

Deep inelastic nucleon structure from lattice QCD

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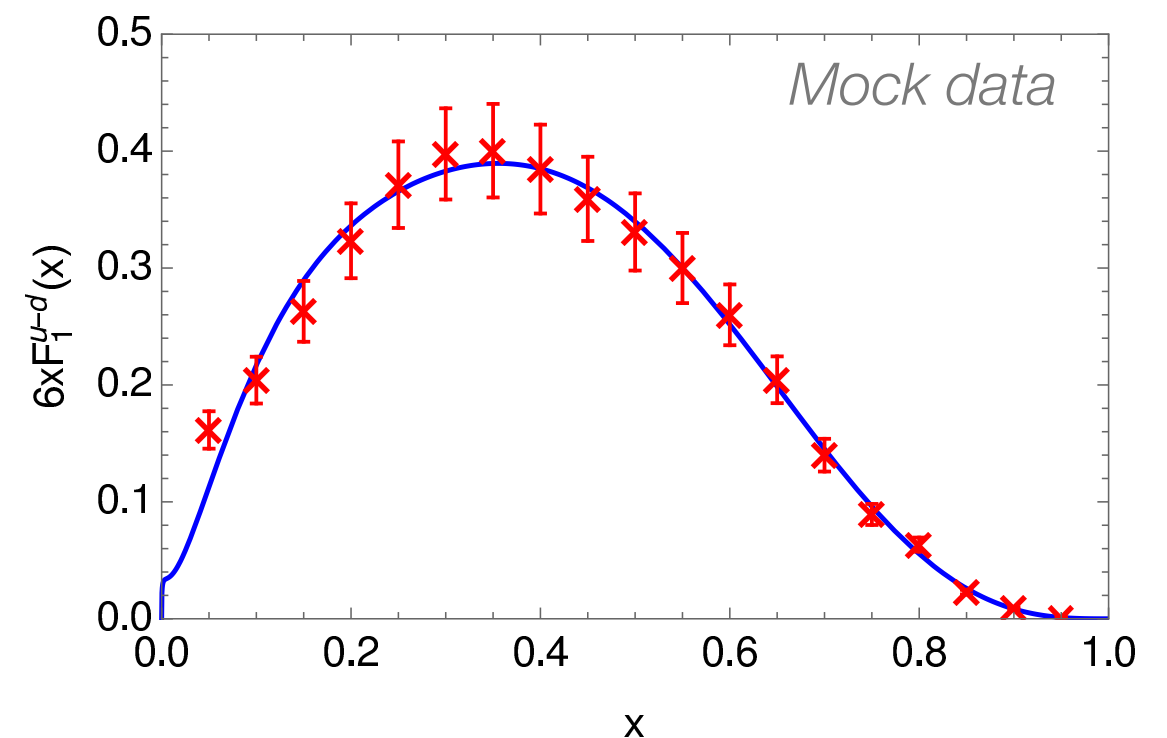
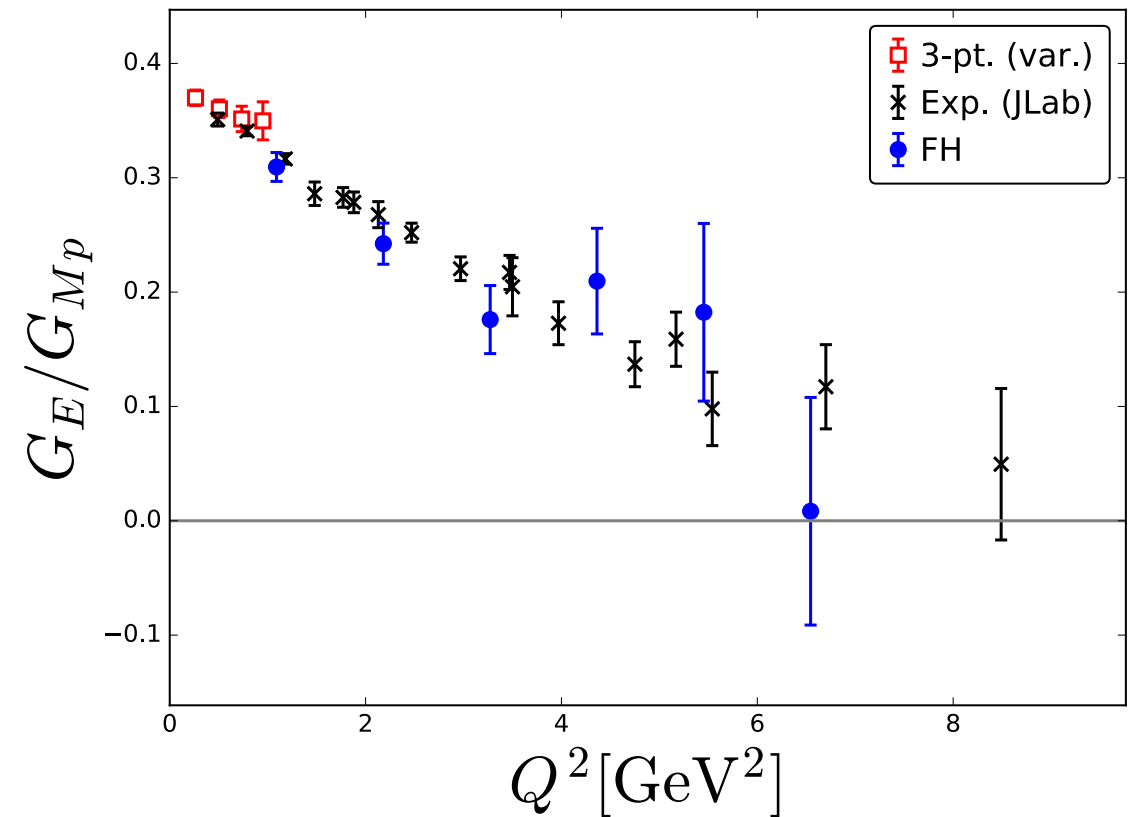
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Outline

- Feynman-Hellmann (FH) approach to hadron structure on the lattice
- Elastic nucleon form factors
 - FH with momentum transfer
 - **New** access to large Q^2
- (Deep) inelastic structure
 - FH at second order
 - **New** possibilities to study structure functions on the lattice



Feynman-Hellmann theorem in lattice QCD

Matrix elements from “Feynman–Hellmann”

- Feynman–Hellmann in quantum mechanics:

$$\frac{dE_n}{d\lambda} = \langle n | \frac{\partial H}{\partial \lambda} | n \rangle$$

- matrix elements of the derivative of the Hamiltonian determined by derivative of corresponding energy eigenstates
- Lattice QCD: evaluate energy shifts with respect to weak external fields
- Modify action with external field:

$$S \rightarrow S + \lambda \int d^4x \mathcal{O}(x)$$

real parameter local operator, e.g. $\bar{q}(x)\gamma_5\gamma_3q(x)$

- Calculation of matrix element \equiv hadron spectroscopy [2-pt functions only]

$$\frac{\partial E_H(\lambda)}{\partial \lambda} = \frac{1}{2E_H(\lambda)} \langle H | \mathcal{O} | H \rangle$$

Spin content [connected]

- Modify action

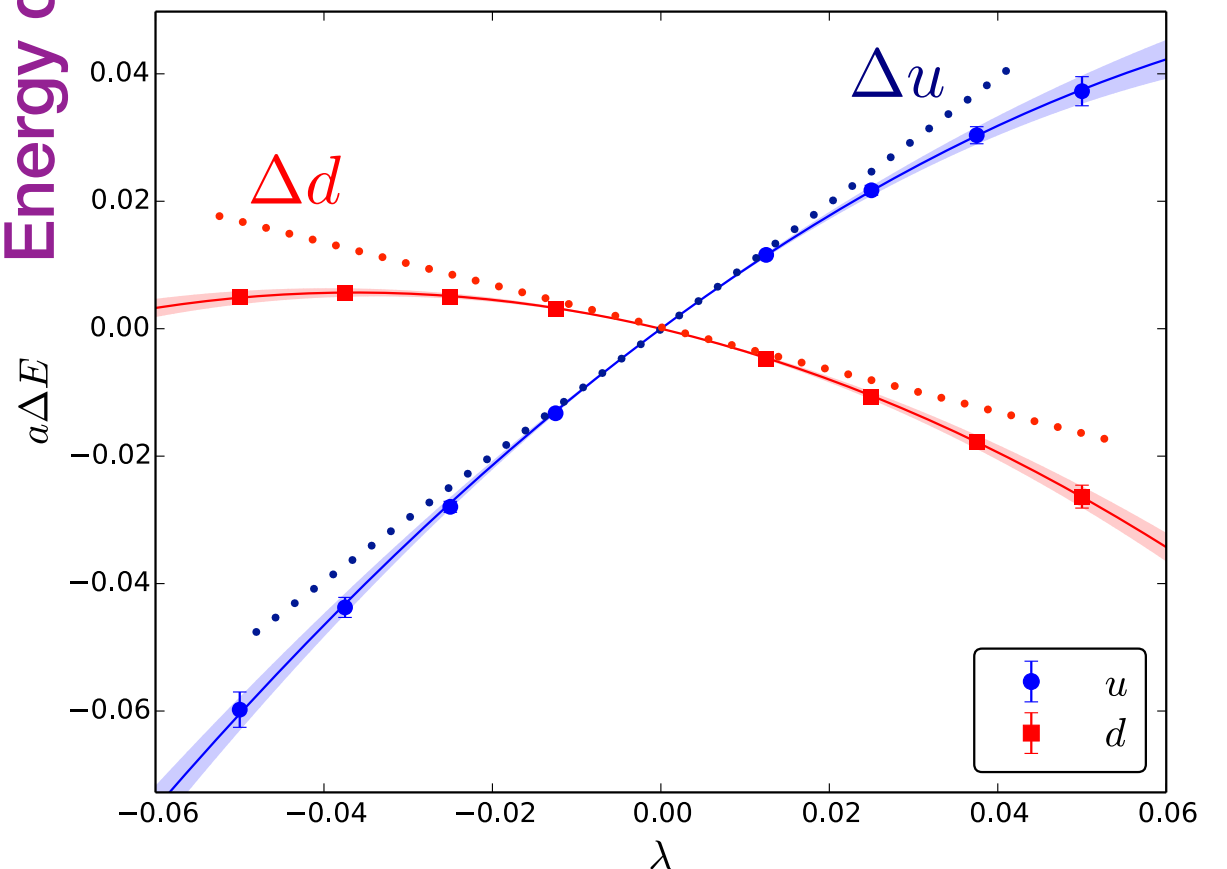
$$S \rightarrow S + \lambda \sum_x \bar{q}(x) i \gamma_5 \gamma_3 q(x)$$

- Nucleon energy shift isolates spin content

$$\begin{aligned} \frac{\partial E_N(\lambda)}{\partial \lambda} &= \frac{1}{2M_N} \langle N | \bar{q} i \gamma_5 \gamma_3 q | N \rangle \\ &= \Delta q \end{aligned}$$

Energy difference

Slope \rightarrow matrix element

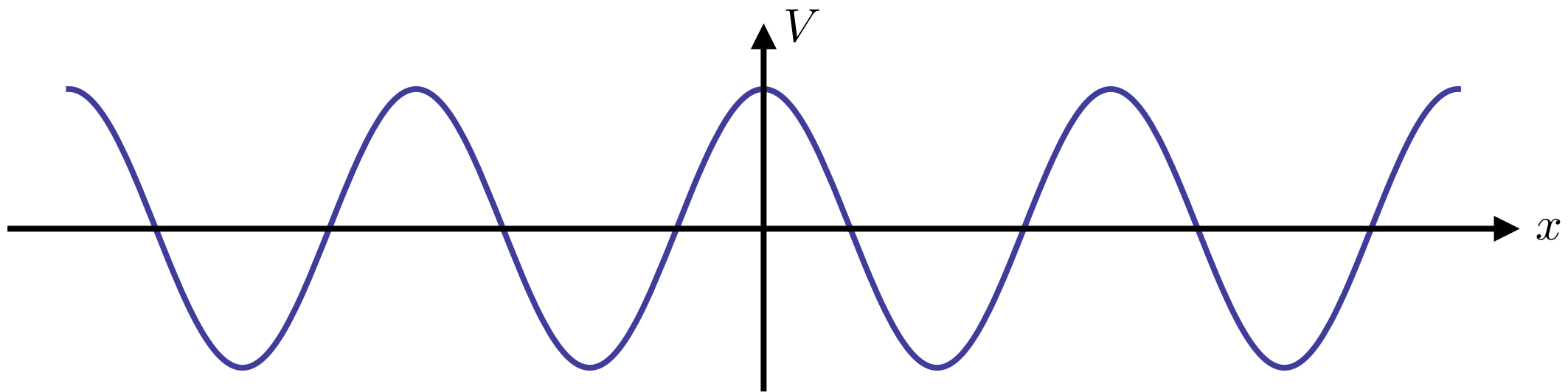


[Chambers *et al.* PRD(2014)]

Feynman–Hellman with momentum transfer

Warm up: Periodic potential, 1-D QM

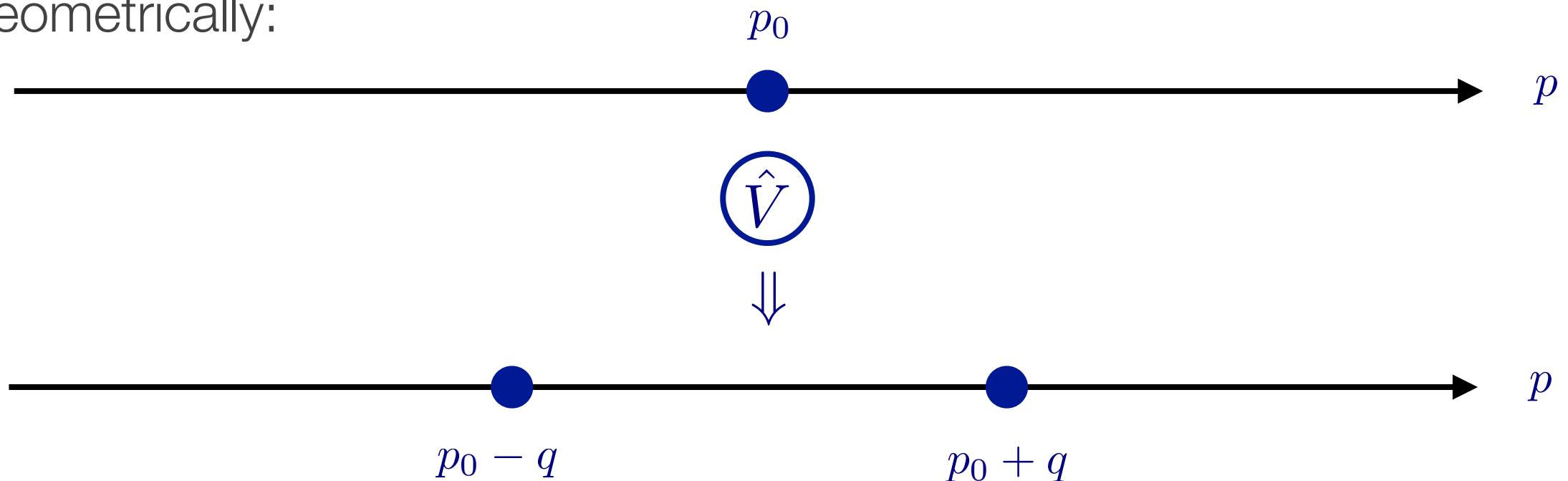
- Almost free particle $H_0|p\rangle = \frac{p^2}{2m}|p\rangle$
- Subject to weak external periodic potential $V(x) = 2\lambda V_0 \cos(qx)$



$$\hat{V}|p\rangle = \lambda V_0|p+q\rangle + \lambda V_0|p-q\rangle$$

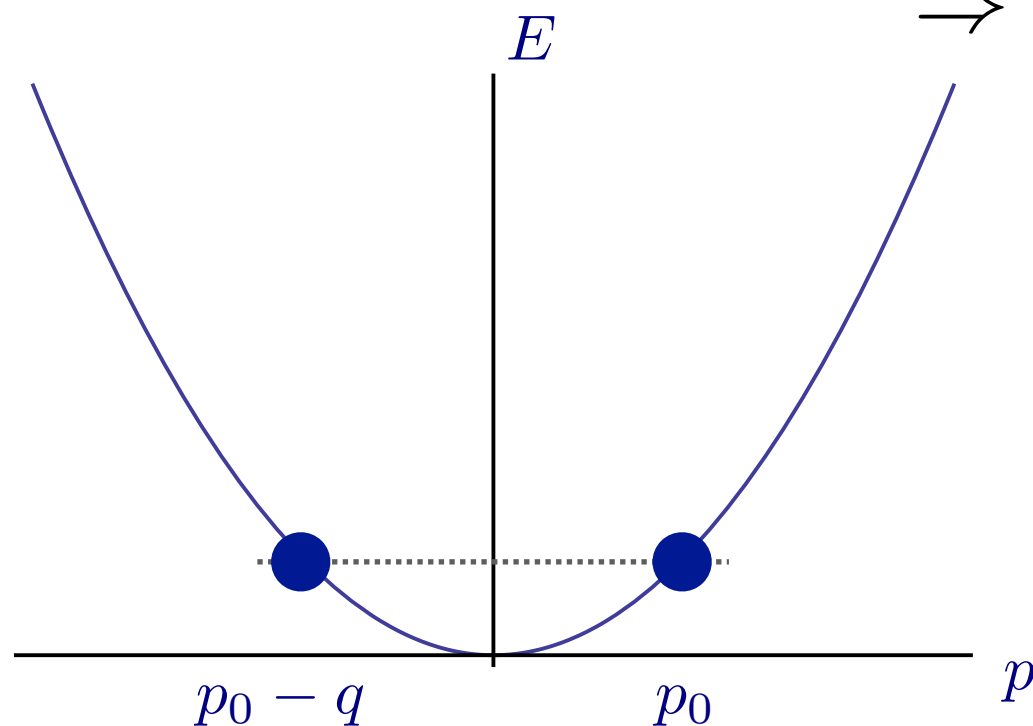
Warm up: Periodic potential, 1-D QM

- Geometrically:



$$\Rightarrow \langle p | \hat{V} | p \rangle = 0$$

No first order
energy shifts?



If $p_0 = \pm q/2$
 \Rightarrow transition between
degenerate states

Degenerate perturbation theory

- Exact degeneracy: $p = q/2$

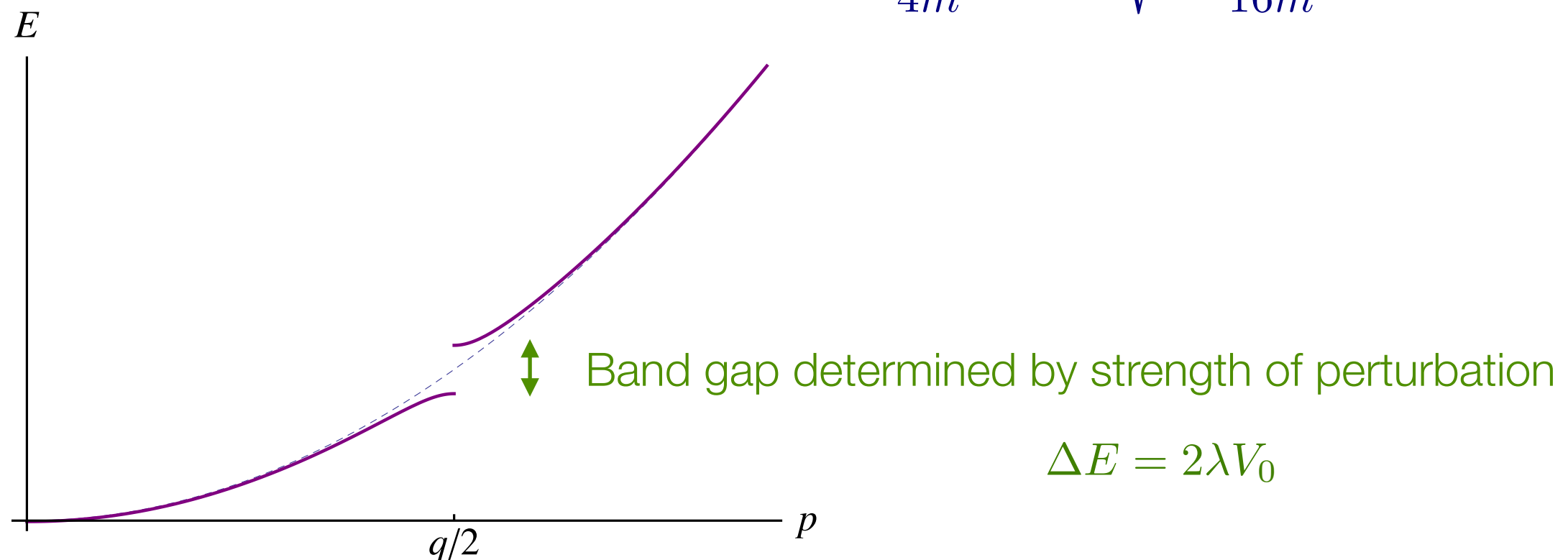
$$H = \begin{pmatrix} \frac{p^2}{2m} & \lambda V_0 \\ \lambda V_0 & \frac{p^2}{2m} \end{pmatrix} \quad H \{ |q/2\rangle \pm |-q/2\rangle \} = (E_{q/2} \pm \lambda V_0) \{ |q/2\rangle \pm |-q/2\rangle \}$$

- Consider mixing on almost-degenerate states $p \sim q/2$

$$H = \begin{pmatrix} \frac{p^2}{2m} & \lambda V_0 \\ \lambda V_0 & \frac{(p-q)^2}{2m} \end{pmatrix}$$

Eigenvalues

$$\frac{p^2 + (p-q)^2}{4m} \pm \sqrt{\frac{q^2(q-2p)^2}{16m^2} + \lambda^2 V_0^2}$$



External momentum field on the lattice

- Modify Lagrangian with external field containing a spatial Fourier transform [constant in time]

$$\mathcal{L}(y) \rightarrow \mathcal{L}_0(y) + \lambda 2 \cos(\vec{q} \cdot \vec{y}) \bar{q}(y) \gamma_\mu q(y)$$

- Project onto “back-to-back” momentum state: $|\vec{q}/2\rangle + |-\vec{q}/2\rangle$

“Breit frame” kinematics

- E.g. pion form factor

$$\langle \pi(\vec{p}') | \bar{q}(0) \gamma_\mu q(0) | \pi(\vec{p}) \rangle = (p + p')_\mu F_\pi(q^2)$$

- “Feynman-Hellmann”

$$\left. \frac{\partial E}{\partial \lambda} \right|_{\lambda=0} = \frac{(p + p')_\mu}{2E} F_\pi(q^2)$$

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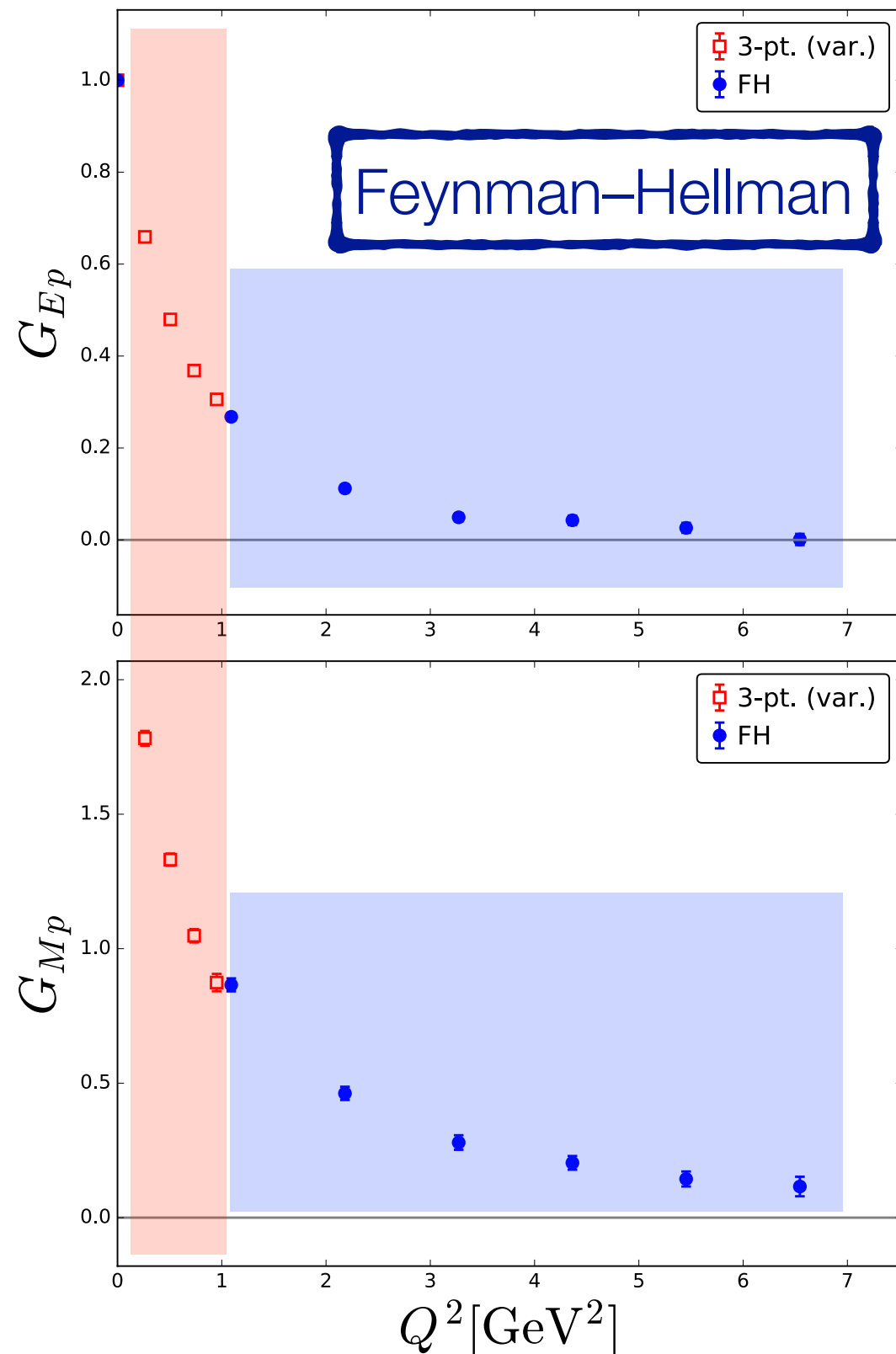
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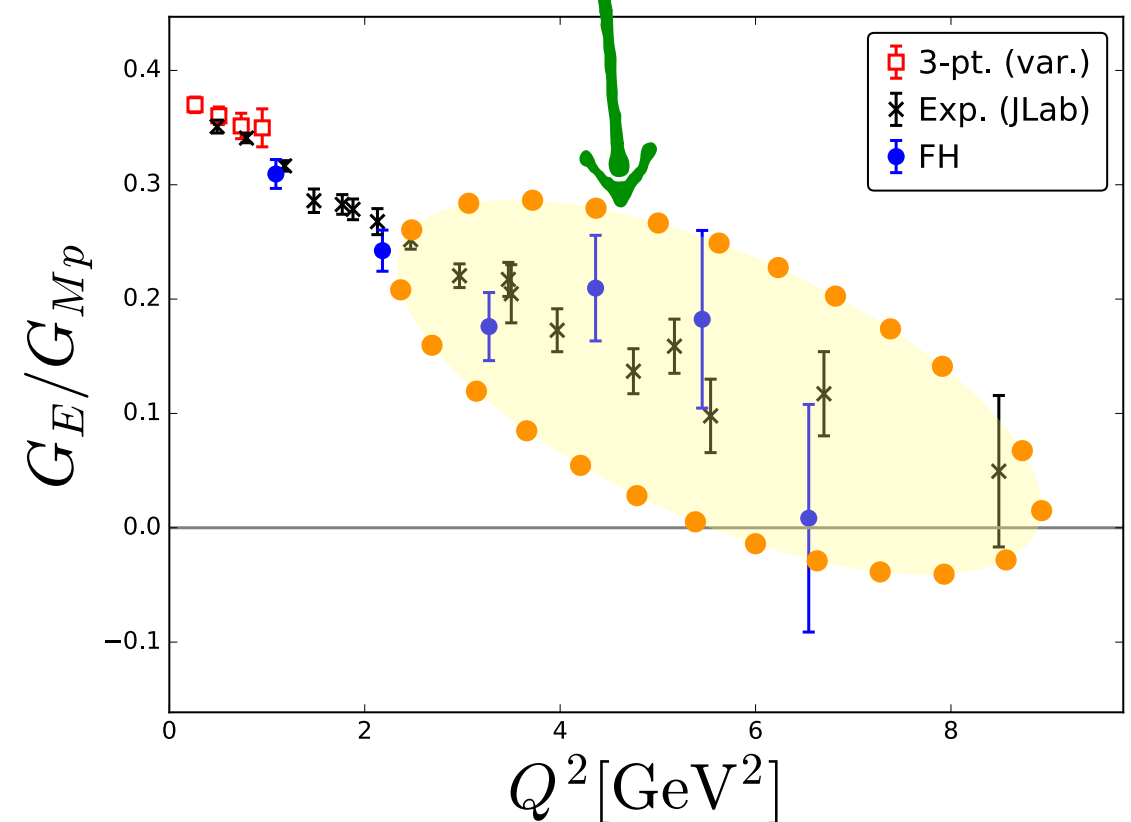
$$\left. \frac{\partial E}{\partial \lambda} \right|_{\lambda=0} = \frac{(p + p')_\mu}{2E} F_\pi(q^2) \quad \xrightarrow{\mu=4} \quad \left. \frac{\partial E}{\partial \lambda} \right|_{\lambda=0} = F_\pi(q^2)$$

3-pt functions



Proton Form Factors

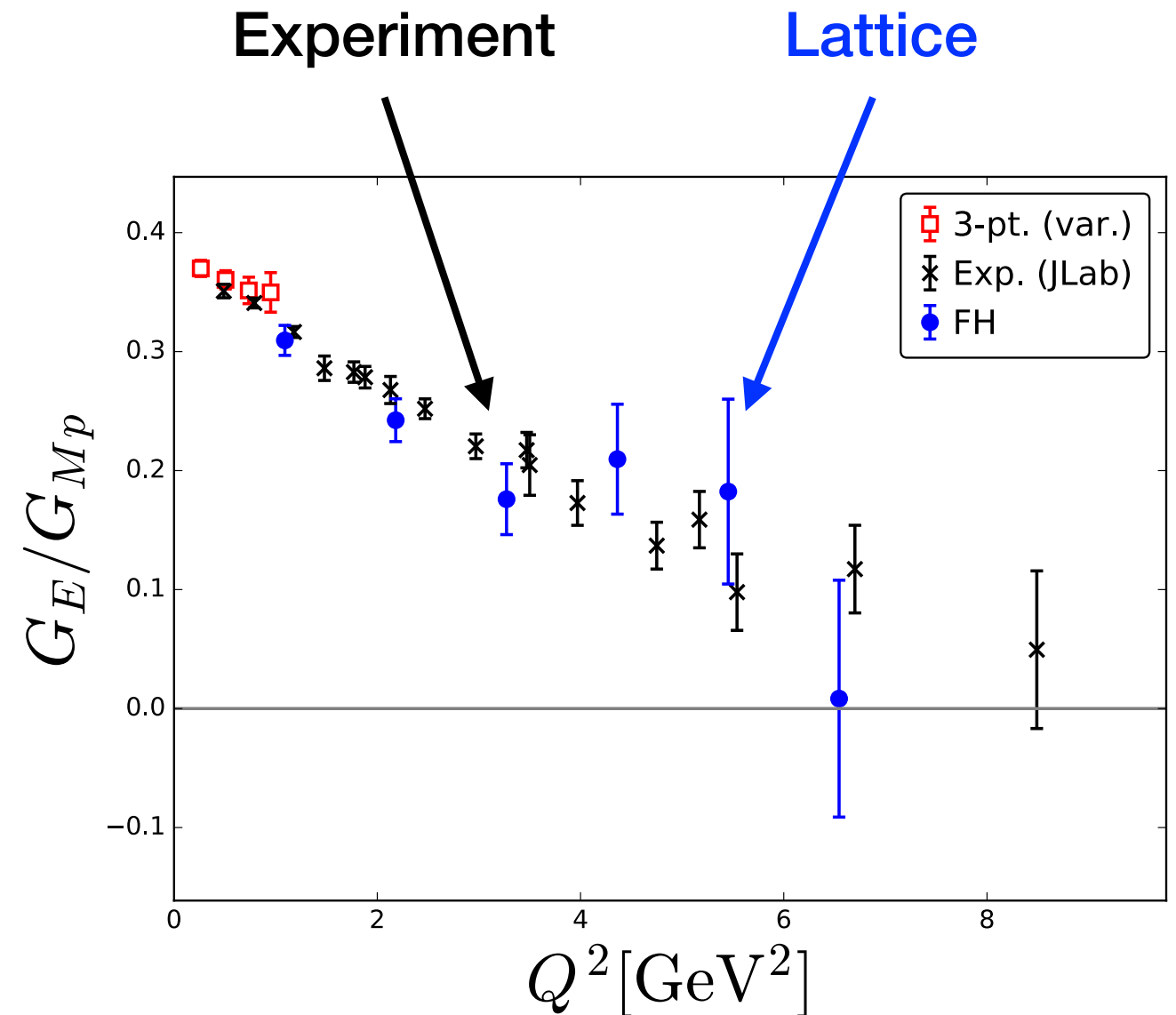
Phenomenologically-interesting region.
Domain dominated by model calculations...
previously prohibitive to study in lattice QCD.



Proton form factors

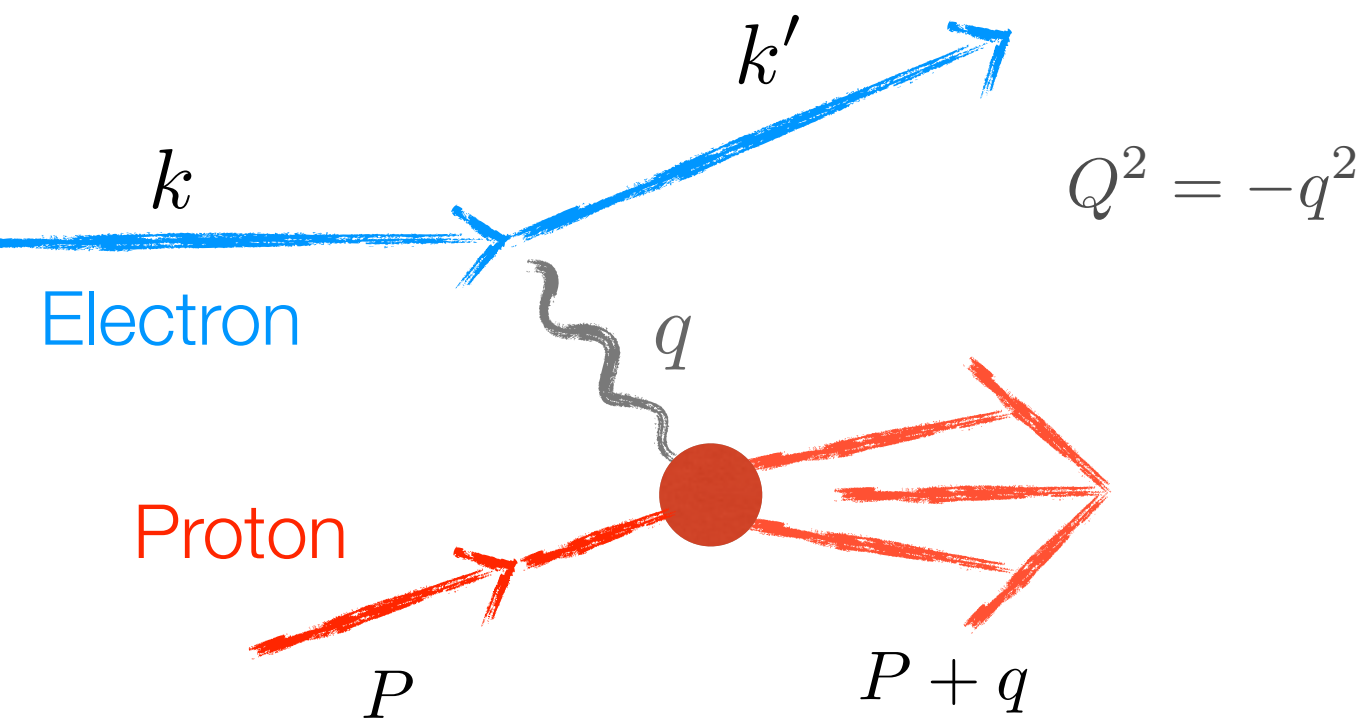
[my comments]

- One volume
 - Not worried (yet)
- One quark mass
- One lattice spacing
 - Surprised that we see a similar trend as experiment
- One lattice spacing
 - We should investigate further



[Chambers *et al.* arXiv:1702.01513]

Deep inelastic structure of the proton



Parton model

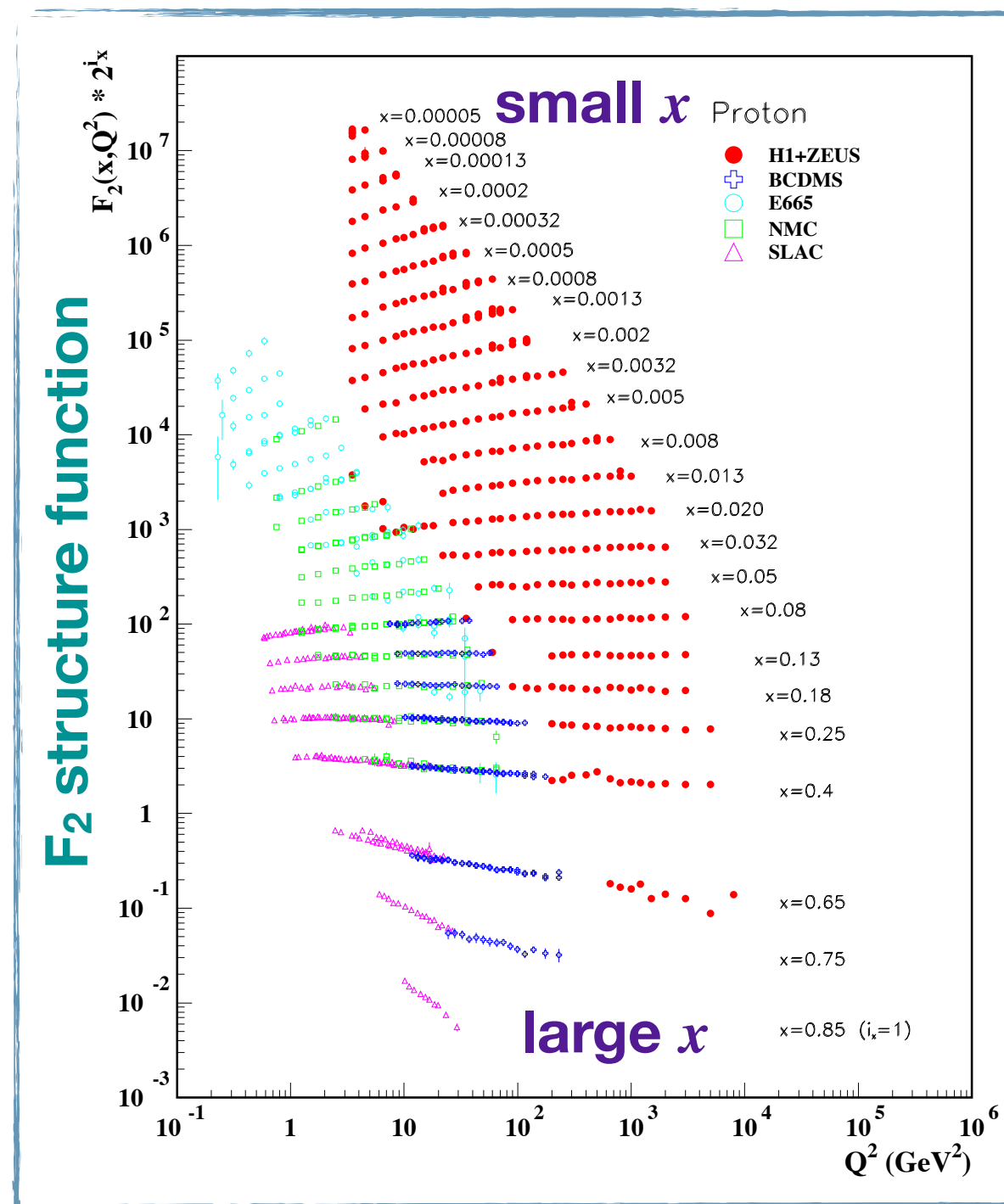
Scatter from non-interacting quarks

Bjorken scaling variable

[longitudinal momentum fraction]

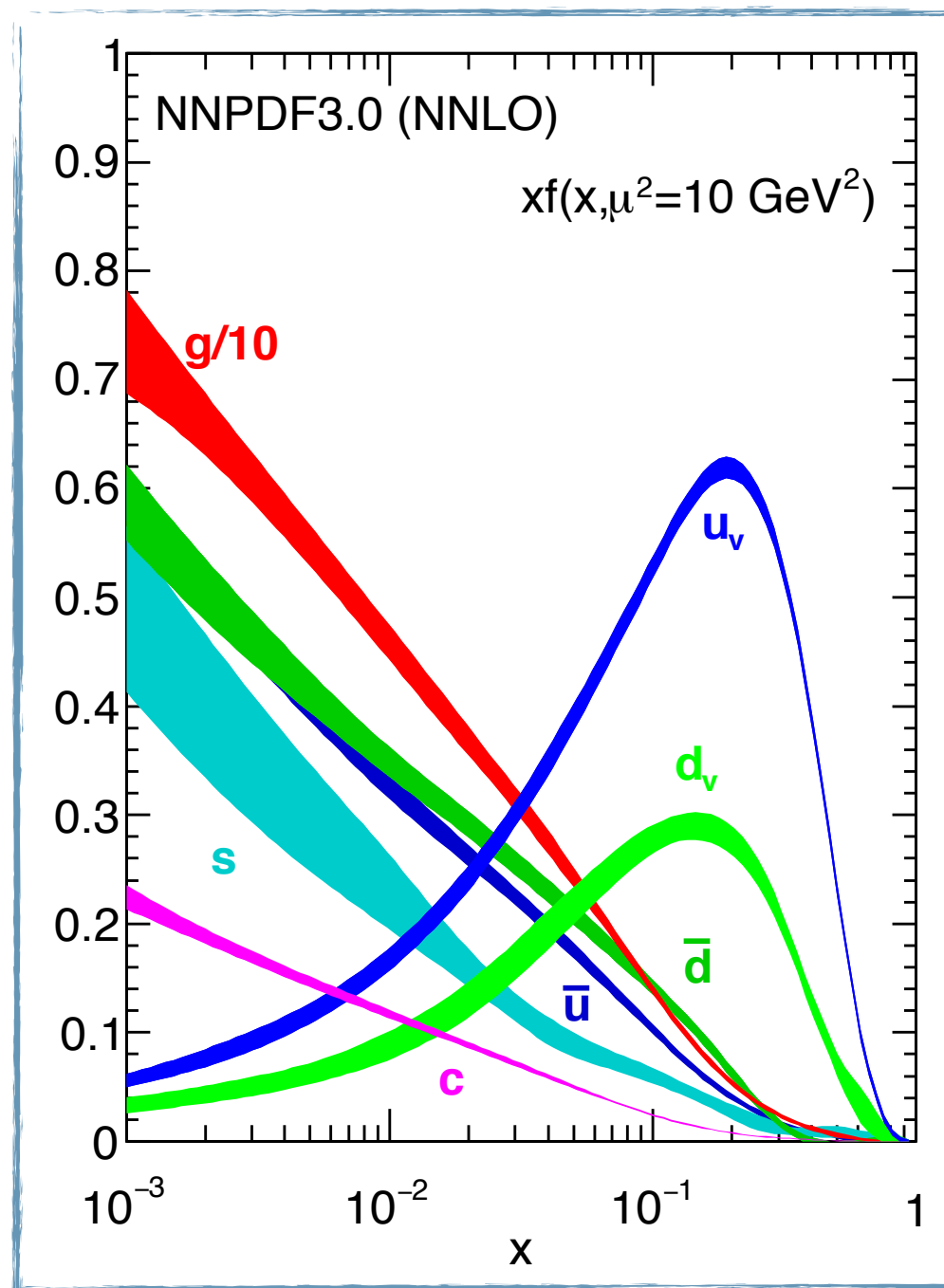
$$x = \frac{Q^2}{2P \cdot q}$$

Deep-inelastic scattering



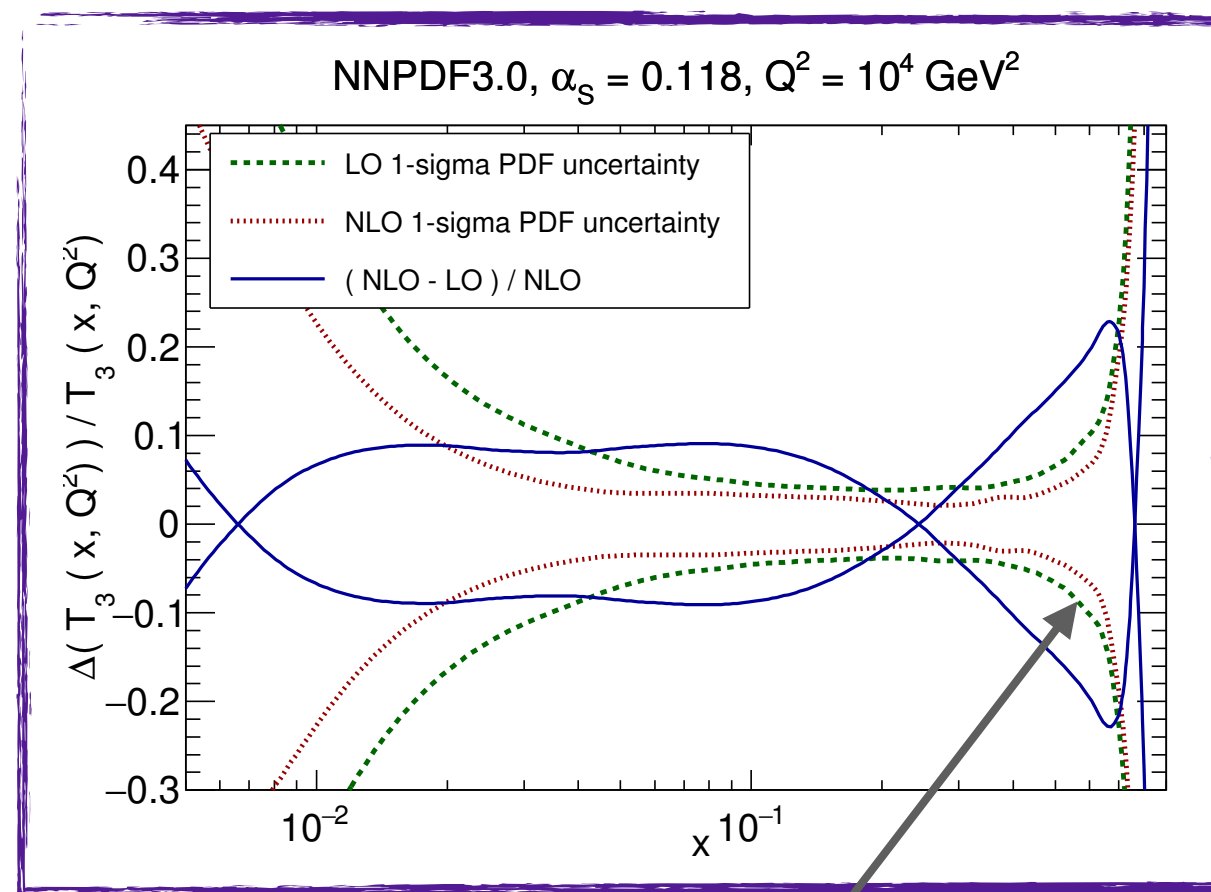
Almost Bjorken scaling

Slow deviations from scaling
described by perturbative QCD



Parton distributions

Isovector quark distributions

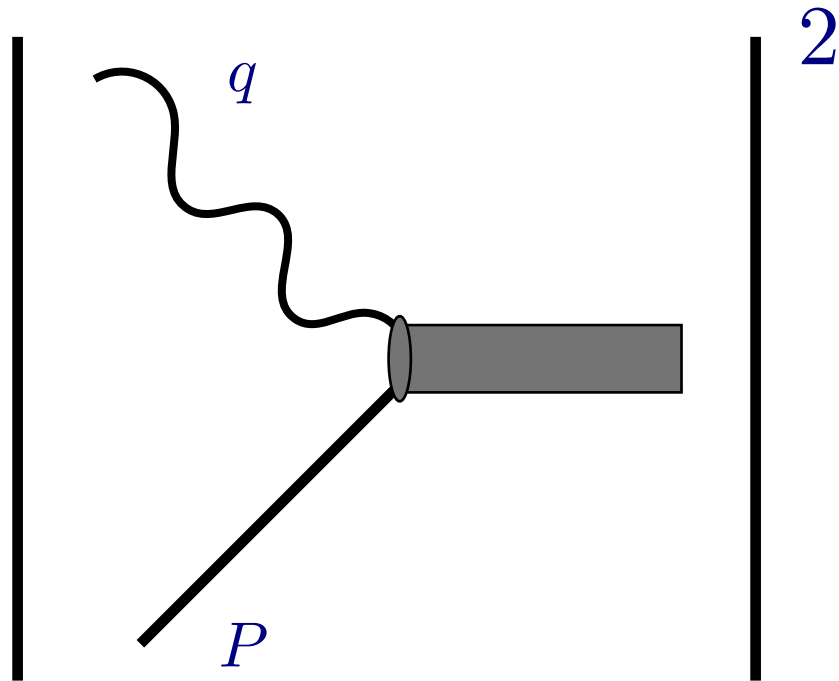


Relative uncertainties diverge beyond $x \sim 0.6$:
Opportunity for lattice to contribute

In principle, these could be determined from QCD
Challenging so far!

First: Hadron tensor and PDFs

Inelastic scattering



Cross section \sim Hadron tensor

$$W_{\mu\nu} \sim \int d^4x \langle p | [J_\mu(x), J_\nu(0)] | p \rangle$$

Structure functions $F_{1,2}(P \cdot q, Q^2)$

$$F_i = \frac{1}{2\pi} \text{Im } T_i$$

Forward Compton amplitude

$$T_{\mu\nu} \sim \int d^4x \langle p | T J_\mu(x) J_\nu(0) | p \rangle$$

Lorentz-scalar functions $T_{1,2}(P \cdot q, Q^2)$



(Virtual) Compton amplitude

- Compton amplitude

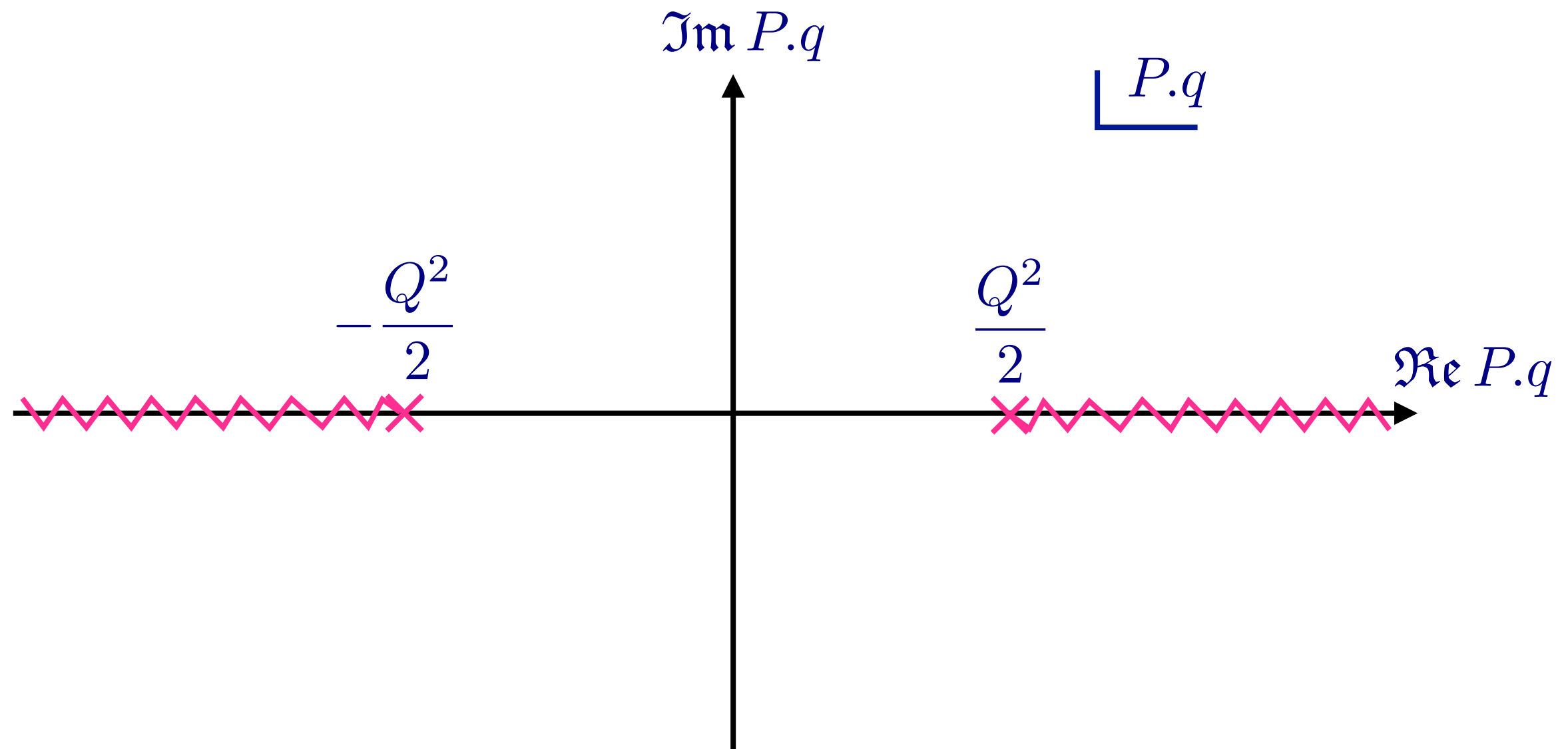
$$\begin{aligned} T_{\mu\nu}(p, q) &= \rho_{ss'} \int d^4x \langle p, s' | T J_\mu(x) J_\nu(0) | p, s \rangle \\ &= \left(-g_{\mu\nu} + \frac{q_\mu q_\nu}{q^2} \right) T_1(P \cdot q, Q^2) + \frac{1}{P \cdot q} \left(p_\mu - \frac{P \cdot q}{q^2} q_\mu \right) \left(p_\nu - \frac{P \cdot q}{q^2} q_\nu \right) T_2(P \cdot q, Q^2) \end{aligned}$$

- Looking ahead to lattice results shown at end, consider simple case

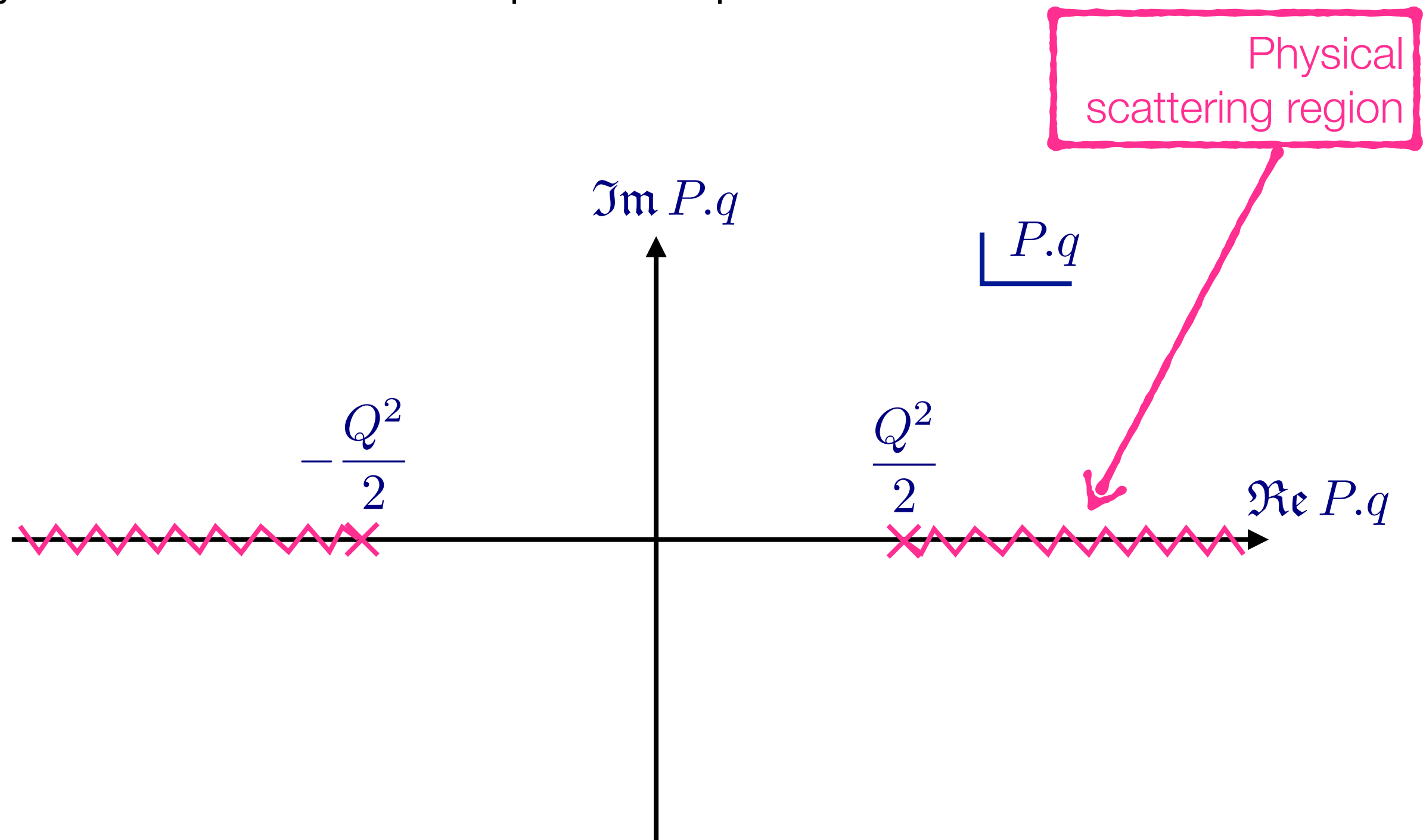
$$\mu = \nu = 3, \quad q_3 = 0, \quad P_3 = 0$$

$$\Rightarrow T_{33}(P, q) = T_1(P \cdot q, Q^2)$$

Analytic structure of Compton amplitude



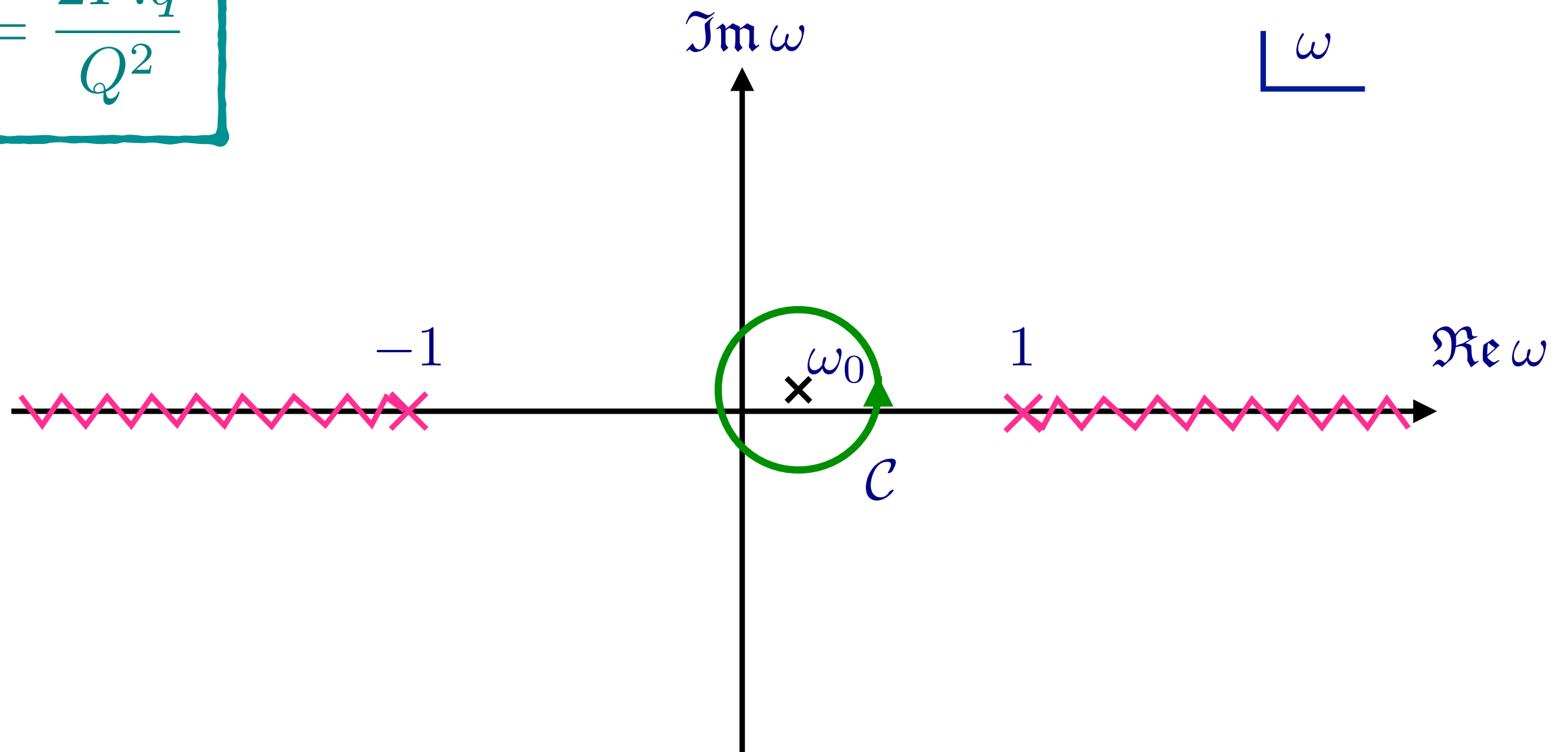
Analytic structure of Compton amplitude



Analytic structure of Compton amplitude

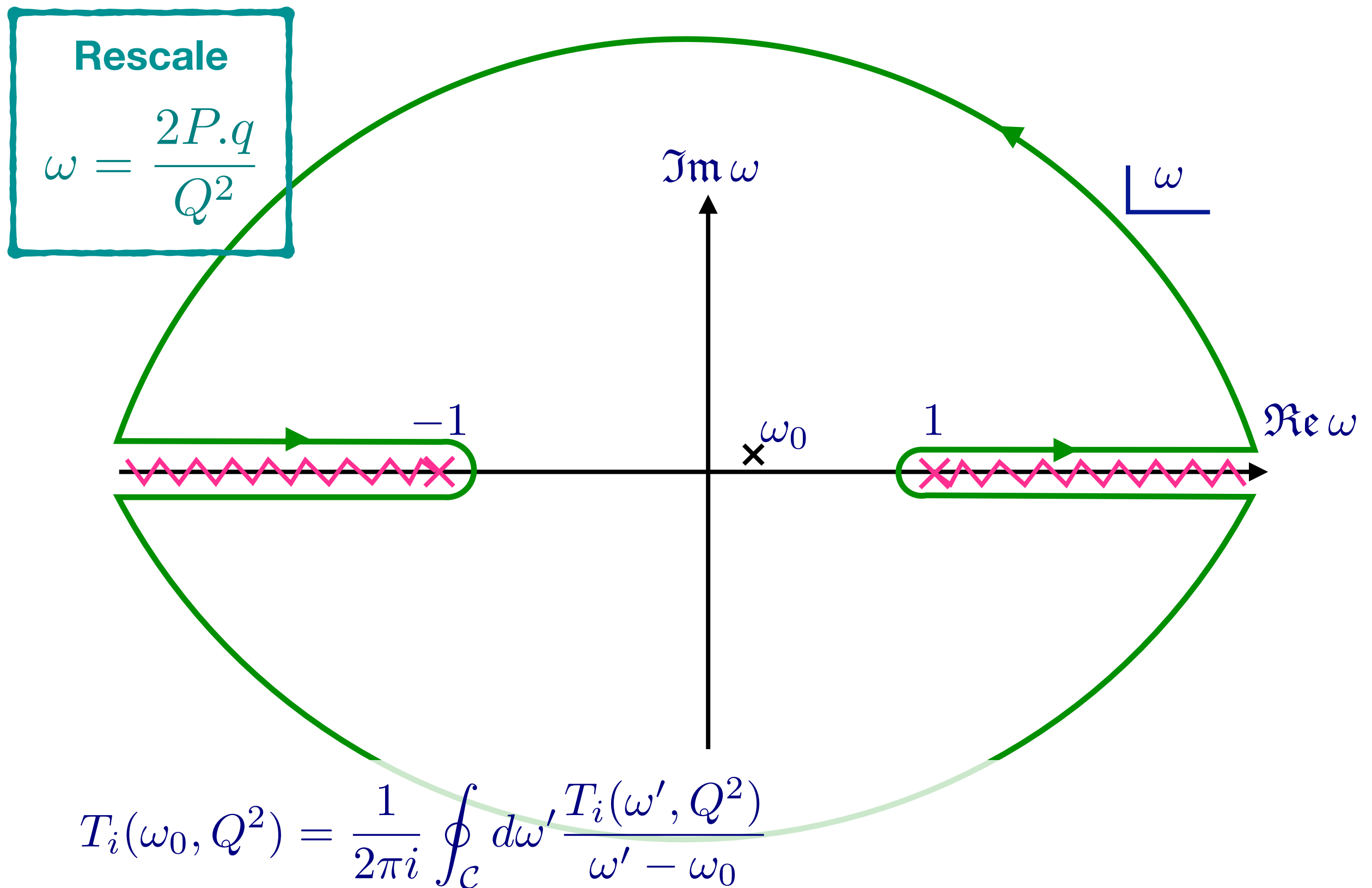
Rescale

$$\omega = \frac{2P \cdot q}{Q^2}$$

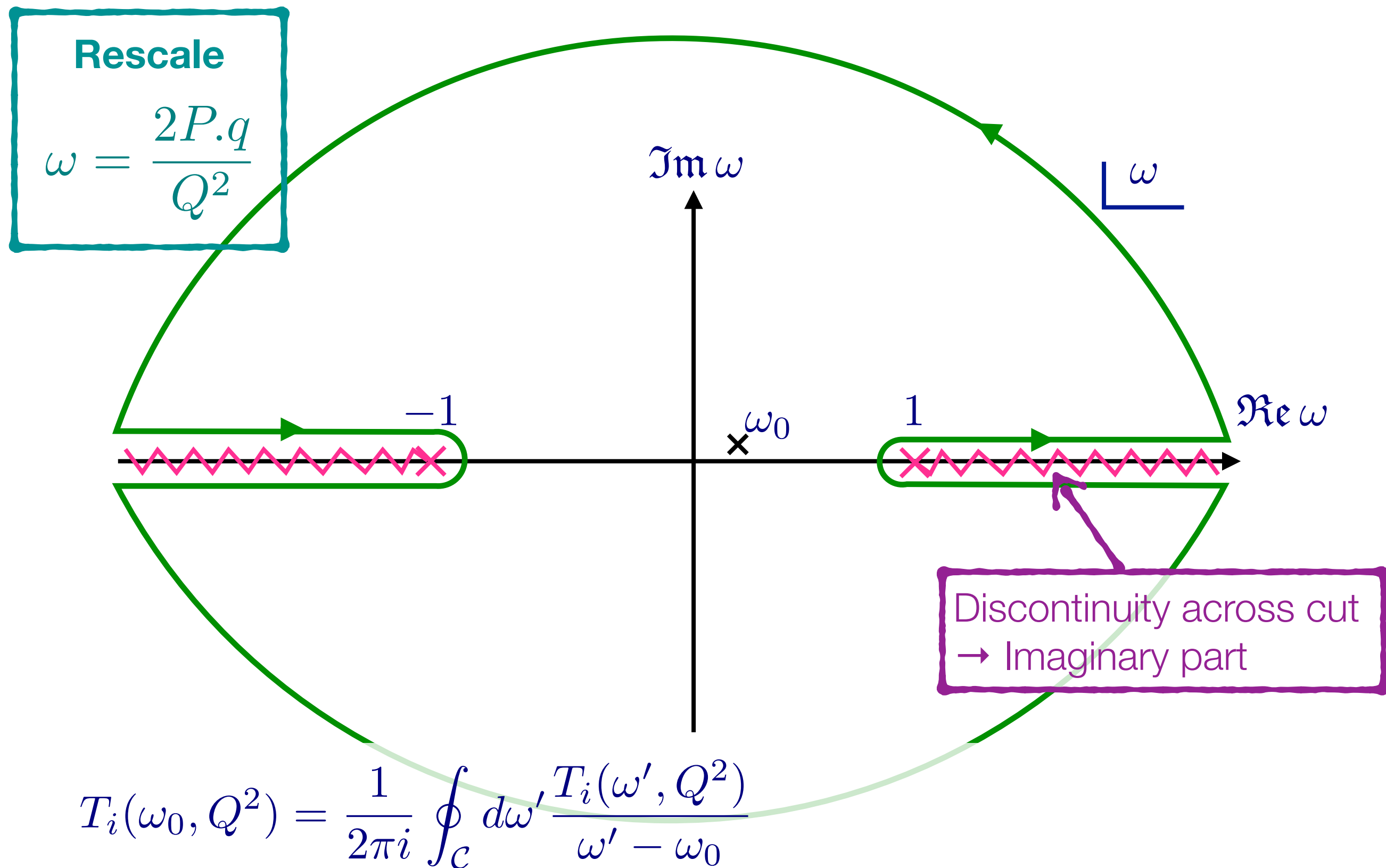


$$T_i(\omega_0, Q^2) = \frac{1}{2\pi i} \oint_C d\omega' \frac{T_i(\omega', Q^2)}{\omega' - \omega_0}$$

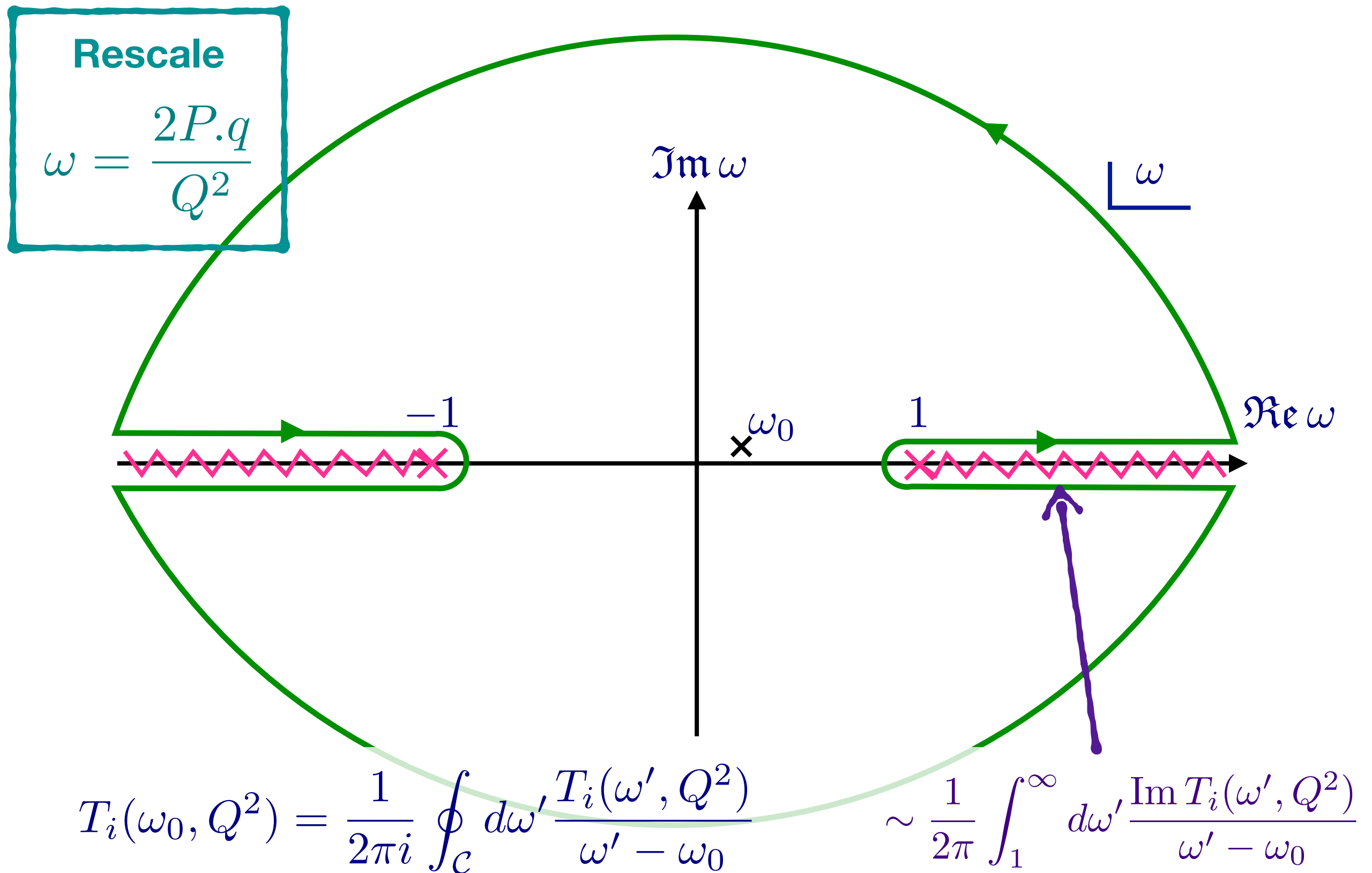
Analytic structure of Compton amplitude



Analytic structure of Compton amplitude



Analytic structure of Compton amplitude



Moments of structure functions

- Re-express integral over familiar Bjorken x :

$$T_1(\omega, Q^2) - T_1(\omega, 0) = \frac{4\omega^2}{2\pi} \int_1^\infty d\omega' \frac{\text{Im } T_1(\omega', Q^2)}{\omega'(\omega^2 - \omega'^2)} = 4\omega^2 \int_0^1 dx x \frac{F_1(x, Q^2)}{1 - (\omega x)^2}$$

Subtraction term:

Cottingham sum rule; Muonic hydrogen.

Recently, see also:

Agadjanov, Meißner & Rusetsky, PRD(2017),
Hill & Paz, PRD(2017), ...

$$x = 1/\omega'$$

**Taylor
expansion**

- Moments of structure functions**

$$T_1(\omega, Q^2) - T_1(\omega, 0) = \sum_{j=1}^{\infty} 4\omega^{2j} \int_0^1 dx x^{2j-1} F_1(x, Q^2)$$

Lattice QCD: Traditional way

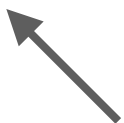
$$T_1(\omega, Q^2) - T_1(\omega, 0) = \sum_{j=1}^{\infty} 4\omega^{2j} \int_0^1 dx x^{2j-1} F_1(x, Q^2)$$

- Matrix elements of local twist-2 operators:

$$\langle P | \mathcal{O}^{\{\nu_1 \dots \nu_n\}} | P \rangle = 2 a(n, \mu) P^{\nu_1} \dots P^{\nu_n} - \text{traces}$$

$$a(n, \mu) = \int_0^1 dx x^{2n-1} F(x, \mu)$$

$$\mathcal{O}^{\{\nu_1 \dots \nu_n\}} = \bar{\psi}(0) \gamma^{\nu_1} D^{\nu_2} \dots D^{\nu_n} \psi(0)$$



Operator mixing on the lattice prohibits the study of operators with increasing numbers of derivatives:

**Typically only access lowest moment
(e.g. quark momentum fractions)**

Feynman–Hellmann (2nd order):
Study Compton amplitude directly

$$T_1(\omega, Q^2) - T_1(\omega, 0) = 4\omega^2 \int_0^1 dx \, x \frac{F_1(x, Q^2)}{1 - (\omega x)^2}$$

Feynman–Hellman (2nd order)

- Field theory version of 2nd order perturbation theory:

$$E = E_0 + \lambda \langle N|V|N \rangle + \lambda^2 \sum_{X \neq N} \frac{\langle N|V|X \rangle \langle X|V|N \rangle}{E_0 - E_X} + \dots$$

Only get a linear term
for elastic case $\omega=1$

$$E_0 < E_X$$

Intermediate states cannot
go on-shell for $\omega < 1$

- Final result. We study second-order perturbation on the lattice

$$\frac{\partial^2 E_{\mathbf{p}}}{\partial \lambda^2} = -\frac{1}{2E_{\mathbf{p}}} \int d^4\xi \left(e^{iq \cdot \xi} + e^{-iq \cdot \xi} \right) \langle \mathbf{p} | T J(\xi) J(0) | \mathbf{p} \rangle$$

see backup slides, or
RDY, presentation @Lattice 2017;
Somfleth et al. ... soon

Test case:

Compton amplitude \rightarrow PDFs

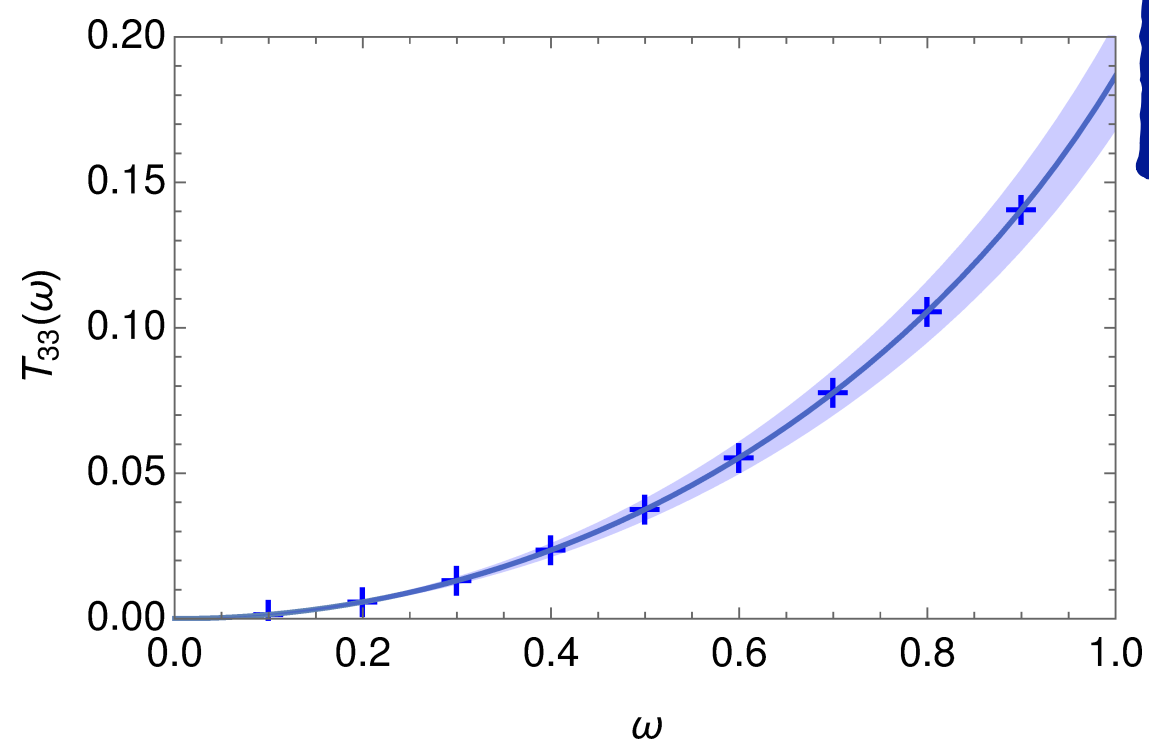
Taylor expansion

- Consider moments of structure function

$$\mu_{2m-1} = \int_0^1 dx x^{2m-1} F_1(x)$$

- Series expansion of Compton amplitude

$$T_{33}(\omega)/4 = \omega^2 \mu_1 + \omega^4 \mu_3 + \omega^6 \mu_5 + \dots$$



Compton amplitude in
unphysical region

input PDFs: MSTW(LO)

“Inversion”

- Discrete approximation to parton distribution $F_1(x)$

- Consider discretised integral


$$T_{33}(\omega_n) = \sum_{m=1}^M K_{nm} F_1(x_m), \quad x_m = \frac{m}{M} \quad K_{nm} = \frac{4\omega_n^2 x_m}{1 - (\omega_n x_n)^2}$$

$$N < M$$

- Use singular value decomposition to invert $N \times M$ matrix

$$K = U [\text{diag}(w_1, \dots, w_{N'}, w_{N'+1}, \dots, w_N)] V^\top$$

$N \times M$ “diag”

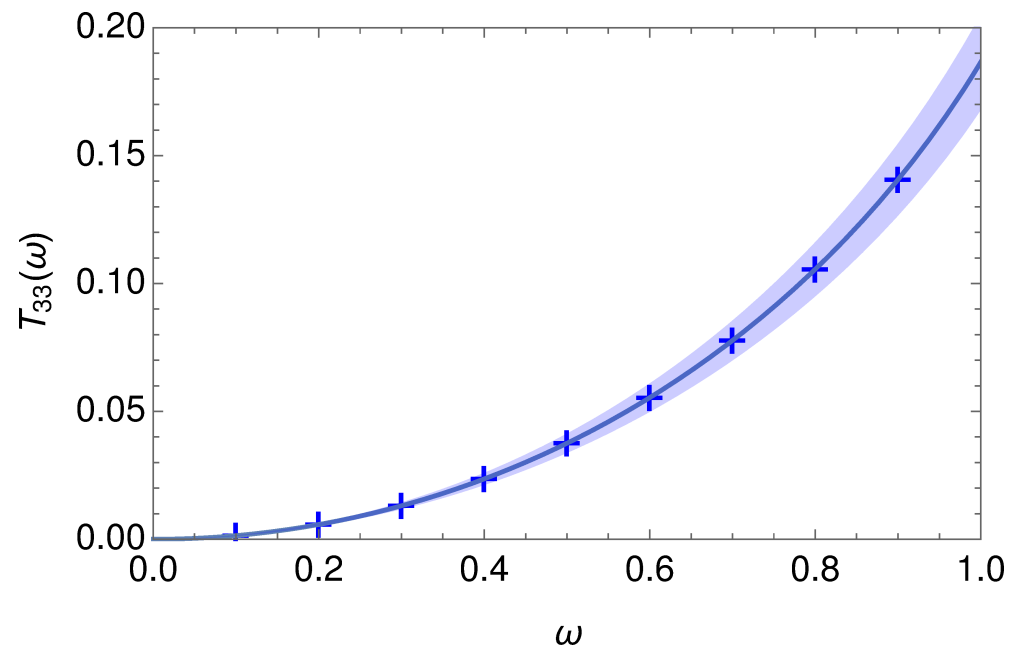


$$\overbrace{w_{N'+1}, \dots, w_N} \simeq 0, \quad N' \leq N$$

- Pseudoinverse

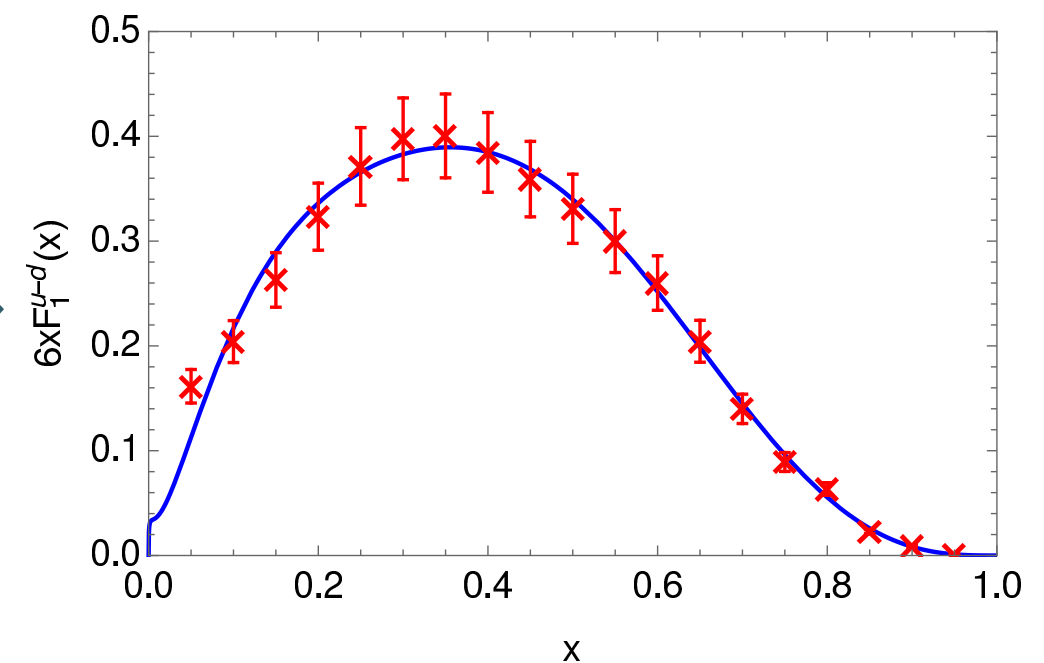
$$K^{-1} = V [\text{diag}(1/\omega_1, \dots, 1/\omega_{N'}, 0, \dots, 0)] U^\top$$

Input



“Pseudo-inverse”

Output



$$T_{33} = 4\omega \int_0^1 dx \frac{\omega x}{1 - (\omega x)^2} F_1^{u-d}(x)$$

$$2xF_1^{u-d}(x) = \frac{1}{3}x [u(x) - d(x)]$$

input PDFs: MSTW(LO)

Chambers et al., [PRL\(2017\)](#)

Toy model test

Numerical investigation

Numerical set-up

Single external momenta

$$\vec{q} = (3, 5, 0) \frac{2\pi}{L}$$

$$\omega = \frac{2P \cdot q}{Q^2} = \frac{2\vec{P} \cdot \vec{q}}{\vec{q}^2}$$

$q_4 = 0$

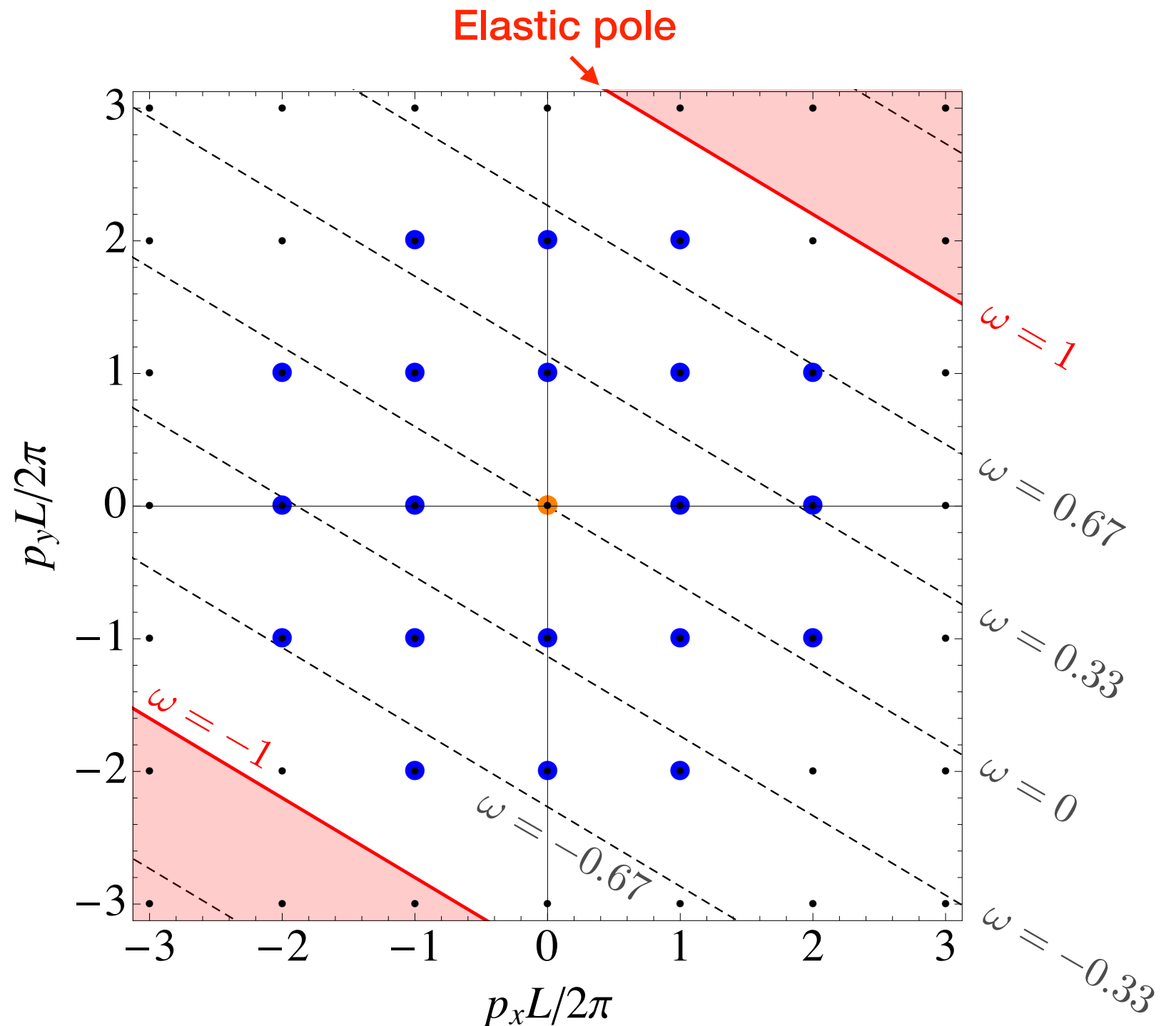
Lattice specs

SU(3) symmetric point:

$$m_\pi \simeq 400 \text{ MeV}$$

$32^3 \times 64$, $a \approx 0.074 \text{ fm}$

O(900) configs

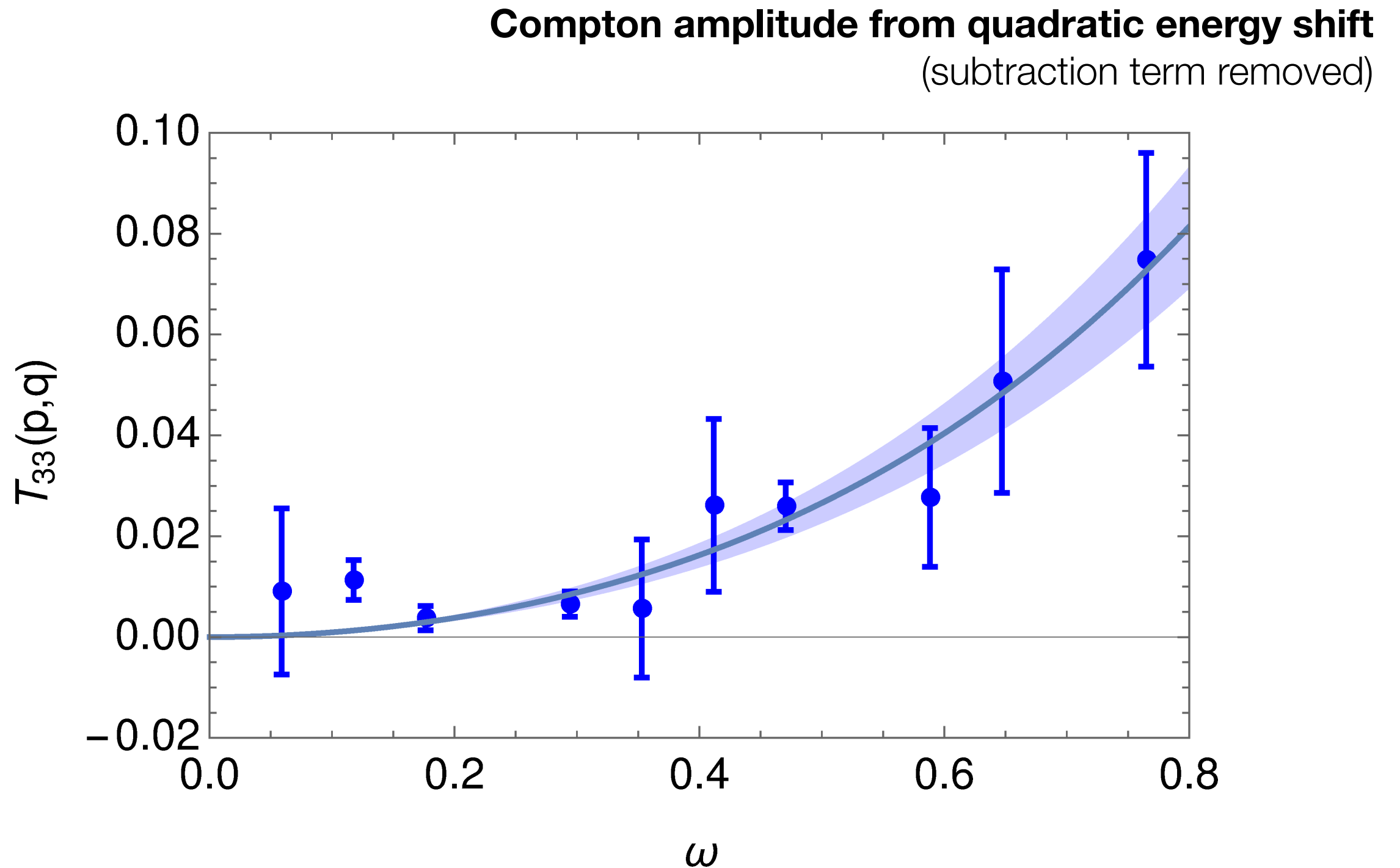


Blue dots: different nucleon Fourier momenta

Lattice kinematics

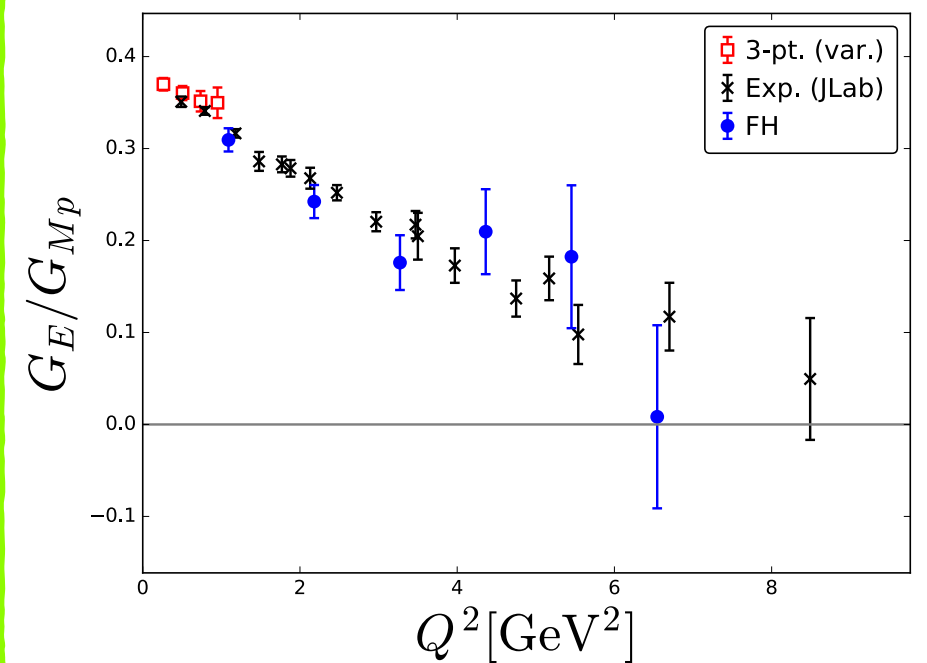
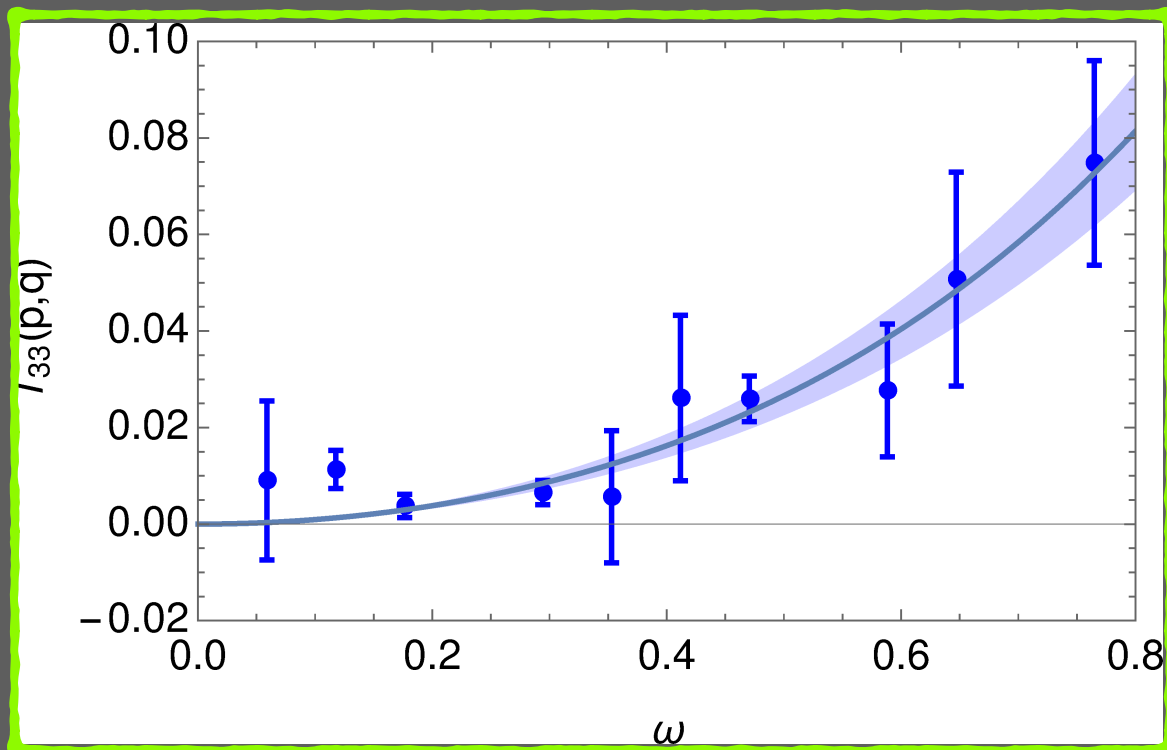
Broad coverage of ω from single calculation (computationally “cheap”)

Numerical test: Lattice results



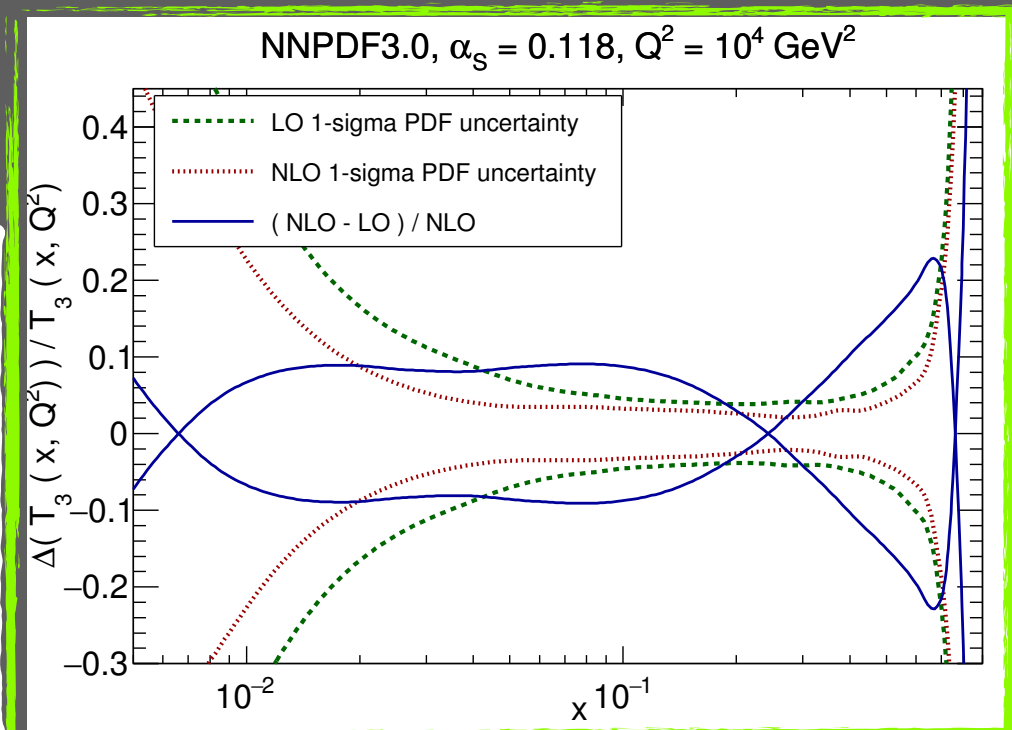
Chambers et al., [PRL\(2017\)](#)

New access to form factors
at large momenta



(Virtual) Compton amplitude
accessible on the lattice

Nonperturbative constraint
on hadronic structure
functions
→ PDFs + higher twist



Back-up slides

Second-order “Feynman-Hellmann”
(with external momentum)

Feynman–Hellmann (2nd order)

- Two-point correlator

$$\int d^3x e^{-i\mathbf{p}\cdot\mathbf{x}} \frac{1}{\mathcal{Z}(\lambda)} \int \mathcal{D}\phi \chi(x) \chi^\dagger(0) e^{-S(\lambda)} = \sum_N \frac{|\lambda \langle \Omega | \chi | N, \mathbf{p} \rangle_\lambda|^2}{2E_{N,\mathbf{p}}(\lambda)} e^{-E_{N,\mathbf{p}}(\lambda)x_0}$$

Integral over all fields

only interested in perturbative
shift of ground-state energy

$$\simeq A_{\mathbf{p}}(\lambda) e^{-E_{\mathbf{p}}(\lambda)x_0}$$

“Momentum” quantum# at finite field

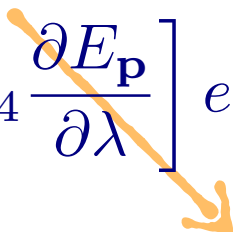
$$|N, \mathbf{p}\rangle_\lambda$$

$$\mathbf{p} \equiv \mathbf{p} + n\mathbf{q}, \quad n \in \mathbb{Z}$$

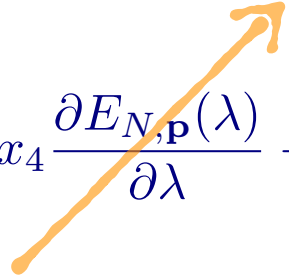
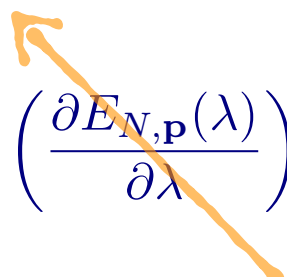
Feynman–Hellmann (2nd order)


- Differentiate spectral sum

$$\frac{\partial}{\partial \lambda} \sum_N \frac{|\lambda \langle \Omega | \chi | N, \mathbf{p} \rangle_\lambda|^2}{2E_N(\mathbf{p}, \lambda)} e^{-E_{N,\mathbf{p}}(\lambda)x_4} = \sum_N \left[\frac{\partial A_{N,\mathbf{p}}(\lambda)}{\partial \lambda} - A_{N,\mathbf{p}}(\lambda)x_4 \frac{\partial E_{N,\mathbf{p}}}{\partial \lambda} \right] e^{-E_{N,\mathbf{p}}(\lambda)x_4}$$

$$\rightarrow \left[\frac{\partial A_{\mathbf{p}}(\lambda)}{\partial \lambda} - A_{\mathbf{p}}(\lambda)x_4 \frac{\partial E_{\mathbf{p}}}{\partial \lambda} \right] e^{-E_{\mathbf{p}}(\lambda)x_4}$$


- And again

$$\frac{\partial^2}{\partial \lambda^2} [\dots] = \sum_N \left[\frac{\partial^2 A_{N,\mathbf{p}}(\lambda)}{\partial \lambda^2} - 2 \frac{\partial A_{N,\mathbf{p}}(\lambda)}{\partial \lambda} x_4 \frac{\partial E_{N,\mathbf{p}}(\lambda)}{\partial \lambda} - A_{N,\mathbf{p}}(\lambda)x_4 \frac{\partial^2 E_{N,\mathbf{p}}(\lambda)}{\partial \lambda^2} + A_{N,\mathbf{p}}(\lambda)x_4^2 \left(\frac{\partial E_{N,\mathbf{p}}(\lambda)}{\partial \lambda} \right)^2 \right]$$



$$\rightarrow \left[\frac{\partial^2 A_{\mathbf{p}}(\lambda)}{\partial \lambda^2} - A_{\mathbf{p}}(\lambda)x_4 \frac{\partial^2 E_{\mathbf{p}}}{\partial \lambda^2} \right] e^{-E_{\mathbf{p}}(\lambda)x_4}$$


Quadratic energy shift

Watch for temporal enhancement $\sim x_4 e^{-E_{\mathbf{p}}x_4}$

Feynman–Hellmann (2nd order)

- **Differentiate path integral**

$$\begin{aligned} \frac{\partial}{\partial \lambda} \int d^3x e^{-i\mathbf{p}\cdot\mathbf{x}} \frac{1}{\mathcal{Z}(\lambda)} \int \mathcal{D}\phi \chi(x) \chi^\dagger(0) e^{-S(\lambda)} \\ = \int d^3x e^{-i\mathbf{p}\cdot\mathbf{x}} \frac{1}{\mathcal{Z}(\lambda)} \int \mathcal{D}\phi \chi(x) \chi^\dagger(0) \left[-\frac{\partial S}{\partial \lambda} - \frac{1}{\mathcal{Z}(\lambda)} \frac{\partial \mathcal{Z}}{\partial \lambda} \right] e^{-S(\lambda)}, \end{aligned}$$

“Disconnected” operator insertions;
drop for simplicity

- Differentiate again, take zero-field limit and note: $\frac{\partial^2 S}{\partial \lambda^2} = 0$

$$\frac{\partial^2}{\partial \lambda^2} [\cdots] \Big|_{\lambda=0} = \int d^3x e^{-i\mathbf{p}\cdot\mathbf{x}} \frac{1}{\mathcal{Z}_0} \int \mathcal{D}\phi \chi(x) \chi^\dagger(0) \left(\frac{\partial S}{\partial \lambda} \right)^2 e^{-S_0}$$

Current insertions integrated
over 4-volume

$$\frac{\partial S}{\partial \lambda} = \int d^4y \, 2 \cos(\mathbf{q}\cdot\mathbf{y}) \bar{q}(y) \gamma_\mu q(y)$$

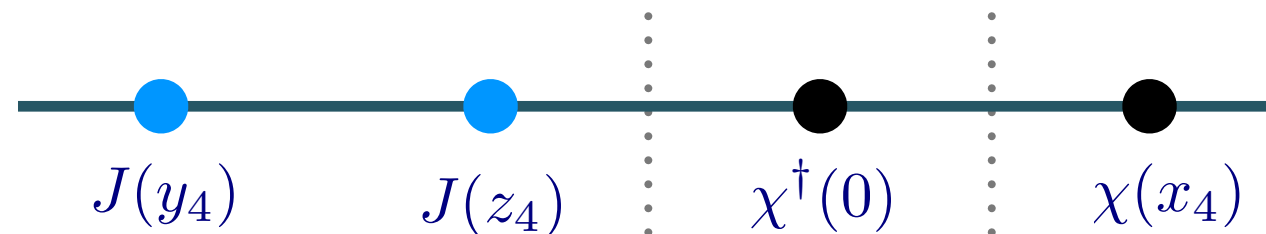
Field time orderings

ignore finite T

- Current insertion possibilities



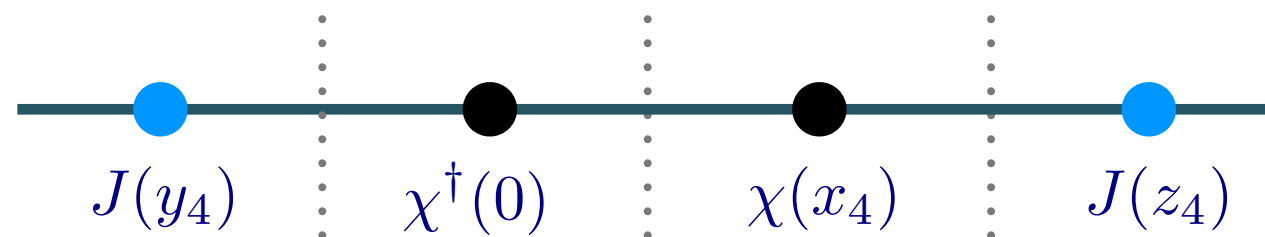
- Both currents “outside” (together)



$$\langle \chi(x) \chi^\dagger(0) T(J(y) J(z)) \rangle, \quad y_4, z_4 < 0 < x_4$$

$$\sim e^{-E_X x_4}, \quad E_X \gtrsim E_{\mathbf{p}}$$

- Both currents “outside” (opposite)

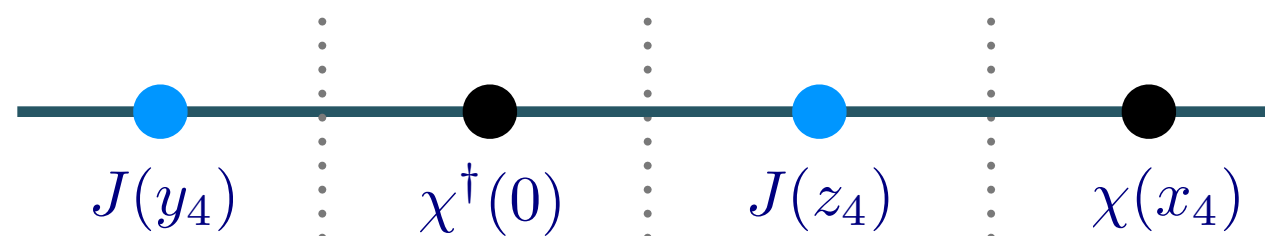


$$\langle J(z) \chi(x) \chi^\dagger(0) J(y) \rangle, \quad y_4 < 0 < x_4 < z_4$$

$$\sim e^{-E_X x_4}, \quad E_X \gtrsim E_{\mathbf{p}}$$

$E_X = E_{\mathbf{p}} \Rightarrow$ changes amplitudes

- One current “inside”



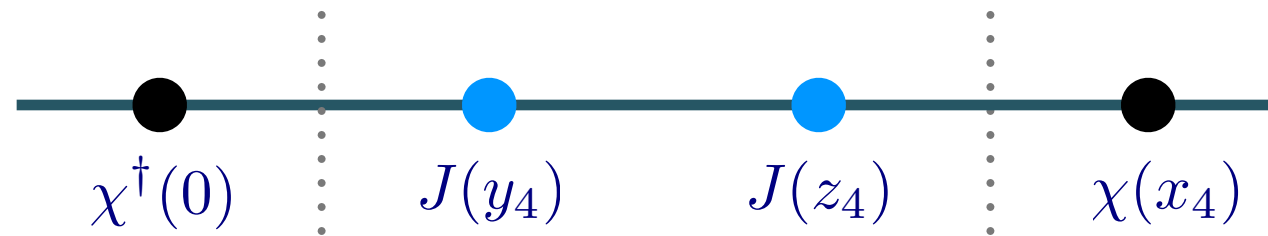
$$\langle \chi(x) J(z) \chi^\dagger(0) J(y) \rangle, \quad y_4 < 0 < z_4 < x_4$$

$$\sim \frac{\partial E_{\mathbf{p}}}{\partial \lambda} x_4 e^{-E_{\mathbf{p}} x_4} \rightarrow 0$$

linear energy shift
(and changed amplitude)

Field time orderings

- Both currents between creation/annihilation



$$\begin{aligned}
 & \int d^3x e^{-i\mathbf{p}\cdot\mathbf{x}} \frac{1}{Z_0} \int \mathcal{D}\phi \chi(x) \chi^\dagger(0) \left(\frac{\partial S}{\partial \lambda} \right)^2 e^{-S_0} \\
 &= \sum_{N,N'} \int \frac{d^3k}{(2\pi)^3} \frac{1}{2E_{N,\mathbf{k}}} \int \frac{d^3k'}{(2\pi)^3} \frac{1}{2E_{N',\mathbf{k}'}} \int d^3x \int d^4z \int d^4y e^{-i\mathbf{p}\cdot\mathbf{x}} (e^{i\mathbf{q}\cdot\mathbf{z}} + e^{-i\mathbf{q}\cdot\mathbf{z}}) (e^{i\mathbf{q}\cdot\mathbf{y}} + e^{-i\mathbf{q}\cdot\mathbf{y}}) \\
 &\quad \times \langle \Omega | \chi(x) | N, \mathbf{k} \rangle \langle \mathbf{k} | T J(z) J(y) | \mathbf{k}' \rangle \langle N', \mathbf{k}' | \chi^\dagger(0) | \Omega \rangle, \\
 &\vdots \\
 &\rightarrow \frac{A_{\mathbf{p}}}{2E_{\mathbf{p}}} x_4 e^{-E_{\mathbf{p}} x_4} \int d^4\xi (e^{iq\cdot\xi} + e^{-iq\cdot\xi}) \langle \mathbf{p} | T J(\xi) J(0) | \mathbf{p} \rangle
 \end{aligned}$$

Note $q_4 = 0 \Rightarrow \mathbf{q}\cdot\xi = q\cdot\xi$

Final steps

- Equate spectral sum and path integral representation
 - Asymptotically, we have

$$-A_{\mathbf{p}} \frac{\partial^2 E_{\mathbf{p}}}{\partial \lambda^2} x_4 e^{-E_{\mathbf{p}} x_4} = \frac{A_{\mathbf{p}}}{2E_{\mathbf{p}}} x_4 e^{-E_{\mathbf{p}} x_4} \int d^4 \xi \left(e^{iq \cdot \xi} + e^{-iq \cdot \xi} \right) \langle \mathbf{p} | T J(\xi) J(0) | \mathbf{p} \rangle$$

$$\frac{\partial^2 E_{\mathbf{p}}}{\partial \lambda^2} = -\frac{1}{2E_{\mathbf{p}}} \int d^4 \xi \left(e^{iq \cdot \xi} + e^{-iq \cdot \xi} \right) \langle \mathbf{p} | T J(\xi) J(0) | \mathbf{p} \rangle$$