

Heavy Neutrinos: Prospects at Colliders for Experiment and Theory

Summer Institute 2018

Richard Ruiz


Institute for Particle Physics Phenomenology,
University of Durham, UK¹

14 August 2018

elusiVes
neutrinos, dark matter & dark energy physics



inVisiblesPlus

¹IPPP → CP3, Universite Catholique de Louvain, Belgium (October '18) 

Disclaimers

Finite time constraints \implies will not cover all neutrino mass models

- Many omissions :(
- For a comprehensive look at collider tests of neutrino mass models, see the review! Y. Cai, T. Han, T. Li, **RR**, [[arXiv:1711.02180](https://arxiv.org/abs/1711.02180)]

Language: “prospects for *heavy neutrinos*” (mass eigenstate)

- Not “prospects for right-handed neutrinos” (chiral eigenstate).

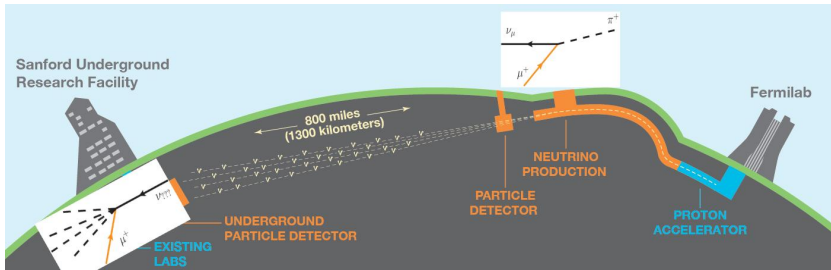
2015  : ν mass eigenstates \neq ν chiral eigenstates

- Less often “sterile” since N_R may be charged under new gauge group

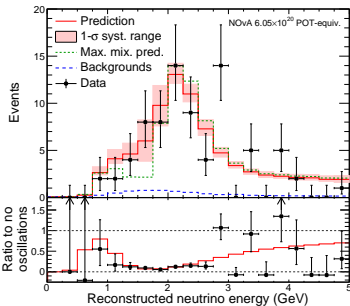
Humble request: remember that RH neutrinos are not the only explanation for tiny ν masses nor are they necessary (e.g., Type II Seesaw)

- Realistic models with only singlet fermions (Type I-like) introduce *many* parameters and/or hierarchies

Motivation for new physics from ν physics



In neutrino fixed-target expts, ν_μ beams from collimated π^\pm , then studied at near and far detectors (reminiscent of early SLAC DIS expts)



Deficit/disappearance of expected ν_μ (+appearance of ν_e/ν_τ) interpreted as $\nu_{\ell_1} \rightarrow \nu_{\text{mass}} \rightarrow \nu_{\ell_2}$ transitions/oscillations [E.g. NO ν A ν_μ disapp., 1701.05891]

$\Rightarrow \nu$ have mass!



So, neutrinos have masses $\lesssim \mathcal{O}(0.1)$ eV.

Is this a problem?

Yes.

Neutrinos Masses and New Physics

To generate Dirac masses for ν **like other** elementary fermions, need N_R

$$\begin{aligned}\mathcal{L}_{\nu \text{ Yuk.}} &= -y_\nu \bar{L} \tilde{\Phi} N_R + H.c. = -y_\nu (\bar{\nu}_L \quad \bar{\ell}_L) \begin{pmatrix} \langle \Phi \rangle + h \\ 0 \end{pmatrix} N_R + H.c. \\ &= \underbrace{-y_\nu \langle \Phi \rangle}_{=m_D} \bar{\nu}_L N_R + H.c. + \dots\end{aligned}$$

However, N_R^i do not exist in the SM, implying $m_D = 0$

Significance of Neutrino Oscillations:

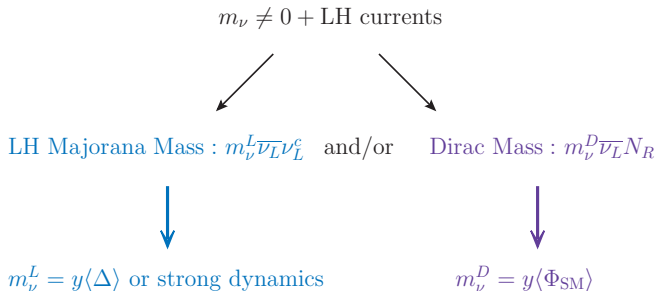
- 1 Neutrino masses $\implies \mathcal{L}_{\text{Universe}} \neq \mathcal{L}_{\text{SM}} (+\mathcal{L}_{\text{gravity}})$
- 2 Instead, $\mathcal{L}_{\text{Universe}} \approx \mathcal{L}_{\text{SM}} + \underbrace{\mathcal{L}_{\nu \text{ masses}}}_{\text{BSM physics!}} + \dots$



Neutrino masses \implies existence of physics beyond the SM!

Neutrinos Masses and New Particles?

Nonzero neutrino masses implies new degrees of freedom exist [Ma'98]:



$m_\nu \neq 0 + \text{gauge inv.} + \text{renormalizability} \implies \text{new particles!}$

- No guarantee that new particles are N_R , e.g. Type II Seesaw
- New particles might be charged under new gauge symmetries, e.g., (N_R, e_R) form $SU(2)_R$ doublet or Δ_L is scalar $SU(2)_L$ triplet

Collider Connection to Neutrino Mass Models

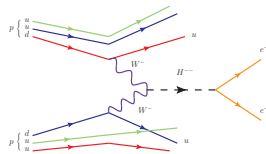
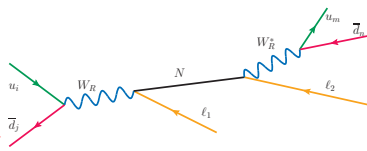
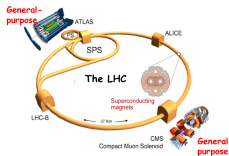
Neutrino mass models (aka Seesaw models) hypothesize new particles of all shapes, spins, charges, and color:

N (Type I), $T^{0,\pm}$ (Type III), Z_{B-L} , $H_R^{\pm,\pm\pm}$ (Type I+II), ...

Produced in $ee/ep/pp$ collisions through gauge couplings and mixing:

DY : $q\bar{q} \rightarrow \gamma^*/Z^* \rightarrow T^+T^-$ and $q\bar{q}' \rightarrow W_R^\pm \rightarrow N\ell^\pm$

VBF : $W^\pm W^\pm \rightarrow H^{\pm\pm}$ **GF** : $gg \rightarrow h^*/Z^* \rightarrow N\nu\ell$



Identification of Seesaw particles through decays to SM particles

Theory Perspective on Heavy Neutrinos and Lepton Number Violation at Colliders

Canonical Type I Seesaw Mechanism

... extends the Standard Model (**SM**) field content with N_R , and supposes the existence of Dirac and RH Majorana masses:

$$\mathcal{L}_{\text{Type I}} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{N \text{ Kin.}} - \underbrace{y_\nu \bar{L} \tilde{\Phi} N_R + H.c.}_{\text{Dirac mass}} - \underbrace{\mu_R \overline{N_R^c} N_R}_{\text{Majorana mass}}$$

Combining the mass terms makes manifest neutrino mass-mixing

$$\mathcal{L}_{D+M} = -\frac{1}{2} \overline{\tilde{N}} \tilde{M} \tilde{N} = \left(\overline{\nu_L} \quad \overline{N_R^c} \right) \begin{pmatrix} 0 & m_D \\ m_D & \mu_R \end{pmatrix} \begin{pmatrix} \nu_L^c \\ N_R \end{pmatrix}$$

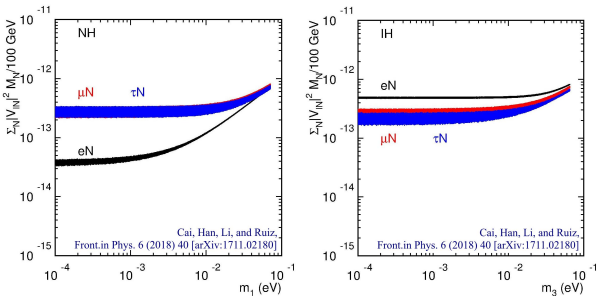
which gives in the following mass eigenvalues when $\mu_R \gg m_D$:

$$m_1 \approx -m_D |V|^2 = -m_D \frac{m_D}{\mu_R}, \quad m_2 \approx \mu_R$$

Realistic **models** have large and messy mass matrix \tilde{M} , where

$$\tilde{m}_\nu = -\tilde{M}_D \tilde{M}_R^{-1} \tilde{M}_D^T \text{ with active-sterile mixing } \tilde{V} = \tilde{M}_D \tilde{M}_R^{-1}$$

Plugging in measured ν mass splittings and solving for mixing reveals very small $|V_{eN}|$ for *three* EW/TeV-scale N



This suggests that N might decouple from colliders experiments²

- For MeV N , rate okay if can be produced from meson decays
- Many attempts to invoke flavor symmetries to obtain sizable mixing
- **Exception**³ when (lots) more N added with **small** Majorana mass

$$\mu_X \ll m_D, \mu_R, \text{ leading to } \tilde{m}_\nu = -\tilde{M}_D^T \tilde{M}_R^T{}^{-1} \mu_X \tilde{M}_R^{-1} \tilde{M}_D$$

²Pilaftsis [[hep-ph/9901206](#)] and Kersten & Smirnov [[0705.3221](#)]

³Known as Inverse and Linear Seesaw Mechanisms, and N is Dirac-like/pseudo-Dirac

Clarity on Lepton Number Violation vs Colliders

Whether or not N_i decouple from collider experiments has been clarified

- Theorem⁴: In SM + arbitrary number of gauge singlet/sterile fermions, $\tilde{m}_\nu = 0 \Leftrightarrow$ lepton number (L) conservation

\Rightarrow In pure Type I scenarios, L violation decouples one of two ways:

- ① **High-scale seesaw**: $\mu_M \gg \langle \Phi_{SM} \rangle \Rightarrow m_\nu \sim m_D \left(\frac{m_D}{\mu_M} \right)$, $m_N \sim \mu_M$
- ② **Low-scale seesaw**: $\mu_M \ll \langle \Phi_{SM} \rangle \Rightarrow m_\nu \sim \mu_M \left(\frac{m_D}{m_R} \right)^2$, $m_N \sim m_R$

\Rightarrow In Type I scenarios, EW/TeV-scale Dirac-like N_i do not decouple

Hence, observation of $N_i + L$ violation \Rightarrow *even more new particles!*

- Important since there is a concrete example⁵ of a realistic Type II Seesaw mimicking the classical Type I collider signature

⁴Moffat, Pascoli, and Weiland [[1712.07611](#)]

⁵RR, [[1703.04669](#)]

Agnostic Approach

In light of this, an EW/TeV-scale heavy neutrino N_i will likely have a complicated mass matrix

$$\underbrace{\begin{pmatrix} \nu_L \\ \dots \\ N_R^c \\ \dots \end{pmatrix}}_{\text{chiral basis}} = \underbrace{\begin{pmatrix} U & V \\ X & Y \end{pmatrix}}_{\text{mass matrix}} \underbrace{\begin{pmatrix} \nu_{m=1} \\ \dots \\ N_{m'=4} \\ \dots \end{pmatrix}}_{\text{mass basis}}$$

For **discovery purposes**, no need to complicate life. Take agnostic/pheno. approach with generic $V_{\ell N}$ parametrization and one N mass eigenstate

- This requires modifying SM interactions with the following⁶:

$$\nu_{\ell L} \approx \sum_{m=1}^3 U_{\ell m} \nu_m + V_{\ell m'=4} N_{m'=4}$$

Note: $U_{\ell m}$ is measured by oscillation experiments; $V_{\ell m'}$ by $0\nu\beta\beta$.

⁶Atre, Han, Pascoli, Zhang [0901.3589]; Han, Lewis, RR, Si [1211.6447]

Coupling N_R to SM Particles

Example: SM W chiral coupling to **leptons** in **flavor basis** is

$$\mathcal{L}_{CC} = -\frac{g}{\sqrt{2}} W_\mu^+ \sum_{\ell=e}^{\tau} [\bar{\nu}_{\ell L} \gamma^\mu P_L \ell^-] + H.c.$$

In the **mass basis**, it is

$$\Rightarrow \mathcal{L}_{CC} = -\frac{g}{\sqrt{2}} W_\mu^+ \sum_{\ell=e}^{\tau} \left[\sum_{m=1}^3 \bar{\nu}_m U_{m\ell}^* + \bar{N}^c V_{N\ell}^* \right] \gamma^\mu P_L \ell^- + H.c.$$

One can also decompose $N_R \approx \sum_m X_{\ell m} \nu_m^c + Y_{\ell m'} N_{m'}$ and consistently expand heavy **Neutrino Effective Field Theory** operators⁷, e.g.,

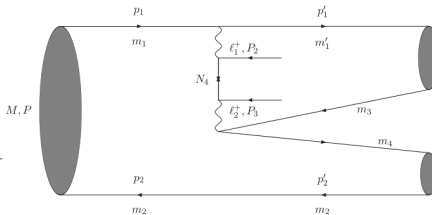
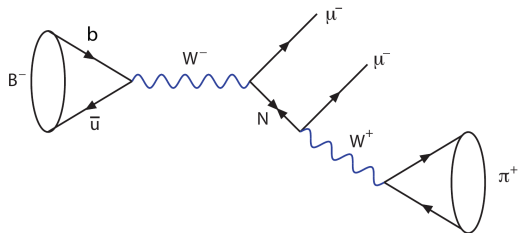
$$\begin{aligned} \mathcal{O}_V^{(6)} &= (\bar{d} \gamma^\mu P_R u) (\bar{e} \gamma_\mu P_R N_R) \\ &= \sum_{m=1}^3 (\bar{d} \gamma^\mu P_R u) (\bar{e} \gamma_\mu P_R X_{em} \nu_m^c) + (\bar{d} \gamma^\mu P_R u) (\bar{e} \gamma_\mu P_R Y_{em'} N_{m'}) \end{aligned}$$

⁷NEFT: Gauge invariant way to parameterize anomalous heavy N couplings to SM. del Aguilar, et al [[0806.0876](#)]; + neutrino mixing, RR [[1703.04669](#)]

Experiment Perspective on Low Mass N

Searches for Low Mass N

For $m_N \ll M_W$, N can appear in decays of hadrons and τ s!



Production rate of mesons (π^\pm, D, B) at colliders is **big**

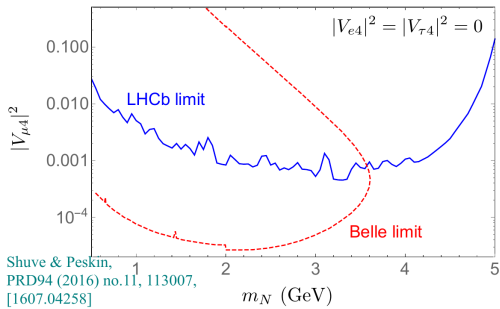
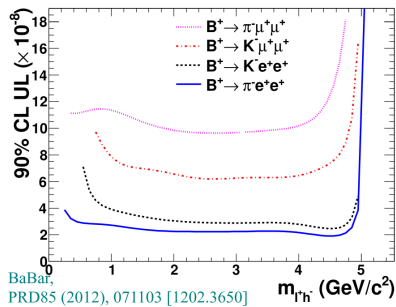
- Sufficient⁸ to overcome **tiny** mixing for MeV-scale, Majorana N
- Sufficient⁹ to probe **L -conserving, charged lepton flavor violation**

⁸Atre, Han, Pascoli, & Zhang [0901.3589]; Castro & Quintero [1302.1504]; Yuan, Wang \times 2, Ju, & Zhang [1304.3810]; + lots more. See the review [1711.02180] for details

⁹Dedicated LNC+cLFV theses: Weiland [1311.5860] and Marcano [1710.08032]

Searches¹¹ for Low Mass Majorana N

(L) BaBar: Limits on $\text{BR}(\mathcal{B} \rightarrow NX)$ using $4.7 \times 10^8 \mathcal{B}\bar{\mathcal{B}}$ [1202.3650]



(R) Equivalent LHCb and Belle search for $\mathcal{B} \rightarrow N\mu \rightarrow \pi\mu\mu$ [1607.04258]
 Complementarity between high- (LHC) and low- (Belle) energy colliders!


- Searches for N via LNC-cLFV interactions less sensitive / more difficult¹⁰ due to larger backgrounds

¹⁰We will come back to this point!

¹¹See also talk by Wang Dayong on behalf of BESIII, and NA62 results [1712.00297]

Experiment Perspective on N with Intermediate Masses I:

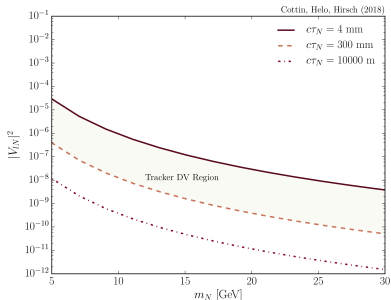
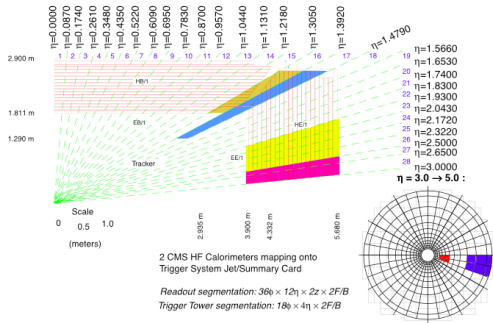
Prompt decays¹²

¹²Postponed since new results overlap with high-mass searches 

Experiment Perspective on N with Intermediate Masses II:

Non-prompt decays / displaced vertices

Displaced Vertices



Decays of low-mass N through SM weak currents can be very suppressed:

$$\Gamma_{\text{Tot.}} \sim G_F^2 m_N^5 \sum |V|^2 \text{ (long lifetime!)}$$

$$\implies d_0 = \beta c \tau = \frac{\beta \hbar c}{\Gamma_{\text{Tot.}}} \sim \frac{1.45 \text{ m}}{\sum |V|^2} \left(\frac{1 \text{ GeV}}{m_N} \right)$$

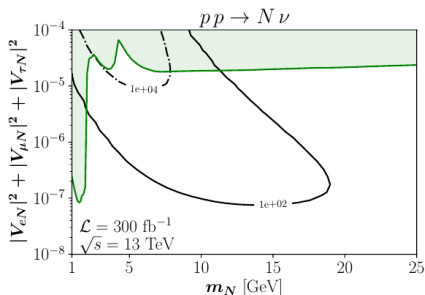
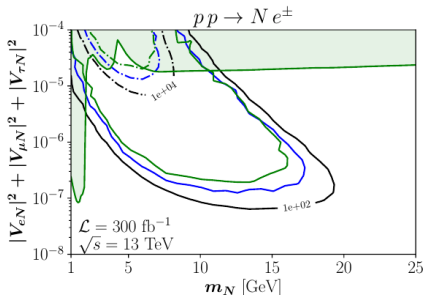
LHC detectors have *finite* detector volume, with radius $< \mathcal{O}(10)$ m

- N may decay in ECAL (1-2m), HCAL (2-3m), μ Chamber (>5 m)

Heavy N from Displaced Vertices

Many studies in the past year (very popular topic)

- Higgs: A. Gago, et al [[1505.05880](#)]; W: G. Cottin, et al [[1806.05191](#)]; Z: A. Abada, et al [[1807.10024](#)]; many others
- Including via non-resonant¹³ W_R gauge currents: M. Nemevsek, et al [[1801.05813](#)]



Note: Many studies assume zero background; unclear if this is reliable.

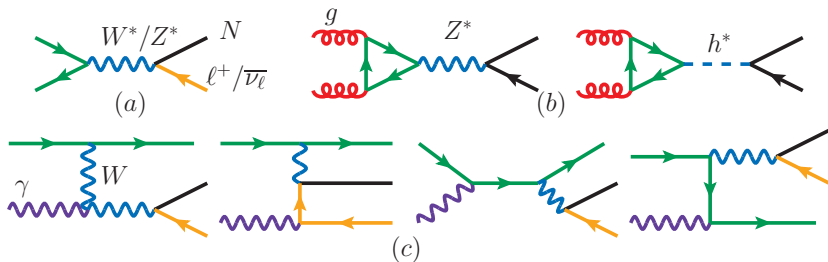
¹³RR, [[1703.04669](#)]

Experiment Perspective on High Mass N

Brief Theoretical Interlude

Heavy Neutrino Production At Hadron Colliders

Heavy N can be produced through a variety of mechanisms in pp collisions



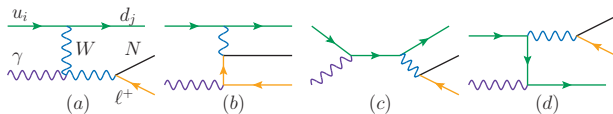
In fact, a resurgence of calculations in recent years¹⁴

- Clarity needed on (i) m_N, \sqrt{s} dependence and (ii) conflicting claims
 \implies more physical collider definitions + public tools [1602.06957]

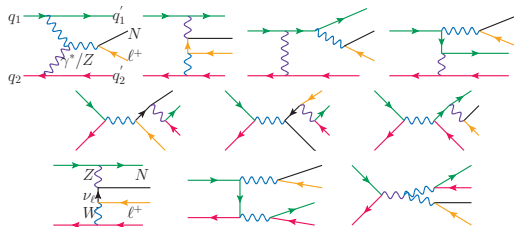
¹⁴DY@NLO [*1509.06375]; GF [1408.0983, *1602.06957] @NNLL [*1706.02298]; VBF [1308.2209, *1411.7305, *1602.06957]; DY,VBF Automation@NLO [*1602.06957]; For extensive details, see review: [*1711.02180]; (*) = Pittsburgh and/or IPPP

Missing Piece in N from $W\gamma$ Fusion¹⁵

Elastic and inelastic (on-shell) initial-state γ (one resolved jet)



as well as DIS (far off-shell) γ (two resolved jets)



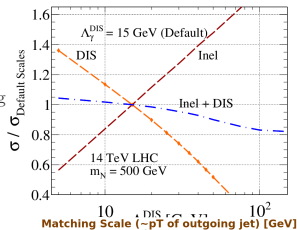
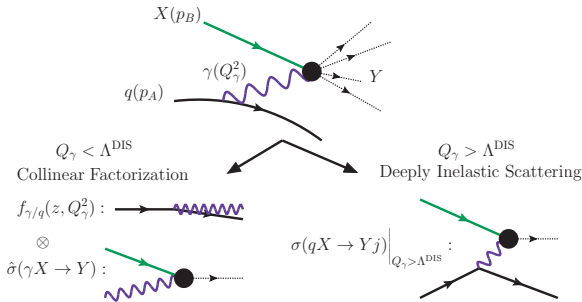
Care needed to avoid double counting phase space.

¹⁵DIS: Datta, et al [[hep-ph/9311257](#)]; Elastic: Dev, et al [[1308.2209](#)];

Inelastic+Matching Scheme: PITT [[1411.7305](#)]; resummation IPPP [[1602.06957](#)]

Matching¹⁶ t -Channel Photons in $W\gamma \rightarrow N\ell^\pm$

(a) collinear photon radiation and (b) finite-angle photon radiation



Recognize that the logs appearing in each expression are the same!

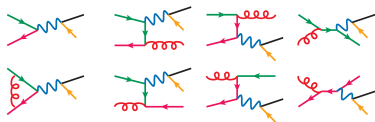
$$f_{\gamma/p}(Q_\gamma^2) \sim \log \frac{Q_\gamma^2}{\Lambda_{\text{EI}}^2} \leftarrow \text{same log} \rightarrow \frac{d\sigma(qX)}{dQ_\gamma^2} \propto \frac{1}{Q_\gamma^2}$$

Evolve $f_{\gamma/p}(Q_\gamma)$ in **up to** and integrate (b) **from** same scale Λ^{DIS}

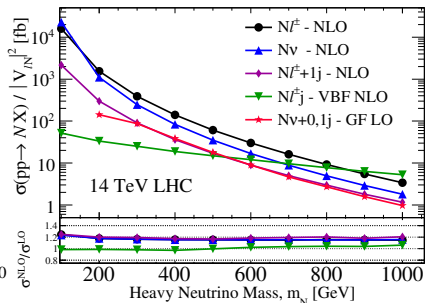
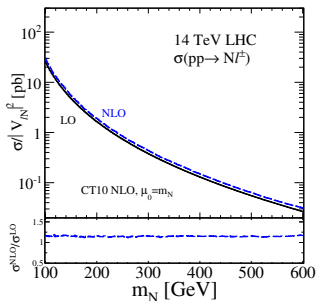
¹⁶**NEW:** γ PDF normalization+resummation using LUXqed+DGLAP

Heavy N Production Beyond LO¹⁸

Motivation for more precise predictions also due to strange (but well-cited) claims of large "quark-gluon fusion enhancement"



So we did the first NLO(+PS) calculations (and published our libraries¹⁷!)



¹⁷<http://feynrules.irmp.ucl.ac.be/wiki/HeavyN>

¹⁸RR [1509.06375]; Degrande, Mattelaer, RR, Turner [1602.06957]

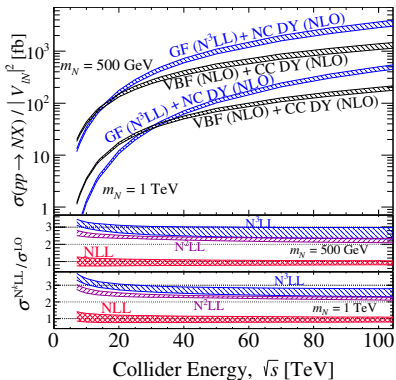
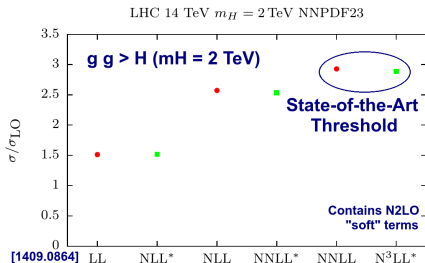
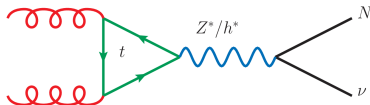
Heavy N Production from Loops¹⁹

Motivation also because we were curious!

- $gg \rightarrow H^0, A^0$: QCD corrections are *large*

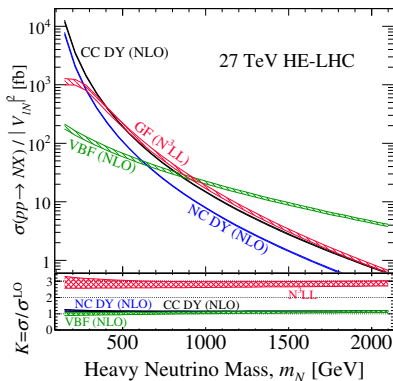
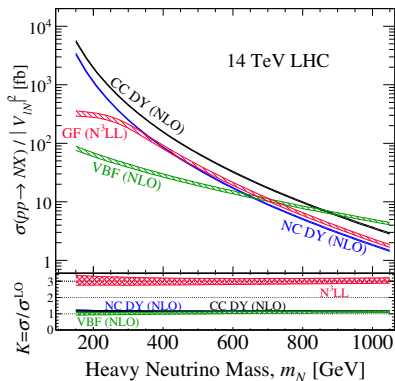
Are they as large as for $gg \rightarrow N\nu$?

- **YES!** For $\sqrt{s} \gtrsim 20 - 30$ TeV, GF > DY



¹⁹Willenbrock, Dicus ('85); Dicus, Roy ('91); Hessler, et al [1408.0983]; Degrande, Mattelaer, RR, Turner [1602.06957]; RR, Spannowsky, Waite [1706.02298]

Across different colliders, wild interplay of PDF and matrix elements²⁰



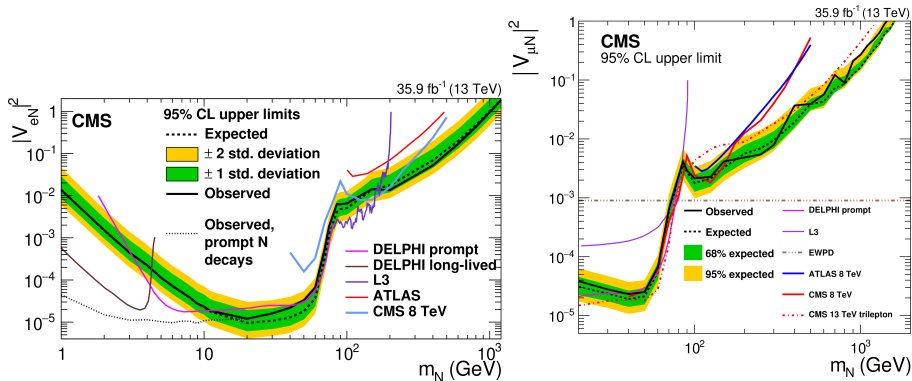
Plotted: Model-independent heavy N production rate ($\sigma/|V|^2$) vs mass

- For $\sqrt{s} \gtrsim 25 - 27$ TeV **GF** greater than **DY** due to gg luminosity
- For $m_N \gtrsim 1 - 2$ TeV, **VBF** dominant due to large Yukawa couplings

²⁰Fully exclusive up to NLO+PS available with HeavyN libraries + MG5aMC@NLO, [1602.06957, <http://feynrules.irmp.ucl.ac.be/wiki/HeavyN>]

Experiment Perspective on High Mass N^{21}

All this is now standard for LHC experiments :)



Plotted: Exclusion on mixing $|V_{eN}|^2$ vs heavy N mass (m_N)

- (L) Search for $pp \rightarrow Nl \rightarrow 3l + X$
- (R) Search for $pp \rightarrow Nl \rightarrow l^\pm l^\pm + nj + X$

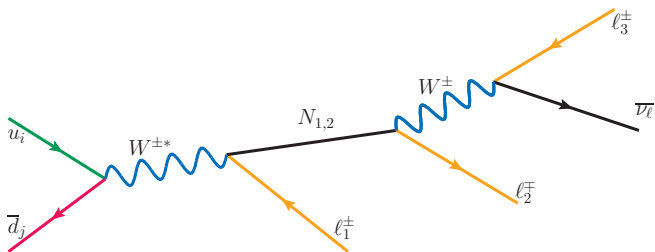
²¹CMS: Trilepton [[1802.02965](#)] and Dilepton [[1806.10905](#)]

Anything Else?

Anything Else?

:)

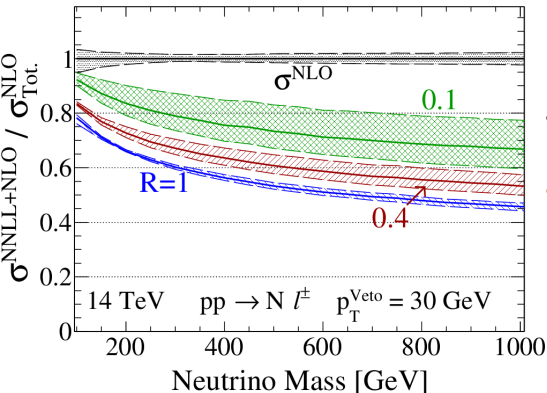
Heavy Neutrinos and Jet Vetoes²²



²²Pascoli, RR, Weiland, PLB (just accepted!) [[1805.09335](https://arxiv.org/abs/1805.09335)]

For BSM Signal Efficiencies and Uncertainties are Poor ²³

For Drell-Yan and other color-singlet processes,
more/harder QCD radiation (jets!) for systems with larger masses



Prob. for signal to survive veto:

$$\varepsilon = \frac{\sigma^{\text{NLO+NNLL}}(p_T^j < p_T^{\text{Veto}})}{\sigma_{\text{Tot.}}^{\text{NLO}}}$$

$\varepsilon \rightarrow 0$ as $(p_T^{\text{Veto}} / m_N) \rightarrow 0$

Large uncertainty despite high
(NLO+NNLL!) precision
(R = jet radius parameter)

Paradox: Want to relax p_T^{Veto} for increasing m_N but background jumps!

²³Eg., Sleptons: F. Tackmann, et al [[1603.03052](#)]; W' : B. Fuks, RR [[1701.05263](#)]

Heavy Neutrinos and *Dynamical* Jet Vetoes

A Thought:²⁴ charged leptons in a signal event are typically more energetic than in a QCD background event

- For $pp \rightarrow N\ell \rightarrow 3\ell X$ event, $p_T^\ell \propto m_N \implies$ increases for larger m_N

How about setting p_T^{Veto} on an event-by-event basis to the p_T of the leading charged lepton in each event?

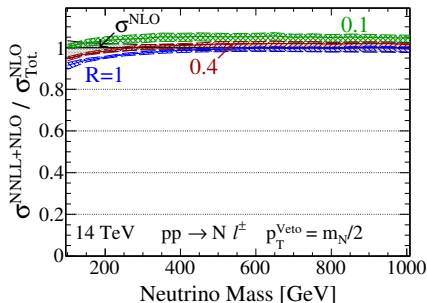
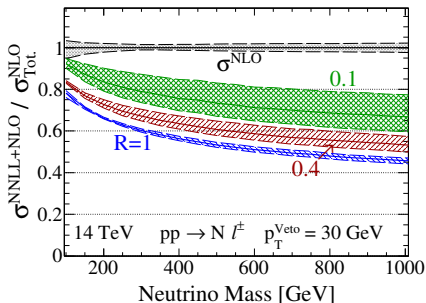
²⁴Disclosure: discovered basis of idea in an unrelated CMS paper on $WW + 0j$

Heavy Neutrinos and *Dynamical* Jet Vetoes

A Thought:²⁴ charged leptons in a signal event are typically more energetic than in a QCD background event

- For $pp \rightarrow N\ell \rightarrow 3\ell X$ event, $p_T^\ell \propto m_N \implies$ increases for larger m_N

How about setting p_T^{Veto} on an event-by-event basis to the p_T of the leading charged lepton in each event?

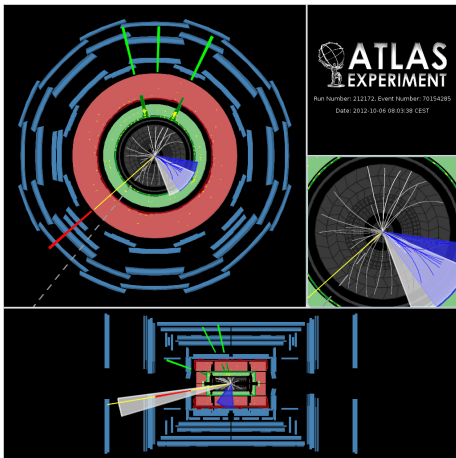


QCD uncertainties *shrink* since 2-scale problem converted into 1-scale

- less precise predictions, e.g., LL/parton shower, now more reliable

²⁴Disclosure: discovered basis of idea in an unrelated CMS paper on $WW \rightarrow 0j$

Top Quark Background vs Dynamical Jet Vetoes



$pp \rightarrow t\bar{t}Z \rightarrow 1\mu + 3e + 2j_b + \cancel{E}_T$
candidate event [1509.05276]

Classic kinematics:

- $m_{ee} = 93$ GeV
- $\cancel{E}_T = 57$ GeV

Typically,

- $p_T^{e1} \sim \frac{m_t}{4} \left(1 + \frac{M_Z^2}{m_t^2}\right) \sim 50$ GeV
 - $p_T^{e3} \sim \frac{M_Z}{2} \sim 45$ GeV
 - $p_T^{b1} \sim \frac{m_t}{2} \left(1 - \frac{M_Z^2}{m_t^2}\right) \sim 60$ GeV
- $p_T^{b1} > p_T^{\text{Veto}} = p_T^{\ell1} \implies \text{evt rejected!}$

Setting p_T^{Veto} on event-by-event basis to $p_T^{\ell1}$ can eliminate top quark and “fake lepton” background **without** flavor-tagging jets!

Results²⁵

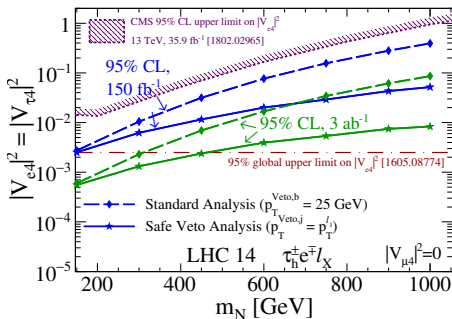
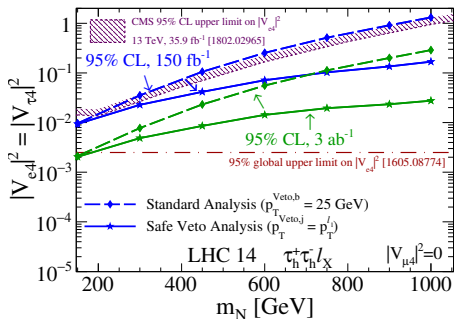
²⁵with Silvia Pascoli and Cedric Weiland [1805.09335]

Benchmark flavor mixing scenario:

$$|V_{e4}| = |V_{\tau 4}| \neq 0 \quad \text{and} \quad |V_{\mu 4}| = 0$$

Two complementary signal processes ($\ell_X = e, \mu, \tau_h$):

Signal I: $pp \rightarrow \tau^+ \tau^- \ell_X + \text{MET}$ and **Signal II:** $pp \rightarrow \tau^\pm e^\mp \ell_X + \text{MET}$



- Dash = standard search with b -jet veto (13 TeV CMS for e/μ)
- Solid = “improved” analysis with special type of jet veto

Improved sensitivity up to $10 - 11 \times$ with $\mathcal{L} = 3 \text{ ab}^{-1}$

Summary

Heavy neutrinos remain one of the best (but not the only!) explanations for tiny neutrino masses

- Theory advances, e.g., clarity on conditions for L violation at colliders
- Pheno advances, e.g., new public software / qualitatively new analyses
- Expt advances, e.g., more people and more data!
- Lots not covered today; see the review: [[1711.02180](#)]

Remember: “*The LHC is planned to run over the next 20 years, with several stops scheduled for upgrades and maintenance work*” [press.cern]

- High-Luminosity LHC and Belle II goals: $3\text{-}5 \text{ ab}^{-1}$ and 50 ab^{-1}
- Premature to claim “nightmare scenario” (SM Higgs + nothing else)

The logo for IP3 is centered on the slide. It consists of a light blue oval with a wavy line extending from its left and right sides. Inside the oval, the letters 'IP' are written in a large, light blue serif font, and the number '3' is written in a smaller, light blue serif font to the right of 'IP'. The text 'Thank you.' is overlaid in the center of the 'IP' in a bold, black, sans-serif font.

Thank you.