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# ***Elastic $\alpha$ - $^{12}C$ scattering at low energies in effective field theory***

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# *Outline*

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- Introduction:  $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$  process in the stars
- EFT for the radiative capture and elastic scattering of the  $\alpha$ - $^{12}\text{C}$  system at low energies
- A new renormalization method
- Numerical results
- Summary

# 1. Introduction

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- 90% of human body consists of  $^{12}\text{C}$  and  $^{16}\text{O}$ .
- $^{12}\text{C}$  and  $^{16}\text{O}$  are synthesized during helium burning process in the stars.
- $^{12}\text{C}/^{16}\text{O}$  ratio in the universe is mostly determined by the  $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$  process.
- Meanwhile about 20% uncertainty of  $S$ -factors of the  $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$  process (NACRE-II) exists after more than a half century long intensive studies for the process.

The main goal is to determine  $S_{E1}$ -factor for the process with 5-10% theoretical uncertainty in the future studies.

# $^{12}C(\alpha, \gamma)^{16}O$ process

- Level diagram of  $^{16}O$

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L.R. Buchmann, C.A. Barnes / Nuclear Physics A 777 (2006) 254–290

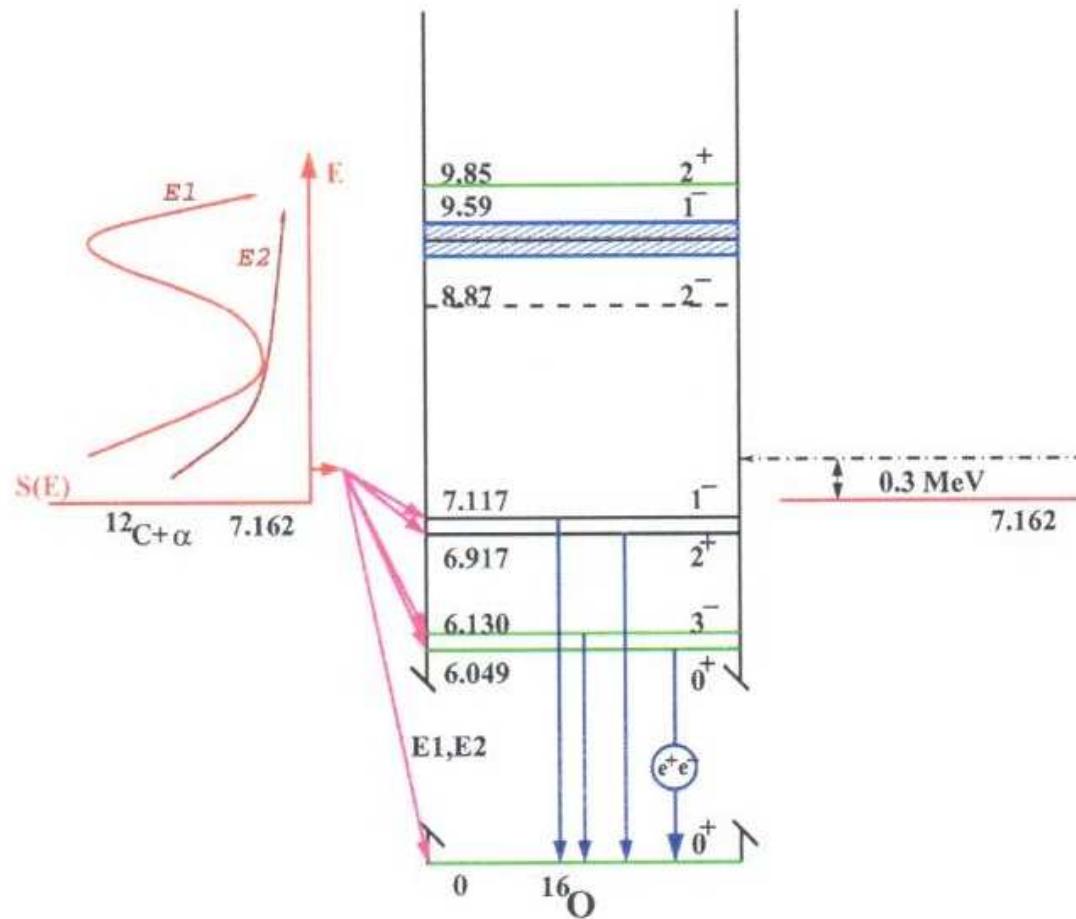


Fig. 1.  $^{16}O$  states relevant to the  $^{12}C(\alpha, \gamma)^{16}O$  reaction.

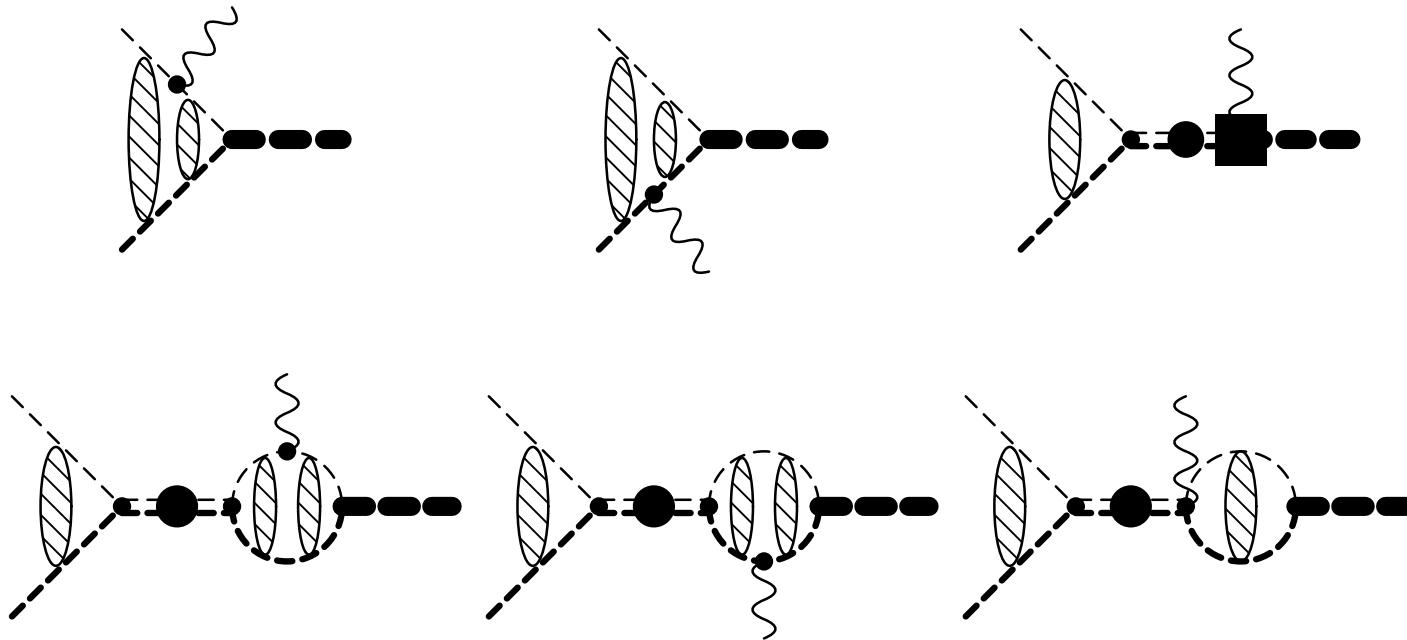
- Effective Field Theories (EFTs)
  - Model independent approach
  - Separation scale
  - Counting rules
  - Parameters should be fixed by experiments

## 2. $^{12}C(\alpha, \gamma)^{16}O$ in EFT

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- Typical momentum of the process  
at  $T_G \simeq 0.3$  MeV;  $Q \sim \sqrt{2\mu T_G} \sim 40$  MeV  
The  $\alpha$  and  $^{12}C$  states; elementary-like states
- Separation (large) scale  
Excited energies of  $\alpha$  and  $^{12}C$ ; large scale  
The large momentum scale,  $\Lambda_H \sim 150$  MeV
- Expansion parameter,  $Q/\Lambda_H \sim 1/3$   
Thus, 5% uncertainty can be achieved up to N<sup>3</sup>LO

- Diagrams for  $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$  process



### **3. *Elastic $\alpha$ - $^{12}\text{C}$ scattering in EFT***

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- Typical scales:

$T_\alpha$ ,  $\alpha$  energies of the exp. data (in Lab. frame) c.f.,  $T \simeq 4/3 T_\alpha$

$T_\alpha = 2.6 - 6.6 \text{ MeV}$ ;  $k = 105 - 170 \text{ MeV}$

- Large scales:

The resonance energies,  $T$  (in CM frame)

$T = 4.89, 2.42, 2.68, 4.44 \text{ MeV}$  for  $l_{i-th}^\pi = 0_3^+, 1_2^-, 2_2^+, 3_2^-$

$k = 166, 117, 123, 136 \text{ MeV}$

- Binding energies of  $^{16}\text{O}$ :

$B = 1.11, 0.045, 0.24, 1.03 \text{ MeV}$  for  $l_{i-th}^\pi = 0_2^+, 1_1^-, 2_1^+, 3_1^-$   
are included.

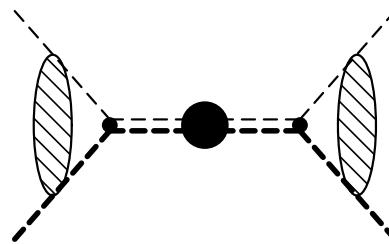
# *Scattering amplitudes from EFT*

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- Diagrams for dressed composite  $^{16}\text{O}$  propagator

$$\text{---} \bullet \text{---} = \text{---} \text{---} + \text{---} \textcircled{=} \text{---} + \text{---} \textcircled{=} \textcircled{=} \text{---} + \dots$$

- Diagrams for elastic  $\alpha$ - $^{12}\text{C}$  scattering



- Scattering amplitudes for  $l$ -th partial waves

$$A_l = \frac{2\pi}{\mu} \frac{(2l+1)P_l(\cos \theta)e^{2i\sigma_l} W_l(\eta) C_\eta^2}{K_l(k) - 2\kappa H_l(k)},$$

where  $\eta$  is the Sommerfeld parameter,  $\eta = \kappa/k$  where  $\kappa$  is the inverse of the Bohr radius,  $\kappa = Z_2 Z_6 \mu \alpha = 245$  MeV, and

$$C_\eta^2 = \frac{2\pi\eta}{e^{2\pi\eta} - 1}, \quad W_l(\eta) = \frac{\kappa^{2l}}{(l!)^2} \prod_{n=0}^l \left(1 + \frac{n^2}{\eta^2}\right), \quad H_l(k) = W_l(\eta) H(\eta),$$

with

$$H(\eta) = \psi(i\eta) + \frac{1}{2i\eta} - \ln(i\eta).$$

$\psi(z)$  is the digamma function.

- The effective range parameters

$$K_l(k) = -\frac{1}{a_l} + \frac{1}{2}r_l k^2 - \frac{1}{4}P_l k^4 + Q_l k^6 - R_l k^8 + \dots$$

- The binding energies

The denominator of the scattering amplitude,  $D_l(k)$ , vanishes;

$$D_l(k_b) = K_l(k_b) - 2\kappa H_l(k_b) = 0,$$

at  $k_b = i\gamma_l$  where  $\gamma_l$  is the binding momentum,  $\gamma_l = \sqrt{2\mu B_l}$ . Thus one has

$$-\frac{1}{a_l} = \frac{1}{2}r_l \gamma_l^2 + \frac{1}{4}P_l \gamma_l^4 + Q_l \gamma_l^6 + R_l \gamma_l^8 + \dots + 2\kappa H_l(k_b),$$

and

$$\begin{aligned} D_l(k) &= \frac{1}{2}r_l (k^2 + \gamma_l^2) - \frac{1}{4}P_l (k^4 - \gamma_l^4) + Q_l (k^6 + \gamma_l^6) - R_l (k^8 - \gamma_l^8) + \dots \\ &\quad - 2\kappa [H_l(k) - H_l(k_b)]. \end{aligned}$$

- Fitting effective range parameters to phase shift data

$$W_l(\eta) C_\eta^2 k \cot \delta_l = \text{Re} D_l(k) .$$

- ANC s for the subthreshold states

$$|C_b| = \gamma_l^l \frac{\Gamma(l+1+|\eta_b|)}{l!} \left( \left| -\frac{dD_l(k)}{dk^2} \right|_{k^2=-\gamma_l^2} \right)^{-\frac{1}{2}} (\text{fm}^{-1/2}) ,$$

where  $\eta_b = \kappa/k_b$ .

# ***A new renormalization method***

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- A mismatch of the power series: In the case of *s*-wave, for example, the reported phase shift at the smallest energy,  $T_\alpha = 2.6$  MeV, is  $\delta_0 = -1.893^\circ$ ;

$$C_\eta^2 k \cot \delta_0 = \operatorname{Re} D_0(k) = K_0(k) - 2\kappa \operatorname{Re} H_0(k), ,$$

where  $K_0(k)$  and  $2\kappa \operatorname{Re} H_0(k)$  are expanded as

$$\begin{aligned} K_0(k) &= -\frac{1}{a_0} + \frac{1}{2} r_0 k^2 - \frac{1}{4} P_0 k^4 + Q_0 k^6 - R_0 k^8 + \dots , \\ 2\kappa \operatorname{Re} H_0(k) &= \frac{1}{6\kappa} k^2 + \frac{1}{60\kappa^3} k^4 + \frac{1}{126\kappa^5} k^6 + \frac{1}{120\kappa^7} k^8 + \dots \\ &= \frac{1}{2} \tilde{r}_0 k^2 - \frac{1}{4} \tilde{P}_0 k^4 + \tilde{Q}_0 k^6 - \tilde{R}_0 k^8 + \dots \\ &= 7.441 + 0.136 + 0.012 + 0.002 + \dots \text{ (MeV)} , \\ C_\eta^2 k \cot \delta_0 &= -0.019 \text{ MeV} , \end{aligned}$$

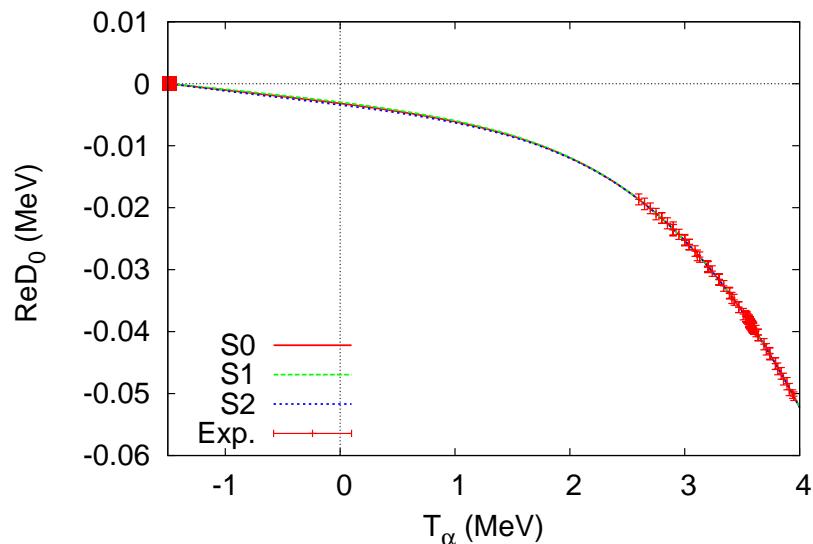
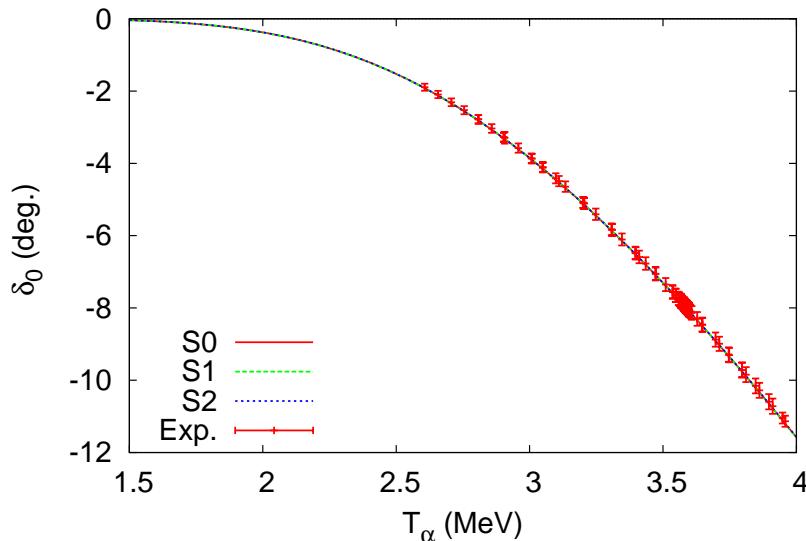
at  $k = 104$  MeV ( $T_\alpha = 2.6$  MeV),  $\kappa = 245$  MeV, and  $C_\eta^2 = 6 \times 10^{-6}$ .

- Three parameters,  $r_l$ ,  $P_l$ ,  $Q_l$  for  $l = 0, 1, 2$ ,
- Four parameters,  $r_l$ ,  $P_l$ ,  $Q_l$ ,  $R_l$  for  $l = 3$  as the counter terms.

# Numerical results: *S*-wave

- Input data sets  $\{S0, S1, S2\}$ ,  $T_\alpha = 2.6 - 3.6, 3.8, 4.0 MeV for  $l = 0$ ,$

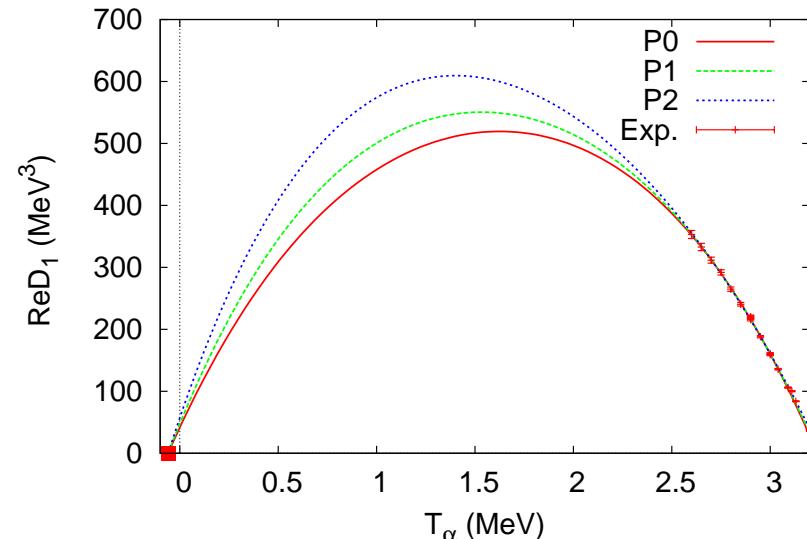
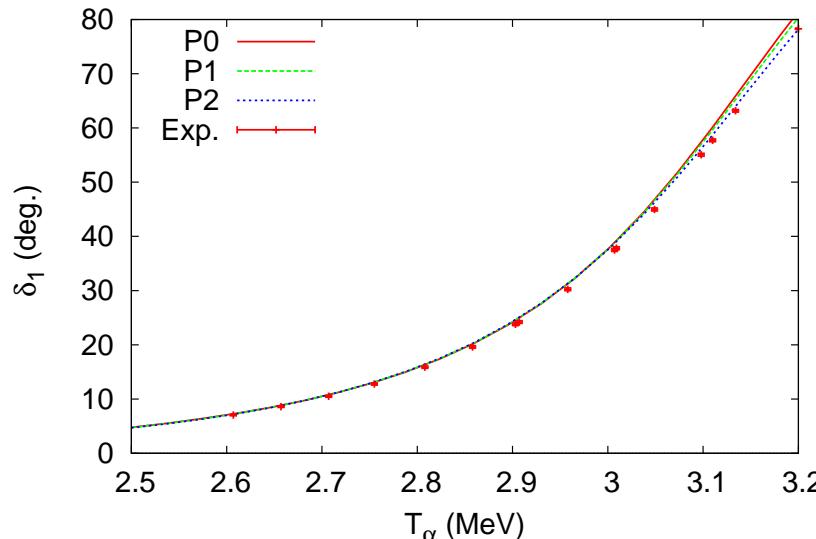
	$a_0$ (fm)	$r_0$ (fm)	$P_0$ (fm $^3$ )	$Q_0$ (fm $^5$ )	$ReD_{0G}$ (MeV)
$S0$	$6.2 \times 10^4$	0.268514(3)	-0.0343(4)	0.0019(2)	$4.2(7) \times 10^{-3}$
$S1$	$6.6 \times 10^4$	0.268514(3)	-0.0342(3)	0.0020(3)	$4.0(5) \times 10^{-3}$
$S2$	$5.8 \times 10^4$	0.268513(3)	-0.0345(2)	0.0018(1)	$4.4(4) \times 10^{-3}$
		$\tilde{r}_0$ (fm)	$\tilde{P}_0$ (fm $^3$ )	$\tilde{Q}_0$ (fm $^5$ )	—
		0.268735	-0.0349	0.0027	—



# **P-wave**

- Input data sets  $\{P0, P1, P2\}$ ,  $T_\alpha = 2.6 - 3.0, 3.1, 3.2$  MeV for  $l = 1$ ,

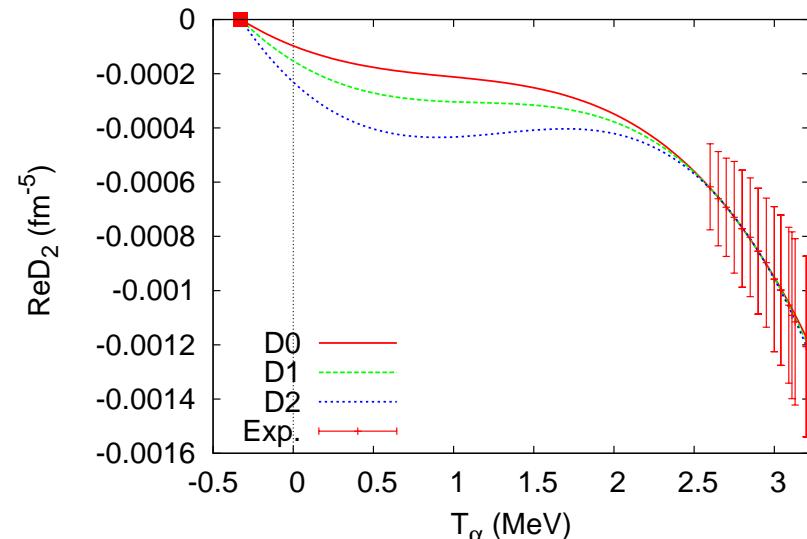
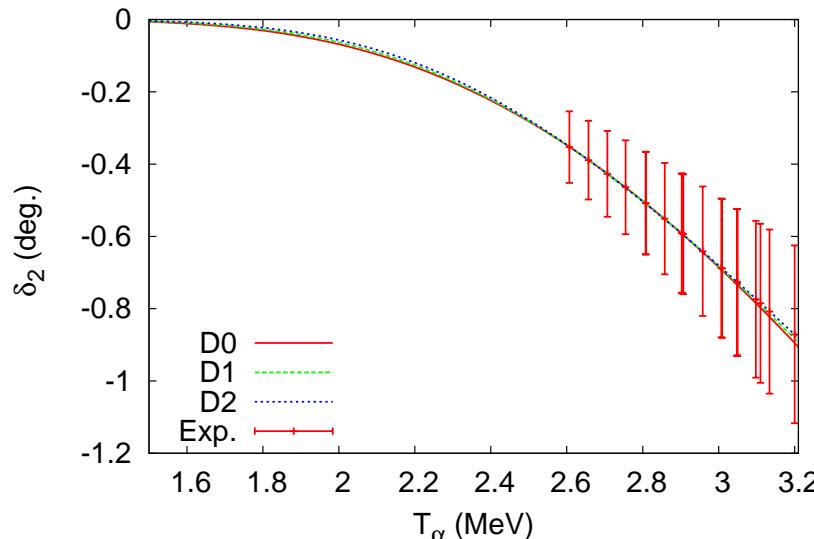
	$a_1(\text{fm}^3)$	$r_1 (\text{fm}^{-1})$	$P_1 (\text{fm})$	$Q_1 (\text{fm}^3)$	$ReD_{1G} (\text{MeV}^3)$	$ C_b  (\text{fm}^{-1/2})$
$P0$	$-1.8 \times 10^5$	0.4150(6)	-0.577(8)	0.019(3)	$2.7(8) \times 10^2$	$1.9(4) \times 10^{14}$
$P1$	$-1.6 \times 10^5$	0.4153(2)	-0.574(2)	0.020(1)	$3.0(3) \times 10^2$	$1.8(1) \times 10^{14}$
$P2$	$-1.3 \times 10^5$	0.4157(2)	-0.569(2)	0.023(1)	$3.5(3) \times 10^2$	$1.6(1) \times 10^{14}$
	—	$\tilde{r}_1 (\text{fm}^{-1})$	$\tilde{P}_1 (\text{fm})$	$\tilde{Q}_1 (\text{fm}^3)$	—	—
	—	0.4135	-0.591	0.013	—	—



# **D-wave**

- Input data sets  $\{D0, D1, D2\}$ ,  $T_\alpha = 2.6 - 3.0, 3.1, 3.2$  MeV for  $l = 2$ ,

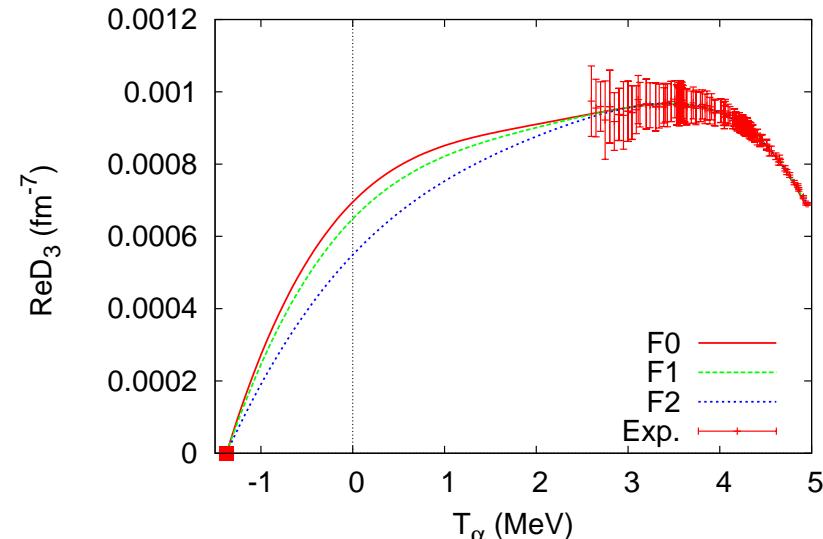
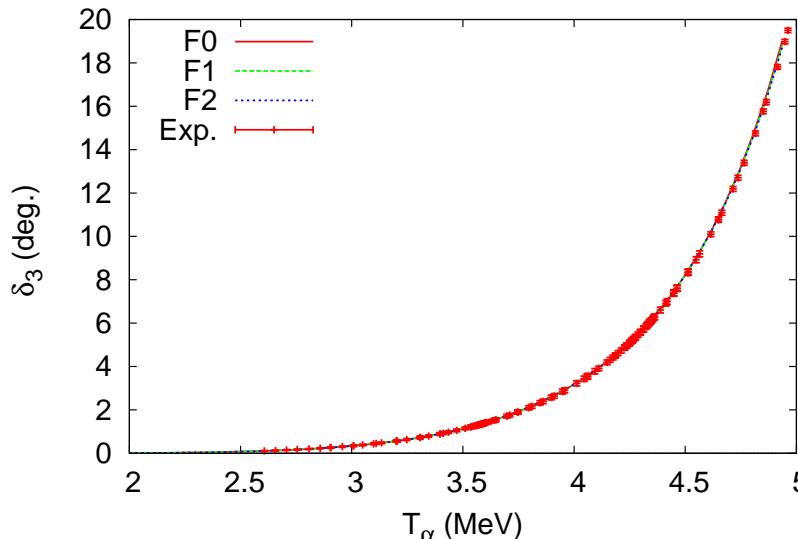
	$a_2(\text{fm}^5)$	$r_2 (\text{fm}^{-3})$	$P_2 (\text{fm}^{-1})$	$Q_2 (\text{fm})$	$ReD_{2G} (\text{fm}^{-5})$	$ C_b  (\text{fm}^{-1/2})$
$D0$	$10.3 \times 10^3$	0.155(4)	-1.12(7)	0.11(3)	$-1.66(156) \times 10^{-4}$	$2.4(3) \times 10^4$
$D1$	$6.5 \times 10^3$	0.152(2)	-1.16(4)	0.08(2)	$-2.6(9) \times 10^{-4}$	$2.3(2) \times 10^4$
$D2$	$4.3 \times 10^3$	0.149(2)	-1.21(3)	0.06(1)	$-3.8(6) \times 10^{-4}$	$2.1(1) \times 10^4$
	—	$\tilde{r}_2 (\text{fm}^{-3})$	$\tilde{P}_2 (\text{fm}^{-1})$	$\tilde{Q}_2 (\text{fm})$	—	—
	—	0.159	-1.05	0.15	—	—



# *F*-wave

- Input data sets  $\{F0, F1, F2\}$ ,  $T_\alpha = 2.6 - 4.6, 4.8, 5.0$  MeV for  $l = 3$ ,

	$a_3(\text{fm}^7)$	$r_3(\text{fm}^{-5})$	$P_3(\text{fm}^{-3})$	$Q_3(\text{fm}^{-1})$	$R_3(\text{fm})$	$ReD_{3G}(\text{fm}^{-7})$
$F0$	$-1.4 \times 10^3$	0.0319(1)	-0.453(11)	0.317(9)	-0.141(8)	$7.8(8) \times 10^{-4}$
$F1$	$-1.5 \times 10^3$	0.0320(1)	-0.459(9)	0.311(7)	-0.146(6)	$7.4(7) \times 10^{-4}$
$F2$	$-1.8 \times 10^3$	0.0322(1)	-0.472(7)	0.301(6)	-0.156(5)	$6.4(6) \times 10^{-4}$
	—	$\tilde{r}_3(\text{fm}^{-5})$	$\tilde{P}_3(\text{fm}^{-3})$	$\tilde{Q}_3(\text{fm}^{-1})$	$\tilde{R}_3(\text{fm})$	—
	—	0.0272	-0.498	0.290	-0.152	—



# ***Convergence of the power series***

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- Expansion parameter  $Q/\Lambda_H \sim 1/3$  at  $Q \sim k_G = \sqrt{2\mu T_G}$ ,

$$\left(\frac{Q}{\Lambda_H}\right)^2 \sim 0.1, \quad \left(\frac{Q}{\Lambda_H}\right)^4 \sim 0.01, \quad \left(\frac{Q}{\Lambda_H}\right)^6 \sim 0.001.$$

- Ratios of the power series at  $T_G$

$l$	$  - \frac{1}{a_l}  $	$  \frac{1}{2}(r_l - \tilde{r}_l)k_G^2  $	$  - \frac{1}{4}(P_l - \tilde{P}_l)k_G^4  $	$  (Q_l - \tilde{Q}_l)k_G^6  $
0	1	0.276	0.012	0.004
1	0.154	1	0.215	0.016
2	1	0.946	0.316	0.031
3	1	0.195	0.023	0.002

## ***Results for ANCs***

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- ANC for the  $1_1^-$  state
  - Our result:  
 $(1.6 - 1.9) \times 10^{14}$  (fm $^{-1/2}$ ).
  - Exp. results:  $(2.08 \pm 0.20) \times 10^{14}$  (Brune et al.),  
 $(5.1 \pm 0.6) \times 10^{14}$  (Belhout et al.),  
 $(17.4 - 26.4) \times 10^{14}$  (Adhikari and Basu).
  - Theor. results:  
 $(2.22 - 2.24) \times 10^{14}$  (Katsuma),  
 $2.14(6) \times 10^{14}$  (Ramirez Suarez and Sparenberg),  
 $2.073 \times 10^{14}$  (Orlov et al.)

## ***Results for ANCs***

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- ANC for the  $2_1^+$  state
  - Our result:  
 $(2.1 - 2.4) \times 10^4$  (fm $^{-1/2}$ )
  - Exp. results:  
 $(11 \pm 1) \times 10^4$  (Brune et al.),  
 $(34.5 \pm 0.5) \times 10^4$  (Belhout et al.),  
 $(12.2 - 18.2) \times 10^4$  (Adhikari and Basu).
  - Theor. results:  
 $(2.41 \pm 0.38) \times 10^4$  (Konig et al.),  
 $2.106 \times 10^4$  (Orlov et al.,),  
 $(14.45 \pm 0.85) \times 10^4$  (Sparenberg),  
 $(12.6 \pm 0.5) \times 10^4$  (Dufour and Descouvemont),  
 $5.05 \times 10^4$  (Orlov et al.)

## ***Summary***

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- EFT for the radiative capture and elastic scattering of  $\alpha$ - $^{12}\text{C}$  system at low energies is derived.
- The EFT is applied to the elastic  $\alpha$ - $^{12}\text{C}$  scattering at low energies introducing a new renormalization method.
- Experimental phase shifts below the resonance energies, including the  $0_2^+$ ,  $1_1^-$ ,  $2_1^+$ ,  $3_1^-$  states, for  $l = 0, 1, 2, 3$  are well reproduced by fitting three or four effective range parameters.
- Expansion series converge well, but significant uncertainties, about 10% for  $l = 0, 3$ , 30% for  $l = 1$ , 100% for  $l = 2$ , of the amplitudes interpolated to  $T_G$  remain.