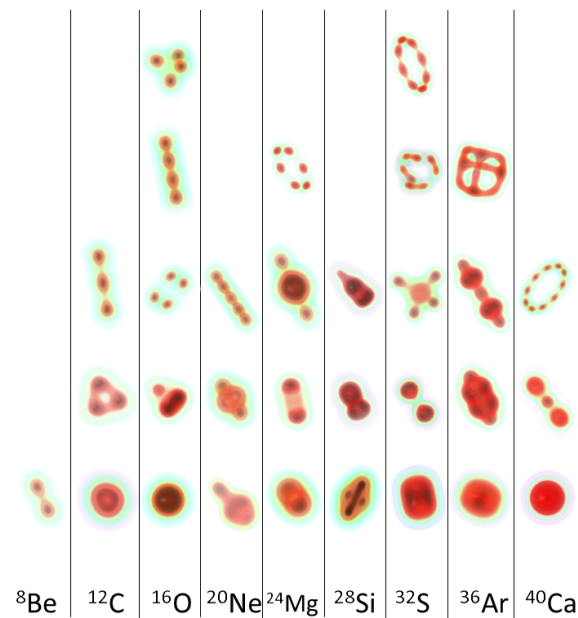
John Archibald Wheeler, "Molecular Viewpoints in Nuclear Structure,"
Physical Review **52** (1937) 1083

- many works since then...

Ikeda, Horiuchi, Freer, Schuck, Röpke, Khan, Zhou, Iachello, . . .



Zhao, Itagaki, Meng (2015)

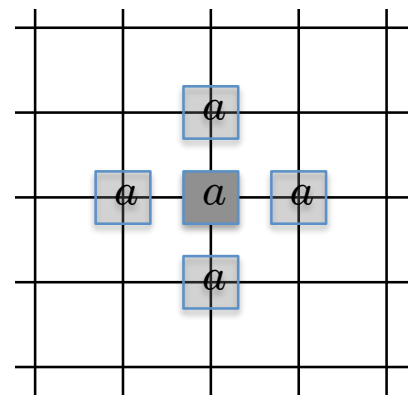


Ebran, Khan, Niksic, Vretenar (2014)

α -clusters

⇒ can we understand this phenomenon from *ab initio* calculations?

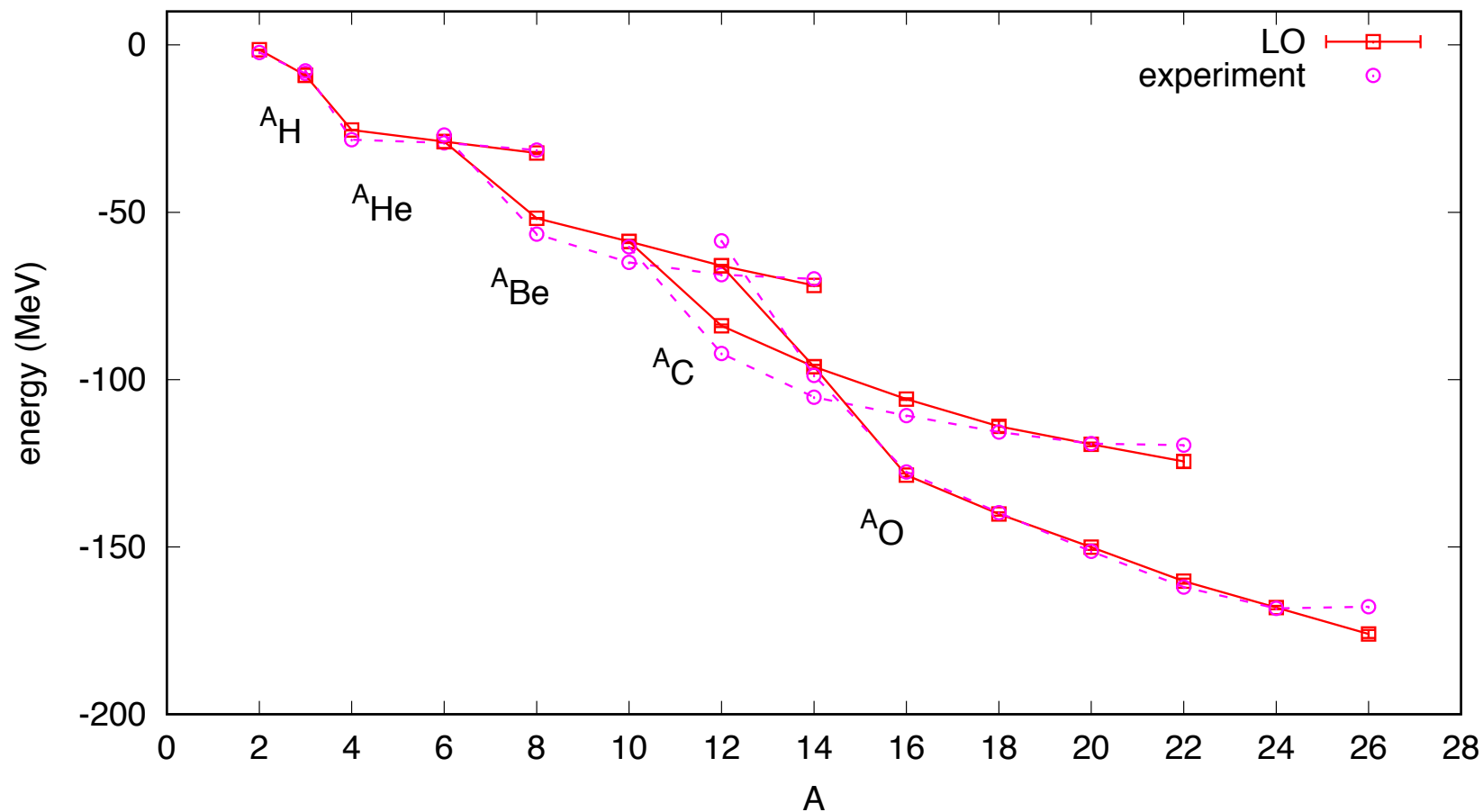
- $$a_{\text{NL}}(\mathbf{n}) = a(\mathbf{n}) + s_{\text{NL}} \sum_{\langle \mathbf{n}' \mathbf{n} \rangle} a(\mathbf{n}')$$
- $$a_{\text{NL}}^{\dagger}(\mathbf{n}) = a^{\dagger}(\mathbf{n}) + s_{\text{NL}} \sum_{\langle \mathbf{n}' \mathbf{n} \rangle} a^{\dagger}(\mathbf{n}')$$



GROUND STATE ENERGIES

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- Fit 3 parameters to average NN S-wave scattering length and effective range and α - α S-wave scattering length [higher orders in the works]
- predict g.s. energies of H, He, Be, C and O isotopes → quite accurate (LO)



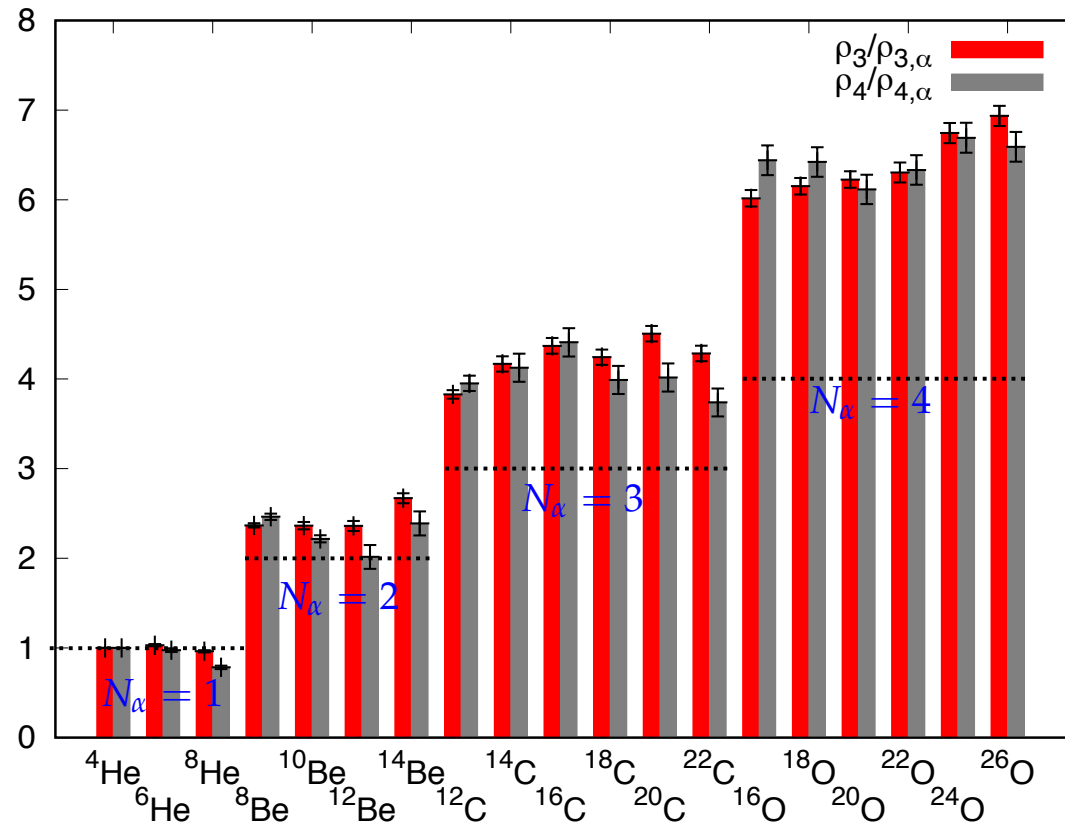
PROBING NUCLEAR CLUSTERING

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- Local densities on the lattice: $\rho(\mathbf{n})$, $\rho_p(\mathbf{n})$, $\rho_n(\mathbf{n})$
 - Probe of alpha clusters: $\rho_4 = \sum_{\mathbf{n}} : \rho^4(\mathbf{n})/4! :$
 - Another probe for $Z = N = \text{even nuclei}$: $\rho_3 = \sum_{\mathbf{n}} : \rho^3(\mathbf{n})/3! :$
 - ρ_4 couples to the center of the α -cluster while ρ_3 gets contributions from a wider portion of the alpha-particle wave function
 - Both ρ_3 and ρ_4 depend on the regulator, a , but not on the nucleus
 - The ratios $\rho_3/\rho_{3,\alpha}$ and $\rho_4/\rho_{4,\alpha}$ free of short-distance ambiguities and model-independent
 - $\rho_3/\rho_{3,\alpha}$ measures the effective number of alpha-cluster N_α
- $\Rightarrow$ Any deviation from $N_\alpha = \text{integer}$ measures the entanglement of the α -clusters in a given nucleus

- ρ_3 -entanglement of the α -clusters:
[similar for ρ_4]

$$\frac{\Delta_{\alpha}^{\rho_3}}{N_{\alpha}} = \frac{\rho_3 / \rho_{3,\alpha}}{N_{\alpha}} - 1$$

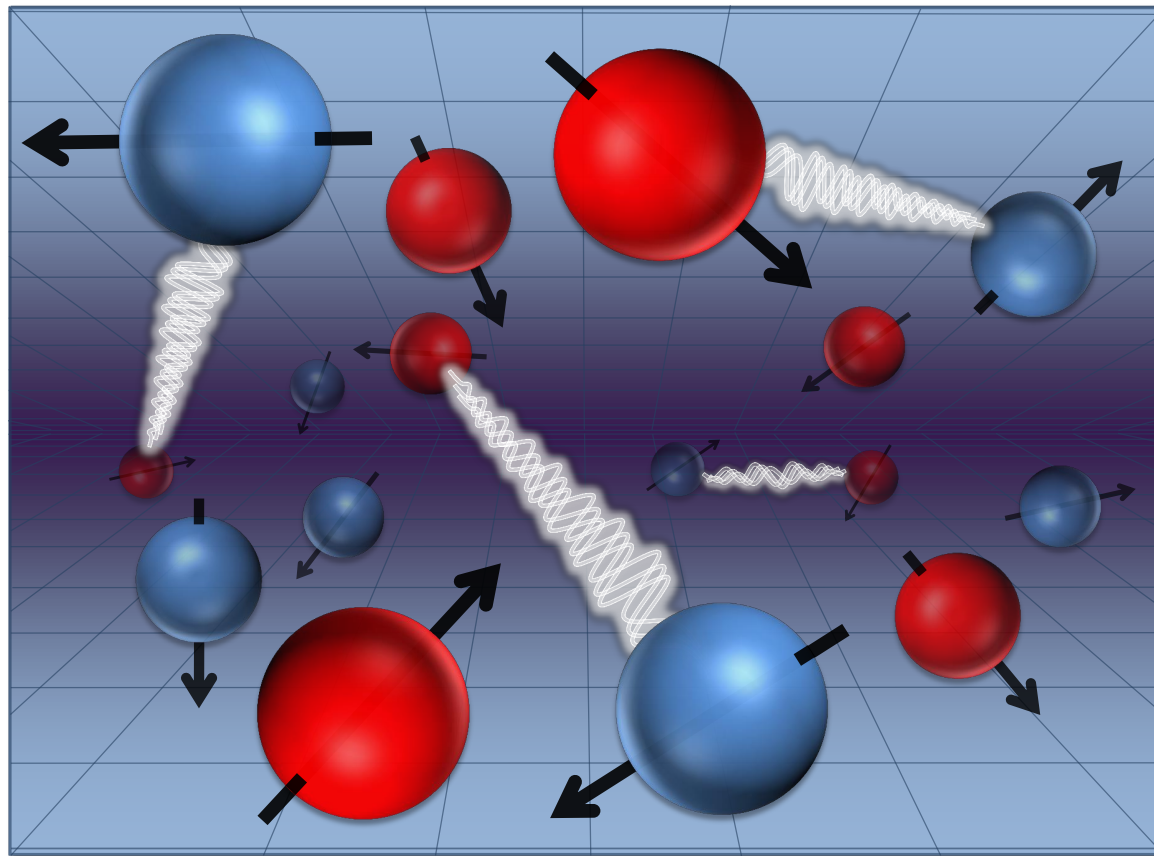


Nucleus	^{4,6,8} He	^{8,10,12,14} Be	^{12,14,16,18,20,22} C	^{16,18,20,22,24,26} O
$\Delta_{\alpha}^{\rho_3} / N_{\alpha}$	0.00 - 0.03	0.20 - 0.35	0.25 - 0.50	0.50 - 0.75

PROBING NUCLEAR CLUSTERING

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- The transition from cluster-like states in light systems to nuclear liquid-like states in heavier systems should not be viewed as a simple suppression of multi-nucleon short-distance correlations, but rather as an increasing *entanglement* of the nucleons involved in the multi-nucleon correlations.



PINHOLE ALGORITHM

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- AFQMC calculations involve states that are superpositions of many different center-of-mass positions
→ density distributions of nucleons can not be computed directly

- Insert a screen with pinholes with spin & isospin labels that allows nucleons with corresponding spin & isospin to pass = insertion of the A-body density op.:

$$\rho_{i_1, j_1, \dots, i_A, j_A}(\mathbf{n}_1, \dots, \mathbf{n}_A)$$

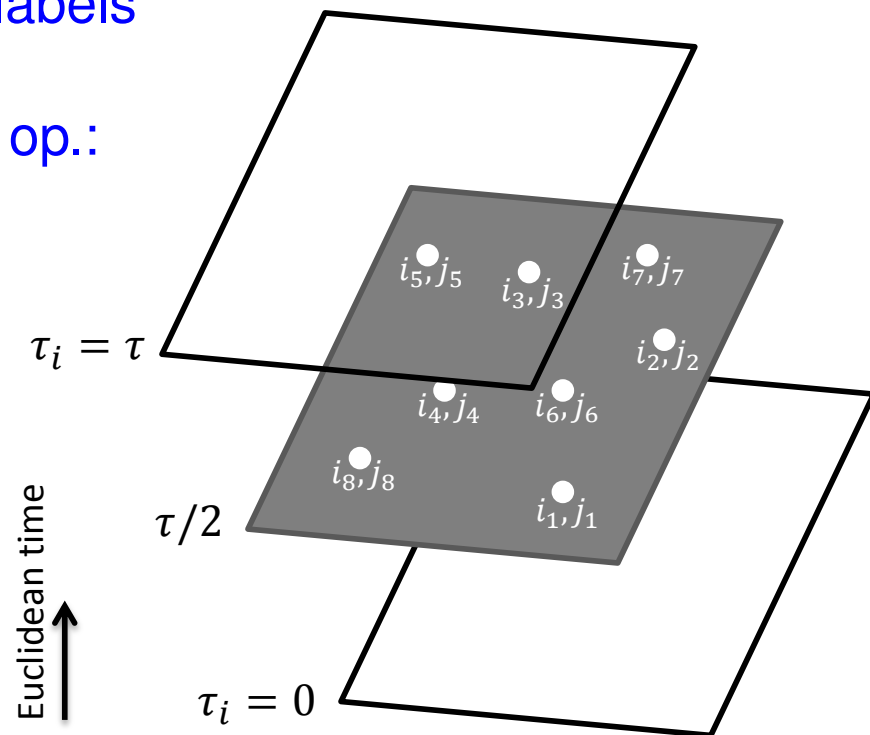
$$= : \rho_{i_1, j_1}(\mathbf{n}_1) \cdots \rho_{i_A, j_A}(\mathbf{n}_A) :$$

- MC sampling of the amplitude:

$$A_{i_1, j_1, \dots, i_A, j_A}(\mathbf{n}_1, \dots, \mathbf{n}_A, L_t)$$

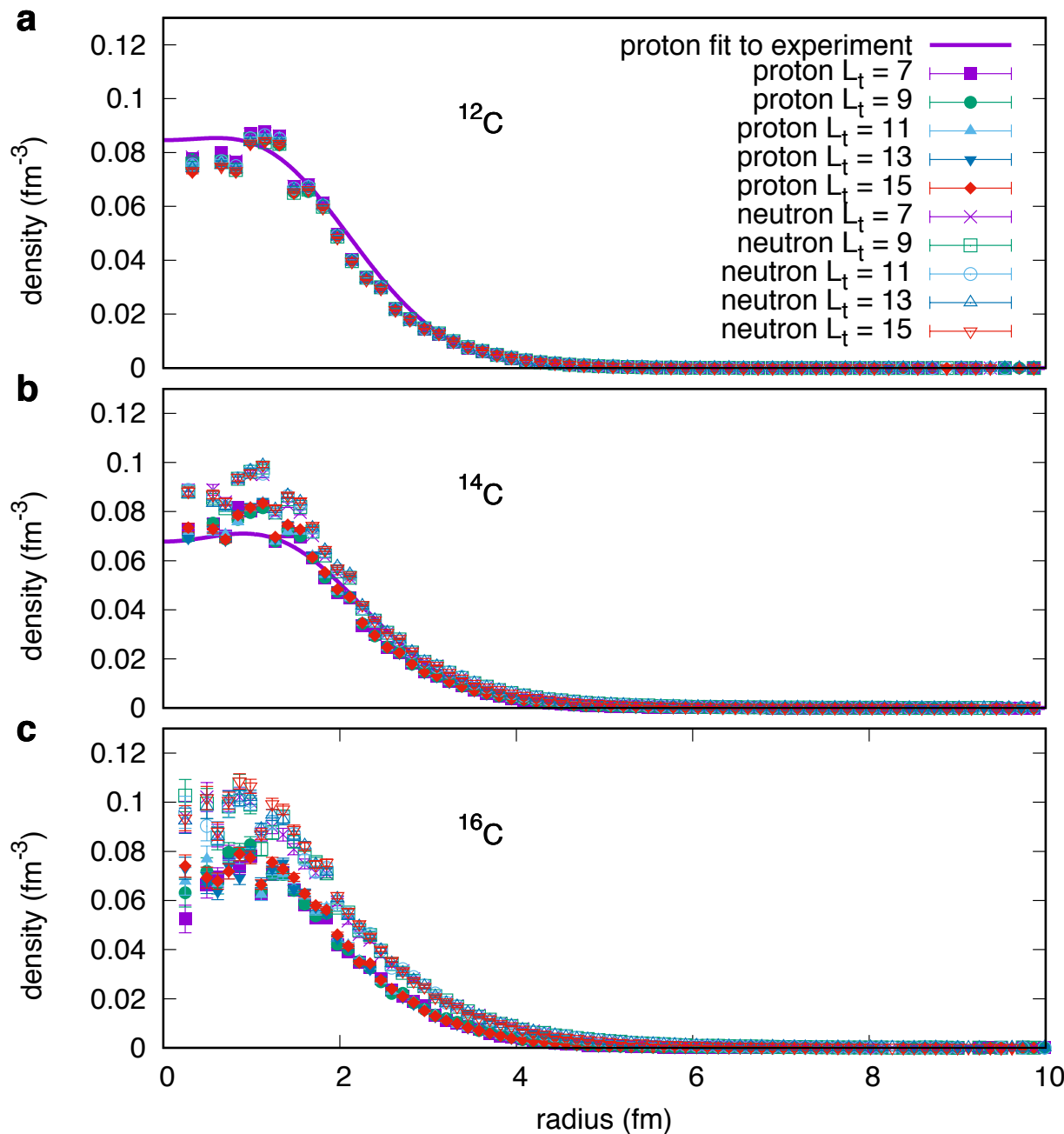
$$= \langle \psi(\tau/2) | \rho_{i_1, j_1, \dots, i_A, j_A}(\mathbf{n}_1, \dots, \mathbf{n}_A) | \psi(\tau/2) \rangle$$

- Allows to measure proton and neutron distributions
- Resolution scale $\sim a/A$ as cm position $\mathbf{r}_{\text{cm}}$ is an integer $\mathbf{n}_{\text{cm}}$ times a/A



PROTON and NEUTRON DENSITIES in CARBON

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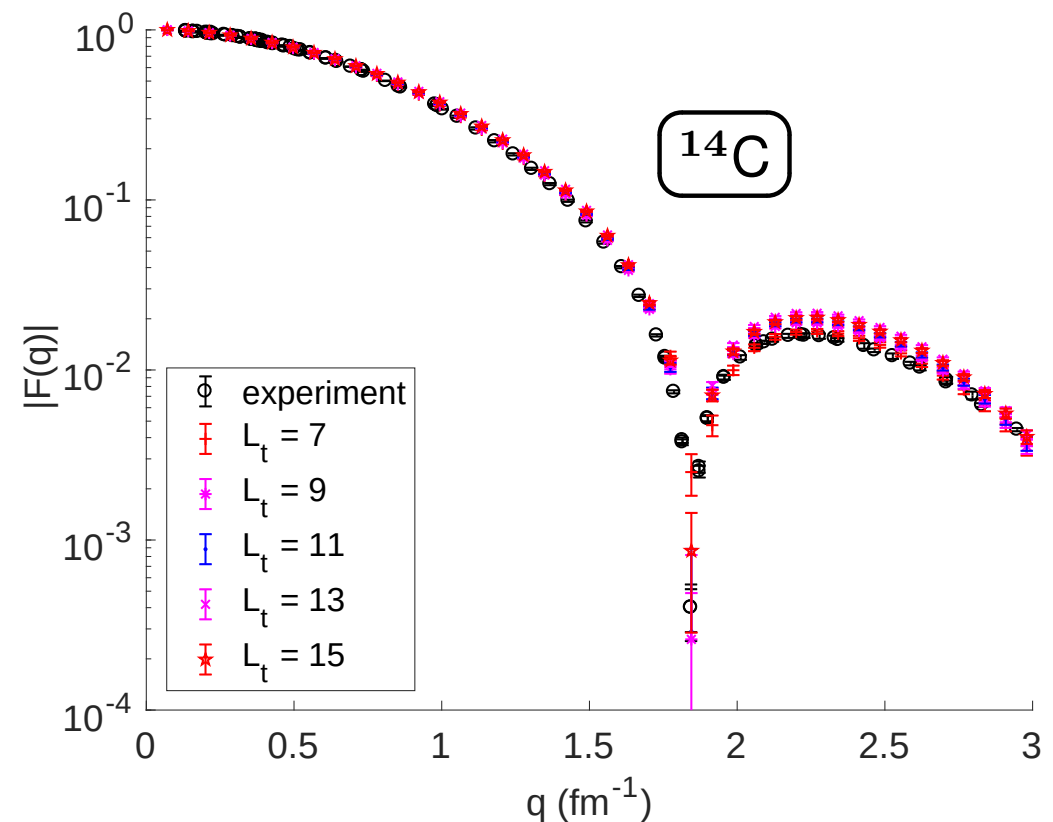
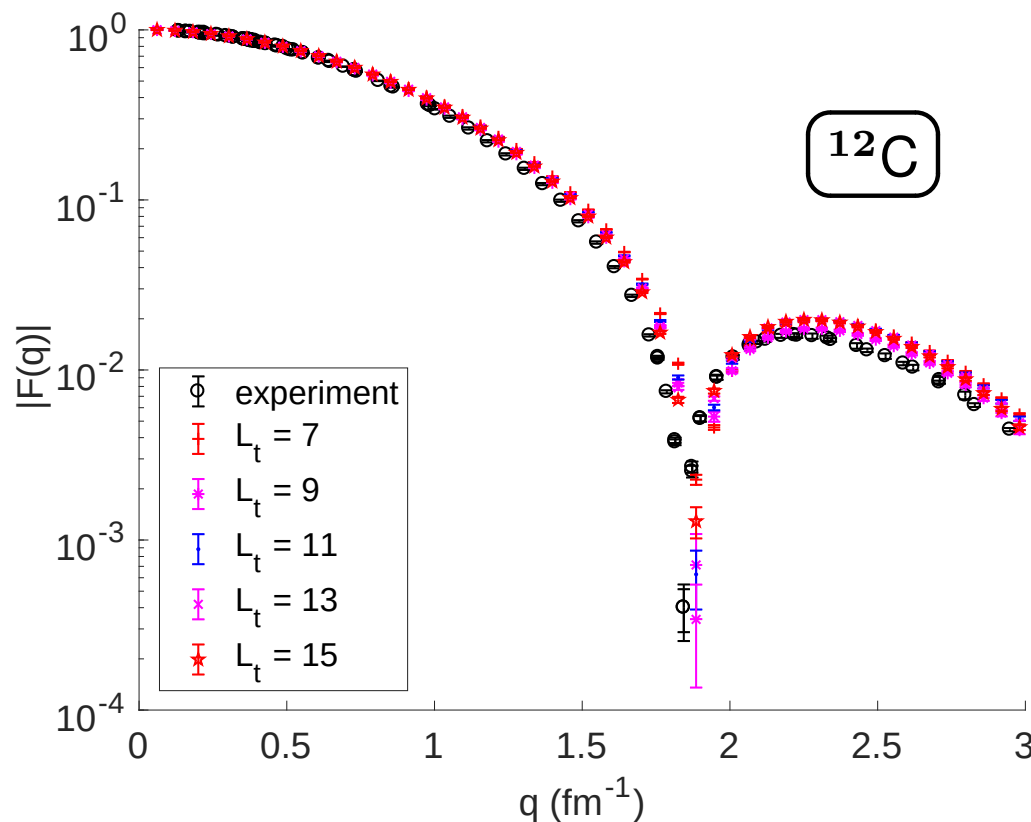


- open symbols: neutron
- closed symbols: proton
- proton size accounted for
- asymptotic properties of the distributions from the volume dependence of N-body bound states
König, Lee, [arXiv:1701.00279]
- consistent with data
- fit to data from
Kline et al., NPA **209** (1973) 381

FORM FACTORS

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- Fit charge distributions by a Wood-Saxon shape
 - ↪ get the form factor from the Fourier-transform (FT)
 - ↪ uncertainties from a direct FT of the lattice data



⇒ detailed structure studies become possible

SUMMARY & OUTLOOK

- Nuclear lattice simulations: a new quantum many-body approach
 - based on the successful continuum nuclear chiral EFT
 - a number of intriguing results already obtained
 - clustering emerges naturally, α -cluster nuclei
 - fine-tuning in nuclear reactions can be studied
- Various bridges to lattice QCD studies need to be explored
- Many open issues can now be addressed in a truly quantitative manner
 - the “holy grail” of nuclear astrophysics ${}^4\text{He} + {}^{12}\text{C} \rightarrow {}^{16}\text{O} + \gamma$ Fowler (1983)
 - strangeness nuclear physics using the **impurity MC** method
 - Bour, Lee, UGM, Phys. Rev. Lett. **115** (2015) 185301 → slide
 - and much more $\hookrightarrow$ stay tuned

OUTLOOK: HYPERNUCLEI

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- Naive extension to include hyperons: sign problem too severe

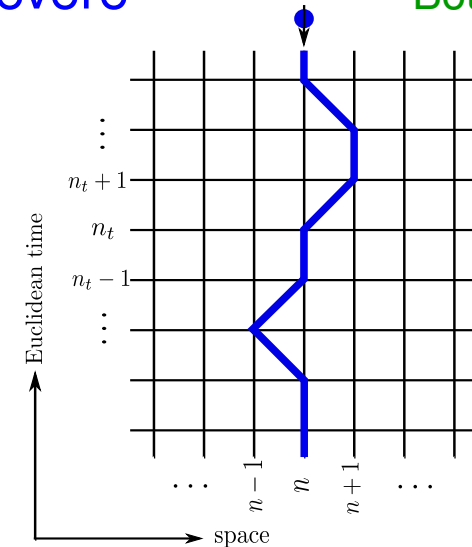
- way out: treat the hyperon as an **impurity** in a bath (background) of the nucleons

↪ using the impurity MC algorithm

Bour, Lee, UGM, PRL **115** (2015) 185301

Elhatisari, Lee, PRC **90** (2014) 064001

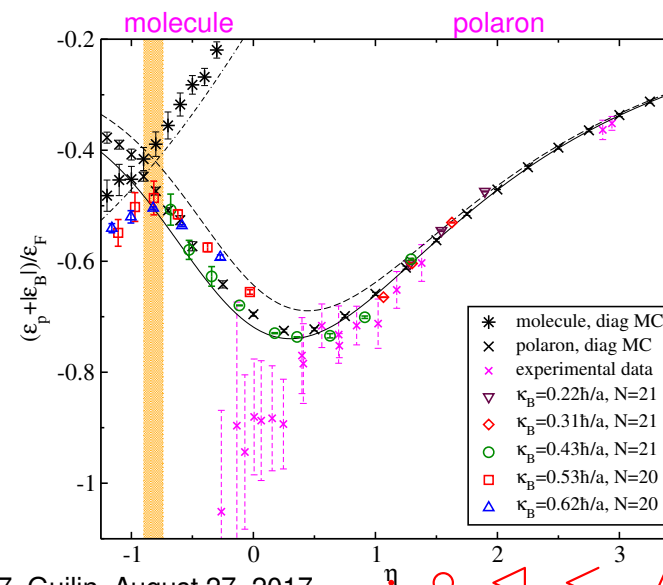
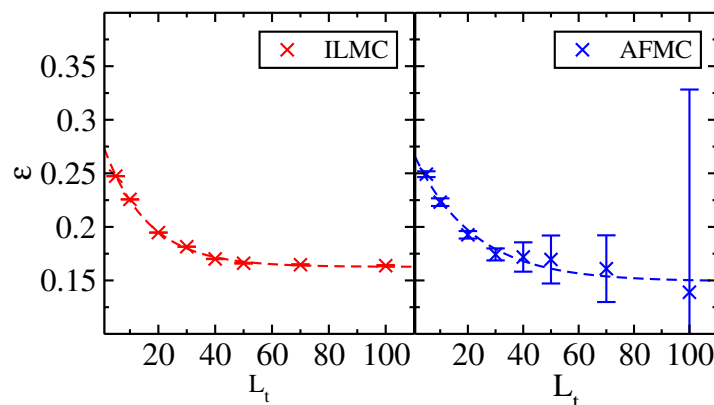
Bour (2009)



- Test cases: simple spin systems and the polaron in 2D & 3D

$9|\uparrow\rangle + 1|\downarrow\rangle, L = 10^3$, zero range int.

polaron in two dimensions



SPARES

A brief introduction to nuclear interactions

NUCLEAR PHYSICS: A PRIMER

- Nuclei are self-bound system of fermions (protons & neutrons)
- Bound by the **strong** force
- Repulsion also from the **Coulomb** force

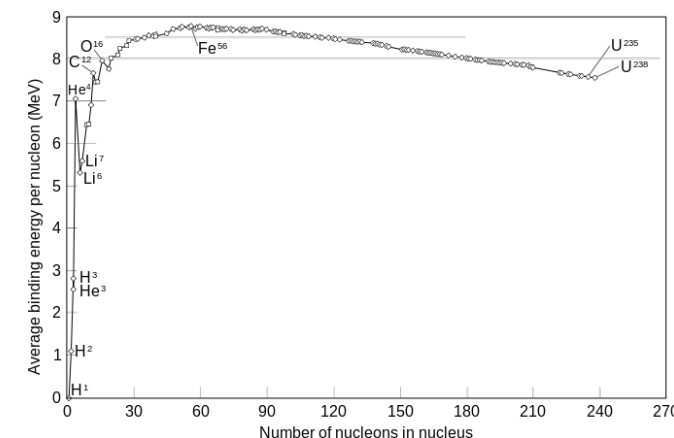
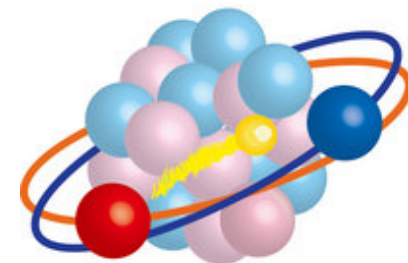
- Non-relativistic system:

$$H_{\text{nuclear}} = T + V$$

- Nuclear Hamiltonian:

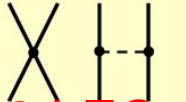
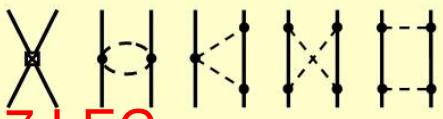
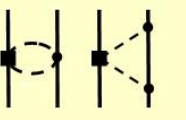
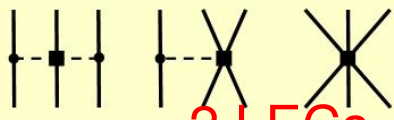
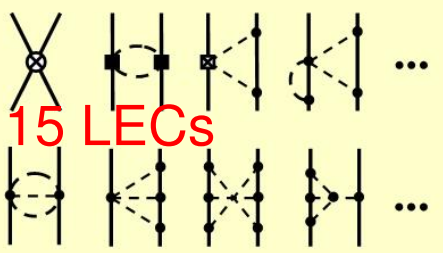
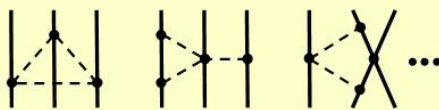
$$V = V_{NN} + V_{NNN} + \dots$$

- Dominant two-nucleon potential V_{NN} ,
but small three-nucleon force V_{NNN} is required
- Nuclear binding energies $\ll$ nuclear masses
- The nuclear Hamiltonian can be **systematically** analyzed
using the **symmetries** of the strong interactions



Weinberg 1990, 1991

CHIRAL POTENTIAL and NUCLEAR FORCES

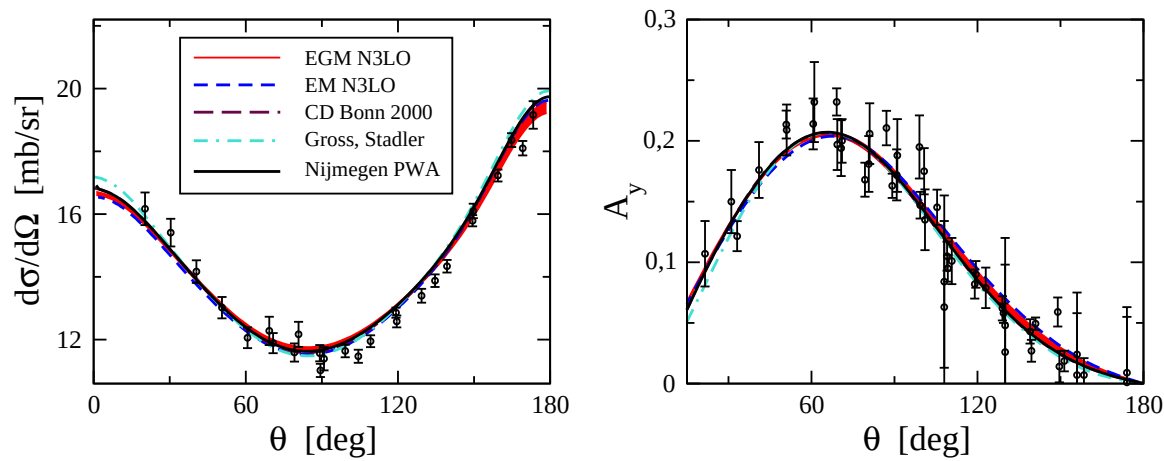
	Two-nucleon force	Three-nucleon force	Four-nucleon force	
LO	 2 LECs	—	—	$\mathcal{O}((Q/\Lambda_\chi)^0)$
NLO	 7 LECs	—	—	$\mathcal{O}((Q/\Lambda_\chi)^2)$
N ² LO		 2 LECs	—	$\mathcal{O}((Q/\Lambda_\chi)^3)$
N ³ LO	 15 LECs			$\mathcal{O}((Q/\Lambda_\chi)^4)$

- explains naturally the observed hierarchy of nuclear forces
- MANY successful tests in few-nucleon systems (continuum calc's)

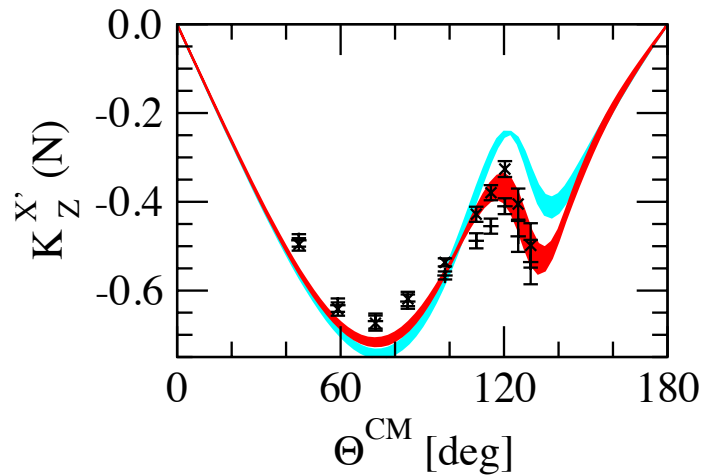
RESULTS at N3LO

39

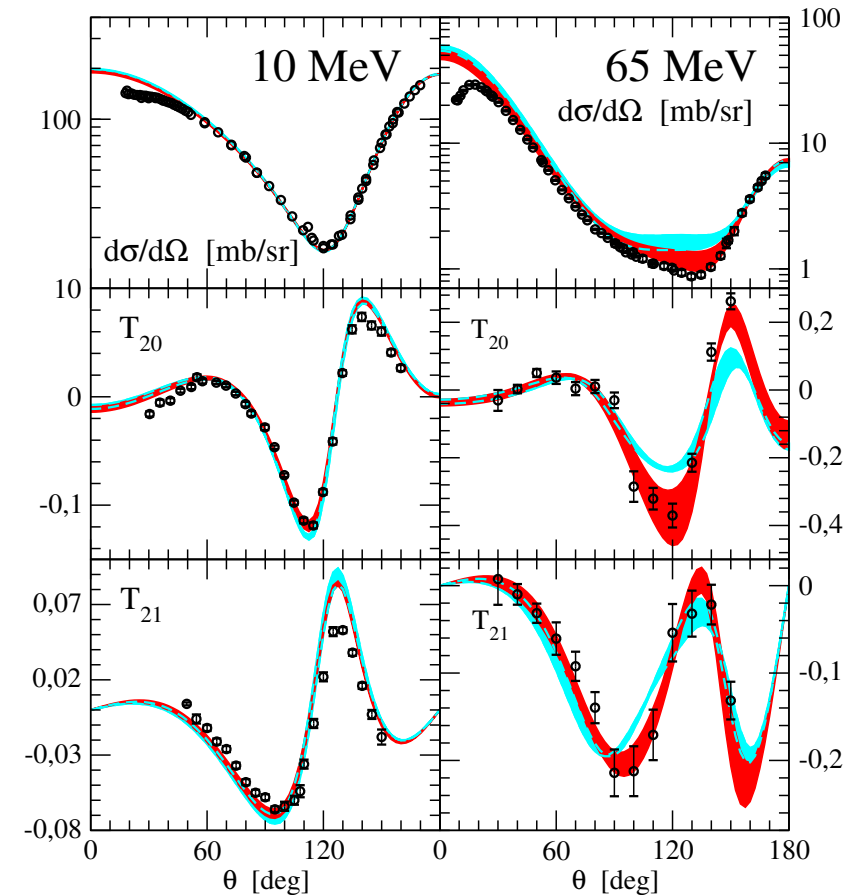
• np scattering



• pol. transfer in pd scattering



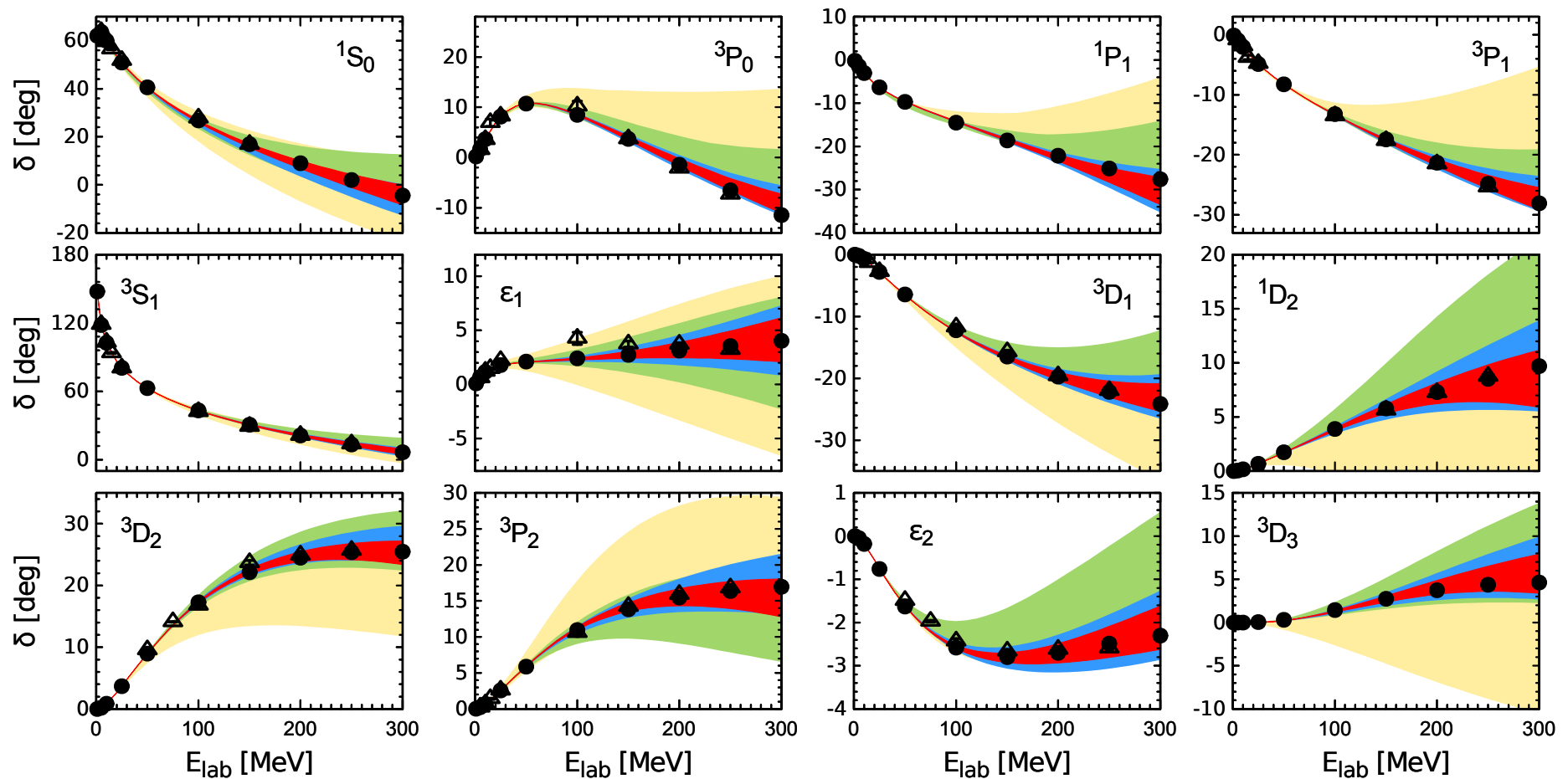
• nd scattering



Epelbaum, Hammer, UGM,
Rev. Mod. Phys. **81** (2009) 1773

- N4LO analysis, better error estimates Epelbaum, Krebs, UGM, Phys. Rev. D 100, 034029 (2019)
- Precision phase shifts with small uncertainties up to $E_{\text{lab}} = 300 \text{ MeV}$

Epelbaum, Krebs, UGM, Phys. Rev. Lett. **115** (2015) 122301



NLO N2LO N3LO N4LO

- Rules to construct an EFT:

- *scale separation* – what is low, what is high?
- *active degrees of freedom* – what are the building blocks?
- *symmetries* – how are the interactions constrained by symmetries?
- *power counting* – how to organize the expansion in low over high?

- QCD with light quarks (up, down):

low scale $\sim M_\pi \ll$ high scale $\sim M_\rho$

DOFs: pions = Goldstone bosons, nucleons, ...

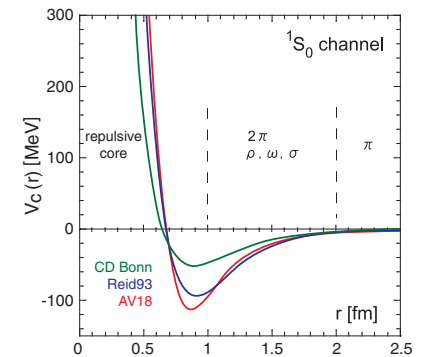
broken chiral symmetry, PCT, Lorentz, ...

$$\text{Amp} \sim q^\nu, \quad \nu = 4 - N + 2(L - C) + \sum_i V_i \Delta_i$$

- Scales in nuclear physics:

Natural: $\lambda_\pi = 1/M_\pi \simeq 1.5 \text{ fm}$ (Yukawa 1935)

Unnatural: $|a_{np}(^1S_0)| = 23.8 \text{ fm}$, $a_{np}(^3S_1) = 5.4 \text{ fm} \gg 1/M_\pi$



- this can be analyzed in a suitable EFT based on

$$\mathcal{L}_{\text{QCD}} \rightarrow \mathcal{L}_{\text{EFF}} = \mathcal{L}_{\pi\pi} + \mathcal{L}_{\pi N} + \mathcal{L}_{NN} + \dots$$

- pion and pion-nucleon sectors are perturbative in $Q/\Lambda_\chi \rightarrow$ **chiral perturbation th'y**
- $\mathcal{L}_{NN}$ collects short-distance contact terms, to be fitted
- NN interaction requires non-perturbative resummation
 → **chirally expand $V_{NN(N)}$, use in regularized Schrödinger equation**

NUCLEAR FORCES: OPEN ENDS

- Why is there this hierarchy $V_{2N} \gg V_{3N} \gg V_{4N}$?
- Gauge and chiral symmetries difficult to include (meson-exchange currents)

Brown, Riska, Gari, . . .

- Connection to QCD ?

most models have one-pion-exchange, but not necessarily respect chiral symmetry

some models have two-pion exchange reconstructed via dispersion relations from $\pi N \rightarrow \pi N$

⇒ We want an approach that

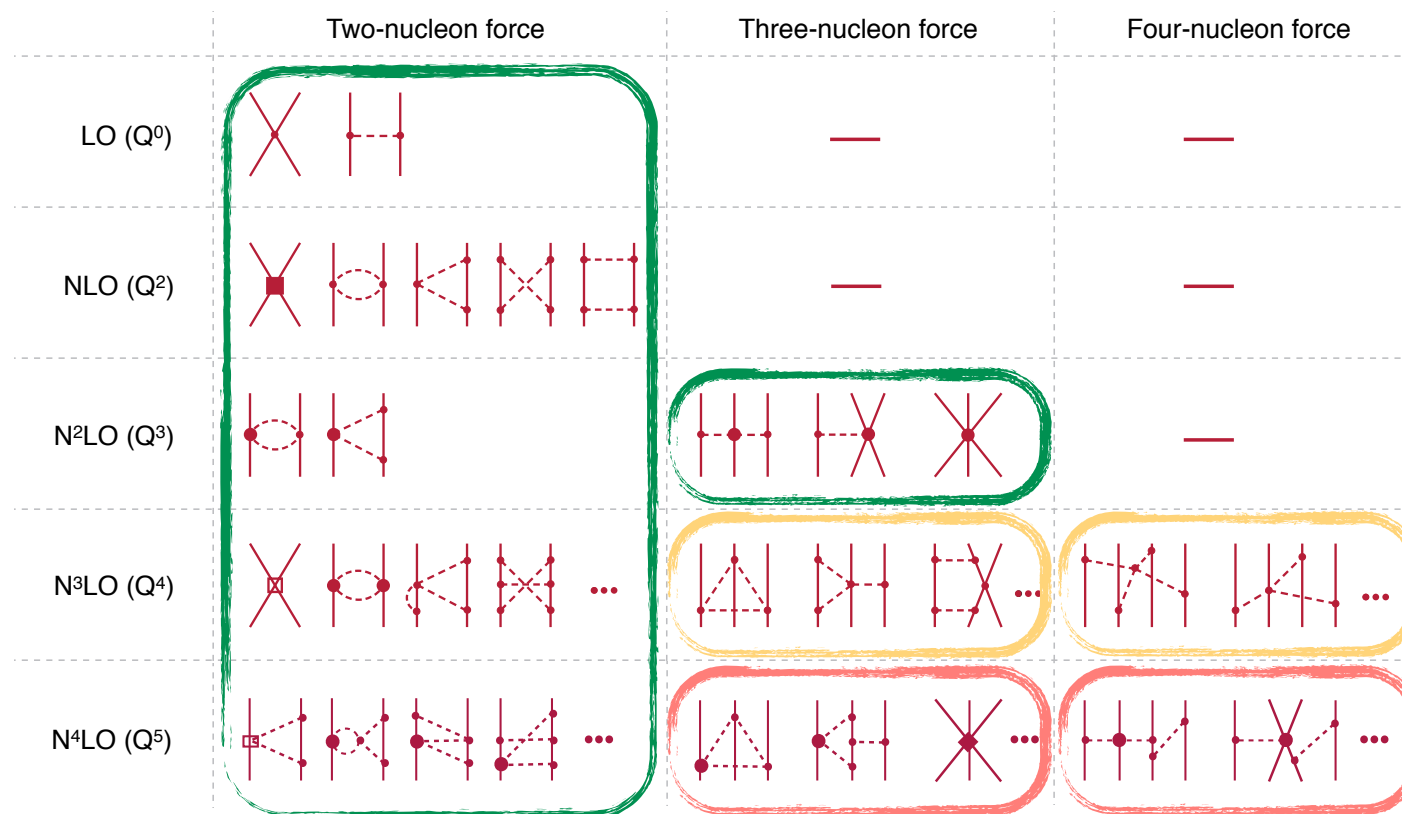
- is linked to QCD via its symmetries
- allows for systematic calc's with a controlled theoretical error
- explains the observed hierarchy of the nuclear forces
- matches nucleon structure to nuclear dynamics
- allows for a lattice formulation / chiral extrapolations
- puts nuclear physics on a sound basis

NUCLEAR FORCES in CHIRAL NUCLEAR EFT

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- expansion of the potential in powers of Q [small parameter]: $\{p/\Lambda_b, M_\pi/\Lambda_b\}$
- explains observed hierarchy of the nuclear forces
- extremely successful in few-nucleon systems

Epelbaum, Hammer, UGM, Rev. Mod. Phys. **81** (2009) 1773



worked out and applied

worked out and to be applied

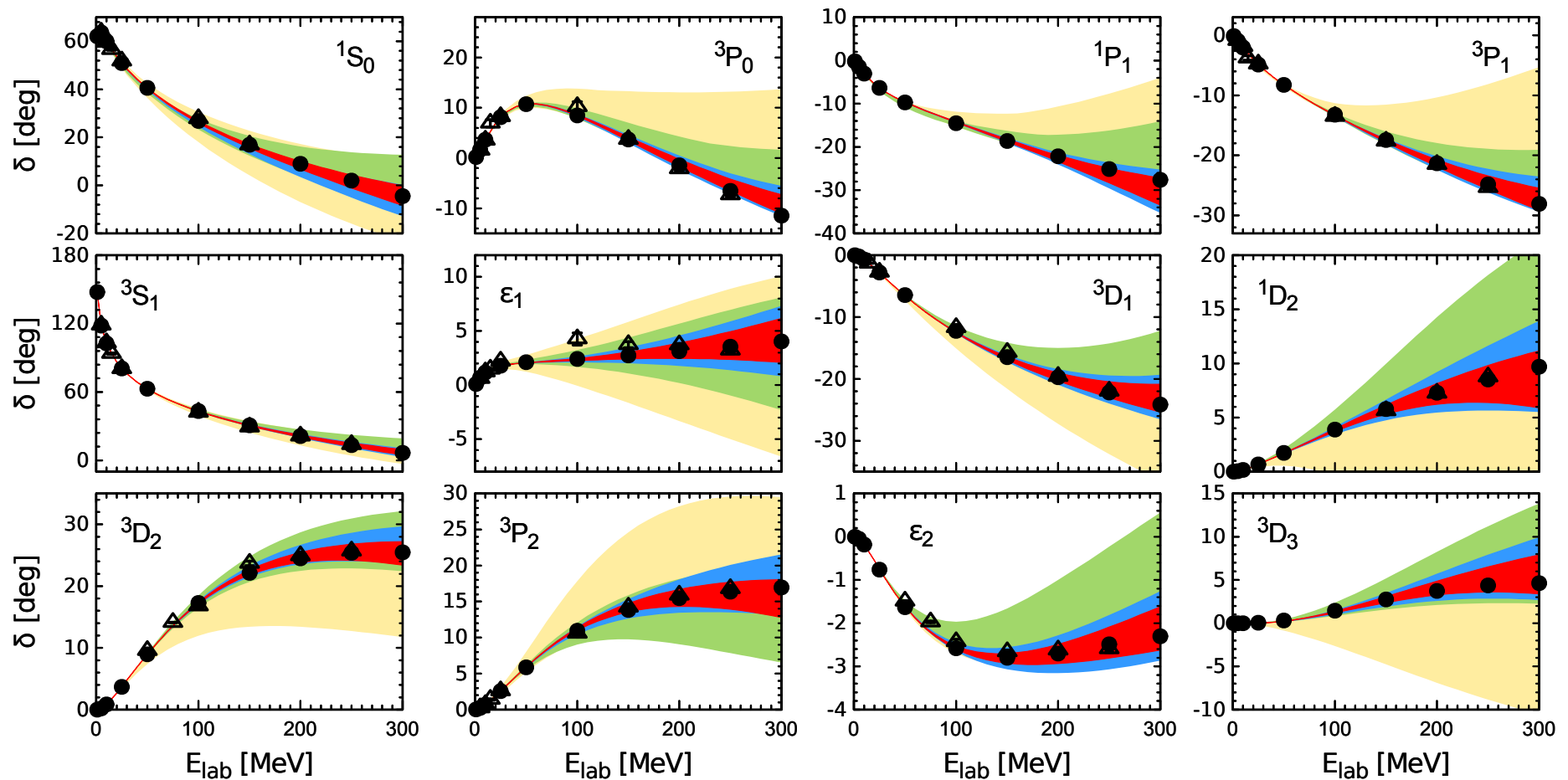
calculations in progress

PHASE SHIFTS at N4LO

45

⇒ Precision phase shifts with small uncertainties up to $E_{\text{lab}} = 300$ MeV

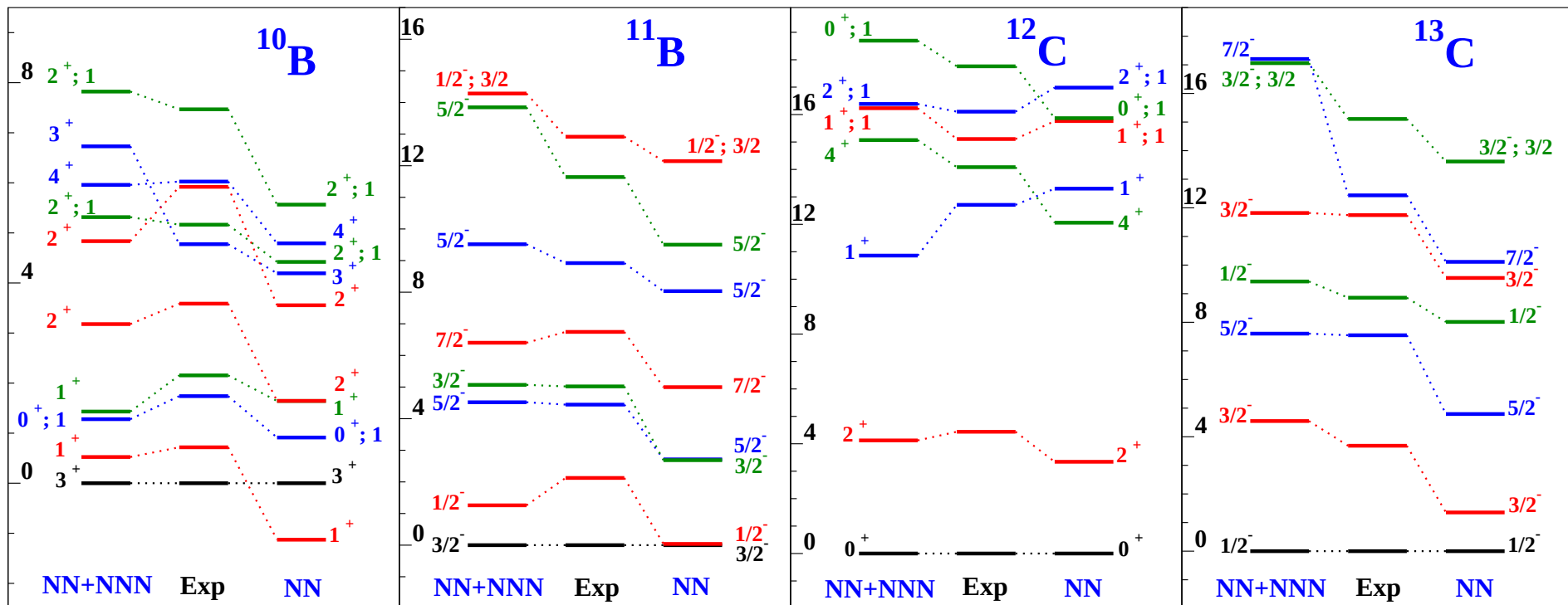
Epelbaum, Krebs, UGM, Phys. Rev. Lett. **115** (2015) 122301



NLO N2LO N3LO N4LO

NO-CORE-SHELL MODEL: p-SHELL NUCLEI

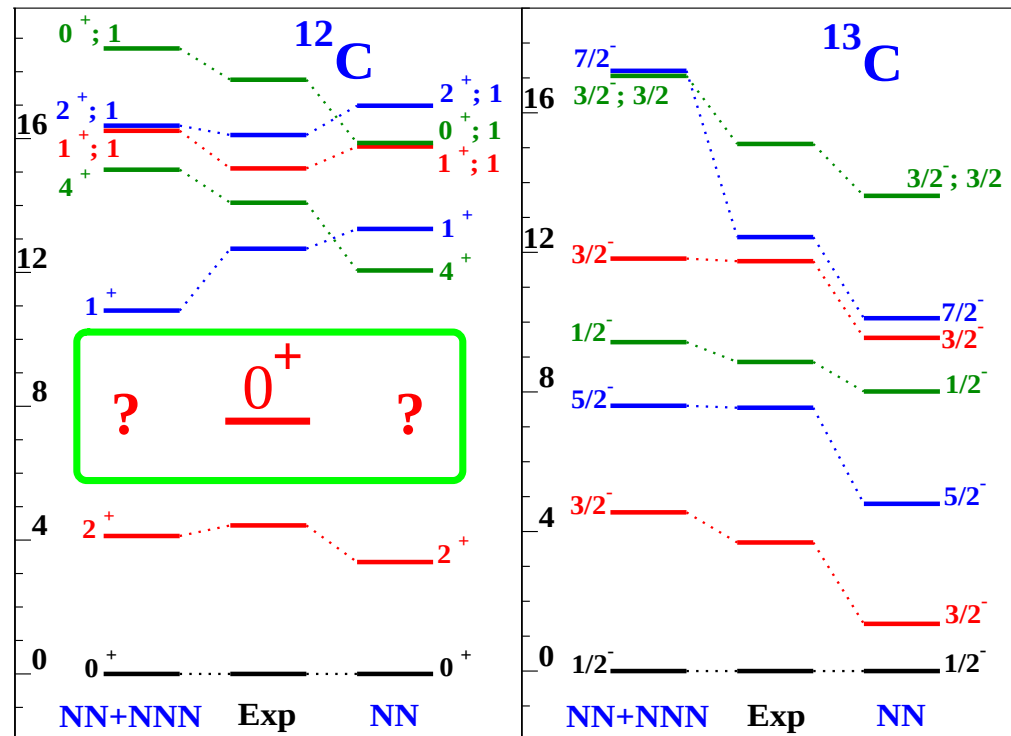
- No-core-shell-model calculation Navratil *et al.*, Phys. Rev. Lett. **99**, 042501 (2007)
- NN interaction at $N^3\text{LO}$ and NNN interaction at $N^2\text{LO}$
- Fix $D\&E$ from BE of ^3H and level structure of ^4He , ^6Li , $^{10,11}\text{B}$ and $^{12,13}\text{C}$



MODERN MANY-BODY THEORY and the HOYLE STATE⁴⁷

- one of the most sophisticated many-body theories (No-Core-Shell-Model)
- excellent description of p-shell nuclei from ${}^6\text{Li}$ to ${}^{13}\text{C}$

P. Navratil et al., Phys. Rev. Lett. **99** (2007) 042501 + updates



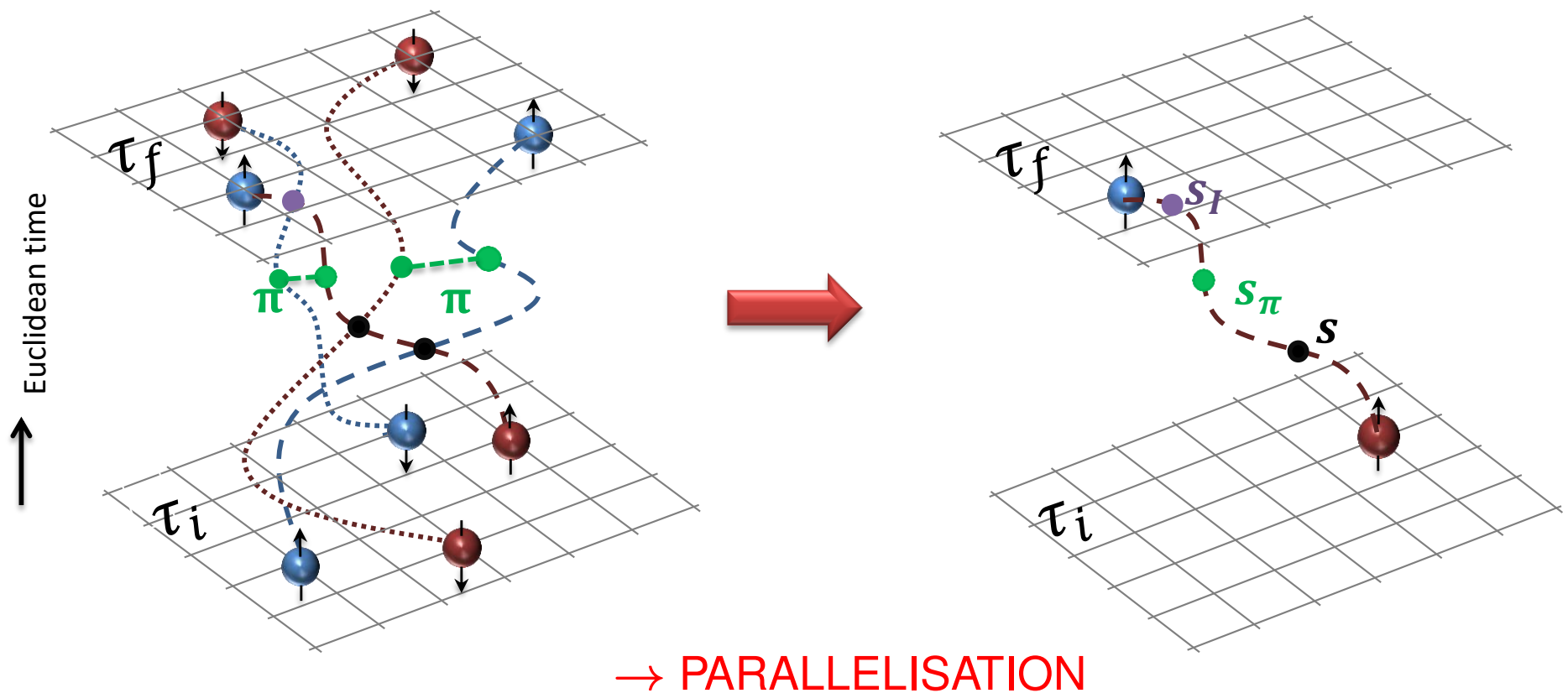
⇒ NO signal of the Hoyle state (i.g. α -cluster states)

⇒ must develop a better method

AUXILIARY FIELD METHOD

- Represent interactions by auxiliary fields [Gaussian quadrature]:

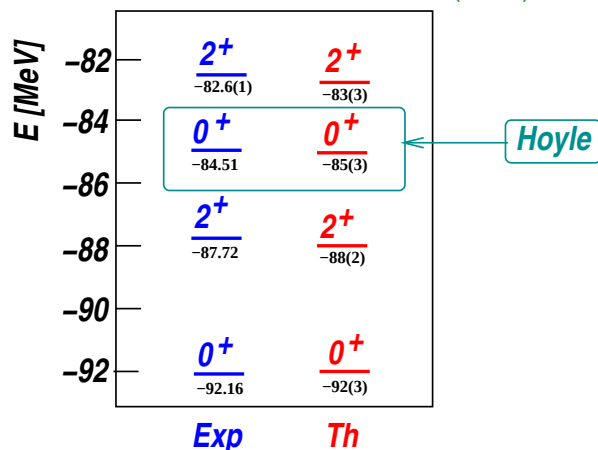
$$\exp \left[-\frac{C}{2} (N^\dagger N)^2 \right] = \sqrt{\frac{1}{2\pi}} \int ds \exp \left[-\frac{s^2}{2} + \sqrt{C} s (N^\dagger N) \right]$$



RESULTS from LATTICE NUCLEAR EFT

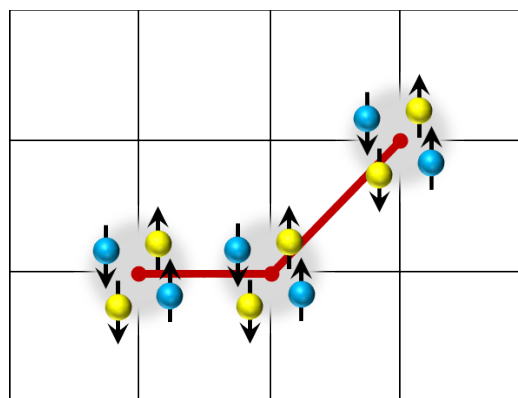
• Hoyle state in ^{12}C

PRL 106 (2011)



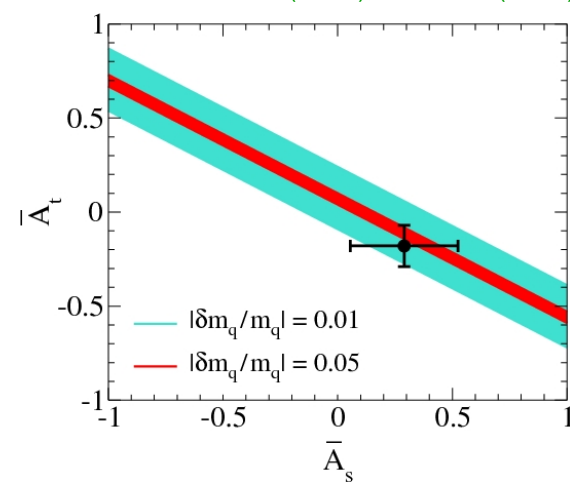
• Structure of the Hoyle state

PRL 109 (2012)



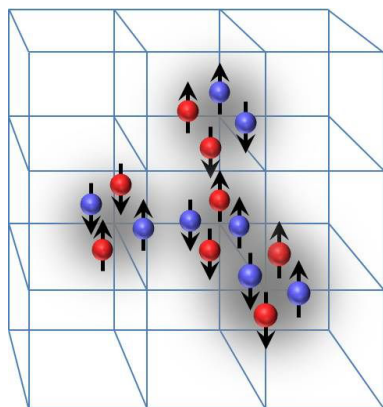
• Fate of carbon-based life

PRL 110 (2013), EPJA 49 (2013)



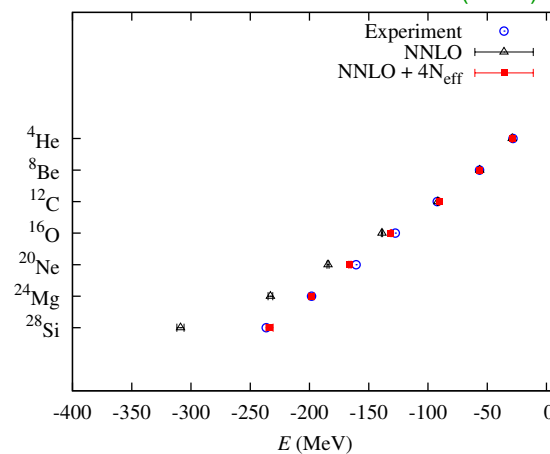
• Spectrum of ^{16}O

PRL 112 (2014)



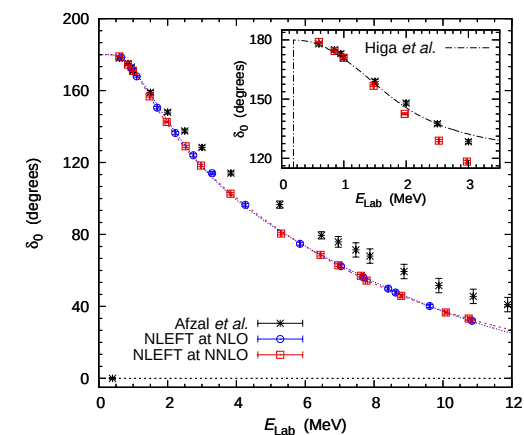
• Going up the α -chain

PLB 732 (2014)



• Ab initio α - α scattering

Nature 528 (2015)

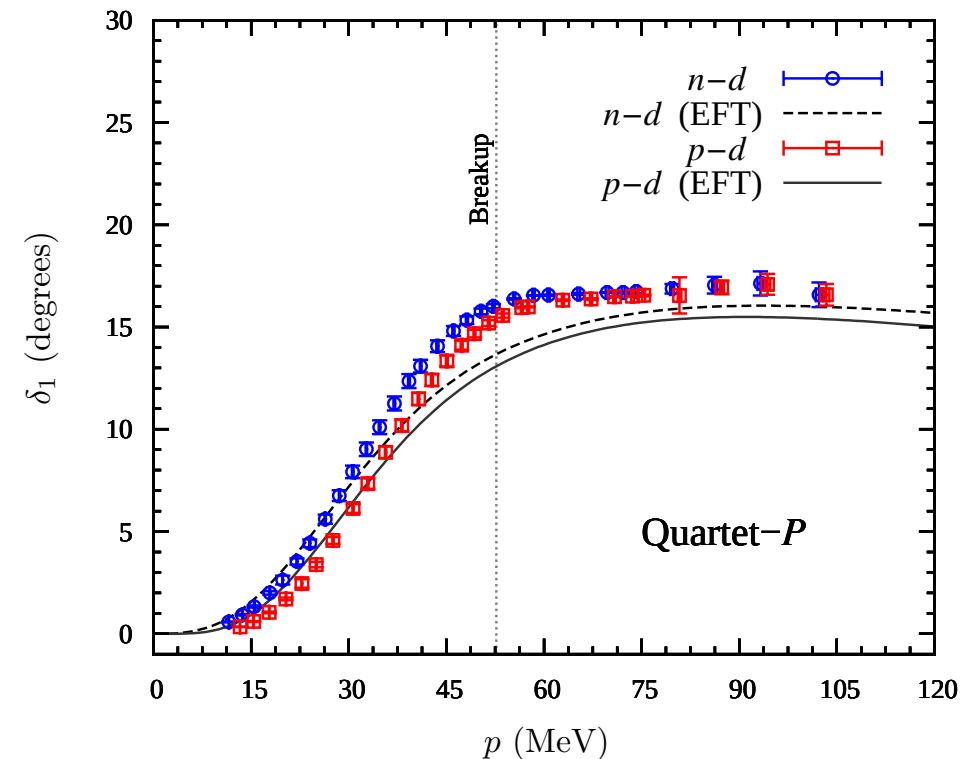
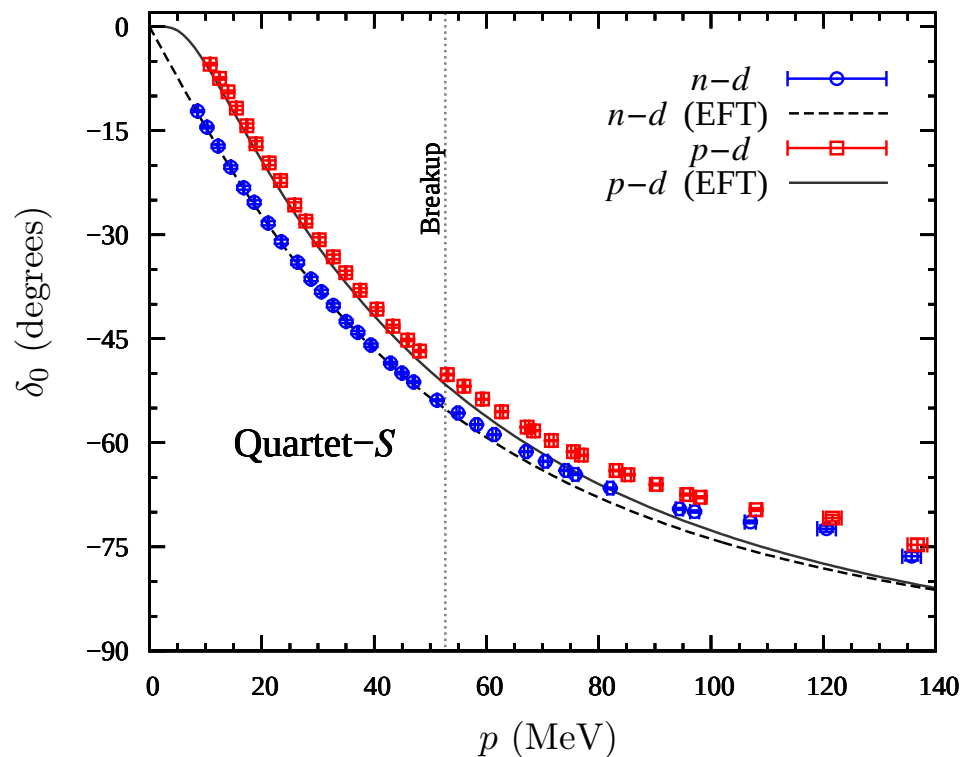


ANOTHER TEST: NUCLEON-DEUTERON SCATTERING⁵⁰

Elhatisari, Lee, UGM, Rupak, Eur. Phys. J. A **52** (2016) 174

- Use improved methods (cluster states projected on sph. harmonics, etc.) & algorithmic improvements
- Precision calculation of proton-deuteron and neutron-deuteron scattering

Pionless EFT: König, Hammer, Gabbiani, Bedaque, Rupak, Griesshammer, van Kolck, 1998-2011



Nuclear binding near a quantum phase transition

Elhatisari, Li, Rokash, Alarcon, Du, Klein, Lu, UGM, Epelbaum,
Krebs, Lähde, Lee, Rupak,
Phys. Rev. Lett. **117** (2016) 132501 [arXiv:1602.04539]

Editors' suggestion, featured in Physics viewpoint: D.J. Dean, Physics 9 (2016) 106

GENERAL CONSIDERATIONS

- *Ab initio* chiral EFT is an excellent theoretical framework
- not guaranteed to work well with increasing A
 - possible sources of problems:
higher-body forces, higher orders, cutoff dependence, ...
- very many ways of formulating chiral EFT at any given order (smearing etc.)
 - use not only NN scattering and light nuclei BEs
but also light nucleus-nucleus scattering data
to pin down the pertinent interactions
 - troublesome corrections might be small
 - investigate these issues using two seemingly equivalent interactions
[not a precision study!]

LOCAL and NON-LOCAL INTERACTIONS

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- General potential: $V(\vec{r}, \vec{r}')$

- Two types of interactions:

local: $\vec{r} = \vec{r}'$

non-local: $\vec{r} \neq \vec{r}'$

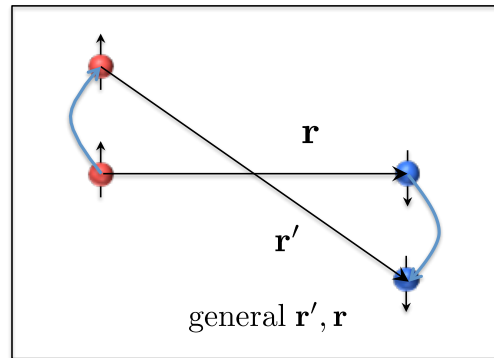
- Taylor two very different interactions:

Interaction A at LO (+ Coulomb)

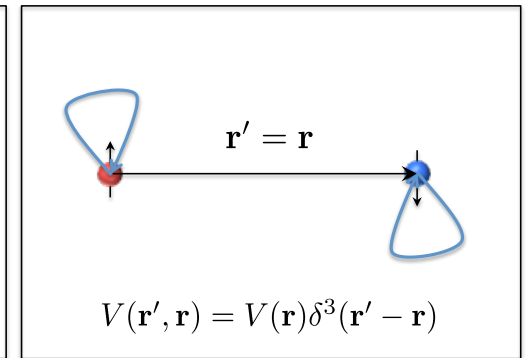
Non-local short-range interactions
+ One-pion exchange interaction
(+ Coulomb interaction)

→ tuned to NN phase shifts

Nonlocal interaction



Local interaction



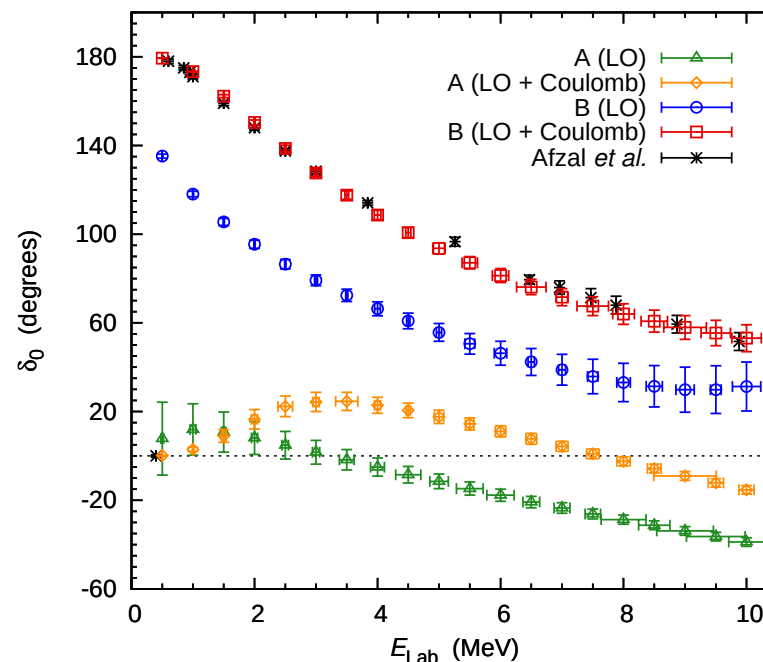
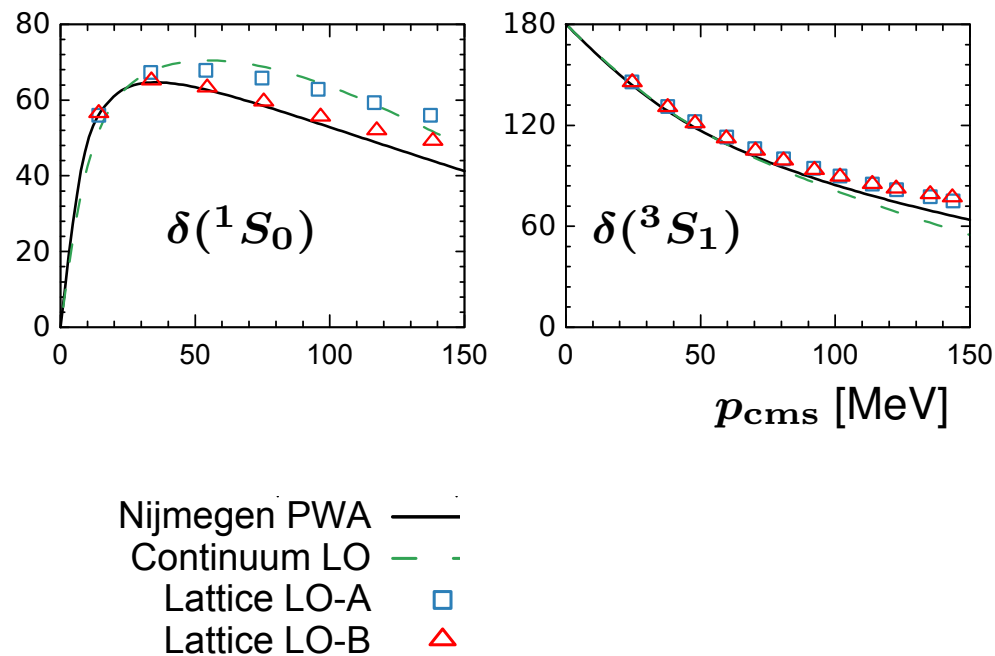
Interaction B at LO (+ Coulomb)

Non-local short-range interactions
+ Local short-range interactions
+ One-pion exchange interaction
(+ Coulomb interaction)

→ tuned to NN + α - α phase shifts

NN and ALPHA-ALPHA PHASE SHIFTS

- Both interactions very similar for NN but **not** for α - α phase shifts:

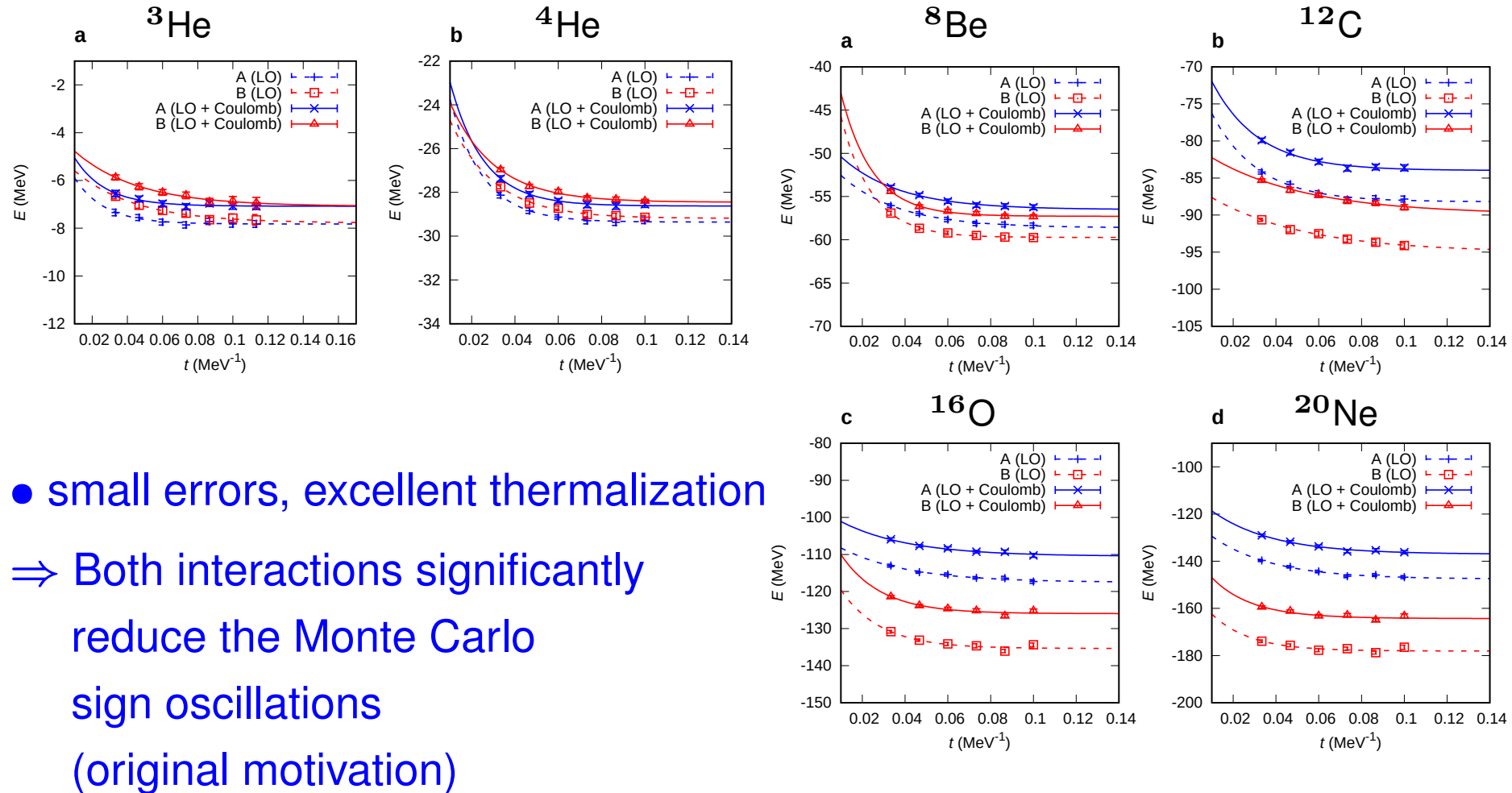


→ Interaction A fails, interaction B fitted

↪ consequences for nuclei?

GROUND STATE ENERGIES I

- Ground state energies for alpha-type nuclei plus ^3He :



GROUND STATE ENERGIES I

- Ground state energies for alpha-type nuclei (in MeV):

	A (LO)	A (LO+C.)	B (LO)	B (LO+C.)	Exp.
^4He	−29.4(4)	−28.6(4)	−29.2(1)	−28.5(1)	−28.3
^8Be	−58.6(1)	−56.5(1)	−59.7(6)	−57.3(7)	−56.6
^{12}C	−88.2(3)	−84.0(3)	−95.0(5)	−89.9(5)	−92.2
^{16}O	−117.5(6)	−110.5(6)	−135.4(7)	−126.0(7)	−127.6
^{20}Ne	−148(1)	−137(1)	−178(1)	−164(1)	−160.6

- B (LO+Coulomb) quite close to experiment (within 2% or better)
- A (LO) describes a Bose condensate of particles:

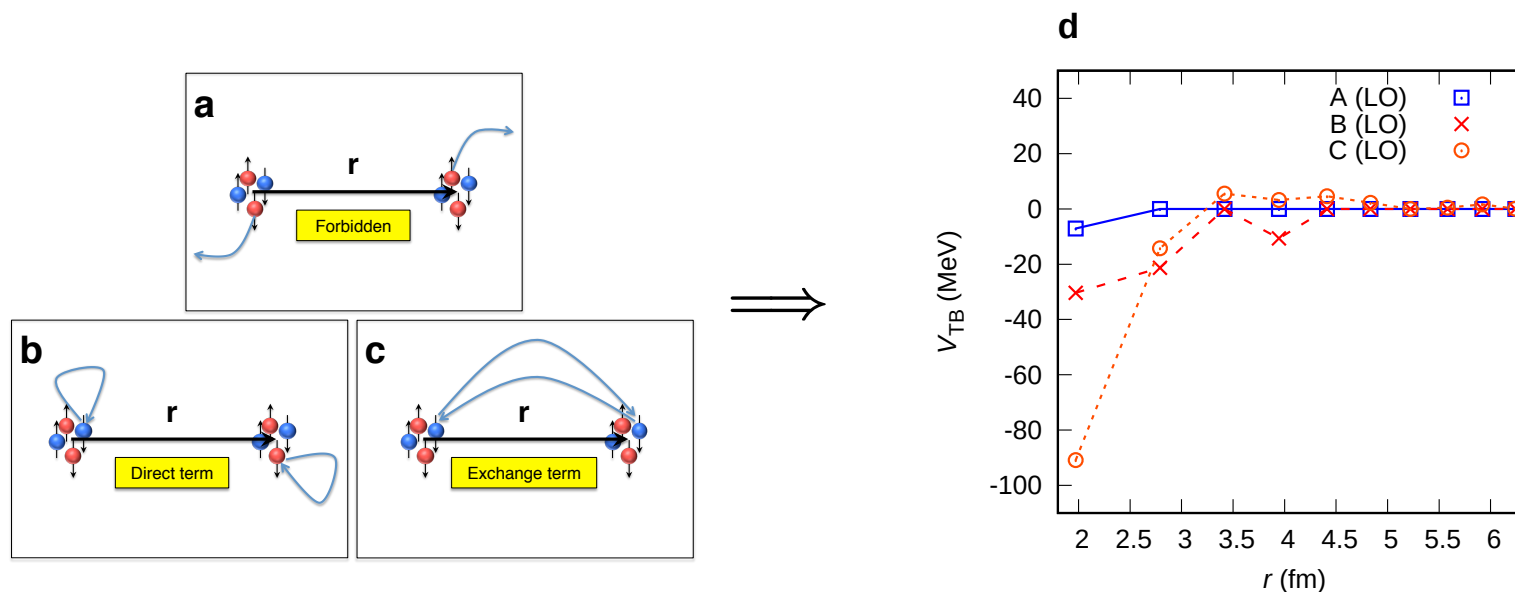
$$E(^8\text{Be})/E(^4\text{He}) = 1.997(6) \quad E(^{12}\text{C})/E(^4\text{He}) = 3.00(1)$$

$$E(^{16}\text{O})/E(^4\text{He}) = 4.00(2) \quad E(^{20}\text{Ne})/E(^4\text{He}) = 5.03(3)$$

FIRST INSIGHT

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- Interaction B was tuned to the nucleon-nucleon phase shifts, the deuteron binding energy, and the S-wave α - α phase shift
 - Interaction A starts from interaction B, but *all* local short-distance interactions are switched off, then the LECs of the non-local terms are refitted to describe the nucleon-nucleon phase shifts and the deuteron binding energy
- The alpha-alpha interaction is sensitive to the degree of locality of the NN int.
- Qualitative understanding: tight-binding approximation (eff. α - α int.)



CONSEQUENCES for NUCLEI and NUCLEAR MATTER

- Define a one-parameter family of interactions that interpolates between the interactions A and B:

$$V_\lambda = (1 - \lambda) V_A + \lambda V_B$$

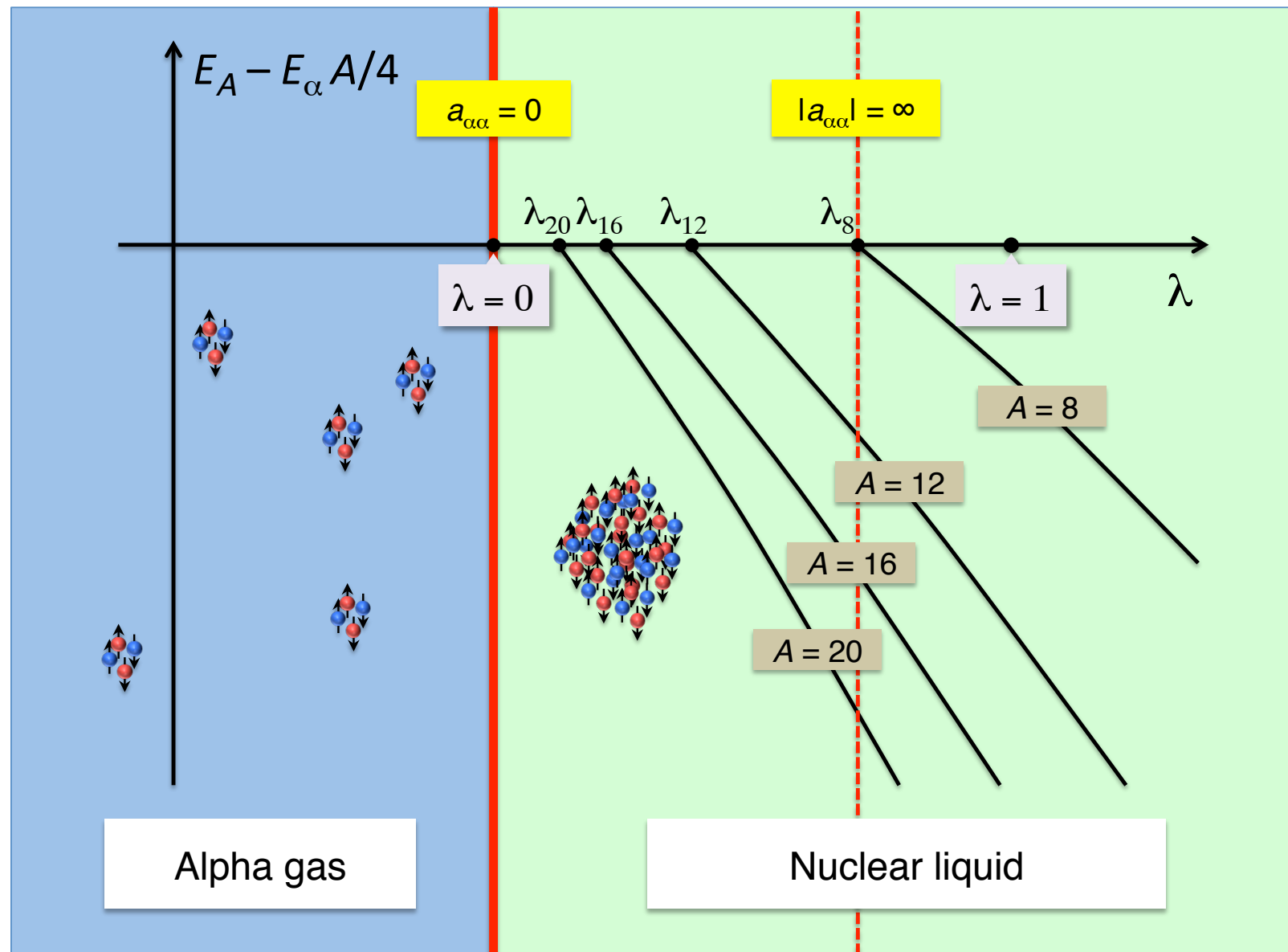
- To discuss the many-body limit, we turn off the Coulomb interaction and explore the zero-temperature phase diagram
- As a function of λ , there is a quantum phase transition at the point where the alpha-alpha scattering length vanishes

Stoff, Phys. Rev. A **49** (1994) 3824

- The transition is a first-order transition from a Bose-condensed gas of alpha particles to a nuclear liquid

ZERO-TEMPERATURE PHASE DIAGRAM

59

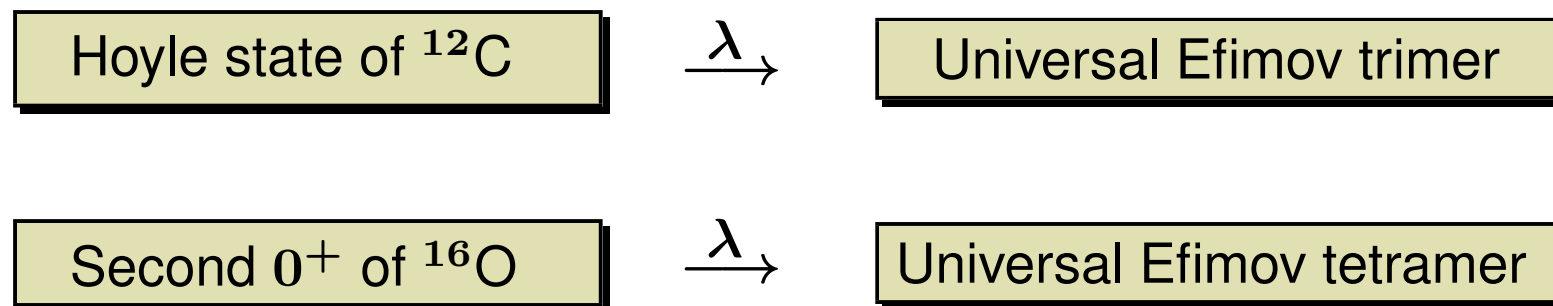


$$\begin{aligned}\lambda_8 &= 0.7(1) \\ \lambda_{12} &= 0.3(1) \\ \lambda_{16} &= 0.2(1) \\ \lambda_{20} &= 0.2(1) \\ \lambda_\infty &= 0.0(1)\end{aligned}$$

FURTHER CONSEQUENCES

- By adjusting the parameter λ in *ab initio* calculations, one can move the of any α -cluster state up and down to alpha separation thresholds.
→ This can be used as a new window to view the structure of these exotic nuclear states
- In particular, one can tune the α - α scattering length to infinity!
→ In the absence of Coulomb interactions, one can thus make contact to **universal Efimov physics**:

for a review, see Braaten, Hammer, Phys. Rept. **428** (2006) 259



- During Euclidean time interval τ_E , each cluster undergoes spatial diffusion:

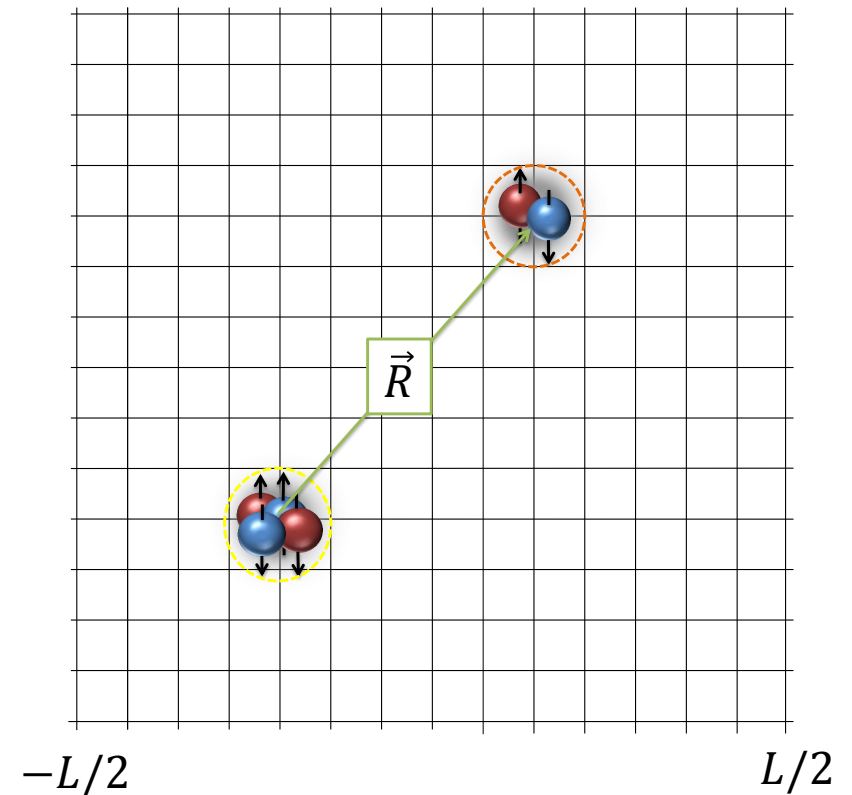
$$d_{\epsilon,i} = \sqrt{\tau_{\epsilon}/M_i}$$

- Only non-overlapping clusters if

$$|\vec{R}| \gg d_{\varepsilon,i} \Rightarrow |\vec{R}\rangle_{\tau_\varepsilon}$$

- Defines asymptotic region, where the amount of overlap between clusters is less than ε

$$|\vec{R}| > R_\epsilon$$

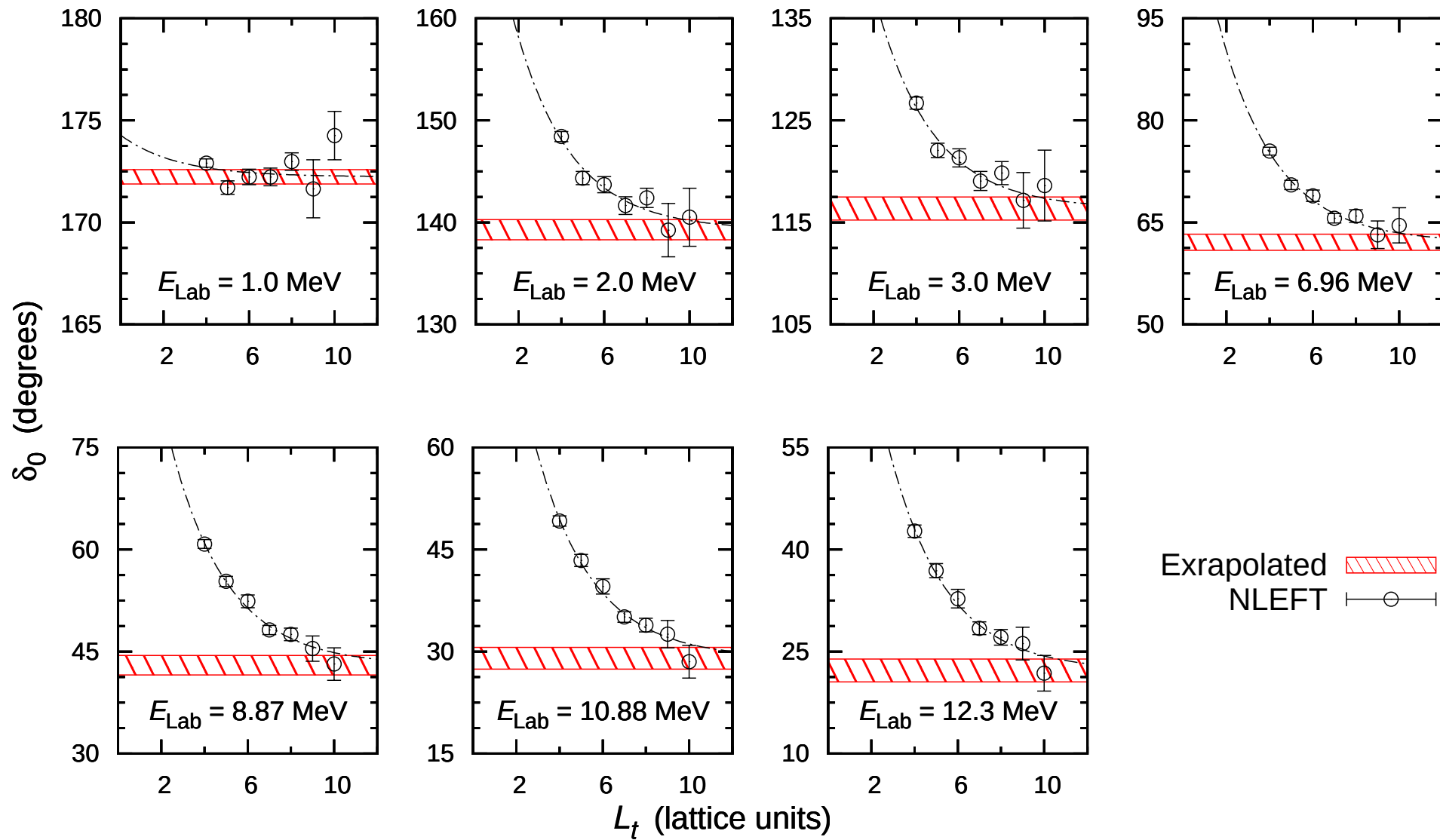


⇒ In the asymptotic region we can describe the system in terms of an effective cluster Hamiltonian (the free lattice Hamiltonian for two clusters) plus infinite-range interactions (like the Coulomb int.)

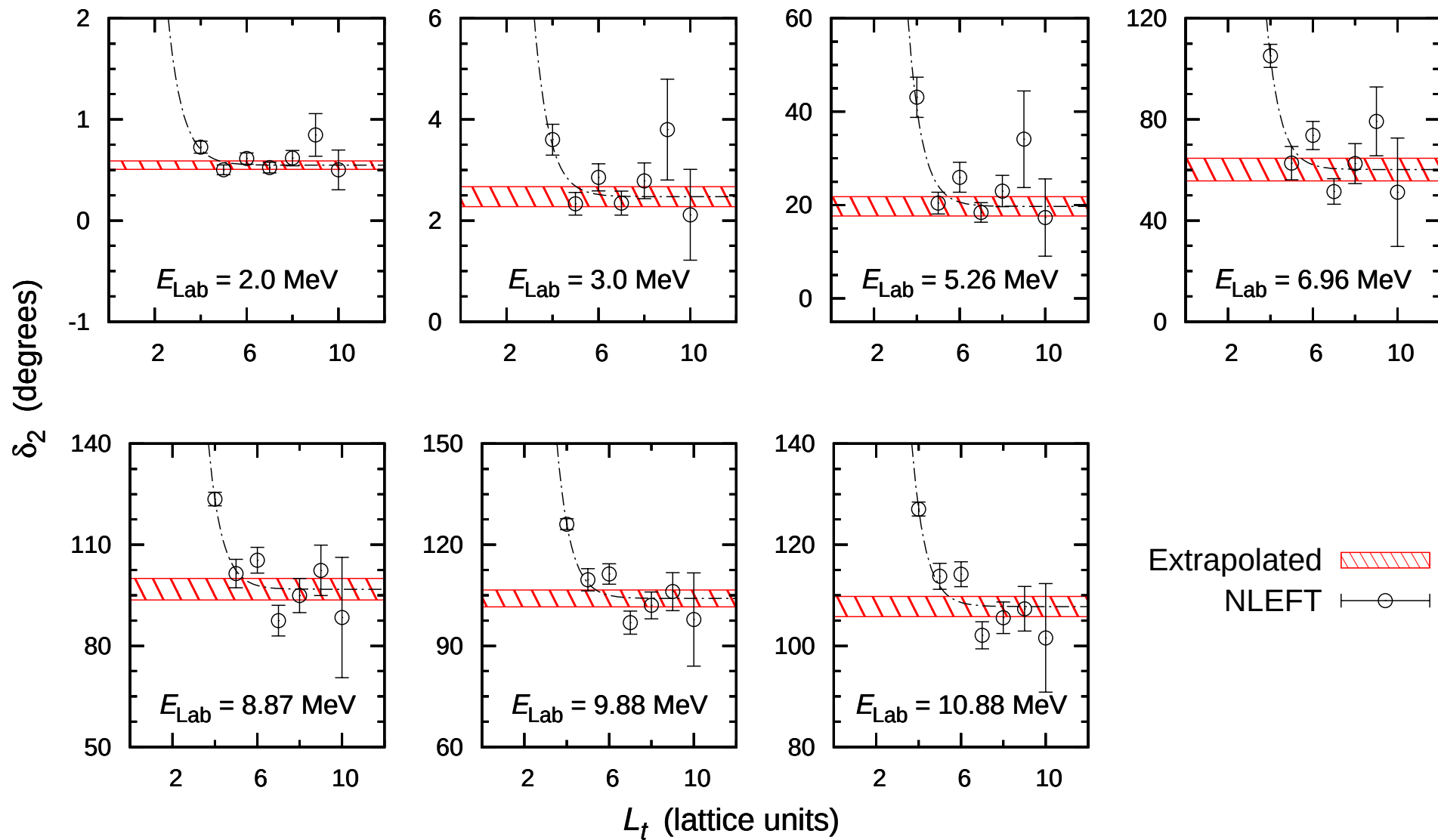
LATTICE DATA I

62

- Show data for the S-wave:



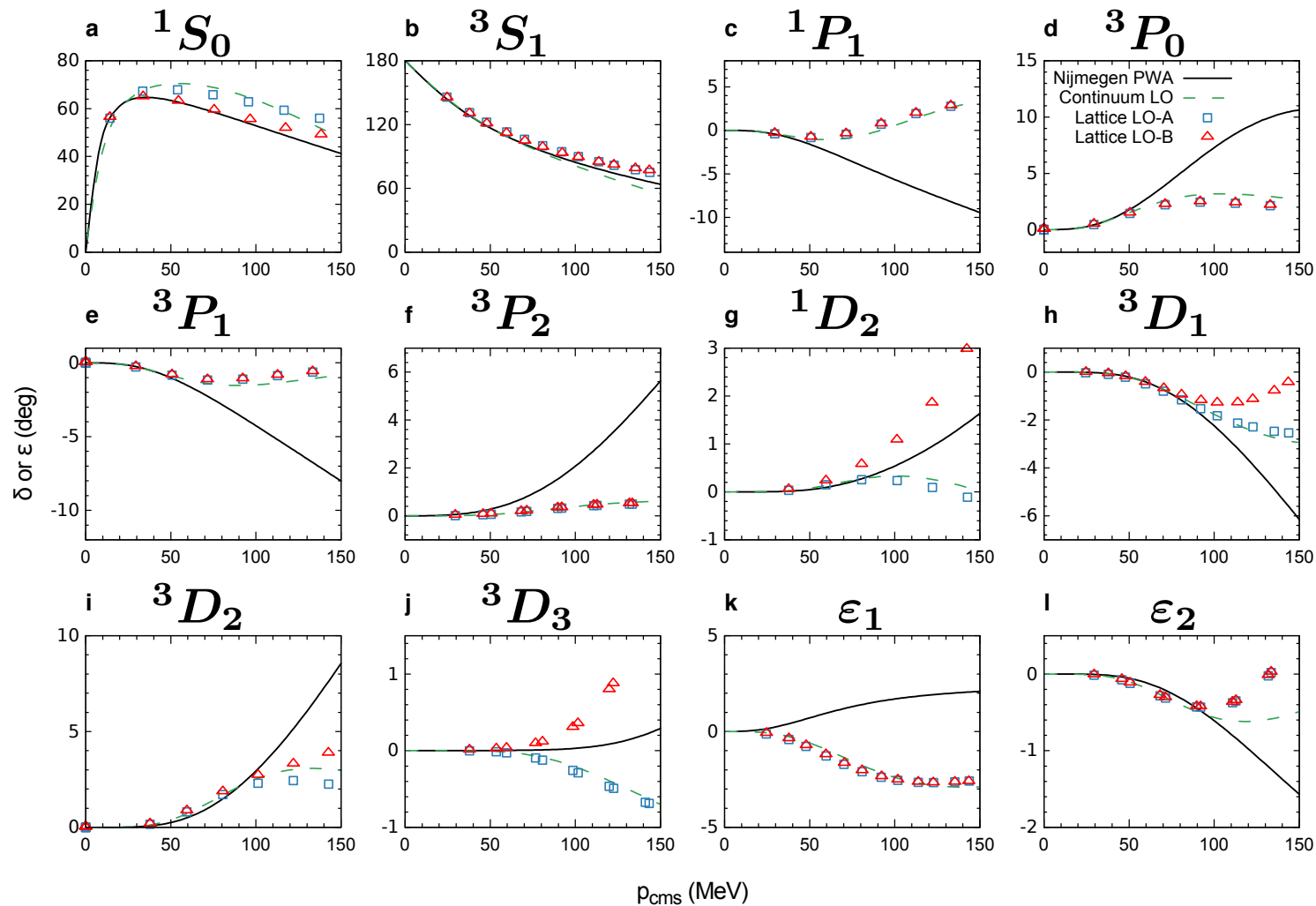
- Show data for the D-wave:



NUCLEON-NUCLEON PHASE SHIFTS

65

- Show results for NN [and α - α] phase shifts for both interactions:



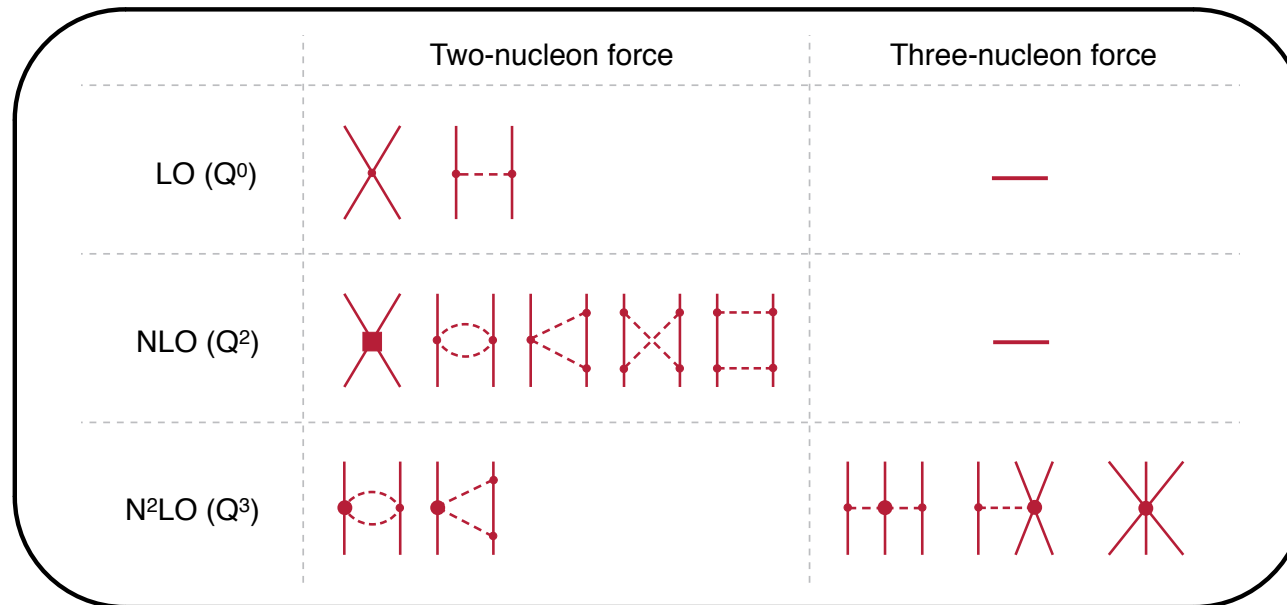
→ both interactions very similar

NUCLEAR FORCES at NNLO

67

for details, see: Epelbaum, Hammer, UGM, Rev. Mod. Phys. **81** (2009) 1773

- Potential at next-to-next-to-leading order [$Q = \{p/\Lambda, M_\pi/\Lambda\}$]:



- NN potential to NNLO [all πN and $\pi\pi N$ LECs fixed from πN scattering]:

$$\begin{aligned}
 V_{\text{NN}} &= V_{\text{LO}}^{(0)} + V_{\text{NLO}}^{(2)} + V_{\text{NNLO}}^{(3)} \\
 &= V_{\text{LO}}^{\text{cont}} + V_{\text{LO}}^{\text{OPE}} + V_{\text{NLO}}^{\text{cont}} + V_{\text{NLO}}^{\text{TPE}} + V_{\text{NNLO}}^{\text{TPE}}
 \end{aligned}$$

- Analytic expressions [2+7 LECs]:

$$V_{\text{LO}}^{\text{cont}} = C_S + C_T (\vec{\sigma}_1 \cdot \vec{\sigma}_2)$$

$$V_{\text{LO}}^{\text{OPE}} = -\frac{g_A^2}{4F_\pi^2} \tau_1 \cdot \tau_2 \frac{(\vec{\sigma}_1 \cdot \vec{q})(\vec{\sigma}_2 \cdot \vec{q})}{q^2 + M_\pi^2}$$

$\vec{q}$ = t-channel mom. transfer

$$V_{\text{NLO}}^{\text{cont}} = C_1 q^2 + C_2 k^2 + (C_3 q^2 + C_4 k^2) (\vec{\sigma}_1 \cdot \vec{\sigma}_2) + i C_5 \frac{1}{2} (\vec{\sigma}_1 + \vec{\sigma}_2) \cdot (\vec{q} \times \vec{k}) \\ + C_6 (\vec{\sigma}_1 \cdot \vec{q})(\vec{\sigma}_2 \cdot \vec{q}) + C_7 (\vec{\sigma}_1 \cdot \vec{k})(\vec{\sigma}_2 \cdot \vec{k})$$

$\vec{k}$ = u-channel mom. transfer

$$V_{\text{NLO}}^{\text{TPE}} = -\frac{\tau_1 \cdot \tau_2}{384\pi^2 F_\pi^4} L(q) [4M_\pi^2 (5g_A^4 - 4g_A^2 - 1) + q^2 (23g_A^4 - 10g_A^2 - 1) \\ + \frac{48g_A^4 M_\pi^4}{4M_\pi^2 + q^2}] - \frac{3g_A^4}{64\pi^2 F_\pi^4} L(q) [(\vec{q} \cdot \vec{\sigma}_1)(\vec{q} \cdot \vec{\sigma}_2) - q^2 (\vec{\sigma}_1 \cdot \vec{\sigma}_2)]$$

- Loop function:
$$L(q) = \frac{1}{2q} \sqrt{4M_\pi^2 + q^2} \ln \frac{\sqrt{4M_\pi^2 + q^2} + q}{\sqrt{4M_\pi^2 + q^2} - q} \\ \rightarrow 1 + \frac{1}{3} \frac{q^2}{4M_\pi^2} + \dots \text{ for } q \ll \Lambda$$

- for coarse lattices $a \simeq 2$ fm, the TPE at N(N)LO can be absorbed in the LECs C_i
- no longer true as a decreases, need to account for the TPE explicitly

- Fits in large & fixed volumes, vary a from 1 to 2 fm:

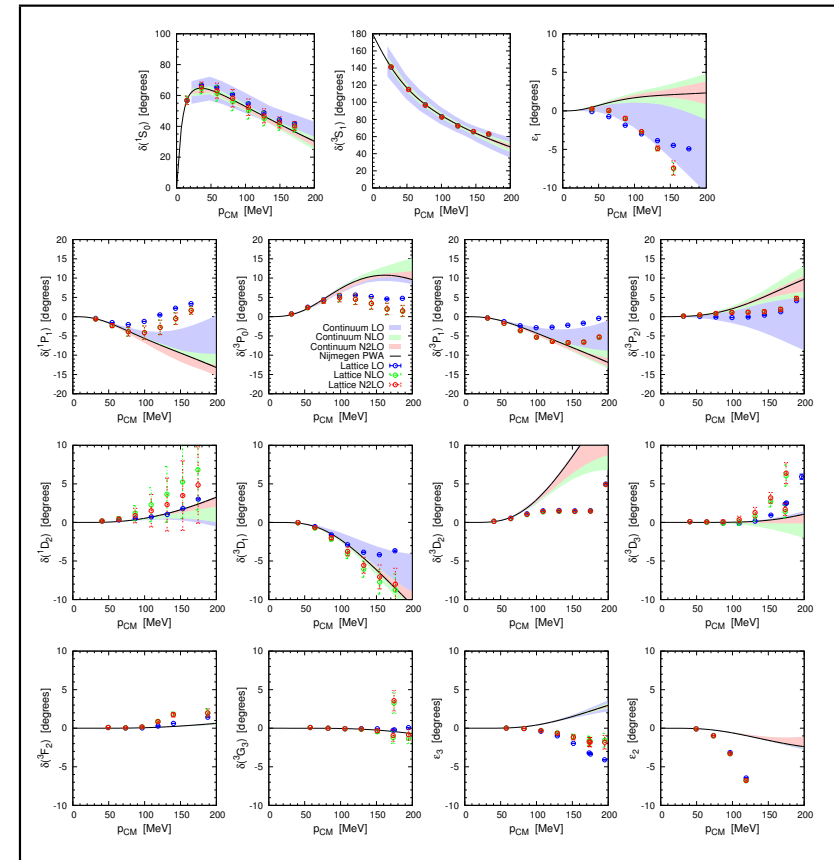
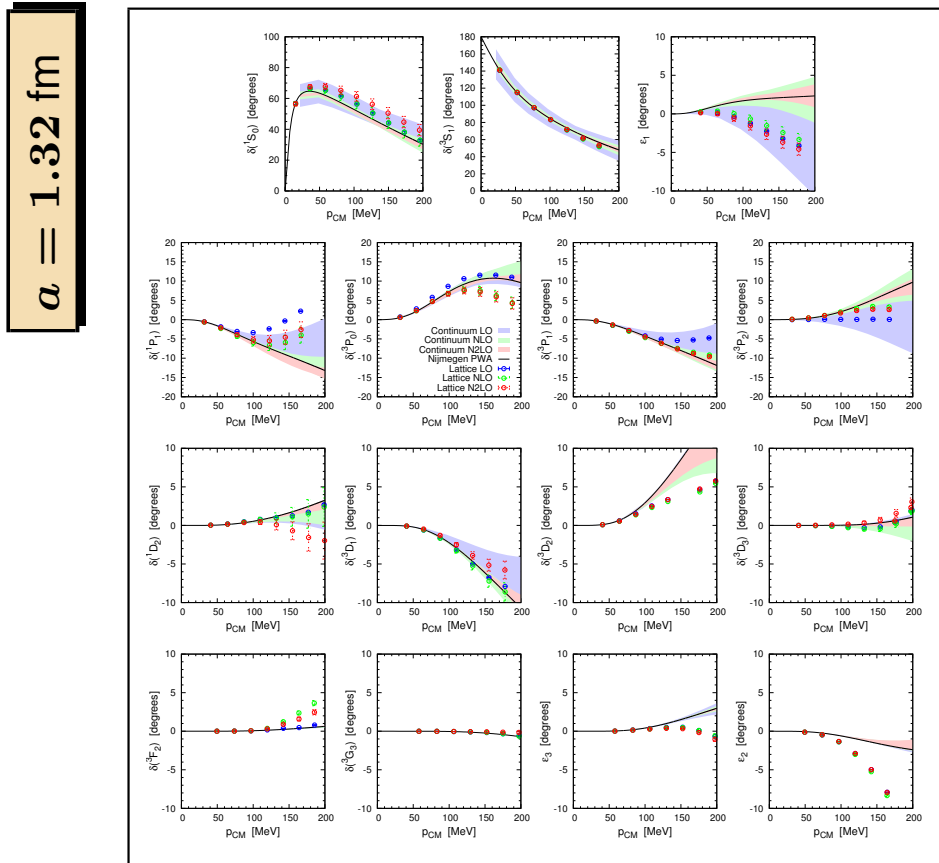
a^{-1} [MeV]	a [fm]	L	La [fm]
100	1.97	32	63.14
120	1.64	38	62.48
150	1.32	48	63.14
200	0.98	64	63.14

- OPE and TPE LECs completely fixed ($g_A \sim g_{\pi NN}$ and $c_{1,2,3,4}$ from RS analysis)

Hoferichter, Ruiz de Elvira, Kubis, UGM, Phys. Rev. Lett. **115** (2015) 092301

- Smeared LO S-wave contact interactions: $f(\vec{q}) \equiv f_0^{-1} \exp\left(-b_s \frac{\vec{q}^4}{4}\right)$
- Partial-wave projection of the contact interactions
 - fit b_s and two S-wave LECs C_i at LO up to $p_{\text{cm}} = 100$ MeV
 - w/ b_s fixed, fit two/seven S/P-wave LECs C_i at NLO/NNLO up to $p_{\text{cm}} = 150$ MeV
 - treat NLO and NNLO corrections perturbatively and non-perturbatively

- perturbative treatment of NLO and NNLO corrections



- up to $p_{\text{cm}} \simeq 150 \text{ MeV}$, physics is independent of a ✓
- description consistent with the continuum within error bands ✓
- explore this for nuclei — work in progress / stay tuned

EXTRACTING PHASE SHIFTS on the LATTICE

72

- Lüscher's method:

Two-body energy levels below the inelastic threshold on a periodic lattice are related to the phase shifts in the continuum

Lüscher, Comm. Math. Phys. **105** (1986) 153

Lüscher, Nucl. Phys. B **354** (1991) 531

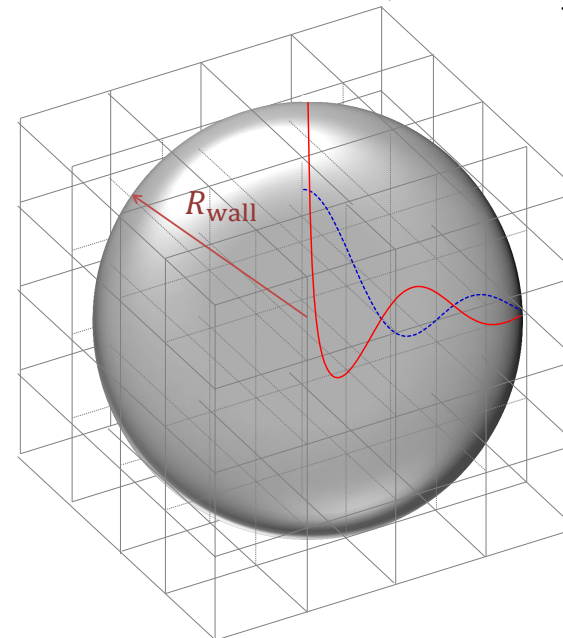
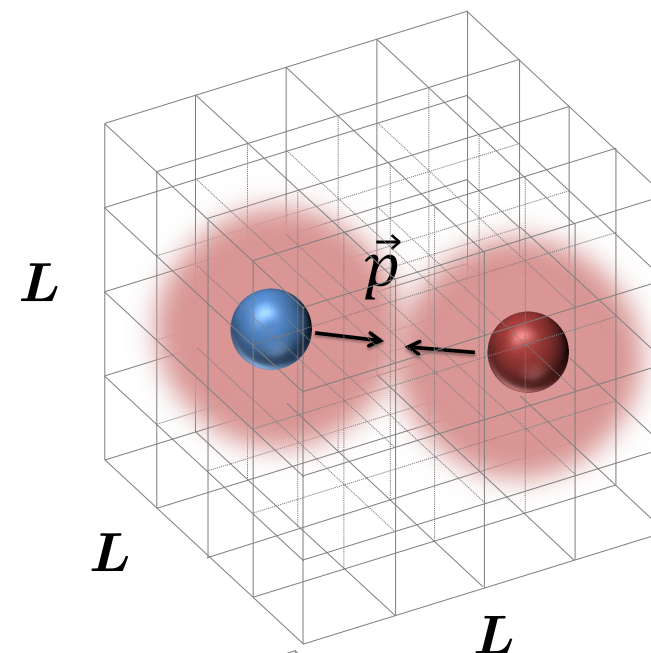
- Spherical wall method:

Impose a hard wall on the lattice and use the fact that the wave function vanishes for $r = R_{\text{wall}}$:

$$\psi_{\ell}(r) \sim [\cos \delta_{\ell}(p) F_{\ell}(pr) + \sin \delta_{\ell}(p) G_{\ell}(pr)]$$

Borasoy, Epelbaum, Krebs, Lee, UGM, EPJA **34** (2007) 185

Carlson, Pandharipande, Wiringa, NPA **424** (1984) 47



- $$[H_\tau]_{\vec{R}\vec{R}'} = \tau \langle \vec{R} | H | \vec{R}' \rangle_\tau$$

- $$[N_\tau]_{\vec{R}\vec{R}'} = {}_\tau \langle \vec{R} | \vec{R}' \rangle_\tau$$

- $$\left[H_\tau^a \right]_{\vec{R}\vec{R}'} = \sum_{\vec{R}_n\vec{R}_m} \left[N_\tau^{-1/2} \right]_{\vec{R}\vec{R}_n} \left[H_\tau \right]_{\vec{R}_n\vec{R}_m} \left[N_\tau^{-1/2} \right]_{\vec{R}_m\vec{R}'}$$

Navratil, Quaglioni, Phys. Rev. C **83** (2011) 044609
Navratil, Roth, Quaglioni, Phys. Lett. B **704** (2011) 379
Navratil, Quaglioni, Phys. Rev. Lett. **108** (2012) 042503

ALPHA-ALPHA SCATTERING

- same lattice action as for the Hoyle state in ^{12}C and the structure of ^{16}O
- (9+2) NN + 2 3N LECs, coarse lattice $a = 1.97\text{ fm}$, $N = 8$
- new algorithm for Monte Carlo updates and alpha clusters
- adiabatic projection method to construct a two-alpha Hamiltonian
- spherical wall method to extract the phase shifts using radial Hamiltonian

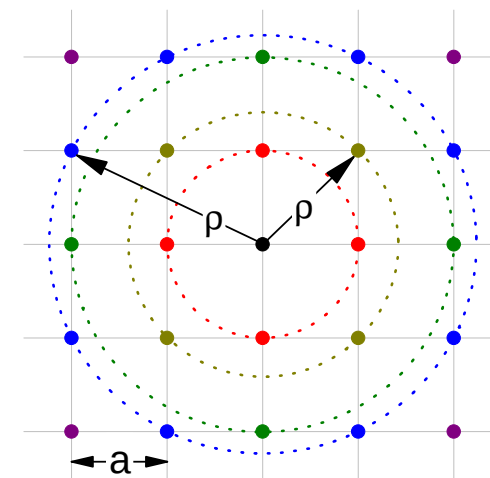
$$|R\rangle^{\ell, \ell_z} = \sum_{\vec{R}'} Y_{\ell, \ell_z}(\vec{R}') \delta_{R, |\vec{R}'|} |\vec{R}'\rangle$$

→ precise extraction of phase shifts & mixing angles

Lu, Lähde, Lee, UGM, Phys. Lett. B **760** (2016) 309

Moinard et al., work in progress

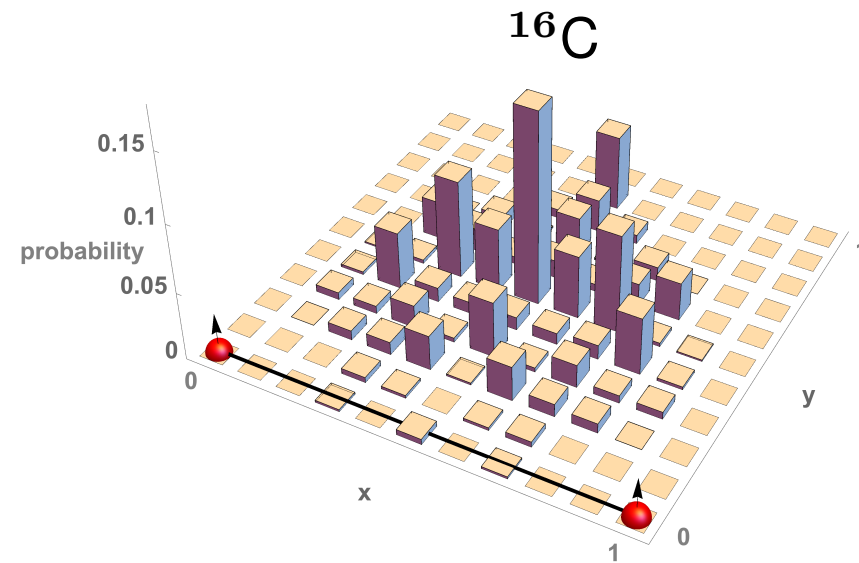
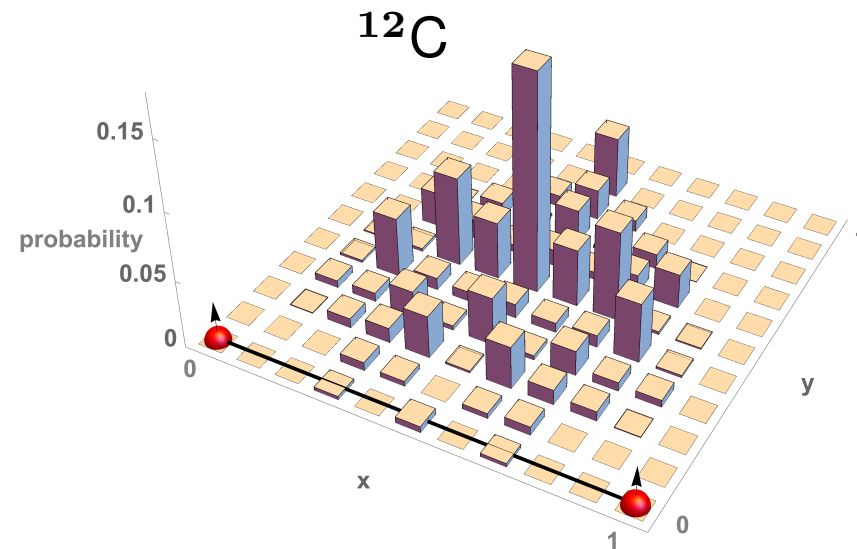
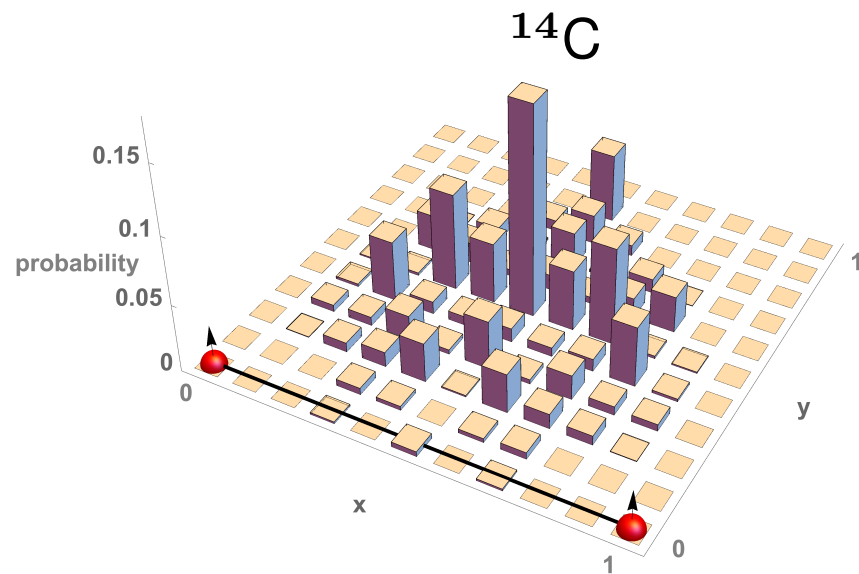
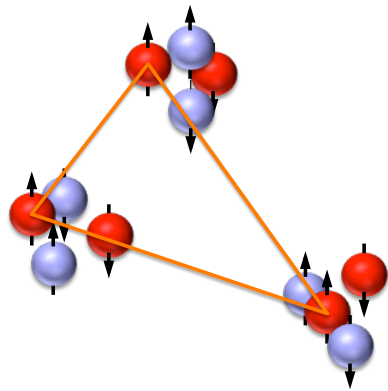
Elhatisari, Lee, UGM, Rupak, Eur. Phys. J. A **52** (2016) 174



ALPHA CLUSTER GEOMETRY

76

- Measuring the three spin-up protons by considering triangular shapes



Subject: Re: Hoyle state in ^{12}C

Thanks for the colorful graph. It makes a nice Christmas card. But I have a detailed question. Suppose you calculate not only the energy of the Hoyle state in C^{12} , but also of the ground states of He^4 and Be^8 . How sensitive is the result that the energy of the Hoyle state is near the sum of the rest energies of He^4 and Be^8 to the parameters of the theory? I ask because I suspect that for a pretty broad range of parameters, the Hoyle state can be well represented as a nearly bound state of Be^8 and He^4 .

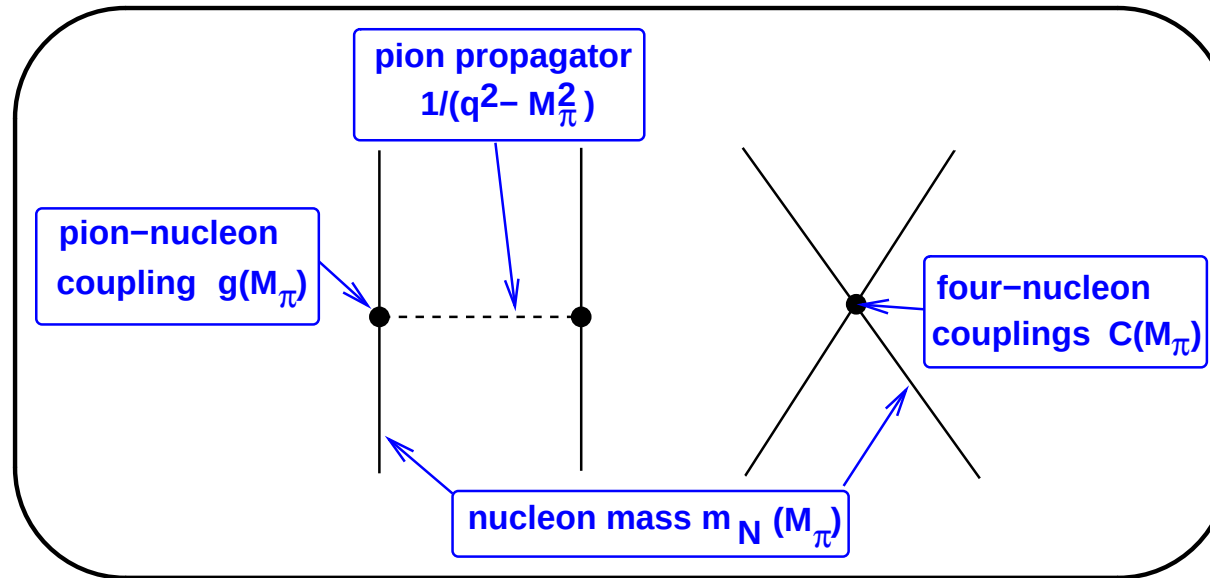
Steve Weinberg



- How does the Hoyle state move relative to the ${}^4\text{He}+{}^8\text{Be}$ threshold, if we change the fundamental parameters of QCD+QED?
- not possible in nature, *but on a high-performance computer!*

NUCLEAR FORCES for VARYING QUARK MASSES

- Nuclear forces: Pion-exchange contributions & short-distance multi-N operators
- graphical representation of the quark mass dependence of the LO potential



- always use the Gell-Mann–Oakes–Renner relation: $M_{\pi^\pm}^2 \sim (m_u + m_d)$

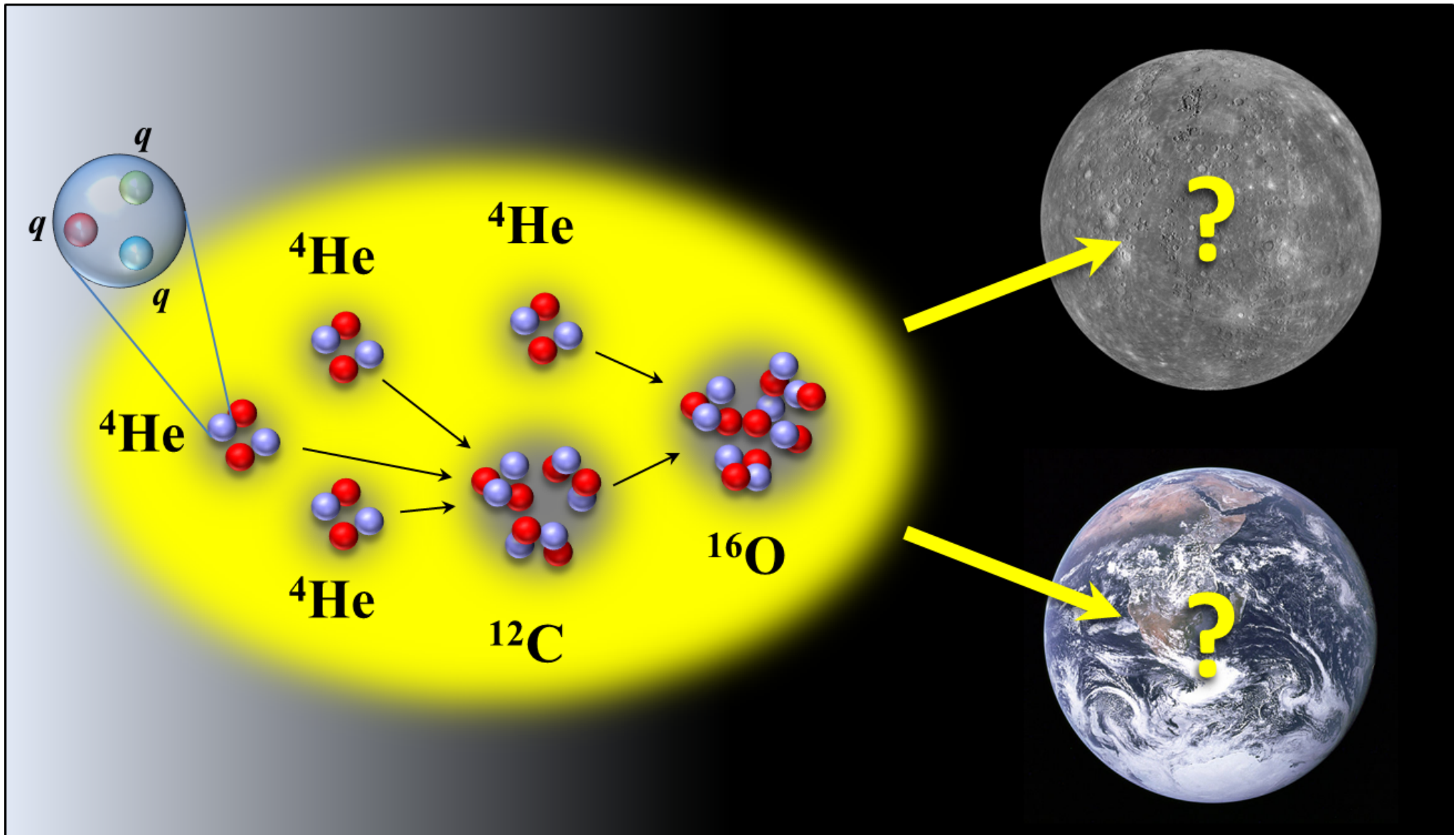
- fulfilled in QCD to better than 94%
- Colangelo, Gasser, Leutwyler 2001

⇒ Quark mass dependence of hadron properties from lattice QCD,
contact interaction require modeling → challenge to lattice QCD

FINE-TUNING of FUNDAMENTAL PARAMETERS

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Fig. courtesy Dean Lee



EARLIER STUDIES of the ANTHROPIC PRINCIPLE

- rate of the 3α -process: $r_{3\alpha} \sim \Gamma_\gamma \exp\left(-\frac{\Delta E_{h+b}}{kT}\right)$

$$\Delta E_{h+b} = E_{12}^* - 3E_\alpha = 379.47(18) \text{ keV}$$

- how much can ΔE_{h+b} be changed so that there is still enough ^{12}C and ^{16}O ?

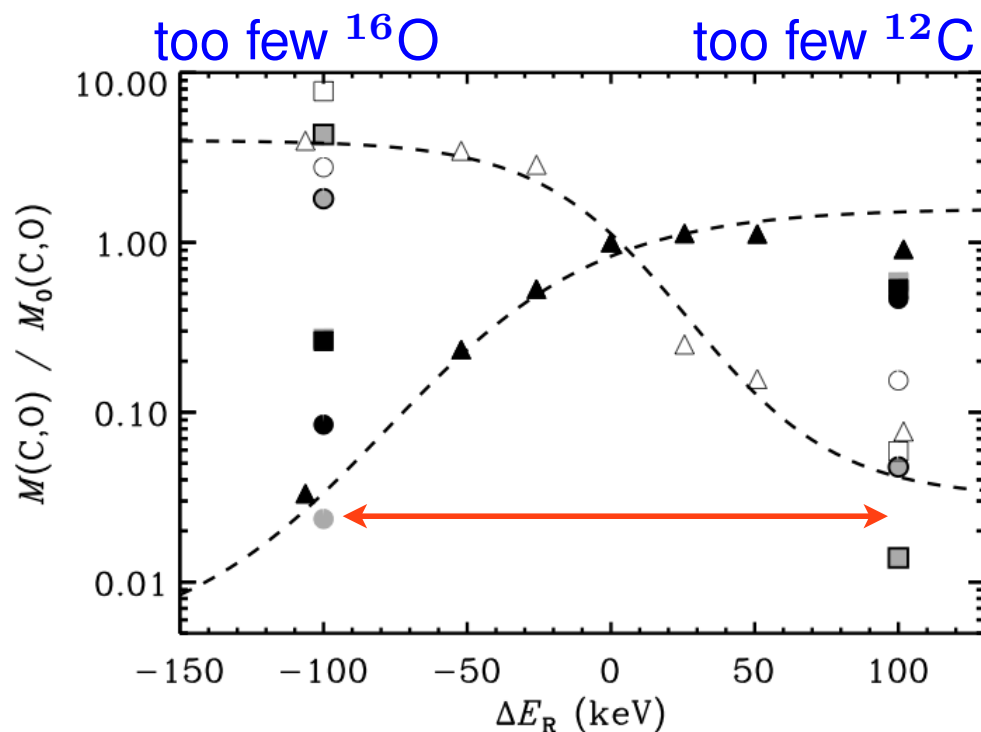
$$\Rightarrow \boxed{\delta|\Delta E_{h+b}| \lesssim 100 \text{ keV}}$$

Oberhummer et al., Science **289** (2000) 88

Csoto et al., Nucl. Phys. A **688** (2001) 560

Schlattl et al., Astrophys. Space Sci. **291** (2004) 27

[Livio et al., Nature **340** (1989) 281]



82

- consider first QCD only $\rightarrow$ calculate $\partial\Delta E/\partial M_\pi$
- relevant quantities (energy *differences*)



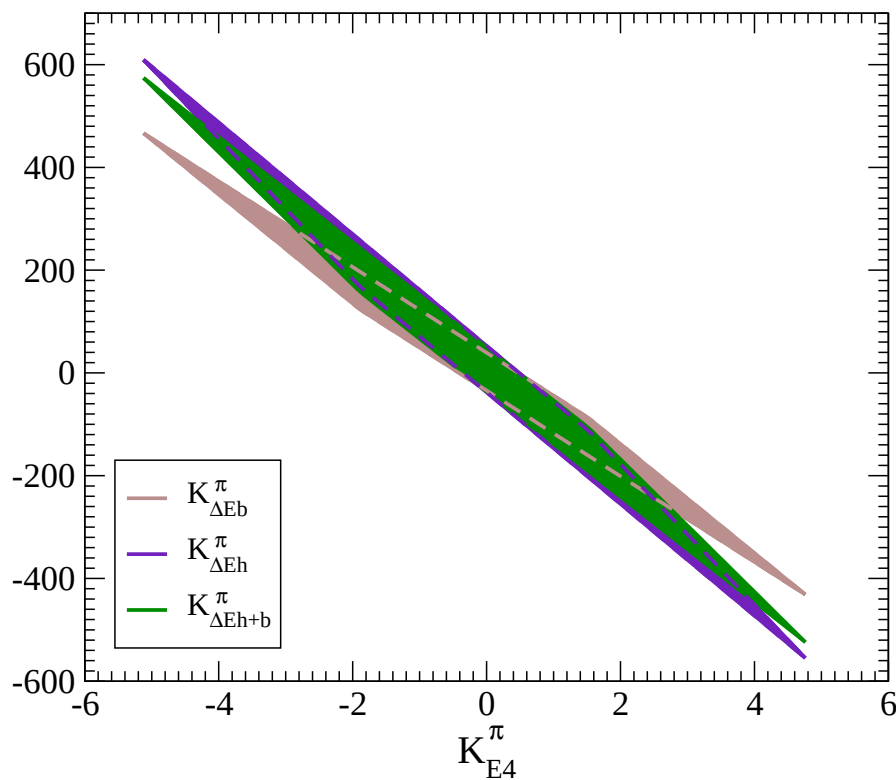
- $$E_i = E_i \left(M_\pi^{\text{OPE}}, m_N(M_\pi), g_{\pi N}(M_\pi), C_0(M_\pi), C_I(M_\pi) \right)$$

- QED in the same manner $\rightarrow$ calculate $\partial\Delta E/\partial\alpha_{\text{EM}}$

CORRELATIONS

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- map $C_{0,I}(M_\pi)$ onto $\bar{A}_{s,t} \equiv \partial a_{s,t}^{-1} / \partial M_\pi |_{M_\pi^{\text{phys}}}$ [singlet/triplet scatt. length]
- vary the derivatives $\bar{A}_{s,t} \equiv \partial a_{s,t}^{-1} / \partial M_\pi |_{M_\pi^{\text{phys}}}$ within $-1, \dots, +1$:



$$\Delta E_b = E(^8\text{Be}) - 2E(^4\text{He})$$

$$\Delta E_h = E(^{12}\text{C}^*) - E(^8\text{Be}) - E(^4\text{He})$$

$$\Delta E_{h+b} = E(^{12}\text{C}^*) - 3E(^4\text{He})$$

$$\frac{\partial O_H}{\partial M_\pi} = K_H^\pi \frac{O_H}{M_\pi}$$

- all fine-tunings in the triple-alpha process are *correlated*, as speculated

Weinberg (2000)

- Oberhummer et al., Science (2000)

$\bar{A}_{s,t} \equiv \left. \frac{\partial a_{s,t}^{-1}}{\partial M_\pi} \right|_{M_\pi^{\text{phys}}}$

The light quark mass
 is fine-tuned to $\simeq 2-3\%$

Similarly:
 α_{EM} is fine-tuned
 to $\simeq 2.5\%$

$|\delta m_q / m_q| = 0.01$
 $|\delta m_q / m_q| = 0.05$

Berengut et al.,
 Phys. Rev. D **87** (2013) 085018

