

Form Invariance, Topological Fluctuations and Mass Gap of Yang-Mills Theory

Speaker: Yachao Qian[†]

(arXiv:1605.08425)

Authors: Yachao Qian and Jun Nian

December 19, 2017

[†]Yachao.Qian@alumni.stonybrook.edu

Outline

1. Classical Solution
2. 3D
3. 4D
4. Topological Fluctuations
5. Yang-Mills Mass Gap Problem

How to solve Yang-Mills equation?

For $SU(N)$ gauge field in Euclidean space, can we find a systematic way to solve the Yang-Mills equation

$$D_\mu F_{\mu\nu} = 0 \quad ? \quad (1)$$

How to solve Yang-Mills equation?

For $SU(N)$ gauge field in Euclidean space, can we find a systematic way to solve the Yang-Mills equation

$$D_\mu F_{\mu\nu} = 0 \quad ? \quad (1)$$

The general case is difficult. Let's further assume the gauge field $A_\mu(x)$ is spherically symmetric, which also guarantees that $A_\mu(x)$ must be finite except for the boundaries — origin ($x^2 = 0$) and infinity ($x^2 = \infty$).

How to solve Yang-Mills equation?

For $SU(N)$ gauge field in Euclidean space, can we find a systematic way to solve the Yang-Mills equation

$$D_\mu F_{\mu\nu} = 0 \quad ? \quad (1)$$

The general case is difficult. Let's further assume the gauge field $A_\mu(x)$ is spherically symmetric, which also guarantees that $A_\mu(x)$ must be finite except for the boundaries — origin ($x^2 = 0$) and infinity ($x^2 = \infty$).

Can we solve it?

Form invariance condition

One of Wightman's axioms on QFT:

$$(O^{-1})_{\mu}{}^{\nu} A_{\nu}(O x) = V^{-1} A_{\mu}(x) V + V^{-1} \partial_{\mu} V$$

A_{μ} : a gauge field

O : a Lorentz transformation

V : a gauge transformation

For the flat spacetime, i.e., O has rigid parameters:

Theorem

$$(O^{-1})_{\mu}{}^{\nu} A_{\nu}(O x) = V^{-1} A_{\mu}(x) V$$

where V has only rigid parameters.

C. H. Gu, Phys. Rept. **80**, 251 (1981).

$SU(2)$ gauge field in the 3-dimensional Euclidean space

$$A_\mu = p(\tau) (U^{-1} \partial_\mu U) , \quad (2)$$

where $\tau \equiv x_\mu x^\mu$, and U is an $SU(2)$ group element.

$$\begin{aligned} U &= \exp [T_a \psi^a(x)] = \exp \left[T_a \frac{\psi^a(x)}{|\psi(x)|} |\psi(x)| \right] = \exp [T_a \omega^a{}_\mu \hat{n}^\mu |\psi(x)|] \\ &= \exp [T_a \omega^a{}_\mu \hat{n}^\mu \theta(\tau)] , \end{aligned} \quad (3)$$

with

$$\hat{n}^\mu \equiv x^\mu / |x| , \quad \theta(\tau) \equiv |\psi(x)| , \quad T^a = \sigma^a / 2i . \quad (4)$$

We have defined a matrix $\omega^a{}_\mu$ to connect the two unit vectors \hat{n}^μ and $\psi^a(x)/|\psi(x)|$ in different spaces. The Ansatz (2) must satisfy the form invariance condition:

$$(O^{-1})_\mu{}^\nu A_\nu(Ox) = V^{-1} A_\mu(x) V ,$$

where O is a constant $SO(3)$ group element, and V is a constant $SU(2)$ group element.

Form invariance

In paper we proved that ω is restricted to be a constant $O(3)$ group element. If we assume that $\det \omega = 1$, the Ansatz (2) becomes

$$A_\mu = p(\tau) (U^{-1} \partial_\mu U) , \quad U = \exp [T_a \omega^a{}_\mu \hat{n}^\mu \theta(\tau)] , \quad (5)$$

with a constant $SO(3)$ group element ω . With a proper choice of the generators T_a , we can write the matrix ω as

$$\omega = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad (6)$$

and therefore

$$U = \exp [T_i \hat{n}^i \theta(\tau)] . \quad (7)$$

Boundary and topological charge

For the 3-dimensional Euclidean space, the appropriate topological term is the Chern-Simons term:

$$S_{CS} = \frac{ik}{4\pi} \int d^3x \operatorname{Tr} \left(A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right). \quad (8)$$

In general, S_{CS} takes values in $\mathbb{R}/2\pi\mathbb{Z}$. If we require that S_{CS} takes values in $2\pi\mathbb{Z}$, it will not affect the quantum Yang-Mills theory in the path integral.

$$S_{CS} = \frac{ik}{4\pi} \int d^3x \left(\frac{2}{3} p^3 - p^2 \right) \operatorname{Tr}(U^{-1}dU) \wedge (U^{-1}dU) \wedge (U^{-1}dU), \quad (9)$$

which is essentially a Wess-Zumino term. We can define

$$S_{CS} = 2\pi ikB, \quad (10)$$

where B is the winding number.

Boundary and topological charge

$$B = \frac{3}{2\pi^2} \sum_{\beta} \left(\frac{2}{3} p_{\beta}^3 - p_{\beta}^2 \right) \left(\theta_{\beta} - \frac{1}{2} \sin 2\theta_{\beta} \right) \int dS_{\beta} \hat{n} \cdot (\partial_1 \hat{n} \times \partial_2 \hat{n}),$$

where β denotes the singular points, for instance $\tau = 0$ and $\tau = \infty$ in our case, and

$$\frac{1}{4\pi} \int dS \hat{n} \cdot (\partial_1 \hat{n} \times \partial_2 \hat{n}) = \pm 1, \quad (11)$$

where the contributions from $\tau = 0$ and $\tau = \infty$ have an opposite sign due to the boundary orientation. Since B should also be an integer, the boundary values of p and θ at the singular points will be constrained.

Boundary and topological charge

We can list the possible boundary conditions

Winding number B	$p _{\tau=0}$	$p _{\tau=\infty}$	$\theta _{\tau=0}$	$\theta _{\tau=\infty}$
0	0	0	π	π
0	1/2	1/2	π	π
0	1	1	π	π
...

In sum, we have the ansatz

$$A_\mu = p(\tau) (U^{-1} \partial_\mu U) , \quad U = \exp [T_i \hat{n}^i \theta(\tau)] , \quad (12)$$

with the possible boundaries listed above.

Classical solutions

One can easily solve the Yang-Mills equation

$$D_\mu F_{\mu\nu} = 0, \quad (13)$$

where we obtain $\theta = \pi$ and

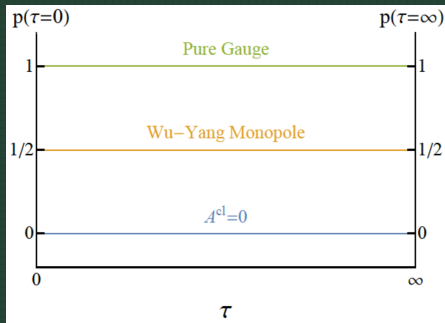


Figure: Spherically symmetric solutions to 3D Yang-Mills equation.

$SU(2)$ gauge field in the 4-dimensional Euclidean space

$$A_\mu = p(\tau, x_4) (U^{-1} \partial_\mu U) , \quad U = \exp [T_i \hat{n}^i \theta(\tau, x_4)] , \quad (14)$$

where $\tau \equiv x^\mu x_\mu$, and μ runs from 1 to 4, while i runs from 1 to 3. The functions $p(\tau, x_4)$ and $\theta(\tau, x_4)$ depend on both τ and x_4 , while \hat{n}^i is a unit vector depending only on x_1, x_2 and x_3 :

$$\hat{n}^i \equiv \frac{x^i}{|x|} , \quad (15)$$

where $|x|^2 \equiv \sum_{i=1}^3 x^i x_i$. The form invariance condition

$$(\Lambda^{-1})_\mu{}^\nu A_\nu(\Lambda x) = V^{-1} A_\mu(x) V$$

where Λ is an $SO(4)$ Lorentz transformation and V is an $SU(2)$ gauge transformation, both of which have parameters independent of x .

Fix θ

Let us consider a special case $\mu = 4$

$$\begin{aligned} & (\Lambda^{-1}A(\Lambda x))_{\mu=4}^a T_a \\ &= p' [\hat{n}'_a (\partial_4 \theta') + \sin \theta' (\partial_4 \hat{n}'_a)] T_a - p' T_a \epsilon_{abc} (1 - \cos \theta') \frac{x^b}{|\Lambda x|} \frac{\varphi_c \sin |\varphi|}{|\Lambda x|}. \end{aligned}$$

After a gauge transformation, it has the expression

$$V^{-1} A_{\mu=4}^a T_a V = p \left[\frac{\psi_a \psi_b}{|\psi|^2} (1 - \cos |\psi|) + \delta_{ab} \cos |\psi| - \epsilon_{abc} \sin |\psi| \frac{\psi_c}{|\psi|} \right] T_b \hat{n}_a \partial_4 \theta, \quad (16)$$

where

$$V = \exp(\psi^a T_a), \quad (17)$$

p and θ

By comparing the terms $\sim \epsilon_{abc}$, one obtains

$$\psi_c = \pm \varphi_c, \quad -(1 - \cos \theta') \frac{p'}{|\Lambda x|^2} = \pm \frac{p}{|x|} \partial_4 \theta. \quad (18)$$

For the special case $\Lambda = 1$

$$\begin{aligned} & -(1 - \cos \theta) \frac{1}{|x|^2} = \pm \frac{1}{|x|} \partial_4 \theta \\ \Rightarrow & \frac{1}{|x|} = \pm \partial_4 \cot \left(\frac{\theta}{2} \right) \\ \Rightarrow & \cot \left(\frac{\theta}{2} \right) = \pm \frac{x_4}{|x|} \pm f(|x|), \end{aligned} \quad (19)$$

where f is an arbitrary smooth function. In the paper, we proved that $f = 0$. Thus,

$$\cot \left(\frac{\theta}{2} \right) = \pm \frac{x_4}{|x|} \quad \text{and} \quad p = p(\tau). \quad (20)$$

Ansatz

We choose $\cot(\theta/2) = x_4/|x|$ and the form invariant Ansatz A_μ is given by

$$\begin{aligned} A_\mu &= p(\tau) \left[\frac{x_4 - 2(T^a x_a)}{\sqrt{\tau}} \right] \partial_\mu \left[\frac{x_4 + 2(T^b x_b)}{\sqrt{\tau}} \right] \\ &= 2 \frac{p(\tau)}{\tau} \eta_{a\mu\nu} x_\nu T^a, \end{aligned} \quad (21)$$

where $\eta_{a\mu\nu}$ is the 't Hooft symbol

$$\begin{aligned} \eta_{i\mu\nu} &= -\text{tr}(M_i M_{\mu\nu}) = -(M_i)_{mn} (M_{\mu\nu})_{nm} = 2(M_i)_{\mu\nu} = (\epsilon_{i\mu\nu 4} + \delta_{i\mu} \delta_{\nu 4} - \delta_{i\nu} \delta_{\mu 4}), \\ \bar{\eta}_{i\mu\nu} &= -\text{tr}(N_i M_{\mu\nu}) = -(N_i)_{mn} (M_{\mu\nu})_{nm} = 2(N_i)_{\mu\nu} = (\epsilon_{i\mu\nu 4} - \delta_{i\mu} \delta_{\nu 4} + \delta_{i\nu} \delta_{\mu 4}). \end{aligned} \quad (22)$$

Topological charge and boundary conditions

For the 4D Yang-Mills theory

$$k = -\frac{1}{16\pi^2} \int d^4x \operatorname{Tr} [F^{\mu\nu} (*F_{\mu\nu})] \quad (23)$$

is an integer-valued quantity.

Hence, the integral becomes a surface integral, and only boundaries contribute to it.

$$k = -\frac{1}{8\pi^2} \oint_{S_\beta^3} d\Omega_\mu \epsilon^{\mu\nu\rho\sigma} \left(\frac{2}{3}p^3 - p^2 \right) \operatorname{Tr} [(U^{-1}\partial_\nu U) (U^{-1}\partial_\rho U) (U^{-1}\partial_\sigma U)] , \quad (24)$$

where the surface S_β^3 surrounds the singular point β , and the radius of the sphere can be taken to be very small. Hence, the factor $\frac{2}{3}p^3 - p^2$ has a constant value $\left(\frac{2}{3}p^3 - p^2\right)_\beta$ in the small sphere and can be brought outside the integration.

Topological charge and boundary conditions

We use x^μ and $\xi^i(x)$ ($i = 1, 2, 3$) to denote the spacetime coordinates and the group coordinates respectively. Using

$$\text{Tr} [(U^{-1}\partial_\nu U) (U^{-1}\partial_\rho U) (U^{-1}\partial_\sigma U)] = \frac{\partial\xi^i}{\partial x^\nu} \frac{\partial\xi^j}{\partial x^\rho} \frac{\partial\xi^k}{\partial x^\sigma} \text{Tr} [(U^{-1}\partial_i U) (U^{-1}\partial_j U) (U^{-1}\partial_k U)] \quad (25)$$

obtain

$$dk = \frac{3}{16\pi^2} \left(\frac{2}{3}p^3 - p^2 \right)_\beta (\det e) d^3\xi, \quad (26)$$

where

$$U^{-1}\partial_i U = e_i^a(\xi) T_a, \quad (27)$$

and $(\det e)d^3\xi$ is the Haar measure on the group manifold. For example

$$\frac{1}{16\pi^2} \int_{S^3_{|x|\rightarrow\infty}} (\det e) d^3\xi = 1. \quad (28)$$

Ansatz

In sum, we have

$$A_\mu = 2 \frac{p(\tau)}{\tau} \eta_{\alpha\mu\nu} x_\nu T^\alpha, \quad (29)$$

with the possible boundary conditions listed as

Winding Number k	$p _{\tau=0}$	$p _{\tau=\infty}$
0	0	0
0	1/2	1/2
0	1	1
1	0	1
-1	1	0
...

Table: Boundary conditions in 4D.

Classical solutions

Obtain the solution

- $p = 1/2$: Meron
- $p = \frac{\tau}{\tau+c}$: Instanton
- $p = \frac{c}{\tau+c}$: Anti-Instanton
- $p = 1$ and $p = 0$: Pure gauge and zero

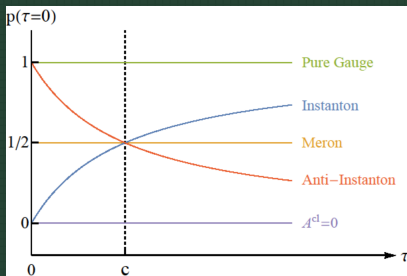


Figure: Spherically symmetric solutions to 4D Yang-Mills equation.

Classical solutions

If we adopt a new coordinate introduced by the conformal transformation

$$\zeta = \frac{1}{2} \frac{\tau - c}{\tau + c}, \quad (30)$$

then all the classical solutions can be plotted in the new coordinate

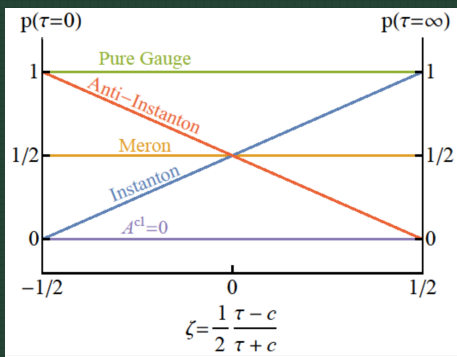


Figure: Spherically symmetric solutions to 4D Yang-Mills equation.

Discussion ...

○

$$A_{\mu}^a = c_1(\tau)\eta_{a\mu\nu}x_{\nu} + c_2(\tau)\bar{\eta}_{a\mu\nu}x_{\nu} \quad (31)$$

Discussion ...

○

$$A_{\mu}^a = c_1(\tau)\eta_{a\mu\nu}x_{\nu} + c_2(\tau)\bar{\eta}_{a\mu\nu}x_{\nu} \quad (31)$$

○ General case?

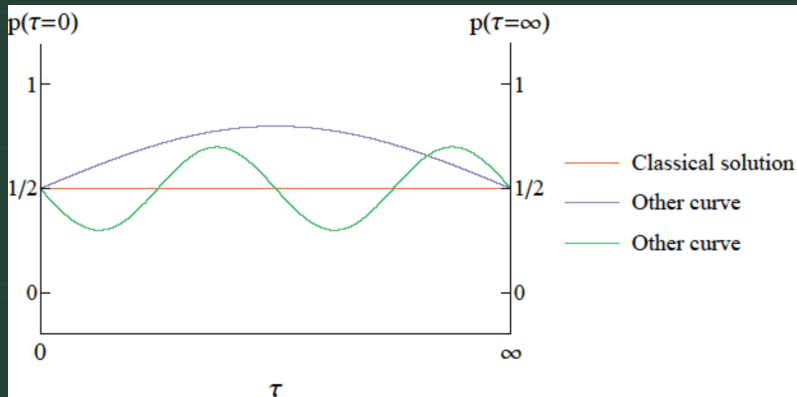
- ▶ Subspace and sub-gauge-group space

$$(\Lambda^{-1})_{\mu}^{\nu} A_{\nu}(\Lambda x) = V^{-1} A_{\mu}(x) V$$

- ▶ Lowest winding numbers

Topological Fluctuations

Take 3D Wu-Yang monopole solution as an example:



Topological modes:

Form invariance:

$$(O^{-1})_{\mu}{}^{\nu} A_{\nu}^{\text{top}}(O x) = V^{-1} A_{\mu}^{\text{top}}(x) V$$

Any configuration:

$$A_{\mu}^{\text{top}}(p_0 + \tilde{p}, \theta_0 + \tilde{\theta})$$

$\tilde{p}, \tilde{\theta}$: topological fluctuations

Effective lagrangian:

$$\left. \frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a \right|_{\text{top}} = \left. \frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a \right|_{\text{cl}} + 4 |\partial_{\tau} \tilde{\psi}|^2 - \frac{1}{\tau^2} |\tilde{\psi}|^2 + \frac{1}{2\tau^2} |\tilde{\psi}|^4, \quad (32)$$

Topological modes:

4D:

$$A_{\mu}^{\text{top}}(p_0 + \tilde{p})$$

Effective lagrangian:

$$\frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a \Big|_{\text{top}} = \frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a \Big|_{\text{cl}} + 24 \left[\left(\partial_{\tau} \tilde{\phi} \right)^2 - \frac{1}{2\tau^2} \left(\tilde{\phi} \right)^2 + \frac{1}{\tau^2} \left(\tilde{\phi} \right)^4 \right], \quad (33)$$

Two problems?

Take 3D for example:

$$\frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a \Big|_{\text{top}} = \frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a \Big|_{\text{cl}} + \frac{1}{\tau} |\partial_\mu \tilde{\psi}|^2 - \frac{1}{\tau^2} |\tilde{\psi}|^2 + \frac{1}{2\tau^2} |\tilde{\psi}|^4, \quad (34)$$

We encounter two problems:

- $1/\tau \rightarrow$ apparent divergent
- $\tilde{\psi} = \tilde{\psi}(\tau)$

Topology

Topological boundary condition:

$$\tilde{\psi}(\tau = 0) \longrightarrow 0, \quad \text{and} \quad \tilde{\psi}(\tau = \infty) \longrightarrow 0. \quad (35)$$

Because it's fluctuations

$$\tilde{\psi} \longrightarrow \text{translational invariant for most of the space} \\ \text{except } \tau = 0 \quad \text{and} \quad \tau = \infty. \quad (36)$$

Full theory & Effective theory

We would like to make the shift:

$$\tilde{\psi}((x - x_0)^2) \longrightarrow \tilde{\psi}(x^2). \quad (37)$$

However, the topological fluctuations are constrained by the topological boundary conditions

$$\begin{aligned} & \int d^3x \left(\frac{1}{\tau} |\partial_\mu \tilde{\psi}|^2 - \frac{1}{\tau^2} |\tilde{\psi}|^2 + \frac{1}{2\tau^2} |\tilde{\psi}|^4 \right) \\ &= \left(\int_{\text{near } x_0} d^3x + \int_{\text{near } 0} d^3x + \int_{\text{else}} d^3x \right) \left(\frac{1}{\tau} |\partial_\mu \tilde{\psi}|^2 - \frac{1}{\tau^2} |\tilde{\psi}|^2 + \frac{1}{2\tau^2} |\tilde{\psi}|^4 \right), \quad (38) \end{aligned}$$

Full theory & Effective theory

In sum, we have

$$\begin{aligned} & \frac{1}{g^2} \int d^3x \left(\frac{1}{\tau} |\partial_\mu \tilde{\psi}(x - x_0)|^2 - \frac{1}{\tau^2} |\tilde{\psi}(x - x_0)|^2 + \frac{1}{2\tau^2} |\tilde{\psi}(x - x_0)|^4 \right) \\ &= \frac{1}{g^2} \int d^3x \left(\frac{1}{\tau} |\partial_\mu \tilde{\psi}(x)|^2 - \frac{1}{\tau^2} |\tilde{\psi}(x)|^2 + \frac{1}{2\tau^2} |\tilde{\psi}(x)|^4 \right) \\ & \quad - \frac{1}{g^2} \int_{\text{near } x_0} d^3x \left(\frac{1}{\tau} |\partial_\mu \tilde{\psi}(x)|^2 - \frac{1}{\tau^2} |\tilde{\psi}(x)|^2 + \frac{1}{2\tau^2} |\tilde{\psi}(x)|^4 \right), \end{aligned} \quad (39)$$

The left-hand side of this equation is finite. The second term on the right-hand side is divergent and gives the difference of the integral (39) near x_0 before and after the shift (38), hence it can be viewed as a counter-term, that cancels the divergence of the first term on the right-hand side.

Effective theory

$$\begin{aligned}
 \langle S \rangle_{x_0} &= \frac{1}{g^2} \int \frac{d^3 x_0}{V} \int d^3 x \left[\frac{1}{\tau} |\partial_\mu \tilde{\psi}(\tau)|^2 + \frac{1}{2\tau^2} (|1 + \tilde{\psi}(\tau)|^2 - 1)^2 \right] \\
 &= \frac{1}{g^2} \int \frac{d^3 x_0}{V} \int d^3 x \left[\frac{1}{\tau} |\partial_\mu \tilde{\psi}(\tau)|^2 + \frac{1}{2\tau^2} (\tilde{\psi}^\dagger(\tau) + \tilde{\psi}(\tau) + |\tilde{\psi}(\tau)|^2)^2 \right] \\
 &= \frac{1}{g^2} \int \frac{d^3 x_0}{V} \int d^3 x \left[\frac{1}{\tau} |\partial_\mu \tilde{\psi}(\tilde{\tau})|^2 + \frac{1}{2\tau^2} (\tilde{\psi}^\dagger(\tilde{\tau}) + \tilde{\psi}(\tilde{\tau}) + |\tilde{\psi}(\tilde{\tau})|^2)^2 \right] + (\text{counter}) \\
 &= \frac{1}{g^2} \int_{\ell_{\text{top}}^2}^{L_{\text{top}}^2} \frac{2\pi \sqrt{\tau} d\tau}{V} \int d^3 x \left[\frac{1}{\tau} |\partial_\mu \tilde{\psi}(\tilde{\tau})|^2 + \frac{1}{2\tau^2} (\tilde{\psi}^\dagger(\tilde{\tau}) + \tilde{\psi}(\tilde{\tau}) + |\tilde{\psi}(\tilde{\tau})|^2)^2 \right] \\
 &\approx \frac{3L_{\text{top}}}{g^2 L^3} \int d^3 x \left[|\partial_{|x|} \tilde{\psi}(|x|)|^2 + m_{3D}^2 (|1 + \tilde{\psi}(|x|)|^2 - 1)^2 \right] \\
 &= \frac{3L_{\text{top}}}{g^2 L^3} \int d^3 x \left[|\partial_{|x|} \Psi(|x|)|^2 + m_{3D}^2 (|\Psi(|x|)|^2 - 1)^2 \right], \tag{40}
 \end{aligned}$$

where

$$m_{3D}^2 \equiv \frac{1}{2\ell_{\text{top}} L_{\text{top}}}. \tag{41}$$

Effective theory

$$\mathcal{L}_{\text{eff}} \sim |\partial_{|x|}\Psi(|x|)|^2 + m_{3D}^2 (|\Psi(|x|)|^2 - 1)^2 \quad (42)$$

Boundary condition:

$$|\Psi|(|x| = 0) = |\Psi|(|x| = \infty) = 1. \quad (43)$$

EOM:

$$\partial_{|x|}^2 |\Psi| + \frac{2}{|x|} \partial_{|x|} |\Psi| - 2 m_{3D}^2 (|\Psi|^2 - 1) |\Psi| = 0. \quad (44)$$

Effective theory

For 4D:

$$\mathcal{L}_{\text{eff}} \sim (\partial_{|x|} q(|x|))^2 + 4 m_{4D}^2 \left(q^2(|x|) - \frac{1}{4} \right)^2 \quad (45)$$

where

$$m_{4D}^2 \equiv \frac{2 \log(L_{\text{top}}/\ell_{\text{top}})}{L_{\text{top}}^2}. \quad (46)$$

Boundary condition:

$$q(|x| = 0) = q(|x| = \infty) = \pm \frac{1}{2}. \quad (47)$$

EOM:

$$2 \Delta q - 16 m_{4D}^2 q \left(q^2 - \frac{1}{4} \right) = 0$$

with

$$\Delta q = \partial_{|x|}^2 q + \frac{3}{|x|} \partial_{|x|} q$$

Effective theory

In general for D -dimensions:

$$\mathcal{L}_{\text{eff}} \sim (\partial_{|x|}u)^2 + \frac{1}{2}(u^2 - 1)^2 \quad (48)$$

$$\Delta u - (|u|^2 - 1)u = 0$$

$$\Delta u = \partial_{|x|}^2 u + \frac{D-1}{|x|} \partial_{|x|} u$$

$$\lim_{|x| \rightarrow 0} |u(x)| = \lim_{|x| \rightarrow \infty} |u(x)| = 1$$

Yang-Mills Mass Gap Problem

Mass gap Δ : ('06 Jaffe, Witten)

The Hamiltonian H has no spectrum in the interval $(0, \Delta)$ for some $\Delta > 0$.

The mass gap problem of Yang-Mills theory:

“Prove that for any compact simple gauge group G , a non-trivial quantum Yang-Mills theory exists on \mathbb{R}^4 and has a mass gap $\Delta > 0$.”

Some Previous Attempts

- '77 Polyakov:
(2+1)D Georgi-Glashow model has mass gap.
In 4D YM instanton background cannot provide mass gap.
- Varying instanton profile can give glueballs mass gap at classical level.
('84 Diakonov, Petrov)
- With some supersymmetries,
e.g. Seiberg-Witten theory.
- ...

Two-Point Correlation Function

Consider the operator:

$$\epsilon \equiv \frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a$$

3D:

$$\langle \epsilon(-\vec{d}) \epsilon(\vec{d}) \rangle_{\text{Lowest State}} \approx 16 C_1^4 \left(\frac{e^{-m_{3D} d}}{d} \right)^8$$

with

$$m_{3D}^2 \equiv \frac{1}{2\ell_{\text{top}} L_{\text{top}}}$$

4D:

$$\langle \epsilon(-\vec{d}) \epsilon(\vec{d}) \rangle_{\text{Lowest State}} \approx \frac{36 C_1^4 \pi^2}{m_{4D}^2 d^2} \left(\frac{e^{-m_{4D} d}}{d} \right)^8$$

with

$$m_{4D}^2 \equiv \frac{2 \log(L_{\text{top}}/\ell_{\text{top}})}{L_{\text{top}}^2}. \quad (49)$$

Nonlinear Schrödinger Equation

In general for D -dimensions:

$$\Delta u - (|u|^2 - 1)u = 0$$

$$\Delta u = \partial_{|x|}^2 u + \frac{D-1}{|x|} \partial_{|x|} u$$

$$\lim_{|x| \rightarrow 0} |u(x)| = \lim_{|x| \rightarrow \infty} |u(x)| = 1$$

Mass Gap of YM in Our Approach

Use the mass gap of 3D, 4D NLS ('15 Bao, Ruan) .

$\langle S \rangle_{x_0}$: the effective action evaluated at the first excited state on the trivial vacuum background. Assume $L \approx L_{\text{top}}$.

- For the flat space \mathbb{R}^D ($D = 3, 4$) with finite size:

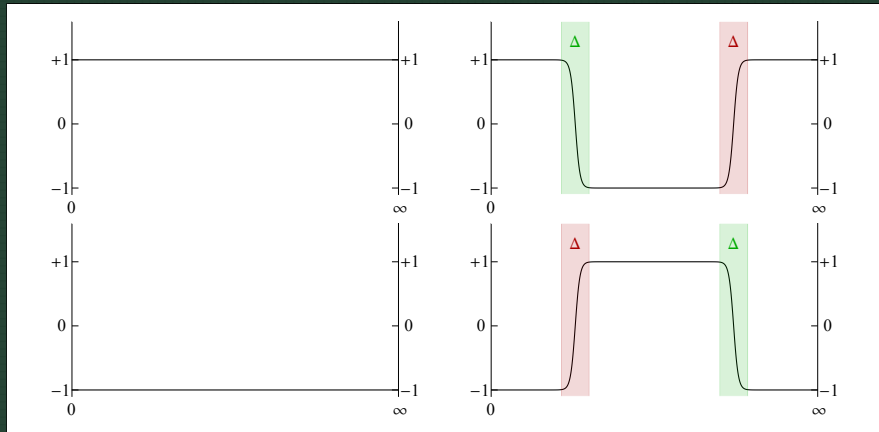
$$\langle S \rangle_{x_0} \propto \begin{cases} \frac{1}{g^2} \frac{1}{\sqrt{L_{\text{top}} \ell_{\text{top}}}}, & \text{for 3D;} \\ \frac{1}{g^2} \log \left(\frac{L_{\text{top}}}{\ell_{\text{top}}} \right), & \text{for 4D.} \end{cases}$$

- For the sphere S^D ($D = 3, 4$) with a radius R :

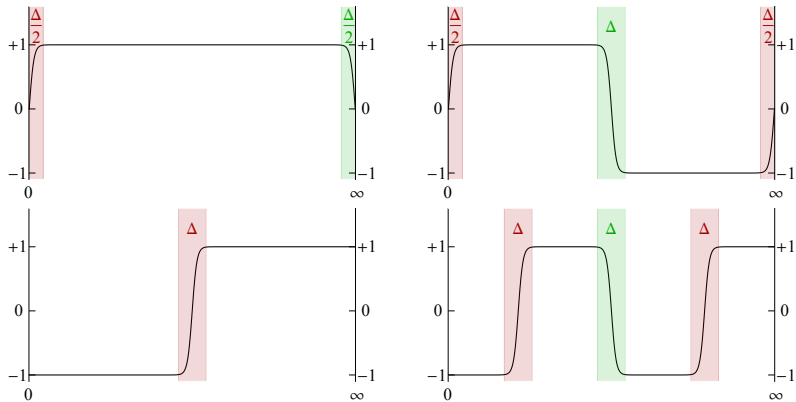
$$\langle S \rangle_{x_0} \propto \begin{cases} \frac{1}{g^2 R}, & \text{for 3D;} \\ \frac{1}{g^2} \log \left[\cot \left(\frac{\theta_0}{2} \right) \right], & \text{for 4D,} \end{cases}$$

θ_0 : physical cutoff on θ

Exact Spectrum of 1D NLS - 1



Exact Spectrum of 1D NLS - 2

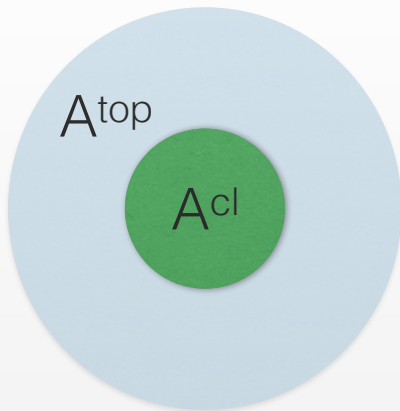


Discussion ...

- Mass gap = quantum effects
- Low-energy physics

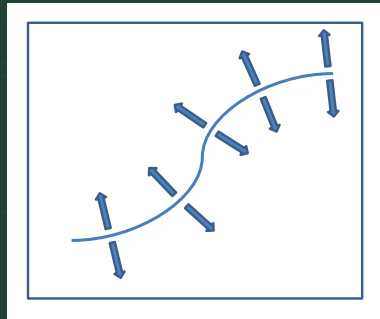
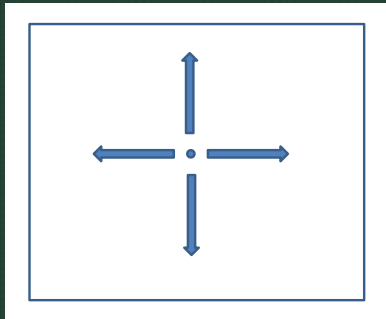
What's also in our paper

Q



What's also in our paper

Start from different cores to probe the configuration space:



$$V = \left(V_{\text{sol}} \cup (V_{\text{top}} \setminus V_{\text{sol}}) \right) \oplus V_{\text{top}}^{\perp}$$

Thank You!

Back up

Convention

The Lie algebra $\mathfrak{so}(4)$ has the generators given by

$$(M_{\mu\nu})_{mn} \equiv \delta_{\mu m} \delta_{\nu n} - \delta_{\mu n} \delta_{\nu m}, \quad (50)$$

satisfying

$$[M_{\mu\nu}, M_{\rho\sigma}] = \delta_{\nu\rho} M_{\mu\sigma} + \delta_{\mu\sigma} M_{\nu\rho} - \delta_{\mu\rho} M_{\nu\sigma} - \delta_{\nu\sigma} M_{\mu\rho}. \quad (51)$$

Convention

Let us write down the generators in fundamental representations:

$$\begin{aligned} M_{23} &= \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \equiv J_1, & M_{14} &= \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{pmatrix} \equiv K_1, \\ M_{31} &= \begin{pmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \equiv J_2, & M_{24} &= \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix} \equiv K_2, \\ M_{12} &= \begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \equiv J_3, & M_{34} &= \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{pmatrix} \equiv K_3. \end{aligned} \tag{52}$$

$$[J_i, J_j] = -\epsilon_{ijk} J_k, \quad [K_i, K_j] = -\epsilon_{ijk} J_k, \quad [K_i, J_j] = -\epsilon_{ijk} K_k. \quad (53)$$

Define

$$M_i \equiv \frac{1}{2}(J_i + K_i), \quad N_i \equiv \frac{1}{2}(J_i - K_i). \quad (54)$$

The (anti-)commutation relations are given by

$$[M_i, M_j] = -\epsilon_{ijk} M_k, \quad [N_i, N_j] = -\epsilon_{ijk} N_k, \quad [M_i, N_j] = 0, \quad (55)$$

$$\{M_i, M_j\} = -\frac{1}{2}\delta_{ij}, \quad \{N_i, N_j\} = -\frac{1}{2}\delta_{ij}. \quad (56)$$

Fix p and θ

A general Lorentz transformation generated by M_{i4} is given by

$$\begin{aligned}\Lambda_{\mu\nu} &= \left(e^{\varphi_{14} M_{14} + \varphi_{24} M_{24} + \varphi_{34} M_{34}} \right)_{\mu\nu} \\ &= \delta_{\mu\nu} + \frac{\varphi_\mu}{|\varphi|} \delta_{\nu 4} \sin|\varphi| - \frac{\varphi_\nu}{|\varphi|} \delta_{\mu 4} \sin|\varphi| - 2 \frac{\varphi_\mu \varphi_\nu}{|\varphi|^2} \sin^2 \left(\frac{|\varphi|}{2} \right) - 2 \delta_{\mu 4} \delta_{\nu 4} \sin^2 \left(\frac{|\varphi|}{2} \right),\end{aligned}$$

where $\varphi_\mu \equiv (\varphi_{i4}, \varphi_4 = 0)$ and $|\varphi| \equiv \sqrt{(\varphi_{i4})^2}$.

$$\Lambda^{-1} A_\mu(\Lambda x) = p(\tau, (\Lambda x)_4) \exp[-T_a \hat{n}'_a \theta(\tau, (\Lambda x)_4)] \frac{\partial}{\partial x^\mu} \exp[T_b \hat{n}'_b \theta(\tau, (\Lambda x)_4)], \quad (57)$$

where $\tau \equiv x^\mu x_\mu$, $\hat{n}'_i \equiv \frac{(\Lambda x)_i}{|\Lambda x|}$ and $|\Lambda x| \equiv \sqrt{(\Lambda x)_i (\Lambda x)_i}$.

Digression: Antiferromagnet Model

$$S[\vec{n}] = s \sum_{j=1}^N (-1)^j S_{WZ}[\vec{n}(j)] - \frac{Js^2}{2} \int_0^T dx_0 \sum_{j=1}^N (\vec{n}(j, x_0) - \vec{n}(j+1, x_0))^2$$

split \vec{n} into slowly varying mode $\vec{m}(j)$ + rapidly varying mode $\vec{l}(j)$:

$$\vec{n}(j) = \vec{m}(j) + (-1)^j a_0 \vec{l}(j)$$

$$\vec{n}^2 = \vec{m}^2 = 1, \quad \vec{m} \cdot \vec{l} = 0$$

In the continuum limit:

$$\mathcal{L}(\vec{m}, \vec{l}) = -2a_0 Js^2 \vec{l}^2 + s \vec{l} \cdot (\vec{m} \times \partial_0 \vec{m}) - \frac{a_0 Js^2}{2} (\partial_1 \vec{m})^2 + \frac{s}{2} \vec{m} \cdot (\partial_0 \vec{m} \times \partial_1 \vec{m})$$

Integrating out the rapidly varying mode \vec{l} :

$$\mathcal{L}(\vec{m}) = \frac{1}{2g} \left(\frac{1}{v_s} (\partial_0 \vec{m})^2 - v_s (\partial_1 \vec{m})^2 \right) + \frac{\theta}{8\pi} \epsilon_{\mu\nu} \vec{m} \cdot (\partial_\mu \vec{m} \times \partial_\nu \vec{m})$$