

$\psi(2S)$  and  $\Upsilon(3S)$  hadroproduction in the  
Parton Reggeization Approach:  
yield, polarization, and the role of fragmentation

B. A. Kniehl<sup>1</sup>, M. A. Nefedov<sup>2</sup>, V. A. Saleev<sup>2</sup>

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School of Physics, Peking University,  
Beijing, China

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<sup>1</sup>II Institute for Theoretical Physics of Hamburg University, Hamburg, Germany

<sup>2</sup>Samara National Research University, Samara, Russia

## Motivation.

**The talk is based on:** [B. A. Kniehl, M. A. Nefedov, V. A. Saleev, Phys. Rev. D **94**, 054007 (2016)]

Two main pillars of physics of heavy quarkonium production at hadron colliders:

- **NRQCD-factorization** ( $c\bar{c} \rightarrow (J/\psi, \chi_{cJ}, \psi(2S), \dots) + X$ )
- **QCD-factorization** ( $p + p \rightarrow c\bar{c} + X$ ): Collinear Parton Model + radiative corrections

Main observable –  **$p_T$ -spectrum** (+ **polarization!**), 3 kinematic regions:

- **Small  $p_T \ll M$**  (assuming  $M \gg \Lambda_{QCD}$ ): *Sudakov region*, large logarithms –  $\log^2(p_T/M)$ .
- **“Moderate”  $p_T \sim M$** : NLO corrections in CPM are significant ( $>$  factor-2),  **$\log 1/x$ -effect?** ( $x \sim M/\sqrt{S} \ll 1$ )
- **High  $p_T \gg M$** : *Fragmentation region*, large logarithms  $\log(p_T/M)$ .

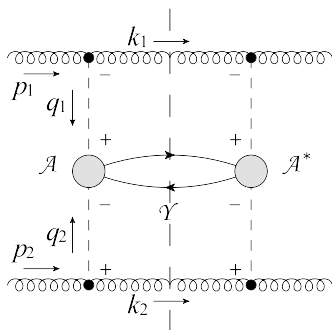
**We need to fit all regions simultaneously, to understand HQ production!** Unified description of regions 1 and 2 +  **$p_T$ -spectrum for  $2 \rightarrow 1$  production**  $\Rightarrow$   **$k_T$ -factorization.**

## Parton Reggeization Approach

Traditionally,  **$k_T$ -factorization** is motivated starting from the BFKL evolution equation (**log  $1/x$ -resummation**). We derive the factorization formula of **PRA** starting from the Collinear Parton Model (see Sec. II of [A. Karpishkov, M. Nefedov, V. Saleev, [hep-ph/1707.04068](https://arxiv.org/abs/hep-ph/1707.04068)] for the details).

Auxiliary CPM subprocess:

$$g(p_1) + g(p_2) \rightarrow g(k_1) + \mathcal{Y}(P_A) + g(k_2).$$



**Modified Multi-Regge-Kinematics approximation (mMRK-approximation)** for  $|\overline{\mathcal{M}}|^2$  ( $z_1 = q_1^+/p_1^+$ ,  $z_2 = q_2^-/p_2^-$ ):

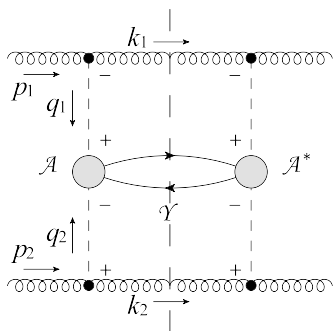
$$|\overline{\mathcal{M}}|^2_{\text{mMRK}} \simeq \frac{4g_s^4}{q_1^2 q_2^2} P_{gg}(z_1) P_{gg}(z_2) \frac{|\overline{\mathcal{A}_{PRA}}|^2}{z_1 z_2},$$

where  $|\overline{\mathcal{A}_{PRA}}|^2$  – **gauge-invariant** PRA amplitude with **off-shell (Reggeized)** initial-state partons:

$$q_{1,2}^\mu = x_{1,2} P_{1,2}^\mu + q_{T1,2}^\mu, \quad q_{1,2}^2 = -t_{1,2} < 0.$$

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Regions of validity of **mMRK**

approximation ( $z_1 = q_1^+ / p_1^+$ ,  $z_2 = q_2^- / p_2^-$ ):

- **Collinear region:**  
 $t_{1,2} \ll \mu^2$ ,  $0 \leq z_{1,2} \leq 1$
- **Multi-Regge region:**  
 $t_{1,2} \sim \mu^2$ ,  $z_{1,2} \ll 1$ .

**Multi-Regge Kinematics** = large

**Rapidity Gaps:**

$$y(k_1) - y(P_A) \sim \log \frac{1}{z_1}, \quad y(P_A) - y(k_2) \sim \log \frac{1}{z_2}.$$

## Parton Reggeization Approach

Substituting the mMRK approximation for  $|\overline{\mathcal{M}}|^2$  to the CPM factorization formula, we obtain the **PRA factorization formula**:

$$d\sigma = \int_0^1 \frac{dx_1}{x_1} \int \frac{d^2\mathbf{q}_{T1}}{\pi} \tilde{\Phi}_g(x_1, t_1, \mu^2) \int_0^1 \frac{dx_2}{x_2} \int \frac{d^2\mathbf{q}_{T2}}{\pi} \tilde{\Phi}_g(x_2, t_2, \mu^2) \cdot d\hat{\sigma}_{\text{PRA}},$$

where  $\tilde{\Phi}$  are the tree-level “unintegrated PDFs” (next slide) and

$$d\hat{\sigma}_{\text{PRA}} = \frac{|\overline{\mathcal{A}}_{\text{PRA}}|^2}{2Sx_1x_2} \cdot (2\pi)^4 \delta(q_1 + q_2 - P_A) d\Phi_A.$$

**Note:** The flux-factor of CPM  $I = 2Sx_1x_2$  for the **off-shell** initial-state partons. Other approaches in the literature are **NOT** consistent with Multi-Regge limit!

## Unintegrated PDFs

The tree-level “unintegrated PDFs”:

$$\tilde{\Phi}_g(x, t, \mu^2) = \frac{1}{t} \frac{\alpha_s}{2\pi} \int_x^1 dz P_{gg}(z) \cdot \frac{x}{z} f_g\left(\frac{x}{z}, \mu^2\right),$$

contain singularities for  $t_{1,2} \rightarrow 0$  and  $z_{1,2} \rightarrow 0$ . Introduction of the **Sudakov formfactor** ( $T_i(t, \mu^2) \Rightarrow$  resummation of  $\log^2(t/\mu^2)$ -corrections in LLA) and **rapidity-ordering condition** for  $z_{1,2}$ , converts them into well-known (in  $k_T$ -factorization community) **Kimber-Martin-Ryskin unPDFs** [KMR, 2001]:

$$\begin{aligned} \Phi_i(x, t, \mu^2) &= \frac{T_i(t, \mu^2)}{t} \frac{\alpha_s(t)}{2\pi} \sum_{j=q, \bar{q}, g} \int_x^1 dz P_{ij}(z) \cdot \frac{x}{z} f_j\left(\frac{x}{z}, t\right) \\ &\times \theta(1 - \Delta_{KMR}(t, \mu^2) - z), \end{aligned}$$

normalized such as:

$$\int_0^{\mu^2} dt \Phi_i(x, t, \mu^2) = x f_i(x, \mu^2).$$

## PRA amplitudes

In PRA, the **gauge-invariant** matrix elements with **off-shell** initial-state partons are obtained in the framework of **Effective Field Theory** for Multi-Regge processes in QCD, introduced by L. N. Lipatov [Lipatov, 1995].

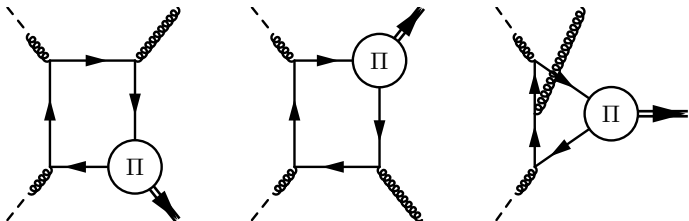
Part of the Feynman rules:

$\frac{+}{a} \xrightarrow{q} \frac{-}{b} = \frac{-i\delta_{ab}}{2q^2}$	$\frac{a}{q} \xrightarrow{+} \frac{b}{\mu} = (-iq^2)n_{\mu}^{\mp}\delta_{ab}$
$\mu \begin{matrix} a_1 \xrightarrow{k_1} & a_2 \\ \circlearrowleft & \nu \\ q \uparrow & \\   & \\ a & \end{matrix}$	$g_s f_{aa_1a_2} (n_{\mu}^{\mp} n_{\nu}^{\mp}) \frac{q^2}{k_1^{\mp}}$
$\mu_1 \begin{matrix} a_1 \xrightarrow{k_1} & a_2 & a_3 \\ \circlearrowleft & \mu_2 & \\ \circlearrowleft & \mu_3 & \\ q \uparrow & & \\   & & \\ a & & \end{matrix}$	$ig_s^2 (n_{\mu_1}^{\mp} n_{\mu_2}^{\mp} n_{\mu_3}^{\mp}) \frac{q^2}{k_3^{\mp}} \left[ \frac{f_{aba_1} f_{ba_2a_3}}{k_1^{\mp}} + \frac{f_{aba_2} f_{ba_1a_3}}{k_2^{\mp}} \right]$

where  $n_{\pm}^{\mu} = 2P_{2,1}^{\mu}/\sqrt{S}$ ,  $n_{+}^2 = n_{-}^2 = 0$ ,  $n^{+}n^{-} = 2$ .

Induced vertices of interaction of **Reggeized gluon (R)** with  $n$  gluons are  $O(g_s^n)$ , due to the **Wilson lines** in the Lagrangian of EFT.

$$R + R \rightarrow Q\bar{Q} \left[ {}^3S_1^{(1)} \right] + g \text{ amplitude.}$$



Collinear limit:

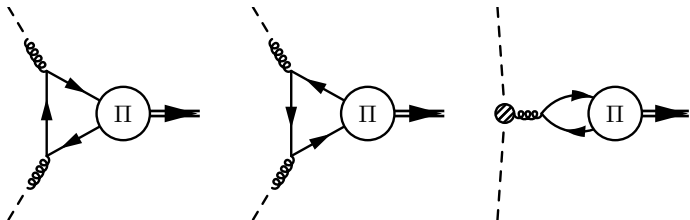
$$\int_0^{2\pi} \frac{d\phi_1 d\phi_2}{(2\pi)^2} \lim_{t_{1,2} \rightarrow 0} |\mathcal{A}_{PRA}|^2 = |\mathcal{A}_{CPM}|^2$$

Squared amplitude **coincides** with the squared amplitude, obtained in the “old  $k_T$ -factorization” prescription:

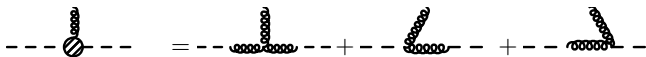
$$\varepsilon^\mu(q_{1,2}) \rightarrow \frac{q_{T1,2}^\mu}{\sqrt{t_{1,2}}},$$

due to the Slavnov-Taylor identities.



2  $\rightarrow$  1 amplitudes.Processes  $R + R \rightarrow Q\bar{Q} \left[ {}^3S_1^{(8)}, {}^1S_0^{(8)}, {}^3P_J^{(1,8)} \right]$ 

Lipatov vertex:



Squared PRA amplitude **coincides** with the amplitudes obtained earlier in the “old  $k_T$ -factorization” [Kniehl, Saleev, Vasin, 2006].

For **single** heavy quarkonium production, PRA = “old  $k_T$ -factorization” with **KMR unPDF** and **CPM flux factor** for **all subprocesses**.

For **pair** production, the amplitudes are different, see the talk by Zhiguo He.

## Heavy quarkonium production in PRA

The fits of Color-Octet LDMEs for  $J/\psi$ ,  $\psi(2S)$  and  $\chi_{cJ}$ -production in the LO of PRA has been performed since [Kniehl, Vasin, Saleev, 2006], and in [Nefedov, Saleev, Shipilova, 2012] the LHC data has been included.

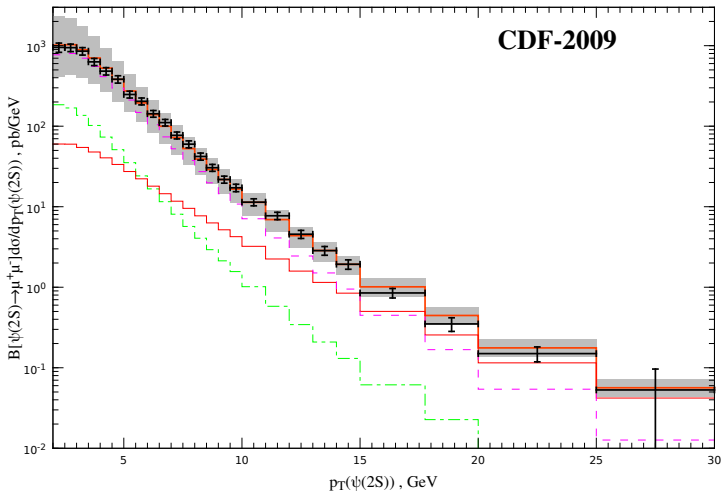
The fit of CO LDMEs for  $\Upsilon(1S)$ ,  $\Upsilon(2S)$  and  $\Upsilon(3S)$  production, based on LHC data, has been performed in [Nefedov, Saleev, Shipilova, 2013].

### Strategy of the present work:

- Concentrate on the most “clean” states:  $\psi(2S)$  and  $\Upsilon(3S)$  which are **minimally affected by feed-down decays**. For  $\psi(2S)$  there is no excited states with  $M_{\psi(2S)} < M < 2M_D$ . For  $\Upsilon(3S)$  there is only  $\chi_b(3P)$ , with unknown branchings.
- Include Tevatron ( $p_T < 30$  GeV) and **latest** LHC ( $p_T$  up to 100 GeV) data into the fit.
- Include **fragmentation mechanism**, to describe high- $p_T$  data and obtain consistent description for all values of  $p_T \Rightarrow$  **reliable LDMEs**.
- Obtain the predictions for **polarization** of  $\psi(2S)$  and  $\Upsilon(3S)$  and compare them with the **data**.

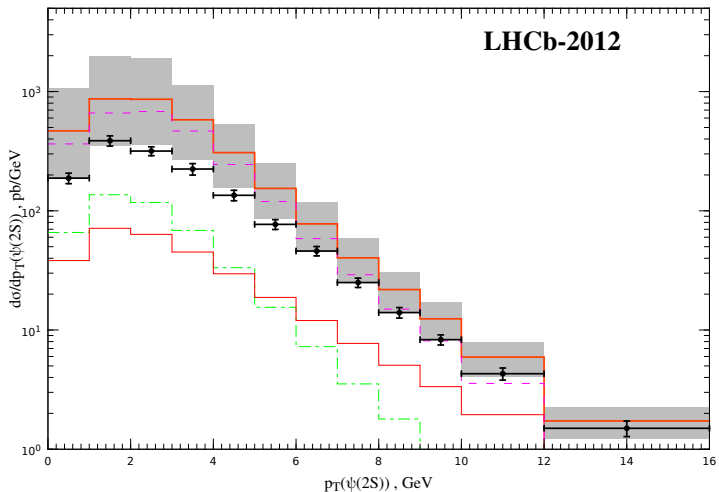
In such a way we obtain the clear and unambiguous manifestation of *polarization puzzle*.

Prompt  $\psi(2S)$ -production. Fit of the CDF-2009 data.  $\sqrt{S} = 1.96$  TeV.



Contributions:  ${}^3S_1^{(1)}$ ,  ${}^3S_1^{(8)}$ ,  ${}^1S_0^{(8)}$ .

Prompt  $\psi(2S)$ -production. Description of the LHCb-2012 data.  
 $\sqrt{S} = 7$  TeV,  $2 < y < 4.5$ .



Contributions:  $^3S_1^{(1)}$ ,  $^3S_1^{(8)}$ ,  $^1S_0^{(8)}$ .

## Fit results

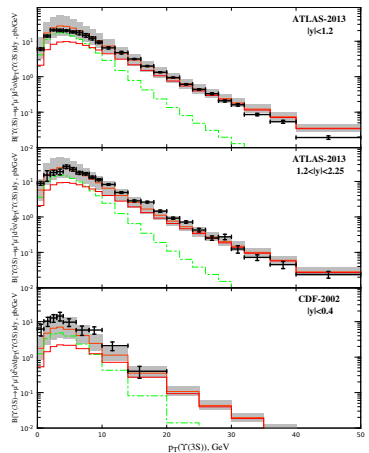
$$M_R^{\mathcal{H}} = \langle \mathcal{O}^{\mathcal{H}} [1S_0^{(8)}] \rangle + \frac{R_{\mathcal{H}}}{M_{\mathcal{H}}^2} \langle \mathcal{O}^{\mathcal{H}} [3P_0^{(8)}] \rangle$$

LDME	Fusion	Fragmentation	NLO CPM [1,2]	NLO CPM [3]
$\langle \mathcal{O}^{\psi(2S)} [3S_1^{(1)}] \rangle / \text{GeV}^3$	$0.65 \pm 0.06$	$0.65 \pm 0.06$	0.76	0.76
$\langle \mathcal{O}^{\psi(2S)} [3S_1^{(8)}] \rangle / \text{GeV}^3 \times 10^3$	$1.84 \pm 0.23$	$2.57 \pm 0.09$	$1.2 \pm 0.3$	$2.80 \pm 0.49$
$M_R^{\psi(2S)} / \text{GeV}^3 \times 10^2$	$3.11 \pm 0.14$	$2.70 \pm 0.11$	$2.0 \pm 0.6$	$0.37 \pm 4.85$
$R_{\psi(2S)}$	$23.0 \pm 1.0$	$23.0 \pm 1.0$	23.5	23.0
$\chi^2/\text{d.o.f.}$	0.6	1.1	0.56	2.84
$\langle \mathcal{O}^{\Upsilon(3S)} [3S_1^{(1)}] \rangle / \text{GeV}^3$	3.54	–	3.54	–
$\langle \mathcal{O}^{\Upsilon(3S)} [3S_1^{(8)}] \rangle / \text{GeV}^3 \times 10^2$	$2.73 \pm 0.15$	–	$2.71 \pm 0.13$	–
$M_R^{\Upsilon(3S)} / \text{GeV}^3 \times 10^2$	$0.00 \pm 0.18$	–	$1.083 \pm 1.66$	–
$R_{\Upsilon(3S)}$	$22.1 \pm 0.7$	–	22.1	–
$\chi^2/\text{d.o.f.}$	9.7	–	3.16	–

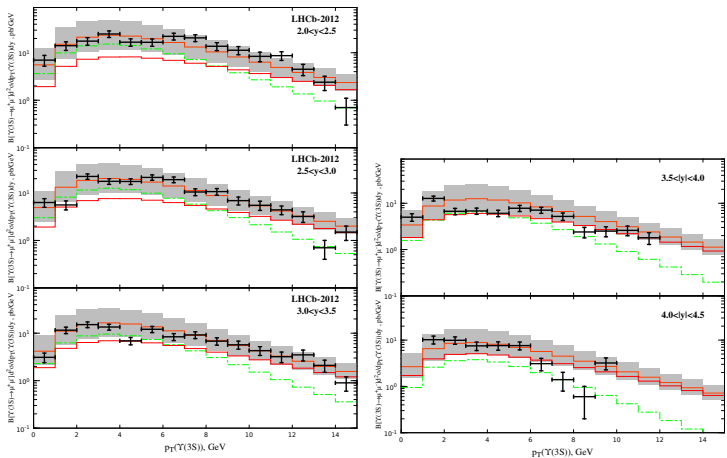
[1] H.-S. Shao, H. Han, Y.-Q. Ma, C. Meng, Y.-J. Zhang, and K.-T. Chao, 2015

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$\Upsilon(3S)$ -production. Fit of the ATLAS and CDF data

Contributions:  $3S_1^{(1)}$ ,  $3S_1^{(8)}$ ,  $1S_0^{(8)}$ .

$\Upsilon(3S)$ -production. Description of the LHCb data.

## Fit results

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## Fragmentation mechanism

In the LO + Leading Logarithmic Approximation, only the production of  ${}^3S_1^{(8)}$ -state receives the corrections  $\sim \log(p_T/M)$ . They can be taken into account by the introduction of fragmentation function  $D_{g \rightarrow \mathcal{H}}(z, \mu_F^2)$ :

$$\frac{d\sigma}{dp_T^{\mathcal{H}} dy_{\mathcal{H}}}(pp \rightarrow \mathcal{H} + X) = \int_0^1 dz \frac{d\sigma}{dp_T^g dy_g}(pp \rightarrow g + X) \cdot D_{g \rightarrow \mathcal{H}}[{}^3S_1^{(8)}](z, \mu_F^2),$$

which evolves with the scale  $\mu_F^2$  according to the DGLAP equations, with the following initial condition at the starting scale  $\mu_{F0}^2 = M^2$ :

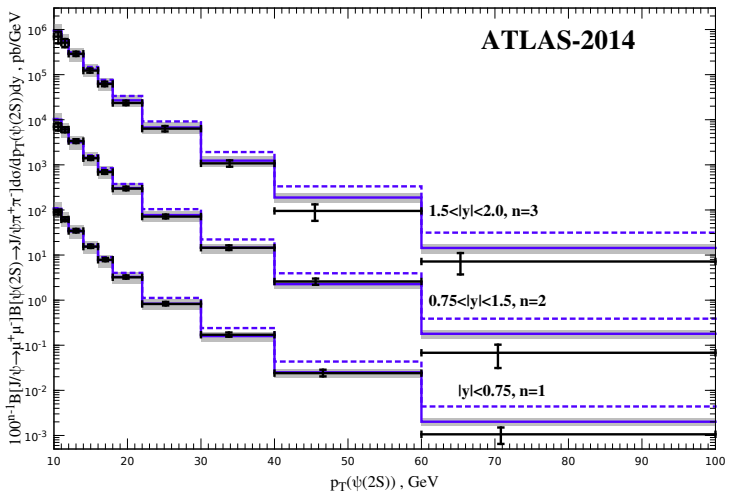
$$D_{g \rightarrow \mathcal{H}}[{}^3S_1^{(8)}](z, \mu_{F0}^2) = \frac{\pi\alpha_s(\mu_{F0}^2)}{6M_{\mathcal{H}}^3} \left\langle \mathcal{O}^{\mathcal{H}}[{}^3S_1^{(8)}] \right\rangle \delta(1-z).$$

The gluon production cross-section in PRA is:

$$\frac{d\sigma}{dp_T^g dy_g} = \frac{1}{(p_T^g)^3} \int_0^\infty dt_1 \int_0^{2\pi} d\phi_1 \Phi_g(x_1, t_1, \mu_F^2) \Phi_g(x_2, t_2, \mu_F^2) \overline{|\mathcal{M}(RR \rightarrow g)|^2},$$

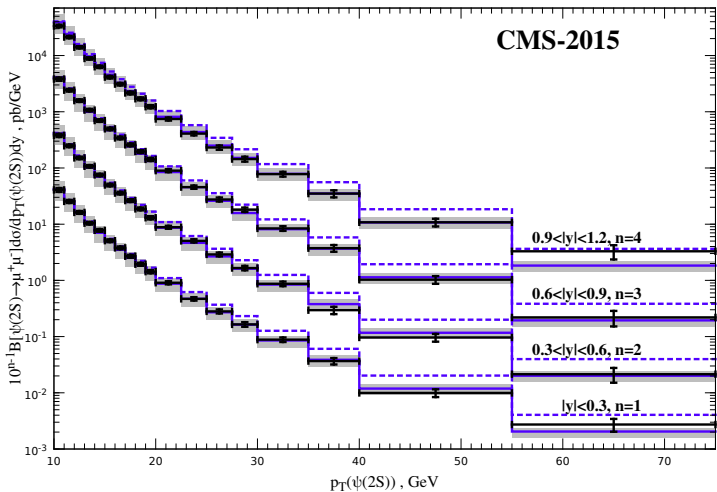
where  $\overline{|\mathcal{M}(RR \rightarrow g)|^2} = (3/2)\pi\alpha_s(\mu_R^2)(p_T^g)^2$  is the square of Lipatov vertex.

Fit of the ATLAS data ( $\sqrt{S} = 7$  TeV).



**Dashed histogram** – no fragmentation, **solid histogram** – fragmentation included.

Fit of the CMS data ( $\sqrt{S} = 7$  TeV).



**Dashed histogram** – no fragmentation, **solid histogram** – fragmentation included.

## Fit results

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## Polarization observables

The angular distribution of decay muons in the rest frame of the heavy quarkonium can be parametrized as:

$$\frac{d\sigma}{d\Omega} \propto 1 + \lambda_\theta \cos^2 \theta + \lambda_\varphi \sin^2 \theta \cos(2\varphi) + \lambda_{\theta\varphi} \sin(2\theta) \cos \varphi.$$

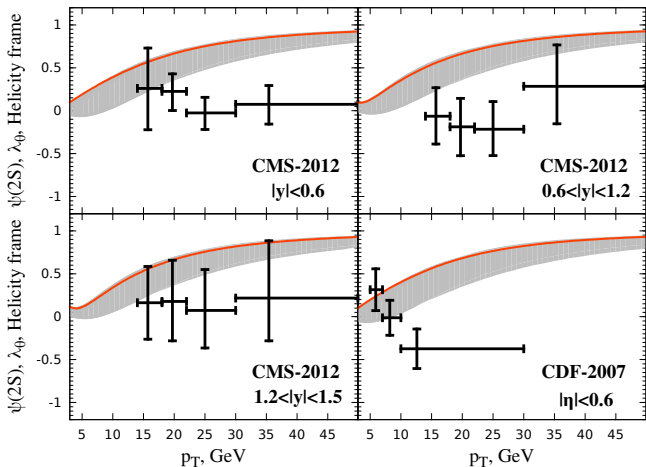
The polarization parameter  $\lambda_\theta$  can be calculated as:

$$\lambda_\theta = \frac{\sigma^{\mathcal{H}} - 3\sigma_L^{\mathcal{H}}}{\sigma^{\mathcal{H}} + \sigma_L^{\mathcal{H}}},$$

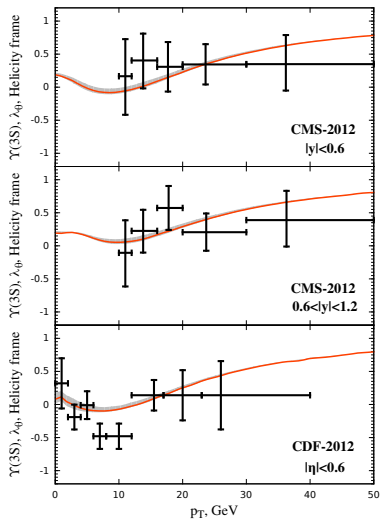
where  $\sigma_L$  was estimated using a simple model expression [Cho, Leibovich, 1996]

$$\begin{aligned} \sigma_L^{\mathcal{H}} &= \sigma_0^{\mathcal{H}} \left[ {}^3S_1^{(1)} \right] + \sigma_0^{\mathcal{H}} \left[ {}^3S_1^{(8)} \right] + \frac{1}{3} \left( \sigma^{\mathcal{H}} \left[ {}^1S_0^{(8)} \right] + \sigma^{\mathcal{H}} \left[ {}^3P_0^{(8)} \right] \right) \\ &\quad + \frac{1}{2} \left( \sigma_1^{\mathcal{H}} \left[ {}^3P_1^{(8)} \right] + \sigma_1^{\mathcal{H}} \left[ {}^3P_2^{(8)} \right] \right) + \frac{2}{3} \sigma_0^{\mathcal{H}} \left[ {}^3P_2^{(8)} \right]. \end{aligned}$$

The matrix elements corresponding to  $\sigma_{|J_z|}^{\mathcal{H}} [{}^{2S+1}L_J]$  are derived in the paper for the  **$\hat{s}$ -channel helicity frame**.

Polarization of  $\psi(2S)$ 

“Polarization puzzle” is reproduced. In the model, polarization at high  $p_T$  is mostly transverse, due to  $g^* \rightarrow c\bar{c} [^3S_1^{(8)}]$ -transition.

Polarization of  $\Upsilon(3S)$ 

There is essentially no tension with data for polarization of  $\Upsilon(3S)$ , due to the smaller fraction of  $^3S_1^{(8)}$  state at high  $p_T$ .

## Conclusions

- Description of the production of heavy quarkonia in the LO of PRA is **the same** as in the NLO of CPM. Both hierarchy of contributions and values of LDMEs are similar. The CO contributions are necessary, contrary to some statements in the literature [Baranov, Lipatov, Zotov, 2012]. *The discrepancy has been caused by the use of  $2\hat{s} = 2M^2$  instead of  $2Sx_1x_2 = M^2 + \mathbf{p}_T^2$  flux-factor for the  $2 \rightarrow 1$  subprocess in this paper.*
- The fragmentation mechanism is **important** at high  $p_T$  in PRA. In CPM it can be less significant, because FSR of 1 gluon is explicitly taken into account.
- The polarization problem for  $\psi(2S)$  is reproduced. It is **very robust** and can be solved only by some kind of depolarizing final-state interaction.

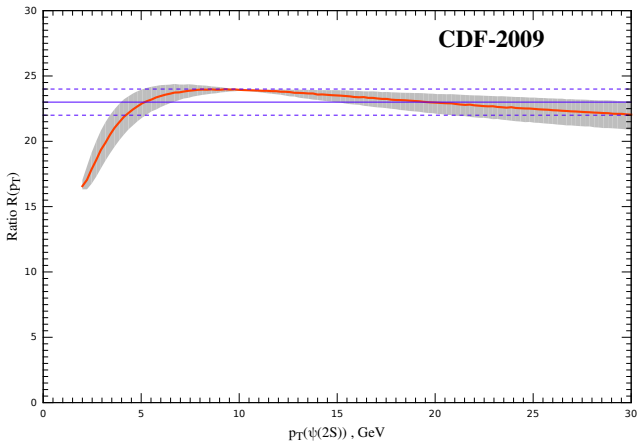


Thank you for your attention!

## Backup slides

Plot of  $R_{\mathcal{H}}(p_T)$ 

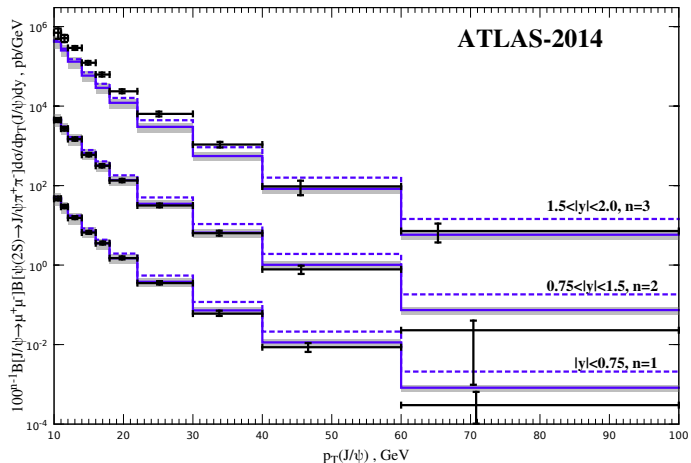
$$R_{\mathcal{H}}(p_T) = \frac{M_{\mathcal{H}}^2 \sum_{J=0}^2 (2J+1) d\sigma/dp_T [{}^3P_J^{(8)}]}{d\sigma/dp_T [{}^1S_0^{(8)}]}$$



Description of  $p_T(J/\psi)$ -spectrum from  $\psi(2S) \rightarrow J/\psi + \pi^+ \pi^-$ 

The approximate formula for  $p_T$ -shift in the decay  $\mathcal{H}_1 \rightarrow \mathcal{H}_2 + X$  is used:

$$\langle p_T^{\mathcal{H}_2} \rangle = \frac{M_{\mathcal{H}_2}}{M_{\mathcal{H}_1}} p_T^{\mathcal{H}_1} + \mathcal{O} \left( \frac{(\Delta M)^2}{M^2}, \frac{M}{p_T} \right)$$



## Polarization transfer model

$$\sigma_L^{\mathcal{H}} = \sigma_0^{\mathcal{H}} \left[ {}^3S_1^{(1)} \right] + \sigma_0^{\mathcal{H}} \left[ {}^3S_1^{(8)} \right] + \frac{1}{3} \left( \sigma^{\mathcal{H}} \left[ {}^1S_0^{(8)} \right] + \sigma^{\mathcal{H}} \left[ {}^3P_0^{(8)} \right] \right) \\ + \frac{1}{2} \left( \sigma_1^{\mathcal{H}} \left[ {}^3P_1^{(8)} \right] + \sigma_1^{\mathcal{H}} \left[ {}^3P_2^{(8)} \right] \right) + \frac{2}{3} \sigma_0^{\mathcal{H}} \left[ {}^3P_2^{(8)} \right].$$

- ${}^3S_1^{(8)}$  – emission of (at least) two **very soft** gluons, which can not flip the spin of heavy quark (HQSS)
- $\sum_{J_{2z}=0,\pm 1} |\langle J_1 = 1, J_{1z} = 0; J_2 = 1, J_{2z} | J = 0, J_z = 0 \rangle|^2 = \frac{1}{3}$ ,
- $\sum_{J_{2z}=0,\pm 1} |\langle J_1 = 1, J_{1z} = 0; J_2 = 1, J_{2z} | J = 1, J_z = 1 \rangle|^2 = \frac{1}{2}$ ,
- $\sum_{J_{2z}=0,\pm 1} |\langle J_1 = 1, J_{1z} = 0; J_2 = 1, J_{2z} | J = 2, J_z = 1 \rangle|^2 = \frac{1}{2}$ ,
- $\sum_{J_{2z}=0,\pm 1} |\langle J_1 = 1, J_{1z} = 0; J_2 = 1, J_{2z} | J = 2, J_z = 0 \rangle|^2 = \frac{2}{3}$ .