

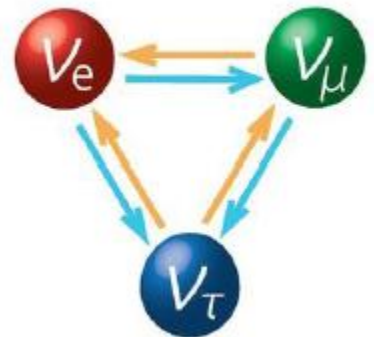
# 中微子理论研究进展

丁桂军

中国科学技术大学

中国物理学会高能物理分会第十三届全国粒子物理学术会议

上海交通大学, 6.19-24, 2018



# Neutrino oscillation and lepton mixing in 3-v

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_{21}/2} & 0 \\ 0 & 0 & e^{i\alpha_{31}/2} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Atmospheric mixing

Reactor mixing & Dirac CP phase

Solar mixing

Majorana CP phases

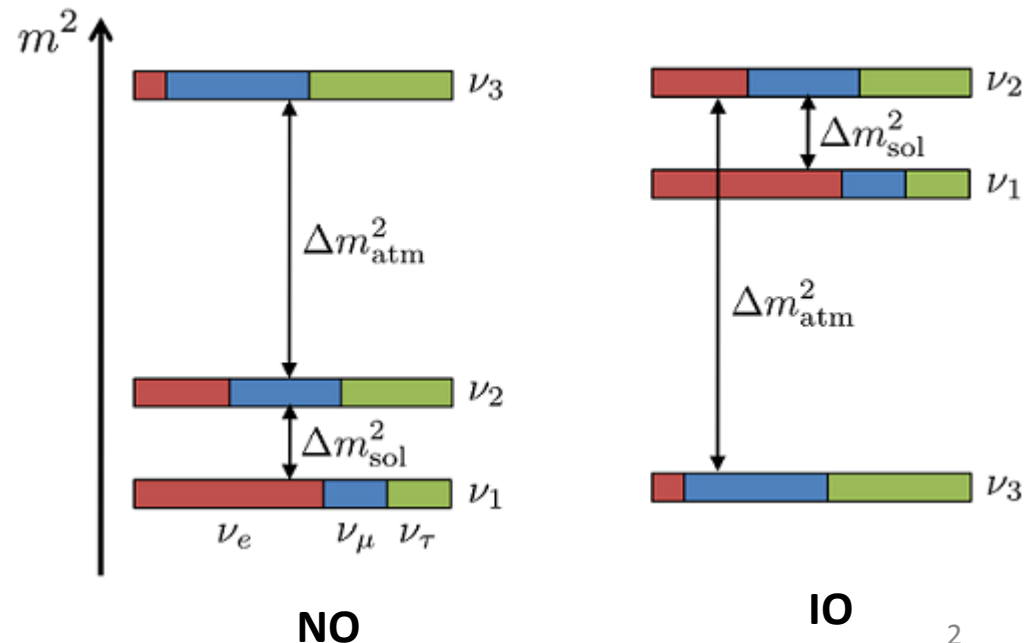
$$\theta_{23} \sim 45^\circ, |\Delta m_{32}^2| \sim 2.5 \times 10^{-3} \text{ eV}^2$$

$$\theta_{13} \sim 9^\circ, \delta_{CP} \sim ?$$

$$\theta_{12} \sim 34^\circ, |\Delta m_{21}^2| \sim 7.5 \times 10^{-5} \text{ eV}^2$$

$$\alpha_{21}, \alpha_{31} \sim ?$$

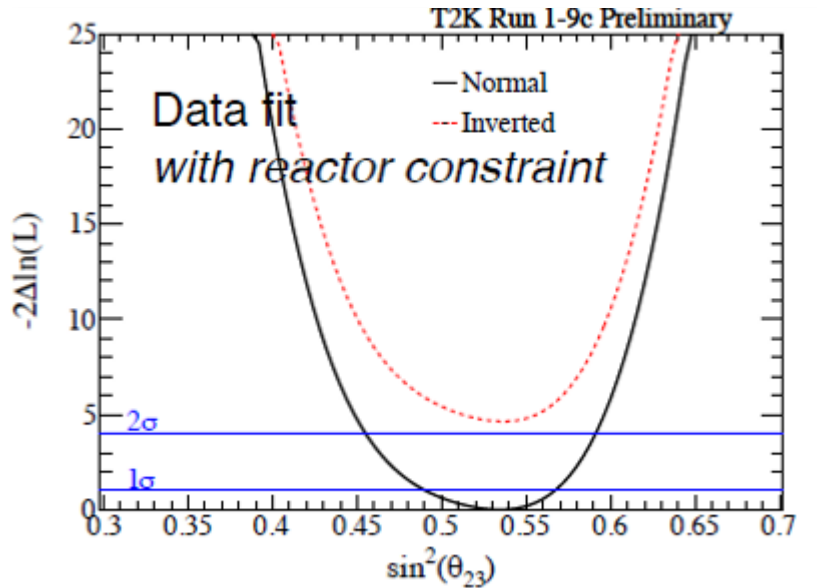
- What is the value of  $\delta_{CP}$  ?
- Octant of  $\theta_{23}$  : **> or < 45°** ?
- Mass hierarchy: **NO or IO?**
- Absolute mass scale:  **$m_{\text{lightest}} = ?$**
- **Majorana** or **Dirac** neutrinos?
- Why  $m_\nu$  so small?
- Sterile neutrino?
- Implications for BSM paradigms?
- Connections to other new physics?



# Latest results on $\theta_{23}$ octant

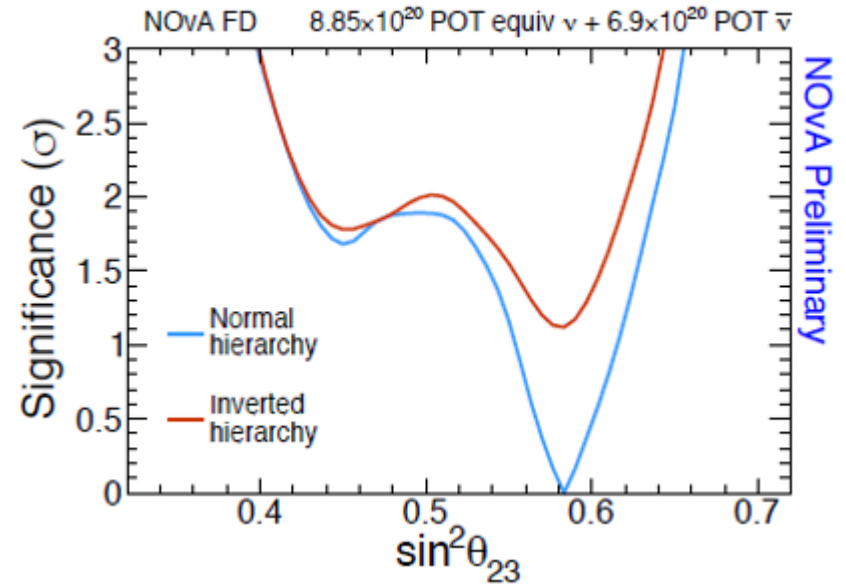
**T2K**

Wascko@ Neutrino 18



**NOvA**

Sanchez @ Neutrino 18



- Best fit values:

	NO	IO
$\sin^2\theta_{23}$	$0.536^{+0.031}_{-0.046}$	$0.536^{+0.031}_{-0.041}$
$ \Delta m^2 $	$2.434 \pm 0.064$	$2.410^{+0.062}_{-0.063}$

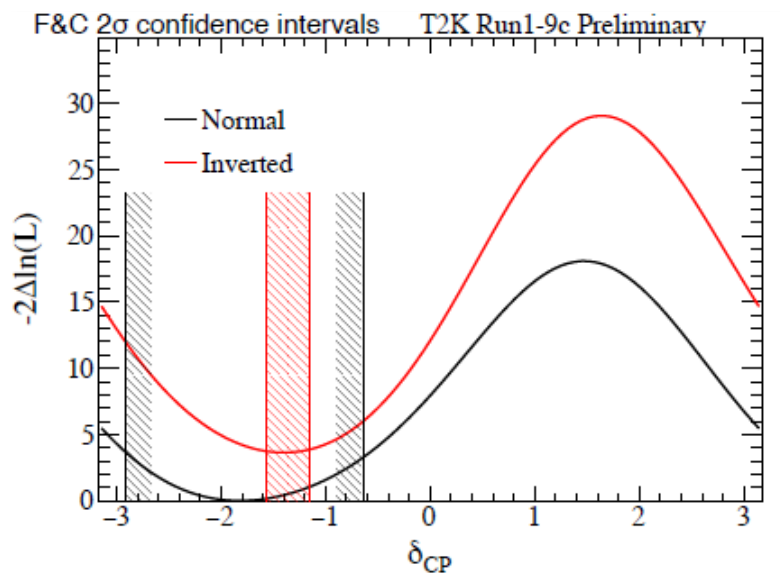
- Best fit:  $\sin^2\theta_{23} = 0.58 \pm 0.03$  for NO
- Prefer non-maximal at  $1.8\sigma$ , exclude lower octant at similar level

more disfavored lower octant?

# Latest results on $\delta_{CP}$

**T2K**

Wascko@ Neutrino 18



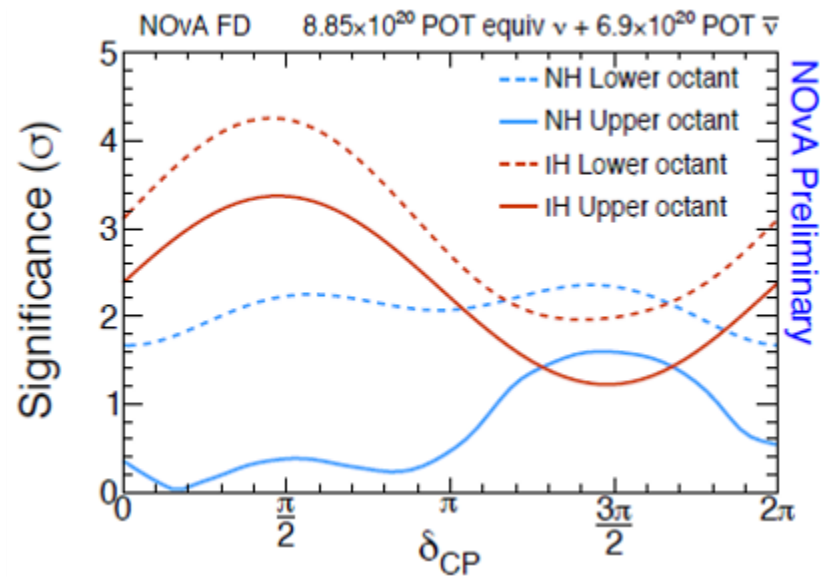
- CP conserving values  $\delta_{CP} = 0, \pi$  outside  $2\sigma$  region for NO & IO

- $-\pi < \delta_{CP} < 0$  is favored



**NOvA**

Sanchez @ Neutrino 18



- Best fit:  $\delta_{CP} \approx 0.17\pi$  for NO,  $\delta_{CP} \approx 1.5\pi$  for IO
- Prefer NO by  $1.8\sigma$ , exclude  $\delta_{CP} = \pi/2$  in the IO at  $> 3\sigma$

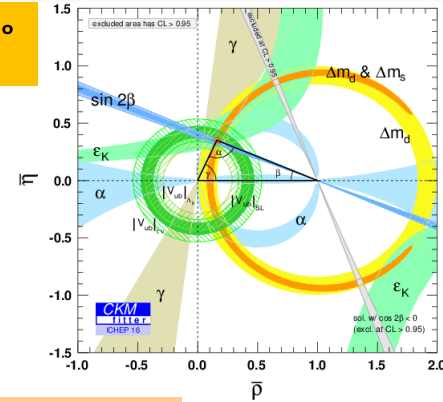
# Flavor mixing puzzle in SM

Particle Data Group 2018

$$\alpha = (88.8 \pm 2.3)^\circ$$

Quarks:

$$\|V_{CKM}\| \approx \begin{pmatrix} 0.97434 & 0.22506 & 0.00357 \\ 0.22492 & 0.97351 & 0.0414 \\ 0.00875 & 0.0403 & 0.99915 \end{pmatrix}$$

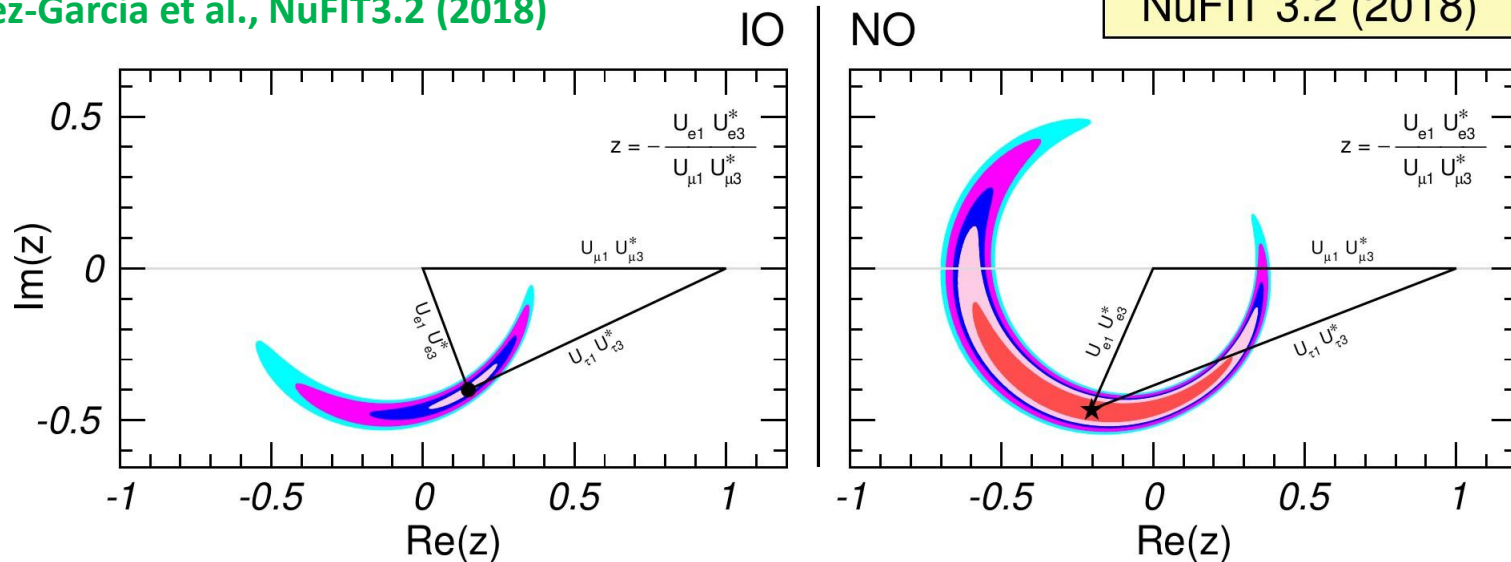


Leptons:

$$\|U_{PMNS}\| = \begin{pmatrix} 0.799 \sim 0.844 & 0.516 \sim 0.582 & 0.141 \sim 0.156 \\ 0.242 \sim 0.494 & 0.467 \sim 0.678 & 0.639 \sim 0.774 \\ 0.284 \sim 0.521 & 0.490 \sim 0.695 & 0.615 \sim 0.754 \end{pmatrix}$$

Gonzalez-Garcia et al., NuFIT3.2 (2018)

NuFIT 3.2 (2018)



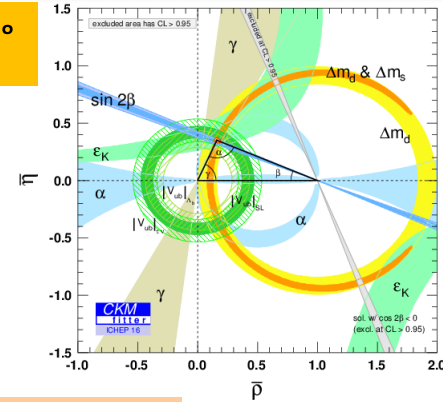
# Flavor mixing puzzle in SM

Particle Data Group 2018

$$\alpha = (88.8 \pm 2.3)^\circ$$

Quarks:

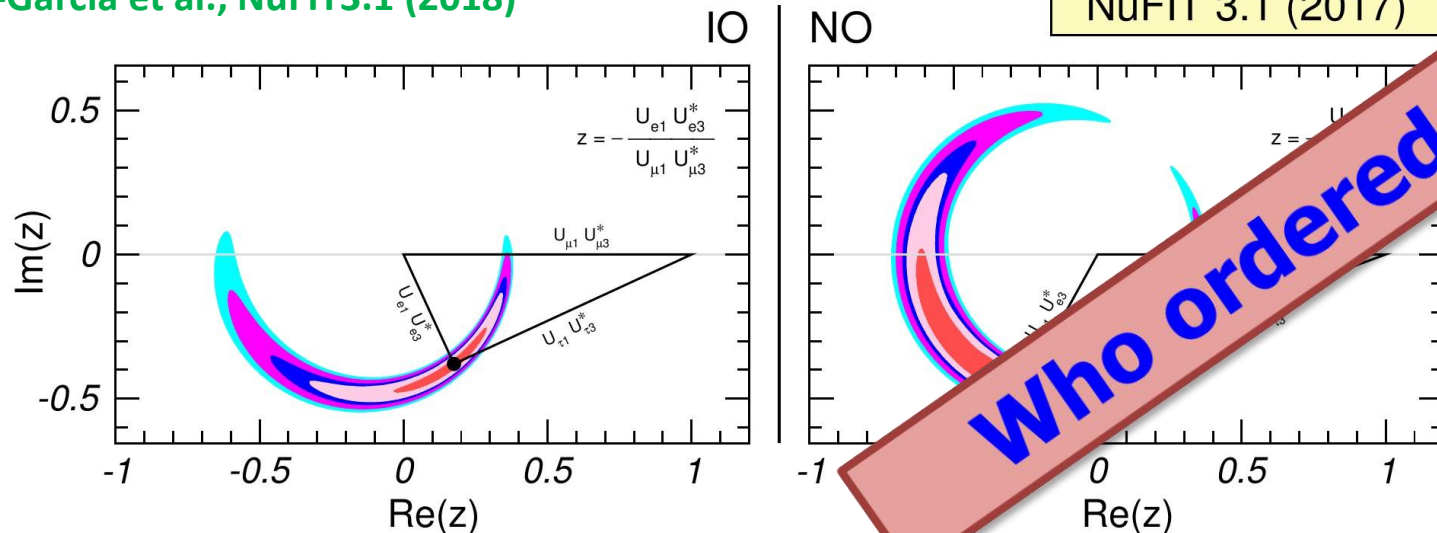
$$\|V_{CKM}\| \approx \begin{pmatrix} 0.97434 & 0.22506 & 0.00357 \\ 0.22492 & 0.97351 & 0.0414 \\ 0.00875 & 0.0403 & 0.99915 \end{pmatrix}$$



Leptons:

$$\|U_{PMNS}\| = \begin{pmatrix} 0.799 \sim 0.844 & 0.516 \sim 0.582 & 0.141 \sim 0.156 \\ 0.242 \sim 0.494 & 0.467 \sim 0.678 & 0.639 \sim 0.774 \\ 0.284 \sim 0.521 & 0.490 \sim 0.695 & 0.615 \sim 0.754 \end{pmatrix}$$

Gonzalez-Garcia et al., NuFIT3.1 (2018)



NuFIT 3.1 (2017)

# Pathways to to flavor mixing puzzle

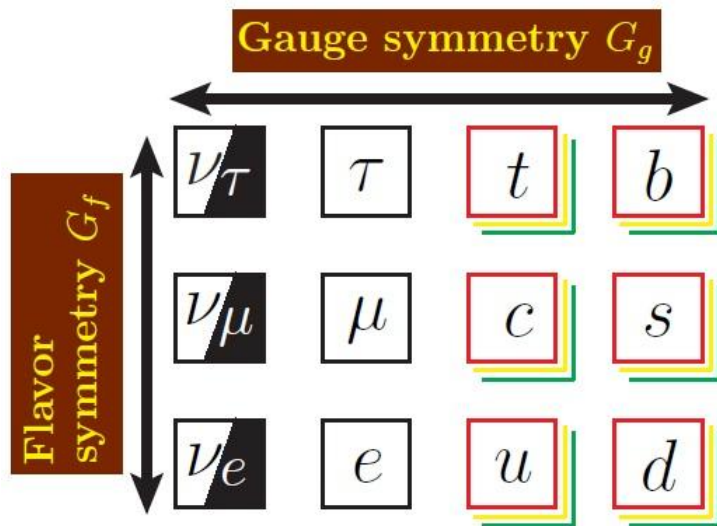
➤ **Anarchy** Hall, Murayama, Weiner, 99; Gouvea, Murayama, 12

$$m_\nu \propto \begin{pmatrix} O(1) & O(1) & O(1) \\ O(1) & O(1) & O(1) \\ O(1) & O(1) & O(1) \end{pmatrix}$$

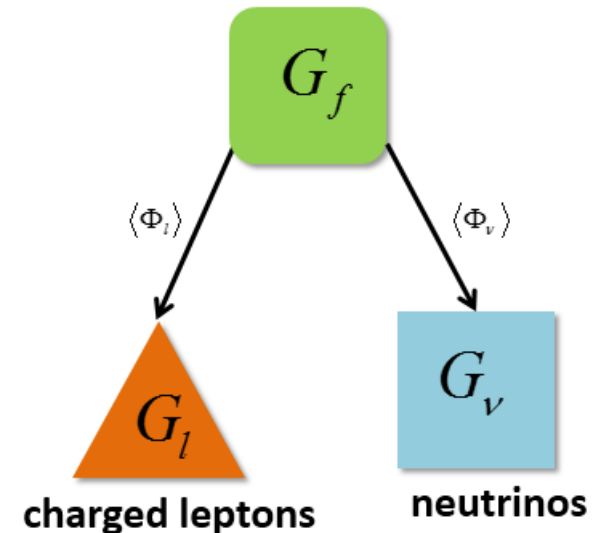


- ✓ Neutrino mass spectrum is approximately **degenerate**
- ✓ All mixing angles are generically large:  $\theta_{23}$  is non-maximal and  $\theta_{13}$  is near its upper bound

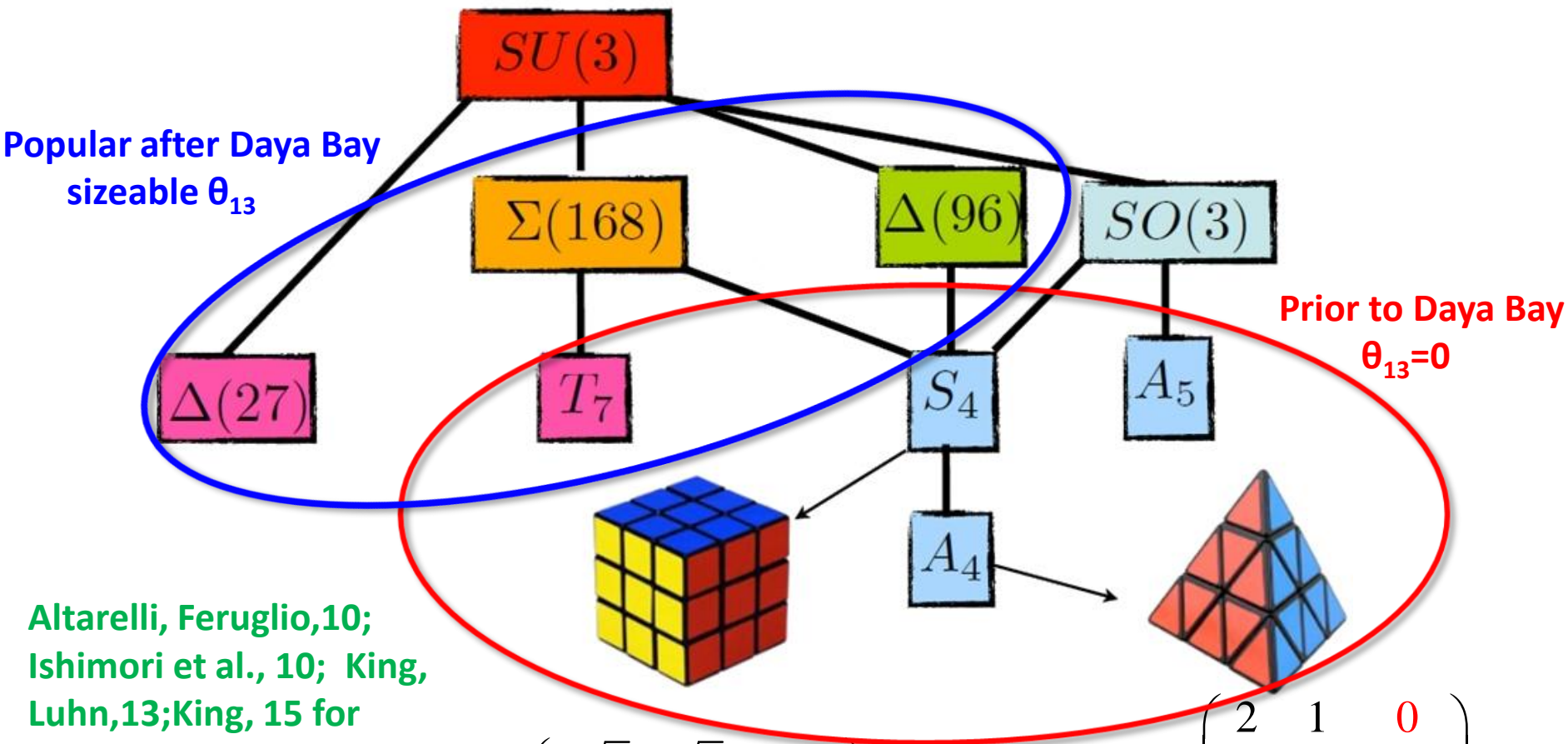
➤ **Flavor symmetry**



$$\begin{pmatrix} L_e \\ L_\mu \\ L_\tau \end{pmatrix} = \begin{pmatrix} \begin{pmatrix} \nu_e \\ e^- \end{pmatrix}_L \\ \begin{pmatrix} \nu_\mu \\ \mu^- \end{pmatrix}_L \\ \begin{pmatrix} \nu_\tau \\ \tau^- \end{pmatrix}_L \end{pmatrix} \sim \mathbf{3}$$



# Discrete flavor symmetry



Altarelli, Feruglio,10;  
Ishimori et al., 10; King,  
Luhn,13;King, 15 for  
reviews

$$\frac{1}{2} \begin{pmatrix} -\sqrt{2} & \sqrt{2} & 0 \\ 1 & 1 & \sqrt{2} \\ 1 & 1 & -\sqrt{2} \end{pmatrix}$$

Vissiani, 97; Barger et al.,98

$$\frac{1}{\sqrt{6}} \begin{pmatrix} 2 & 1 & 0 \\ -1 & 1 & \sqrt{3} \\ -1 & 1 & -\sqrt{3} \end{pmatrix}$$

Harrison, Pekins, Scott, 02;  
Xing, 02; He, Zee, 03



# Predictions of flavor symmetry

- If the lepton mixing matrix is **fully** determined by the flavor symmetry  $G_f$  and its breaking into  $G_l, G_\nu$

$$U_{PMNS} = \frac{1}{\sqrt{3}} \begin{pmatrix} \sqrt{2} \cos \vartheta & 1 & -\sqrt{2} \sin \vartheta \\ -\sqrt{2} \cos(\vartheta - \pi/3) & 1 & \sqrt{2} \sin(\vartheta - \pi/3) \\ -\sqrt{2} \cos(\vartheta + \pi/3) & 1 & \sqrt{2} \sin(\vartheta + \pi/3) \end{pmatrix}$$

Lindner et al.,12; Fonseca, Grimus, 14; Yao, Ding,15

- ✓ mixing angles:

$$\sin^2 \theta_{12} = \sec^2 \theta_{13} / 3 \simeq 0.341, \quad \sin^2 \theta_{23} = \frac{1}{2} \pm \frac{1}{2} \tan \theta_{13} \sqrt{2 - \tan^2 \theta_{13}} = 0.395 \text{ or } 0.605$$

- ✓ Dirac CP phase is conserved :  $\sin \delta_{CP} = 0$

- ✓ Extension to quark sector

$$V_{CKM} = \begin{pmatrix} \cos \theta_C & \sin \theta_C & 0 \\ -\sin \theta_C & \cos \theta_C & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \theta_C = \frac{\pi}{14}$$

Lam,07; Lindner et al.,07; Yao, Ding,15

● If the lepton mixing matrix is **partially** determined by the flavor symmetry  $G_f$ ,  $G_l$  and  $G_\nu$ , e.g.  $G_\nu = Z_2$

Ge, Dicus and Repko,11;  
Hernandez and Smirnov,12

For example, two deformations of TBM

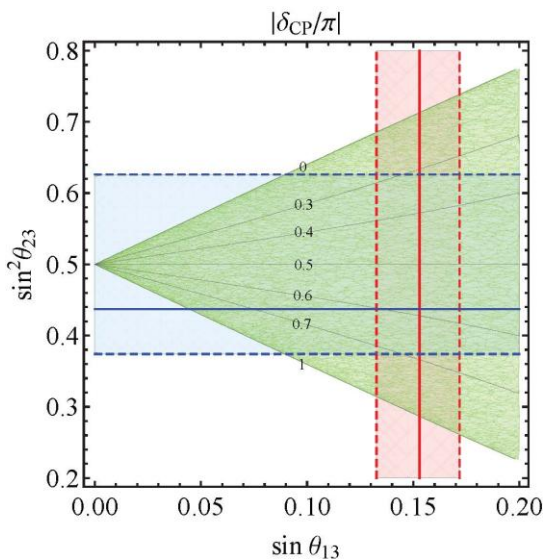
$$U = U_{TBM} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & \sin \theta e^{-i\delta} \\ 0 & -\sin \theta e^{i\delta} & \cos \theta \end{pmatrix}, \quad \text{TM}_1$$

$$U = U_{TBM} \begin{pmatrix} \cos \theta & 0 & \sin \theta e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin \theta e^{i\delta} & 0 & \cos \theta \end{pmatrix}, \quad \text{TM}_2$$

Two predictions in terms of sum rules

$$3 \cos^2 \theta_{12} \cos^2 \theta_{13} = 2$$

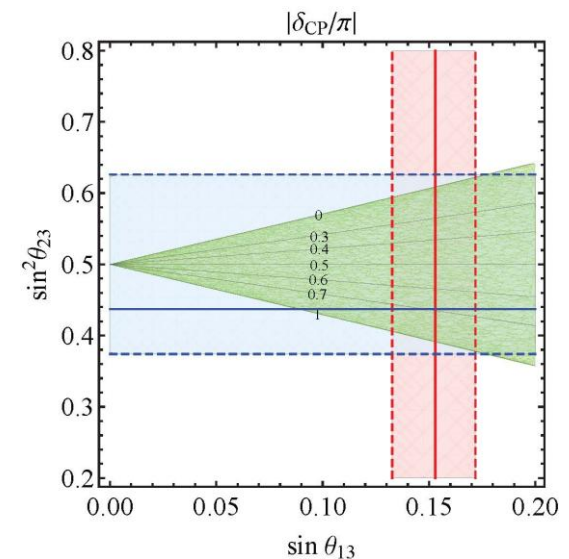
$$\sin^2 \theta_{23} \approx \frac{1}{2} - \sqrt{2} \sin \theta_{13} \cos \delta_{CP}$$



He, Zee, 07 and 11;  
Grimus, Lavoura, 08;  
Albright, Rodejohann,  
09; King, Luhn 11; Xing,  
Zhou, 14 .....

$$3 \sin^2 \theta_{12} \cos^2 \theta_{13} = 1$$

$$\sin^2 \theta_{23} \approx \frac{1}{2} + \frac{1}{\sqrt{2}} \sin \theta_{13} \cos \delta_{CP}$$



● **LO flavor symmetry + NLO charged lepton corrections**

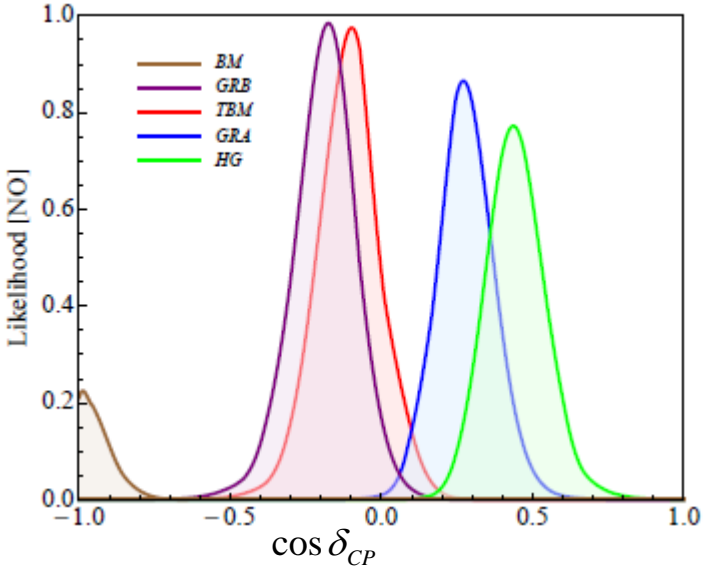
✓ assume a certain charged lepton correction scheme:

$$U_{PMNS} = U_e^\dagger \Psi U_\nu, \quad U_e = R_{23}(\theta_{23}^e) R_{12}(\theta_{12}^e)$$

$\Psi$  is a phase matrix, and  $U_\nu$  is tribimaximal (TBM), bimaximal (BM), golden ratio (GR) mixings or hexagonal mixing (HG).

✓ sum rule for  $\delta_{CP}$

$$\cos \delta_{CP} = \frac{\tan \theta_{23}}{\sin 2\theta_{12} \sin \theta_{13}} \left[ \cos 2\theta_{12}^\nu + (\sin^2 \theta_{12} - \cos^2 \theta_{12}^\nu)(1 - \cot^2 \theta_{23} \sin^2 \theta_{13}) \right]$$



Many contributions from Chinese physicists

Q.H.Cao, P.Chen, S.L.Chen, G.J.Ding, S.F.Ge, P.H.Gu, H.J.He, X.G.He, B.Hu, C.C.Li, G.N.Li, X.Q.Li, Y.F.Li, Y.Liao, W.Liao, C.Liu, B.Q.Ma, S.J.Rong, Y.-L.Wu, X.J.Xu, Z.Z.Xing, C.Y.Yao, F.R.Yin, H.Zhang, J.Zhang, X.Y.Zhang, Z.H. Zhao, S. Zhou, Y.L. Zhou, J.Y. Zhu .....

Girardi, Petcov and Titov, 14

# Lepton mixing from Flavor+CP symmetries

➤ Simplest example:  $\mu\tau$  reflection =  $\mu\tau$  exchange + canonical CP

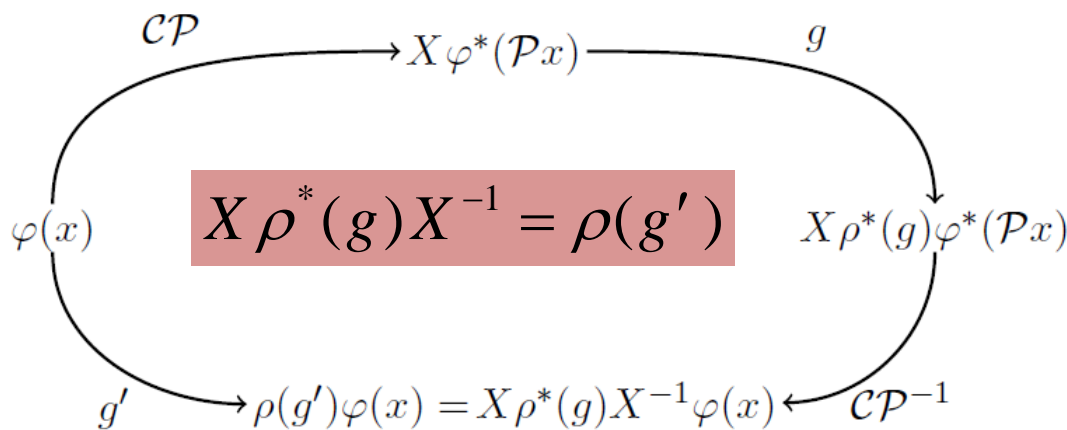
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} \begin{matrix} \rightarrow \nu_e^c \\ \rightarrow \nu_\tau^c \\ \rightarrow \nu_\mu^c \end{matrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} \nu_e^c \\ \nu_\mu^c \\ \nu_\tau^c \end{pmatrix} \quad \longrightarrow \quad \theta_{23} = \frac{\pi}{4}, \quad \delta_{CP} = \pm \frac{\pi}{2}$$

Harrison, Scott, 02; Grimus, Lavoura, 03; Xing, Zhao, 15

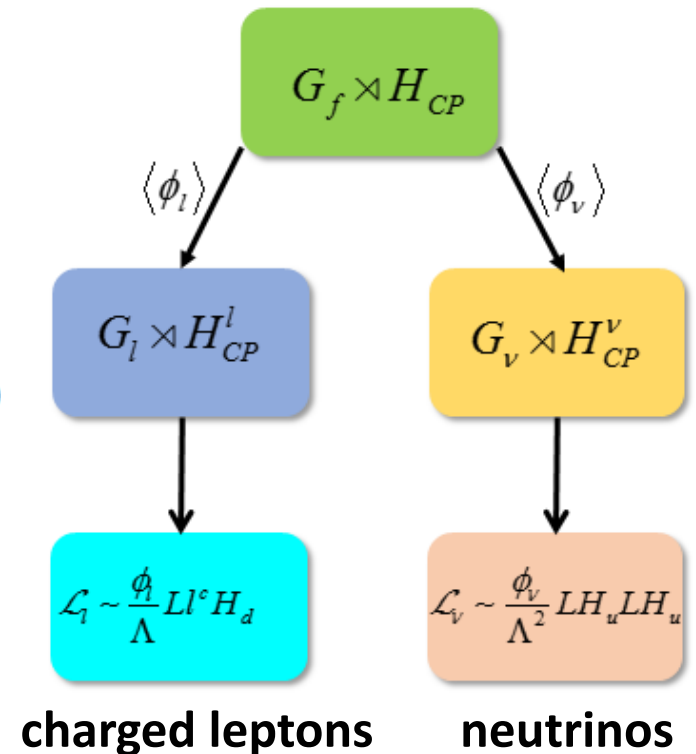
➤ Consistency condition

➤ Symmetry breaking  $\rightarrow$  flavor mixing

generalized CP:  $\varphi_i(x) \rightarrow X_{ij}\varphi_j^*(\mathcal{P}x)$

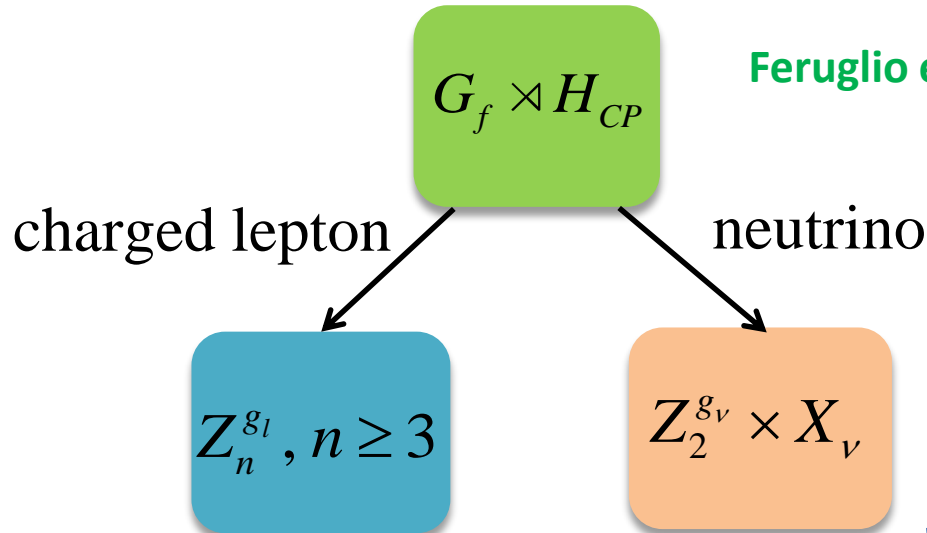


Feruglio et al., 12; Lindner et al., 12; Ding et al., 13



# Semi-direct approach to lepton mixing

Feruglio et al., 12; Ding et al., 13



$$X_\nu = \Sigma_\nu \Sigma_\nu^T,$$

$$\Sigma_\nu^\dagger \rho_3(g_\nu) \Sigma_\nu = \pm \text{diag}(1, -1, -1)$$

$$U_l^\dagger \rho_3(g_l) U_l = \rho_3(g_l)_{diag}$$

$$U_l$$

$$U_\nu = \Sigma_\nu R_{23}(\theta) Q_\nu$$

$$U_{PMNS} = U_l^\dagger \Sigma_\nu R_{23}(\theta) Q_\nu$$

➤ The mixing angles and CP violating phases are predicted in terms of a **single real** parameter  $0 \leq \theta < \pi$ . One column is fixed.

# Possible mixing patterns from finite flavor and CP symmetries

**Only eight** kinds of mixing matrices consistent with experimental data can be obtained up to row and column permutations.

$$U^I = \frac{1}{\sqrt{3}} \begin{pmatrix} \sqrt{2} \sin \varphi_1 & e^{i\varphi_2} & \sqrt{2} \cos \varphi_1 \\ \sqrt{2} \cos \left( \varphi_1 - \frac{\pi}{6} \right) & -e^{i\varphi_2} & -\sqrt{2} \sin \left( \varphi_1 - \frac{\pi}{6} \right) \\ \sqrt{2} \cos \left( \varphi_1 + \frac{\pi}{6} \right) & e^{i\varphi_2} & -\sqrt{2} \sin \left( \varphi_1 + \frac{\pi}{6} \right) \end{pmatrix} R_{23}(\theta) Q_\nu$$

Yao, Ding, 16

$$U^{II} = \frac{1}{\sqrt{3}} \begin{pmatrix} e^{i\varphi_1} & 1 & e^{i\varphi_2} \\ \omega e^{i\varphi_1} & 1 & \omega^2 e^{i\varphi_2} \\ \omega^2 e^{i\varphi_1} & 1 & \omega e^{i\varphi_2} \end{pmatrix} R_{13}(\theta) Q_\nu$$

$R_{ij}(\theta)$  is the rotation matrix in the  $ij$  plane

$$U^{III} = \frac{1}{\sqrt{3}} \begin{pmatrix} \sqrt{2} e^{i\varphi_1} \sin \varphi_2 & 1 & \sqrt{2} e^{i\varphi_1} \cos \varphi_2 \\ \sqrt{2} e^{i\varphi_1} \cos \left( \varphi_2 + \frac{\pi}{6} \right) & 1 & -\sqrt{2} e^{i\varphi_1} \sin \left( \varphi_2 + \frac{\pi}{6} \right) \\ -\sqrt{2} e^{i\varphi_1} \cos \left( \varphi_2 - \frac{\pi}{6} \right) & 1 & \sqrt{2} e^{i\varphi_1} \sin \left( \varphi_2 - \frac{\pi}{6} \right) \end{pmatrix} R_{13}(\theta) Q_\nu$$

$$U^{IV(a)} = \begin{pmatrix} -\sqrt{\frac{\phi_g}{\sqrt{5}}} & \sqrt{\frac{1}{\sqrt{5}\phi_g}} & 0 \\ \sqrt{\frac{1}{2\sqrt{5}\phi_g}} & \sqrt{\frac{\phi_g}{2\sqrt{5}}} & -\frac{1}{\sqrt{2}} \\ \sqrt{\frac{1}{2\sqrt{5}\phi_g}} & \sqrt{\frac{\phi_g}{2\sqrt{5}}} & \frac{1}{\sqrt{2}} \end{pmatrix} R_{13}(\theta)Q_v, \quad U^{IV(b)} = \begin{pmatrix} -i\sqrt{\frac{\phi_g}{\sqrt{5}}} & \sqrt{\frac{1}{\sqrt{5}\phi_g}} & 0 \\ i\sqrt{\frac{1}{2\sqrt{5}\phi_g}} & \sqrt{\frac{\phi_g}{2\sqrt{5}}} & -\frac{1}{\sqrt{2}} \\ i\sqrt{\frac{1}{2\sqrt{5}\phi_g}} & \sqrt{\frac{\phi_g}{2\sqrt{5}}} & \frac{1}{\sqrt{2}} \end{pmatrix} R_{13}(\theta)Q_v$$

$$U^V = \frac{1}{2} \begin{pmatrix} \phi_g & 1 & \phi_g - 1 \\ \phi_g - 1 & -\phi_g & 1 \\ 1 & 1 - \phi_g & -\phi_g \end{pmatrix} R_{23}(\theta)Q_v, \quad U^{VI} = \frac{1}{2\sqrt{3}} \begin{pmatrix} (\sqrt{3}-1)e^{i\varphi} & 2 & -(\sqrt{3}+1)e^{i\left(\varphi+\frac{3\pi}{4}\right)} \\ -(\sqrt{3}+1)e^{i\varphi} & 2 & (\sqrt{3}-1)e^{i\left(\varphi+\frac{3\pi}{4}\right)} \\ 2e^{i\varphi} & 2 & 2e^{i\left(\varphi+\frac{3\pi}{4}\right)} \end{pmatrix} R_{13}(\theta)Q_v$$

$$U^{VII} = \frac{1}{2\sqrt{6}} \begin{pmatrix} -\frac{\sqrt{3}}{s_3} & 2\sqrt{2} & \frac{s_2 - s_1}{s_1 s_2} \\ \frac{\sqrt{3}}{s_2} & 2\sqrt{2} & -\frac{s_1 + s_3}{s_1 s_3} \\ \frac{\sqrt{3}}{s_1} & 2\sqrt{2} & \frac{s_2 + s_3}{s_2 s_3} \end{pmatrix} R_{23}(\theta)Q_v, \quad U^{VIII} = \frac{1}{2} R_{13}^T(\theta) \begin{pmatrix} \sqrt{2}e^{i\varphi_1} & -\sqrt{2}e^{i\varphi_1} & 0 \\ 1 & 1 & -\sqrt{2}e^{i\varphi_2} \\ 1 & 1 & \sqrt{2}e^{i\varphi_2} \end{pmatrix} Q_v$$

# Results collected on the website

I(a)	I(b)	II	III	IV	V	VI	VII	VIII
$U_{\text{PMNS}}^{I(b)} = \frac{1}{\sqrt{3}} \begin{pmatrix} \sqrt{2} \cos \varphi_1 & e^{i\varphi_2} & \sqrt{2} \sin \varphi_1 \\ -\sqrt{2} \sin(\varphi_1 - \frac{\pi}{6}) & -e^{i\varphi_2} & \sqrt{2} \cos(\varphi_1 - \frac{\pi}{6}) \\ -\sqrt{2} \sin(\varphi_1 + \frac{\pi}{6}) & e^{i\varphi_2} & \sqrt{2} \cos(\varphi_1 + \frac{\pi}{6}) \end{pmatrix} S_{12}(\theta)$								
Group ID		$(\varphi_1, \varphi_2)$						
[648,259]		$(\frac{\pi}{18}, -\frac{\pi}{6}), (\frac{\pi}{18}, 0), (\frac{\pi}{18}, \frac{\pi}{3}), (\frac{\pi}{18}, \frac{\pi}{2}), (\frac{17\pi}{18}, -\frac{\pi}{6}),$ $(\frac{17\pi}{18}, 0), (\frac{17\pi}{18}, \frac{\pi}{3}), (\frac{17\pi}{18}, \frac{\pi}{2})$						
[726,5]		$(\frac{2\pi}{33}, -\frac{2\pi}{11}), (\frac{2\pi}{33}, 0), (\frac{2\pi}{33}, \frac{\pi}{11}), (\frac{2\pi}{33}, \frac{3\pi}{11}), (\frac{2\pi}{33}, \frac{4\pi}{11}),$ $(\frac{2\pi}{33}, \frac{5\pi}{11}), (\frac{31\pi}{33}, -\frac{2\pi}{11}), (\frac{31\pi}{33}, 0), (\frac{31\pi}{33}, \frac{\pi}{11}),$ $(\frac{31\pi}{33}, \frac{3\pi}{11}), (\frac{31\pi}{33}, \frac{4\pi}{11}), (\frac{31\pi}{33}, \frac{5\pi}{11})$						
[1734,5]		$(\frac{\pi}{17}, -\frac{8\pi}{17}), (\frac{\pi}{17}, -\frac{6\pi}{17}), (\frac{\pi}{17}, 0), (\frac{\pi}{17}, \frac{\pi}{17}), (\frac{\pi}{17}, \frac{2\pi}{17}),$ $(\frac{\pi}{17}, \frac{3\pi}{17}), (\frac{\pi}{17}, \frac{4\pi}{17}), (\frac{\pi}{17}, \frac{5\pi}{17}), (\frac{\pi}{17}, \frac{7\pi}{17}), (\frac{16\pi}{17}, -\frac{8\pi}{17}),$ $(\frac{16\pi}{17}, -\frac{6\pi}{17}), (\frac{16\pi}{17}, 0), (\frac{16\pi}{17}, \frac{\pi}{17}), (\frac{16\pi}{17}, \frac{2\pi}{17}),$ $(\frac{16\pi}{17}, \frac{3\pi}{17}), (\frac{16\pi}{17}, \frac{4\pi}{17}), (\frac{16\pi}{17}, \frac{5\pi}{17}), (\frac{16\pi}{17}, \frac{7\pi}{17})$						

[http://staff.ustc.edu.cn/~dinggj/cp\\_scan.html](http://staff.ustc.edu.cn/~dinggj/cp_scan.html)



# Results collected on the website

**Group ID**



All of the groups are available here!

<b>Group ID</b>	[24,12]
<b>Structure</b>	$S_4$
<b>3-Dimensional Representation</b>	$\rho(g_1) = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & -1 & 0 \end{pmatrix}, \quad \rho(g_2) = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix},$ $\rho(g_3) = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}, \quad \rho(g_4) = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$
<b>Class-inverting Automorphism</b>	$u : g_1 \mapsto g_1, g_2 \mapsto g_2, g_3 \mapsto g_3, g_4 \mapsto g_4$
<b>Generalized CP</b>	$X_0 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$

[http://staff.ustc.edu.cn/~dinggj/cp\\_scan.html](http://staff.ustc.edu.cn/~dinggj/cp_scan.html)

# Results collected on the website

PMNS matrix predicted in semidirect approach ( $G_l = \text{Abelian subgroup}$ ,  $G_\nu = Z_2$ )

#	Res Sym.	$\Sigma$	$f_c$	$\theta_{bf}$	$\sin^2 \theta_{13}$	$\sin^2 \theta_{12}$	$\sin^2 \theta_{23}$	$\delta_{CP}/\pi$	$\alpha_{21}/\pi$ (mod 1)	$\alpha'_{31}/\pi$ (mod 1)	$\chi^2_{\min}$	NO/IO	$45^\circ$	Check
1	$(1_a, 1_1)$	$\begin{pmatrix} 0.289 - 0.500i & 0.577 & -0.289 - 0.500i \\ 0.289 + 0.500i & 0.577 & -0.289 + 0.500i \\ -0.577 & 0.577 & 0.577 \end{pmatrix}$	2	0.750	0.167	0.400	0.200	0.000	0.000	0.000	21121.88	NO	<	×
			2	0.500	0.333	0.500	0.500	0.500	0.333	0.667	97281.18	NO	>	×
			2	0.750	0.167	0.400	0.200	0.000	0.000	0.000	17479.61	IO	<	×
			2	0.500	0.333	0.500	0.500	0.500	0.333	0.667	80389.49	IO	>	×
		$\begin{pmatrix} 0.289 - 0.500i & 0.577 & -0.289 - 0.500i \\ -0.577 & 0.577 & 0.577 \\ 0.289 + 0.500i & 0.577 & -0.289 + 0.500i \end{pmatrix}$	2	0.500	0.333	0.500	0.500	1.500	0.333	0.667	97281.18	NO	<	×
			2	0.750	0.167	0.400	0.800	1.000	0.000	0.000	21085.67	NO	>	×
			2	0.500	0.333	0.500	0.500	1.500	0.333	0.667	80389.49	IO	<	×
			2	0.750	0.167	0.400	0.800	1.000	0.000	0.000	17452.83	IO	>	×
		$\begin{pmatrix} -0.577 & 0.577 & 0.577 \\ 0.289 - 0.500i & 0.577 & -0.289 - 0.500i \\ 0.289 + 0.500i & 0.577 & -0.289 + 0.500i \end{pmatrix}$	2	0.192	0.022	0.341	0.500	1.500	0.000	0.000	8.84	NO	=	✓
			2	0.192	0.022	0.341	0.500	1.500	0.000	0.000	12.56	IO	=	✓
2	$(1_a, 1_2)$	$\begin{pmatrix} 0.289 - 0.500i & 0.577 & -0.500 + 0.289i \\ 0.289 + 0.500i & 0.577 & 0.500 + 0.289i \\ -0.577 & 0.577 & -0.577i \end{pmatrix}$	2	0.000	0.333	0.500	0.500	1.500	0.667	0.333	97281.18	NO	<	×
			2	0.250	0.045	0.349	0.651	1.000	0.500	0.000	549.09	NO	>	×
			2	0.000	0.333	0.500	0.500	1.500	0.667	0.333	80389.49	IO	<	×
			2	0.250	0.045	0.349	0.651	1.000	0.500	0.000	448.30	IO	>	×
		$\begin{pmatrix} 0.289 - 0.500i & 0.577 & -0.500 + 0.289i \\ -0.577 & 0.577 & -0.577i \\ 0.289 + 0.500i & 0.577 & 0.500 + 0.289i \end{pmatrix}$	2	0.250	0.045	0.349	0.349	0.000	0.500	0.000	547.99	NO	<	×
			2	0.000	0.333	0.500	0.500	0.500	0.667	0.333	97281.18	NO	>	×
			2	0.250	0.045	0.349	0.349	0.000	0.500	0.000	478.65	IO	<	×
			2	0.000	0.333	0.500	0.500	0.500	0.667	0.333	80389.49	IO	>	×
		$\begin{pmatrix} -0.577 & 0.577 & -0.577i \\ 0.289 - 0.500i & 0.577 & -0.500 + 0.289i \\ 0.289 + 0.500i & 0.577 & 0.500 + 0.289i \end{pmatrix}$	2	0.482	0.333	0.500	0.452	0.482	0.965	0.000	97280.33	NO	<	×
			2	0.000	0.333	0.500	0.500	1.500	0.000	0.000	97281.18	NO	>	×
			2	0.500	0.333	0.500	0.500	0.500	0.000	0.000	80389.49	IO	<	×
			2	0.529	0.333	0.500	0.579	0.529	0.058	0.000	80384.93	IO	>	×

[http://staff.ustc.edu.cn/~dinggj/cp\\_scan.html](http://staff.ustc.edu.cn/~dinggj/cp_scan.html)

Test these mixing patterns at JUNO, DUNE, Hyper-K...

# Benchmark examples

For popular flavor symmetry  $A_4, S_4, A_5$ :

$$\delta_{CP} = \pm \pi / 2, \theta_{23} = \pi / 4 \quad \text{or} \quad \delta_{CP} = 0, \pi, \theta_{23} \neq \pi / 4$$

➤  $U^{II}$  with  $\varphi_4 = \pi/4, \varphi_5 = 0$

Ding et al., 14; Hagedorn et al., 14

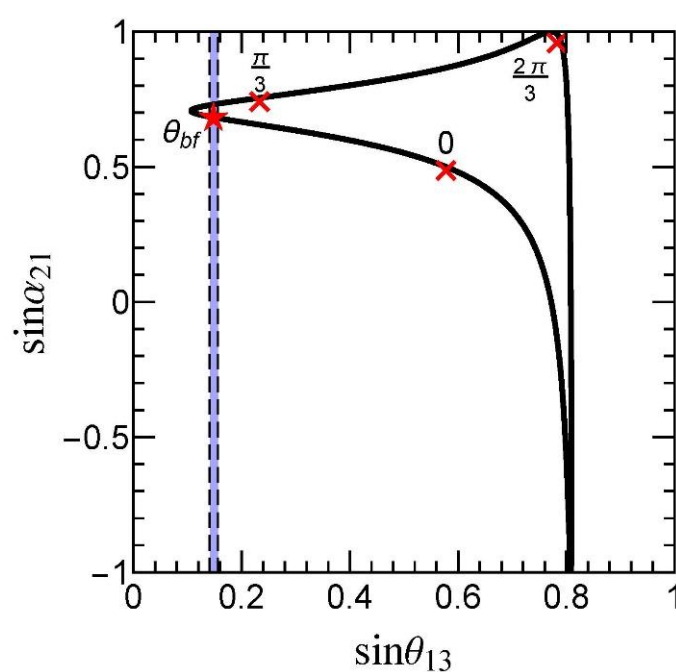
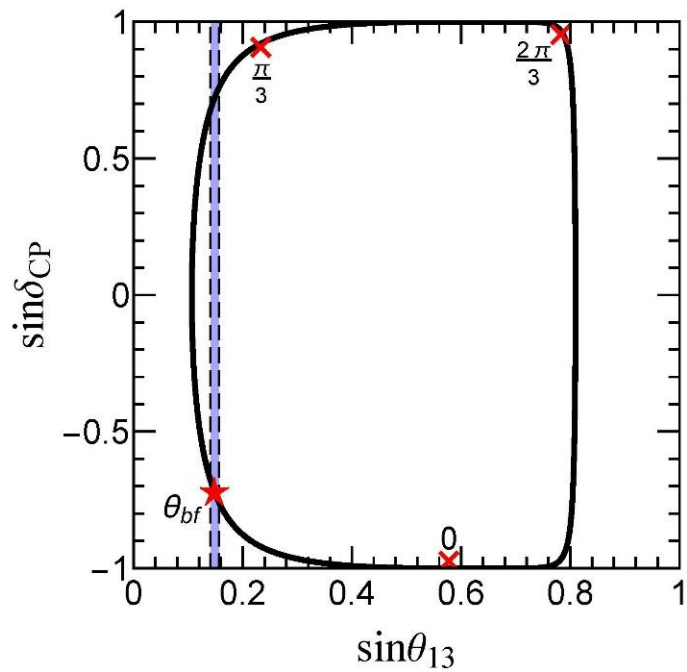
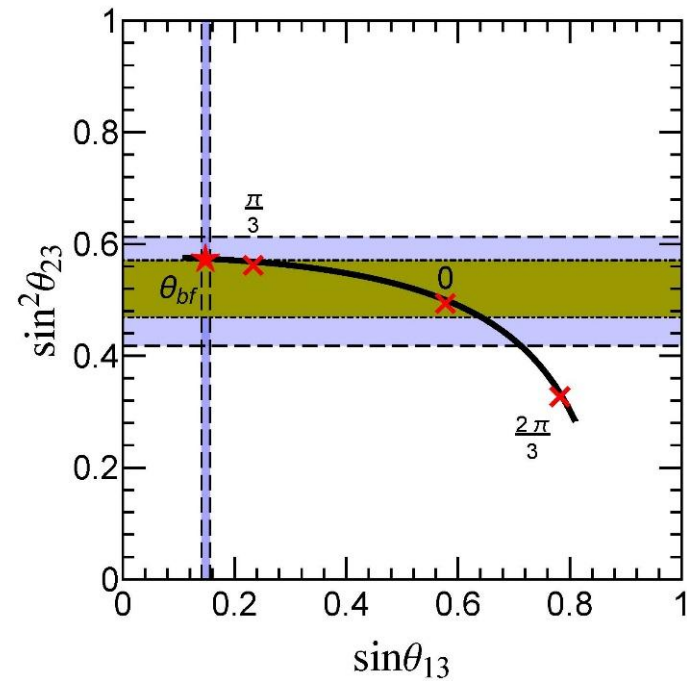
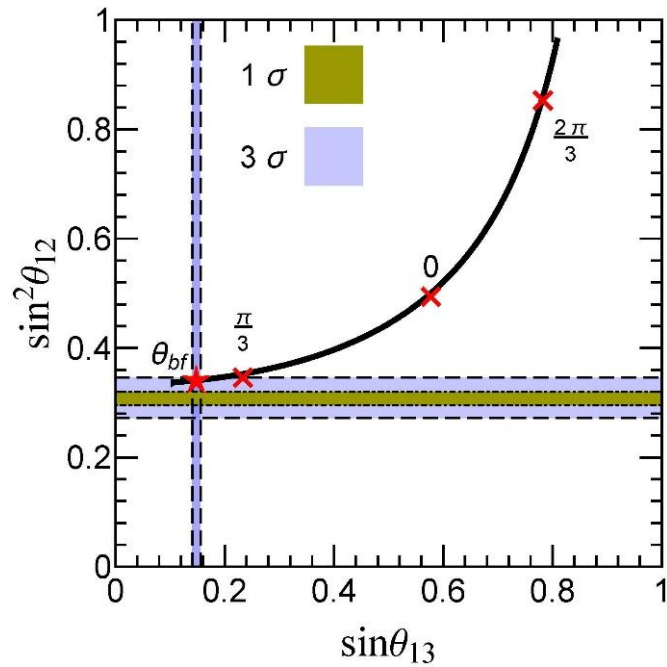
$$U^{II} = \frac{1}{\sqrt{3}} \begin{pmatrix} \omega^2 e^{i\pi/4} \cos \theta - \omega \sin \theta & 1 & \omega^2 e^{i\pi/4} \sin \theta + \omega \cos \theta \\ e^{i\pi/4} \cos \theta - \sin \theta & 1 & e^{i\pi/4} \sin \theta + \cos \theta \\ \omega e^{i\pi/4} \cos \theta - \omega^2 \sin \theta & 1 & \omega e^{i\pi/4} \sin \theta + \omega^2 \cos \theta \end{pmatrix}, \quad \omega \equiv e^{2\pi i/3}$$

$$\Rightarrow \begin{cases} \sin^2 \theta_{13} = \frac{1}{3} - \frac{\sqrt{3}+1}{6\sqrt{2}} \sin 2\theta, & \sin^2 \theta_{12} = \frac{2\sqrt{2}}{4\sqrt{2} + (\sqrt{3}+1)\sin 2\theta}, & J_{CP} = -\frac{\cos 2\theta}{6\sqrt{3}}, \\ \sin^2 \theta_{23} = \frac{1}{2} + \frac{(3-\sqrt{3})\sin 2\theta}{8\sqrt{2} + 2(\sqrt{3}+1)\sin 2\theta}, & I_1 = \frac{1}{18} \left( 1 + (\sqrt{3}-1)\sin^2 \theta + \sqrt{2} \sin 2\theta \right), & I_2 = \frac{\cos 2\theta}{18} \end{cases}$$

Best fit values:

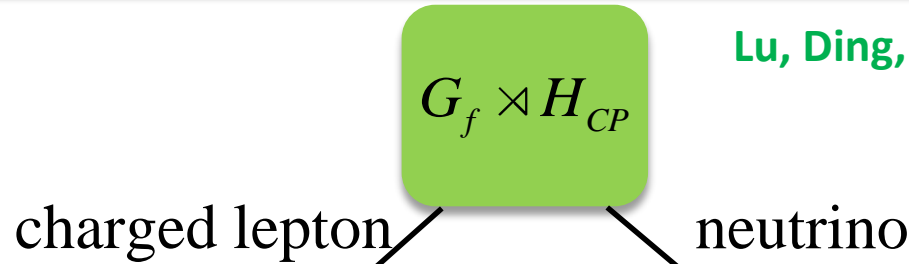
$$\theta_{bf} = 0.209\pi, \quad \chi_{min}^2 = 7.960, \quad \sin^2 \theta_{12} = 0.341, \quad \sin^2 \theta_{13} = 0.0220,$$

$$\sin^2 \theta_{23} = 0.574, \quad \sin \delta_{CP} = -0.722, \quad \sin \alpha_{21} = 0.683, \quad \sin \alpha_{31} = -0.091.$$



# Another scheme to predict lepton mixing from flavor and CP

Lu, Ding, 16,18; Li, Lu, Ding, 17



$$X_l = \Sigma_l \Sigma_l^T,$$

$$\Sigma_l^\dagger \rho_3(g_l) \Sigma_l = \pm \text{diag}(1, -1, -1)$$

$$X_\nu = \Sigma_\nu \Sigma_\nu^T,$$

$$\Sigma_\nu^\dagger \rho_3(g_\nu) \Sigma_\nu = \pm \text{diag}(1, -1, -1)$$

$$U_l = \Sigma_l R_{23}(\theta_l)$$

$$U_\nu = \Sigma_\nu R_{23}(\theta_\nu) Q_\nu$$

$$U_{PMNS}(\theta_l, \theta_\nu) = R_{23}^T(\theta_l) \Sigma_l^\dagger \Sigma_\nu R_{23}(\theta_\nu) Q_\nu$$

- All mixing angles and CP phases are expressed in terms of **two free parameters**  $\theta_{l,\nu} \in [0, \pi)$
- This scheme can be extended to quark sector, and the CKM mixing matrix is of similar form

Drastically different quark and lepton mixing angles can be explained simultaneously in the  $\Delta(6n^2)$  flavor group, and  $\Delta(6 \cdot 7^2)$  with  $n=7$  is the smallest group.

➤ Quark sector :

$$\begin{cases} g_u = bc^x d^x, & X_u = c^\gamma d^{-2x-\gamma} \\ g_d = bc^{x-3} d^{x-3}, & X_d = c^{\gamma+2} d^{-2x-\gamma+4} \end{cases}$$



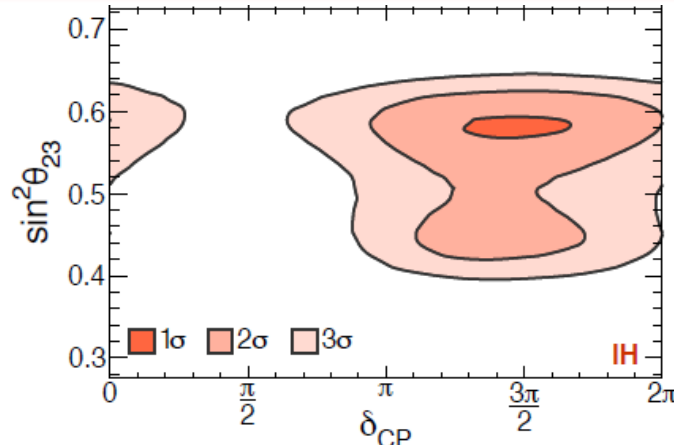
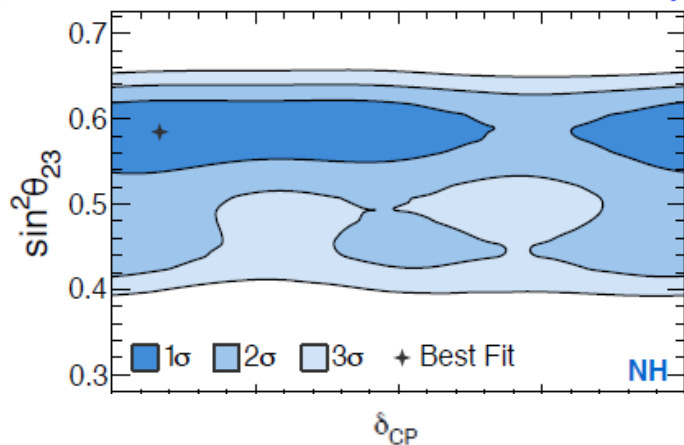
	$\theta_u^{\text{bf}}/\pi$	$\theta_d^{\text{bf}}/\pi$	$\sin \theta_{12}^q$	$\sin \theta_{23}^q$	$\sin \theta_{13}^q$	$J_{CP}^q$
Our	0.4867	0.4988	0.22252	0.04204	0.00359	$3.202 \times 10^{-5}$
Data	—	—	$0.22497 \pm 0.00069$	$0.04229 \pm 0.00057$	$0.00368 \pm 0.00010$	$(3.115 \pm 0.093) \times 10^{-5}$

➤ Lepton sector :

$$\begin{cases} g_l = bc^x d^x, & X_l = c^\gamma d^{-2x-\gamma} \\ g_\nu = abc^{-x+(1-3\gamma)/2}, & X_\nu = c^\gamma d^{1-2x-\gamma} \end{cases}$$

Li, Lu, Ding, 17

	$\theta_l^{\text{bf}}/\pi$	$\theta_\nu^{\text{bf}}/\pi$	$\chi_{\text{min}}^2$	$\sin^2 \theta_{13}$	$\sin^2 \theta_{12}$	$\sin^2 \theta_{23}$	$\sin \delta_{CP}$	$ \sin \alpha_{21} $	$ \sin \alpha_{31} $
Our	0.911	0.0347	2.416	0.0222	0.319	0.579	-0.802	0.391	0.596
Data	—	—	—	$0.0198 \rightarrow 0.0244$	$0.272 \rightarrow 0.346$	$0.418 \rightarrow 0.613$	$-1 \rightarrow 0.588$	$0 \rightarrow 1$	$0 \rightarrow 1$



NOvA, Sanchez @ Neutrino 18

# Origin of neutrino masses

If neutrinos are **Majorana** particles, the effective mass operators are

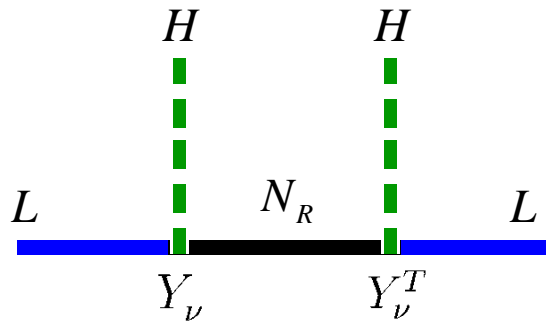
$$\mathcal{L}_{5+2n}^M = -\frac{1}{2} \frac{g_{\alpha\beta}}{\Lambda} \left( \overline{\ell_{L\alpha}^c} \tilde{H}^* \right) \left( \tilde{H}^\dagger \ell_{L\beta} \right) \left( \frac{H^\dagger H}{\Lambda^2} \right)^n + \text{H.c.}$$

Weinberg,79; Babu, Leung, 01; Liao, 10



➤ tree level UV completion--- **seesaw mechanism**

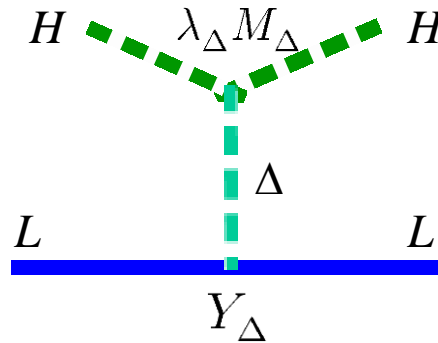
**Type-I: SM+singlet fermion  $N_R$**



$$m_\nu \approx -v^2 Y_\nu \frac{1}{M_{N_R}} Y_\nu^T$$

Minkowski,77; Yanagida,1979;  
Glashow ,79; Gell-Mann, Ramond,  
Slansky,79; Mohapatra,  
Senjanovic,80

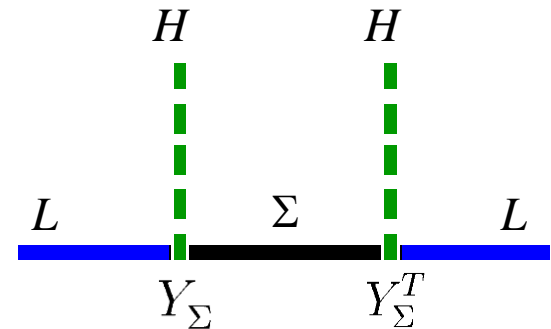
**Type-II: SM+triplet scalar  $\Delta$**



$$m_\nu \approx \lambda_\Delta Y_\Delta \frac{v^2}{M_\Delta}$$

Magg, Wetterich,80;  
Schechter, Valle ,80;  
Mohapatra, Senjanovic,80

**Type-III: SM+triplet fermion  $\Sigma$**



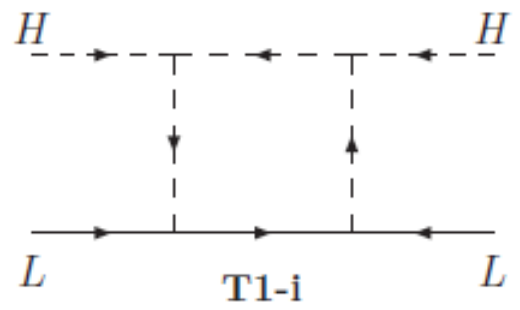
$$m_\nu \approx -v^2 Y_\Sigma \frac{1}{M_\Sigma} Y_\Sigma^T$$

Foot, Lew, He, Joshi, 89

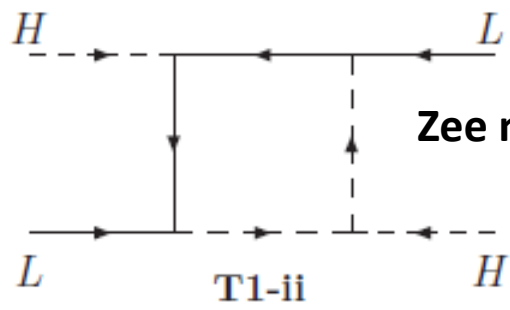
➤ One-Loop realizations: **only 4 independent topologies**

Bonnet,Hirsch,Ota,  
Winter,12

Dark doublet  
model, Ma, 06

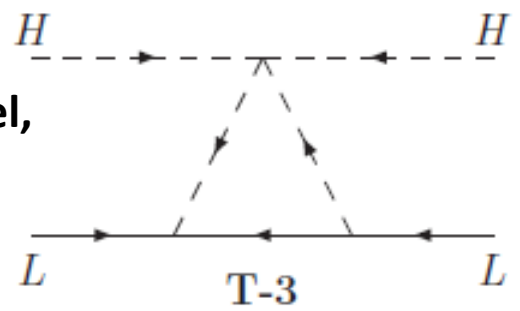


Zee model, Zee,80

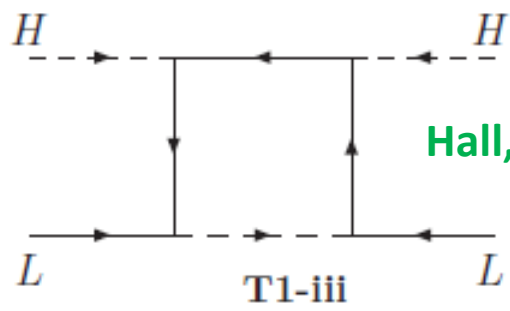


many, many more references ...

Scotogenic model,  
Ma,98



Hall,Suzuki,84; Ma, 98



- ✓ at least 2 new multiplets required as intermediate states
- ✓ The intermediate states could be light and probed at existing facilities (the fermion singlets  $N_R$  in seesaw are at the GUT scale)
- ✓ new states in the loop can be DM candidates
- ✓ **Disadvantages:** uniqueness of tree-level seesaw lost

For recent review: Cai, Volkas et al., Front.in Phys.5 (2017) 63



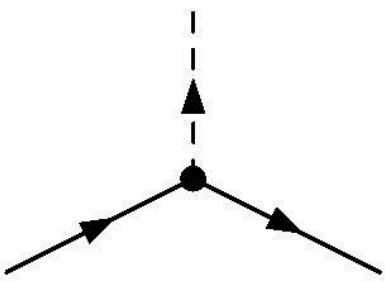
If neutrinos are **Dirac** particles, the effective mass operators are

$$\mathcal{L}_{4+2n}^D = -y_{\alpha\beta} \overline{\ell_{L\alpha}} \tilde{H} \nu_{R\beta} \left( \frac{H^\dagger H}{\Lambda^2} \right)^n + \text{H.c.}$$

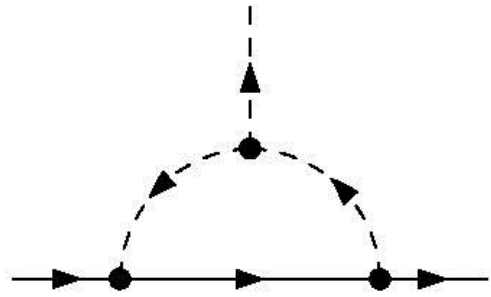


➤ **Lowest order contribution** → **d=4**

**tree level**

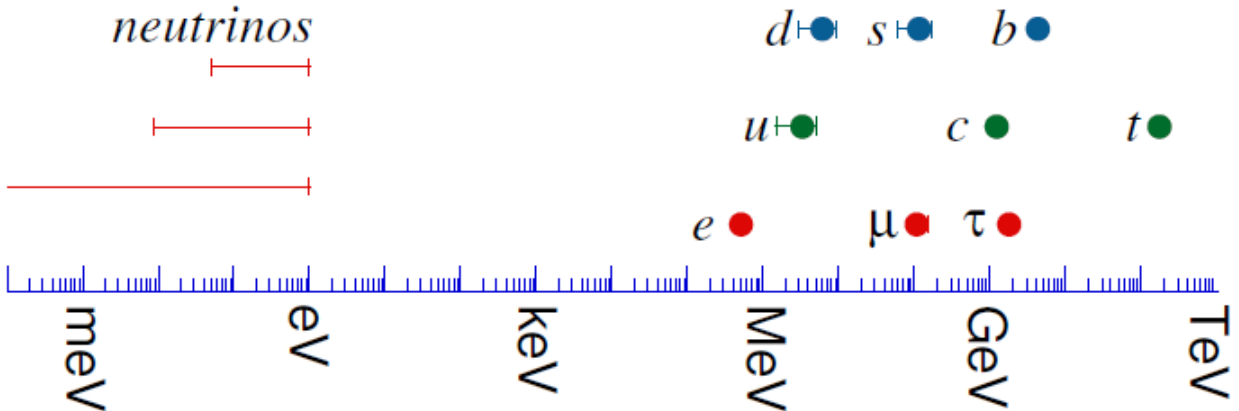


**one-loop level**



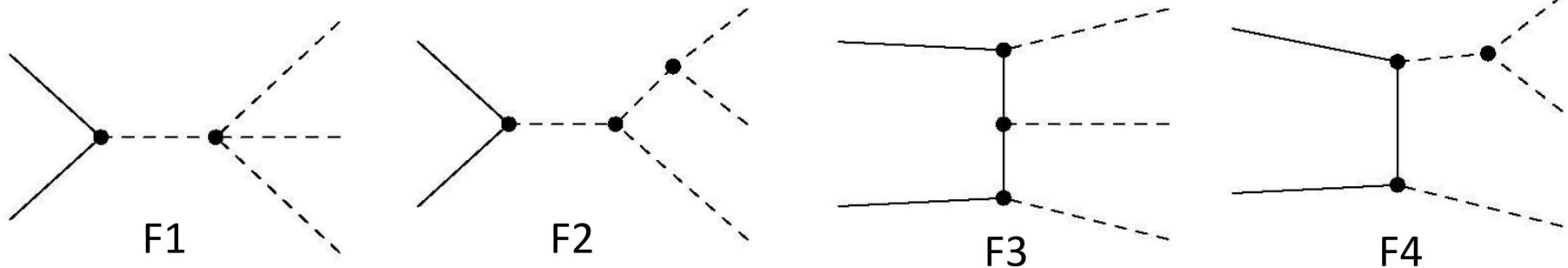
Ma, Popov, 16; Wang, Han, 16; Yao, Ding, 17

The fermion mass hierarchy problem is worsened. (i.e.,  $m_i/m_t < 10^{-12}$ )

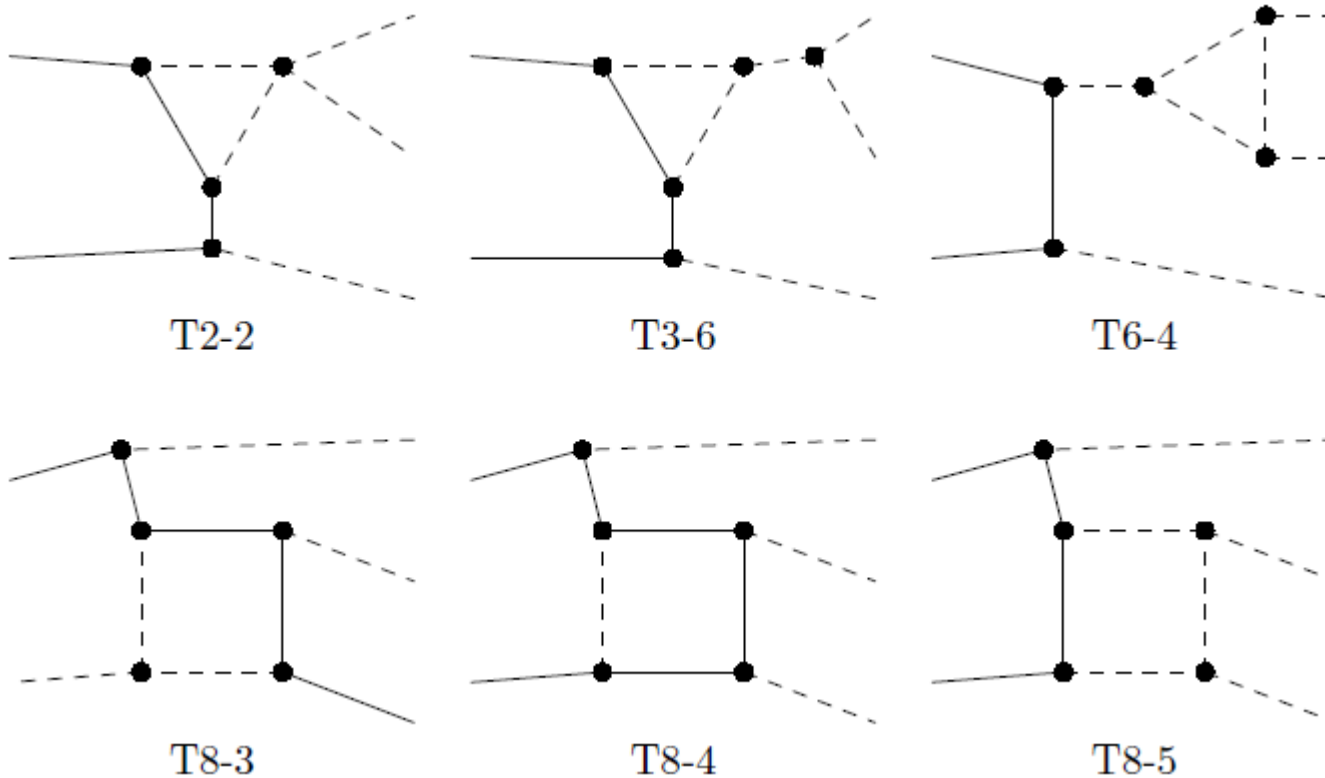


➤ Next to lowest order contribution →  $d=6$

✓ tree level realization : 4 independent topologies



✓ One-loop realization : 6 independent topologies



Yao, Ding, 17

# Dirac neutrino masses at dimension five

The effective mass operator: Yao, Ding, 18

$$\mathcal{L}_5^D = -\frac{g_{\alpha\beta}}{\Lambda} \overline{\ell_{L\alpha}} \tilde{H} \nu_{R\beta} S + \text{H.c.}$$

$Z_2$  sym:  $\nu_R, S$  are odd

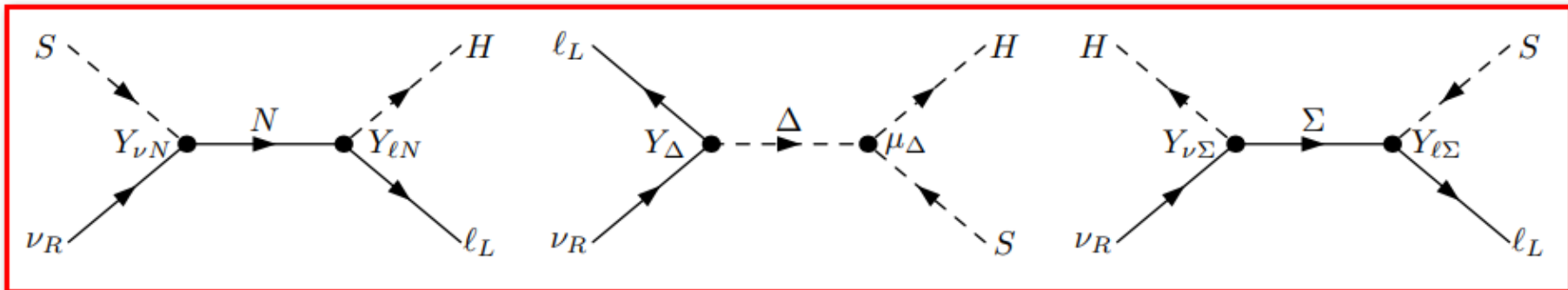


➤ Tree-level UV completion --- Dirac seesaw mechanism

Type-I: singlet fermion  $N$

Type-II: doublet scalar  $\Delta$

Type-III: doublet fermion  $\Sigma$



$$(m_\nu)_{\alpha\beta} = -\frac{(Y_{\ell N})_{\alpha i} (Y_{\nu N})_{i\beta} v_H v_S}{M_N^{(i)}}$$

$$(m_\nu)_{\alpha\beta} = -\frac{\mu_\Delta (Y_\Delta)_{\alpha\beta} v_H v_S}{M_\Delta}$$

$$(m_\nu)_{\alpha\beta} = -\frac{(Y_{\ell\Sigma})_{\alpha i} (Y_{\nu\Sigma})_{i\beta} v_H v_S}{M_\Sigma^{(i)}}$$

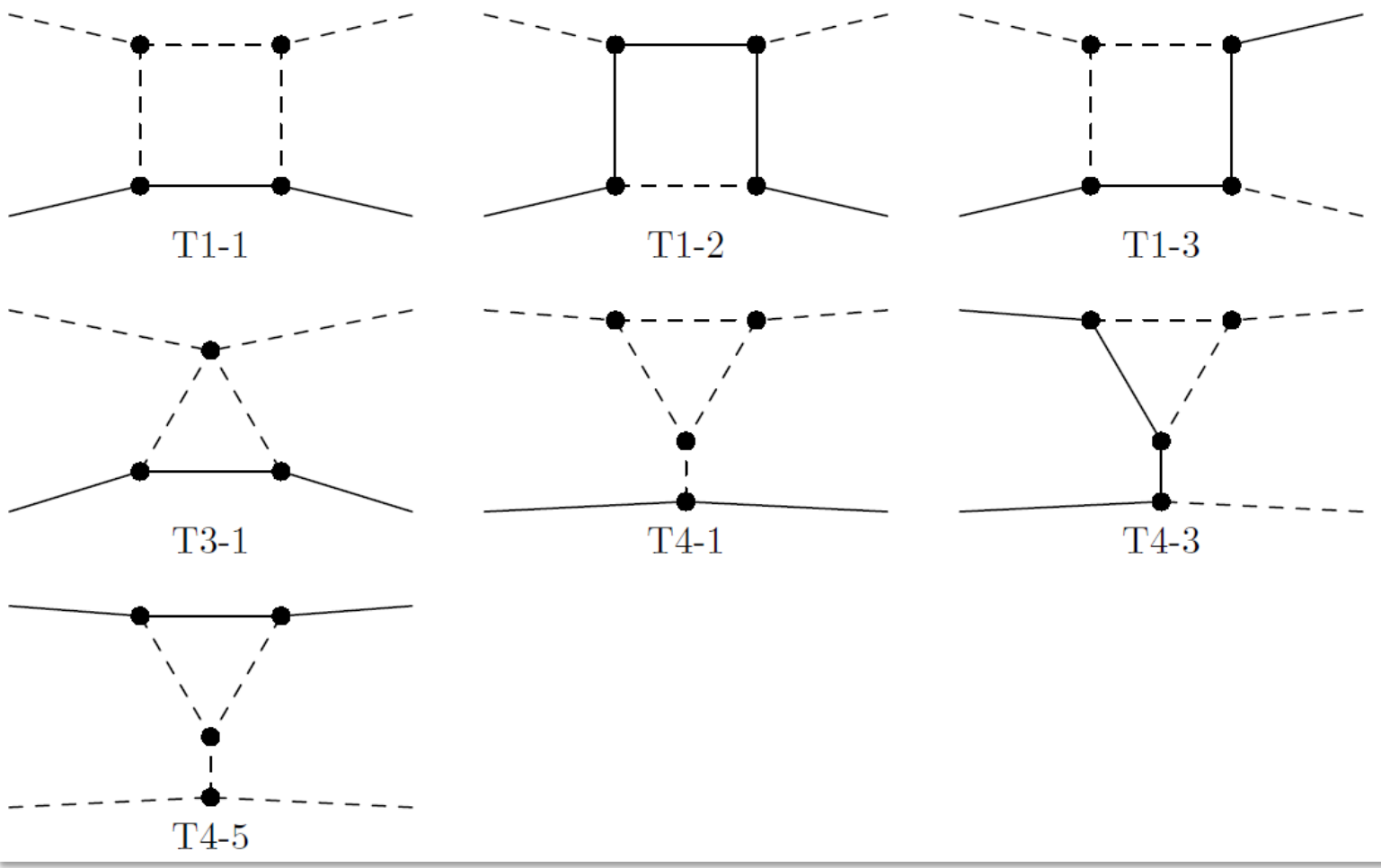
Roncadelli, D. Wyler, 83;  
Ma, Srivastava, 15;  
Chuli, Srivastava, Valle, 17

Gu, He, 06; Bonilla, Lamprea,  
Peinado, Valle, 17

Yao, Ding, 18 ; Chuli,  
Srivastava, Valle, 18

# ➤ One-Loop UV completion

Yao, Ding, 18



- ✓ Messengers in the loop can be dark matter candidates
- ✓ Very rich phenomena are expected as the Majorana case

# Conclusions

---

- ❑ Flavor and CP symmetry is a powerful framework to understand the neutrino mixing angles and predict leptonic CP violation phases.
- ❑ The drastically different quark and lepton mixing patterns can be explained from the same flavor symmetry combined with CP.
- ❑ Understanding the origin of neutrino masses requires lots of **theoretical** and **experimental** efforts:
  - ✓ precise measurement of  $\theta_{23}$  and  $\delta_{CP}$ : guiding us in the search of first principles of flavor mixing
  - ✓ search for lepton number violation:  $0\nu\beta\beta$
  - ✓ search for lepton flavor violation:  $\mu \rightarrow e\gamma$  and  $\mu$ -e conversion etc
  - ✓ collider experiments: directly revealing the new physics behind small neutrino masses
  - ✓ DM experiments: the connection between neutrino and DM?  
.....

**Thank you!**

# Backup

# $\Delta(6n^2)$ flavor group and CP symmetry

- $\Delta(6n^2)$  is a non-abelian finite subgroup of  $SU(3)$ , it is isomorphic to  $(Z_n \times Z_n) \rtimes S_3$ . Its four generators satisfy:

$$\begin{aligned}
 & a^3 = b^2 = (ab)^2 = 1, \\
 & c^n = d^n = 1, \quad cd = dc, \\
 & aca^{-1} = c^{-1}d^{-1}, \quad ada^{-1} = c, \quad bcb^{-1} = d^{-1}, \quad bdb^{-1} = c^{-1}
 \end{aligned}$$

- Familiar examples:  $\Delta(6 \times 1^2) \cong S_3$ ,  $\Delta(6 \times 2^2) \cong S_4$

- Irreducible representations : 1-dim, 2-dim, 3-dim, 6-dim  $\eta \equiv e^{2\pi i/n}$

$$a = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \quad b = -\begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \quad c = \begin{pmatrix} \eta & 0 & 0 \\ 0 & \eta^{-1} & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad d = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \eta & 0 \\ 0 & 0 & \eta^{-1} \end{pmatrix}$$

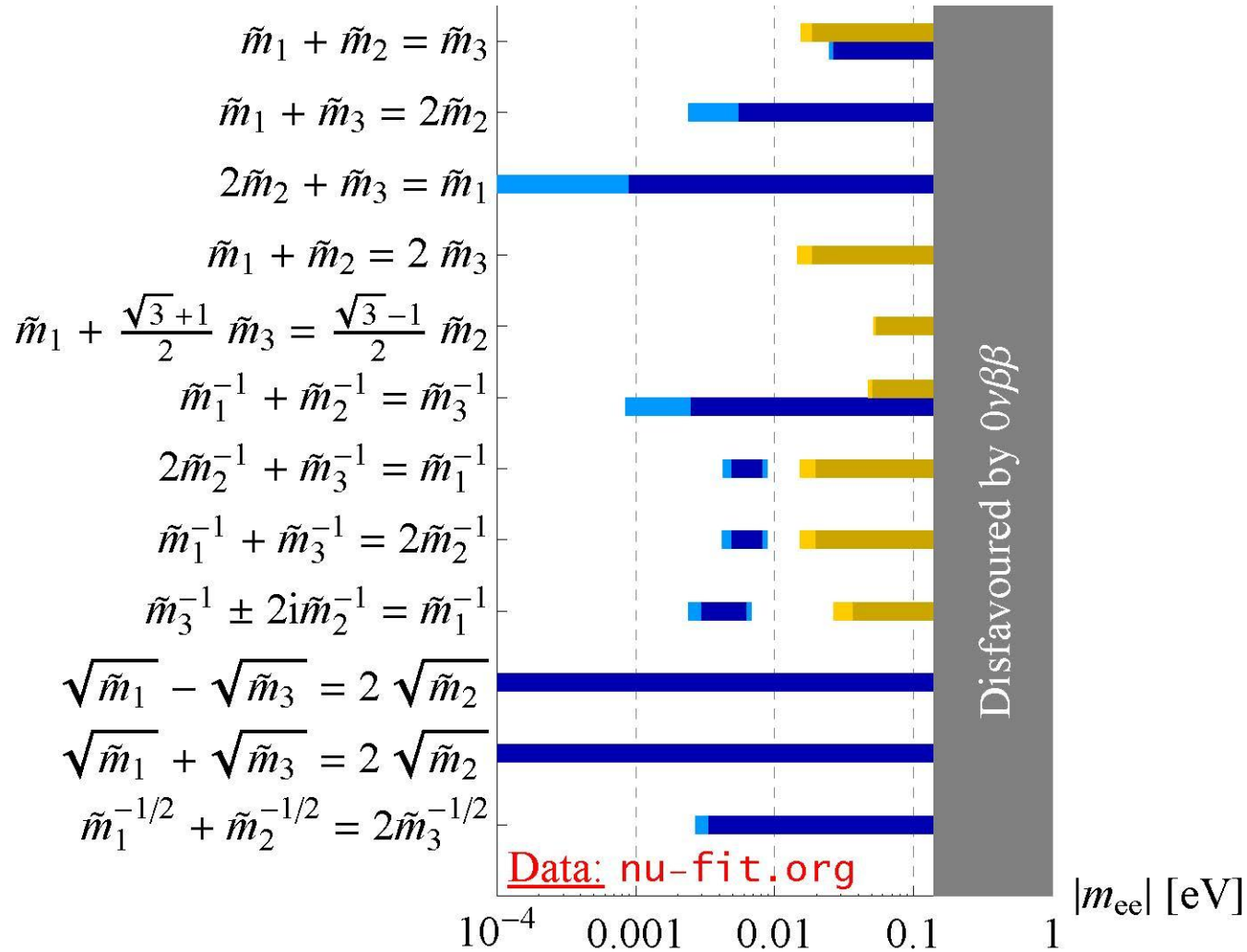
- Physical CP transformations are of the same form as the flavor symmetry transformations in the chosen basis.

$$X \rho^*(g) X^{-1} = \rho(g')$$

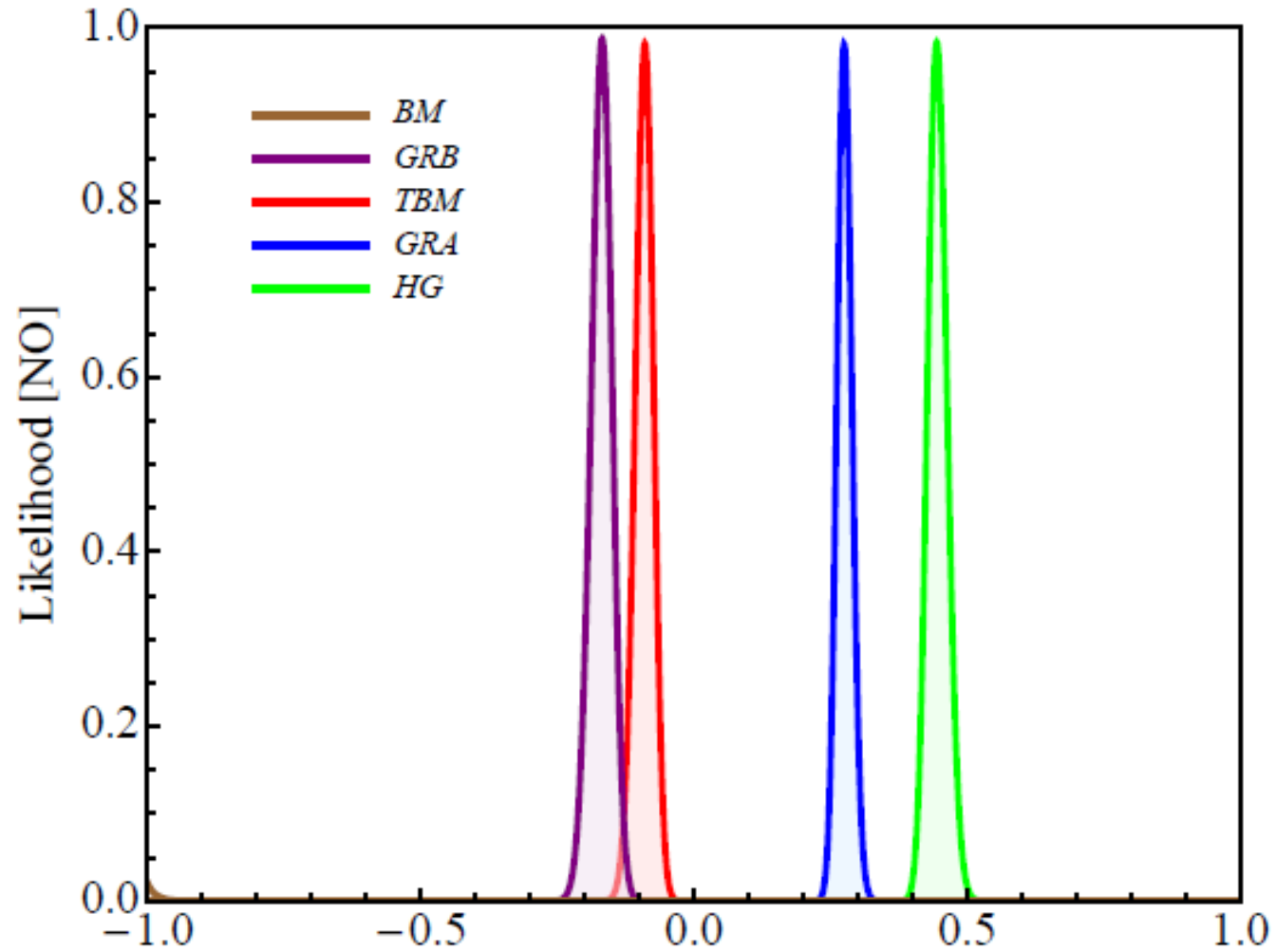
[Ding, King and Neder, JHEP 1412, 007 (2014) ;  
Hagedorn, Meroni and Molinaro, Nucl. Phys. B 891, 499 (2015)]



# Mass sum rules:



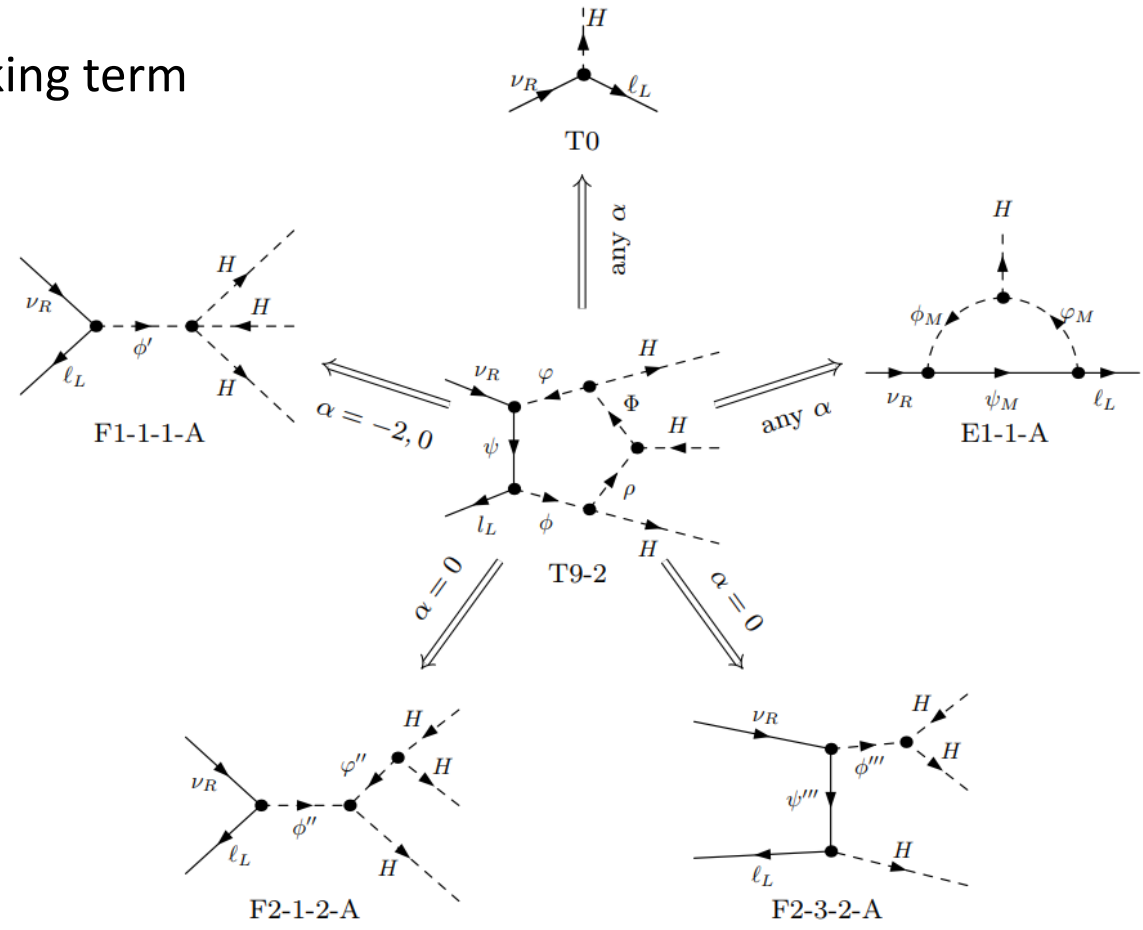
# Mixing sum rules:



Petcov, Girardi, Titov, 15

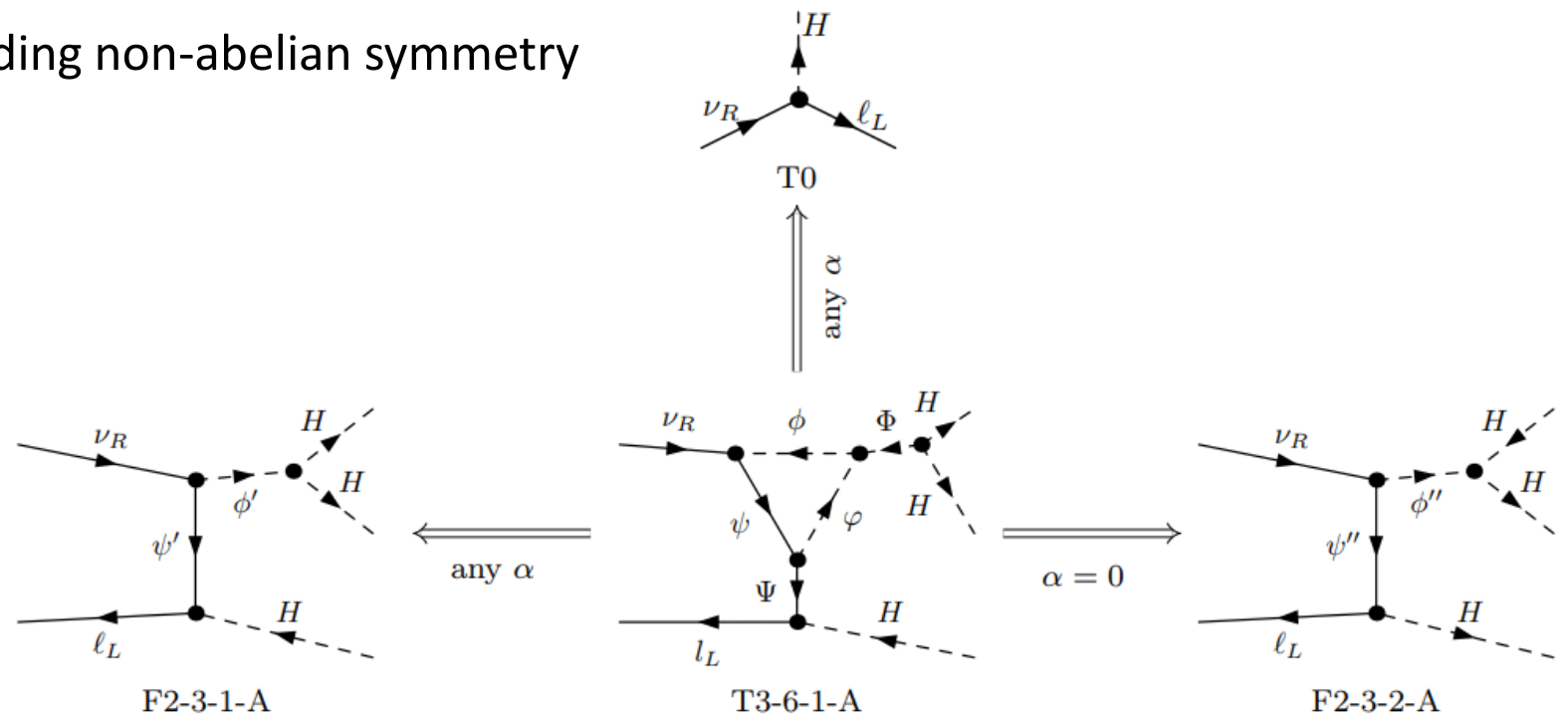
# ➤ Forbid lower level contribution

✓ By adding soft-breaking term



Fields	T0			T9-2					E1-1-A			Viable	
	$\ell_L$	$\nu_R$	$H$	$\psi$	$\phi$	$\varphi$	$\Phi$	$\rho$	$\psi_M$	$\phi_M$	$\varphi_M$		
Gauge Sym.	$2_{-1}^F$	$1_0^F$	$2_1^S$	$1_\alpha^F$	$2_{\alpha+1}^S$	$1_\alpha^S$	$2_{\alpha+1}^S$	$1_\alpha^S$	$1_\alpha^F$	$1_\alpha^S$	$2_{\alpha+1}^S$		
$Z_3$ Sym.	$\omega$	$\omega$	$\omega$	$1_\blacktriangle$	$1_\blacktriangle$	$\omega^2$	1	$\omega^2$	$1_\blacktriangle$	$\omega^2$	$1_\blacktriangle$	$\omega^2$	✗
				1	$\omega_\blacktriangle^2$	$\omega^2$	1	$\omega_\blacktriangle^2$	1	$\omega_\blacktriangle^2$	$\omega_\blacktriangle^2$	✗	
				1	$\omega^2$	$\omega_\blacktriangle^2$	$1_\blacktriangle$	$\omega_\blacktriangle$	1	$\omega_\blacktriangle$	$1_\blacktriangle$	✓	
				1	$\omega^2$	$\omega_\blacktriangle^2$	$\omega_\blacktriangle^2$	$\omega$	1	$\omega_\blacktriangle^2$	$\omega_\blacktriangle^2$	✗	
				$1_\blacktriangle$	$\omega^2$	$\omega_\blacktriangle$	$\omega^2$	$\omega$	$1_\blacktriangle$	$\omega_\blacktriangle$	$\omega^2$	✗	

✓ By adding non-abelian symmetry



$S_4$ :

$$\begin{aligned}
 1 \otimes R &= R \otimes 1 = R, & 1' \otimes 1' &= 1, & 1' \otimes 2 &= 2, & 1' \otimes 3 &= 3', & 1' \otimes 3' &= 3, \\
 2 \otimes 2 &= 1 \oplus 1' \oplus 2, & 2 \otimes 3 &= 2 \otimes 3' = 3 \oplus 3', \\
 3 \otimes 3 &= 3' \otimes 3' = 1 \oplus 2 \oplus 3 \oplus 3', & 3 \otimes 3' &= 1' \oplus 2 \oplus 3 \oplus 3',
 \end{aligned}$$

	T0			T3-6-1-A					F2-3-1-A		F2-3-2-A	
Fields	$\ell_L$	$\nu_R$	$H$	$\psi$	$\Psi$	$\phi$	$\varphi$	$\Phi$	$\psi'$	$\phi'$	$\psi''$	$\phi''$
Gauge Sym.	$2_{-1}^F$	$1_0^F$	$2_1^S$	$1_\alpha^F$	$3_{-2}^F$	$1_\alpha^S$	$3_{\alpha+2}^S$	$3_{-2}^S$	$3_{-2}^F$	$3_2^S$	$1_0^F$	$1_0^S$
$S_4$ Sym.	<b>3</b>	<b>3'</b>	<b>1</b>	<b>3'</b>	<b>3</b>	<b>3</b>	<b>3</b>	<b>1</b>	<b>3</b>	<b>1 (or 3)</b>	<b>3'</b>	<b>3</b>