

A sunset scene with a bright sun low on the horizon, casting a warm glow over a sky filled with scattered, light-colored clouds. The colors range from deep blue at the top to orange and red near the horizon.

**Weihai High Energy Physics School**

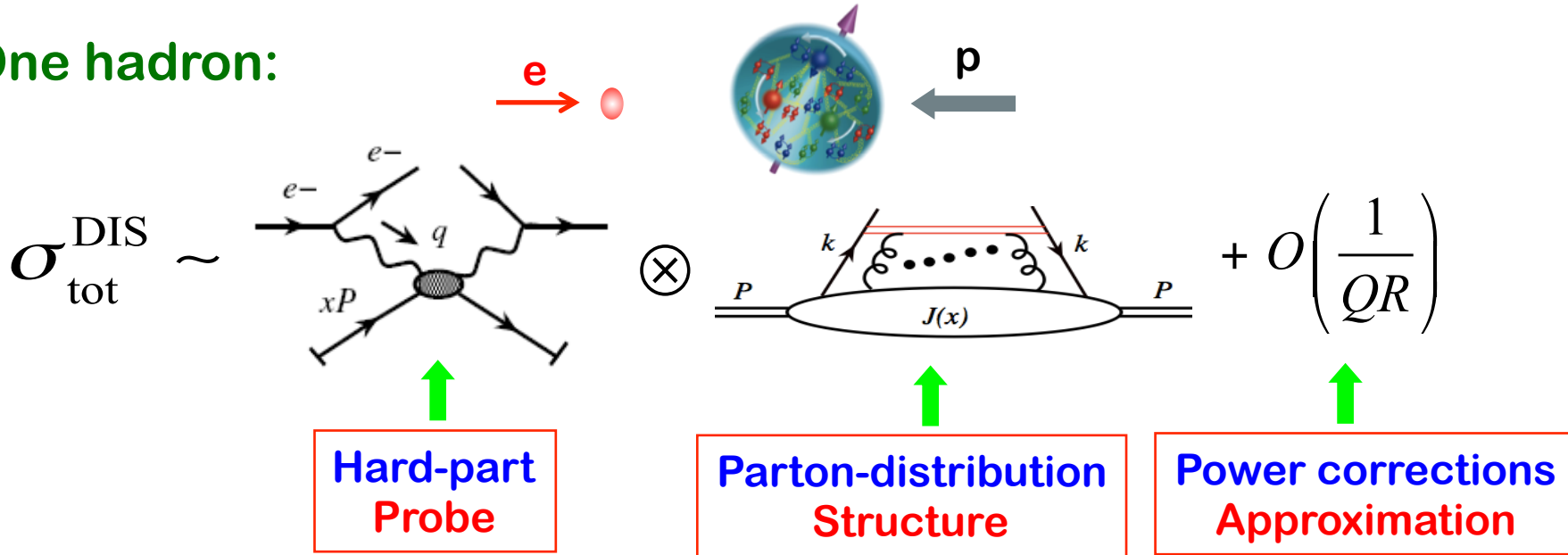
**Introduction  
to  
Quantum Chromodynamics (QCD)**

**Jianwei Qiu  
August 16 – 19, 2018  
Four Lectures**

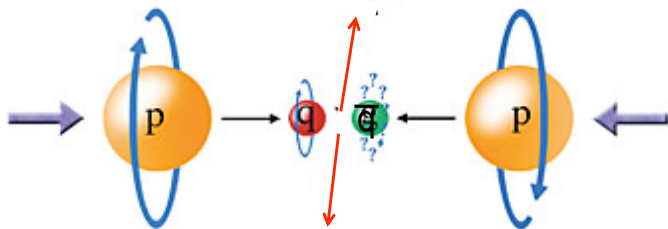
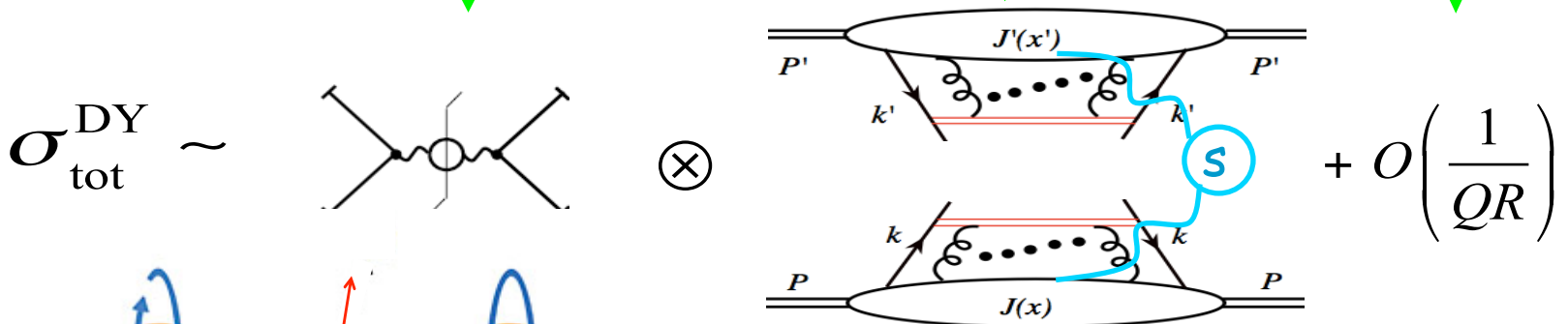
The 3<sup>rd</sup> WHEPS, August 16-24, 2018, Weihai, Shandong

# From one hadron to two hadrons

## One hadron:



## Two hadrons:

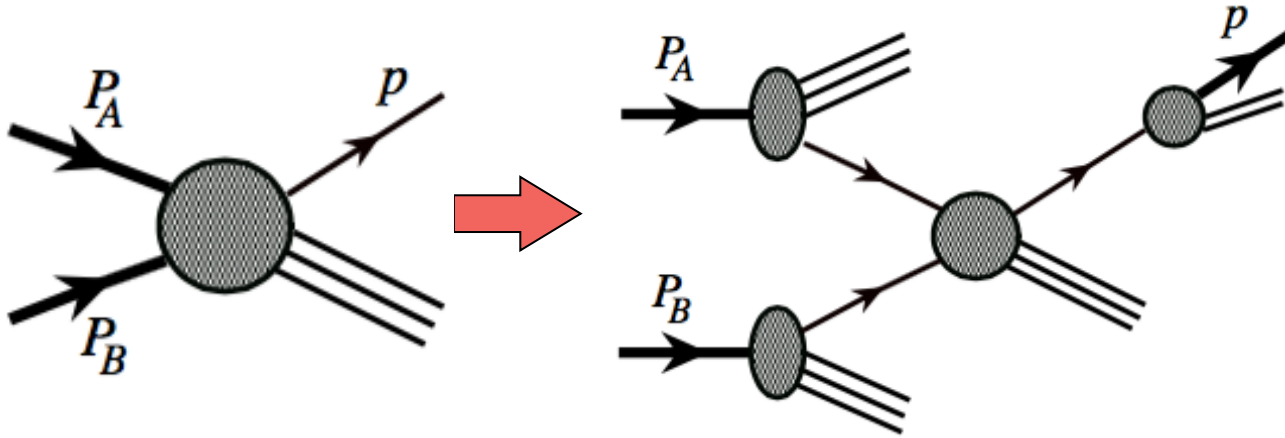


**Predictive power:**  
**Universal Parton Distributions**

# Factorization for more than two hadrons

## Factorization for high $p_T$ single hadron:

Nayak, Qiu, Sterman, 2006



$\gamma, W/Z, \ell(s), \text{jet}(s)$   
 $B, D, \Upsilon, J/\psi, \pi, \dots$

**+ O(1/P<sub>T</sub><sup>2</sup>)**

$p_T \gg m \gtrsim \Lambda_{\text{QCD}}$

$$\frac{d\sigma_{AB \rightarrow C+X}(p_A, p_B, p)}{dy dp_T^2} = \sum_{a,b,c} \phi_{A \rightarrow a}(x, \mu_F^2) \otimes \phi_{B \rightarrow b}(x', \mu_F^2) \otimes \frac{d\hat{\sigma}_{ab \rightarrow c+X}(x, x', z, y, p_T^2, \mu_F^2)}{dy dp_T^2} \otimes D_{c \rightarrow C}(z, \mu_F^2)$$

✧ **Fragmentation function:**  $D_{c \rightarrow C}(z, \mu_F^2)$

✧ **Choice of the scales:**  $\mu_{\text{Fac}}^2 \approx \mu_{\text{ren}}^2 \approx p_T^2$

*To minimize the size of logs in the coefficient functions*

# How to calculate the perturbative parts?

□ Use DIS structure function  $F_2$  as an example:

$$F_{2h}(x_B, Q^2) = \sum_{q,f} C_{q/f} \left( \frac{x_B}{x}, \frac{Q^2}{\mu^2}, \alpha_s \right) \otimes \varphi_{f/h}(x, \mu^2) + O\left(\frac{\Lambda_{\text{QCD}}^2}{Q^2}\right)$$

✧ Apply the factorized formula to parton states:  $h \rightarrow q$

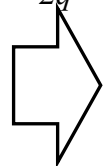
Feynman diagrams

$$F_{2q}(x_B, Q^2) = \sum_{q,f} C_{q/f} \left( \frac{x_B}{x}, \frac{Q^2}{\mu^2}, \alpha_s \right) \otimes \varphi_{f/q}(x, \mu^2)$$

Feynman diagrams

✧ Express both SFs and PDFs in terms of powers of  $\alpha_s$ :

0<sup>th</sup> order:  $F_{2q}^{(0)}(x_B, Q^2) = C_q^{(0)}(x_B/x, Q^2/\mu^2) \otimes \varphi_{q/q}^{(0)}(x, \mu^2)$

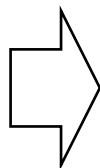


$$C_q^{(0)}(x) = F_{2q}^{(0)}(x)$$

$$\varphi_{q/q}^{(0)}(x) = \delta_{qq} \delta(1-x)$$

1<sup>th</sup> order:  $F_{2q}^{(1)}(x_B, Q^2) = C_q^{(1)}(x_B/x, Q^2/\mu^2) \otimes \varphi_{q/q}^{(0)}(x, \mu^2)$

$$+ C_q^{(0)}(x_B/x, Q^2/\mu^2) \otimes \varphi_{q/q}^{(1)}(x, \mu^2)$$



$$C_q^{(1)}(x, Q^2/\mu^2) = F_{2q}^{(1)}(x, Q^2) - F_{2q}^{(0)}(x, Q^2) \otimes \varphi_{q/q}^{(1)}(x, \mu^2)$$

**To all orders!**

# Partonic cross sections – LO

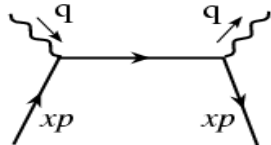
## □ Projection operators for SFs:

$$W_{\mu\nu} = -\left(g_{\mu\nu} - \frac{q_\mu q_\nu}{q^2}\right) F_1(x, Q^2) + \frac{1}{p \cdot q} \left(p_\mu - q_\mu \frac{p \cdot q}{q^2}\right) \left(p_\nu - q_\nu \frac{p \cdot q}{q^2}\right) F_2(x, Q^2)$$

$$F_1(x, Q^2) = \frac{1}{2} \left(-g^{\mu\nu} + \frac{4x^2}{Q^2} p^\mu p^\nu\right) W_{\mu\nu}(x, Q^2)$$

$$F_2(x, Q^2) = x \left(-g^{\mu\nu} + \frac{12x^2}{Q^2} p^\mu p^\nu\right) W_{\mu\nu}(x, Q^2)$$

## □ 0<sup>th</sup> order:

$$F_{2q}^{(0)}(x) = xg^{\mu\nu} W_{\mu\nu,q}^{(0)} = xg^{\mu\nu} \left[ \frac{1}{4\pi} \text{diagram} \right]$$


$$= \left(xg^{\mu\nu}\right) \frac{e_q^2}{4\pi} \text{Tr} \left[ \frac{1}{2} \gamma \cdot p \gamma_\mu \gamma \cdot (p+q) \gamma_\nu \right] 2\pi\delta((p+q)^2)$$

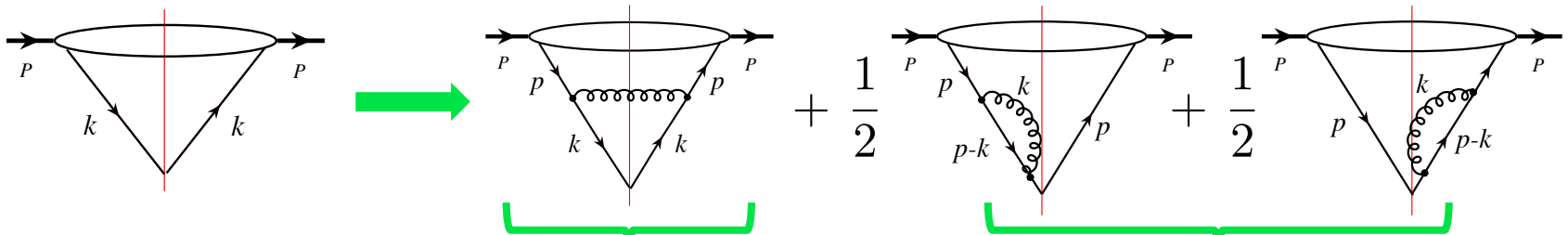
$$= e_q^2 x\delta(1-x)$$

$$C_q^{(0)}(x) = e_q^2 x\delta(1-x)$$

**Backup slides for a complete example of NLO calculation in QCD!**

# Calculation of evolution kernels

- Evolution kernels are process independent  
= Parton distribution functions are universal
- Extract from calculating parton PDFs' scale dependence



$$Q^2 \frac{d}{dQ^2} q_i(x, Q^2) = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dx_1}{x_1} q_i(x_1, Q^2) \gamma_{qq} \left[ \frac{x}{x_1} \right] - \frac{\alpha_s}{2\pi} q_i(x, Q^2) \int_0^1 dz \gamma_{qq}(z)$$

Change

“Gain”

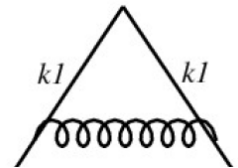
“Loss”

Collins, Qiu, 1989

- One loop contribution in dimensional regularization:

$$\varphi_{q/q}^{(1)}(x, \mu^2) = \left( \frac{\alpha_s}{2\pi} \right) P_{qq}(x) \left\{ \left( \frac{1}{\epsilon} \right)_{UV} + \left( -\frac{1}{\epsilon} \right)_{CO} \right\} + UV-CT$$

$$\Rightarrow \infty \text{ as } \epsilon \rightarrow 0$$



Recall:

$$C_q^{(1)}(x, Q^2 / \mu^2) = F_{2q}^{(1)}(x, Q^2) - F_{2q}^{(0)}(x, Q^2) \otimes \varphi_{q/q}^{(1)}(x, \mu^2)$$



Scheme dependence!

## □ Common UV-CT terms:

✧ **MS scheme:** 
$$\text{UV-CT}|_{\text{MS}} = -\frac{\alpha_s}{2\pi} P_{qq}(x) \left( \frac{1}{\epsilon} \right)_{\text{UV}}$$

✧  **$\overline{\text{MS}}$  scheme:** 
$$\text{UV-CT}|_{\overline{\text{MS}}} = -\frac{\alpha_s}{2\pi} P_{qq}(x) \left( \frac{1}{\epsilon} \right)_{\text{UV}} \left( 1 + \epsilon \ln(4\pi e^{-\gamma_E}) \right)$$

✧ **DIS scheme:** choose a UV-CT, such that 
$$C_q^{(1)}(x, Q^2 / \mu^2)|_{\text{DIS}} = 0$$

## □ One loop coefficient function:

$$C_q^{(1)}(x, Q^2 / \mu^2) = F_{2q}^{(1)}(x, Q^2) - F_{2q}^{(0)}(x, Q^2) \otimes \varphi_{q/q}^{(1)}(x, \mu^2)$$

$$C_q^{(1)}(x, Q^2 / \mu^2) = e_q^2 x \frac{\alpha_s}{2\pi} \left\{ P_{qq}(x) \ln \left( \frac{Q^2}{\mu_{\overline{\text{MS}}}^2} \right) + C_F \left[ (1+x^2) \left( \frac{1n(1-x)}{1-x} \right)_+ - \frac{3}{2} \left( \frac{1}{1-x} \right)_+ - \frac{1+x^2}{1-x} 1n(x) + 3 + 2x - \left( \frac{9}{2} + \frac{\pi^2}{3} \right) \delta(1-x) \right] \right\}$$

***IR safe as required by the QCD factorization!***

# Global QCD analyses – Testing QCD

## □ Factorization for observables with identified hadrons:

### ✧ Factorized cross sections (DIS):

$$F_2(x_B, Q^2) = \sum_f C_f(x_B/x, \mu^2/Q^2) \otimes f(x, \mu^2)$$

### ✧ DGLAP Evolution:

$$\frac{\partial f(x, \mu^2)}{\partial \ln \mu^2} = \sum_{f'} P_{ff'}(x/x') \otimes f'(x', \mu^2)$$

### ✧ Adding more observables:

Factorized cross section with multiple-hadrons (next lecture)

***Testing QCD: Universal PDFs for all cross sections?***

## □ Input for QCD Global analysis/fitting:

### ✧ World data with “Q” > 2 GeV

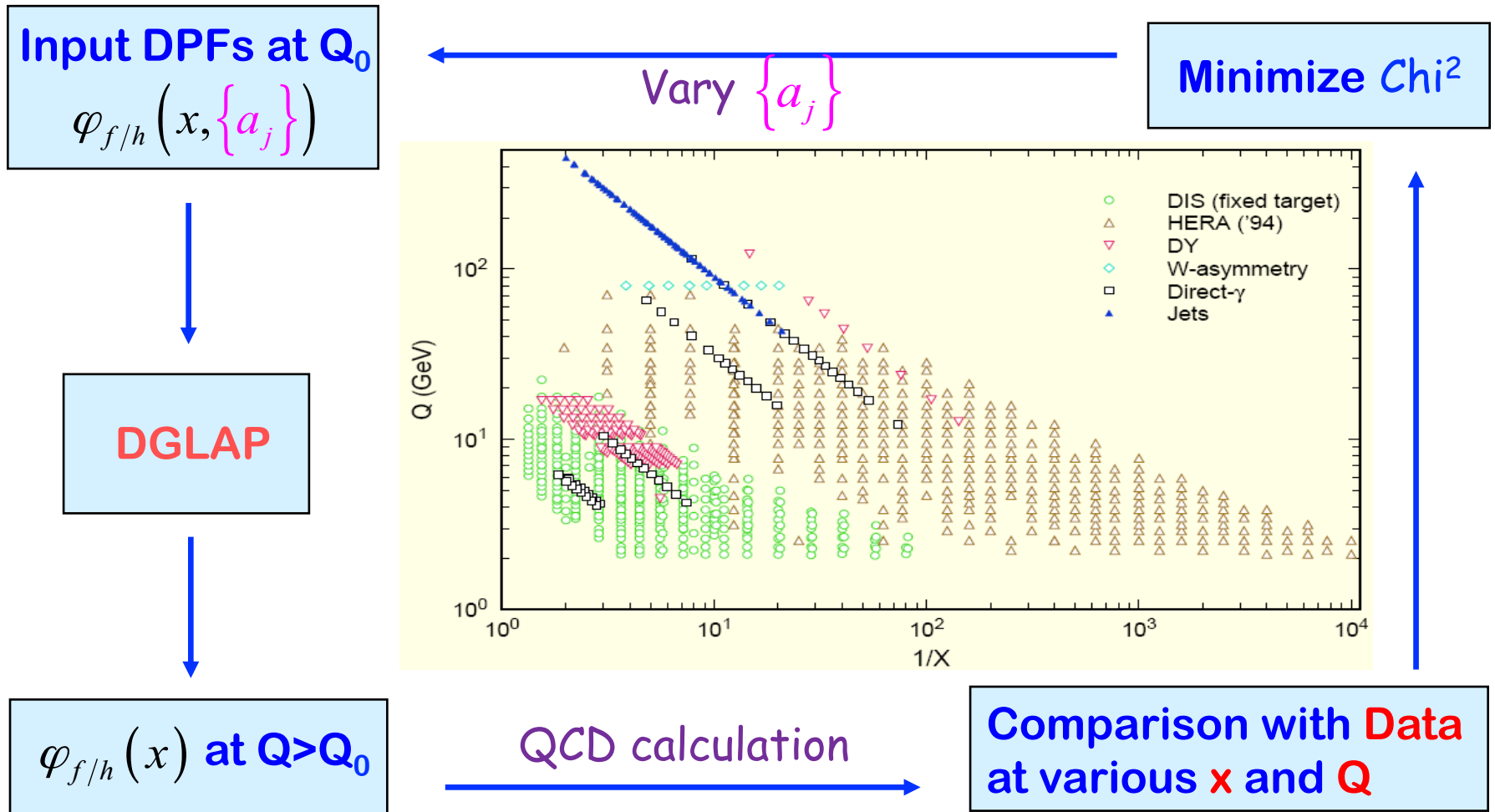
### ✧ PDFs at an input scale: $\phi_{f/h}(x, \mu_0^2, \{\alpha_j\})$

Input scale ~ GeV

Fitting parameters



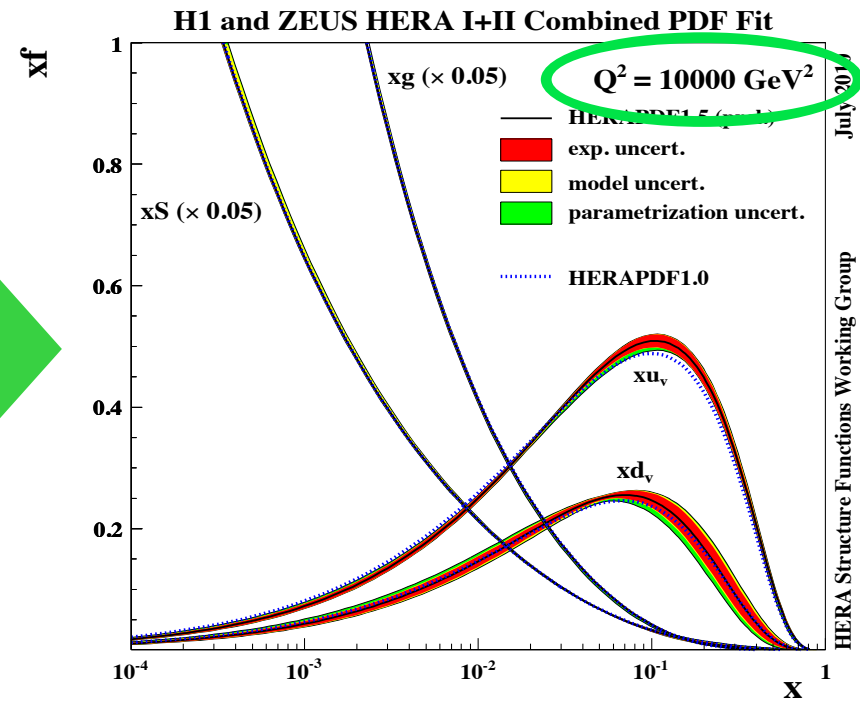
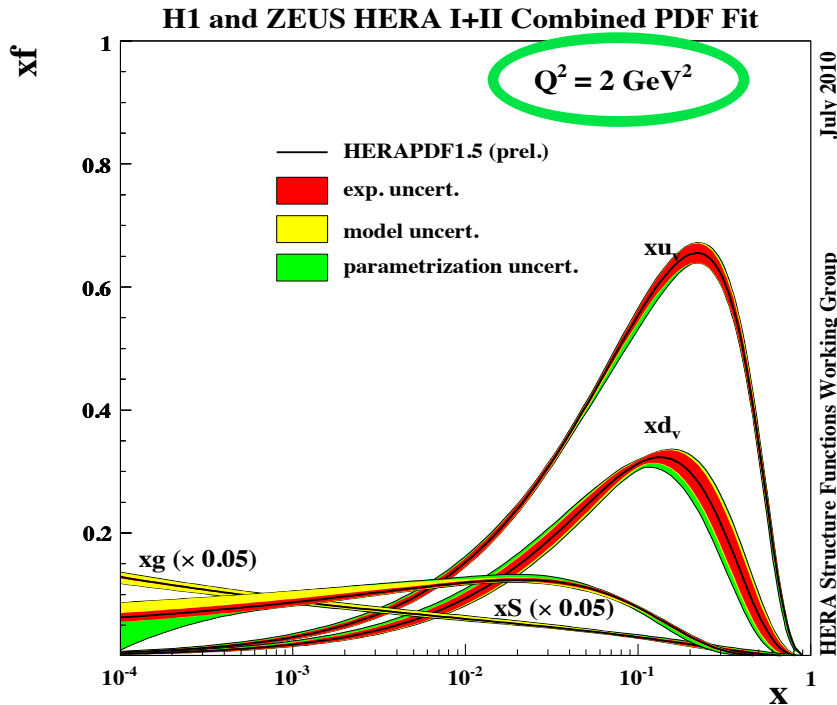
# Global QCD analysis – Testing QCD



Procedure: Iterate to find the best set of  $\{a_j\}$  for the input DPFs

# PDFs from DIS alone

□  $Q^2$ -dependence is a prediction of pQCD calculation:



□ Physics interpretation of PDFs:

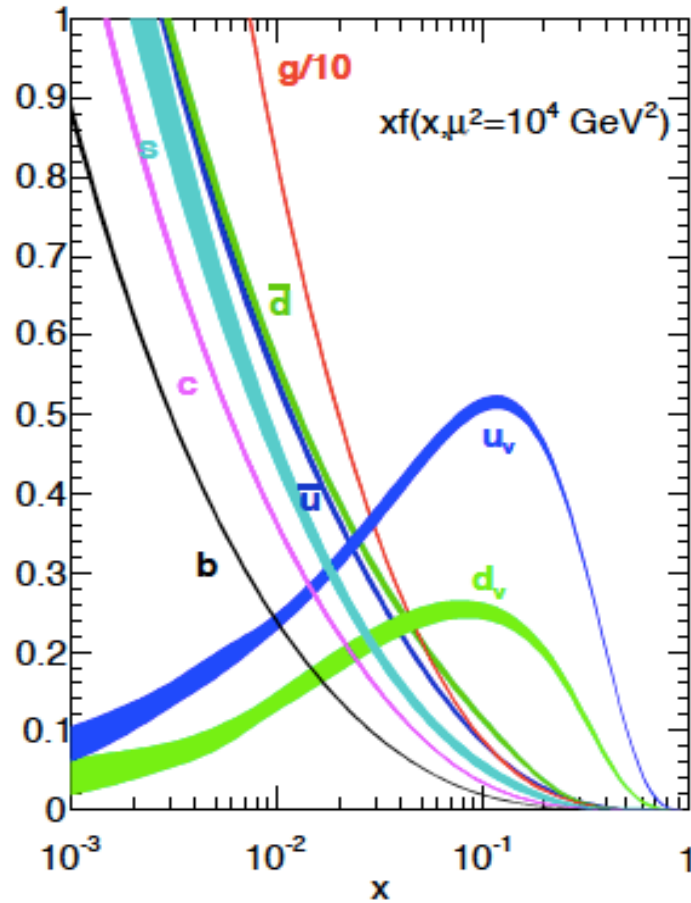
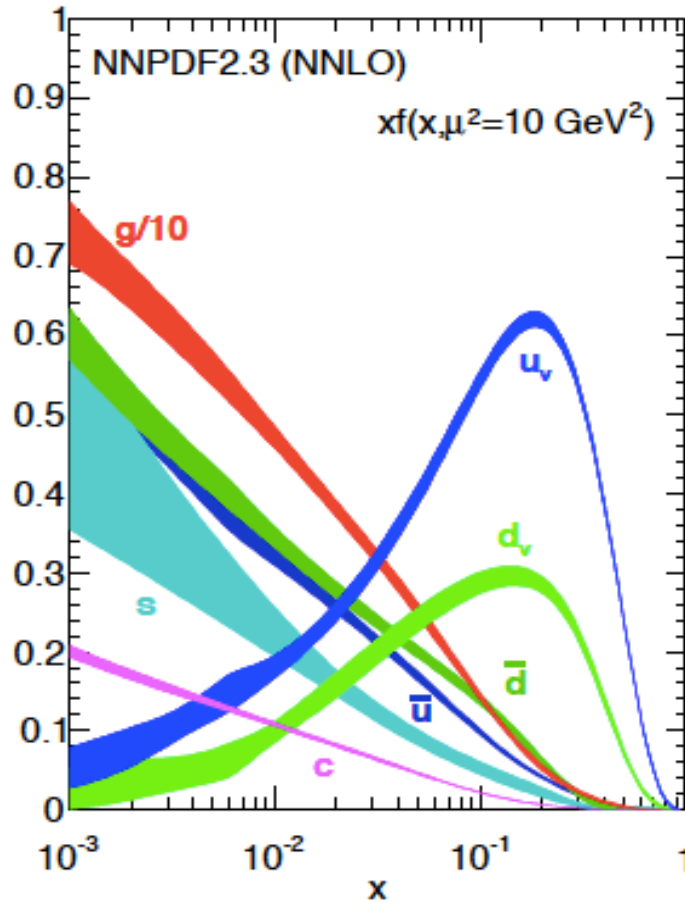
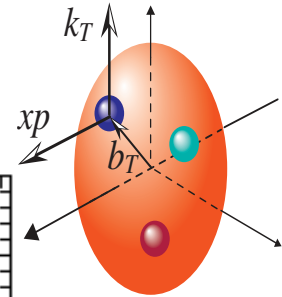
$f(x, Q^2)$  : Probability density to find a parton of flavor “f” carrying momentum fraction “x”, probed at a scale of “ $Q^2$ ”

✧ Number of partons:  $\int_0^1 dx u_v(x, Q^2) = 2, \int_0^1 dx d_v(x, Q^2) = 1$

✧ Momentum fraction:  $\langle x(Q^2) \rangle_f = \int_0^1 dx x f(x, Q^2) \longrightarrow \sum_f \langle x(Q^2) \rangle = 1$

# PDFs from Global Fitting

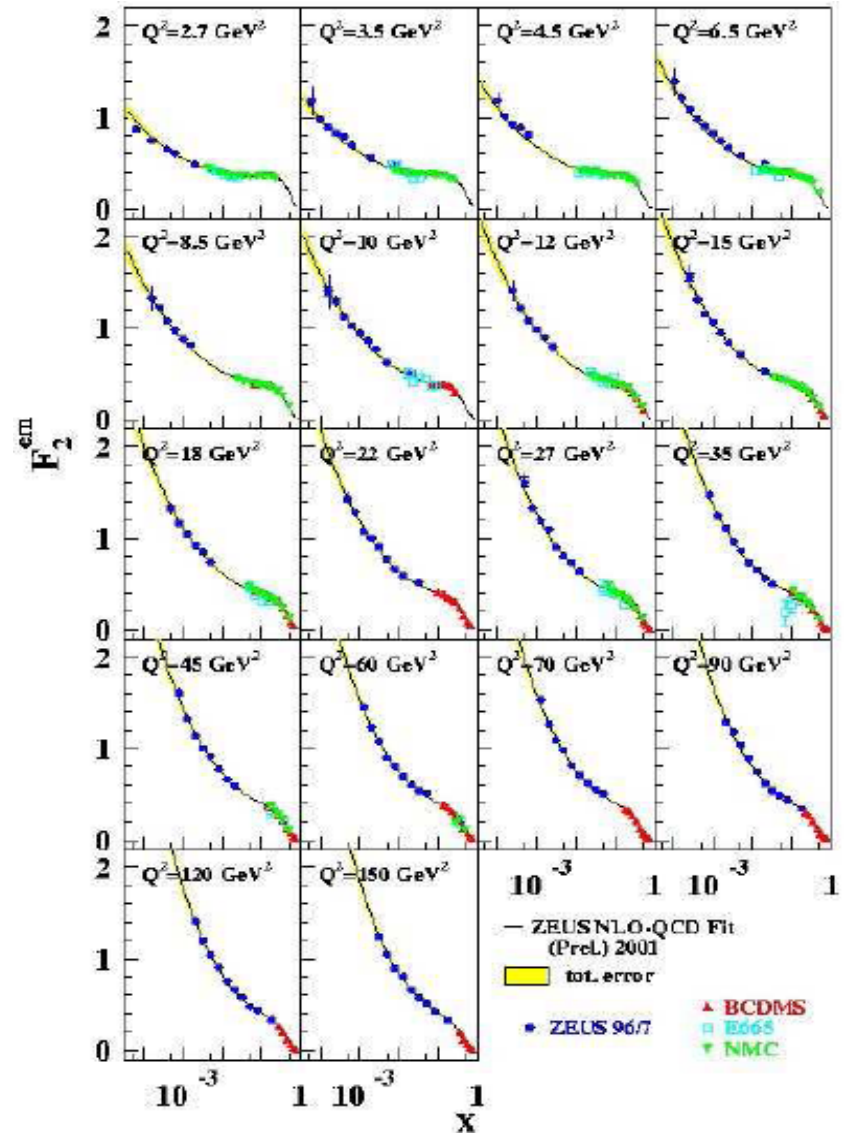
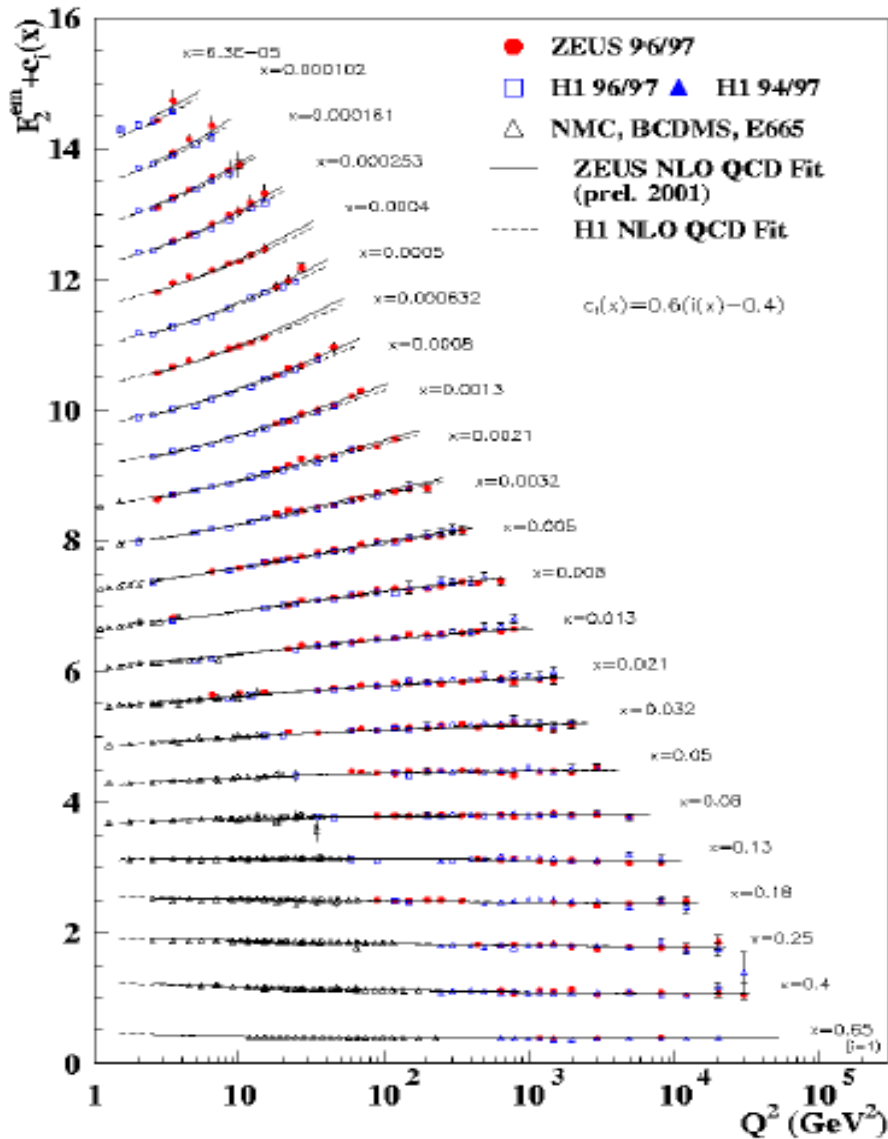
□ Modern sets of PDFs @NNLO with uncertainties:



K.A. Olive et al. (Particle Data Group), *Chin. Phys. C*, 38, 090001 (2014)

**Consistently fit almost all data with  $Q > 2\text{GeV}$**

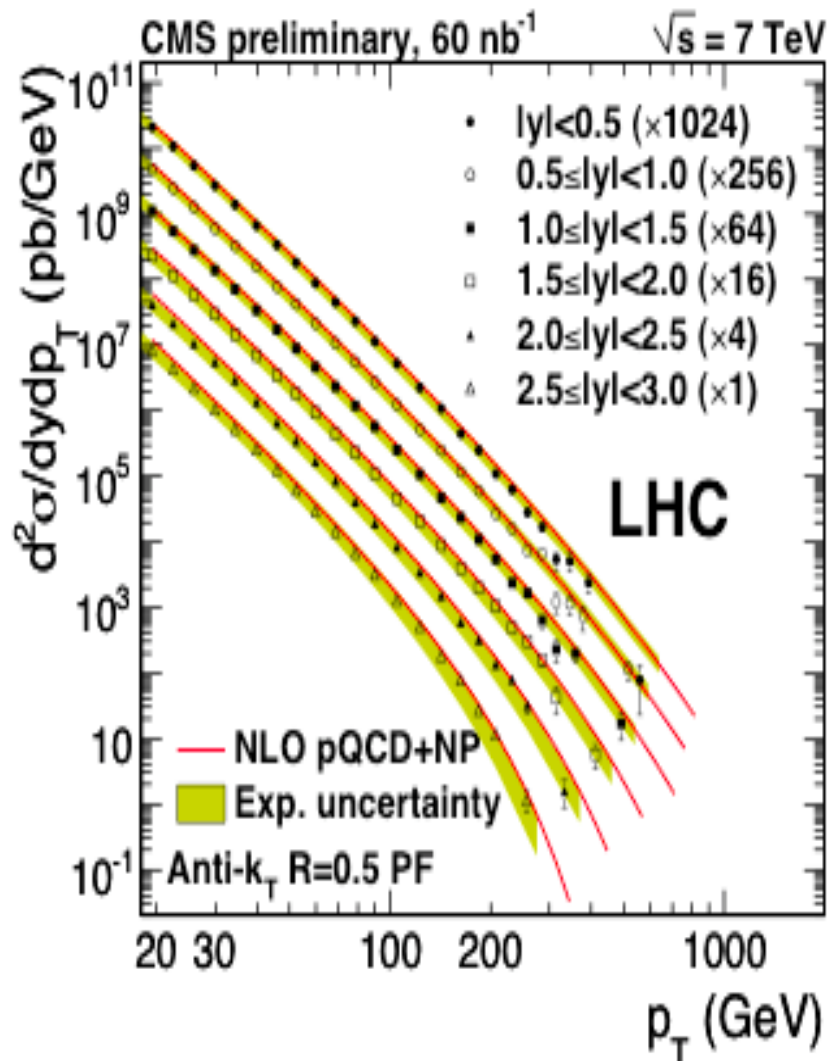
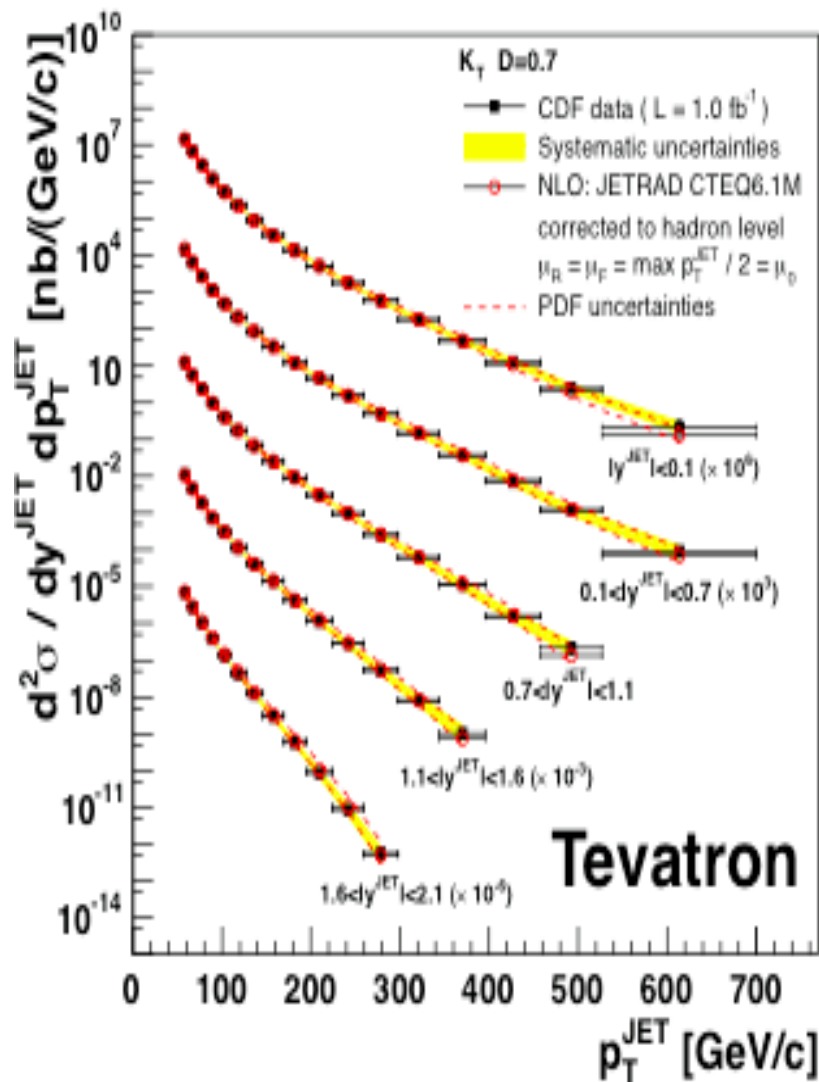
# Scaling and scaling violation



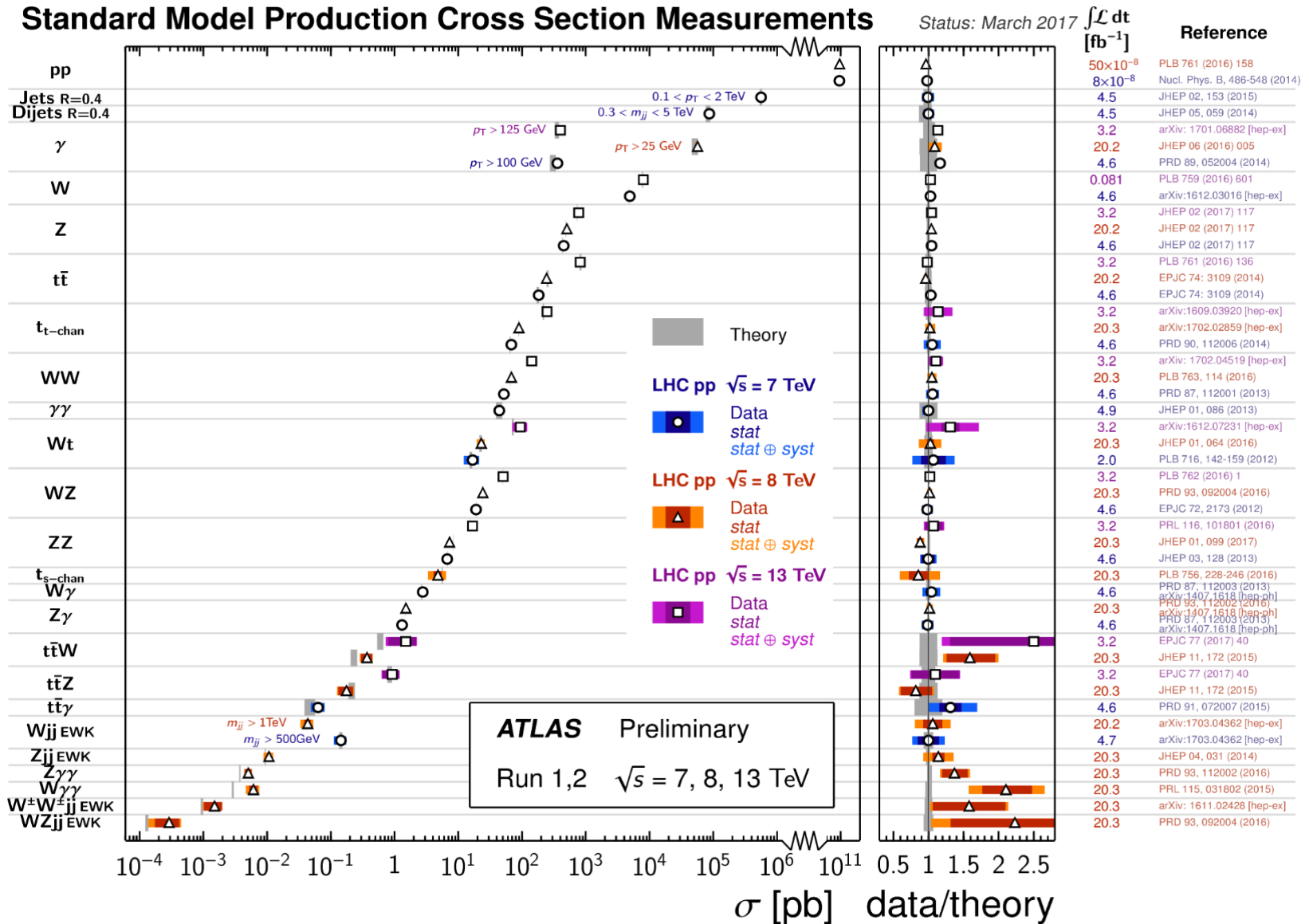
*$Q^2$ -dependence is a prediction of pQCD calculation*

# Hadronic Jet Production

## □ Predictions with extracted PDFs:

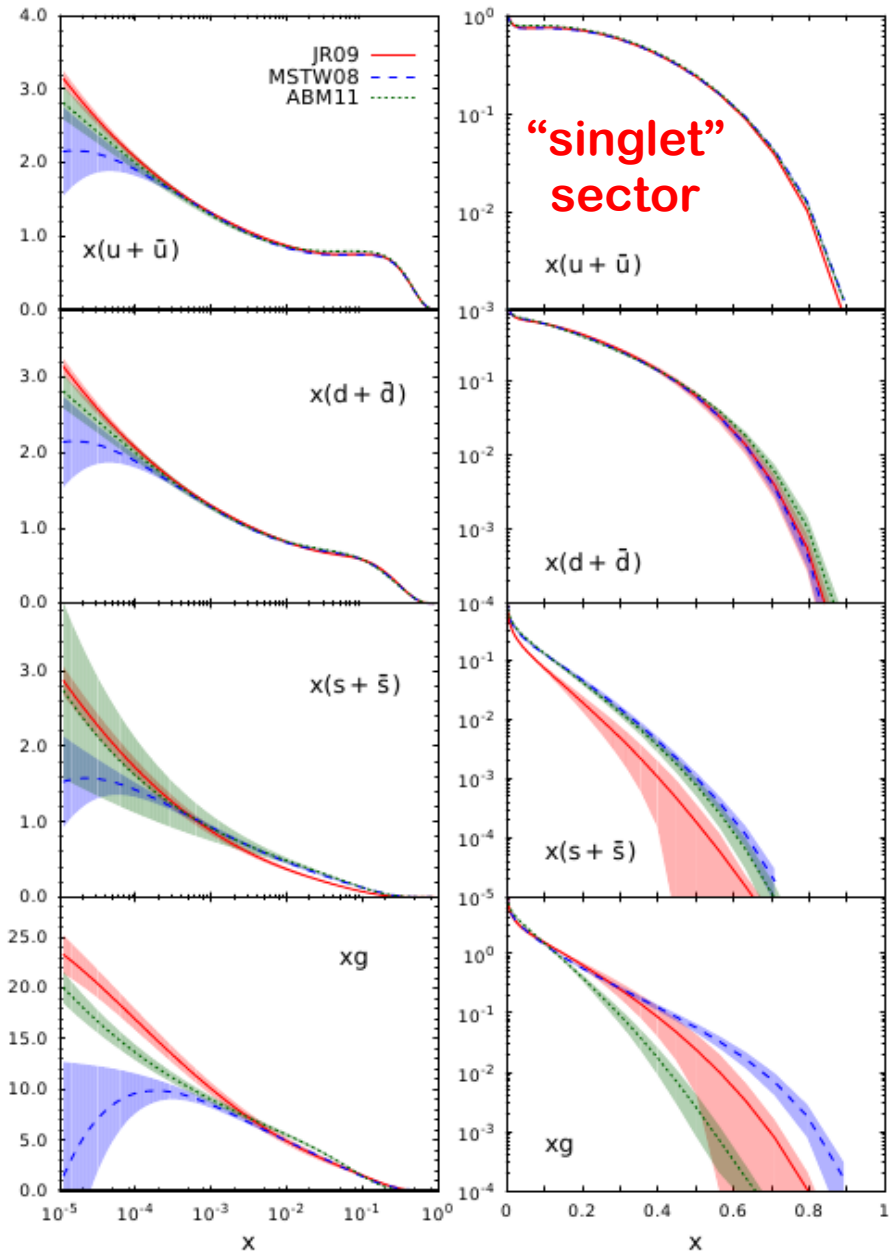
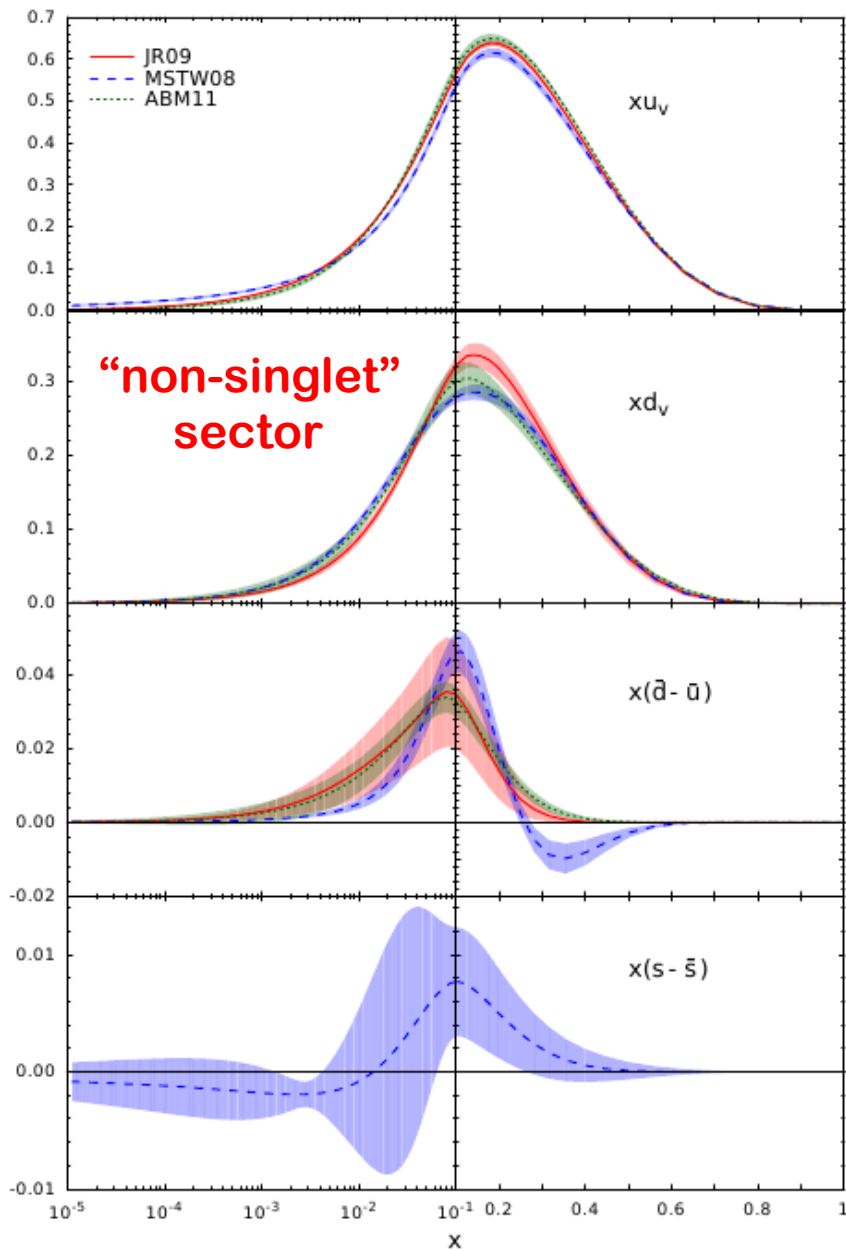


# The great success of the SM physics



**SM: Electroweak processes + QCD perturbation theory works!**

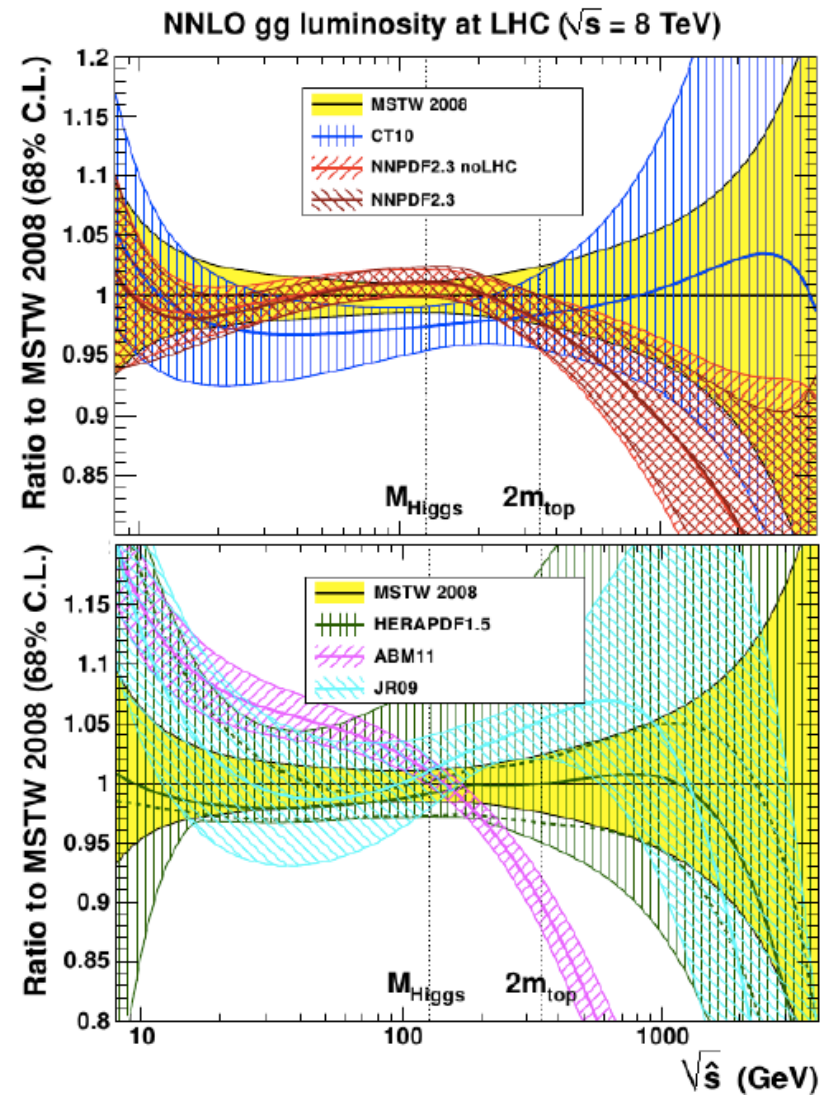
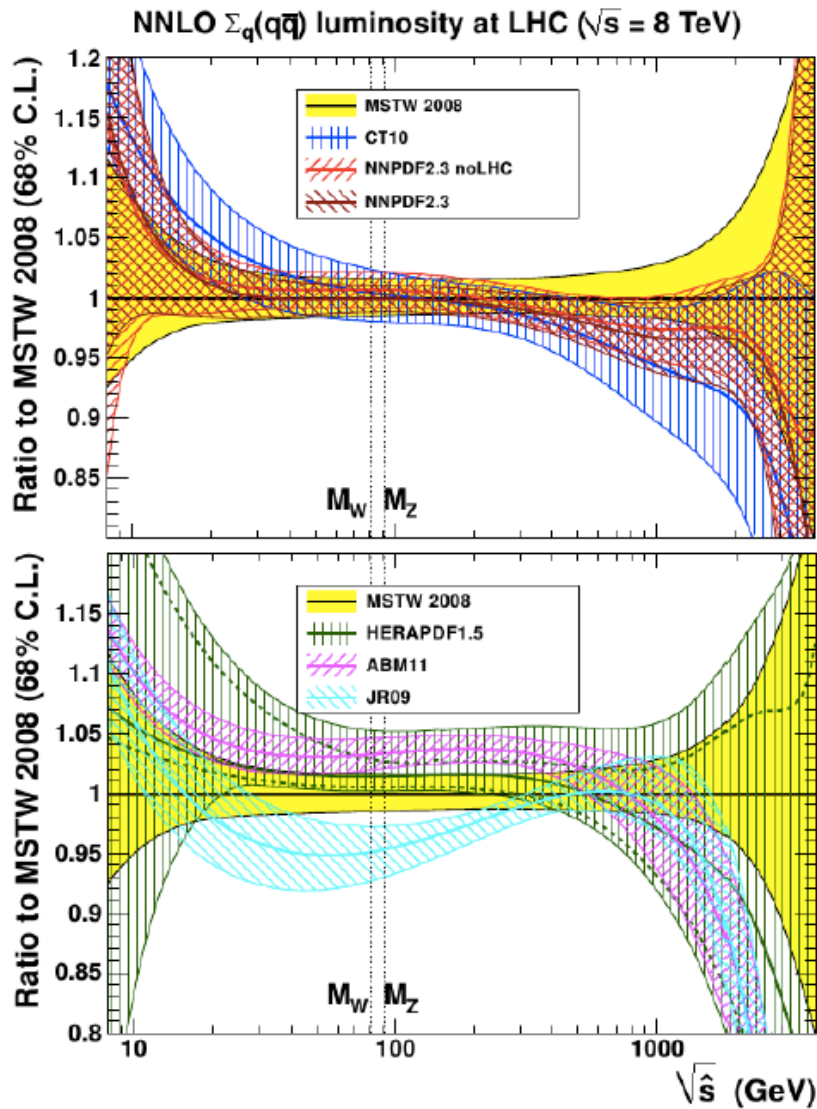
# Uncertainties of PDFs



# Partonic luminosities

q - qbar

g - g





# PDFs at large x

□ Testing ground for hadron structure at  $x \rightarrow 1$ :

✧  $d/u \rightarrow 1/2$

SU(6) Spin-flavor symmetry

✧  $d/u \rightarrow 0$

Scalar diquark dominance

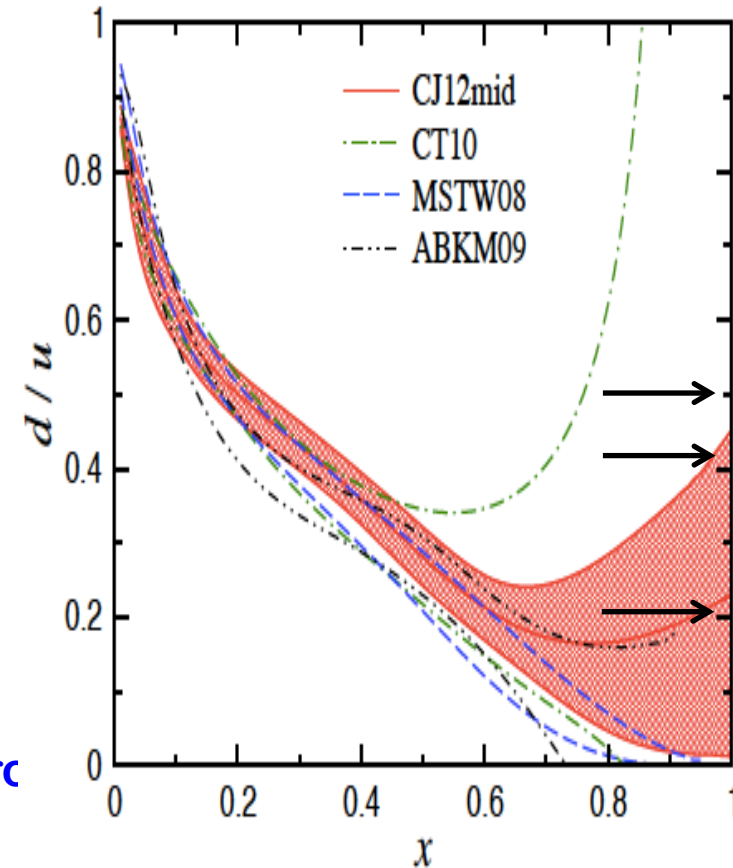
✧  $d/u \rightarrow 1/5$

pQCD power counting

✧  $d/u \rightarrow \frac{4\mu_n^2/\mu_p^2 - 1}{4 - \mu_n^2/\mu_p^2}$

Local quark-hadron duality

$\approx 0.42$



# PDFs at large x

## □ Testing ground for hadron structure at $x \rightarrow 1$ :

$$\diamond d/u \rightarrow 1/2$$

SU(6) Spin-flavor  
symmetry

$$\diamond \Delta u/u \rightarrow 2/3$$
$$\Delta d/d \rightarrow -1/3$$

$$\diamond d/u \rightarrow 0$$

Scalar diquark  
dominance

$$\diamond \Delta u/u \rightarrow 1$$
$$\Delta d/d \rightarrow -1/3$$

$$\diamond d/u \rightarrow 1/5$$

pQCD power  
counting

$$\diamond \Delta u/u \rightarrow 1$$
$$\Delta d/d \rightarrow 1$$

$$\diamond d/u \rightarrow \frac{4\mu_n^2/\mu_p^2 - 1}{4 - \mu_n^2/\mu_p^2}$$

Local quark-hadron  
duality

$$\diamond \Delta u/u \rightarrow 1$$
$$\Delta d/d \rightarrow 1$$

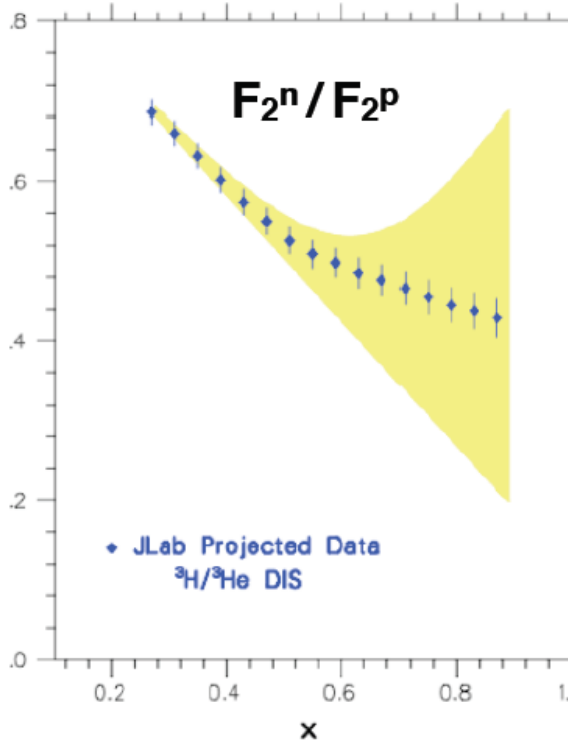
$$\approx 0.42$$

*What data try to say?*

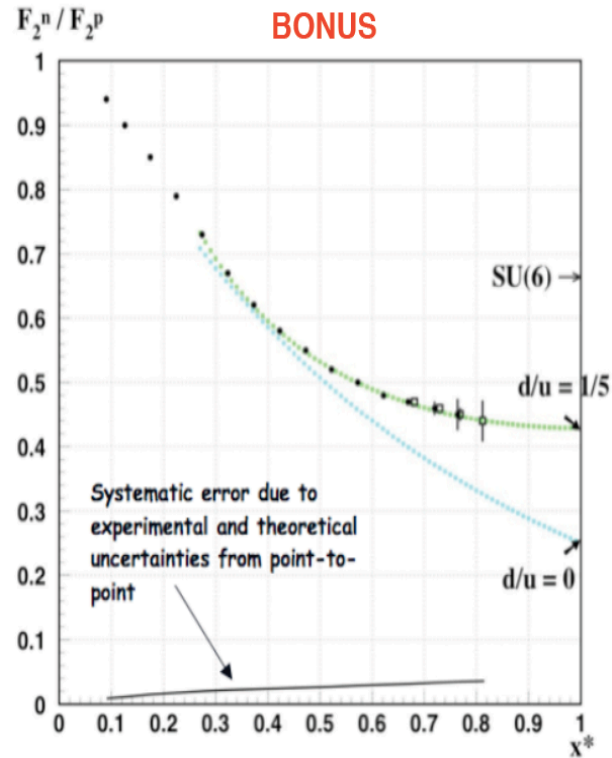
# Future large-x experiments

## □ At JLab 12GeV:

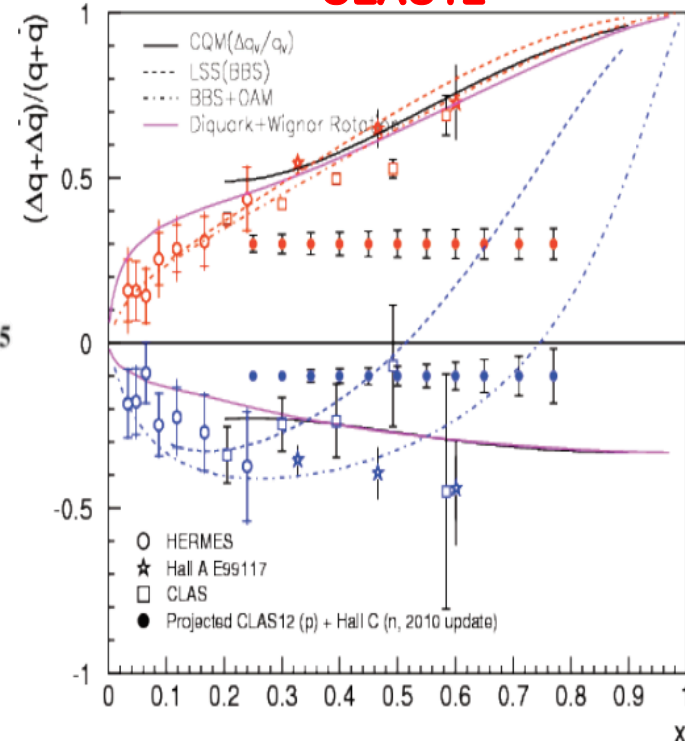
### MARATHON



### BONUS



### CLAS12



## Plus many more JLab experiments:

E12-06-110 (Hall C on  ${}^3\text{He}$ ), E12-06-122 (Hall A on  ${}^3\text{He}$ ),

E12-06-109 (CLAS on  $\text{NH}_3$ ,  $\text{ND}_3$ ), ...

and Fermilab E906, ...

*Can lattice QCD help?*

# Calculate partonic structure in lattice QCD?

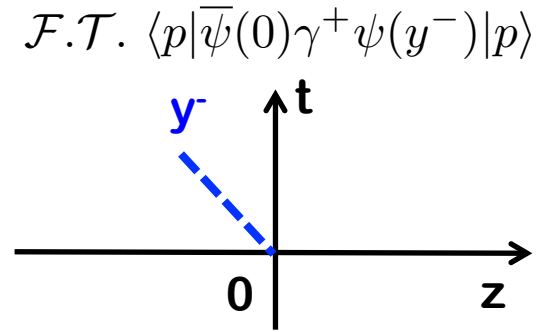
❑ **Answer: Not directly!**

Large momentum transfer & collinear approximation



Operators on light-cone

Can't be calculated in lattice QCD



❑ **Physical observables in the path integral:**

$$\langle \mathcal{O} \rangle \equiv \frac{1}{Z} \int \mathcal{D}A \mathcal{D}\bar{\psi} \mathcal{D}\psi \mathcal{O}(\bar{\psi}, \psi, A) e^{iS(\bar{\psi}, \psi, A)} \quad \text{with} \quad Z = \int \mathcal{D}A \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{iS(\bar{\psi}, \psi, A)}$$

❑ **Lattice QCD in Euclidian Space:**  $t \rightarrow i t_E$

$$\langle \mathcal{O} \rangle \equiv \frac{1}{Z} \int \mathcal{D}A \mathcal{D}\bar{\psi} \mathcal{D}\psi \mathcal{O}_E(\bar{\psi}, \psi, A) e^{-S_E(\bar{\psi}, \psi, A)}$$

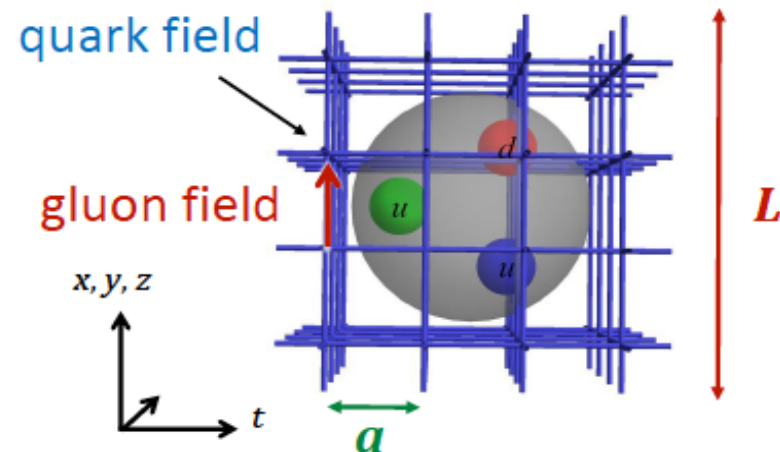
**IF**  $\mathcal{O}(\bar{\psi}, \psi, A)$  depends on time,

$$\mathcal{O}(\bar{\psi}, \psi, A) \neq \mathcal{O}_E(\bar{\psi}, \psi, A) !$$

➡ **Lattice QCD calculable observables:**

✧ Time-independent operators

✧ Has a reliable continuous limit



# Hadron's partonic structure from Lattice QCD

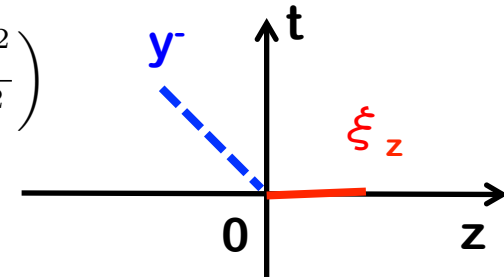
## □ Quasi-PDFs:

Ji, arXiv:1305.1539

$$\tilde{q}(x, \mu^2, P_z) \equiv \int \frac{d\xi_z}{4\pi} e^{-ixP_z\xi_z} \langle P | \bar{\psi}(\xi_z) \gamma_z \exp \left\{ -ig \int_0^{\xi_z} d\eta_z A_z(\eta_z) \right\} \psi(0) | P \rangle + \text{UV}$$

Proposed  
matching:

$$\tilde{q}(x, \mu^2, P_z) = \int_x^1 \frac{dy}{y} Z \left( \frac{x}{y}, \frac{\mu}{P_z} \right) q(y, \mu^2) + \mathcal{O} \left( \frac{\Lambda^2}{P_z^2}, \frac{M^2}{P_z^2} \right)$$



- ✧ Gluon & quark flavor mixing contribution beyond LO!
- ✧ Power UV divergence!
- ✧ Colliner factorization?

$$\mu^2 \frac{d}{d\mu^2} \tilde{q}(x, \mu^2, P_z) \neq \text{DGLAP}$$

## □ Pseudo-PDFs:

$$\begin{aligned} \mathcal{M}^\alpha(\nu = p \cdot \xi, \xi^2) &\equiv \langle p | \bar{\psi}(0) \gamma^\alpha \Phi_\nu(0, \xi, \nu \cdot A) \psi(\xi) | p \rangle \\ &\equiv 2p^\alpha \mathcal{M}_p(\nu, \xi^2) + \xi^\alpha (p^2/\nu) \mathcal{M}_\xi(\nu, \xi^2) \approx 2p^\alpha \mathcal{M}_p(\nu, \xi^2) \end{aligned}$$

Radyushkin, 2017

$$\mathcal{P}(x, \xi^2) \equiv \int \frac{d\nu}{2\pi} e^{ix\nu} \frac{1}{2p^+} \mathcal{M}^+(\nu, \xi^2) \quad \text{with } \xi^2 < 0$$

Off-light-cone extension of PDFs:  $f(x) = \mathcal{P}(x, \xi^2 = 0)$  with  $\xi^\mu = (0^+, \xi^-, 0_\perp)$

## □ Other approaches, ...

“OPE without OPE” (Chambers et al. 2017), Hadronic tensor (Liu et al. 1994, ...), ...

# Hadron's partonic structure from Lattice QCD

Ma and Qiu, arXiv:1404.6860  
arXiv:1709.03018

## □ Good “Lattic cross sections”:

= Single hadron matrix element:

$$\sigma_n(\omega, \xi^2, P^2) = \langle P | T \{ \mathcal{O}_n(\xi) \} | P \rangle \quad \text{with } \omega \equiv P \cdot \xi, \quad \xi^2 \neq 0, \quad \text{and } \xi_0 = 0; \quad \text{and}$$

- 1) can be calculated in lattice QCD with precision, has a well-defined continuum limit (UV+IR safe perturbatively), and
- 2) can be factorized into universal matrix elements of quarks and gluons *with controllable approximation*

*Collaboration between lattice QCD and perturbative QCD!*

## □ Current-current correlators:

$$\mathcal{O}_{j_1 j_2}(\xi) \equiv \xi^{d_{j_1} + d_{j_2} - 2} Z_{j_1}^{-1} Z_{j_2}^{-1} j_1(\xi) j_2(0)$$

with

$d_j$  : Dimension of the current

$Z_j$  : Renormalization constant of the current

Sample currents:

$$j_S(\xi) = \xi^2 Z_S^{-1} [\bar{\psi}_q \psi_q](\xi),$$

$$j_{V'}(\xi) = \xi Z_{V'}^{-1} [\bar{\psi}_q \gamma \cdot \xi \psi_{q'}](\xi),$$

$$j_V(\xi) = \xi Z_V^{-1} [\bar{\psi}_q \gamma \cdot \xi \psi_q](\xi),$$

$$j_G(\xi) = \xi^3 Z_G^{-1} [-\frac{1}{4} F_{\mu\nu}^c F_{\mu\nu}^c](\xi), \dots$$

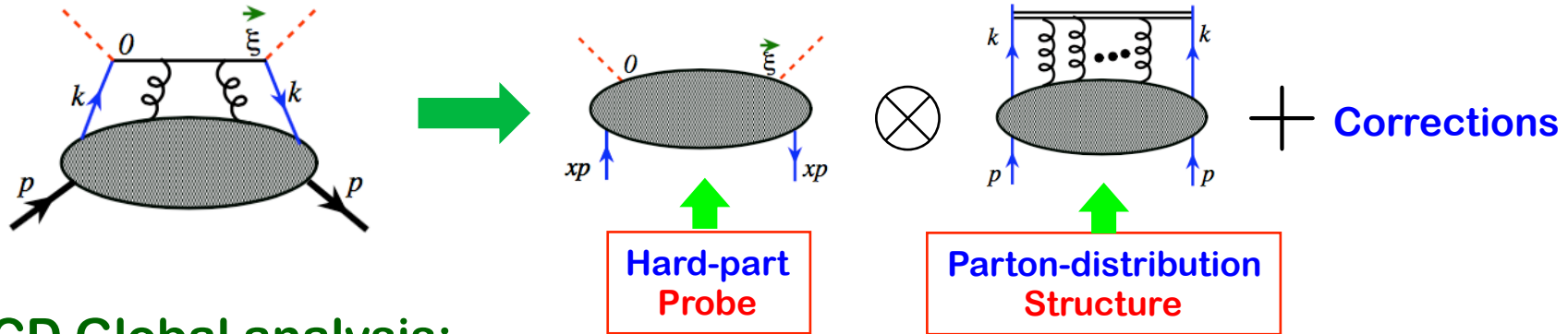
## □ Quasi- and pseudo-PDFs:

$$\mathcal{O}_q(\xi) = Z_q^{-1} (\xi^2) \bar{\psi}_q(\xi) \gamma \cdot \xi \Phi(\xi, 0) \psi_q(0)$$

$$\Phi(\xi, 0) = \mathcal{P} e^{-ig \int_0^1 \xi \cdot A(\lambda \xi) d\lambda}$$

# Hadron's partonic structure from Lattice QCD

## Factorization:



## QCD Global analysis:

$$\sigma_n(\omega, \xi^2, P^2) = \sum_a \int_{-1}^1 \frac{dx}{x} f_a(x, \mu^2) \times K_n^a(x\omega, \xi^2, x^2 P^2, \mu^2) + O(\xi^2 \Lambda_{\text{QCD}}^2)$$

with  $f_a(x, \mu^2) = -f_a(-x, \mu^2)$

## Complementarity and advantages:

- ✧ Complementary to existing approaches for extracting PDFs,
- ✧ Quasi-PDFs and pseudo-PDFs are special cases,
- ✧ Have tremendous potentials:

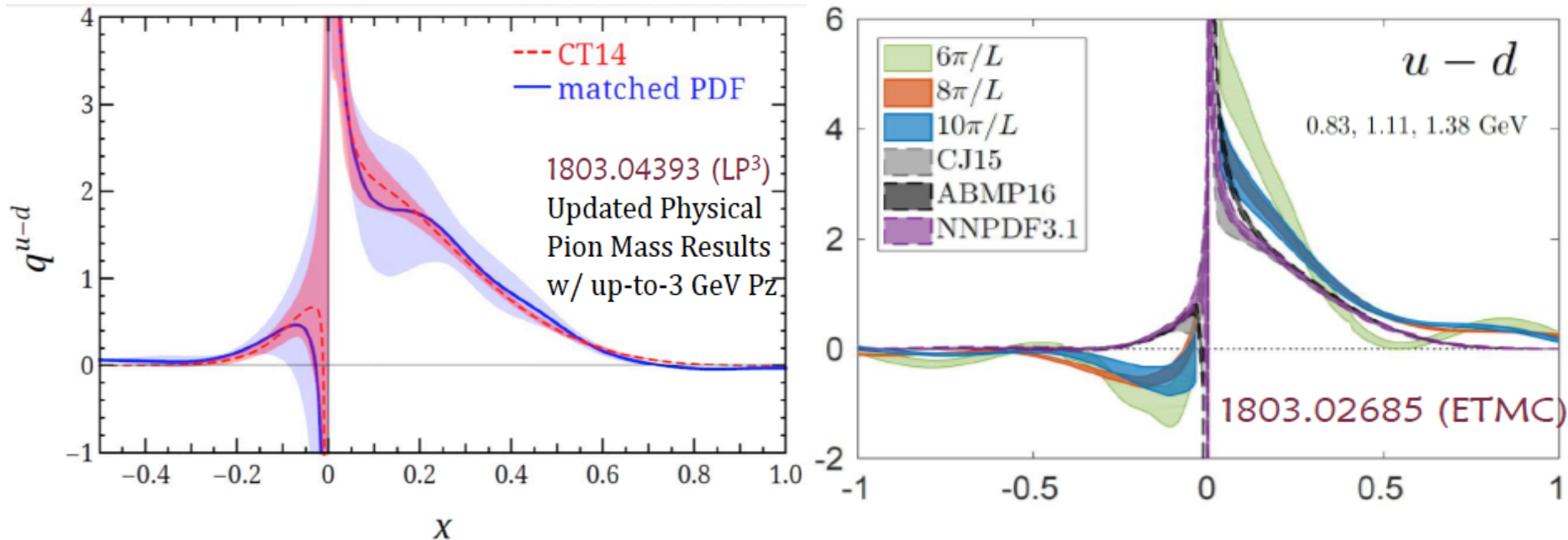
*Neutron PDFs, ... (no free neutron target!)*

*Meson PDFs, such as pion, ...*

*More direct access to gluons – gluonic current, quark flavor, ...*

# Numerical results from Lattice QCD

□ Two collaborations have performed the calculation:



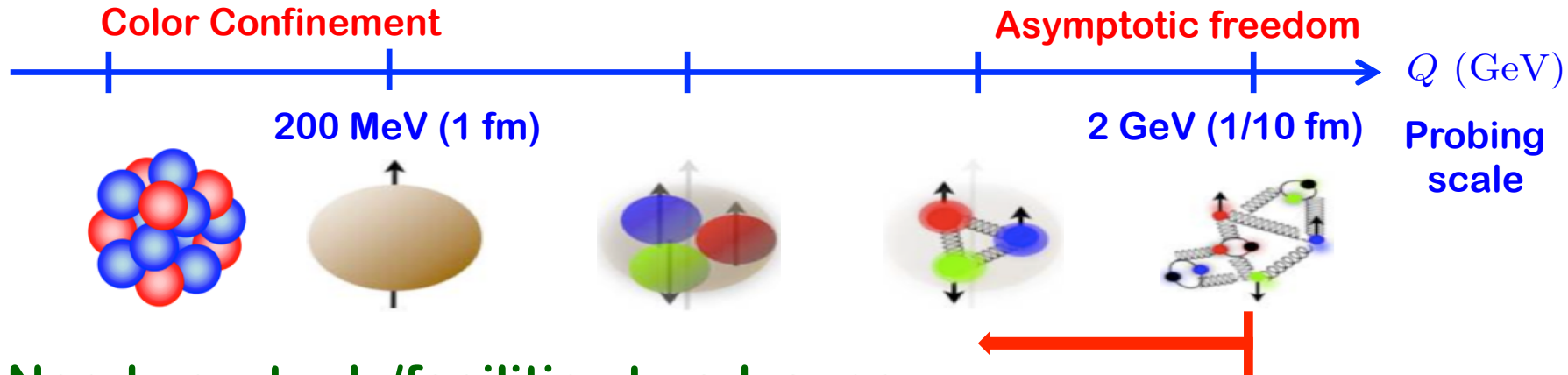
- ✧ Results are encouraging ( $|x| > 1$  region)
- ✧ Differences between two needs to be resolved
- ✧ More detailed studies are still needed
- ✧ BNL/Stony Brook is also trying to verify the results
- ✧ JLab/WM is improving its Pseudo-PDFs calculation
- ✧ JLab is calculating “two-current correlator” of Pion and Keon



# Next QCD Frontier: Femto-Science

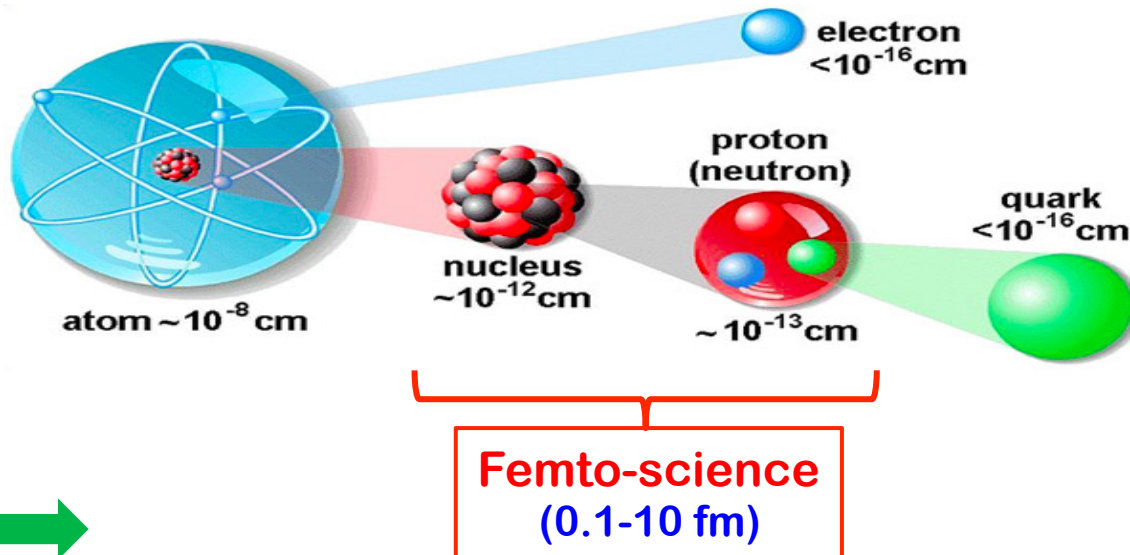
## QCD landscape of nucleon and nuclei?

*QCD is successful!*



## Need new tools/facilities to advance:

*More interesting regime of QCD*



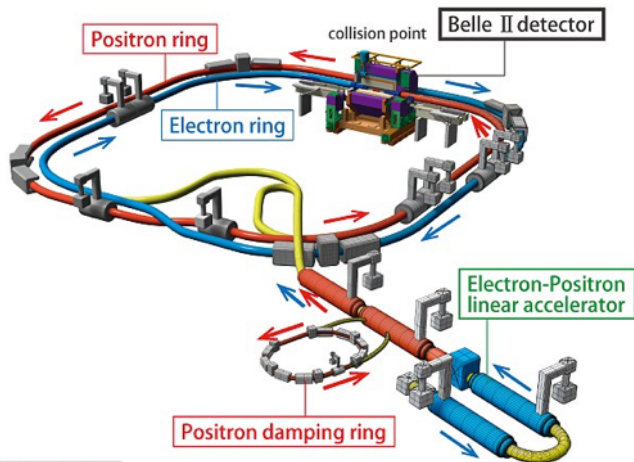
## Probes with 2 scales:

- ✧ Large scale for particle nature
- ✧ Small scale for confined motion and imaging

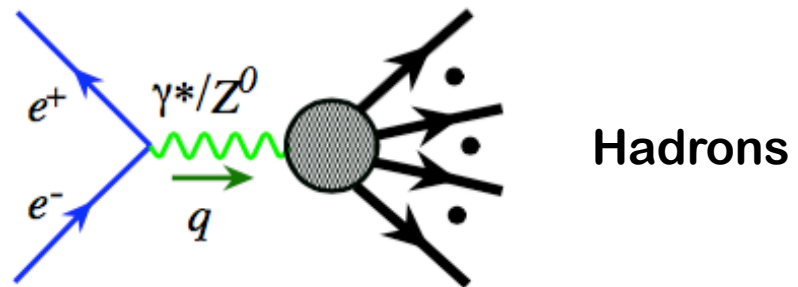
*Need a facility to be able to explore/see the structure and dynamics !*

# Hard probes from high energy collisions

## □ Lepton-lepton collisions:

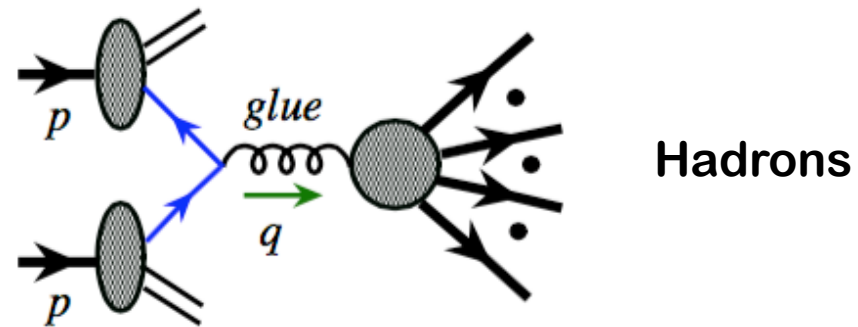
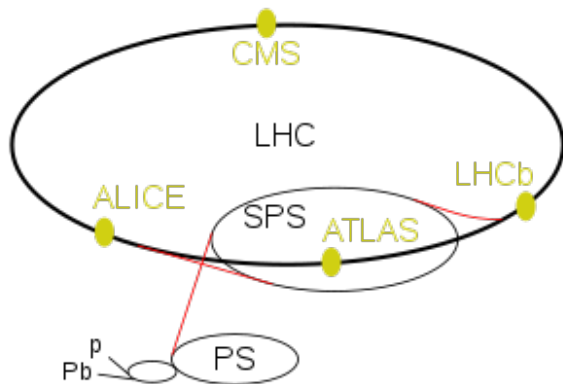


© James Fast/PNNL



- ✧ No hadron in the initial-state
- ✧ Hadrons are emerged from energy
- ✧ Not ideal for studying hadron structure

## □ Hadron-hadron collisions:



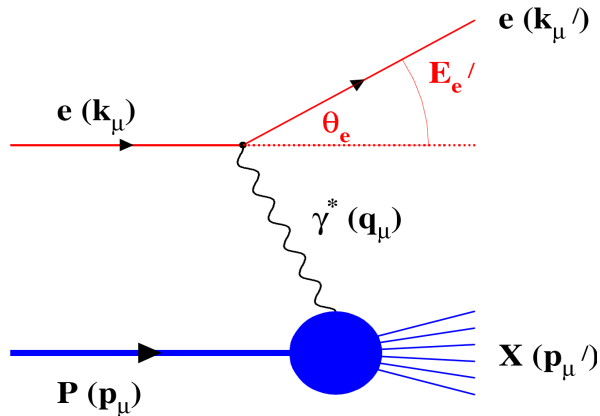
- ✧ Hadron structure – motion of quarks, ...
- ✧ Emergence of hadrons, ...
- ✧ Initial hadrons **broken** – collision effect, ...

## □ Lepton-hadron collisions:

Hard collision **without breaking** the initial-state hadron – spatial imaging, ...

# Why a lepton-hadron facility is special?

□ Many complementary probes at one facility:



$Q^2$  → Measure of resolution

$y$  → Measure of inelasticity

$x$  → Measure of momentum fraction  
of the struck quark in a proton

$$Q^2 = S \times y$$

**Inclusive events:**  $e+p/A \rightarrow e'+X$

Detect only the scattered lepton in the detector

(Modern Rutherford experiment!)

**Semi-Inclusive events:**  $e+p/A \rightarrow e'+h(\pi, K, p, \text{jet})+X$

Detect the scattered lepton in coincidence with identified hadrons/jets

(Initial hadron is broken – confined motion! – cleaner than h-h collisions)

**Exclusive events:**  $e+p/A \rightarrow e'+p'/A'+h(\pi, K, p, \text{jet})$

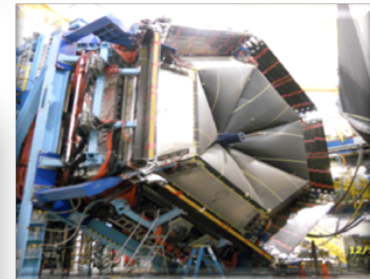
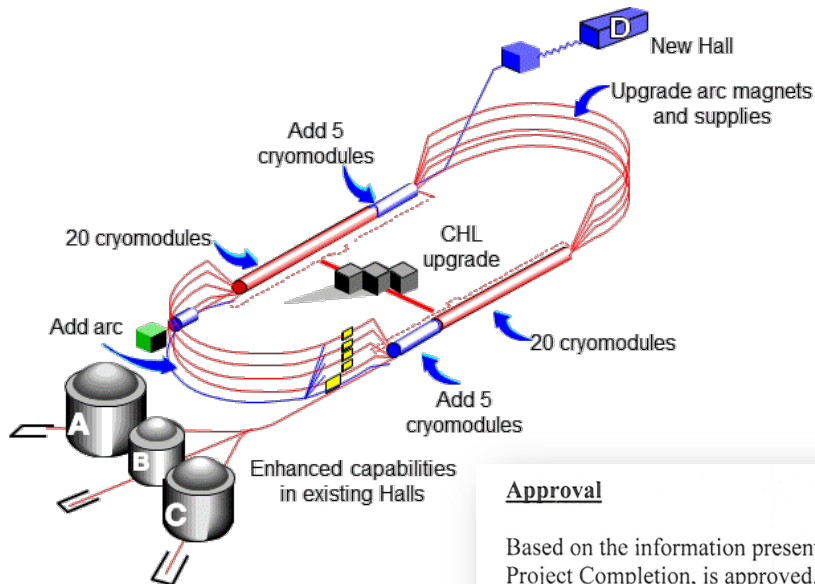
Detect every things including scattered proton/nucleus (or its fragments)

(Initial hadron is NOT broken – tomography! – almost impossible for h-h collisions)

# Jefferson Lab @ 12 GeV


## Lepton-hadron facility:

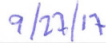
12 GeV CEBAF Upgrade Project was completed with one run, ready for more



### Approval

Based on the information presented above and at this review, Critical Decision 4, Approve Project Completion, is approved.

  
\_\_\_\_\_  
Dr. J. Stephen Binkley  
Deputy Director for Science Programs  
Office of Science

  
\_\_\_\_\_  
Date

*With an extremely high luminosity  $\sim 10^{38}$  [cm<sup>-2</sup> s<sup>-1</sup>]*

# The Electron-Ion Collider (EIC) – the Future!

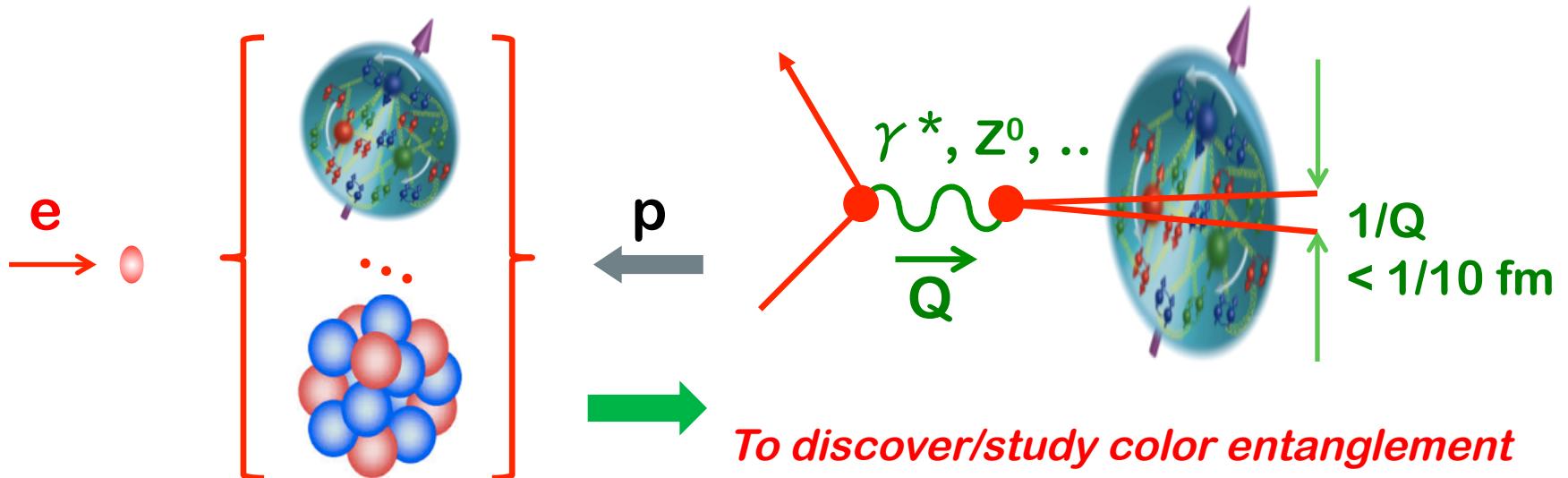
□ A sharpest “CT” – “**imagine**” quark/gluon structure without **breaking** the hadron

- “cat-scan” the nucleon and nuclei with a better than 1/10 fm resolution
- “see” proton “radius” of quark/gluon density comparing with the radius of EM charge density

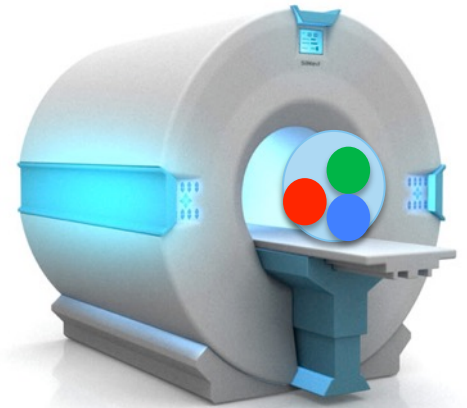


*To discover color confining radius, hints on confining mechanism!*

□ A giant “Microscope” – “see” quarks and gluons by **breaking** the hadron



*To discover/study color entanglement of the non-linear dynamics of the glue!*



# US EIC – Two Options of Realization

The White Paper  
A. Accardi et al  
Eur. Phys. J.  
A52 (2016) 268

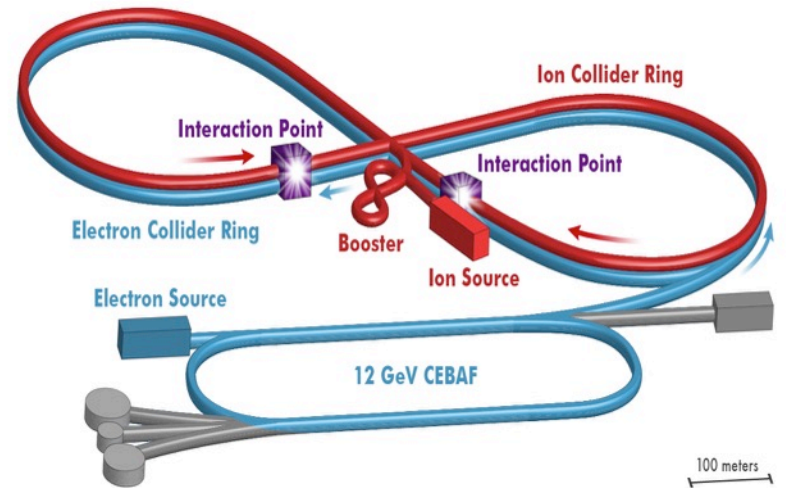
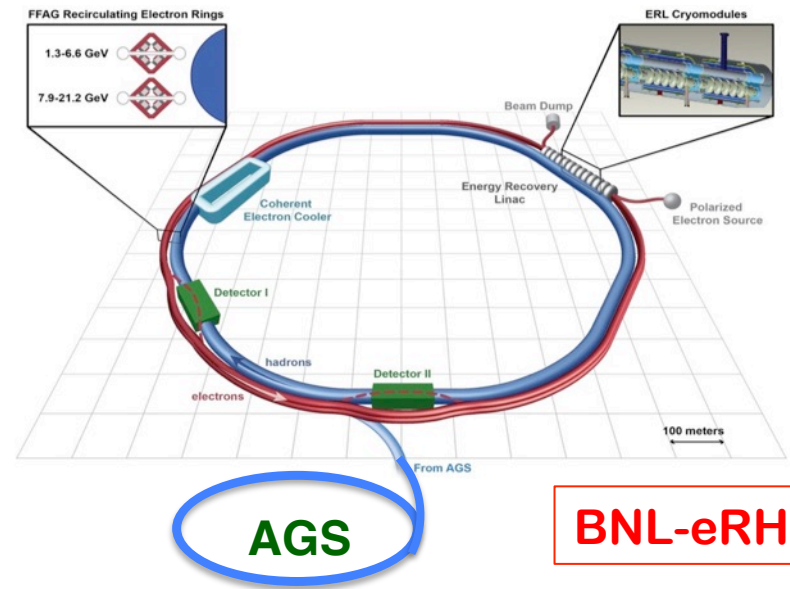


## Electron Ion Collider: The Next QCD Frontier

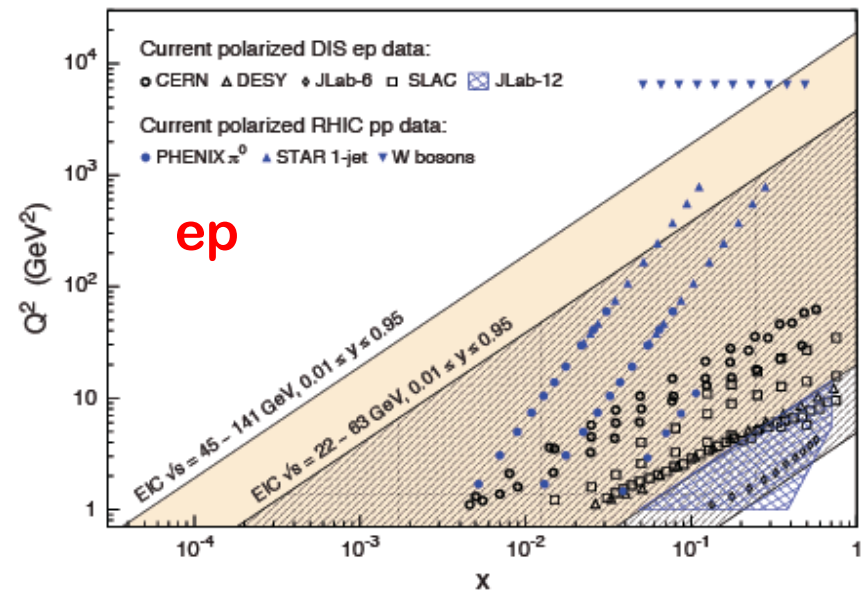
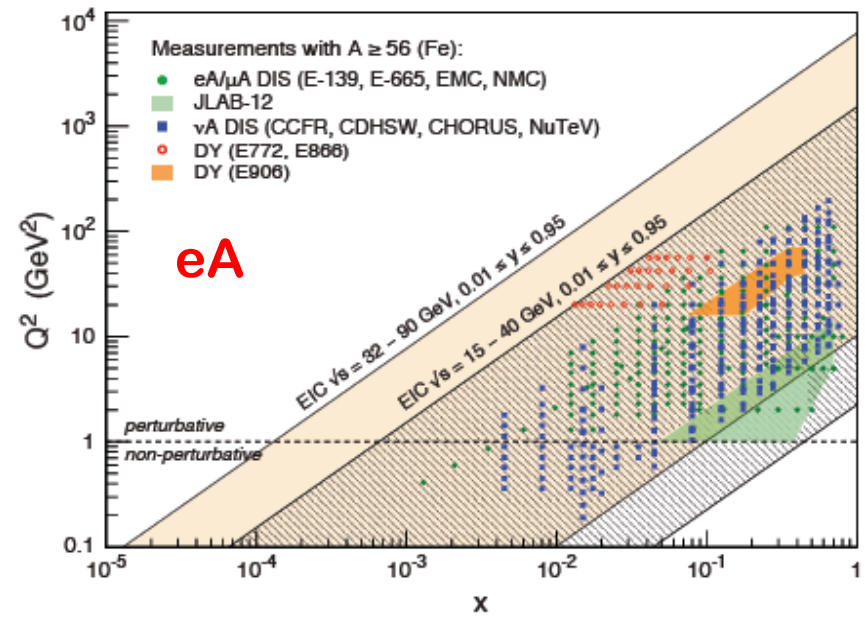
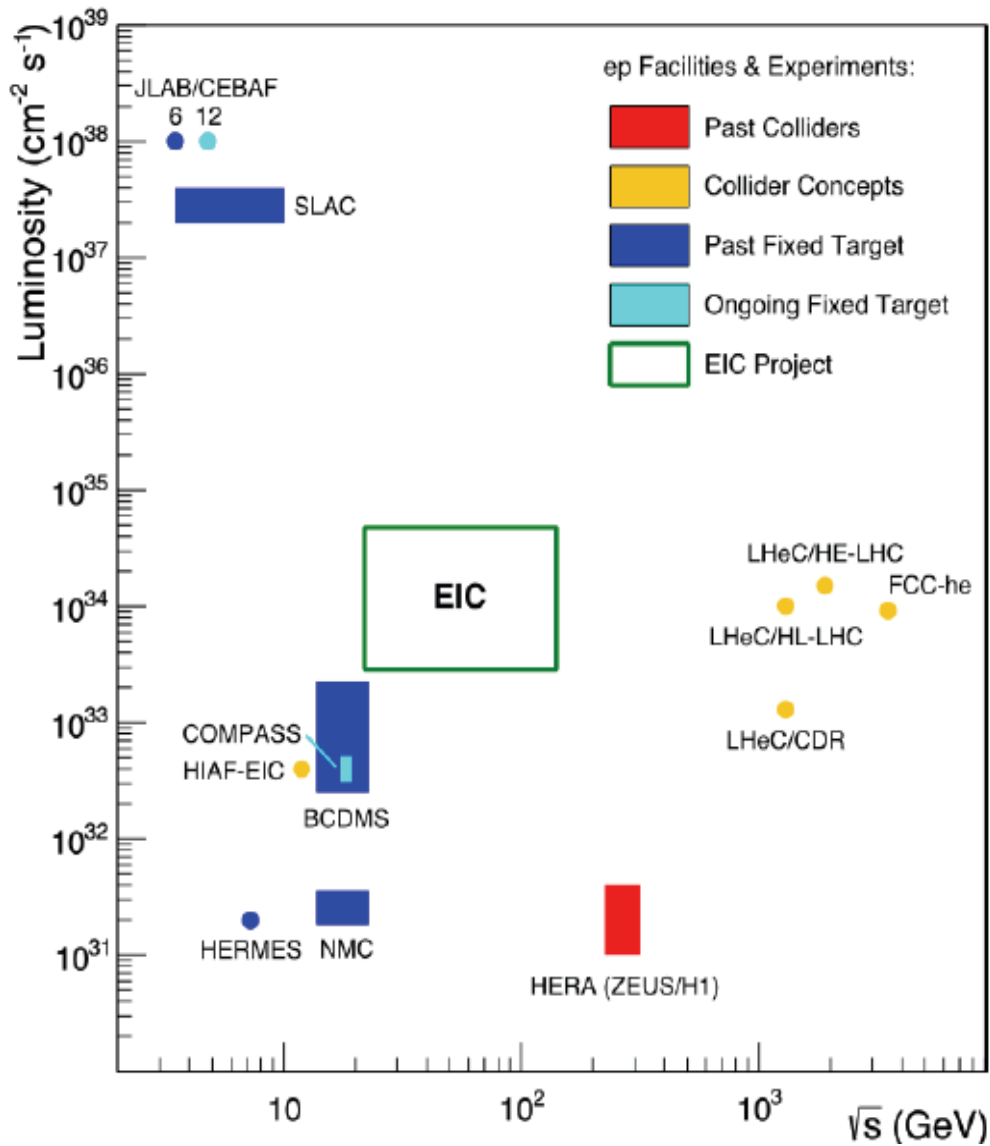
Understanding the glue  
that binds us all

Edited by A. Deshpande  
Z.-E. Meziani  
J.-W. Qiu

SECOND EDITION



# US EIC – Luminosity & kinematics coverage



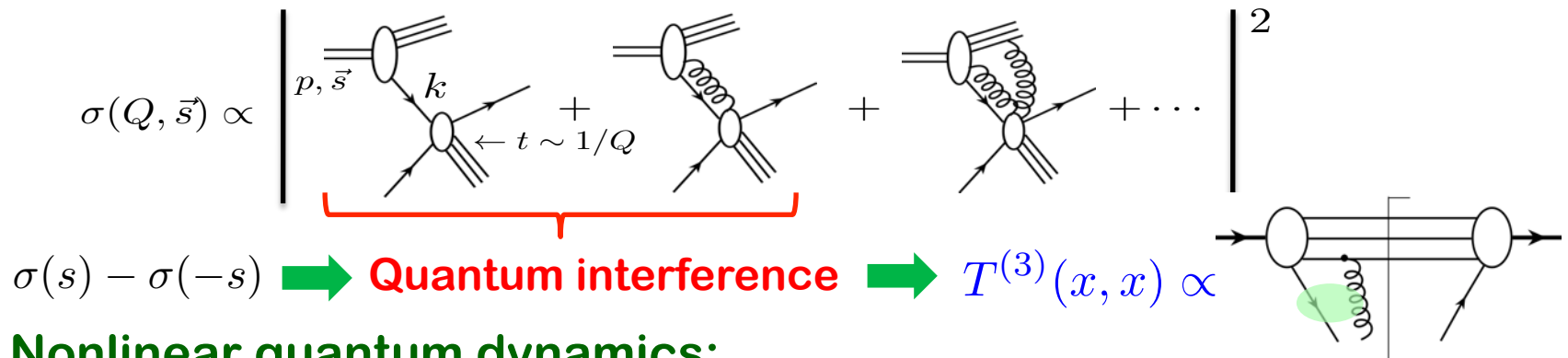
# US-EIC – can do what HERA could not do

## Quantum imaging:

- ✧ HERA discovered: 15% of e-p events is diffractive – Proton not broken!
- ✧ US-EIC: 100-1000 times **luminosity** – *Critical for 3D tomography!*

## Quantum interference & entanglement:

- ✧ US-EIC: Highly **polarized** beams – *Origin of hadron property: Spin, ...*  
*Direct access to chromo-quantum interference!*



## Nonlinear quantum dynamics:

- ✧ US-EIC: Light-to-heavy **nuclear** beams – *Origin of nuclear force, ...*  
*Catch the transition from chromo-quantum fluctuation to chromo-condensate of gluons, ...*  
*Emergence of hadrons (femtometer size detector!),*  
*– “a new controllable knob” – Atomic weight of nuclei*



# Report from The US National Academy

## **An Assessment of U.S.-Based Electron-Ion Collider Science**

*Released on July 24, 2018*

Committee on U.S.-Based Electron-Ion Collider Science Assessment

Board on Physics and Astronomy

Division on Engineering and Physical Sciences

A Consensus Study Report of

*The National Academies of*

**SCIENCES • ENGINEERING • MEDICINE**

*The study is supported by funding from the DOE Office of Science.  
(Further information can be found at: <https://www.nap.edu/25171>)*

# Report from The US National Academy

The National Academies of Sciences, Engineering, and Medicine was asked by the U.S. Department of Energy to assess the scientific justification for building an Electron-Ion Collider (EIC) facility. The unanimous conclusion of the Committee is that an EIC, as envisioned in this report, would be...

*... a unique facility in the world that would answer science questions that are compelling, fundamental, and timely, and help maintain U.S. scientific leadership in nuclear physics.*

**Finding 1:** An EIC can uniquely address three profound questions about nucleons—neutrons and protons—and how they are assembled to form the nuclei of atoms:

- How does the mass of the nucleon arise?
- How does the spin of the nucleon arise?
- What are the emergent properties of dense systems of gluons?

# Emergent Hadron Properties from QCD

## □ Mass – intrinsic to a particle:

= Energy of the particle when it is at the rest

✧ QCD energy-momentum tensor in terms of quarks and gluons

$$T^{\mu\nu} = \frac{1}{2} \bar{\psi} i \overleftrightarrow{D}^{(\mu} \gamma^{\nu)} \psi + \frac{1}{4} g^{\mu\nu} F^2 - F^{\mu\alpha} F^{\nu}_{\alpha}$$

✧ Proton mass:

$$m = \frac{\langle p | \int d^3x T^{00} | p \rangle}{\langle p | p \rangle} \Big|_{\text{Rest frame}} \sim \text{GeV}$$

## □ Spin – intrinsic to a particle:

= Angular momentum of the particle when it is at the rest

✧ QCD angular momentum density in terms of energy-momentum tensor

$$M^{\alpha\mu\nu} = T^{\alpha\nu} x^{\mu} - T^{\alpha\mu} x^{\nu} \qquad J^i = \frac{1}{2} \epsilon^{ijk} \int d^3x M^{0jk}$$

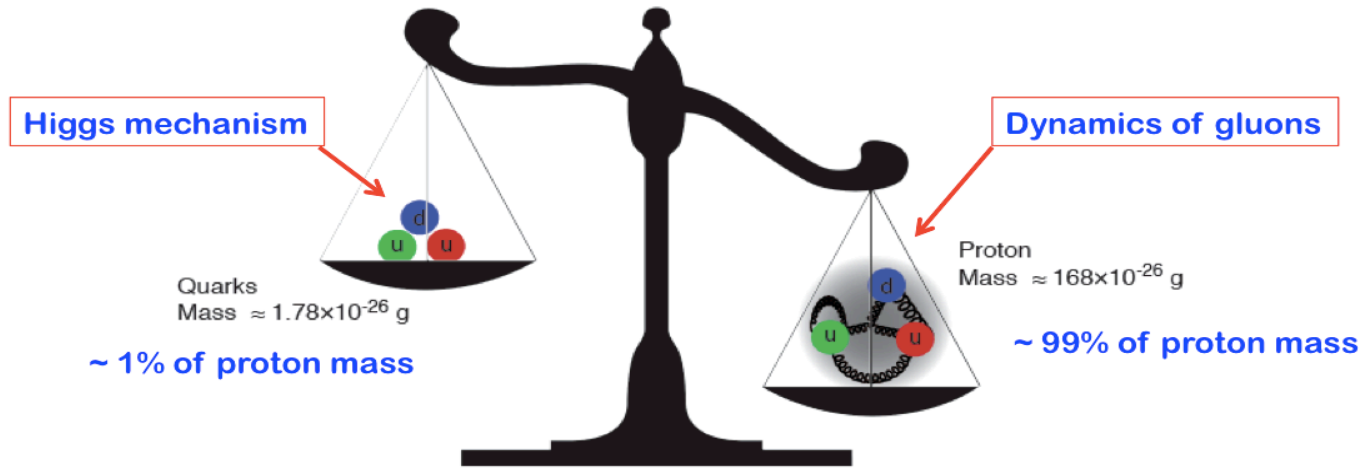
✧ Proton spin:

$$S(\mu) = \sum \langle P, S | \hat{J}_f^z(\mu) | P, S \rangle = \frac{1}{2}$$

*If we do not understand proton mass & spin, we do not know QCD!*

# The Proton Mass

- Nucleon mass – dominates the mass of visible world:



*Higgs mechanism is not enough!!!*

*“Mass without mass!”*

- How does QCD generate the nucleon mass?

“... The vast majority of the nucleon’s mass is due to quantum fluctuations of quark-antiquark pairs, the gluons, and the energy associated with quarks moving around at close to the speed of light. ...”

REACHING FOR THE HORIZON

*The 2015 Long Range Plan for Nuclear Science*

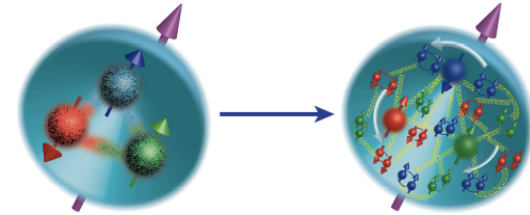
*How to quantify and verify this, theoretically and experimentally?*

# The Proton Mass: from Models to QCD

## □ Dynamical scale:

✧ Asymptotic freedom  $\longleftrightarrow$  confinement:

➡ A dynamical scale,  $\Lambda_{\text{QCD}}$ , consistent with  $\frac{1}{R} \sim 200 \text{ MeV}$



## □ Bag model:



- ✧ Kinetic energy of three quarks:  $K_q \sim 3/R$
- ✧ Bag energy (bag constant B):  $T_b = \frac{4}{3}\pi R^3 B$
- ✧ Minimize  $K_q + T_b$ :  $M_p \sim \frac{4}{R} \sim \frac{4}{0.88 \text{ fm}} \sim 912 \text{ MeV}$

## □ Constituent quark model:

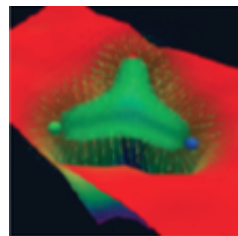


✧ Spontaneous chiral symmetry breaking:

*Massless quarks gain  $\sim 300 \text{ MeV}$  mass when traveling in vacuum*

➡  $M_p \sim 3 m_q^{\text{eff}} \sim 900 \text{ MeV}$  C. Roberts' talk

## □ Lattice QCD:



✧ With “heavy” (or slow moving) quarks

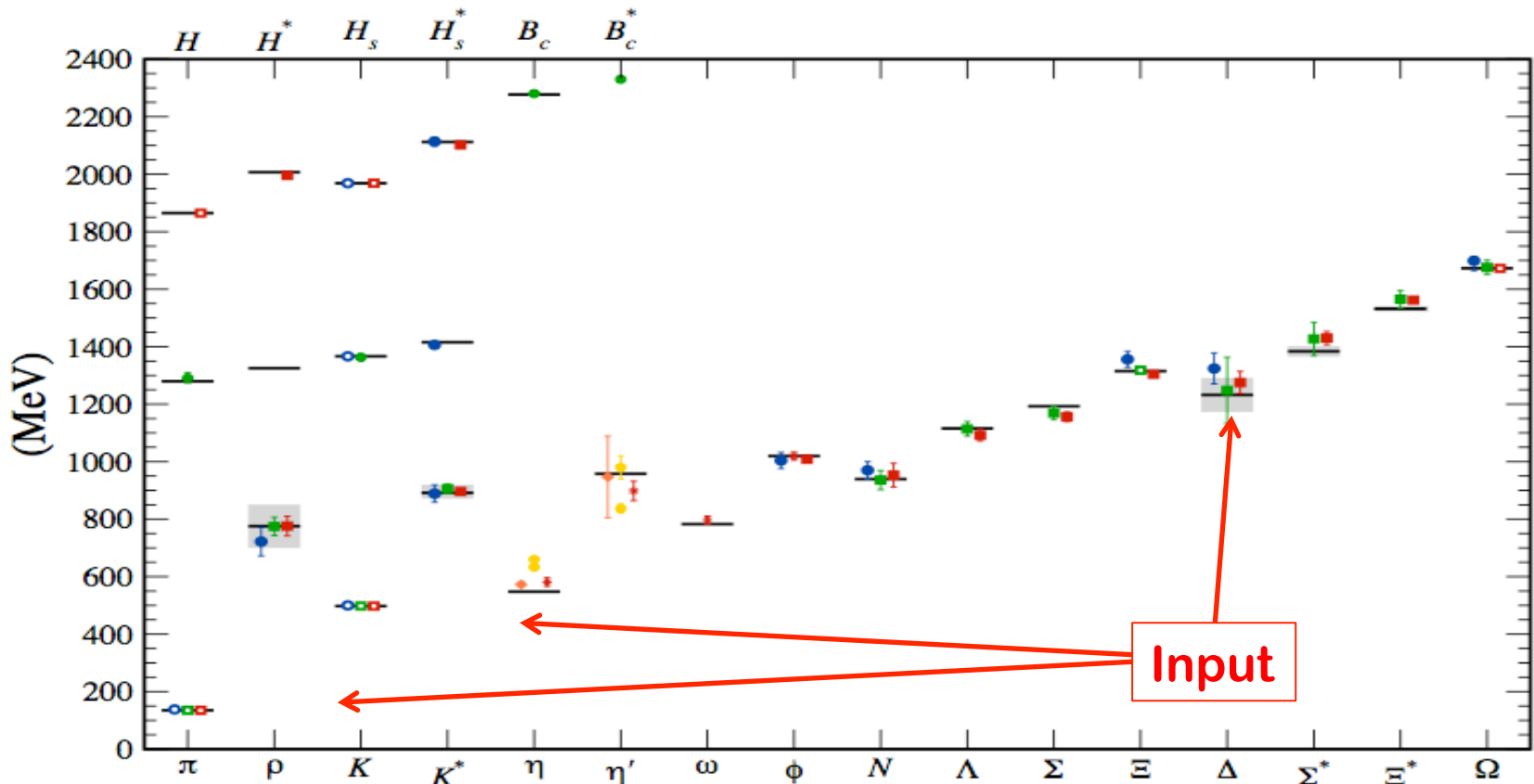
*Energy concentrated in the gluon junction!*

➡ Gluon radius  $<$  Charge Radius EIC!

Mass scale: Lattice space – “a”

# The Proton Mass: Lattice QCD

□ Hadron mass from Lattice QCD calculation:



*How does QCD generate this? The role of quarks vs. that of gluons?*

# Decomposition – Sum Rules

## □ Decomposition of QCD energy-momentum tensor:

$$T^{\mu\nu} = \overline{T^{\mu\nu}} + \widehat{T^{\mu\nu}}$$

Traceless term:  $\overline{T^{\mu\nu}} \equiv T^{\mu\nu} - \frac{1}{4}g^{\mu\nu}T^\alpha_\alpha$

Trace term:  $\widehat{T^{\mu\nu}} \equiv \frac{1}{4}g^{\mu\nu}T^\alpha_\alpha$

Vacuum expectation  
breaks chiral symmetry

with  $T^\alpha_\alpha = \underbrace{\frac{\beta(g)}{2g} F^{\mu\nu,a} F_{\mu\nu}^a}_{\text{QCD trace anomaly}} + \sum_{q=u,d,s} m_q (1 + \underbrace{\gamma_m}_{\text{chiral symmetry breaking}}) \overline{\psi}_q \psi_q$

$\beta(g) = -(11 - 2n_f/3) g^3 / (4\pi)^2 + \dots$

### ✧ Invariant hadron mass (in any frame):

$$\langle p | T^{\mu\nu} | p \rangle \propto p^\mu p^\nu \quad \longrightarrow \quad \langle p | T^{\mu\nu} | p \rangle (g_{\mu\nu}) \propto p^\mu p^\nu (g_{\mu\nu}) = m^2$$

$$\longrightarrow \quad m^2 \propto \langle p | T^\alpha_\alpha | p \rangle$$

**Hadron mass: Gluon quantum effect + Chiral symmetry breaking!**

### ✧ Proton mass sum rule(s):

*Useful only if the individual term can be measured independently*

*It is not a focus of my lectures, backup slides for other decompositions*

# The Proton Mass

## □ Three-pronged approach to explore the origin of hadron mass

- ✧ Lattice QCD
- ✧ Mass decomposition – roles of the constituents
- ✧ Model calculation – approximated analytical approach

## The Proton Mass

At the heart of most visible matter.

Temple University, March 28-29, 2016

<https://phys.cst.temple.edu/meziani/proton-mass-workshop-2016/>

<http://www.ectstar.eu/node/2218>

*A true international effort!*



ECT\*  
EUROPEAN CENTRE FOR THEORETICAL STUDIES  
IN NUCLEAR PHYSICS AND RELATED AREAS  
TRENTO, ITALY  
Institutional Member of the European Expert Committee NUPECC

TEMPLE UNIVERSITY

INFN  
Istituto Nazionale di Fisica Nucleare

Castello di Trento ("Trin"), watercolor 19.8 x 27.7, painted by A. Dürer on his way back from Venice (1495). British Museum, London

**The Proton Mass: At the Heart of Most Visible Matter**  
Trento, April 3 - 7, 2017



# Homework (3)

1) Derive the one-loop contribution to quark distribution within a quark,

$$\varphi_{q/q}^{(1)}(x, \mu^2) = \left( \frac{\alpha_s}{2\pi} \right) P_{qq}(x) \left\{ \left( \frac{1}{\epsilon} \right)_{\text{UV}} + \left( -\frac{1}{\epsilon} \right)_{\text{CO}} \right\} + \text{UV-CT}$$

on the slide 6. Additional information from the backup slide 43 might be helpful.

**Backup slides**

# PDFs of a parton

## Change the state without changing the operator:

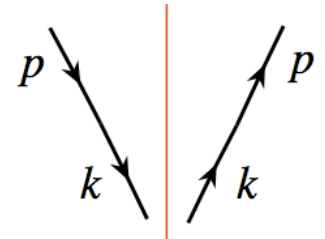
$$\phi_{q/h}(x, \mu^2) = \int \frac{dy^-}{2\pi} e^{ixp^+y^-} \langle h(p) | \bar{\psi}_q(0) \frac{\gamma^+}{2} U_{[0,y^-]}^n \psi_2(y^-) | h(p) \rangle$$

$|h(p)\rangle \Rightarrow |\text{parton}(p)\rangle \rightarrow \phi_{f/q}(x, \mu^2)$  – given by Feynman diagrams

## Lowest order quark distribution:

From the operator definition:

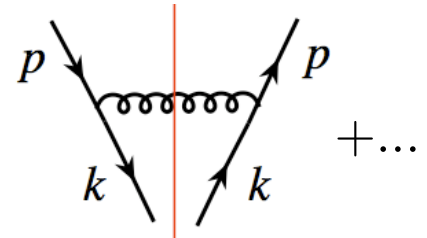
$$\begin{aligned} \phi_{q'/q}^{(0)}(x) &= \delta_{qq'} \int \frac{d^4k}{(2\pi)^4} \text{Tr} \left[ \left( \frac{1}{2} \gamma \cdot p \right) \left( \frac{\gamma^+}{2p^+} \right) \right] \delta \left( x - \frac{k^+}{p^+} \right) (2\pi)^4 \delta^4(p - k) \\ &= \delta_{qq'} \delta(1 - x) \end{aligned}$$



## Leading order in $\alpha_s$ quark distribution:

Expand to  $(g_s)^2$  – logarithmic divergent:

$$\phi_{q/q}^{(1)}(x) = C_F \frac{\alpha_s}{2\pi} \int \frac{dk_T^2}{k_T^2} \left[ \frac{1+x^2}{(1-x)_+} + \frac{3}{2} \delta(1-x) \right] + \text{UVCT}$$



UV and CO divergence

# Decomposition – Sum Rules

X. Ji, PRL (1995)

## □ Another proton mass sum rule:

$$M_p = \frac{\langle P | \int d^3x T^{00} | P \rangle}{\langle P | P \rangle} \Bigg|_{\text{at rest}}$$

$$\langle P' | P \rangle = 2P^0 (2\pi)^3 \delta^3(\vec{P}' - \vec{P})$$

### ✧ Hamiltonian:

$$H_{\text{QCD}} = \int d^3\vec{x} T^{00}(0, \vec{x})$$

### ✧ QCD energy-momentum tensor:

$$T^{\mu\nu} = \overline{T}^{\mu\nu} + \widehat{T}^{\mu\nu}$$

#### “Traceless” term

$$\langle P | \overline{T}^{\mu\nu} | P \rangle = (P^\mu P^\nu - \frac{1}{4} M_p^2 g^{\mu\nu}) / M_p$$

#### “Trace” term

$$\langle P | \widehat{T}^{\mu\nu} | P \rangle = \frac{1}{4} M_p g^{\mu\nu}$$

$$\frac{\langle P | \int d^3x \overline{T}^{00} | P \rangle}{\langle P | P \rangle} \Bigg|_{\text{at rest}} = \frac{3}{4} M_p$$

$$\frac{\langle P | \int d^3x \widehat{T}^{00} | P \rangle}{\langle P | P \rangle} \Bigg|_{\text{at rest}} = \frac{1}{4} M_p$$

### ✧ Role of quarks and gluons:

$$\overline{T}^{\mu\nu} = \overline{T}_q^{\mu\nu} + \overline{T}_g^{\mu\nu}$$

$$\widehat{T}^{\mu\nu} = \widehat{T}_m^{\mu\nu} + \widehat{T}_a^{\mu\nu}$$

$$\rightarrow M_p = \frac{\langle P | \int d^3x T^{00} | P \rangle}{\langle P | P \rangle} \Bigg|_{\text{at rest}}$$

$$= M_q + M_g + M_m + M_a$$

Trace Anomaly

Quark Mass

Quark Energy

Gluon Energy

# Decomposition – Sum Rules

## □ Roles of quarks and gluons:

### ✧ Quark energy contribution:

$$H_q = \int d^3\vec{x} \bar{\psi}(-i\mathbf{D} \cdot \boldsymbol{\alpha})\psi,$$

$$M_q = \frac{\langle P|H_q|P\rangle}{\langle P|P\rangle} \Big|_{\text{at rest}} = (a - b) \frac{3}{4} M_p$$

### ✧ Gluon energy contribution:

$$H_g = \int d^3\vec{x} \frac{1}{2}(\mathbf{E}^2 + \mathbf{B}^2)$$

$$M_g = \frac{\langle P|H_g|P\rangle}{\langle P|P\rangle} \Big|_{\text{at rest}} = (1 - a) \frac{3}{4} M_p$$

### ✧ Quark mass contribution:

$$H_m = \int d^3\vec{x} \bar{\psi}m\psi$$

$$M_m = \frac{\langle P|H_m|P\rangle}{\langle P|P\rangle} \Big|_{\text{at rest}} = b M_p$$

### ✧ Trace anomaly contribution:

$$H_a = \int d^3\vec{x} \frac{9\alpha_s}{16\pi} (\mathbf{E}^2 - \mathbf{B}^2)$$

$$M_a = \frac{\langle P|H_a|P\rangle}{\langle P|P\rangle} \Big|_{\text{at rest}} = (1 - b) \frac{1}{4} M_p$$

## □ Two independent parameters:

### ✧ Quark momentum fraction:

$$a(\mu^2) = \frac{1}{P^+P^+} \langle P|i\bar{\psi}\gamma^{(+D^+)}\psi|P\rangle = \sum_q \int_0^1 x [q(x, \mu^2) + \bar{q}(x, \mu^2)] dx$$

### ✧ Chiral symmetry breaking:

$$b(\mu^2) = \frac{1}{2M_p^2} \langle P|m(1 + \gamma_m)\bar{\psi}\psi|P\rangle$$

Related to meson-nucleon –  $\sigma$  terms

$$\sigma_{\pi N} = \hat{m} \langle |\bar{u}u + \bar{d}d|N\rangle$$

$$\hat{m} = (m_u + m_d)/2$$

# Decomposition – Sum Rules

## □ Lattice QCD calculation:

Calculate  $a(\mu^2)$  and  $b(\mu^2)$ ,  
Use the sum rule to fix the others

*Not a test!*

➔ Calculate or measure the  
Trace Anomaly independently!

## □ Measure the Trace Anomaly?

$$T^\alpha_\alpha = \underbrace{\frac{\beta(g)}{2g} F^{\mu\nu,a} F^a_{\mu\nu}}_{\text{QCD trace anomaly}} + \sum_{q=u,d,s} m_q (1 + \gamma_m) \bar{\psi}_q \psi_q$$

QCD trace anomaly

$$\beta(g) = -(11 - 2n_f/3) g^3 / (4\pi)^2 + \dots$$

➔ *At the chiral limit, the entire mass is from gluons!*

How to probe the distribution of mass inside the proton?

Need a dilaton field!

