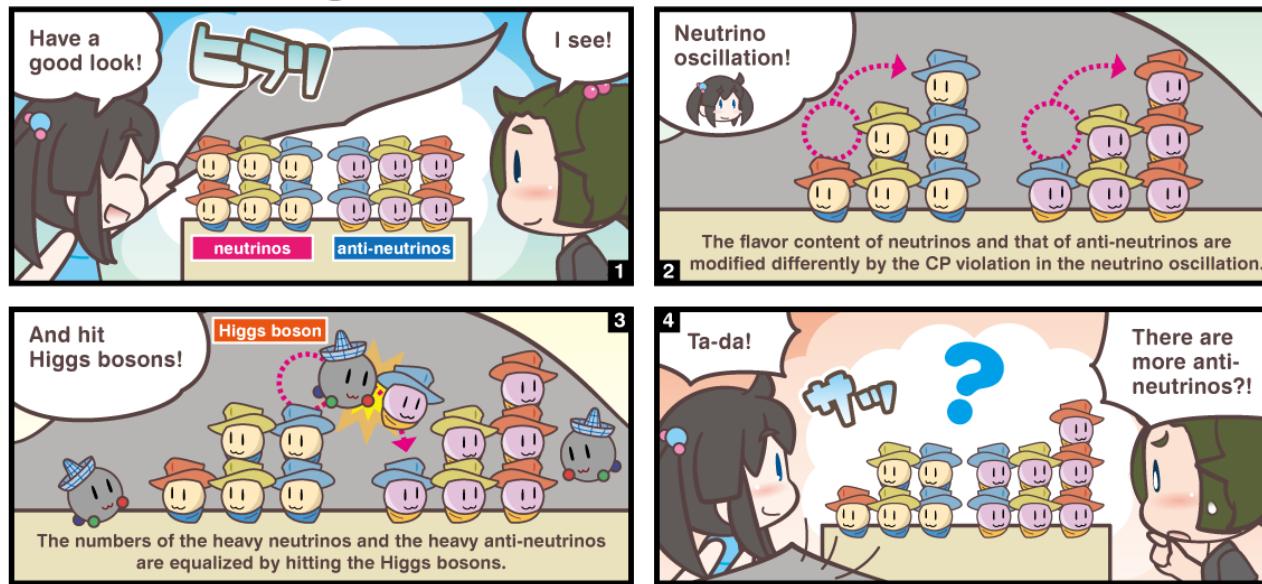


# Leptogenesis from “Observation”

Wen Yin, IHEP → KAIST from Sep

## Neutrino Magic!



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### More anti-neutrinos than neutrinos?

Starting with the same numbers of neutrinos and anti-neutrinos, some magic under the cloth created an imbalance between them. This CP violating phenomenon, if it has really happened in the early Universe, give the reason for the Universe being made of matter rather than anti-matter.

# Contents

1. Introduction
2. Quantum Mechanics in Thermalization
3. Leptogenesis via neutrino oscillation
4. Summary

# 1. Introduction

---

How to generate the baryon asymmetry?

Sakharov's conditions

\**Baryon/Lepton number violation*

\**C and CP violation*

\**Out of thermal equilibrium*

Unfortunately, the SM does not sufficiently satisfy...

# 1. Introduction

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Unfortunately, the SM does not sufficiently satisfy...

A clear New Physics! Neutrino mass

The SM (probably) is an effective theory with Majorana neutrino mass term

$$-\frac{\kappa_{ij}}{2}(\bar{l}_i^c P_L l_j) H H + \text{h.c.}$$

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# Strong phase in CP violation

$$|\mathcal{M}|^2 - |\overline{\mathcal{M}}|^2 \neq 0$$

CP conjugate

we get

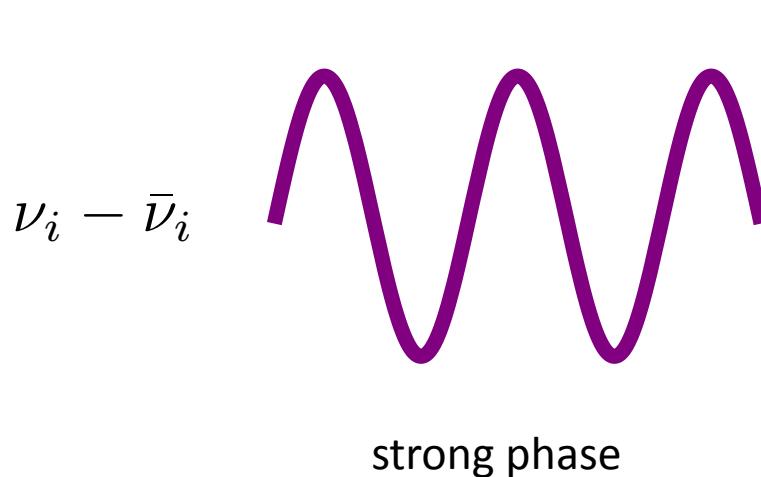
$$\overline{\mathcal{M}}(\delta_{CP}) = \mathcal{M}(-\delta_{CP}) \neq \mathcal{M}(\delta_{CP})^*$$

*There is a **Strong Phase**, which does not change under CP transf.*

e.g.  $e^{imt}$       Quantum oscillation

# *Neutrino Oscillation Provides CP violation.*

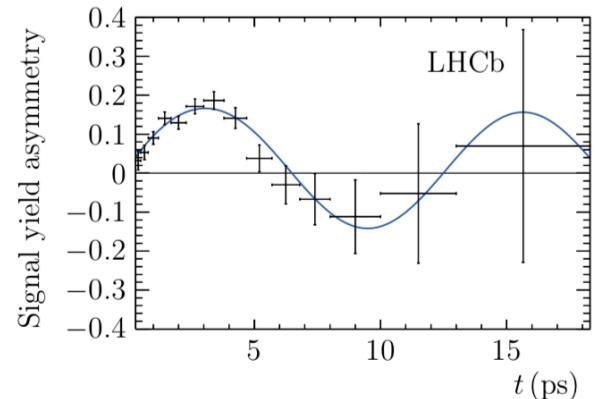
(If PMNS matrix has CP phase)



c.f. B-meson oscillation

[1503.07089](#)

$$\frac{n_B - n_{\bar{B}}}{n_B + n_{\bar{B}}}$$



In fact, the CP violation from neutrino oscillation is observed at >90% CL

[T2K Collaboration, 1701.00432](#)

# Introduction

---

How to generate the baryon asymmetry?

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Neutrino oscillation can provide CP violation

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How to generate the baryon asymmetry?

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Neutrino oscillation can provide CP violation

\**Out of thermal equilibrium* When ? (temperature should be high.)

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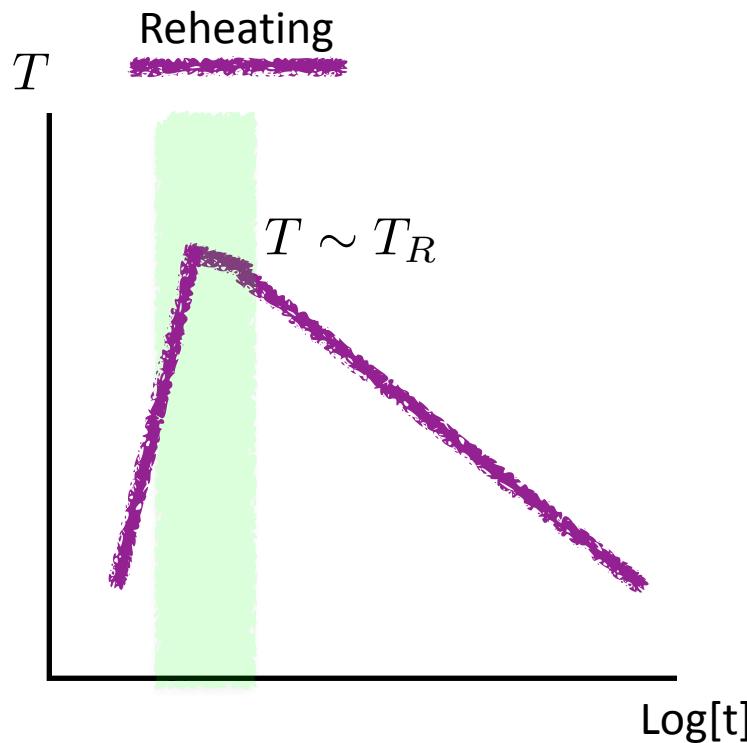
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$$-\frac{\kappa_{ij}}{2}(\bar{l}_i^c P_L l_j) H H + \text{h.c.}$$

# Thermalization: one way process

At high temperature, some particles are generally out of equilibrium

CASE A



$$T \sim T_R$$

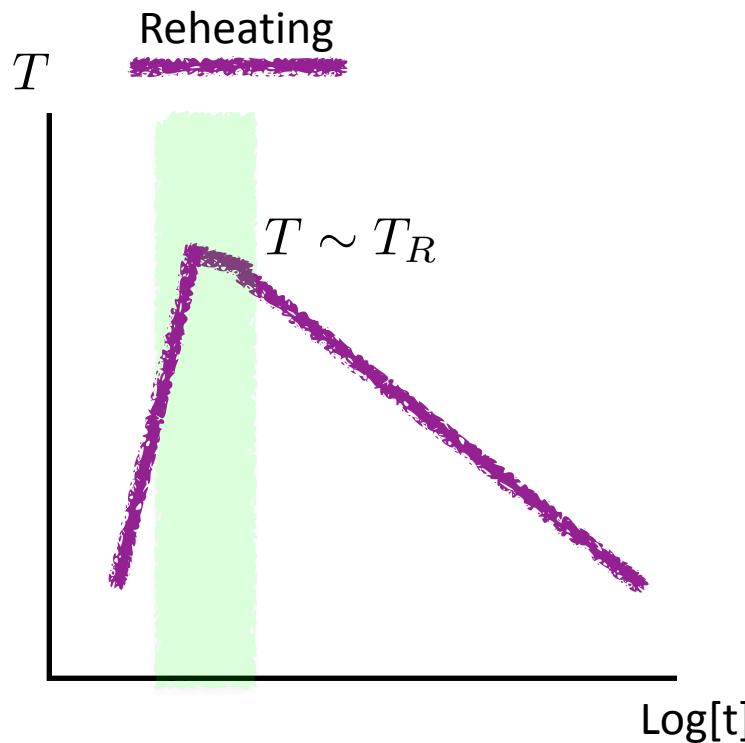
Particles from inflaton decays  
are being thermalized

*\*Out of thermal equilibrium*

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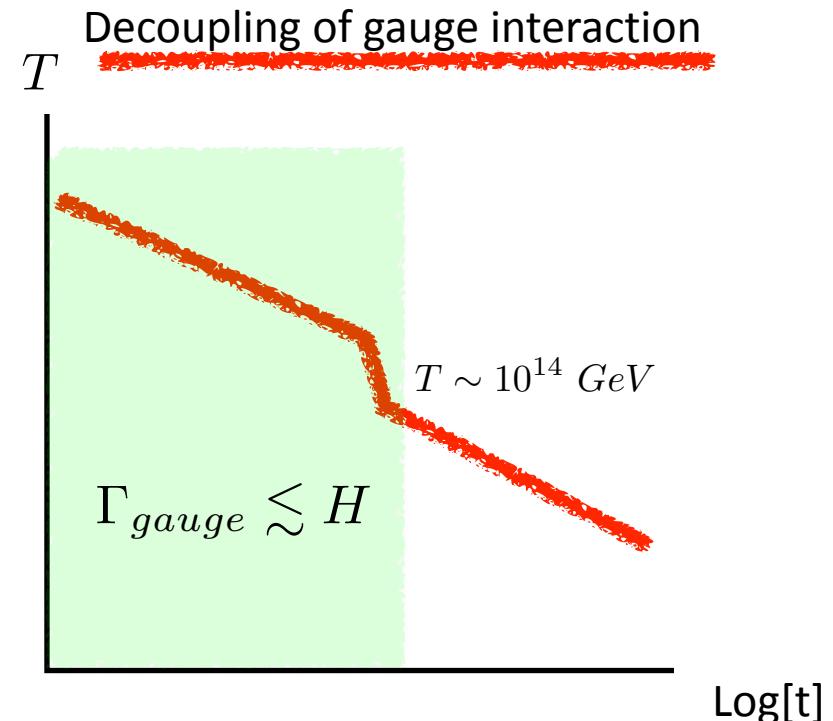
At high temperature, some particles are generally out of equilibrium

CASE B

$$\Gamma_{gauge} \propto T \quad H \propto T^2$$

$$T_R \gg T \gtrsim 10^{14} \text{ GeV}$$

Particles lack of “force” are being thermalized.



*\*Out of thermal equilibrium*

# Thermalization: one way process

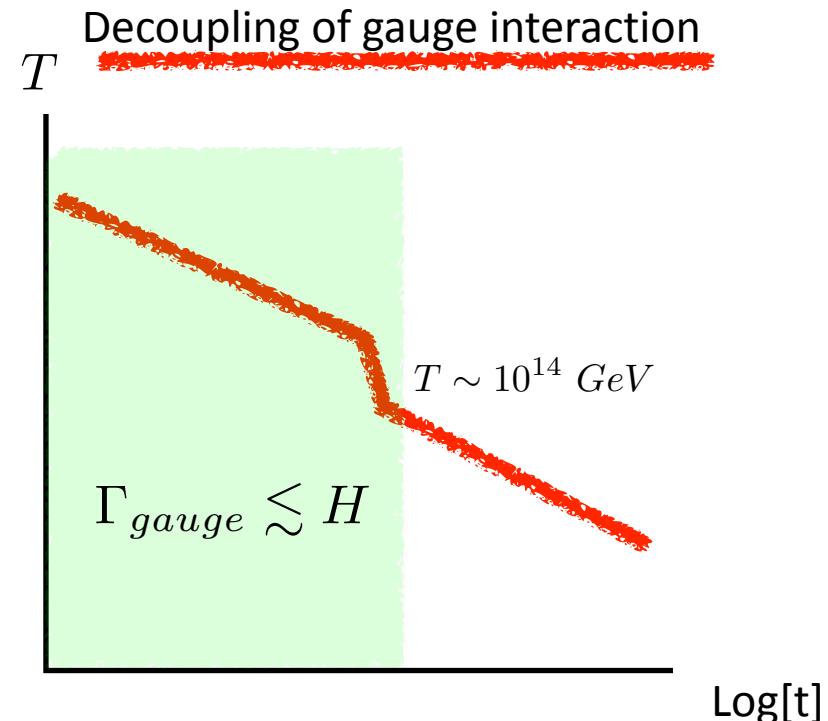
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# Setup

Kitano, Hamada, WY 1807.06582

\**Baryon/Lepton number violation*  $- \frac{\kappa_{ij}}{2} (\bar{l}_i^c P_L l_j) H H + \text{h.c.}$

\**C and CP violation* Neutrino oscillation

\**Out of thermal equilibrium* Thermalization

# What we found

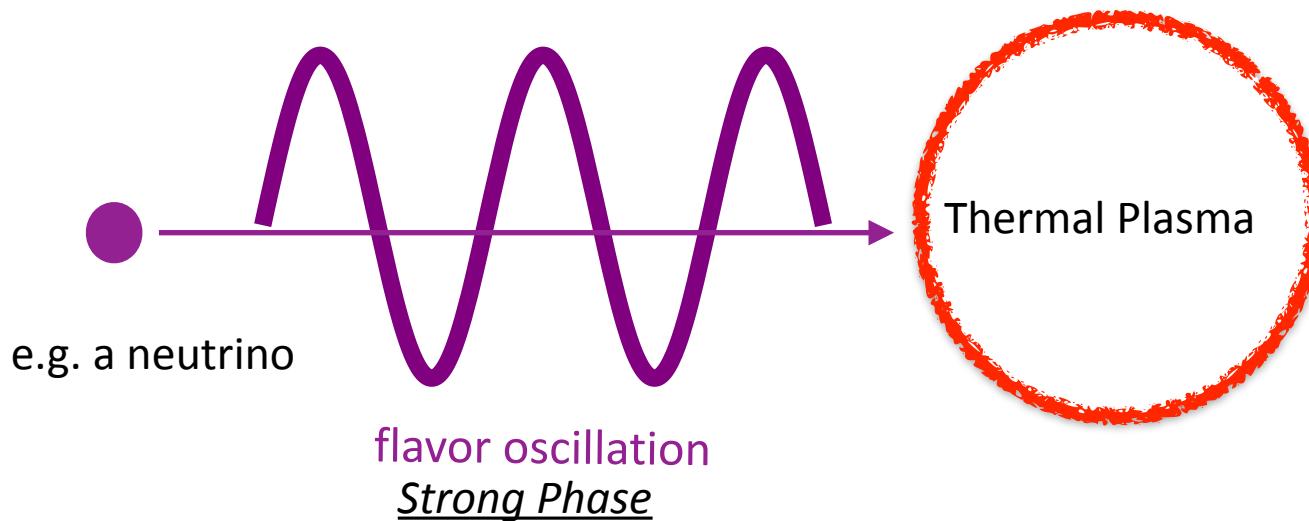
Kitano, Hamada, WY 1807.06582

Enough baryon asymmetry created during thermalization  
from neutrino osc. within SM with  $-\frac{\kappa_{ij}}{2}(\bar{l}_i^c P_L l_j) H H$

- Baryogenesis could be possible in any UV models  
lead to the effective SM.  
e.g. from integrating out heavy particles  
Yanagida 79; Gell-Mann, et al 79; Minkowski, 77;  
Glashow, 80; Mohapatra and Senjanovic, 80;  
WY, 1808.00440 as a consequence of charge quantization.
- Testable in neutrino exps.

## 2. Quantum Mechanics in Thermalization

Thermalization: isolated particles get scattered into thermal plasma.



Flavor oscillation of leptons from the inflaton decay explains the lepton asymmetry in a different model.

Kitano, Hamada, 1609.05028

# Kinetic Equation (Extended Boltzmann Eqs)

Kitano, Hamada, WY 1807.06582

density matrix for left-handed leptons       $\rho(\mathbf{p}) \equiv \rho_{ij}(\mathbf{p}) \quad i, j = e, \mu, \tau$

Sigl, Raffelt, 1993

$$i \frac{d\rho(\mathbf{p})}{dt} = [\Omega(\mathbf{p}), \rho(\mathbf{p})] - \frac{i}{2} \{\Gamma_{\mathbf{p}}^d, \rho(\mathbf{p})\} + \frac{i}{2} \{\Gamma_{\mathbf{p}}^p, 1 - \rho(\mathbf{p})\},$$

Interaction terms (with CP phase)

$$\left( i \frac{d\bar{\rho}(\mathbf{p})}{dt} = -[\Omega(\mathbf{p}), \bar{\rho}(\mathbf{p})] - \frac{i}{2} \{\Gamma_{\mathbf{p}}^d, \bar{\rho}(\mathbf{p})\} + \frac{i}{2} \{\Gamma_{\mathbf{p}}^p, 1 - \bar{\rho}(\mathbf{p})\}, \right)^*$$

Hamiltonian:       $\Omega_{ij}(\mathbf{p}) \simeq \frac{y_i^2 T^2}{16|\mathbf{p}|} \delta_{ij} + 0.046 (\kappa^* \kappa)_{ij} \frac{T^4}{|\mathbf{p}|}, \quad \text{for } |\mathbf{p}| \gtrsim T.$

*This is absent in ordinary Boltzmann eqs.*

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Different

Interaction terms (with CP phase)

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strong phase

Hamiltonian:       $\Omega_{ij}(\mathbf{p}) \simeq \frac{y_i^2 T^2}{16|\mathbf{p}|} \delta_{ij} + 0.046 (\kappa^* \kappa)_{ij} \frac{T^4}{|\mathbf{p}|}, \quad \text{for } |\mathbf{p}| \gtrsim T.$

*This is absent in ordinary Boltzmann eqs.*

*Flavor dependent lepton asymmetry, but  $\text{tr}[\rho - \bar{\rho}] = 0$*

# Kinetic Equations to be solved

Two scales approximation,  $p \sim m_\phi, p \sim T$

$$i \frac{d\rho_{\mathbf{k}}}{dt} = [\Omega_{\mathbf{k}}, \rho_{\mathbf{k}}] - \frac{i}{2} \{\Gamma_{\mathbf{k}}^d, \rho_{\mathbf{k}}\},$$

and eqs for CP conjugate process  
and right-handed leptons

$$i \frac{d\delta\rho_T}{dt} = [\Omega_T, \delta\rho_T] - \frac{i}{2} \{\Gamma_T^d, \delta\rho_T\} + i\delta\Gamma_T^p,$$

$$\Omega_{ij}(\mathbf{p}) \simeq \frac{y_i^2 T^2}{16|\mathbf{p}|} \delta_{ij} + 0.046 (\kappa^* \kappa)_{ij} \frac{T^4}{|\mathbf{p}|}, \quad \text{for } |\mathbf{p}| \gtrsim T.$$

$$(\Gamma_{\mathbf{k}}^d)_{ij} \simeq C \alpha_2^2 T \sqrt{\frac{T}{|\mathbf{k}|}} \delta_{ij} + \frac{9y_t^2}{64\pi^3 |\mathbf{k}|} T^2 (\delta_{i\tau} \delta_{\tau j} y_\tau^2 + \delta_{i\mu} \delta_{\mu j} y_\mu^2) + \frac{21\zeta(3)}{32\pi^3} (\kappa^* \cdot \kappa)_{ij} T^3,$$

$$(\Gamma_T^d)_{ij} \simeq C' \alpha_2^2 T \delta_{ij} + \frac{9y_t^2}{64\pi^3} T (\delta_{i\tau} \delta_{\tau j} y_\tau^2 + \delta_{i\mu} \delta_{\mu j} y_\mu^2) + \frac{21\zeta(3)}{32\pi^3} (\kappa^* \cdot \kappa)_{ij} T^3,$$

$$\begin{aligned} (\delta\Gamma_T^p)_{ij} &\simeq C \alpha_2^2 T \sqrt{\frac{T}{|\mathbf{k}|}} (\rho_{\mathbf{k}})_{ij} - C' \alpha_2^2 T (\delta\bar{\rho}_T)_{ij} \\ &+ \frac{3\zeta(3)}{8\pi^3} (\kappa^* \cdot (\bar{\rho}_{\mathbf{k}} - 3/4\rho_{\mathbf{k}})^t \cdot \kappa)_{ij} T^3 + \frac{3\zeta(3)}{8\pi^3} (\kappa^* \cdot (\delta\bar{\rho}_T - 3/4\delta\rho_T)^t \cdot \kappa)_{ij} T^3. \end{aligned}$$

Some formula can be also found in [Akhmedov, et al. 9803255](#); [Abada, et al. 0601083.](#); [Asaka, et al. 1112.5565](#).

# 3. Leptogenesis via Neutrino Oscillation

## CASE A: Inflaton to Leptons

$$\text{Inflaton} \rightarrow V^e | l_e \rangle + V^\mu | l_\mu \rangle + V^\tau | l_\tau \rangle, \\ (V^e)^* | \bar{l}_e \rangle + (V^\mu)^* | \bar{l}_\mu \rangle + (V^\tau)^* | \bar{l}_\tau \rangle$$

### Initial condition

inflaton mass scale                          temperature scale  
 $\rho_{\mathbf{k}}|_{t=t_R} = \bar{\rho}_{\mathbf{k}}|_{t=t_R} = \mathcal{N} V_i V_j^*, \quad \delta\rho_T|_{t=t_R} = \delta\bar{\rho}_T|_{t=t_R} = 0.$

$$\mathcal{N} = \frac{3}{4} \frac{T_R}{m_\phi} B, \quad (\text{means thermalized.})$$

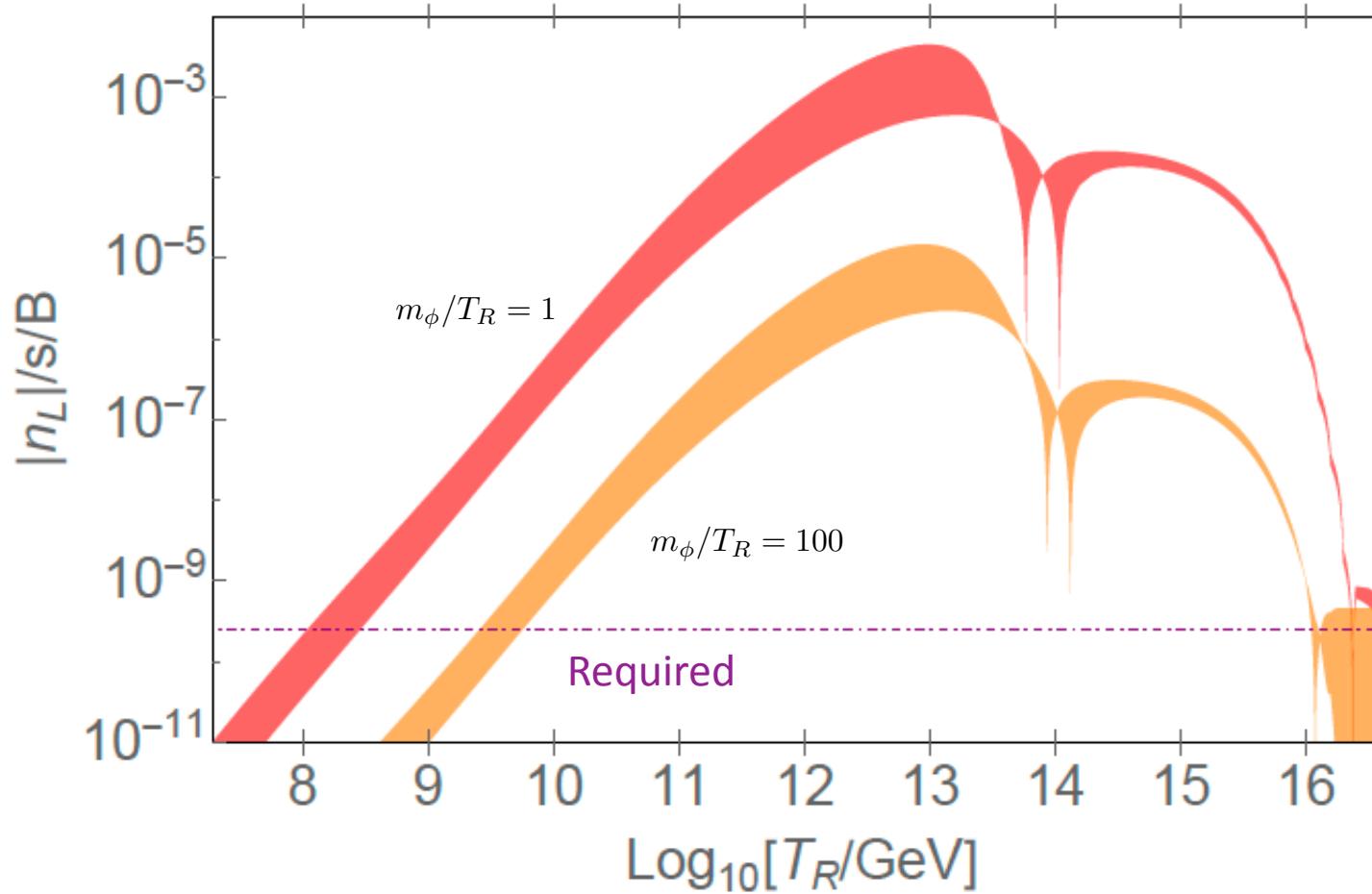
### Parameters

$$T_R, m_\phi, B, V_i$$

and those for neutrino oscillation.

# Solution (one massless neutrino)

Dirac phase=-pi/2, Majorana phase=0.3 pi, V={1,1,1}, Inverted Hierarchy



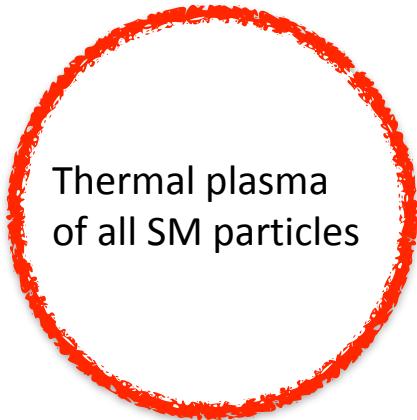
Baryon asymmetry could be explained by any model, with

$$\mathcal{L} = \mathcal{L}_{SM} + \kappa l l H H + \dots \quad @ \mu_{RG} > 10^8 \text{ GeV}$$

# Trick of CASE A

(Mechanism for reheating temp<10<sup>13</sup>GeV)

Thermalization of the inflaton decay products



Thermal plasma  
of all SM particles

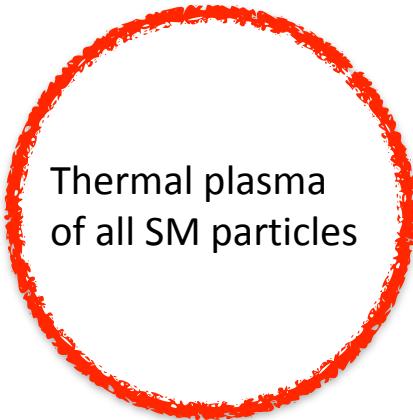


$$l_i V_i, \bar{l}_i V_i^*$$

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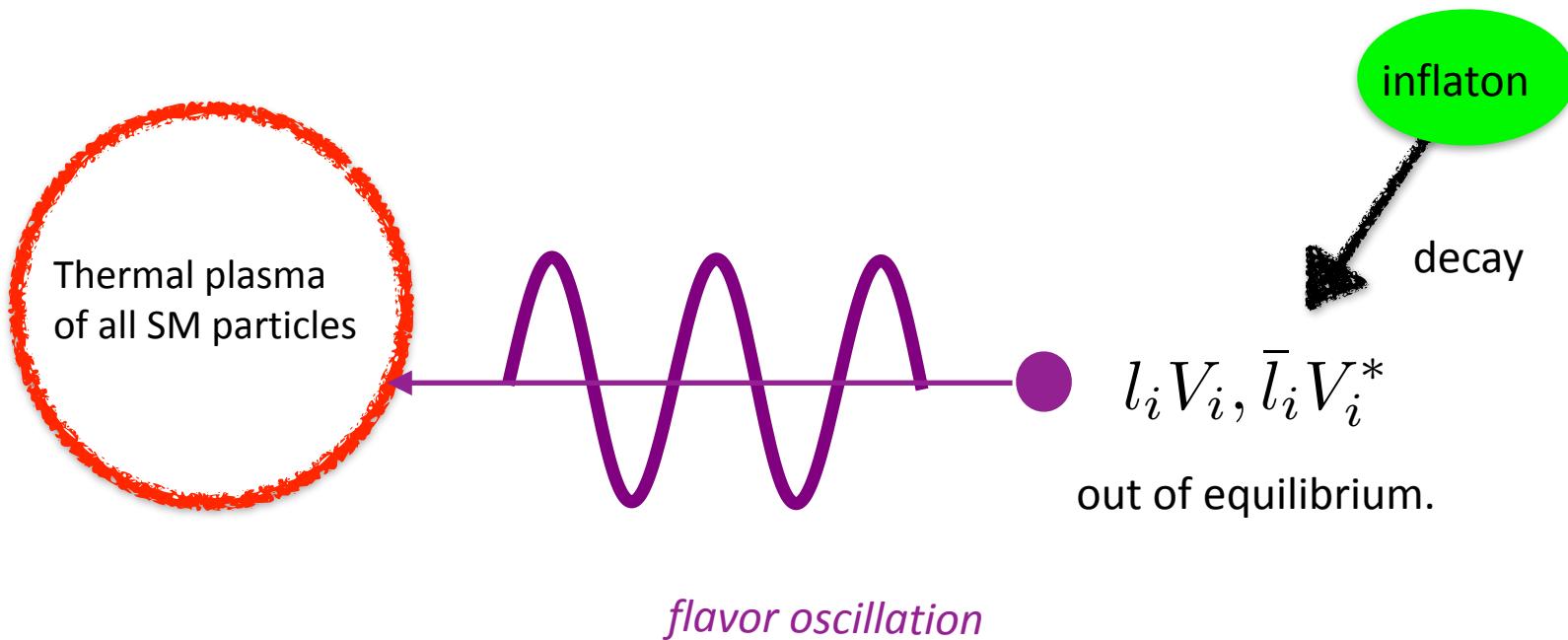


out of equilibrium.

# Trick of CASE A

(Mechanism for reheating temp<10<sup>13</sup>GeV)

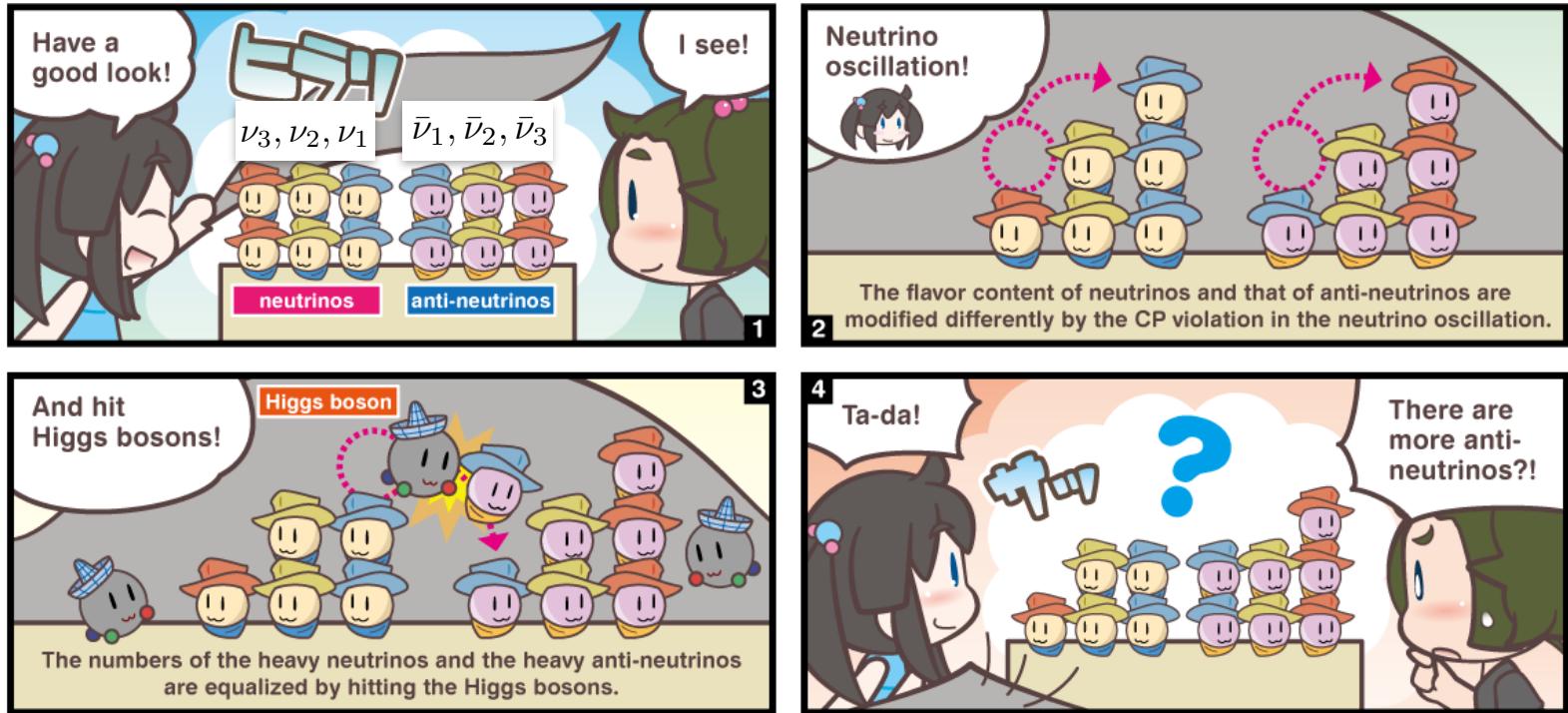
Thermalization of the inflaton decay products



# Neutrino Magic

(Mechanism for reheating temp<10^13GeV)

## Neutrino Magic!



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Frame 3: **Flavor dependent washout effect** through IIHH,  
This tends to eliminate the asymmetry of heavier neutrinos.

$$\frac{n_L}{s} \sim -2 \times 10^{-6} \cdot \xi_{CP} \cdot B \cdot \left( \frac{T_R}{10^{11} \text{ GeV}} \right)^2 \left( \frac{m_\phi}{10^{13} \text{ GeV}} \right)^{-1}, \quad (T_R \gtrsim 10^{11} \text{ GeV}),$$

and

$$\frac{n_L}{s} \sim -2 \times 10^{-6} \cdot \xi_{CP} \cdot B \cdot \left( \frac{T_R}{10^{11} \text{ GeV}} \right)^3 \left( \frac{m_\phi}{10^{13} \text{ GeV}} \right)^{-1}, \quad (T_R \lesssim 10^{11} \text{ GeV}),$$

# CASE B: Inflaton dominantly to Higgs boson

Thermalization is through the Higgs boson

Inflaton  $\rightarrow$  Higgs, Higgs\*  $\rightarrow l, \bar{l}$

## Initial conditions

$$(\rho_{\mathbf{k}})_{ij} = (\bar{\rho}_{\mathbf{k}})_{ij} = \mathcal{N} \cdot \frac{\frac{21\zeta(3)}{32\pi^3} (\kappa^* \kappa)_{ij} T_R^3}{C \alpha_2^2 T_R \sqrt{\frac{T_R}{m_\phi}}} \sim 7 \times 10^{-2} \cdot \mathcal{N} \left( \frac{m_\phi}{10^{15} \text{ GeV}} \right)^{1/2} \left( \frac{T_R}{10^{13} \text{ GeV}} \right)^{3/2}.$$

$$\rho_T|_{t=t_R} = \bar{\rho}_T|_{t=t_R} = 0$$

Leptons are not thermalized initially

## Parameters

$$T_R, m_\phi,$$

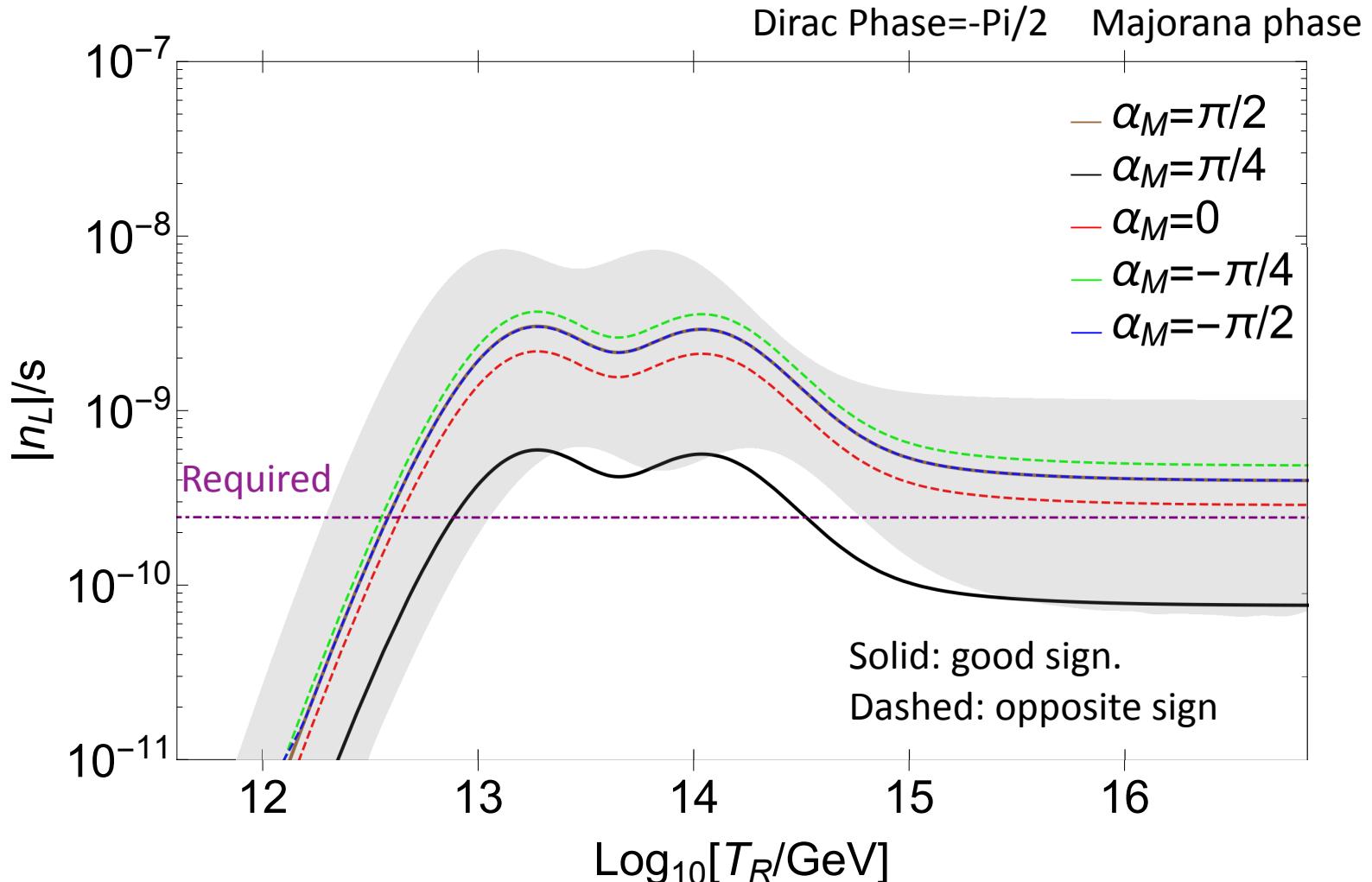
and those for neutrino oscillation.

# Solution Inverted Hierarchy

Inflaton mass =100  $T_R$

$\phi \rightarrow$  Higgs

Inverted Hierarchy, two massive neutrinos.

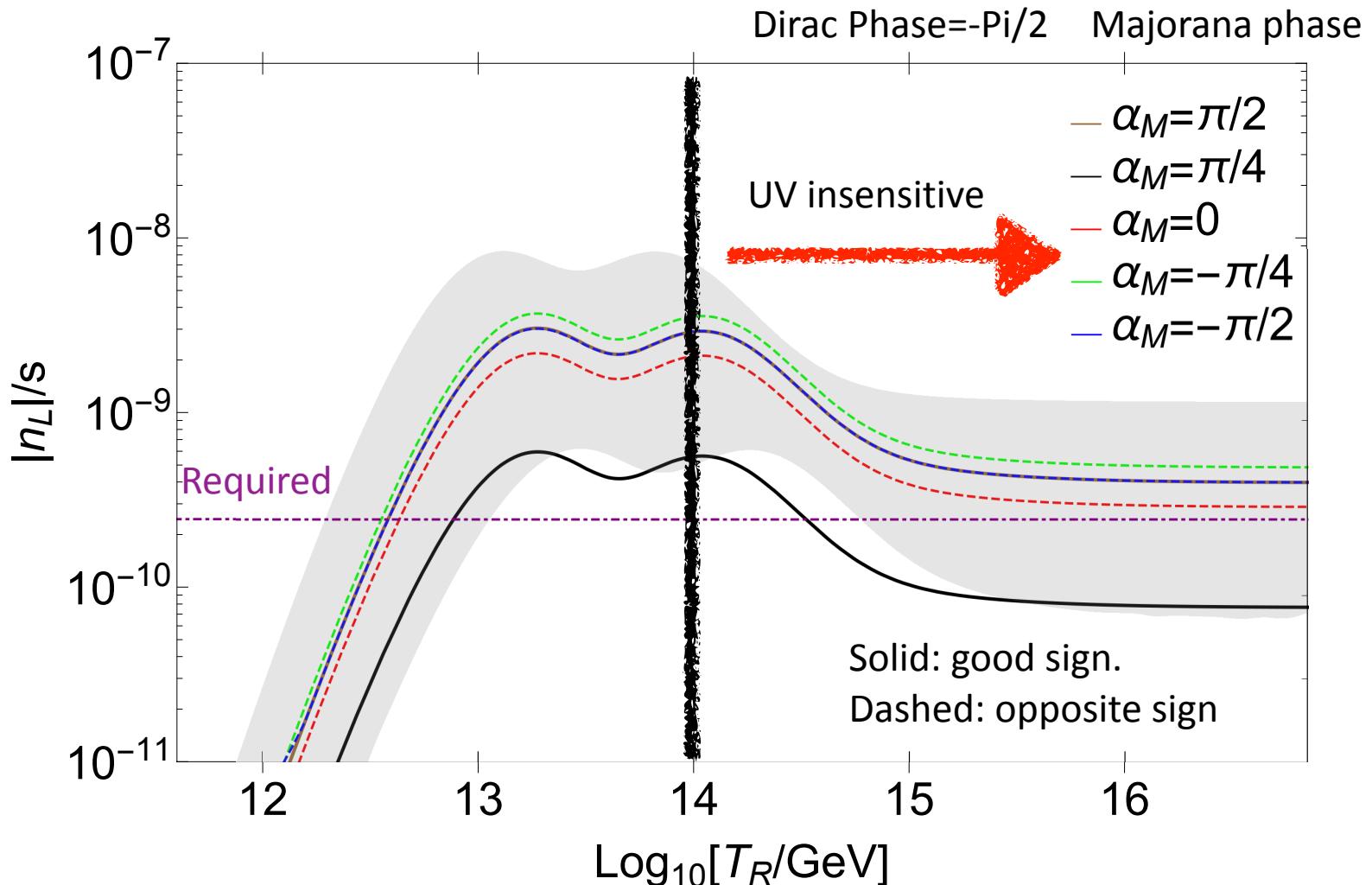


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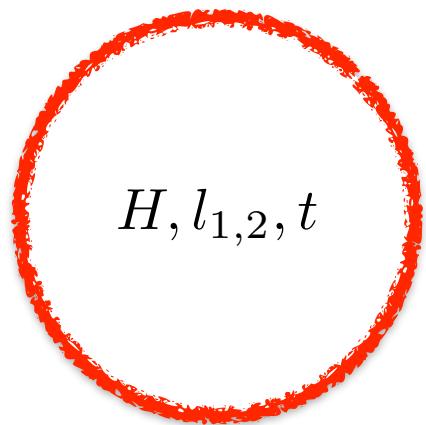
Inverted Hierarchy, two massive neutrinos.



# Trick of CASE B

$T_R \gg T \gtrsim 10^{14} \text{GeV}$  Gauge interaction rates are slower than expansion.

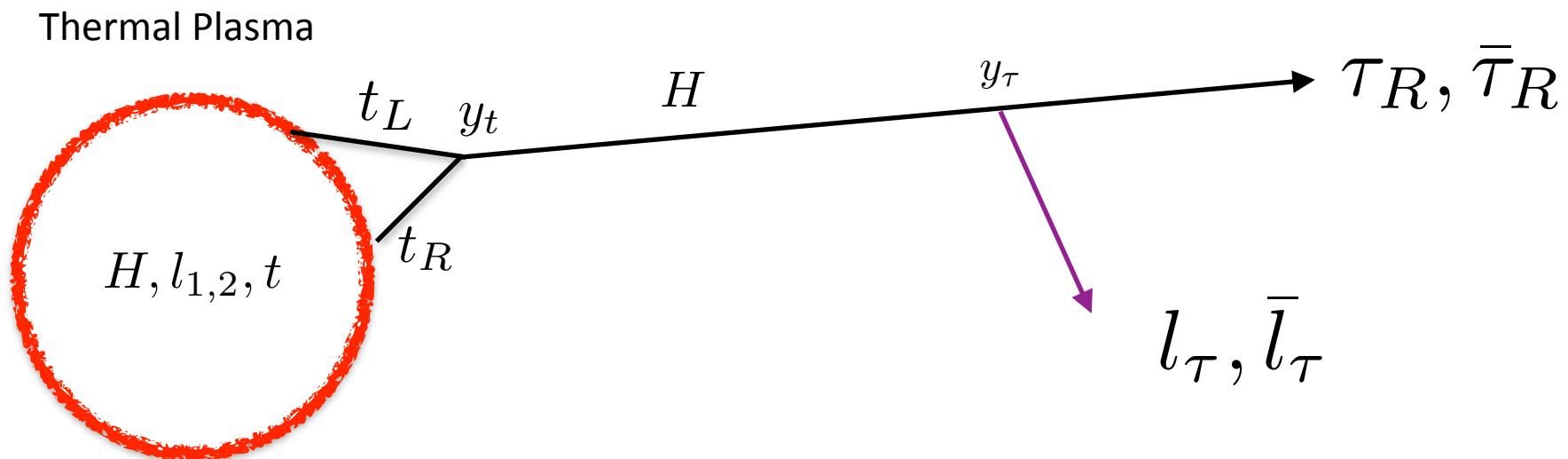
Thermal Plasma



$y_t$

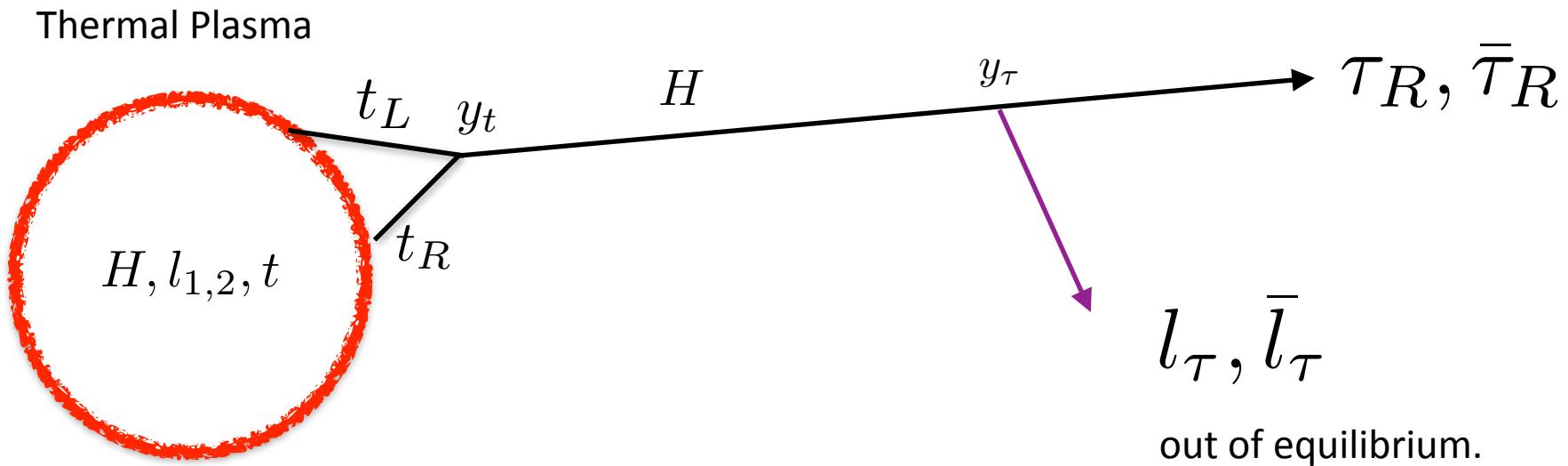
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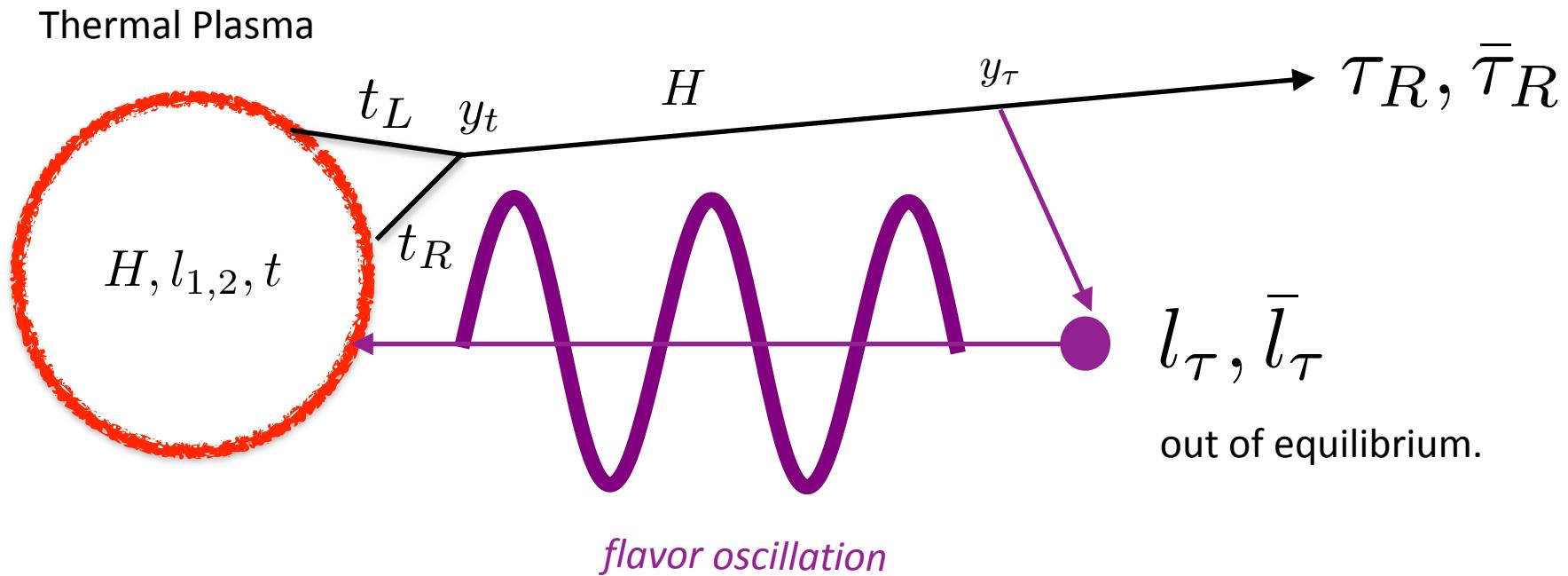
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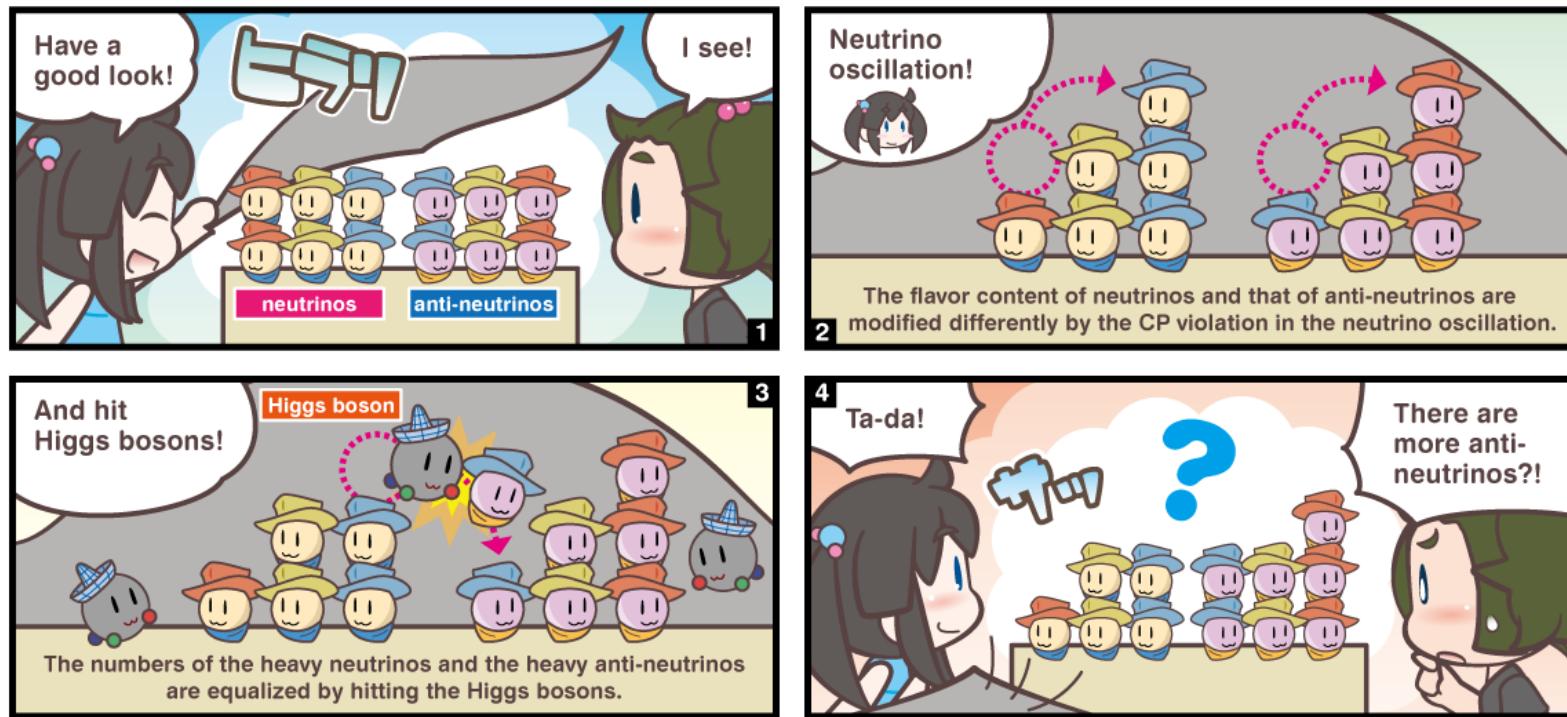
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# Neutrino Magic

## Neutrino Magic!

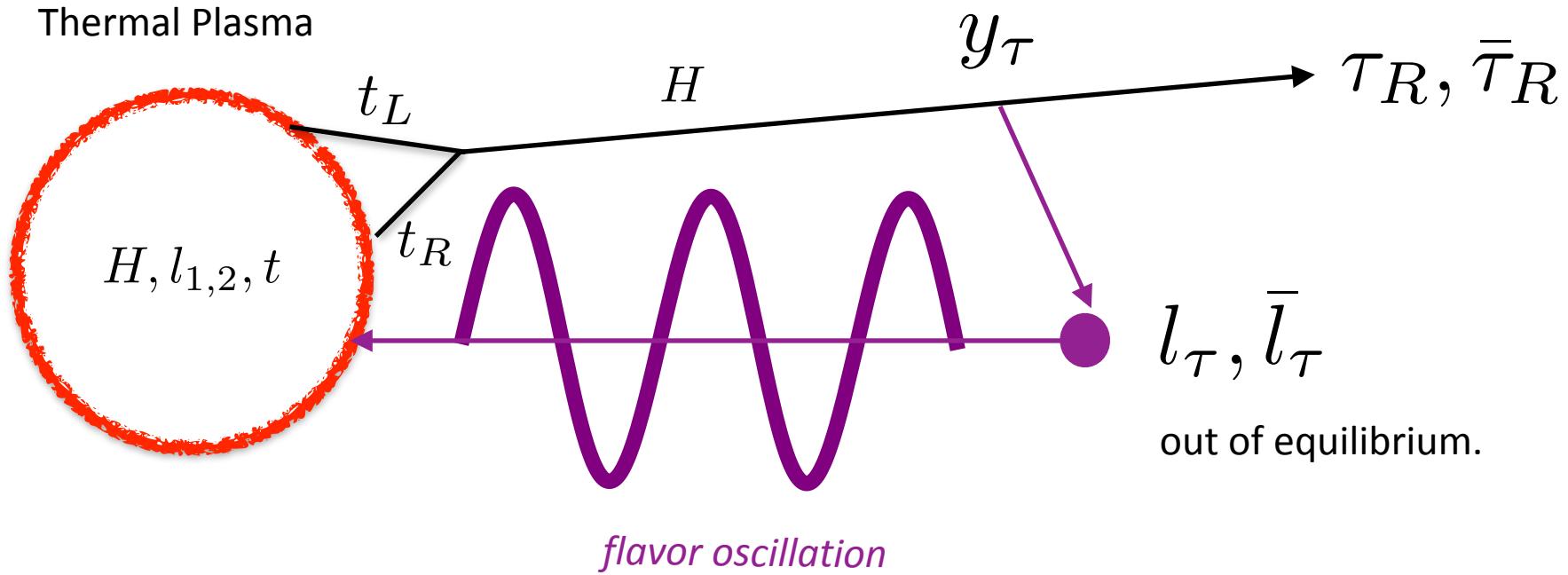


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Frame 3: Flavor dependent washout effect through  $l l H H$ ,  
This tends to eliminate the asymmetry of heavier neutrinos.

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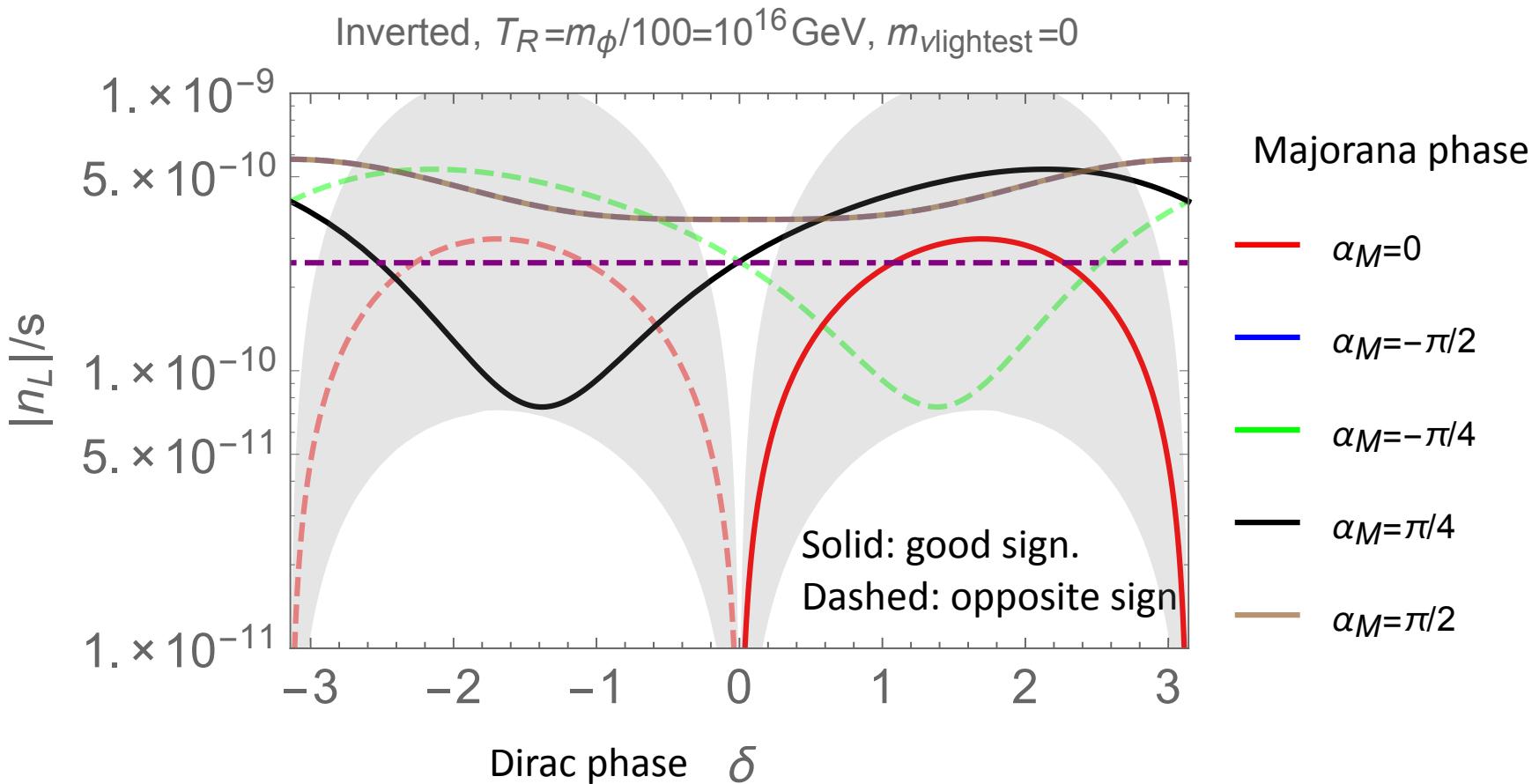


$$\frac{n_L}{s} \sim (-2 \times 10^{-10} \sin \alpha_M + 10^{-10} \sin (\alpha_M - \delta) + 4 \times 10^{-11} \sin (\alpha_M + \delta)) \cdot \left( \frac{30.25}{g_{*s}} \right).$$

**Independent of  $T_R, m_\phi$ , but around the required value  $\sim 10^{-10}$ !**

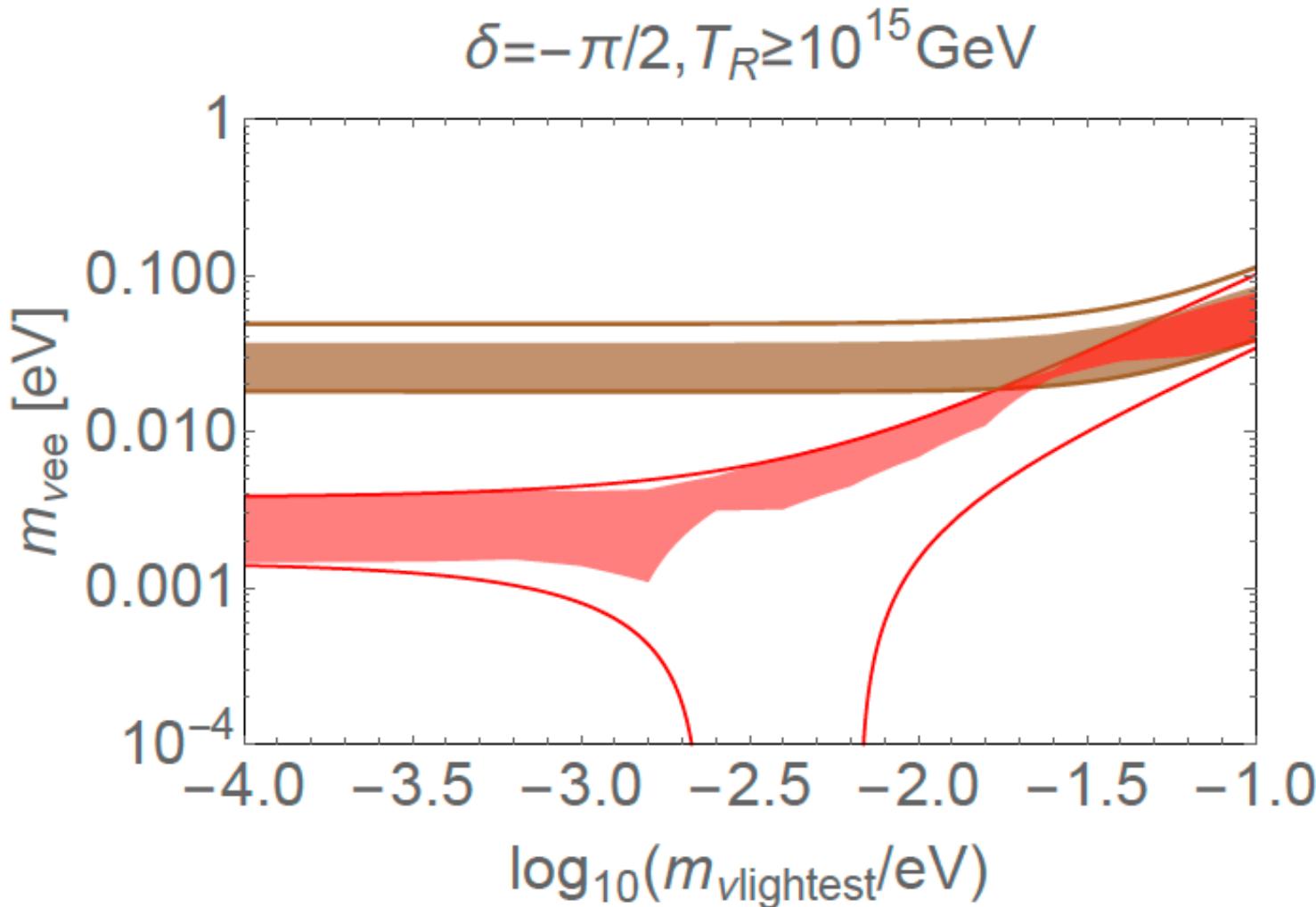
Even does not depend on  $m_{\nu \text{lightest}}$  (Degenerated case has almost same result.)

# Phase Dep. at very High temp



# Neutrinoless double beta decay

The CP phase and neutrino mass can be tested from neutrino exps.



# Summary

Kitano, Hamada, WY, 1807.06582

Baryon asymmetry explained within SM with

$$-\frac{\kappa_{ij}}{2}(\bar{l}_i^c P_L l_j) H H + \text{h.c.}$$

- The observed asymmetry can be explained at reheat temp  $> 10^8 \text{ GeV}$

A low scale inflation around this scale may open the axion window.

[Takahashi, WY, Guth, Phys.Rev. D98 \(2018\) 015042](#); [Graham, Scherlis, 1805.07362](#)

- For the Higgs case, the CP phase needed is within the PMNS mat.  
The scenario can be tested in future neutrino exps.

# Backups

# Not complete washout at very High Temp

$$-\frac{\kappa_{ij}}{2}(\bar{l}_i^c P_L l_j) H H + \text{h.c.}$$

Inverted Hierarchy

$$\kappa \simeq 1/v^2 \text{diag}[m_\nu, m_\nu, 0]$$

$$SO(2) \times U(1) \text{ sym.}$$

Conserved current for lepton (flavor) number!

*Even with very high Temp, conserved current protects lepton asymmetry!*

# Not complete washout with degenerate case

$$-\frac{\kappa_{ij}}{2}(\bar{l}_i^c P_L l_j) H H + \text{h.c.}$$

$$\kappa \simeq 1/v^2 \text{diag}[m_\nu, m_\nu, m_\nu]$$

$$SO(3) \quad \text{sym.}$$

Conserved current for lepton flavor sym.  
Certain flavor dependent asymmetry remains.

*Even with very high Temp, conserved current protects lepton asymmetry!*

# Oscillation phase

$$t_{osc}^{-1} \sim \frac{y_\tau^2}{16} T \sim 10^7 \left( \frac{T}{10^{12} GeV} \right) GeV$$

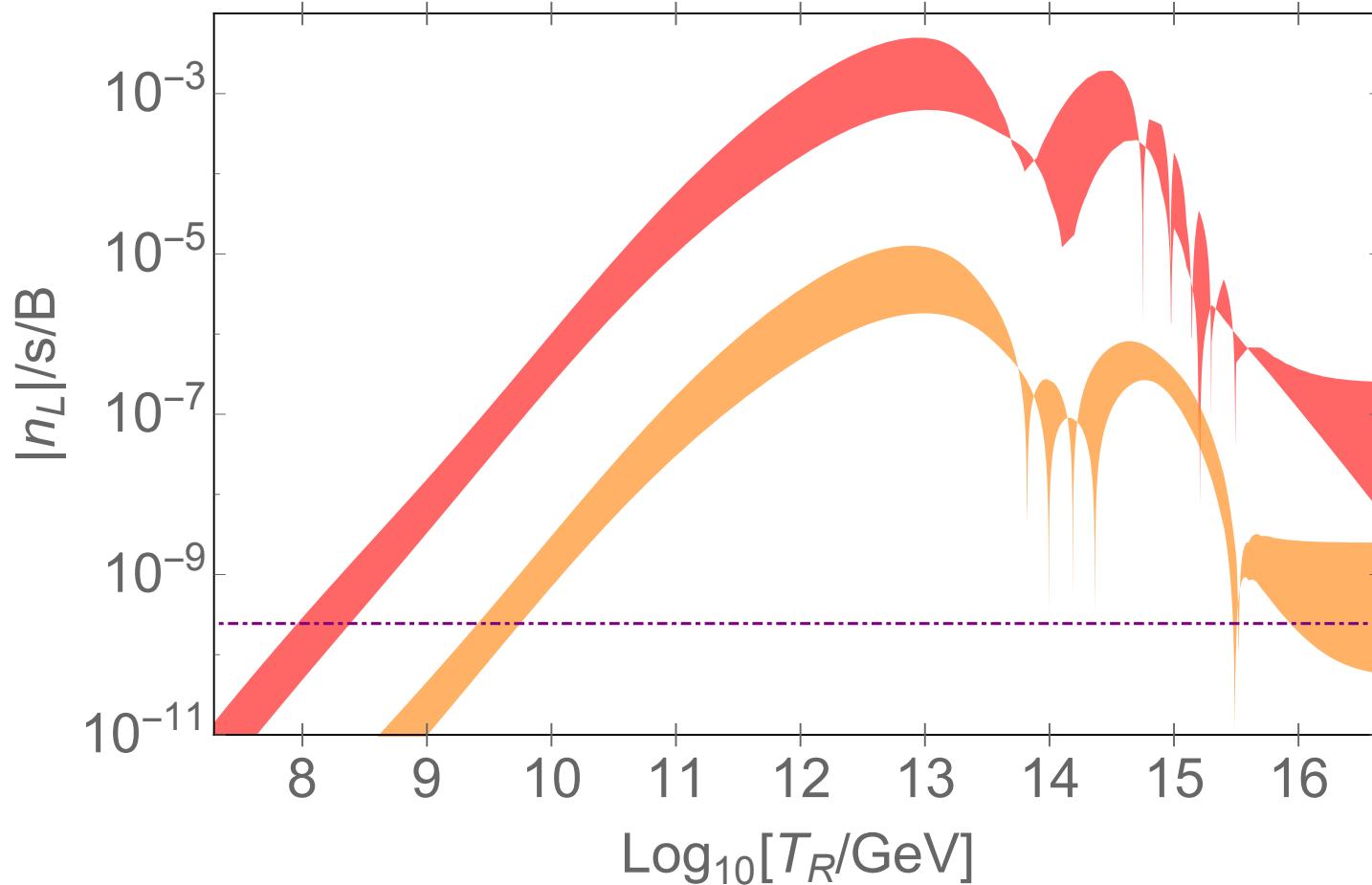
$$t_{scat}^{-1} \sim \alpha_2^2 T \sim 10^9 \left( \frac{T}{10^{12} GeV} \right) GeV$$

$$\text{Phase} \sim t_{osc}^{-1} t_{scat} \ll 1$$

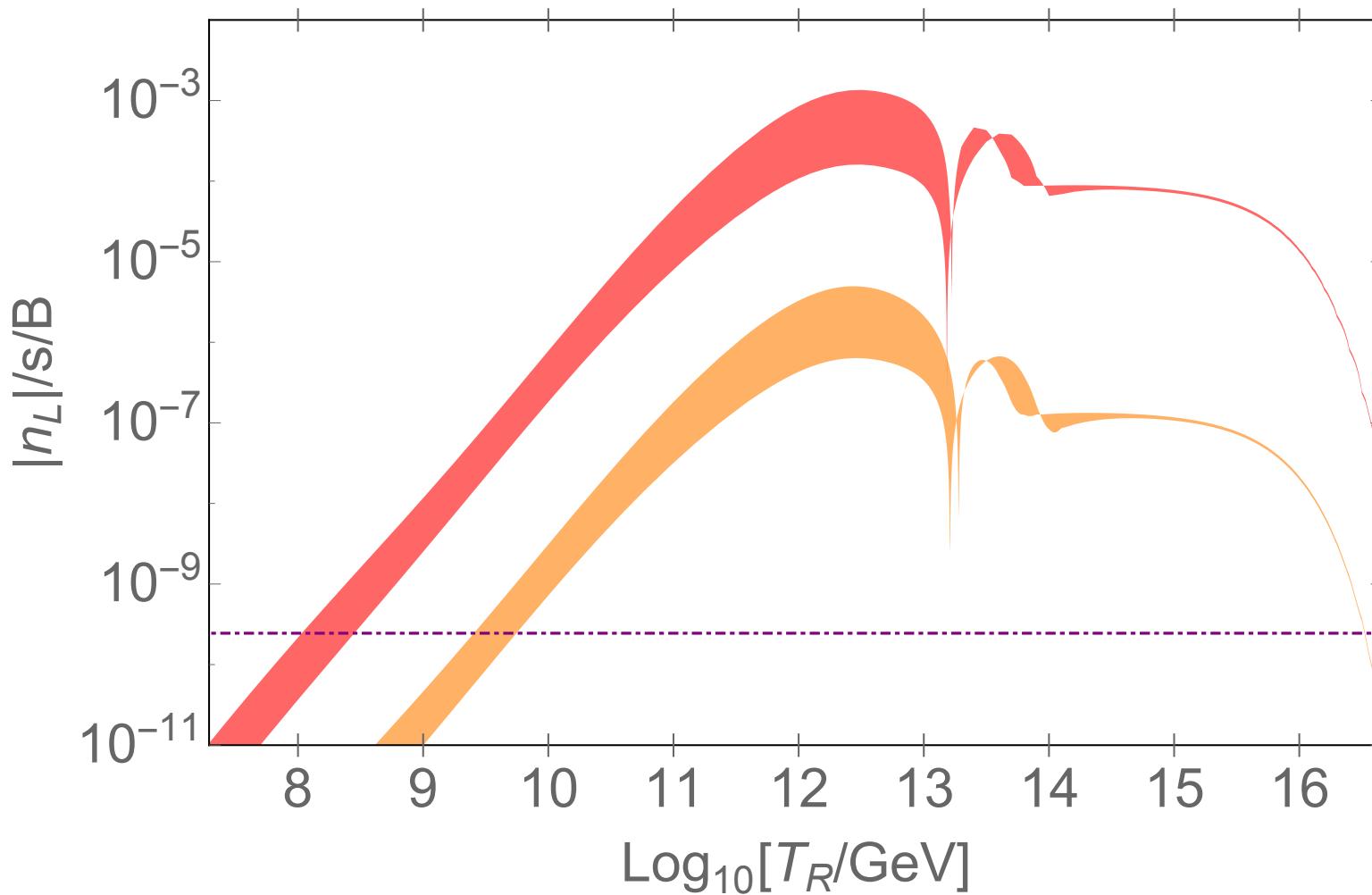
Coherently scattering (LPM effect) becomes important and it is included in the analysis.

# **FIGURES FOR INFLATON->LEPTON**

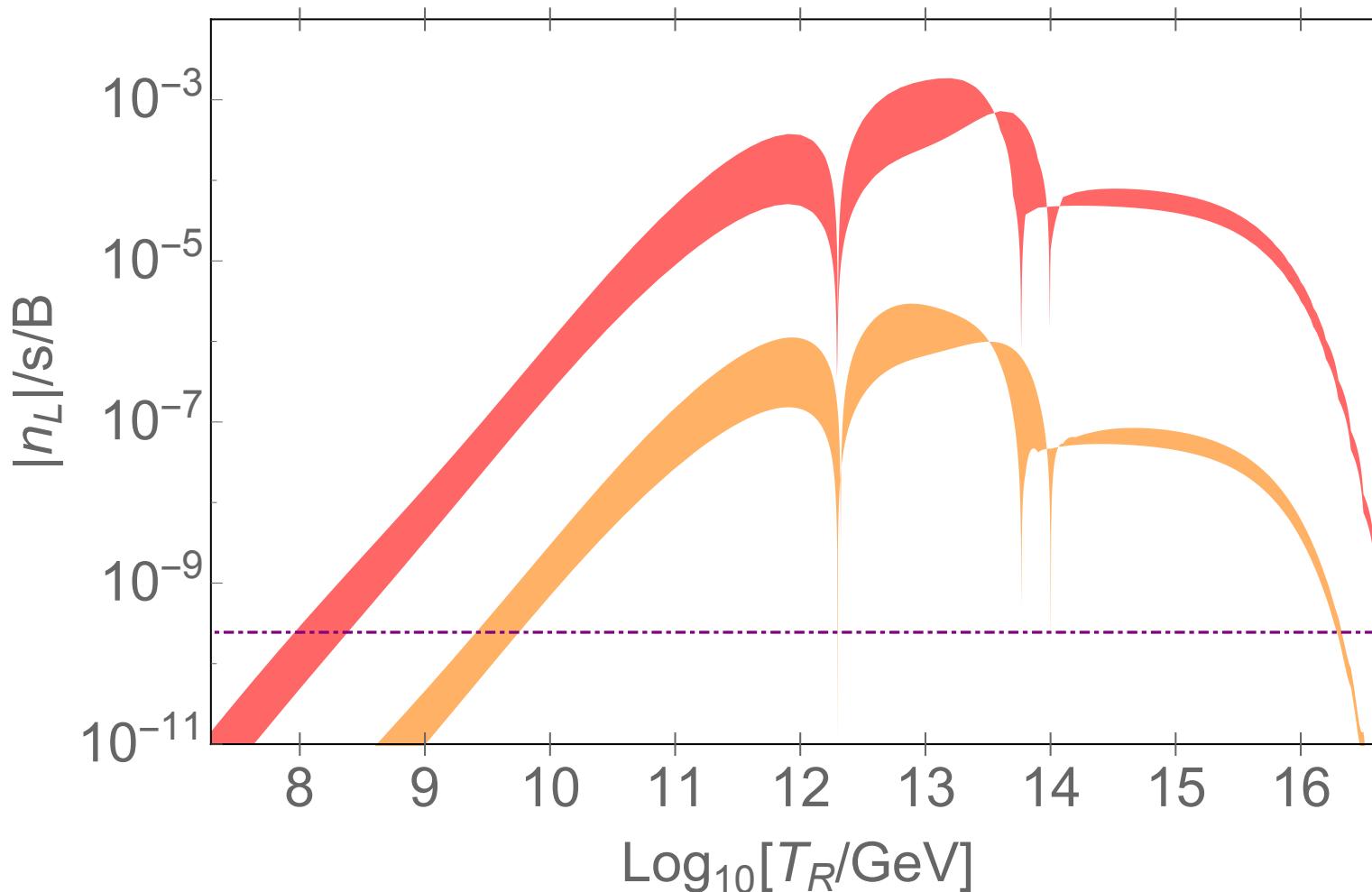
normal hierarchy one massless neutrino.  
Other parameters are same as the main part one.



normal order degenerate mass.  $m_{\text{nullightest}}=0.07\text{eV}$   
Other parameters are same as the main part one.

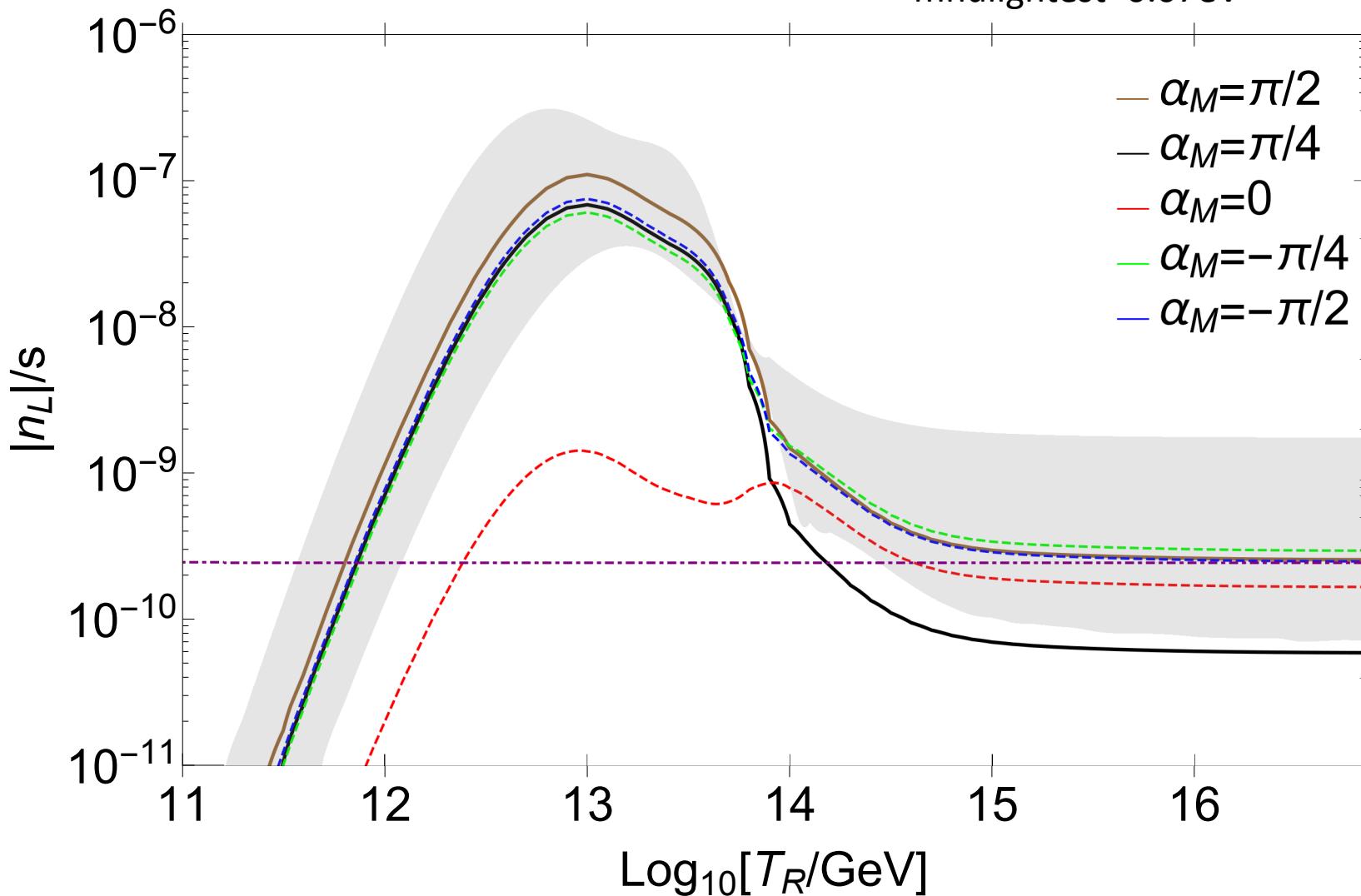


inverted order degenerate mass.  $m_{\text{lightest}}=0.07\text{eV}$   
Other parameters are same as the main part one.

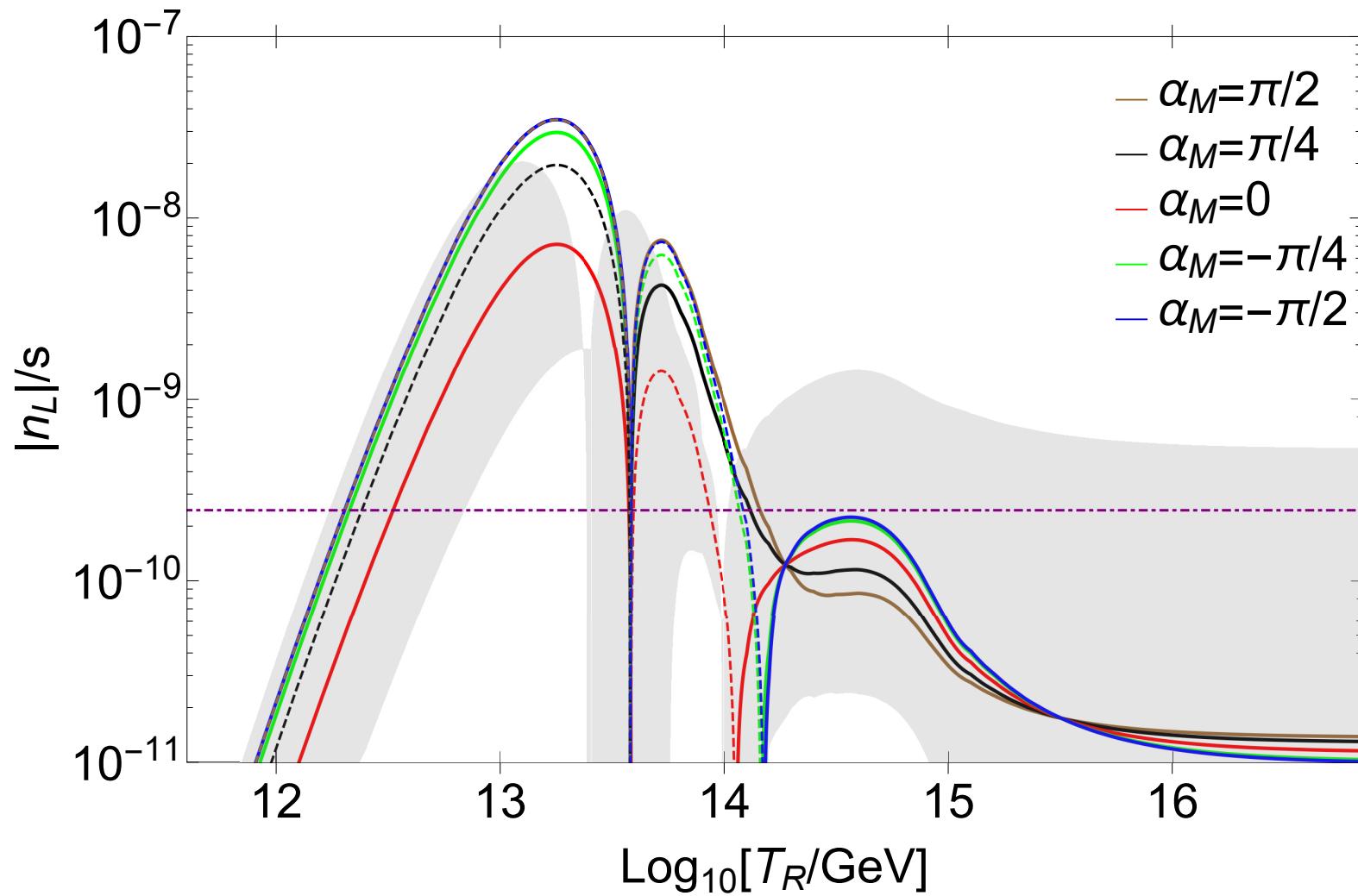


# **FIGURES FOR INFLATON->HIGGS**

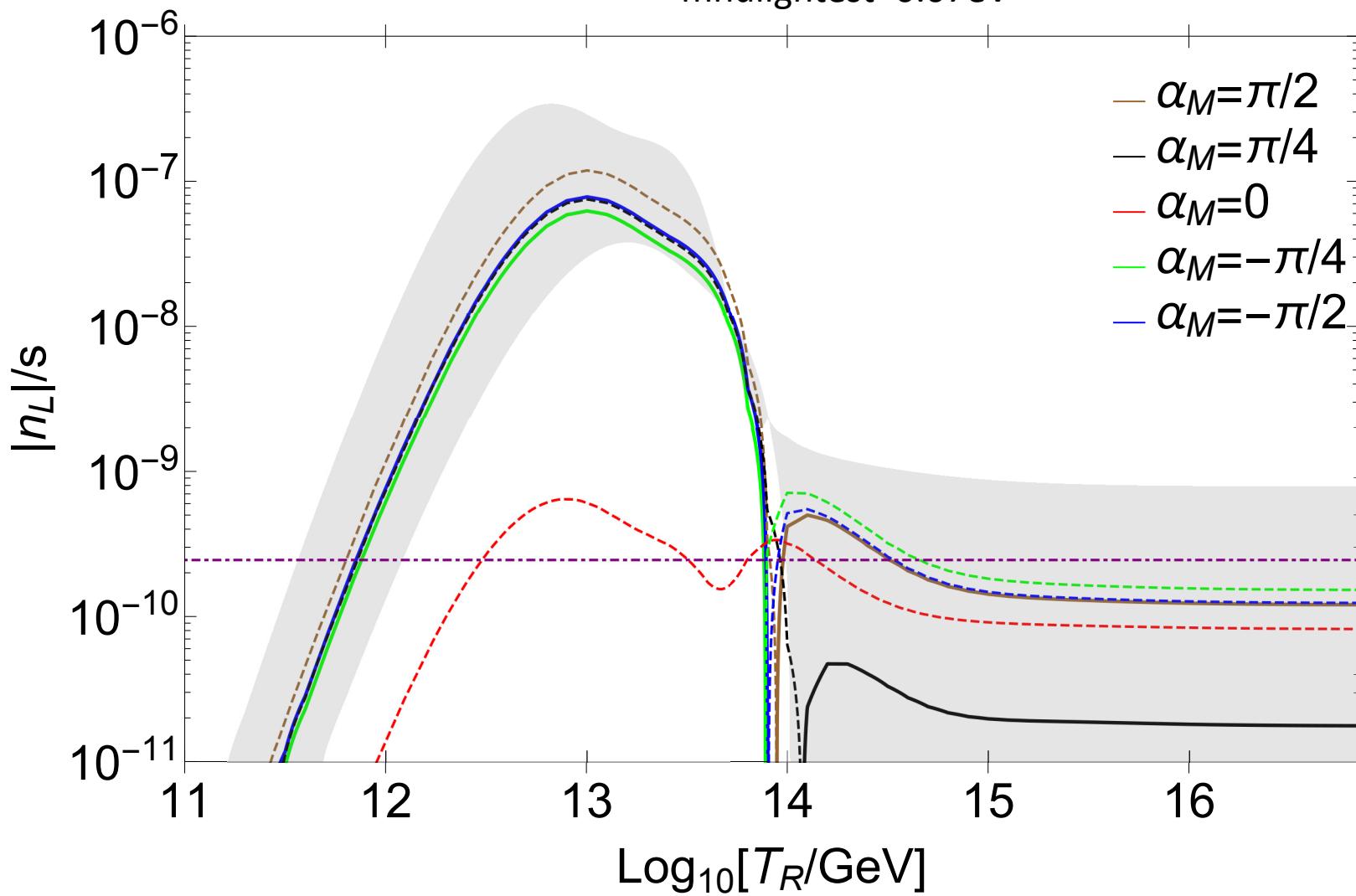
Inverted degenerate case.  
 $m_{\text{lightest}} = 0.07 \text{ eV}$



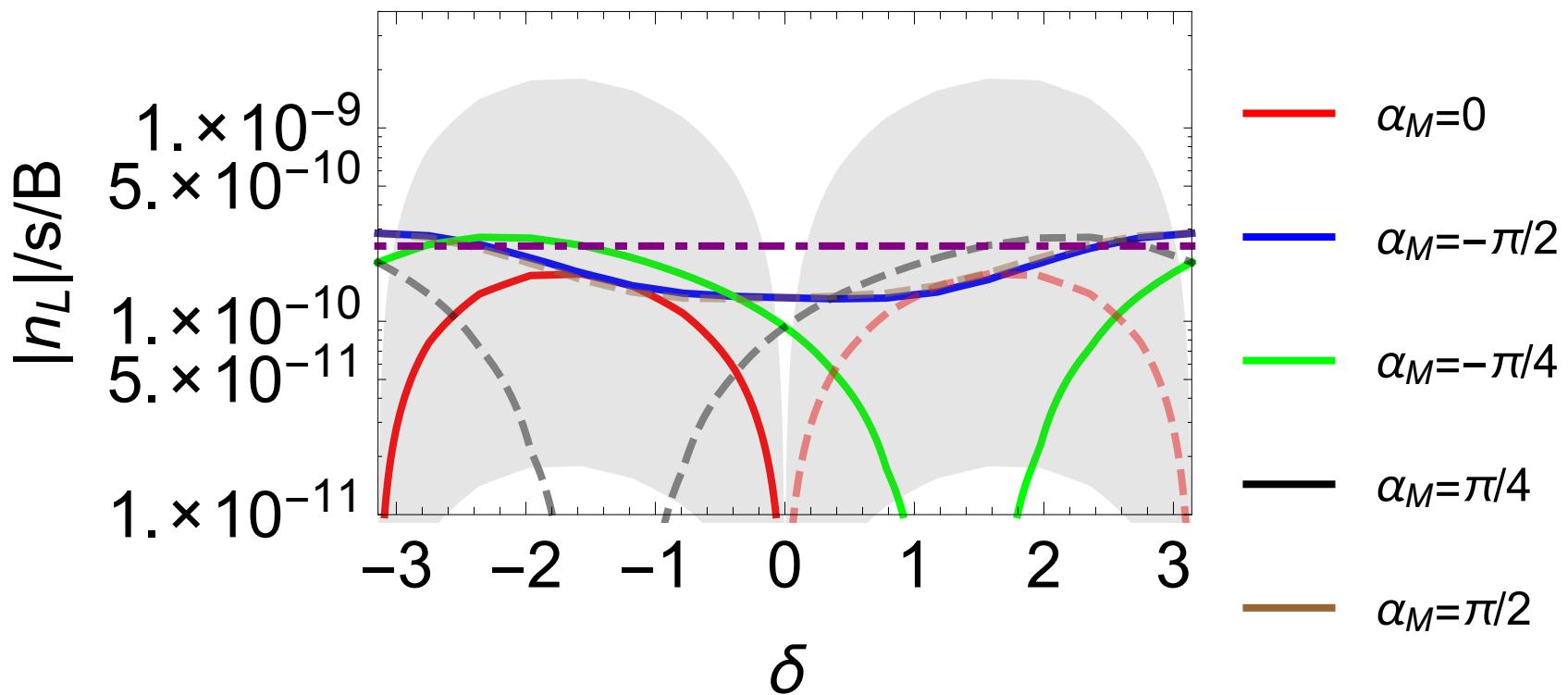
Normal hierarchy one massless neutrino.



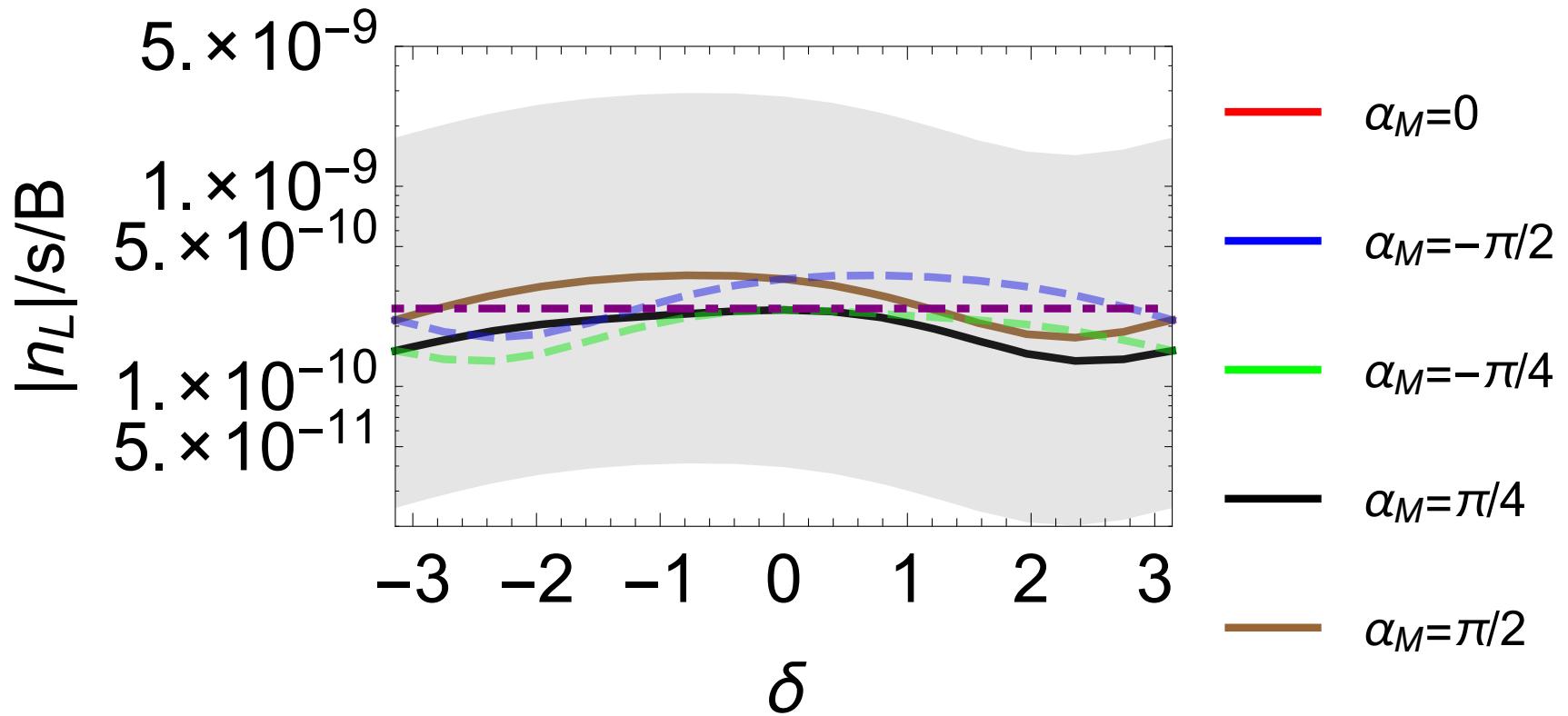
Normal hierarchy degenerate case.  
 $m_{\text{lightest}}=0.07\text{eV}$



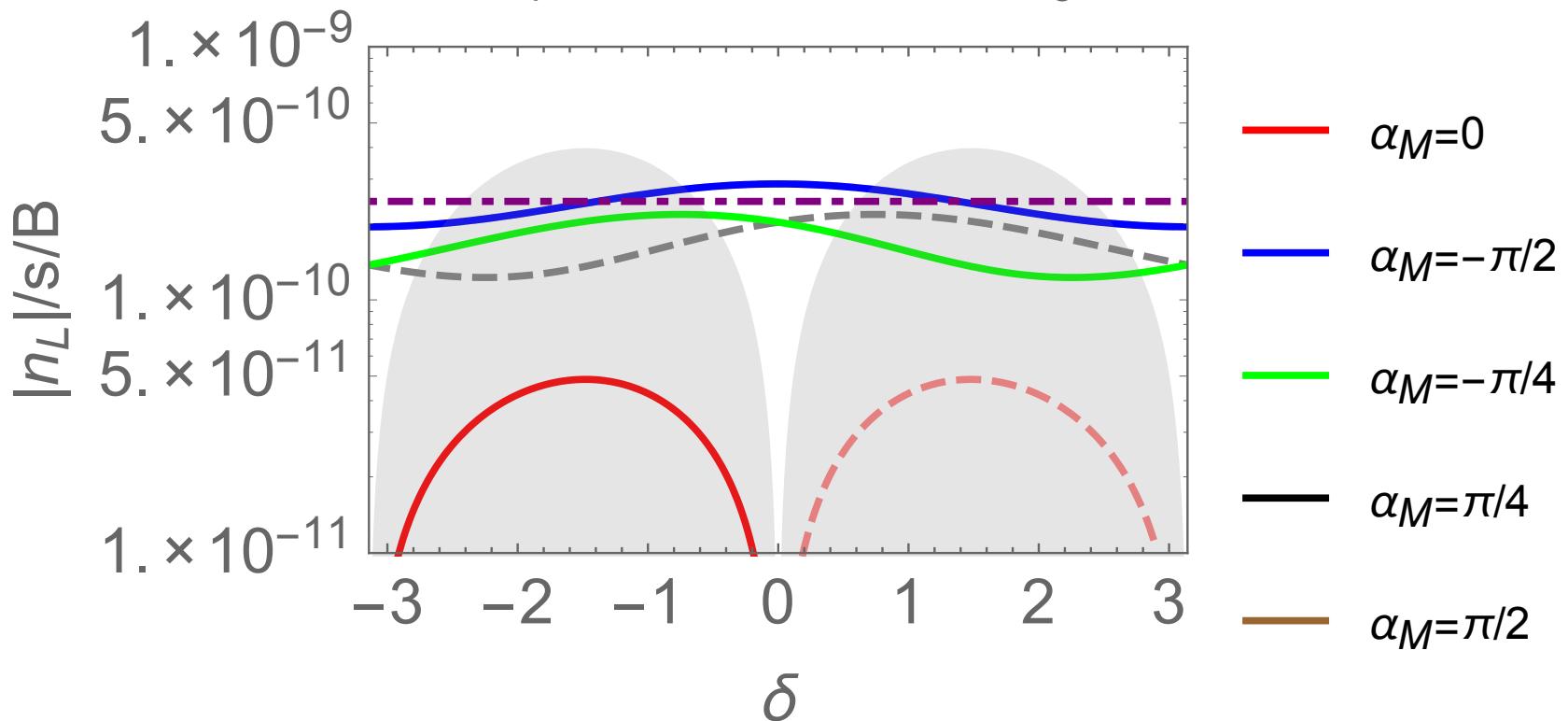
Inverted,  $T_R = m_\phi / 100 = 4 \times 10^{12} \text{ GeV}$ ,  $m_{\nu \text{lightest}} = 0 \text{ eV}$

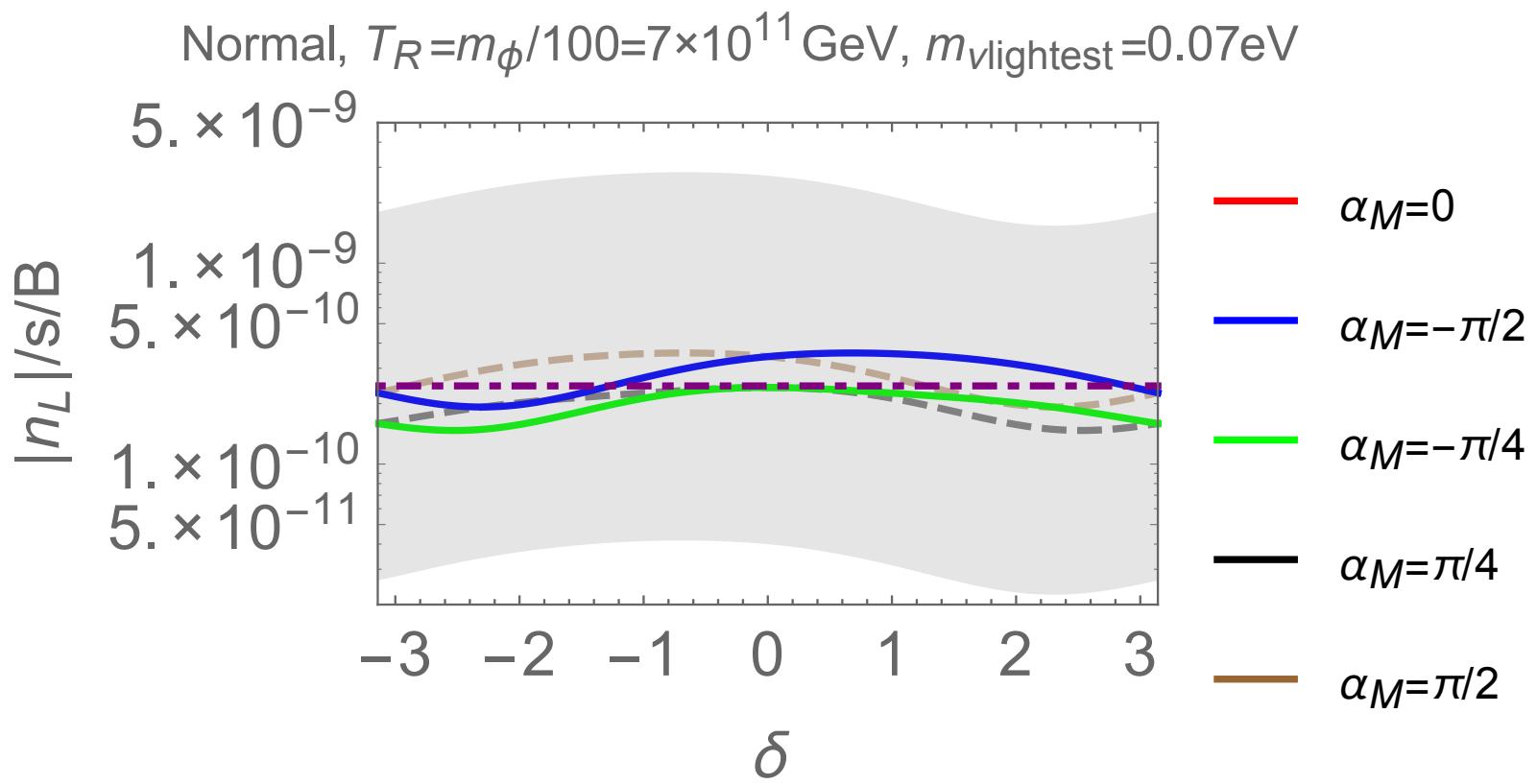


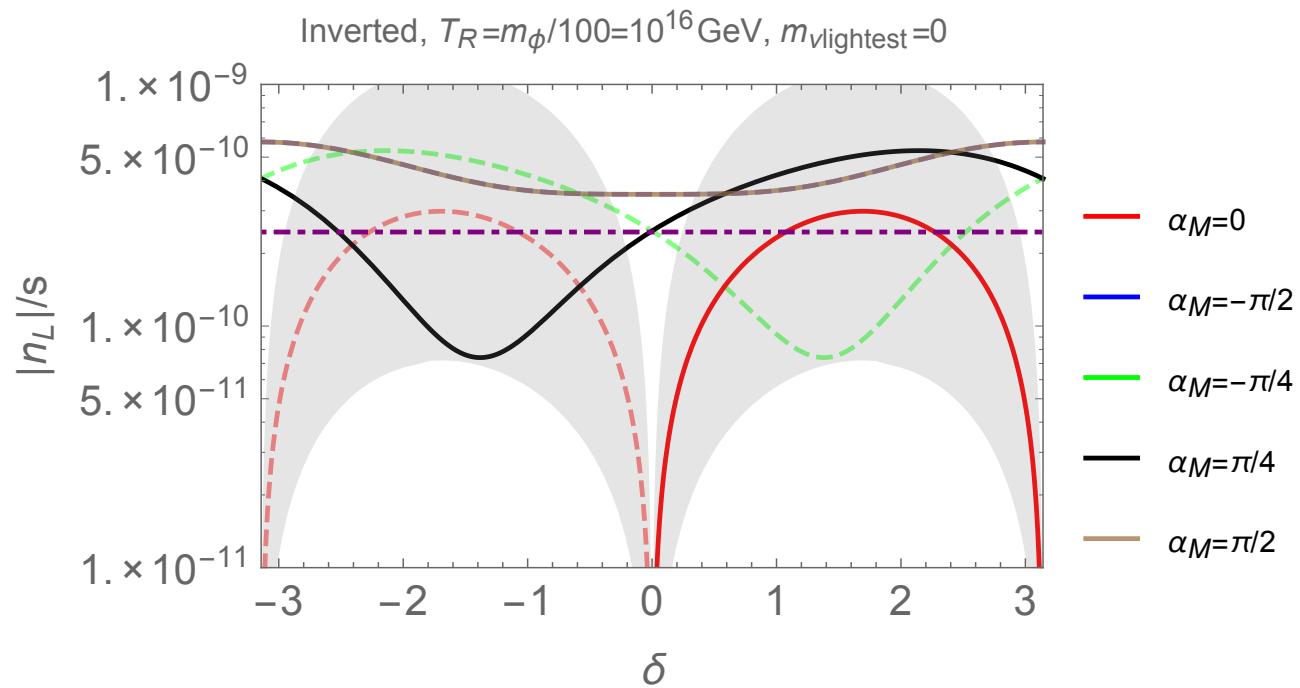
Inverted,  $T_R = m_\phi / 100 = 7 \times 10^{11} \text{ GeV}$ ,  $m_{\nu \text{lightest}} = 0.07 \text{ eV}$

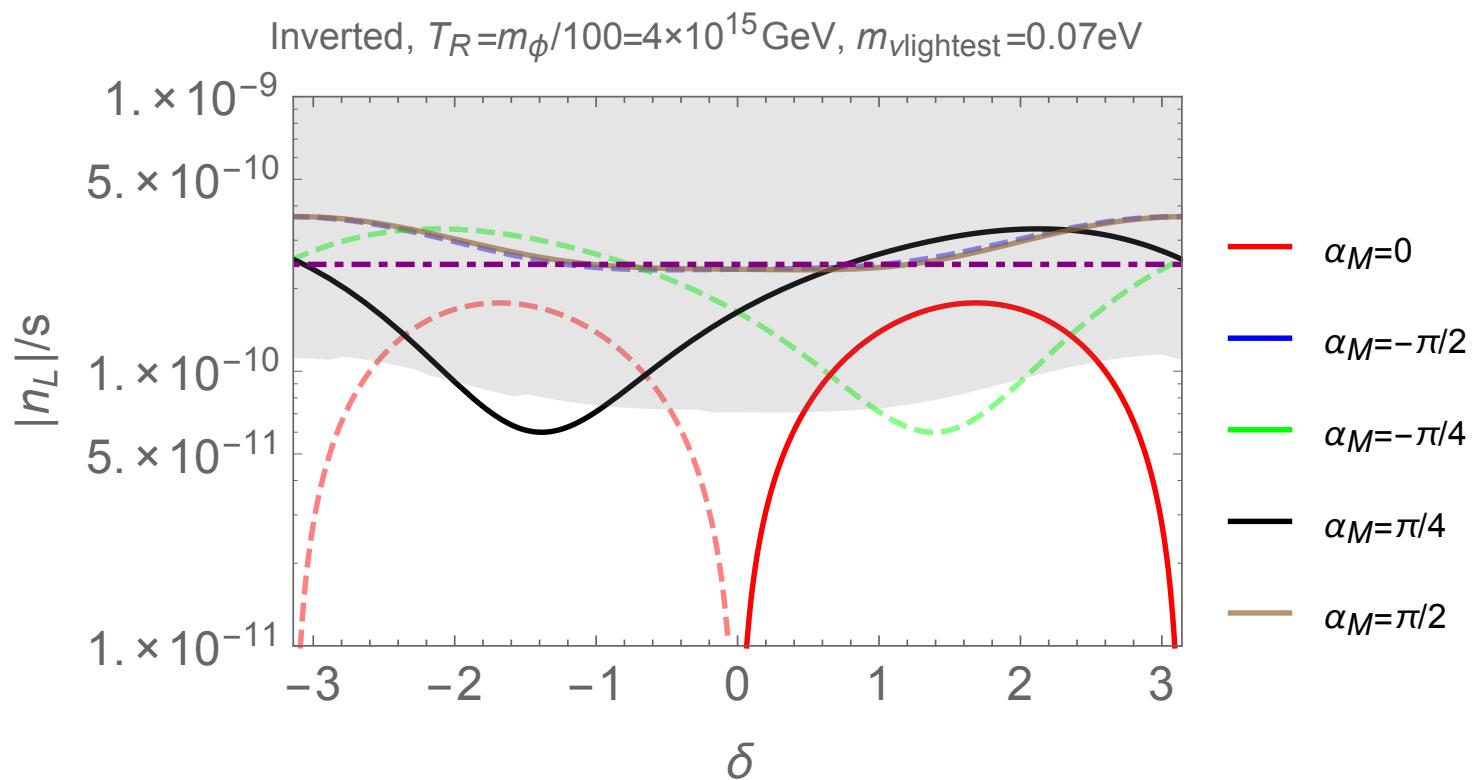


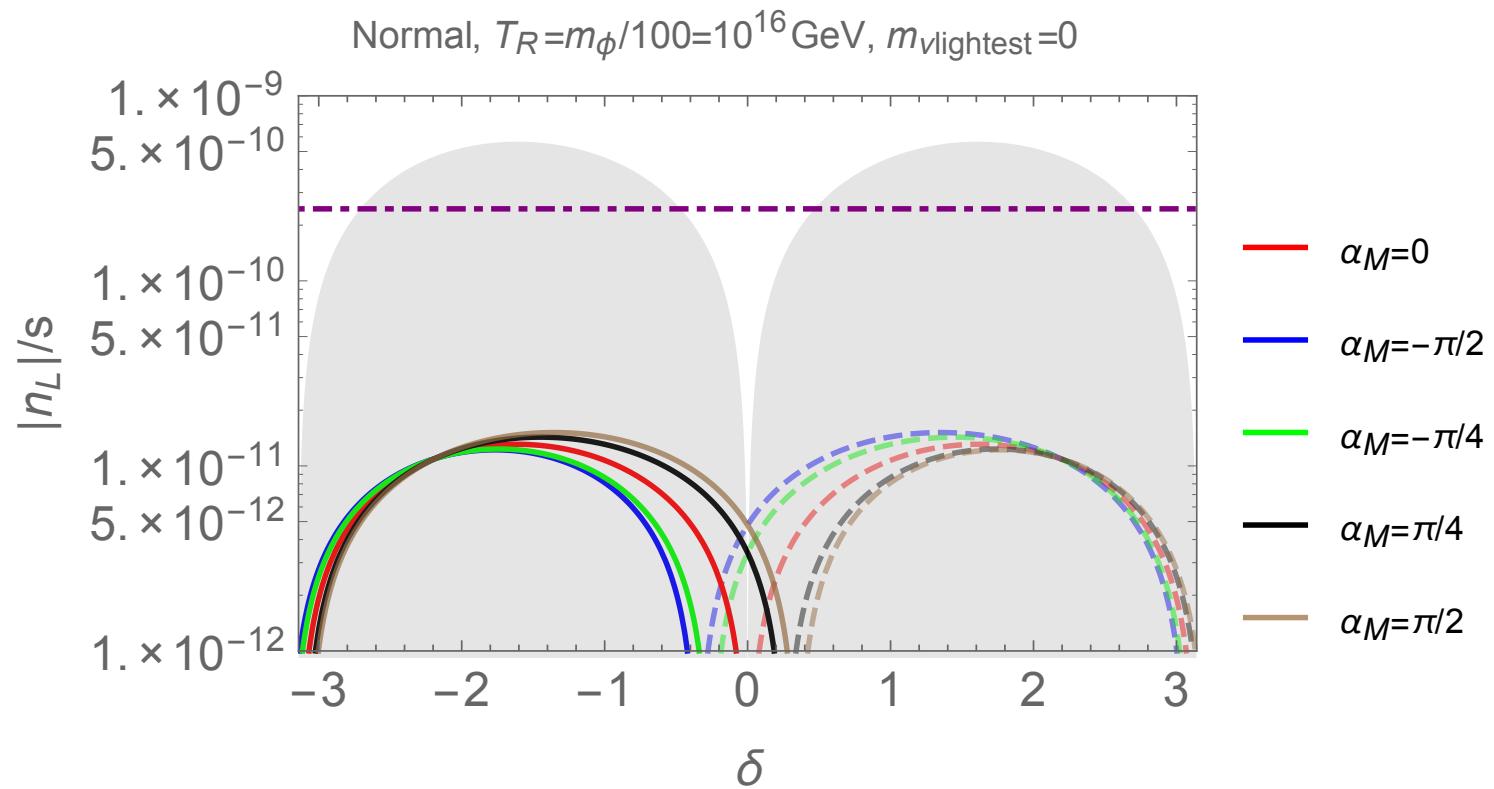
Normal,  $T_R = m_\phi / 100 = 2 \times 10^{12} \text{ GeV}$ ,  $m_{\nu \text{lightest}} = 0 \text{ eV}$

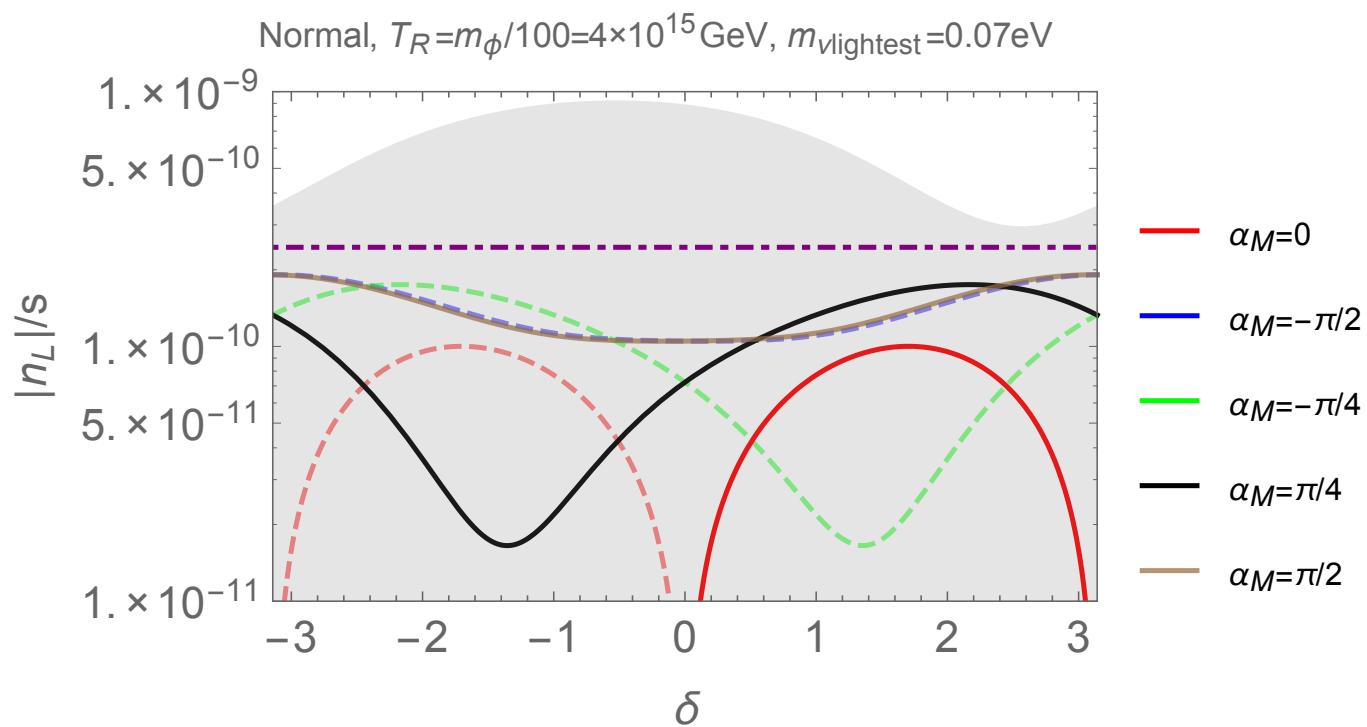












$\delta = -3\pi/4$ ,  $T_R \leq 10^{13}$  GeV

