

***On the Soft of the CEPC:  
Detector design - optimization,  
Reconstruction & Physics Reaches***

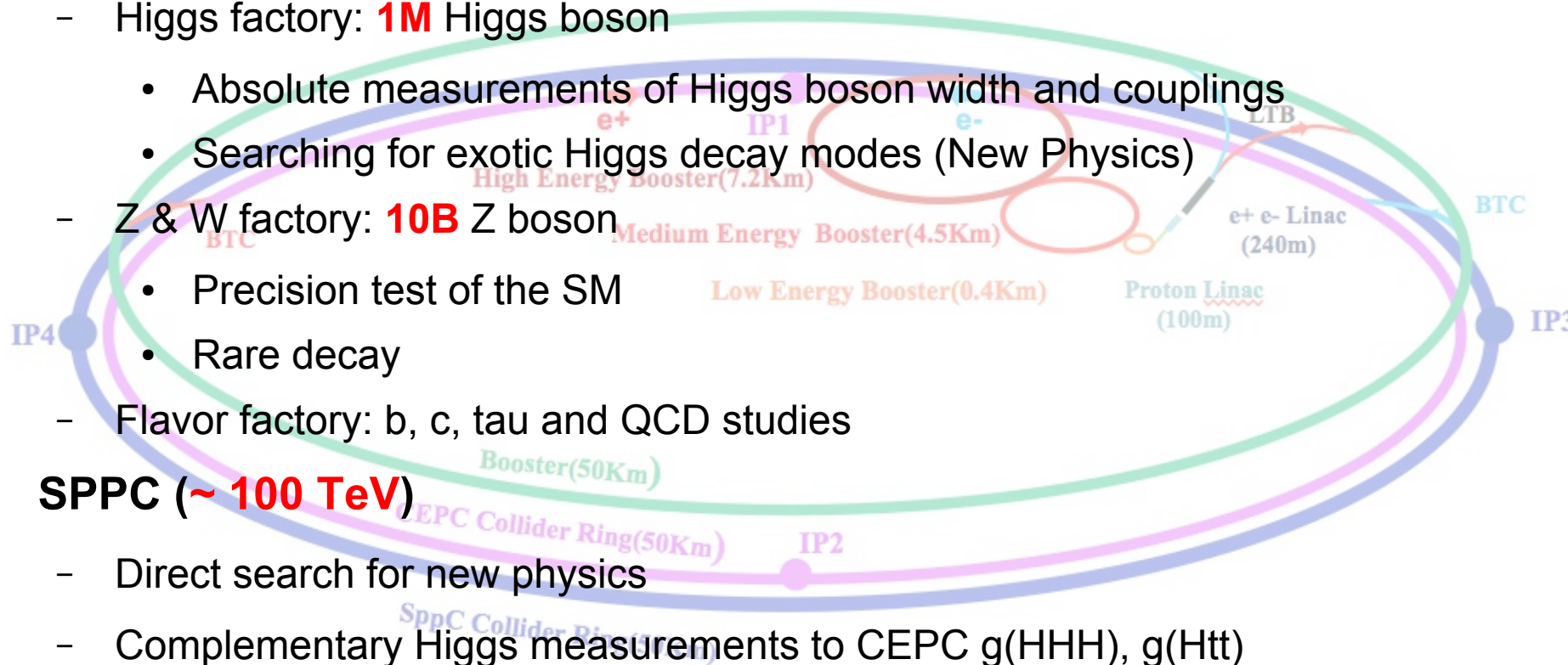
Manqi Ruan

*([Manqi.ruan@ihep.ac.cn](mailto:Manqi.ruan@ihep.ac.cn))*

On behavior of the CEPC Study Group

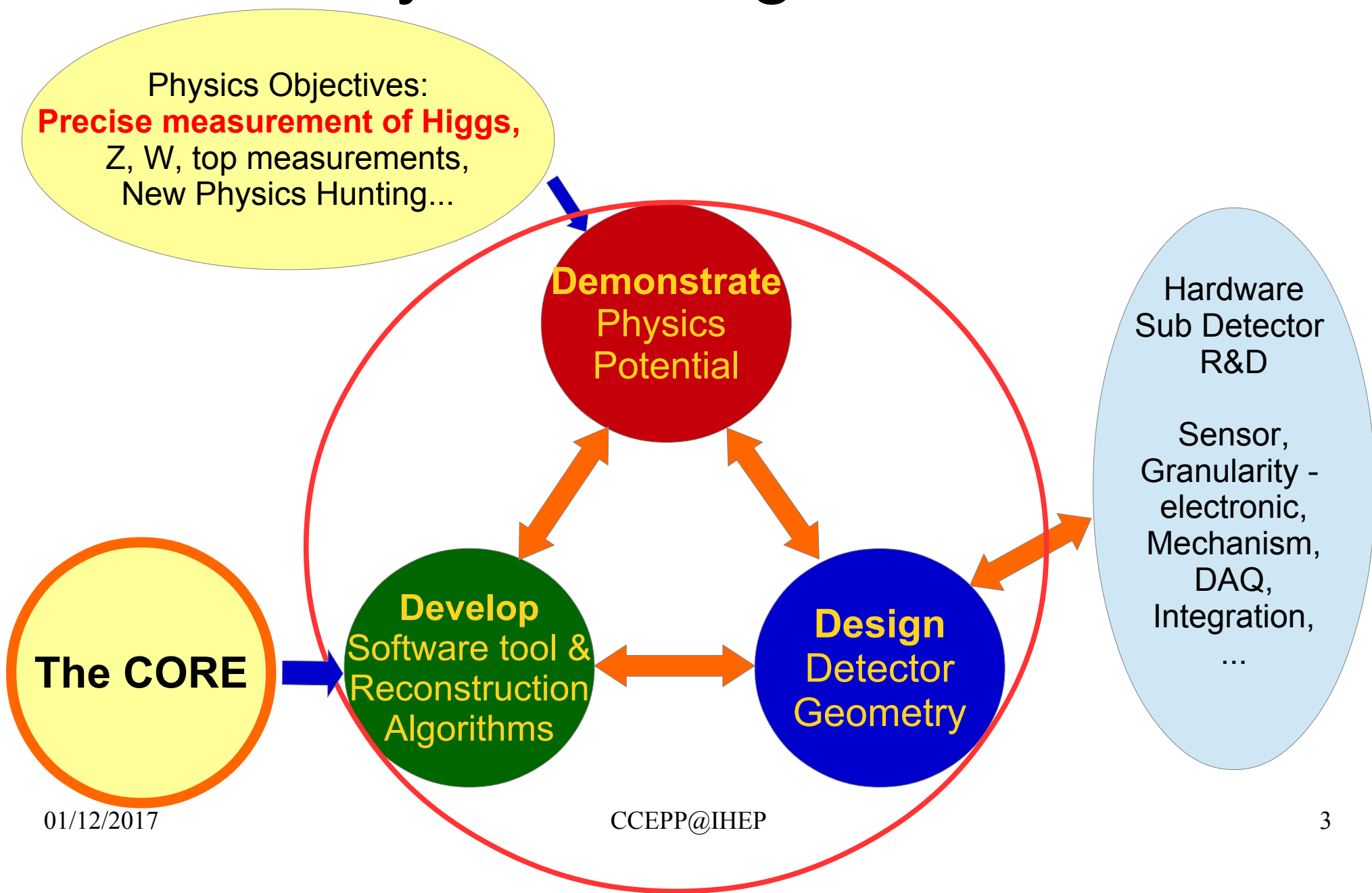
# Science at CEPC-SPPC

- Tunnel ~ **100 km**
- CEPC (90 – 250 GeV)
  - Higgs factory: **1M** Higgs boson
    - Absolute measurements of Higgs boson width and couplings
    - Searching for exotic Higgs decay modes (New Physics)
  - Z & W factory: **10B** Z boson
    - Precision test of the SM
    - Rare decay
  - Flavor factory: b, c, tau and QCD studies
- SPPC (~ **100 TeV**)
  - Direct search for new physics
  - Complementary Higgs measurements to CEPC  $g(\text{HHH})$ ,  $g(\text{Htt})$
  - ...
- Heavy ion, e-p collision...



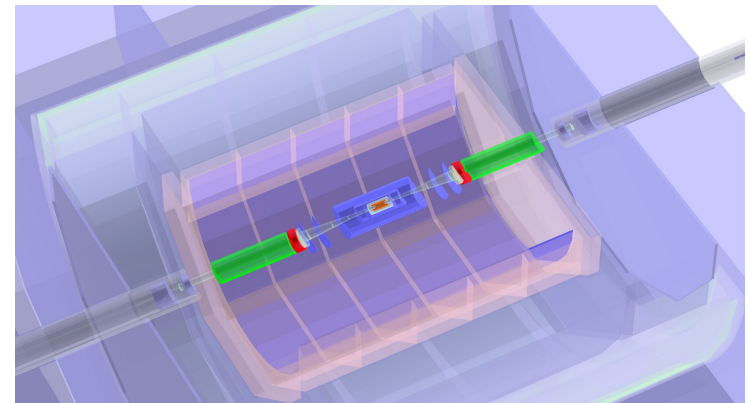
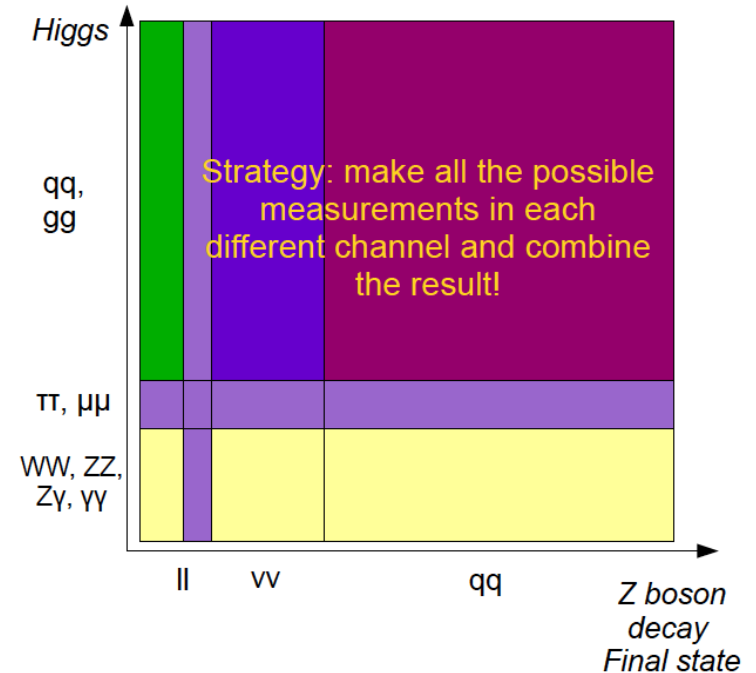
**Complementary**

# Key SOFT ingredients



# Requirements & Detector reference

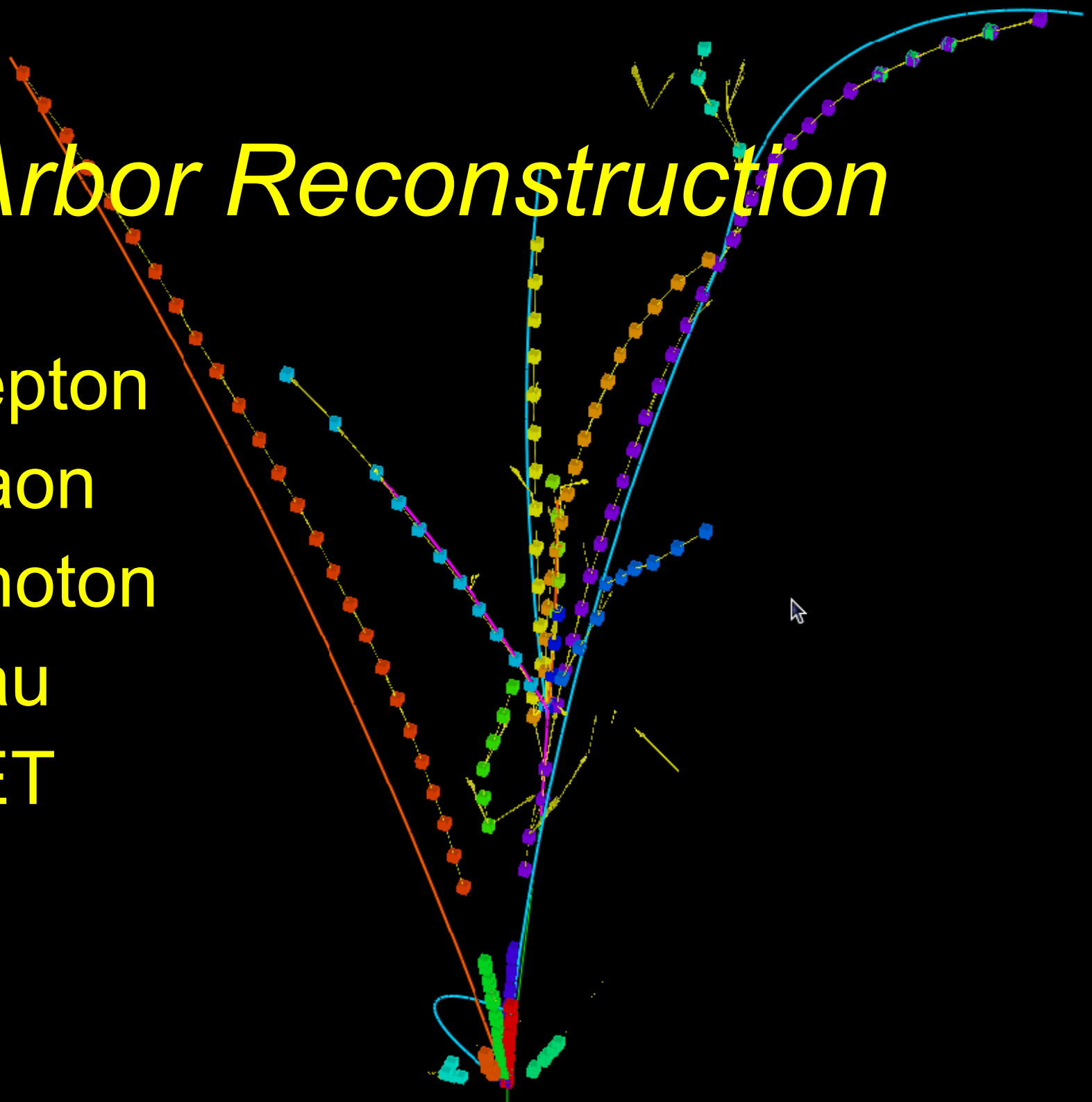
- Requirements:
  - Be adequate
  - High Hermetic
  - **Objects**
    - Excellent Lepton ID
    - Charged Kaon ID
    - High Eff/Precision Photon Reco
    - Excellent Tau Performance
    - Good Jet(MET) Reconstruction
    - Flavor Tagging (b, c, light, gluon)
- Reference Detector
  - CEPC\_v1, designed for PreCDR



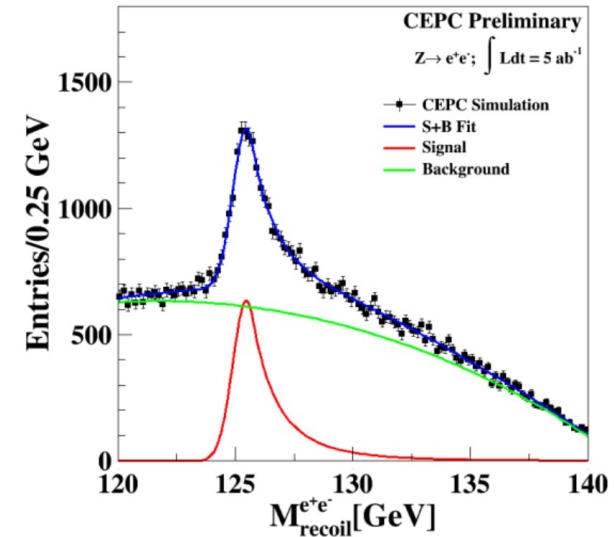
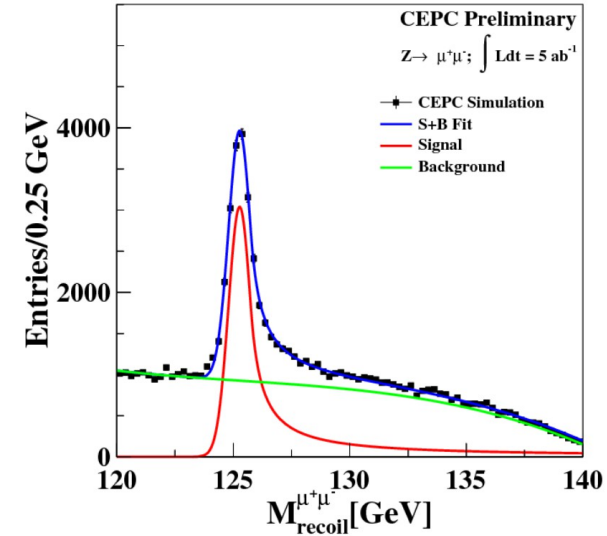
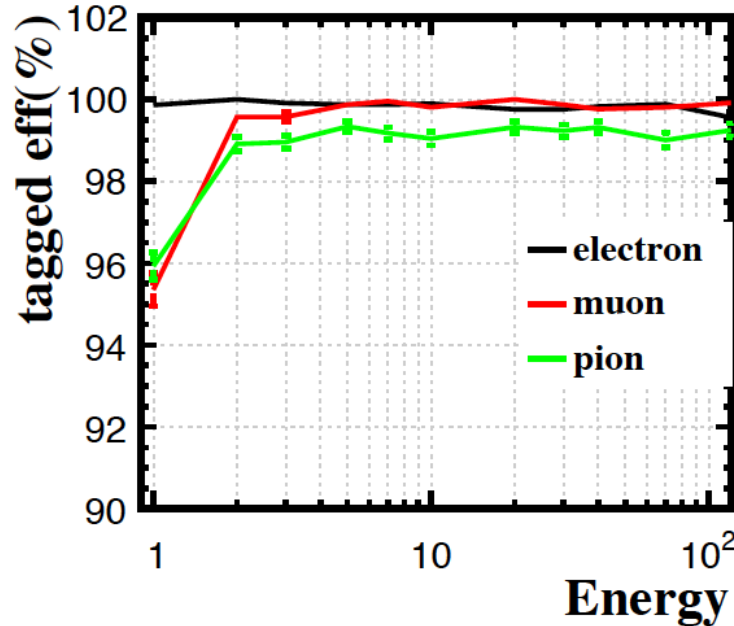
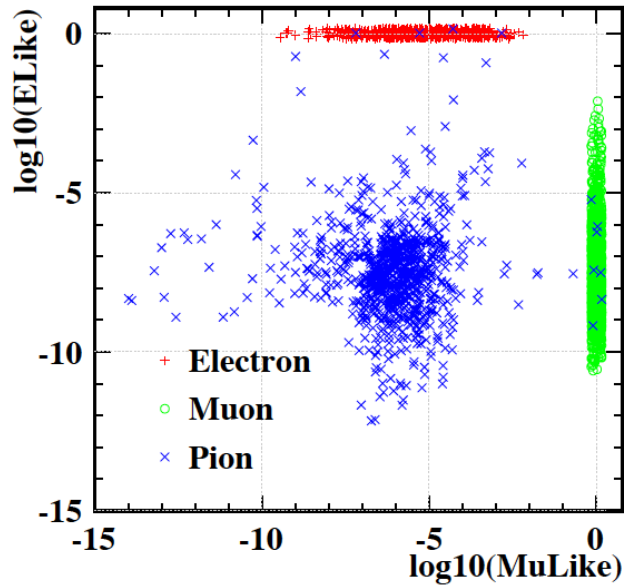
Modified from ILD geometry (L\*, Yoke, etc)

# *Arbor Reconstruction*

Lepton  
Kaon  
Photon  
Tau  
JET



# Lepton



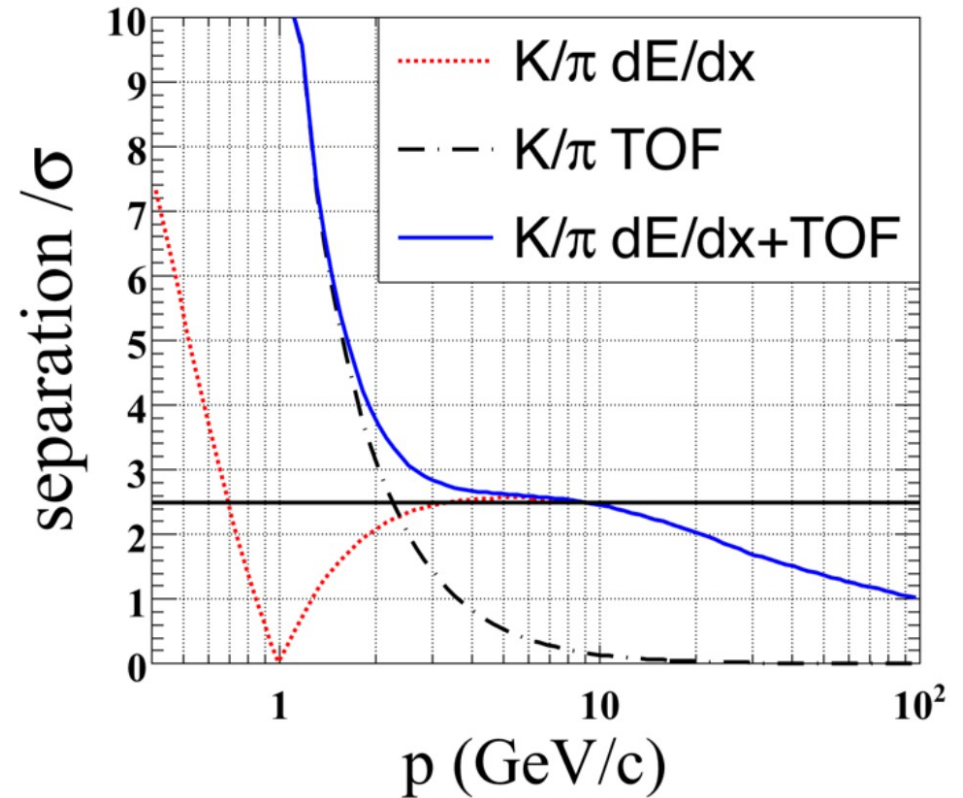
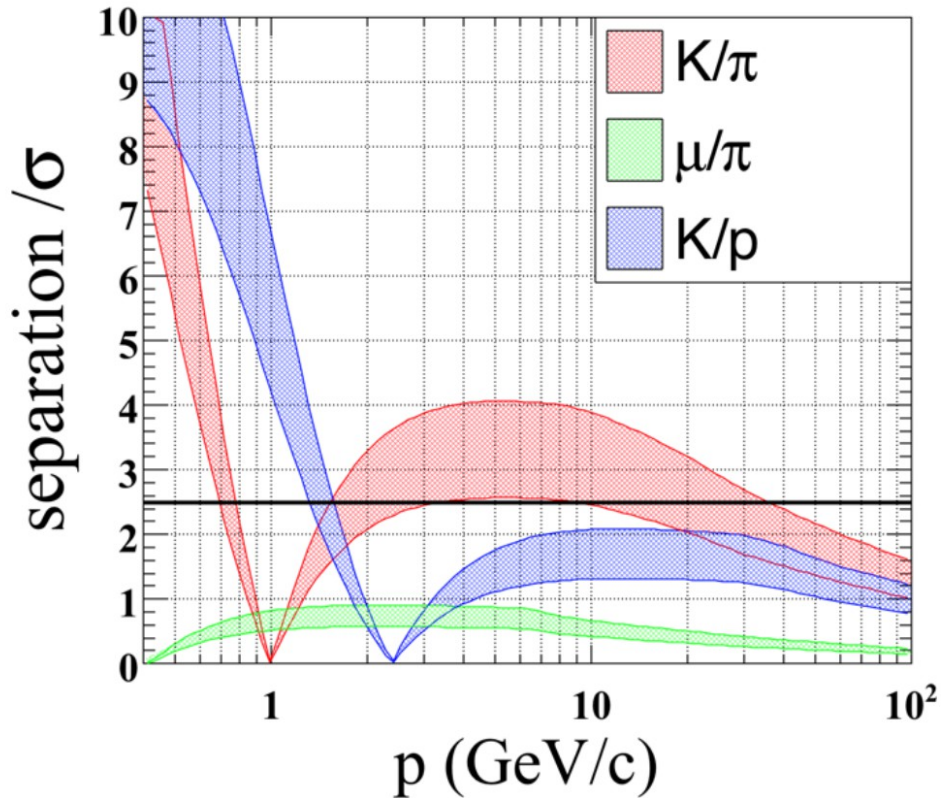
*BDT method using 4 classes of 24 input discrimination variables.*

Test performance at: Electron =  $E\_likeness > 0.5$  ;  
 Muon =  $Mu\_likeness > 0.5$

Single charged reconstructed particle, for  $E > 2 \text{ GeV}$ :  
 lepton efficiency  $> 99.5\%$  && Pion mis id rate  $\sim 1\%$



# Kaon



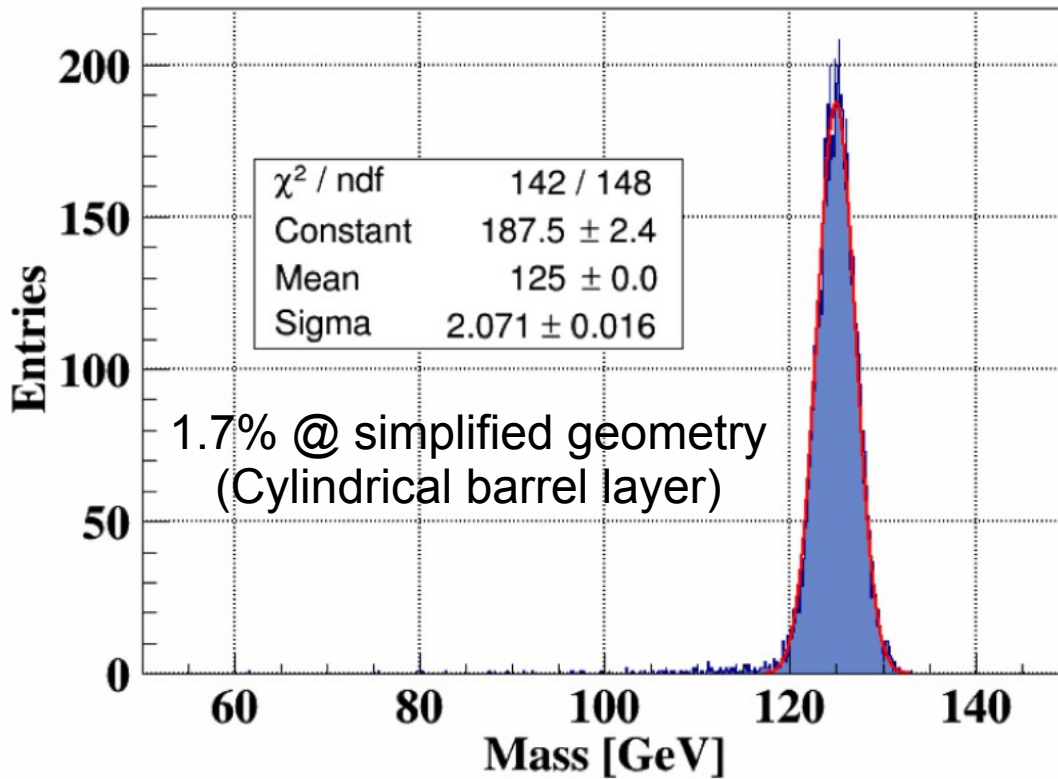
Highly appreciated in flavor physics @ CEPC Z pole  
 TPC dEdx + ToF of 50 ps

At inclusive Z pole sample:

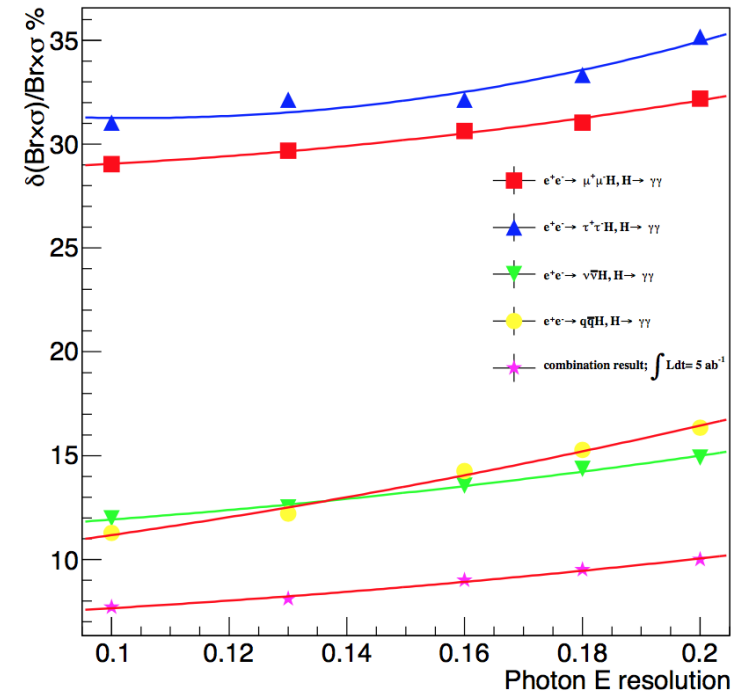
Conservative estimation: efficiency/purity of **91%/94%** (2-20 GeV, 50% degrading +50 ps ToF)

Could be improved to **96%/96%** at 20% degrading + 50 ps ToF

# Photon



$\delta(\text{Br}\chi\sigma)/\text{Br}\chi\sigma$  vs  $\delta E/E$



Relative Accuracy:  $\sim 8.5\%$

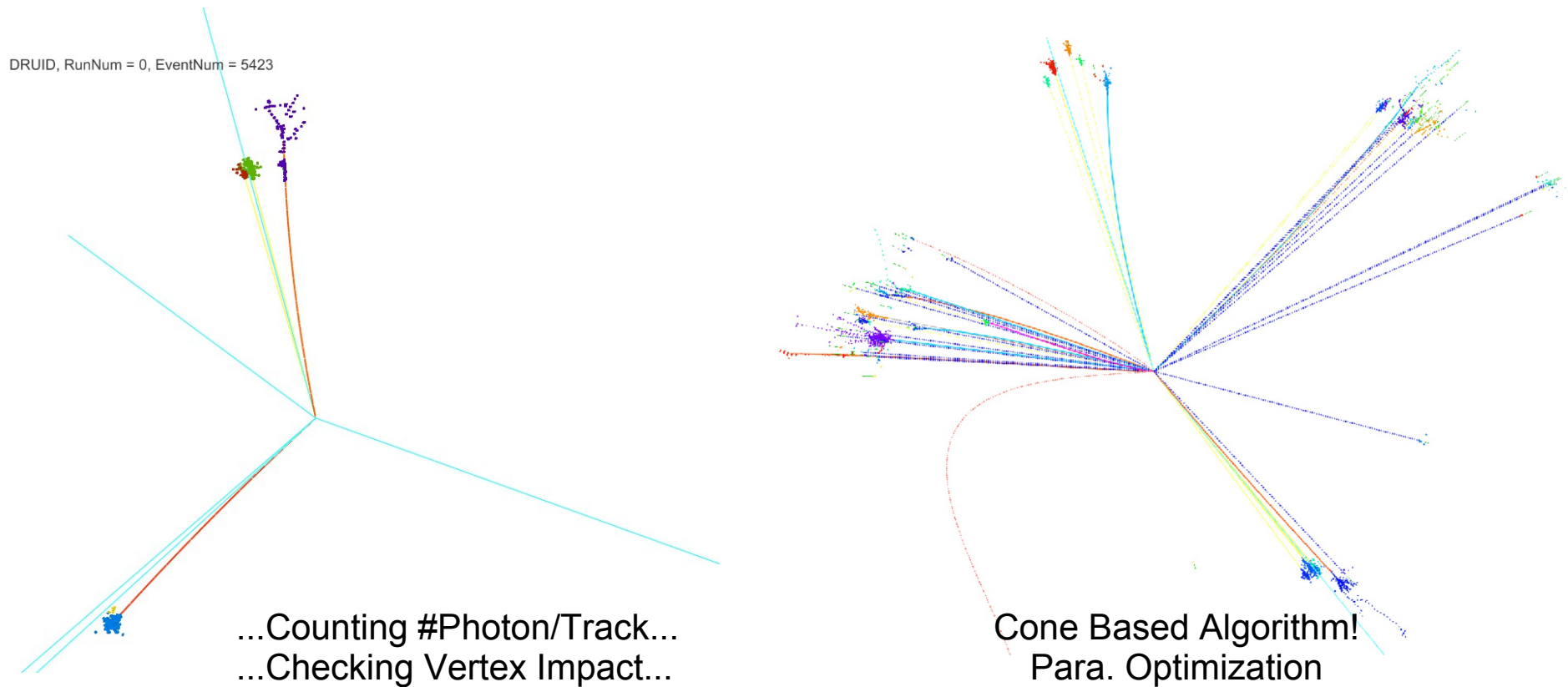
Inhomogeneity degrades the resolution significantly.

Physics requirement: constant term  $< 1\%$

Detector geometry defects degrades the mass resolution to **2.2%** (after correction);

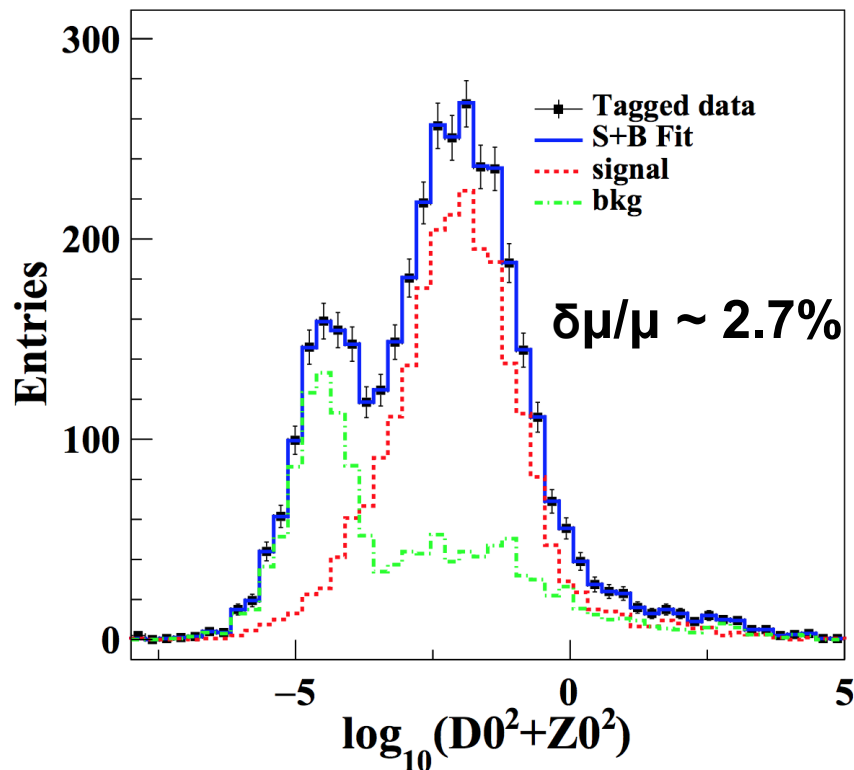


# Tau

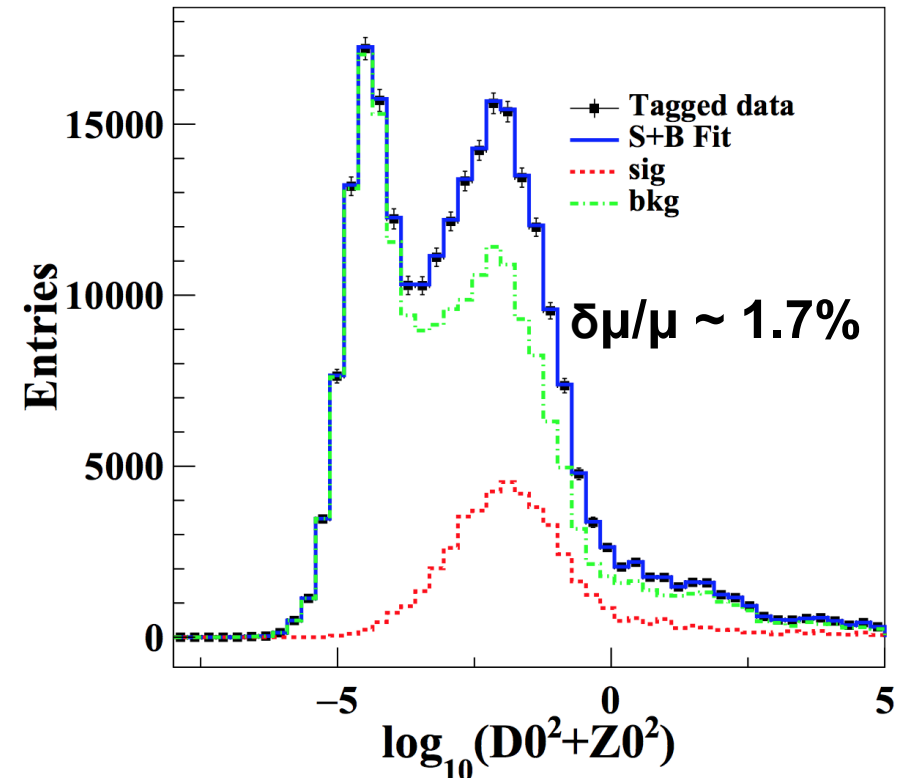


- Two catalogues:
  - Leptonic environments: i.e,  $ll\tau\tau(ZZ/ZH)$ ,  $\nu\nu\tau\tau(ZZ/ZH/WW)$ ,  $Z\rightarrow\tau\tau$ ;
  - Jet environments: i.e,  $ZZ/ZH\rightarrow qq\tau\tau$ ,  $WW\rightarrow qq\nu\tau$ ;

# $g(H\tau\tau)$ measurement: preliminary



- $ZH \rightarrow \mu\mu\tau\tau$
- Extremely Efficient Event Selection
- Signal efficiency of 93% - entire SM background reduced by 5 orders of magnitude

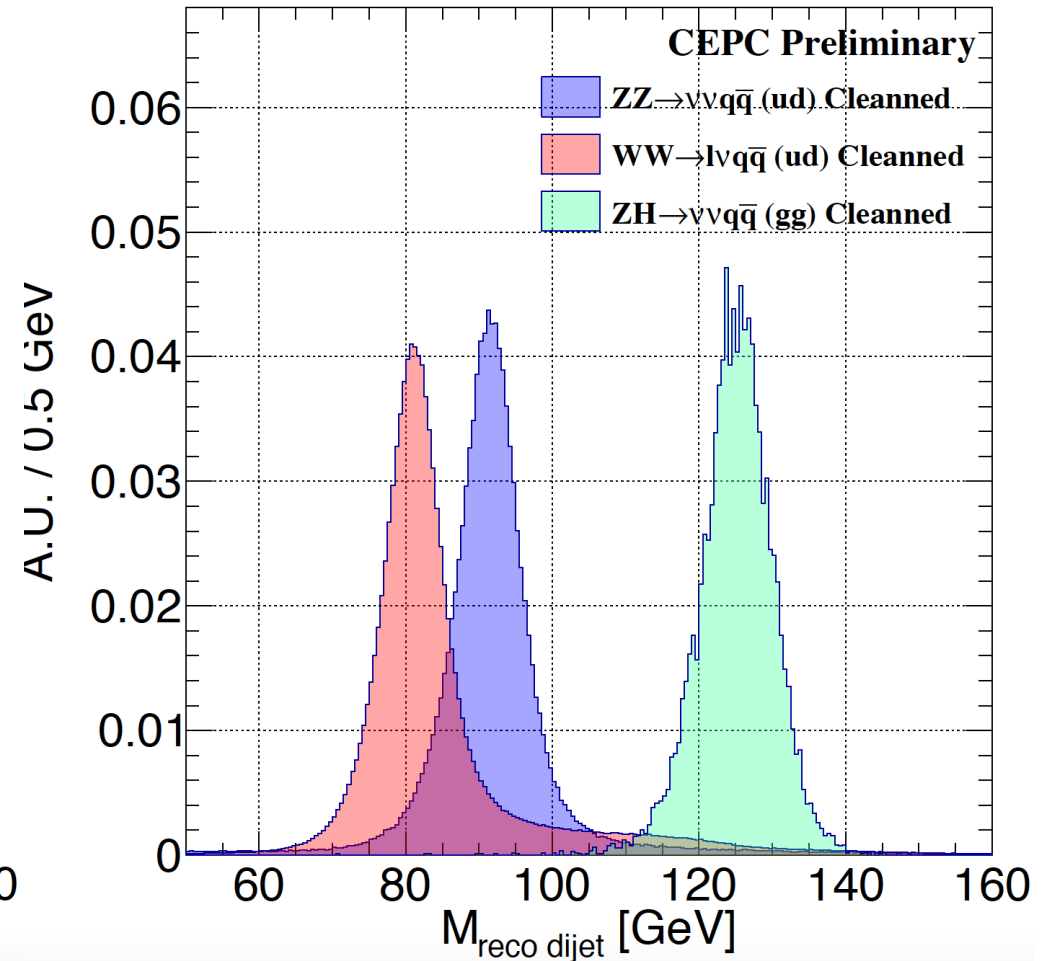
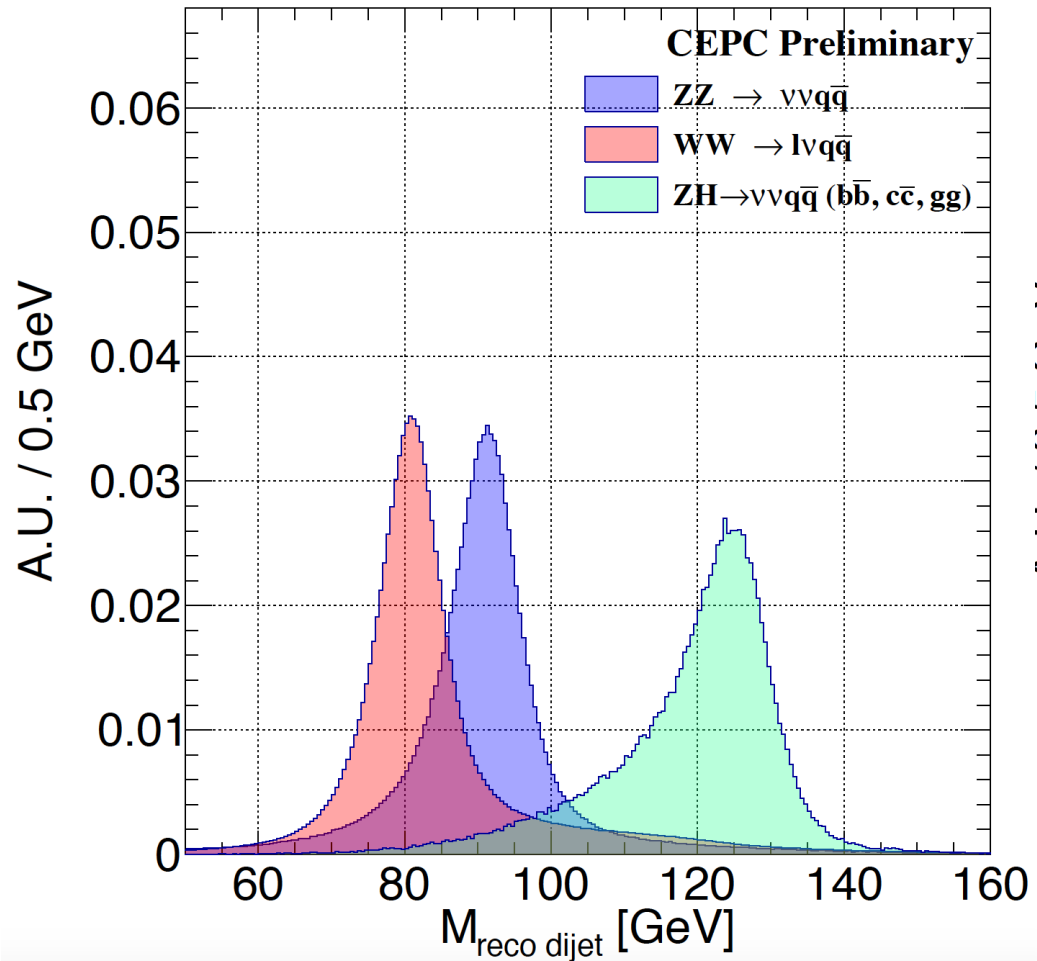


- $ZH \rightarrow qq\tau\tau$
- Cone based tau finding algorithm, Compromise the efficiency & purity
- Signal efficiency of 51%

# Jets

- Boson Mass Resolution (BMR): Separate W, Z and Higgs in hadronic decays
  - Essential for Higgs measurement
    - Separate Higgs from Z/W (relatively easy)
    - Separate  $H \rightarrow ZZ/WW$  events (challenging)
  - Appreciated in Triplet Gauge Boson Coupling measurements
    - Separate WW (Signal) from ZZ, ISR return Z, etc.
  - ...
- Single jet response & Jet Clustering
  - Jet Clustering May dominate the uncertainty in physics analysis, i.e., at Higgs coupling measurement at  $ZH \rightarrow 4$  jets
  - Search for the most suited jet clustering algorithm (Presumably channel dependent) – Understand the Corresponding Systematic
  - ...

# BMR

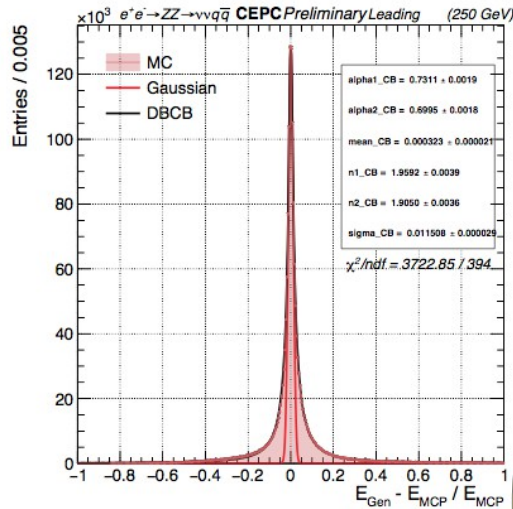


*Cleaned: Veto events with heavy flavor jet, visible ISR photon, significant neutrino  
Component generated in jet cascade & jet direction towards beam pile hole (eff at  $H \rightarrow gg$  ~ 65%)*

# Individual Jet Response & Jet Clustering

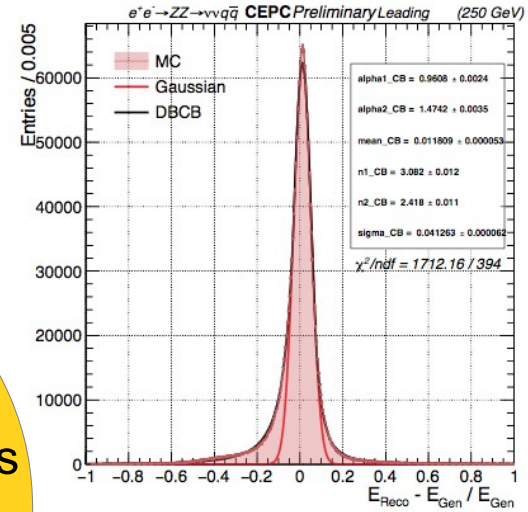
Leading jets

Parton:  
Quark &  
Gluons



(b)

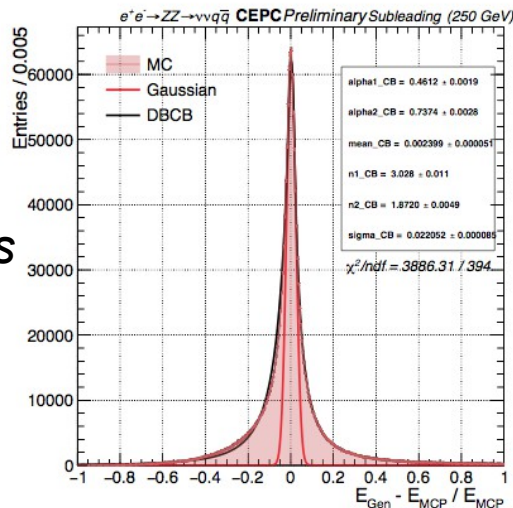
Visible Final  
State Particles  
**Jet  
Clustering**  
GenJet



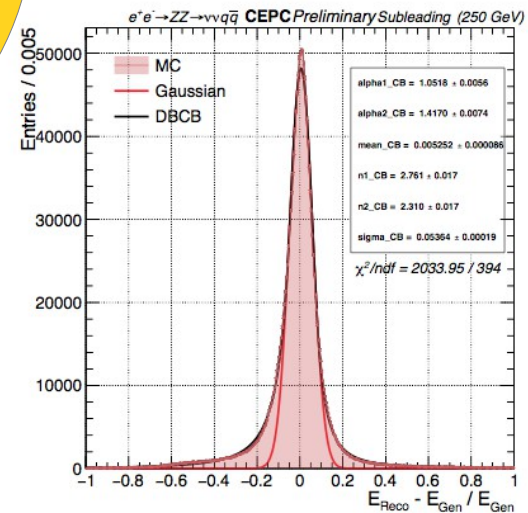
(a)

Recon  
Particles  
**Jet  
Clustering**  
RecoJet

Sub-Leading jets

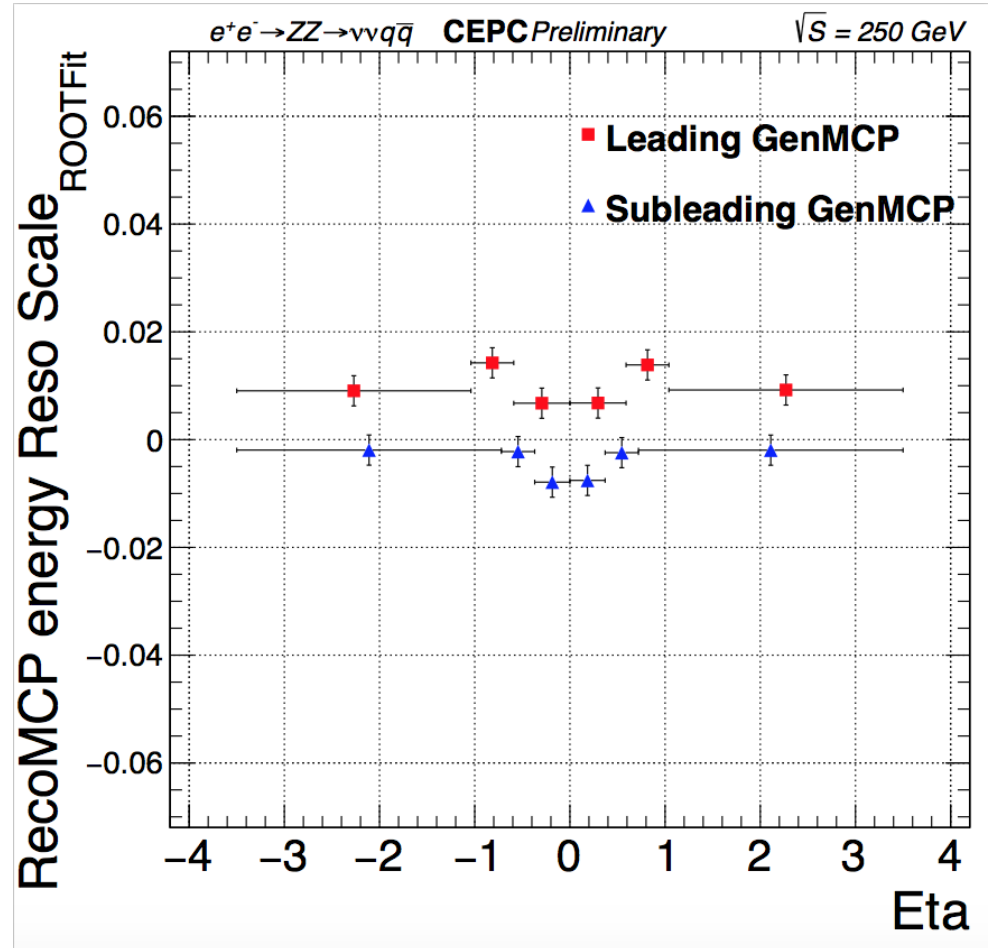
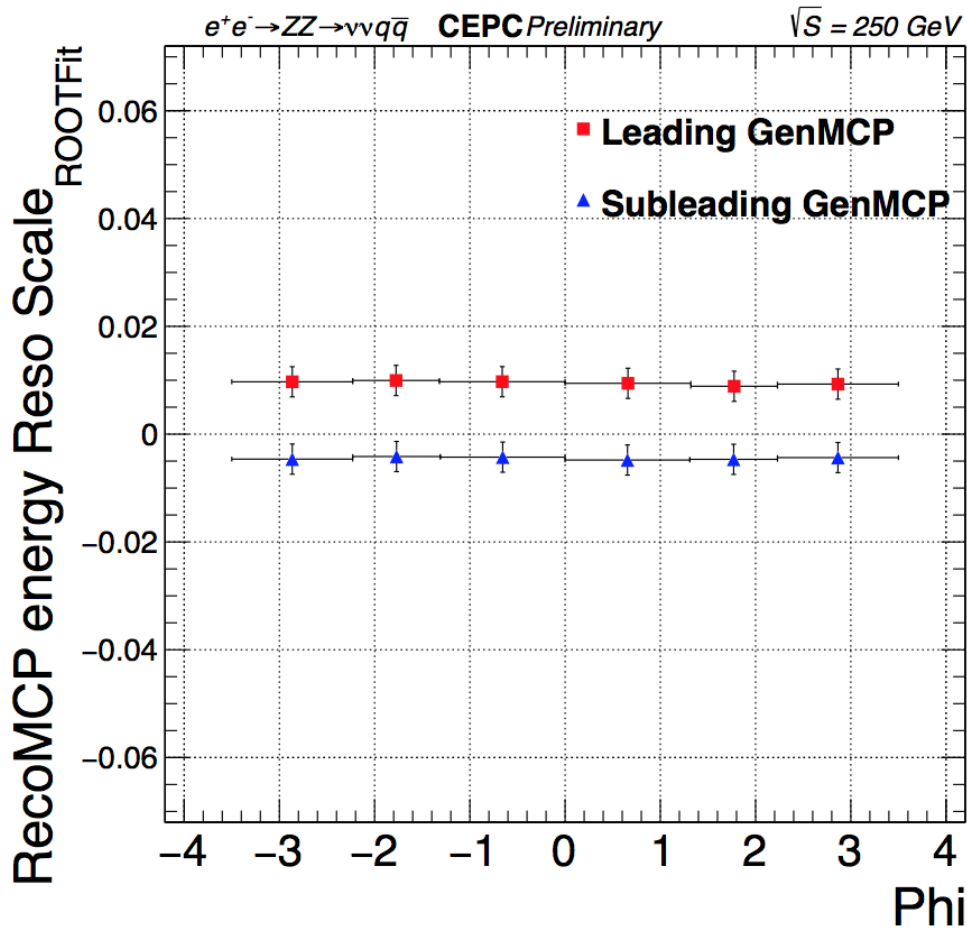


(e)



(d)

# Jet energy Scale

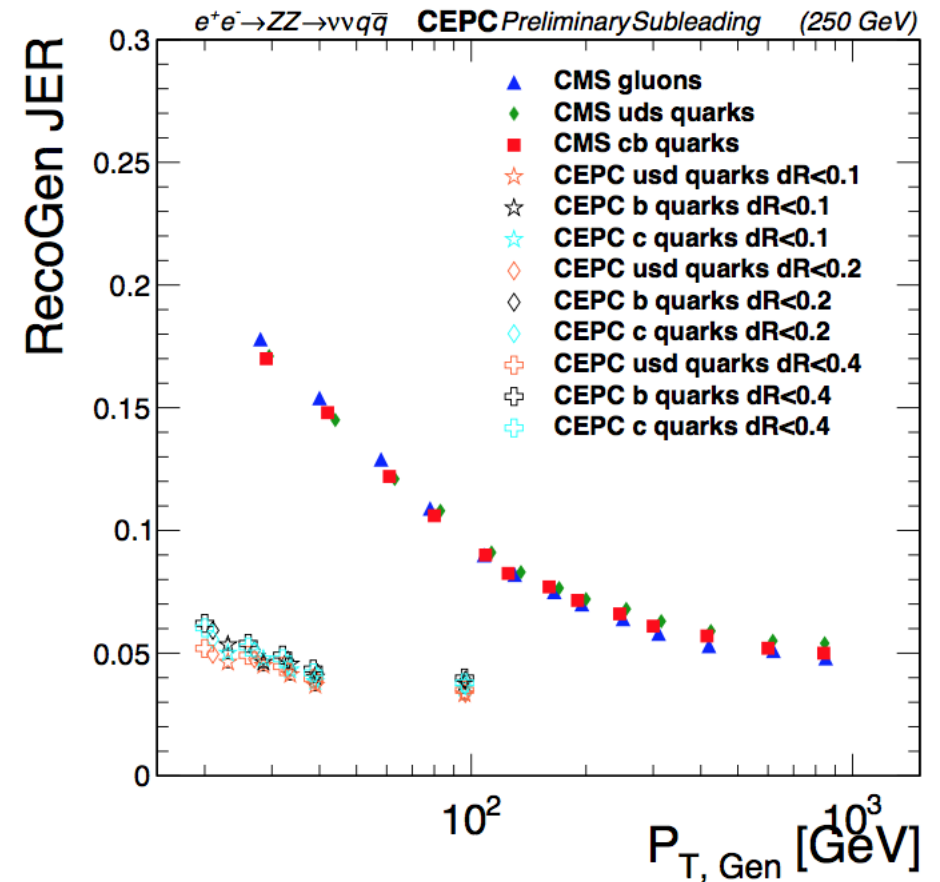
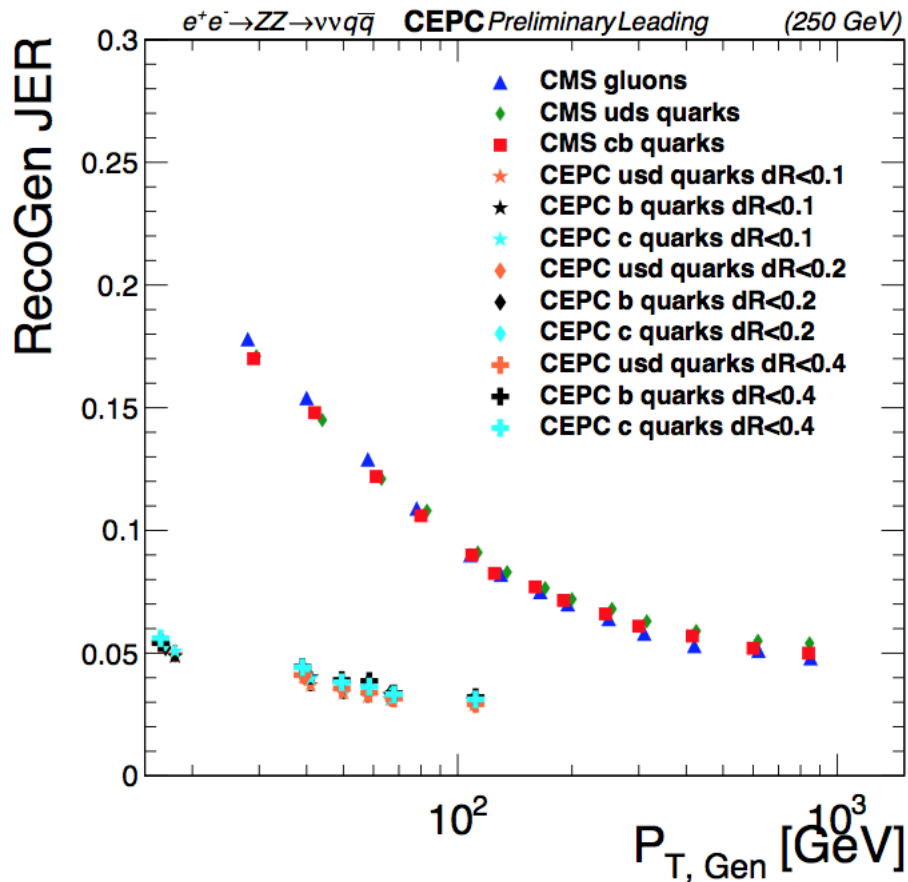


Amplitude  $\sim 1\%$

Large JES observed at Leading Jet (Correlated), and at overlap region (Increasing of Splitting)



# Jet Energy Resolution

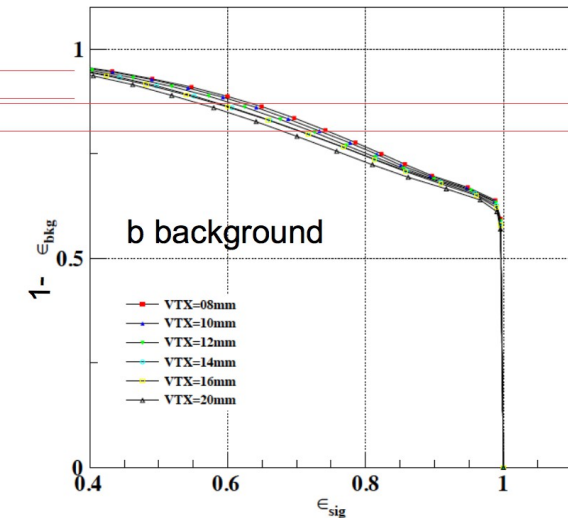
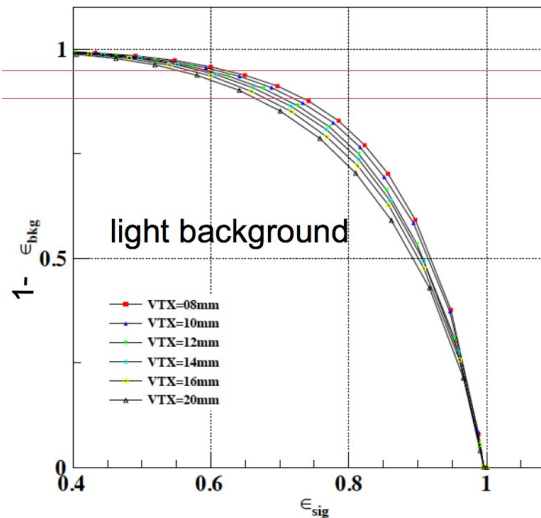
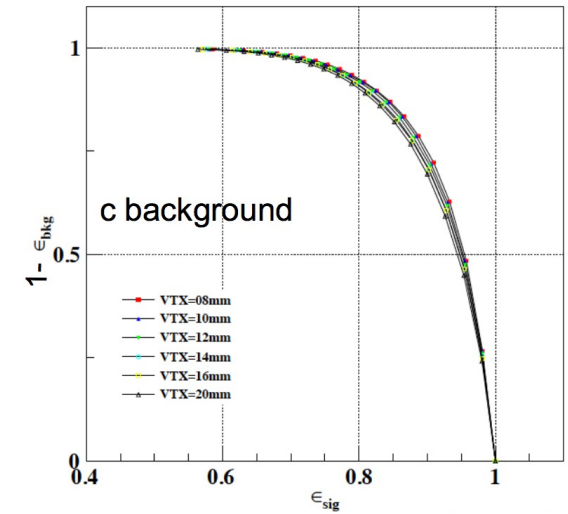
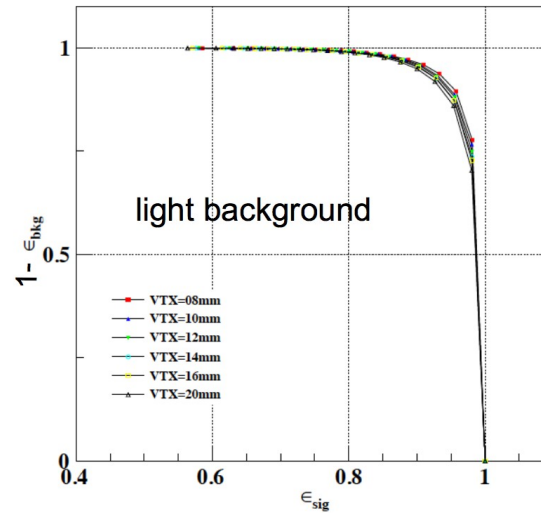


CMS Reference: CMS-JME-13-004,

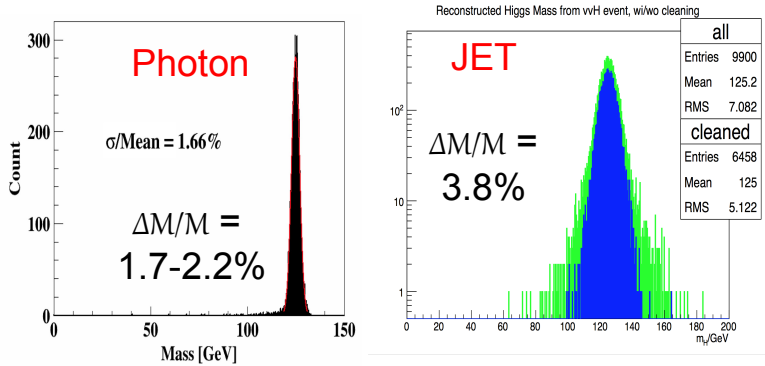
Jet energy scale and resolution in the CMS experiment in pp collisions at 8 TeV

# Flavor Tagging

- LCFIPlus Package
- Typical Performance at Z pole sample:
  - *B*-tagging:  
*eff/purity* = 80%/90%
  - *C*-tagging:  
*eff/purity* = 60%/60%
- Geometry Dependence of the Performance evaluated

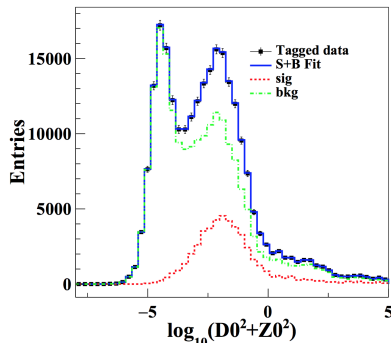
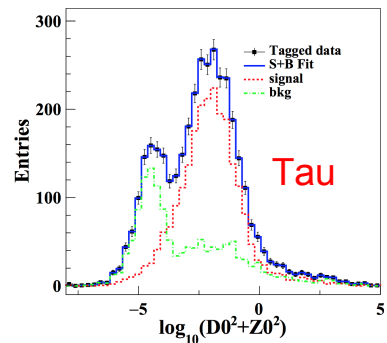
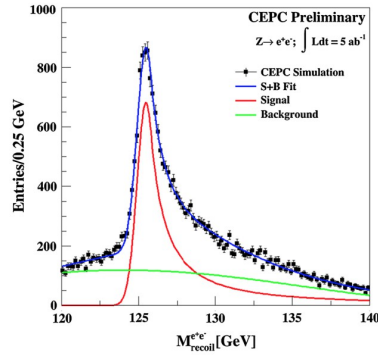
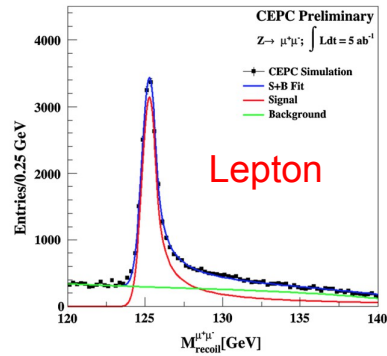


# PFA Oriented Reconstruction



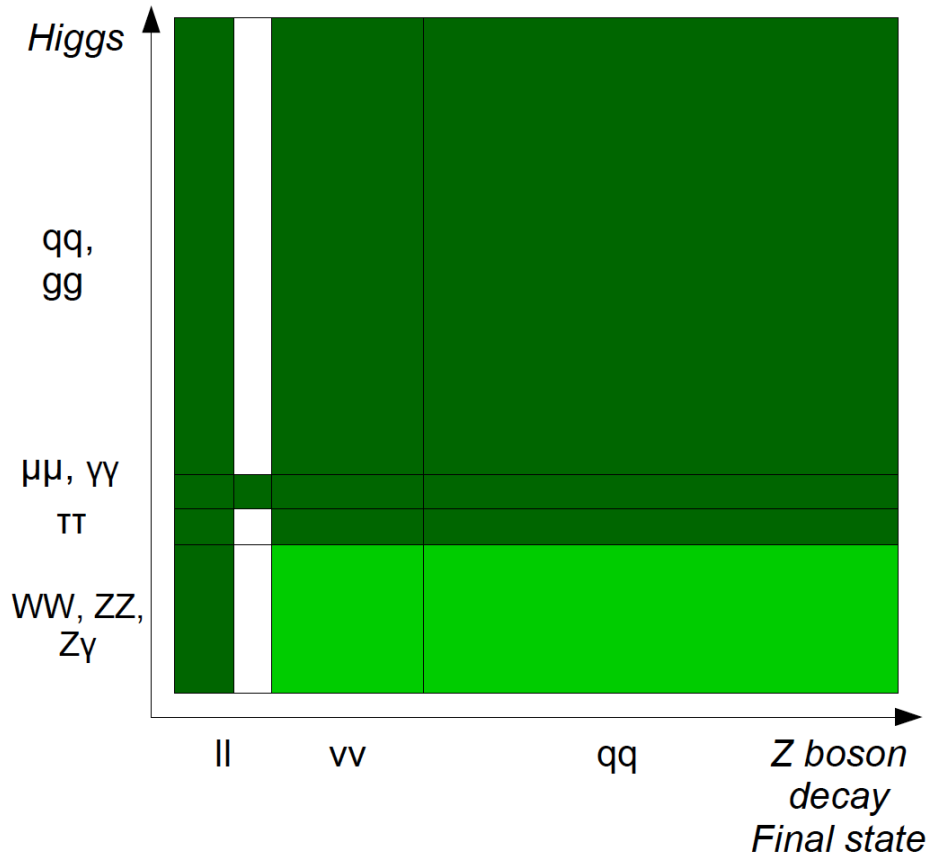
## Example Working Points & Performance for Object identification (Preliminary)

	Efficiency	Purity	Mis-id Probability from Main Background
Leptons	99.5 – 99.9%	99.5 – 99.9% at Higgs Runs (c.m.s = 240 GeV), Energy dependent	$P(\pi^\pm \rightarrow leptons) < 1\%$
Photons*	99.3 – 99.9%	99.5 – 99.9% at Higgs Runs Energy Dependent	$P(\text{Neutron} \rightarrow \gamma) = 1\text{--}5\%$
Charged Kaons**	86 – 99%	90 – 99% at Z pole Runs (c.m.s = 91.2 GeV, Track Momentum 2- 20 GeV)	$P(\pi^\pm \rightarrow K^\pm) = 0.3\text{--}1.1\%$
b-jets	80%	90% at Z pole runs ( $Z \rightarrow qq$ )	$P(uds \rightarrow b) = 1\%$
c-jets	60%	60% at Z pole runs	$P(c \rightarrow b) = 10\%$ $P(uds \rightarrow c) = 5\%$ $P(b \rightarrow c) = 15\%$



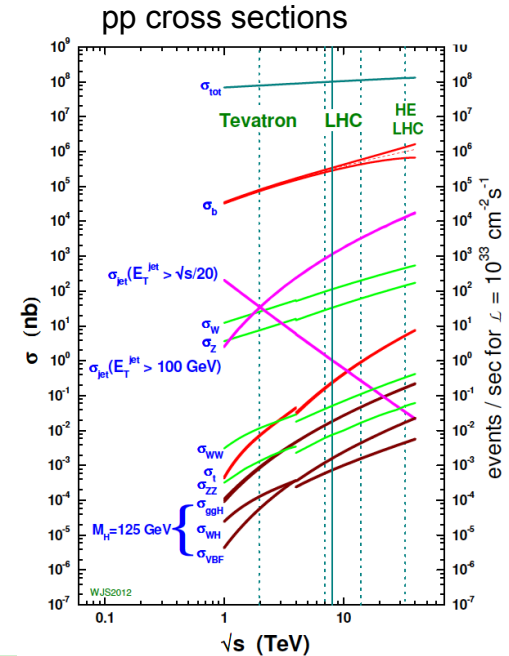
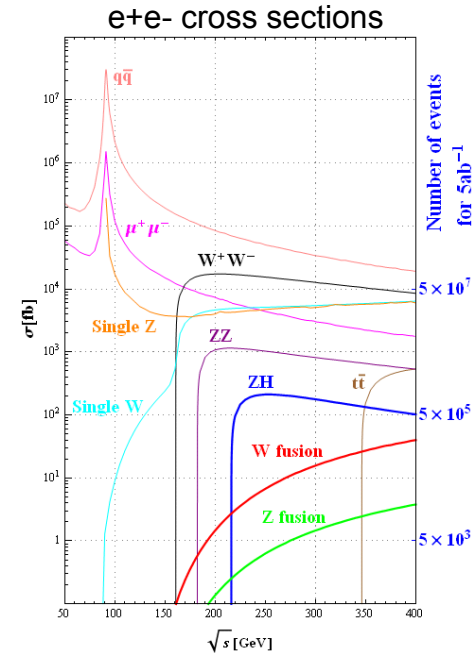
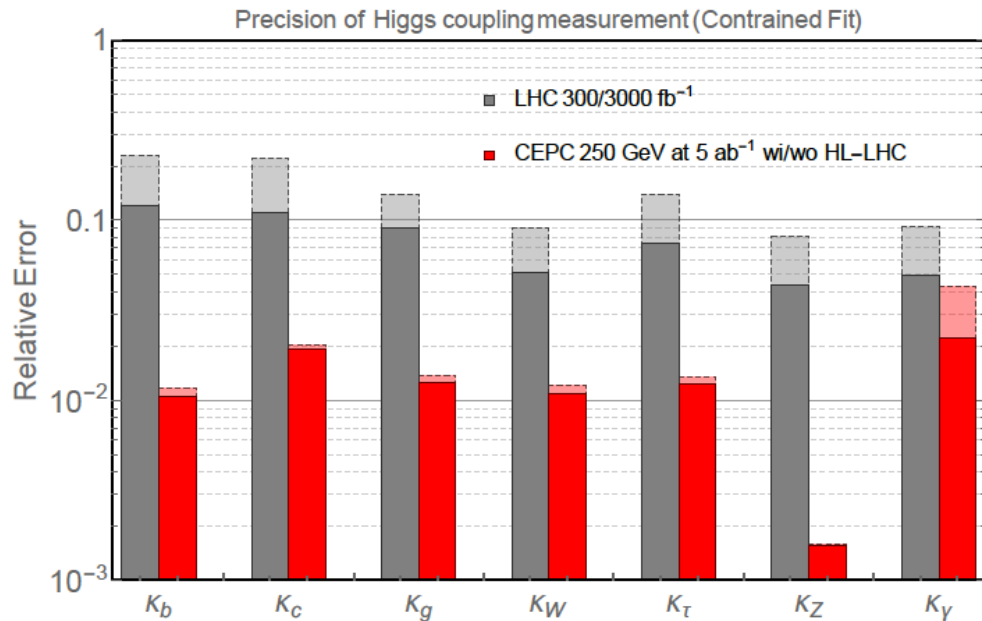
Photon\*: only considering neutron background and using ToF information  
Kaon\*\*: Performance Highly depend on DAQ & Geometry

# CEPC Higgs Analyses



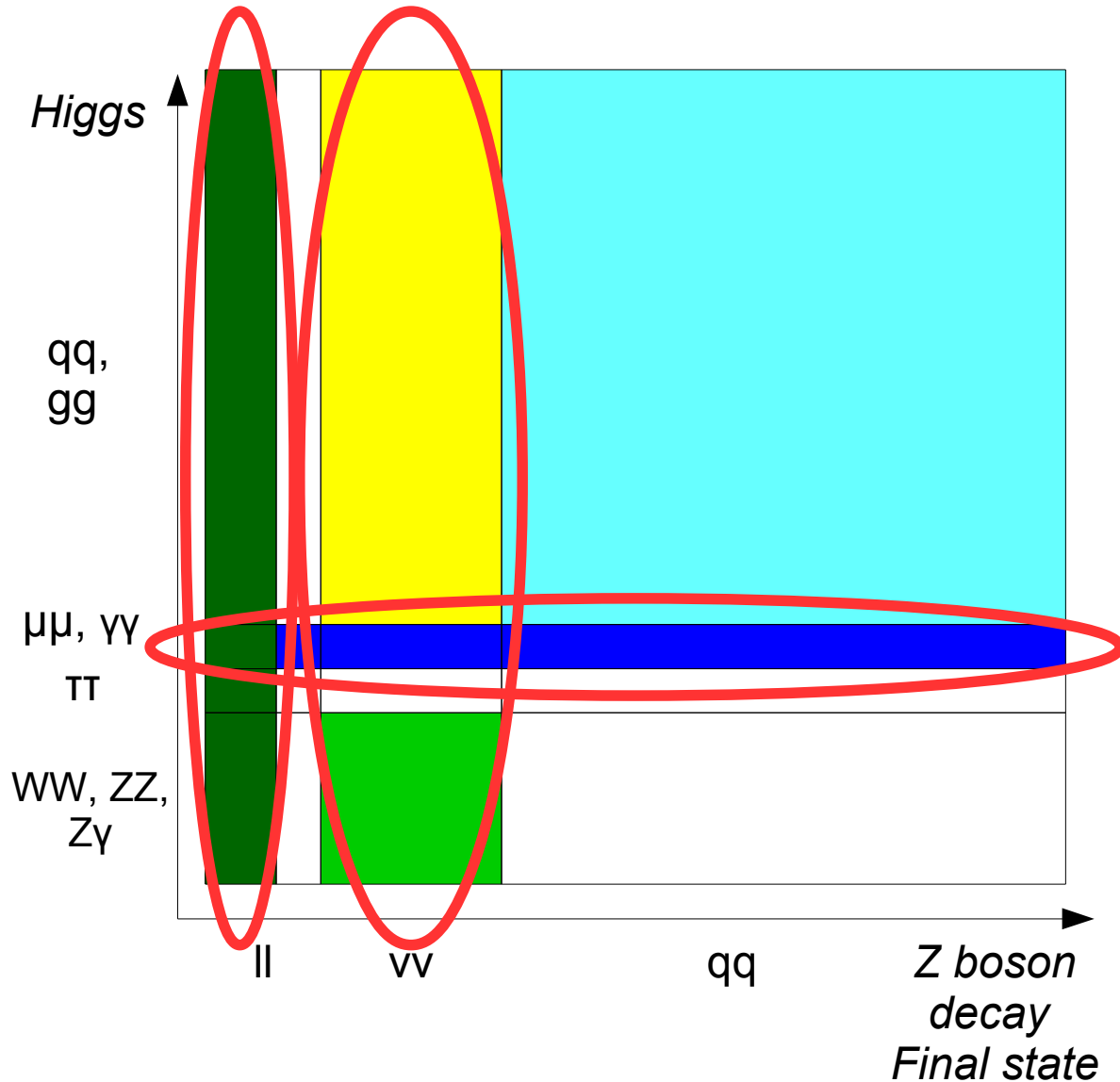
	PreCDR (Jan 2015)	Now (Aug 2016)
$\sigma(\text{ZH})$	0.51%	0.50%
$\sigma(\text{ZH}) \cdot \text{Br}(\text{H} \rightarrow \text{bb})$	0.28%	0.21%
$\sigma(\text{ZH}) \cdot \text{Br}(\text{H} \rightarrow \text{cc})$	2.1%	2.5%
$\sigma(\text{ZH}) \cdot \text{Br}(\text{H} \rightarrow \text{gg})$	1.6%	1.3%
$\sigma(\text{ZH}) \cdot \text{Br}(\text{H} \rightarrow \text{WW})$	1.5%	1.0%
$\sigma(\text{ZH}) \cdot \text{Br}(\text{H} \rightarrow \text{ZZ})$	4.3%	4.3%
$\sigma(\text{ZH}) \cdot \text{Br}(\text{H} \rightarrow \tau\tau)$	1.2%	1.0%
$\sigma(\text{ZH}) \cdot \text{Br}(\text{H} \rightarrow \gamma\gamma)$	9.0%	9.0%
$\sigma(\text{ZH}) \cdot \text{Br}(\text{H} \rightarrow \text{Z}\gamma)$	-	$\sim 4 \sigma$
$\sigma(\text{ZH}) \cdot \text{Br}(\text{H} \rightarrow \mu\mu)$	17%	17%
$\sigma(\text{v}\nu\text{H}) \cdot \text{Br}(\text{H} \rightarrow \text{bb})$	2.8%	2.8%
Higgs Mass/MeV	5.9	5.0
$\sigma(\text{ZH}) \cdot \text{Br}(\text{H} \rightarrow \text{inv})$	95% CL = $1.4 \times 10^{-3}$	$1.4 \times 10^{-3}$
$\text{Br}(\text{H} \rightarrow \text{ee}/\text{emu})$	-	$1.7 \times 10^{-4}/1.2 \times 10^{-4}$
$\text{Br}(\text{H} \rightarrow \text{bb}\chi\chi)$	$< 10^{-3}$	$3.0 \times 10^{-4}$

# Higgs measurement at e+e- & pp



	Yield	efficiency	Comments
LHC	Run 1: $10^6$ Run 2/HL: $10^{7-8}$	$\sim 0(10^{-3})$	High Productivity & High background, Relative Measurements, Limited access to width, exotic ratio, etc, Direct access to $g(ttH)$ , and even $g(HHH)$
CEPC	$10^6$	$\sim 0(1)$	Clean environment & Absolute measurement, Percentage level accuracy of Higgs width & Couplings

# Benchmark measurements



Lepton & Momentum  
resolution: Br = 6.7%

Flavor Tagging & JER:  
Br = 14%

Composition of  
Jet/MET, lepton: Br = 4%

Jet Clustering: Br = 50%

Photon/ECAL: Br = 0.2%

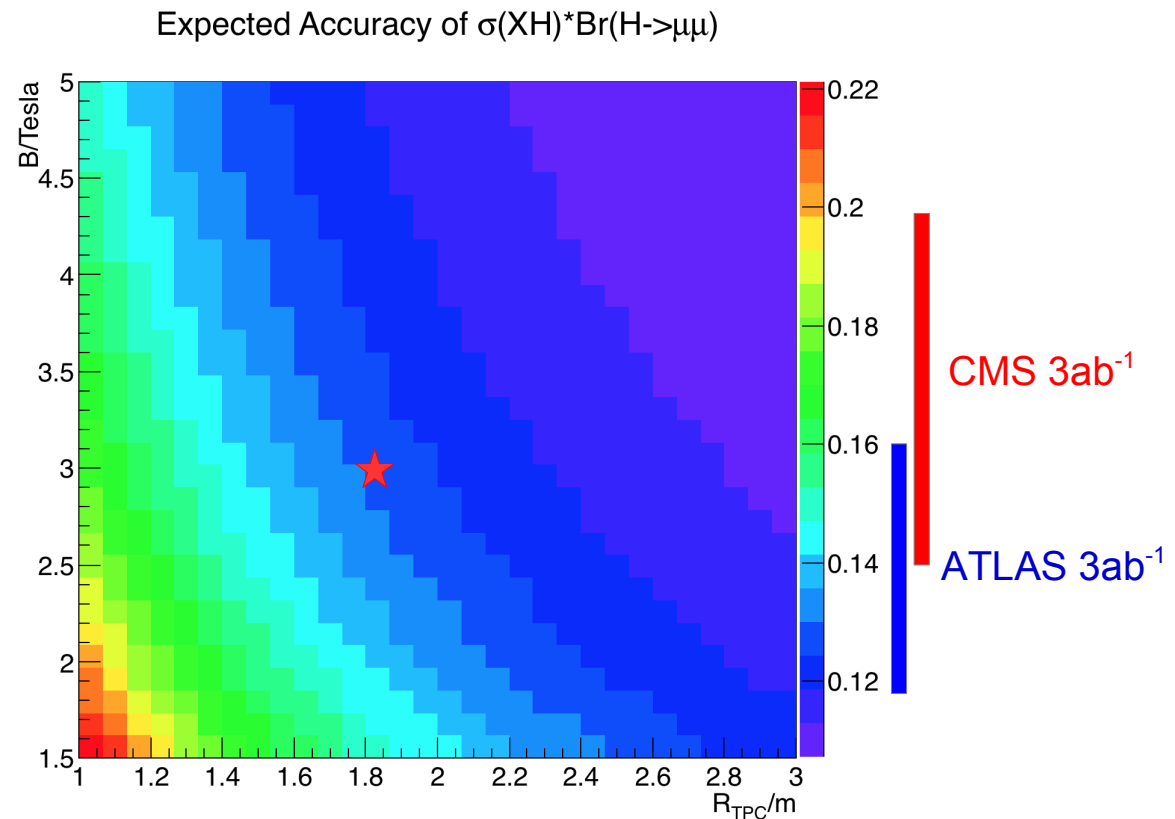
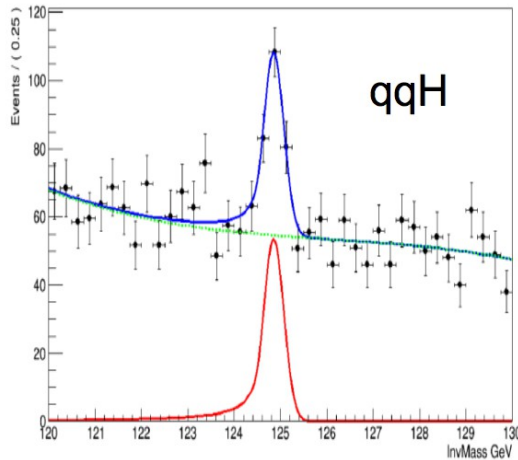
qqH, H→inv. MET & NP:  
SM Br = 0.1%

EW, Br( $\tau \rightarrow X$ ) @ Z pole:  
Separation



# TPC Radius & B-Field

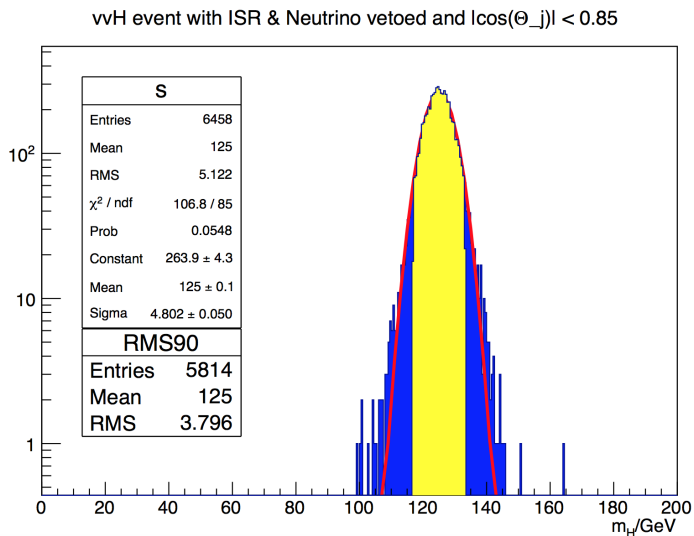
- TPC is Feasible at CEPC Z pole operation (2017 JINST 12 P07005)
- Detector cost is sensitive to tracker radius, recommended Value  $\geq 1.8\text{m}$ :
  - Better separation & JER
  - **Better (H->di muon) measurement**



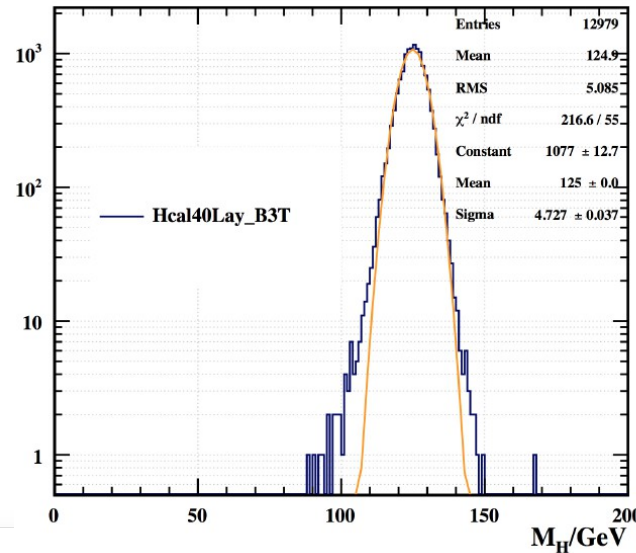
★ **Default TPC Setting:  $B = 3\text{ T}$  &  $R_{\text{out}} = 1.8$**   
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# JER VS B-Field & NLayer

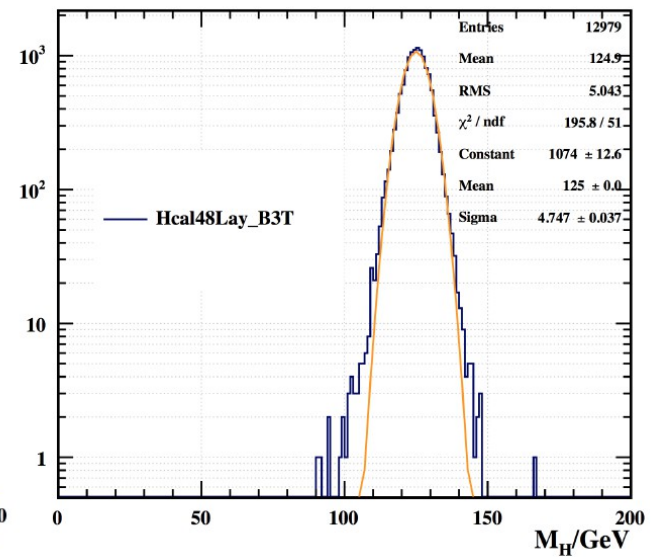
3.5T & 48 Layers



3T & 40 Layers



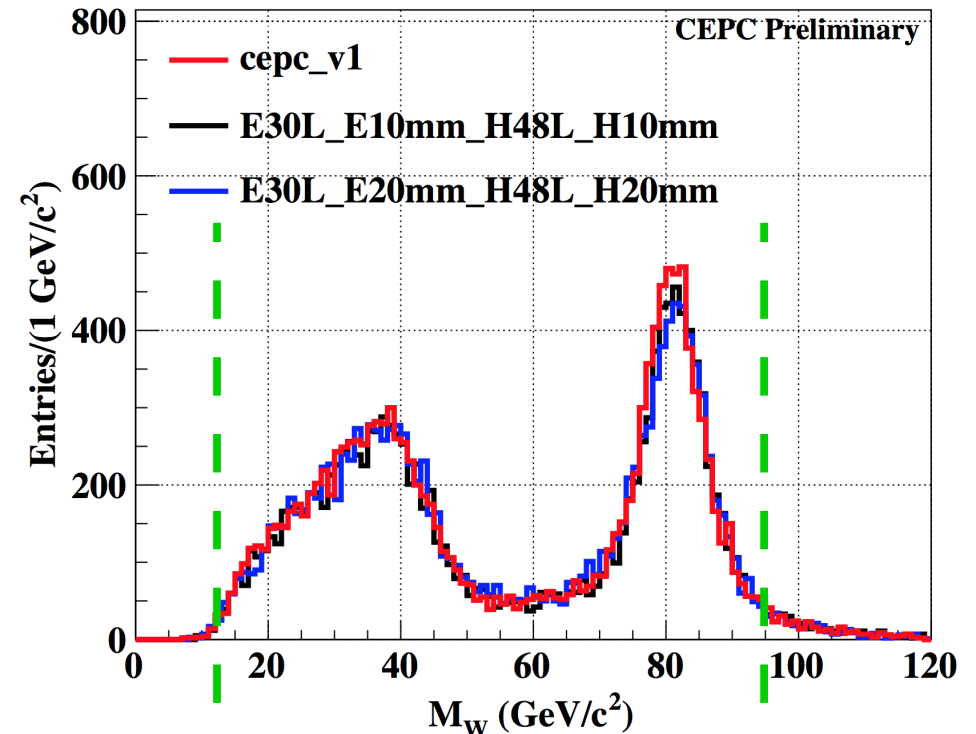
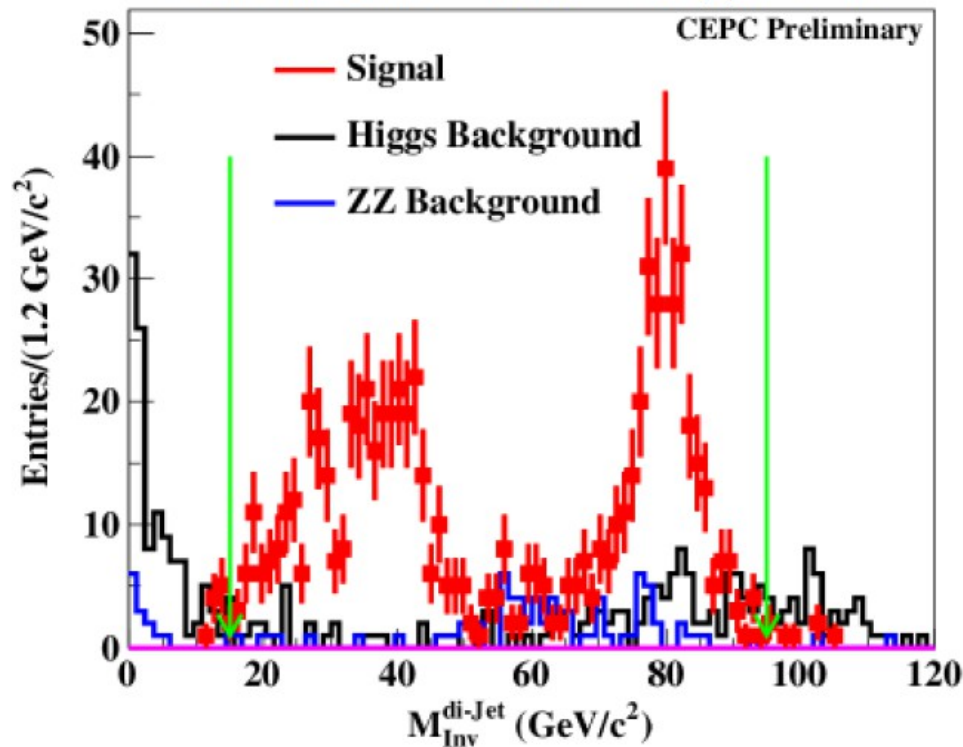
3T & 48 Layers



- Marginal Impact on JER (from 3.5T -> 3T)
- 3 Tesla even turns out to be better than 3.5 Tesla (~only 1 sigma)

# Br(H→WW) Vs Cell size

Liao libo, H→WW\*→lvqq, Z→ll

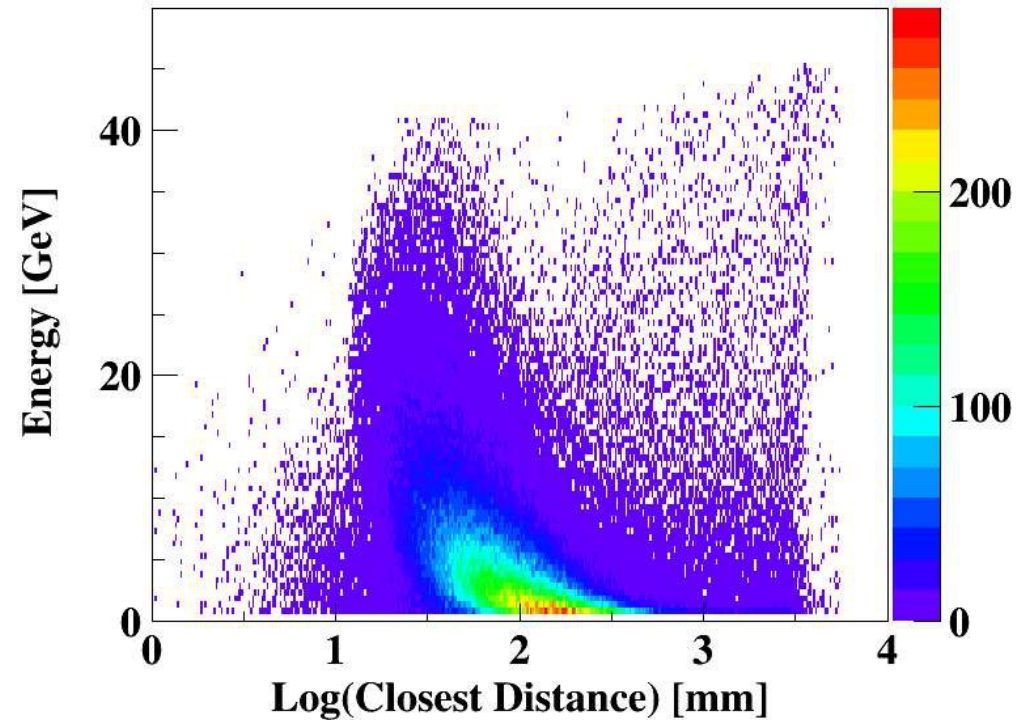
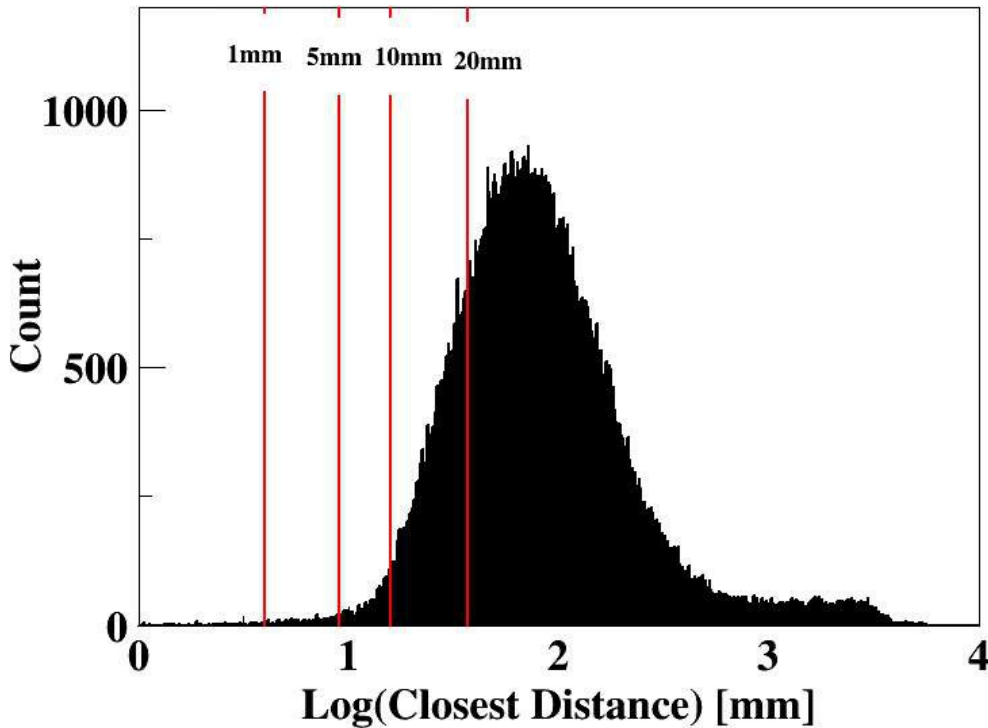


Br(H→WW) via  $\nu\nu H$ , H→WW\*→lvqq

No lose in the object level efficiency: JER degraded, ~ 5/10% at 10/20 mm

Over all: event reco. efficiency varies ~1%

# Z- $\rightarrow$ tau tau @ Z pole VS Cell Size



Cell Size/mm	1	5	10	20
Crucial Dis/mm	4	9	16	37
Percentage of potentially overlap photon	0.07%	0.4%	1.7%	18.6%

5  $\rightarrow$  20 mm: May severe degrade Tau physics performance  
 $\rightarrow$  to be investigated

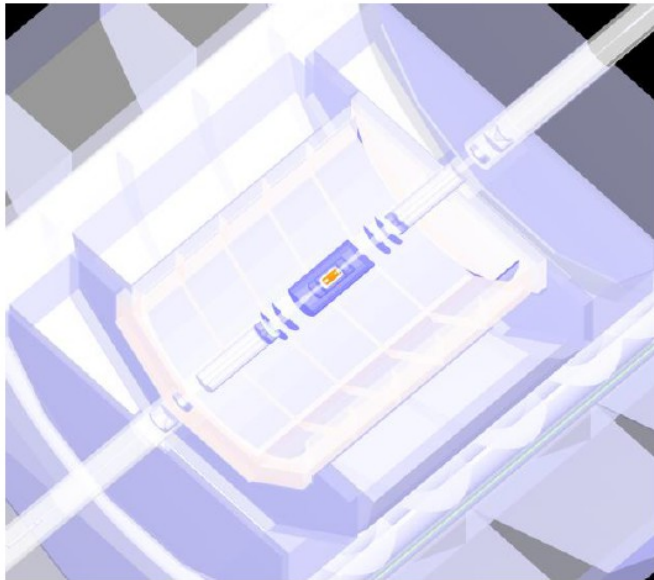
# Optimized Parameters

	CEPC_v1 (~ ILD)	Optimized (Preliminary)	Comments
Track Radius	1.8 m	$\geq 1.8$ m	Requested by Br(H $\rightarrow$ di muon) measurement
<b>B Field</b>	<b>3.5 T</b>	<b>3 T</b>	<b>Requested by MDI</b>
<b>ToF</b>	-	<b>50 ps</b>	<b>Requested by pi-Kaon separation at Z pole</b>
ECAL Thickness	84 mm	84 mm	84 mm is optimized on Br(H $\rightarrow$ di photon) at 250 GeV; 90mm for bhabha event at 350 GeV
ECAL Cell Size	5 mm	10 (5) mm	Passive cooling request $\sim 20$ mm. <b>&lt; 10 mm is appreciated for EW measurements</b> – need further evaluation
ECAL NLayer	30	30 (20)	Depends on the Silicon Sensor thickness
<b>HCAL Thickness</b>	<b>1.3 m</b>	<b>1 m</b>	-
<b>HCAL NLayer</b>	<b>48</b>	<b>40</b>	Optimized on Higgs event at 250 GeV; <b>Margin might be reserved for 350 GeV.</b>

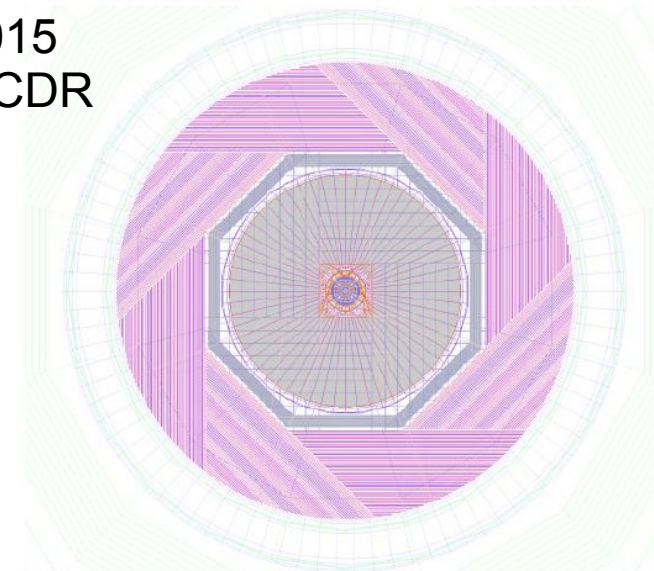


# Benchmark detector for CDR: **APODIS**

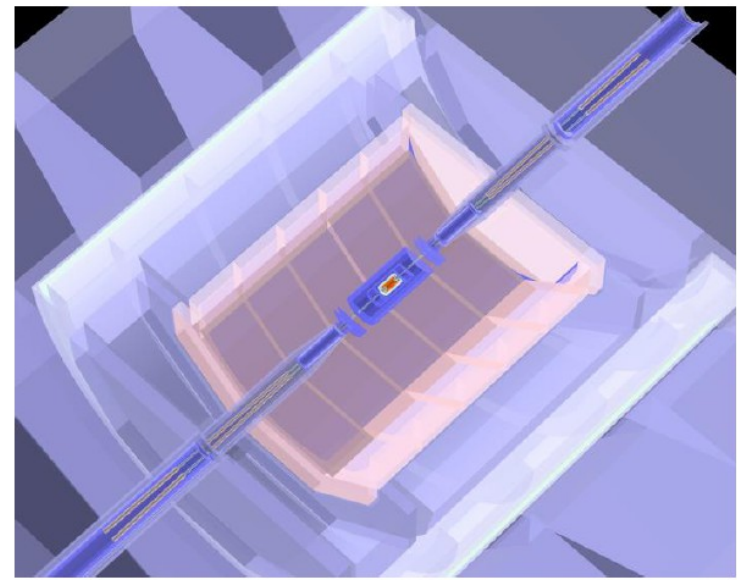
(**A** **P**F**A** **O**riented **D**etector for **H**igg**S** factory. a.k.a CEPC\_v4)



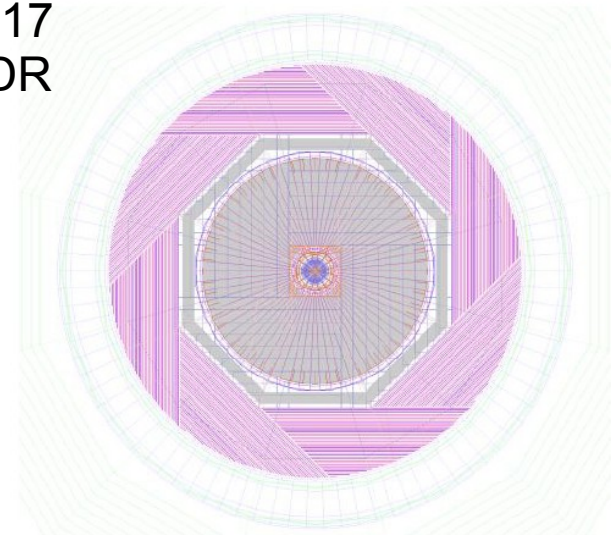
2015  
PreCDR



01/12



2017  
CDR



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# Todo: from conceptual to engineering

- **Software Team: Common Sample & Software Services**
- **Benchmark analyses at APODIS**
- Novel Reco. & Pattern Recognition Algorithms
- Systematic control study
  - Sub-detector digitizers, test beam - validation
  - Requirement analyses
  - In-situ Monitoring
  - Data driven methods
- DAQ design & further optimization
- Global Integration

cepcsoft.ihep.ac.cn/docs/

CEPC Software Documents Releases Packages Github

Introduction  
CEPC Software  
Simulation  
Tracking  
PFA  
CEPC Package  
Development Guide  
About Web  
SandBox

**CEPC Software Documentation**

Welcome to the CEPC software documentation.

**Documentation Contents**

- Introduction
  - Quick Start
  - Installation
  - Usage
- CEPC Software
  - Software Architecture
  - Software Framework
  - Event Display
- Simulation
  - Simulation
  - demo1
  - demo2
- Tracking

*Just initialized...*

# Publications, Notes & International cooperations @ 2017

- Documentation
  - 3 Papers (JINST, EPJC, JPhysG)
  - $\sim 10$  CEPC Notes
- Talks at International Conference
  - 4 Talks at CHEF (Calorimeter for High Energy Frontier), Lyon, France
  - 4 Talks at LCWS (Linear Collider Workshop), Strasburg, France
  - 1 Invited talk at FCAL Workshop, Vinca, Serbia
  - 1 Talk at DPF, Fermi Lab, US
  - 1 Talk at CALICE Workshop
  - $\sim 10$  talks at CEPC Workshop
- Visiting & Cooperation with KEK, Vinca, In2p3, Iowa, Michigan, etc

# 困难 & 解决方案

- 人力和计算资源方面的巨大缺口
- 人力
  - 课题的深度和难度大为增加
  - 已有学生、博士后较为稚嫩，难以独立承担科研课题。能够独立完成科研任务的博士后，**Staff** 级别人力急缺
  - 以学生为主的，在 **PreCDR** 阶段训练成熟的分析队伍人员流失严重
- 计算资源 ~ 400 CPU
  - **Massive Production** 的产额仅仅达到最低要求（单探测器、单重建版本下的一份 **SM** 样本）的 **1/10**。已成为制约我们国际合作的瓶颈
  - 需支持探测器整体优化、重建算法优化调试、子探测器模拟和 **Digitization** 开发、物理分析等繁多工作
- 加大投入
- 人力
  - 寻找优秀博士、博士后
  - 招募百人 / 青千级的 **Staff**
  - 加强合作
    - 整理清晰我们的软件、样本服务，减低合作者合作的技术难度
    - 制定明晰的合作课题和合作计划
  - 鼓励高能所员工参加
    - 给予足够的 **Credit**
- 计算资源
  - 强烈建议建立一个 **1k CPU** 量级的、**CEPC** 计算资源池

# Conclusion

- PFA oriented detector + Arbor: **Decent performance fulfills the requirements**
  - High efficiency & purity for Lepton, Kaon, Photon reconstruction
  - The Jet energy resolution
    - BMR: efficiently separate W, Z & Higgs
    - Jet level:  $|JES| < 1\%$ , JER  $\sim 3-6\%$  (Jet Clustering has significant impact)
  - Well established Higgs Signal in Physics benchmarks
- **APODIS: Benchmark Geometry for CDR**
  - Similar Higgs performance w.r.t CEPC\_v1 (degrading  $< 1\%$  anticipated)
  - Adequate to CEPC Collision environment
  - ECAL #Channel reduced to 1/4 (1/6); HCAL Size/#Channel reduced to 80%
- Many interesting/important topics to be explored

# Backup

# Systematics requirements

- Luminosity
  - $10^{-3}$  for Higgs Run
  - $10^{-4}$  for Z pole operation
- Beam energy measurement
  - $\mathcal{O}(1-10)$  MeV for Higgs
  - $\mathcal{O}(0.1 - 1)$  MeV for Z
- Detector Requirements
  - Alignments
    - $\sim\mathcal{O}(\text{micrometer})$  accuracy for LumiCal
  - Homogeneity
    - $\sim\mathcal{O}(10\%)$  for Scintillator Calorimeter
  - Stability



# Common topics

- Beam energy monitoring
  - 1 MeV accuracy is conditionally accessible (Very demanding) – Needs more careful study
- Deep learning in Flavor Tagging
  - Significant Impact observed
    - At Z pole: B-tagging purity improved from 89%→93% (eff = 80%)
    - At Higgs Sample: C-tagging purity improved by ~50% (eff = 60%)
  - Much to be explore
- Computing:
  - Appreciation to Dirac, IHEPCC, BES, QMUL, IPAS...
  - Short in Computing Power: Only covers 1/10 of SM Background in 1 geometry set
- DAQ
  - By giving some of the present examples, I am trying to give a hint on how the future DAQ system would move, but it is hard to predict new technology more than 10 year ahead
  - We need our own thoughts like xTCA
  - We need development from Industry
    - New FPGA, high IO
    - Powerful CPUs,PPUs
  - We should not wait, but keep working/improving

8/11/2017

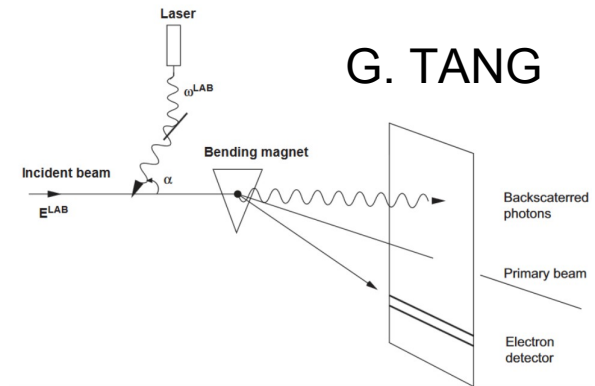
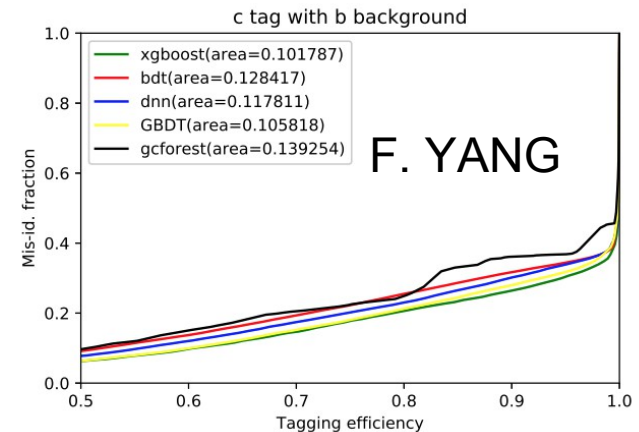


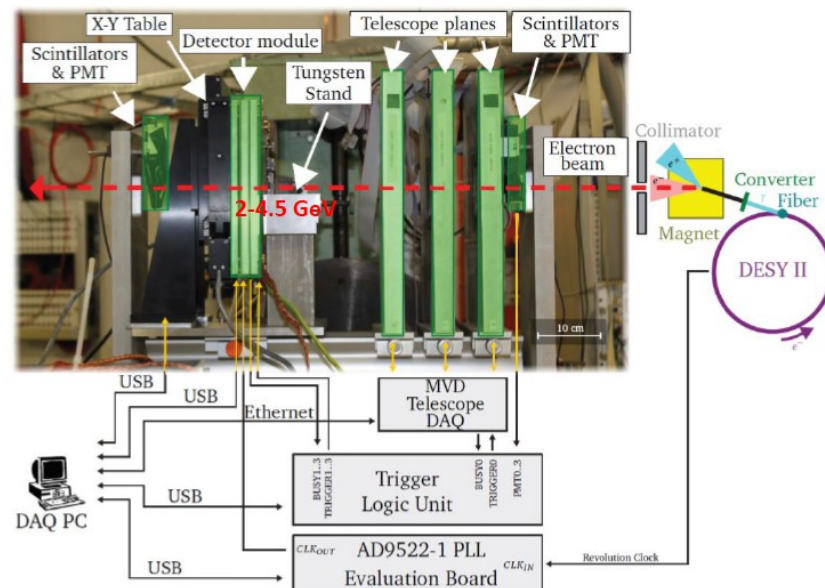
Fig. 8. Scheme of the proposed energy spectrometer based on Compton backscattering.



- 14:40 **Beam Energy monitoring via Compton Scattering 20'**  
Speaker: Mr. Guangyi Tang (高能所)  
Material: [Slides](#)
- 15:00 **Computing at CEPC 20'**  
Speaker: Mr. Xianghu ZHAO (IHEP)  
Material: [Slides](#)
- 15:20 **Machine Learning at CEPC 20'**  
Speaker: Prof. Fan Yang (XMU)  
Material: [Slides](#)
- 18:10 **Advanced DAQ systems for current and future colliders 20'**  
Speaker: Prof. Zhen An LIU (IHEP)  
Material: [Slides](#)

# To Machine

- 16:30 - 18:15 MDI (joint detector and accelerator) I: Parallel Session V  
 Conveners: Dr. Suen Hou (SINICA), Prof. Michael Sullivan (SLAC National Accelerator)  
 Location: C305
- 16:30 **Introduction (interaction region, magnets, etc.) 25'**  
 Speaker: Mr. Chenghui Yu (Institute of High Energy Physics)  
 Material: [Slides](#)
- 16:55 **Radiation backgrounds at CEPC 20'**  
 Speaker: Dr. Hongbo ZHU (IHEP)  
 Material: [Slides](#)
- 17:15 **MDI at SuperKEKB 20'**  
 Speaker: Mr. Peter Lewis (Hawaii U.)  
 Material: [Slides](#)
- 17:35 **Overview of LC FCAL 20'**  
 Speaker: Prof. Ivanka Bozovic (VINCA)  
 Material: [Slides](#)
- 17:55 **LumiCal at CEPC 20'**  
 Speaker: Dr. Suen Hou (SINICA)  
 Material: [Slides](#)



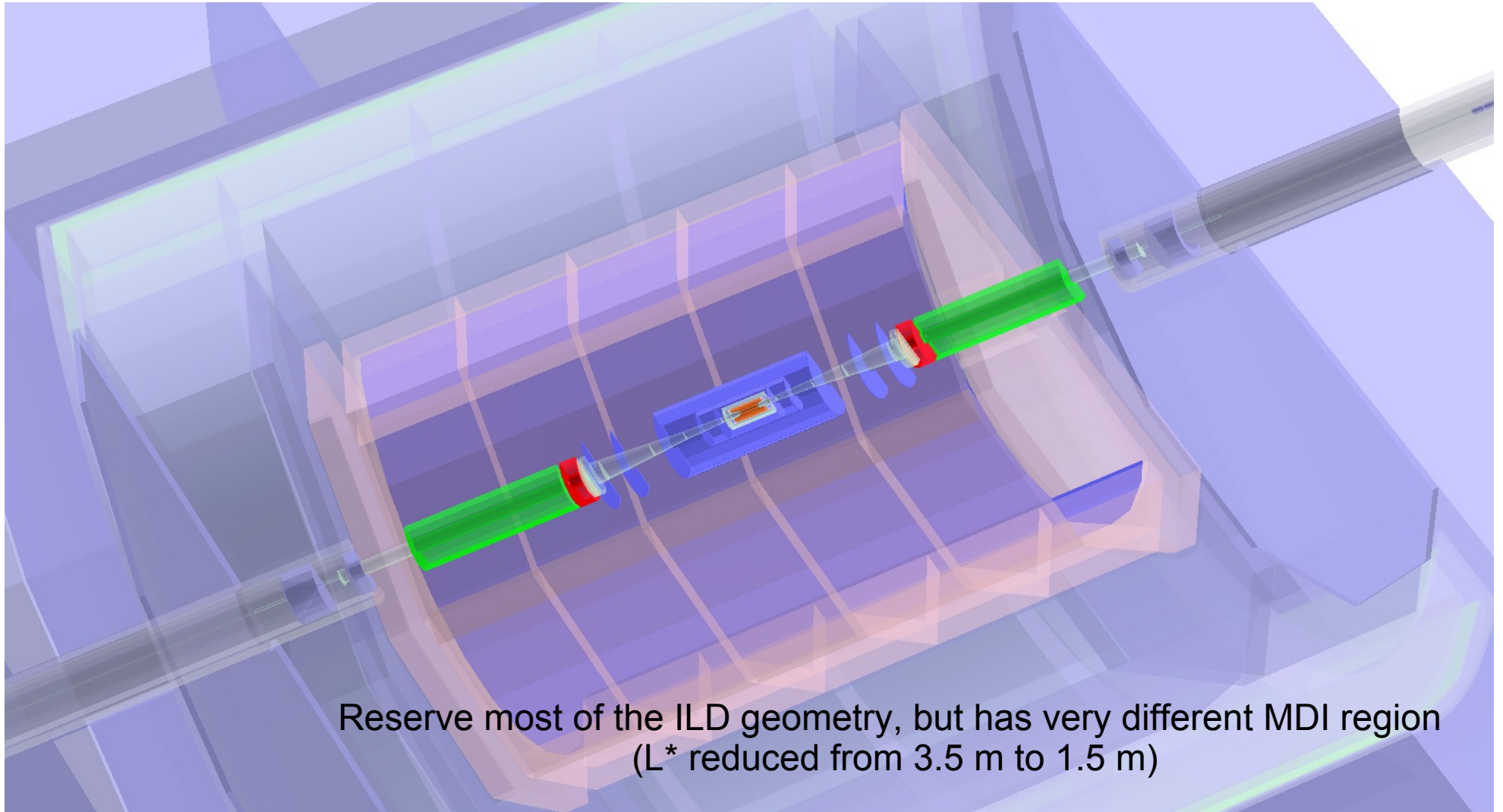
1. Luminosity of Bhabha counting is demanded to  $\delta L/L \sim 0.1\%$  with Si Strip to reach  $r_{inner}$  to resolution  $< 10 \mu m$

A “floating LumiCal” has unknown systematics on  $r_{inner}$

By adding electron tracking to calibrate “mean of  $r_{inner}$ ” to  $1 \mu m \rightarrow$  to reach  $\delta L/L \sim 0.01\%$

**MDI: Challenges everywhere!**

# Conceptual Detector for PreCDR Study: CEPC\_v1

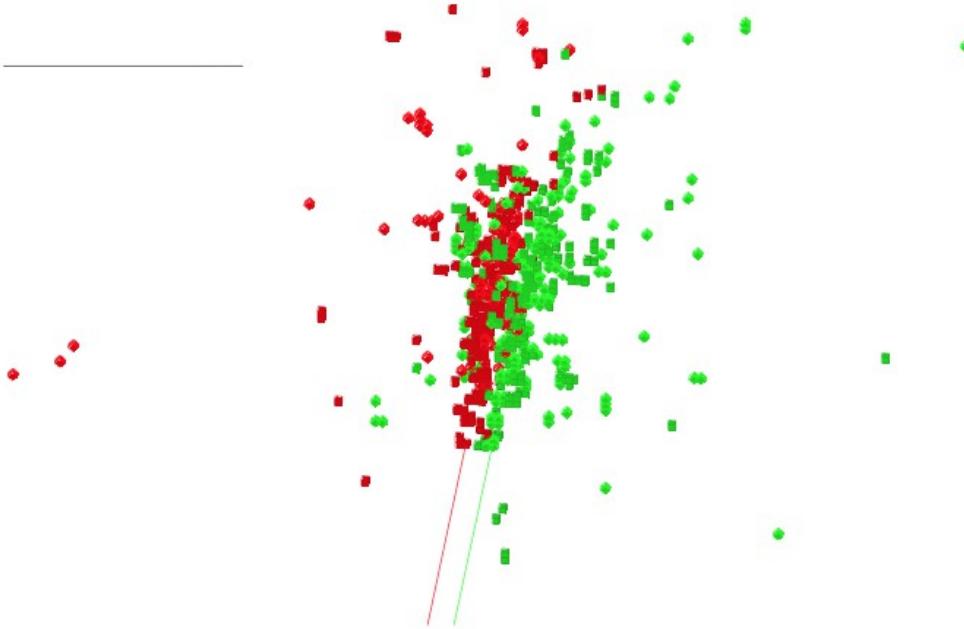


Reserve most of the ILD geometry, but has very different MDI region  
( $L^*$  reduced from 3.5 m to 1.5 m)

# 近期目标 & 愿景

- 建立完整、专业的 CEPC 软件队伍，提供相关服务
  - Manual, Feedback, Webpage, Documentation
  - Validation & Release
  - Test sample & Full SM Samples
  - 对人力的需求极大（至少 2 名 FTE）
- 继续进行子探测器性能研究和模拟
  - 目前的基线探测器中电磁量能器采用了 Si-W 方案，而国内的硬件研究集中在闪烁体方案中。后者的应用、性能评估、建模尚处于早期阶段。严格说来，对于后者的适用性和优化还有大量重要问题需要回答。
- 完善基线探测器下的物理模拟、分析：填补 EW 分析性能的空白
- 深入研究探测器的整合问题（Integration）
- 深入国际合作

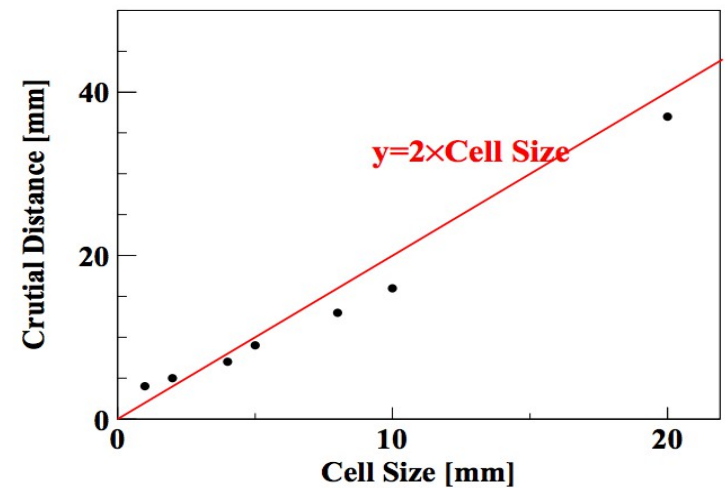
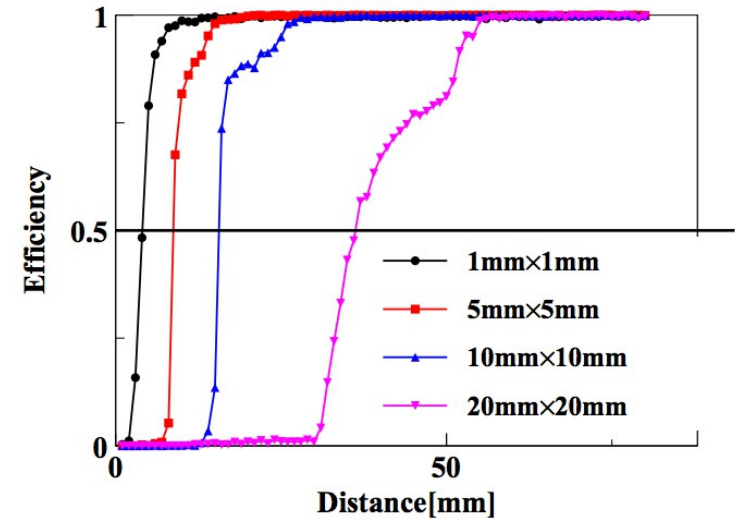
# Key performance: Separation



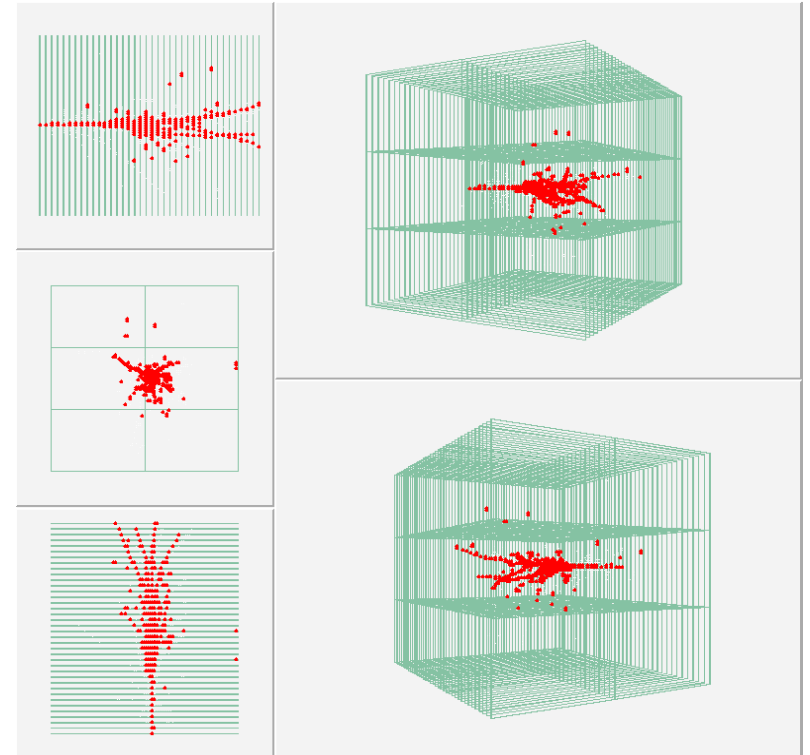
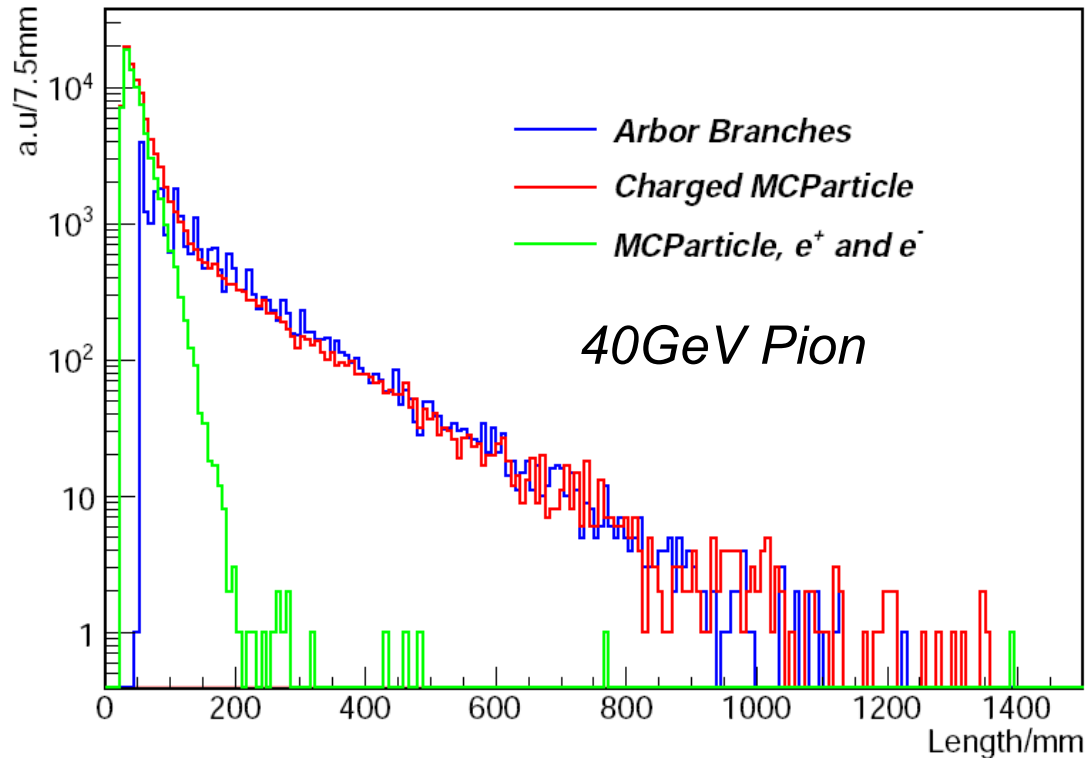
**Figure 11.** Event display of reconstructed di-photon.

Separation power/Crucial Distance:

- ~ 2\*Cell with Cell Size  $\leq$  Moliere Radius;
- ~ 1 Cell with Cell Size  $>$  1 Moliere Radius.



# Validation: Arbor Branch Length



Arbor: successfully **tag** sub-shower structure

*Samples: Particle gun event at ILD HCAL (readout granularity 1cm<sup>2</sup> & layer thickness 2.65cm)*

*Length:*

*Charged MCParticle: spatial distance between generation/end points*

*Arbor branch: sum of distance between neighbor hits*