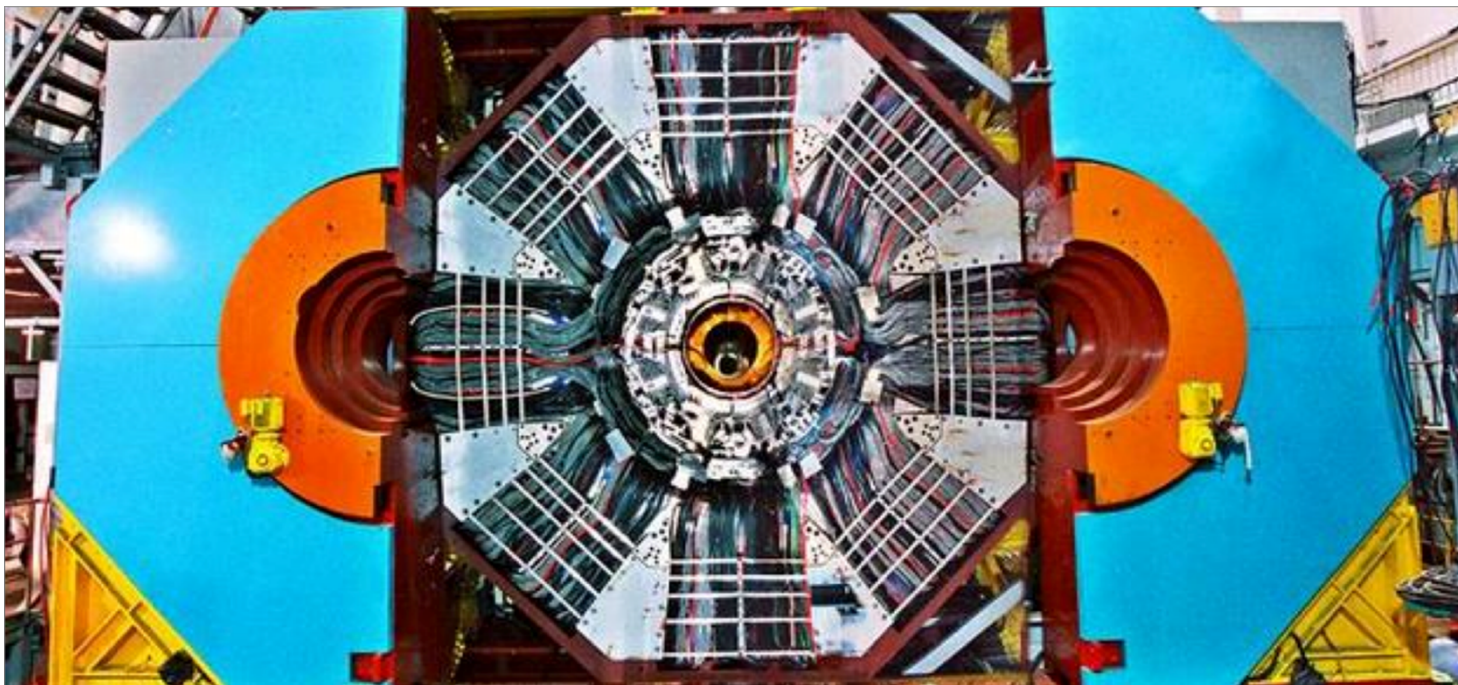




Recent results on the Y states

Xiao-Rui Lyu (吕晓睿)

中国科学院大学

(On behalf of the BESIII collaboration)

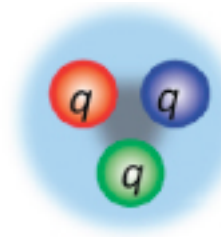
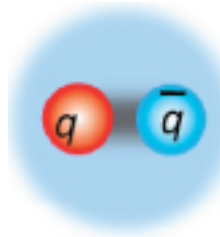
- Introduction
- Status of BESIII
- The Y states
- Prospects
- Summary

New forms of hadrons

- Conventional hadrons consist of 2 or 3 quarks :

Naive Quark Model :

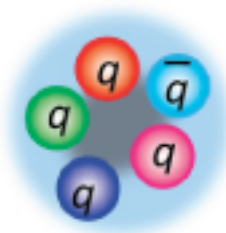
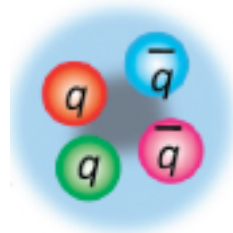
meson



baryon

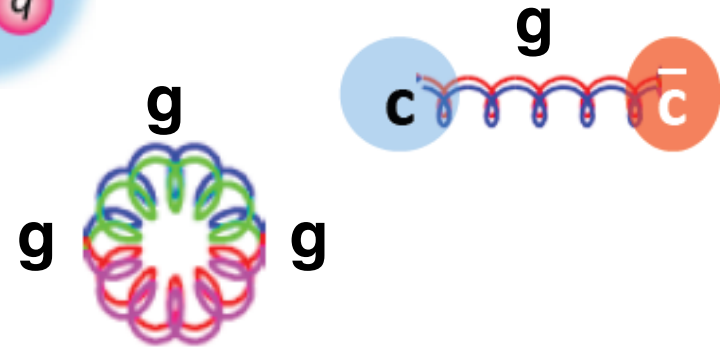
- QCD predicts the new forms of hadrons:

- Multi-quark states : Number of quarks ≥ 4

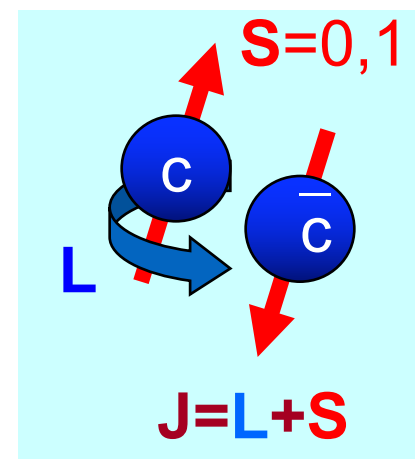
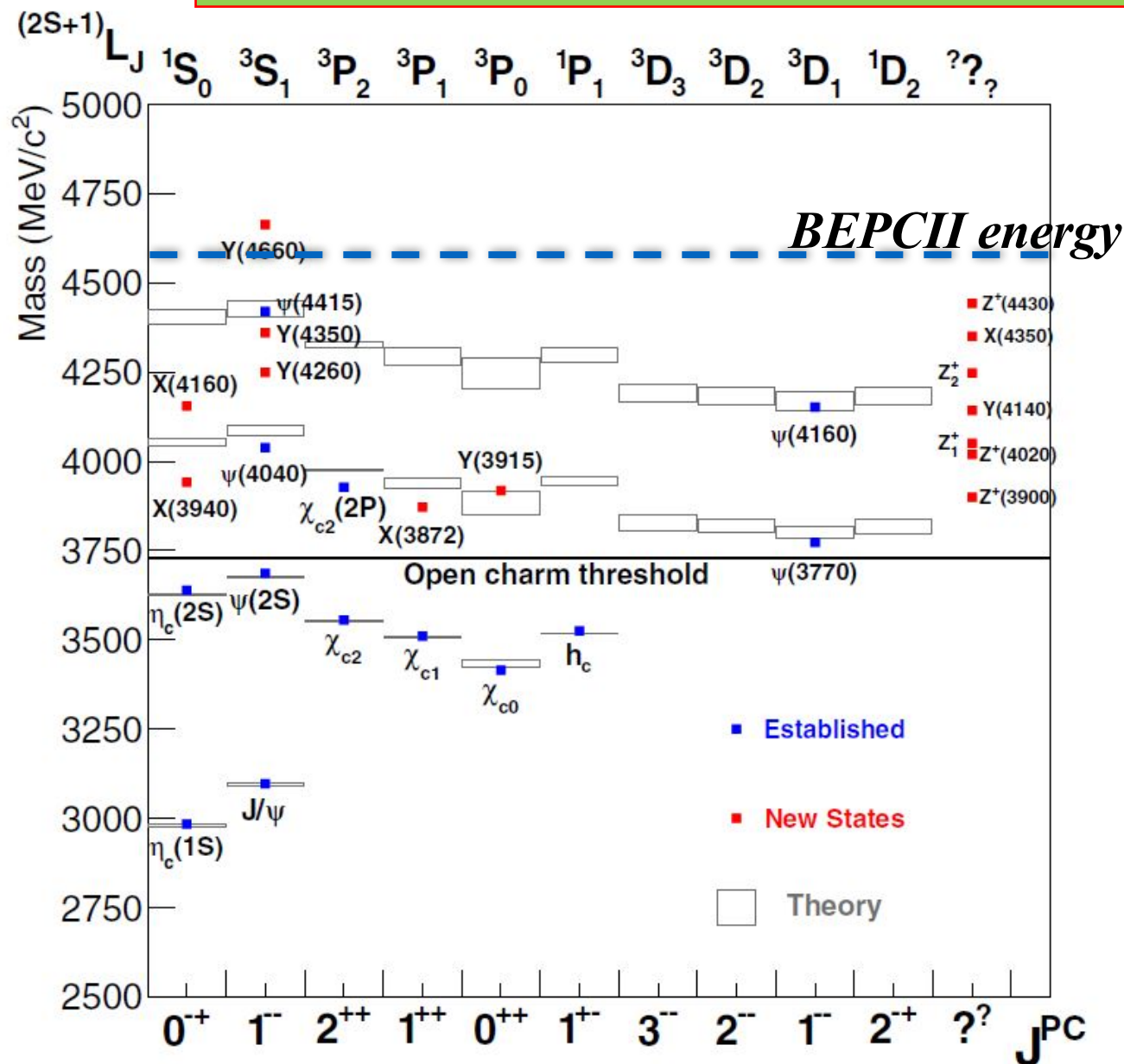


- Hybrids : $q\bar{q}g$, $qqqg$...

- Glueballs : gg , ggg ...

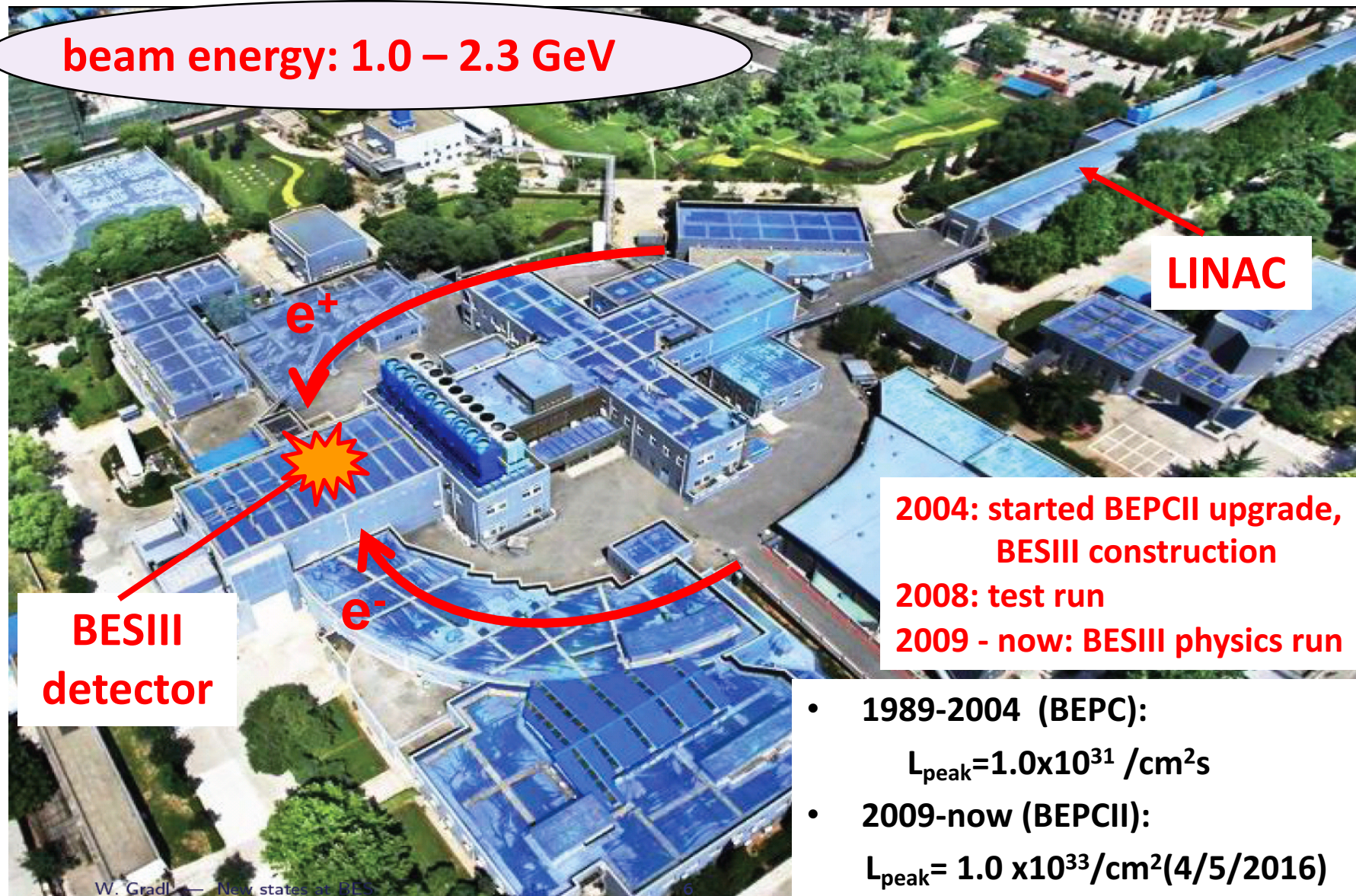


None of the new forms of hadrons is settled !



Overpopulated
observed **new**
charmonium-like
states, i.e. “XYZ”.

beam energy: 1.0 – 2.3 GeV



LINAC

BESIII
detector

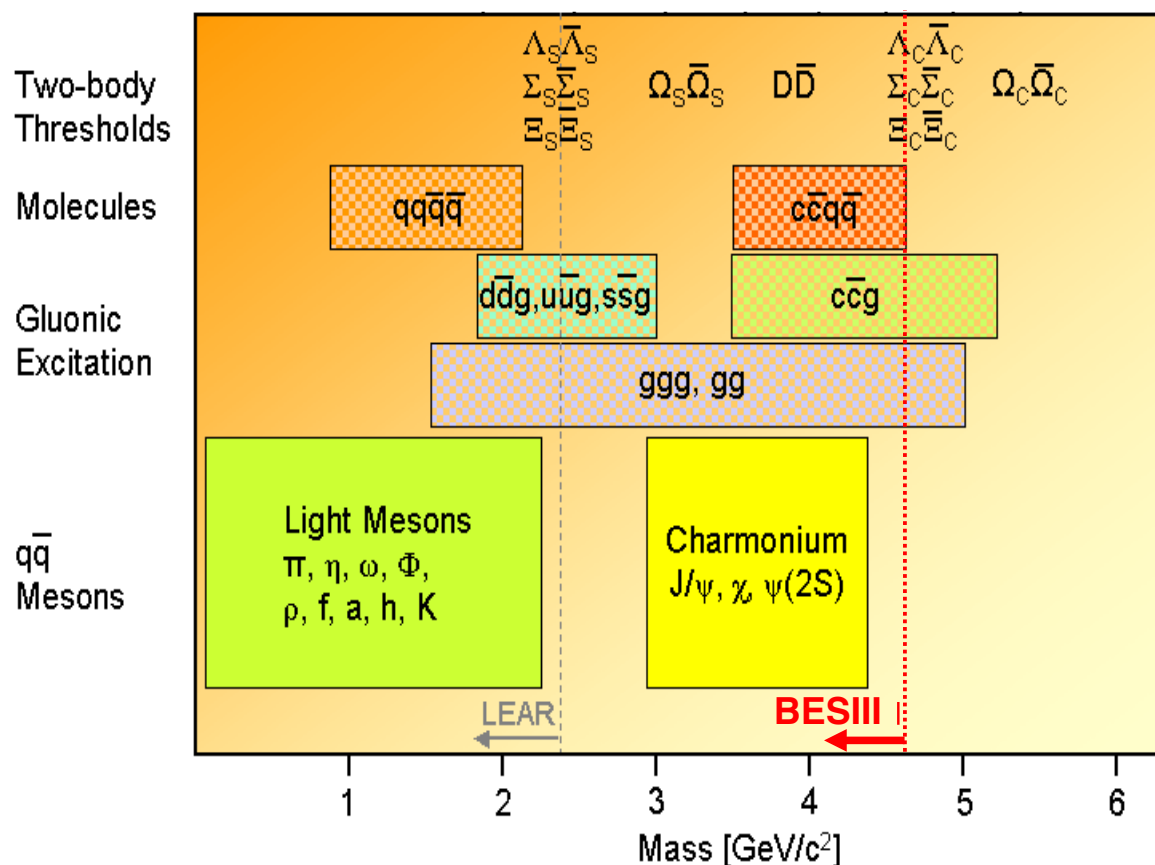
2004: started BEPCII upgrade,
BESIII construction

2008: test run

2009 - now: BESIII physics run

- 1989-2004 (BEPC):
 $L_{\text{peak}} = 1.0 \times 10^{31} / \text{cm}^2 \text{s}$
- 2009-now (BEPCII):
 $L_{\text{peak}} = 1.0 \times 10^{33} / \text{cm}^2 (4/5/2016)$

Hadron Landscape



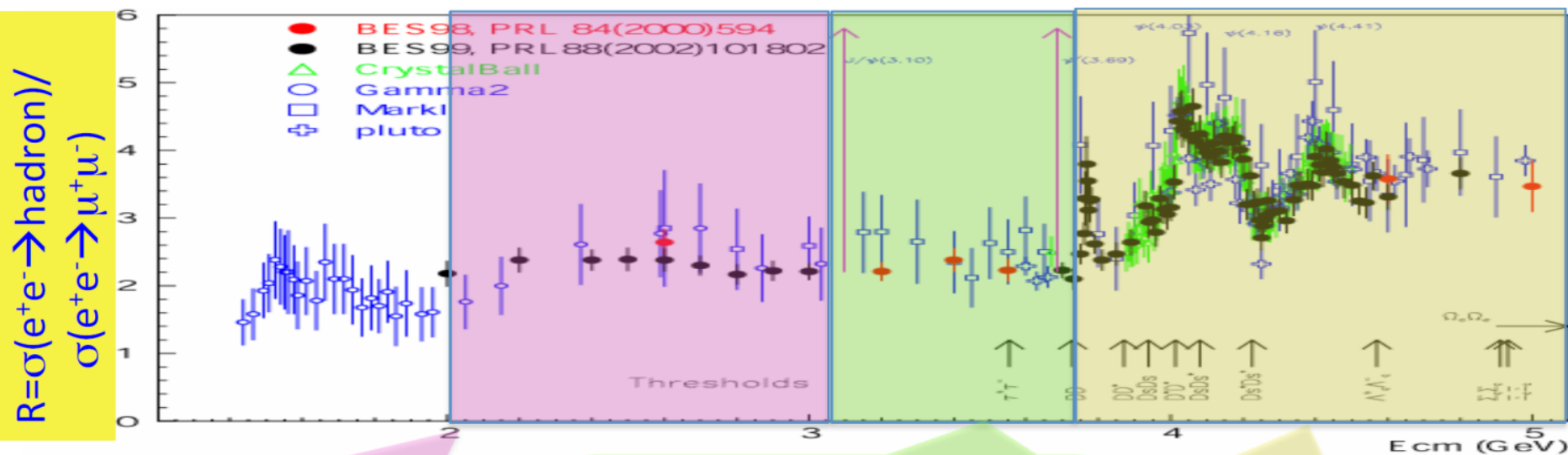
Hadron-physics challenges:

- Understanding of established states: **precision spectroscopy**
- Nature of exotic states: **search and spectroscopy of unexpected states**

At BESIII, two golden measures to study hadron spectroscopy, *esp.*, to search for **exotics**

- Light hadrons: charmonium radiative decays (act as spin filter)
- Heavy hadrons: direct production, radiative and **hadronic transitions**

Physics at tau-charm Energy Region

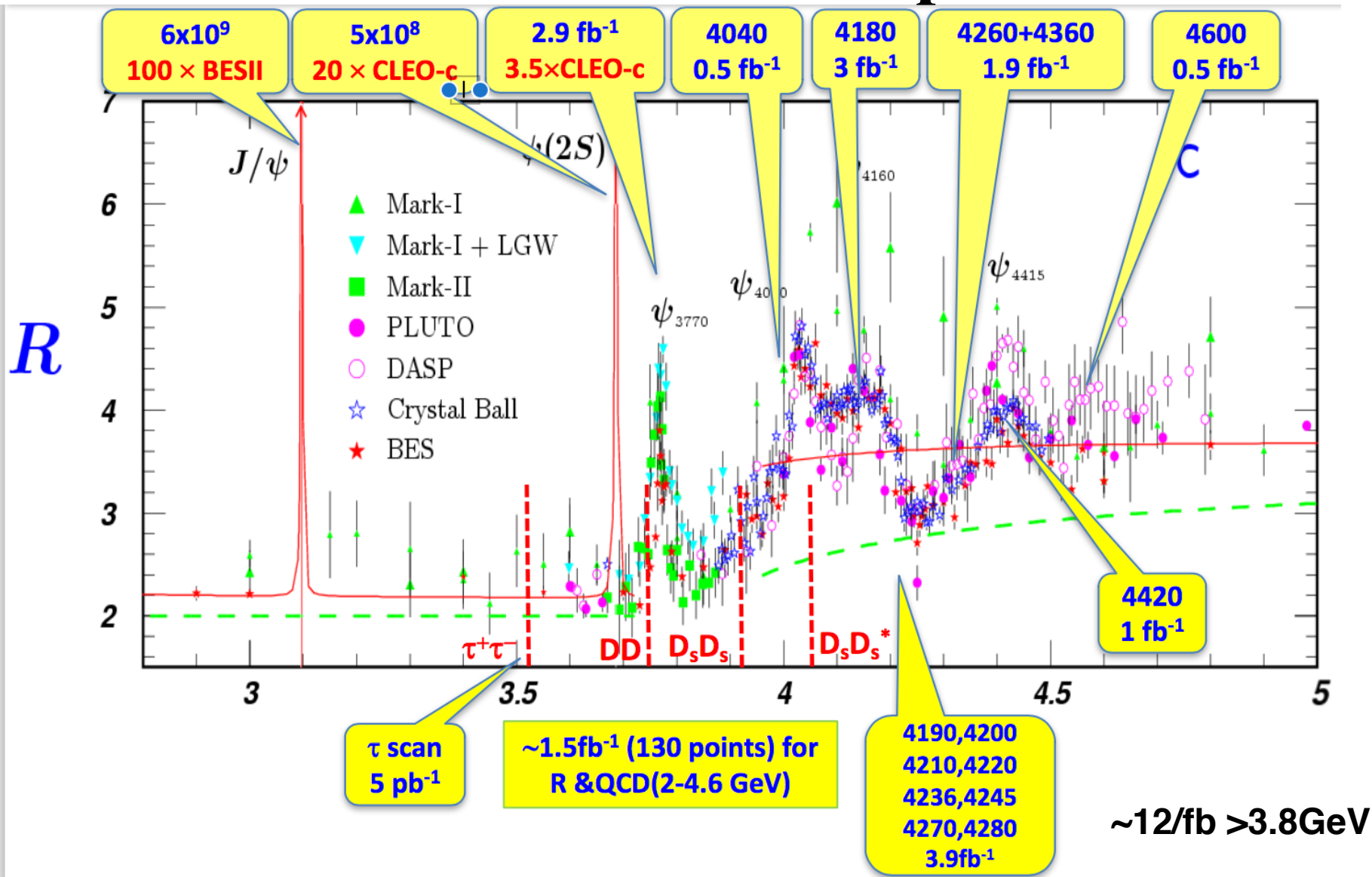


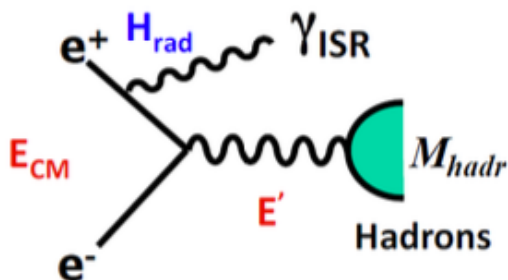
- Hadron form factors
- $\Upsilon(2175)$ resonance
- Multiquark states with s quark, Z_s
- MLLA/LPHD and QCD sum rule predictions

- Light hadron spectroscopy
- Gluonic and exotic states
- Process of LFV and CPV
- Rare and forbidden decays
- Physics with τ lepton

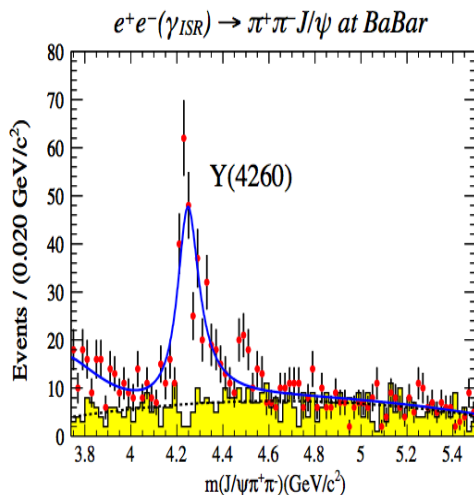
- XYZ particles
- D mesons
- f_D and f_{D_s}
- D_0 - $\bar{D}_0$ mixing
- Charm baryons

BESIII data samples

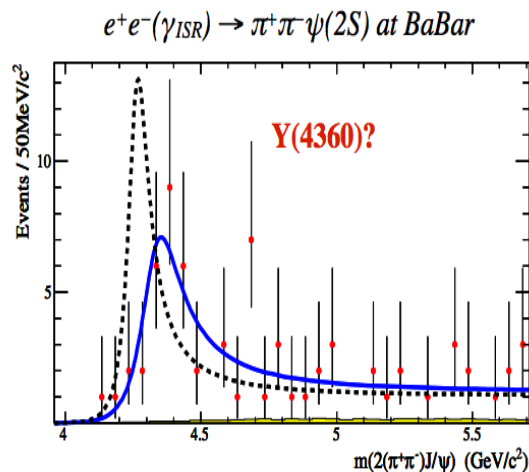




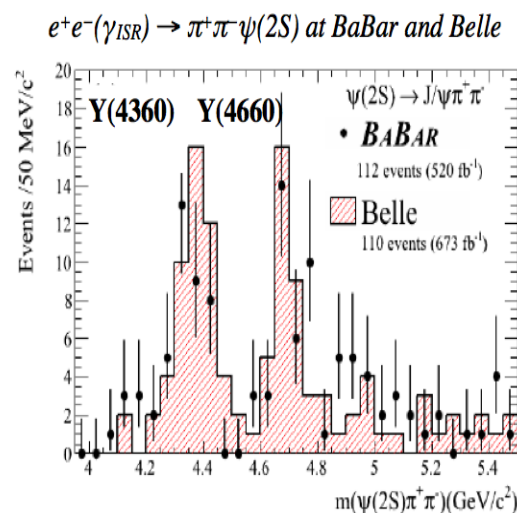
Y states: charmonium-like states with $J^{PC}=1^{--}$;
Observed in direct e^+e^- annihilation or initial
state radiation (ISR).



PRD 86, 051102(R) (2012)



PRL 98, 212001 (2007)



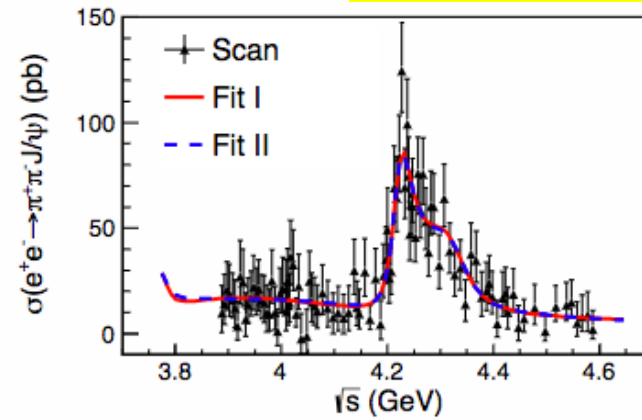
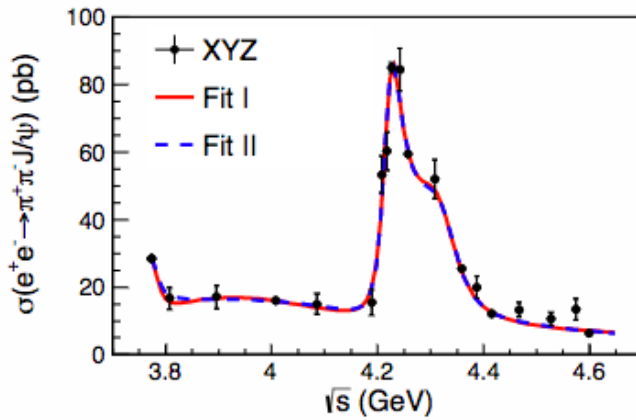
arXiv:1211.6271 and CHARM 2012

Cross sections of $e^+e^- \rightarrow \pi^+\pi^-J/\psi$

$e^+e^- \rightarrow \pi^+\pi^-J/\psi$ at BESIII (direct)

Inconsistent with a single BW of $Y(4260)$

PRL118, 092001 (2017)



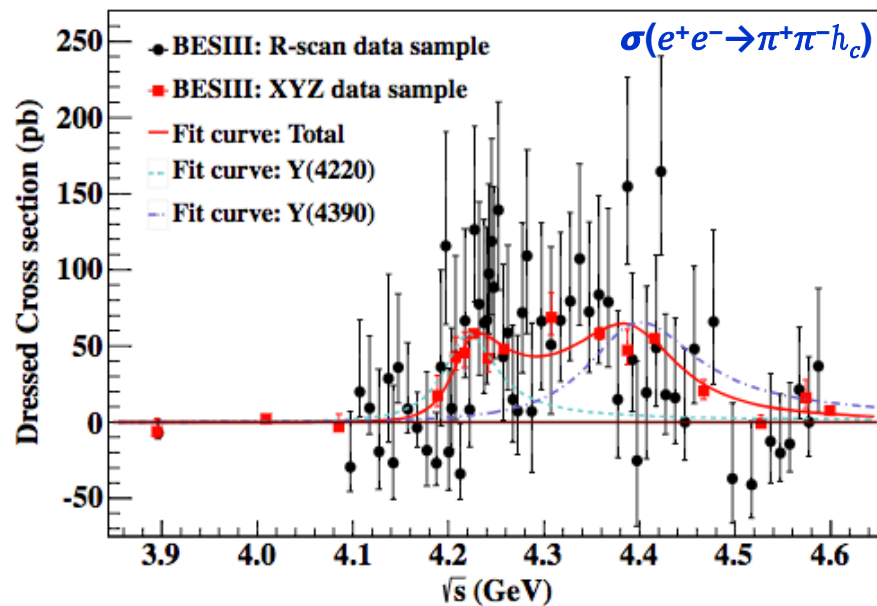
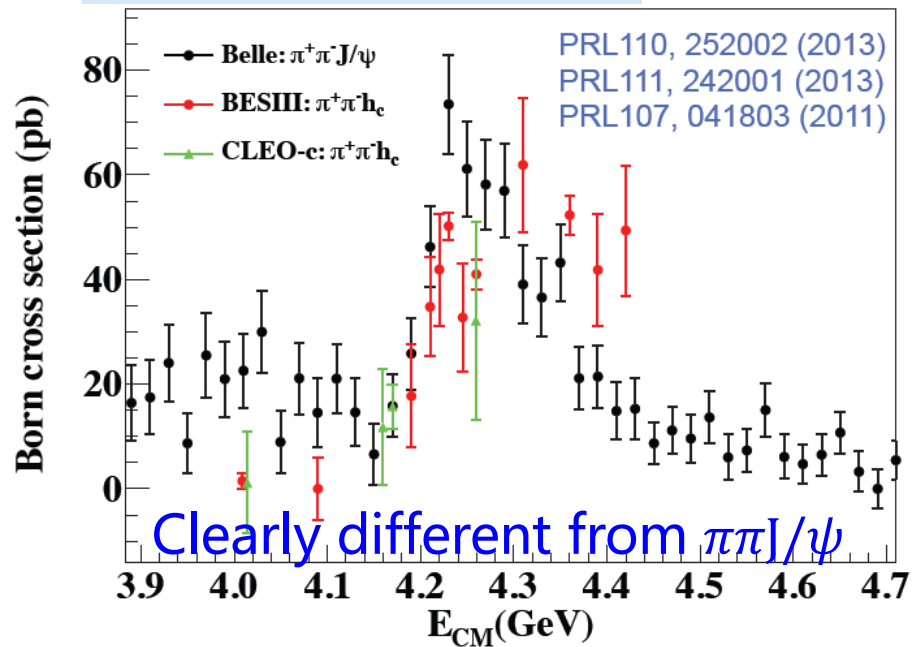
Parameters	Fit result
$M(R_1)$	$3812.6^{+61.9}_{-96.6} (\cdots)$
$\Gamma_{\text{tot}}(R_1)$	$476.9^{+78.4}_{-64.8} (\cdots)$
$M(R_2)$	$4222.0 \pm 3.1 (4220.9 \pm 2.9)$
$\Gamma_{\text{tot}}(R_2)$	$44.1 \pm 4.3 (44.1 \pm 3.8)$
$M(R_3)$	$4320.0 \pm 10.4 (4326.8 \pm 10.0)$
$\Gamma_{\text{tot}}(R_3)$	$101.4^{+25.3}_{-19.7} (98.2^{+25.4}_{-19.6})$

Structure at 4260 is not a simple BW, but rather two:

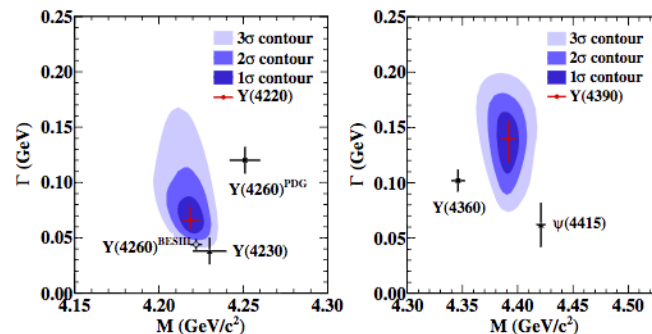
- Significance $> 7.6\sigma$
- R_2 : consistent with the $Y(4260)$, however narrower
- R_3 : comparable to the $Y(4360)$

PRL118, 092002 (2017)

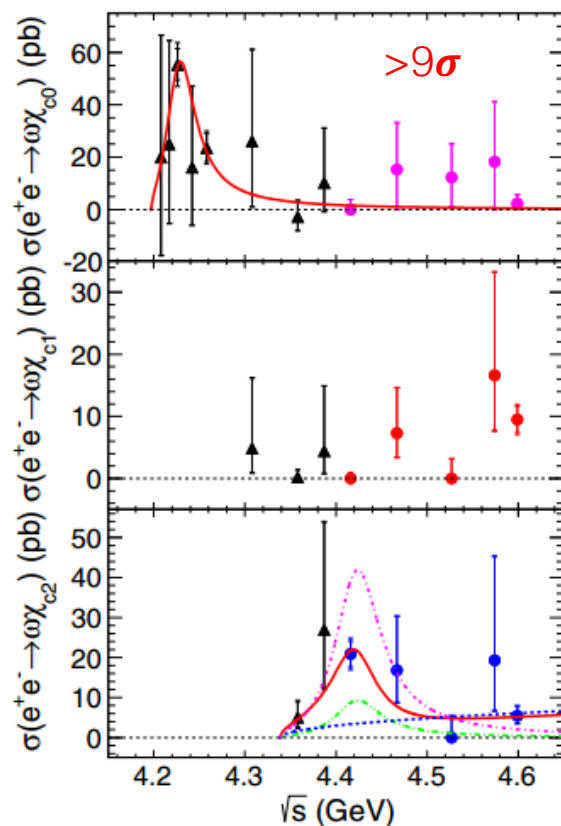
$e^+e^- \rightarrow \pi^+\pi^-h_c$ at BESIII (direct)



- h_c reconstructed in $h_c \rightarrow \gamma\eta_c$,
 $\eta_c \rightarrow 16$ exclusive hadronic final states
- Coherent sum of two BWs
 - $M_1 = (4218.4^{+5.5}_{-4.5} \pm 0.9) \text{ MeV}$, $\Gamma_1 = (66.0^{+12.3}_{-8.3} \pm 0.4) \text{ MeV}$
 $M_2 = (4391.5^{+6.3}_{-6.8} \pm 1.0) \text{ MeV}$, $\Gamma_2 = (139.5^{+16.2}_{-20.6} \pm 0.6) \text{ MeV}$
- First one has consistent mass with $\pi^+\pi^-J/\psi$ state at 4222 MeV
- Significance of double BW vs. single BW is 10σ



Cross sections of $e^+e^- \rightarrow \omega/\phi \chi_{cJ}$ ($J=0,1,2$)



The triangle black data points are from

Phys. Rev. Lett. 114,092003(2015)

Other data points are from

Phys. Rev. D 93, 011102 (2016)

$e^+e^- \rightarrow \omega \chi_{c0}$:

Fit with a single BW

Mass = $4226 \pm 8 \pm 6$ MeV

Width = $39 \pm 12 \pm 2$ MeV

Significance $> 9\sigma$

$e^+e^- \rightarrow \omega \chi_{c2}$:

Agree with from $\psi(4415)$ with

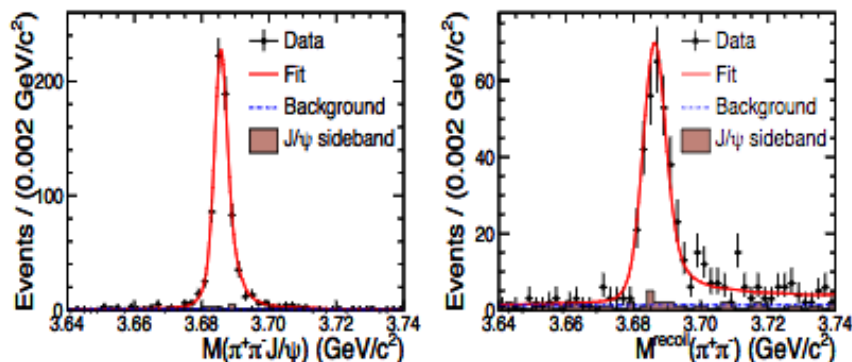
BR = $(1.4 \pm 0.5) \times 10^{-3}$ (sol. I), or

BR = $(6 \pm 1) \times 10^{-3}$ (sol. II)

While BESIII measures $e^+e^- \rightarrow \phi \chi_{cJ}$
at 4.6 GeV *PRD97, 032008 (2018)*

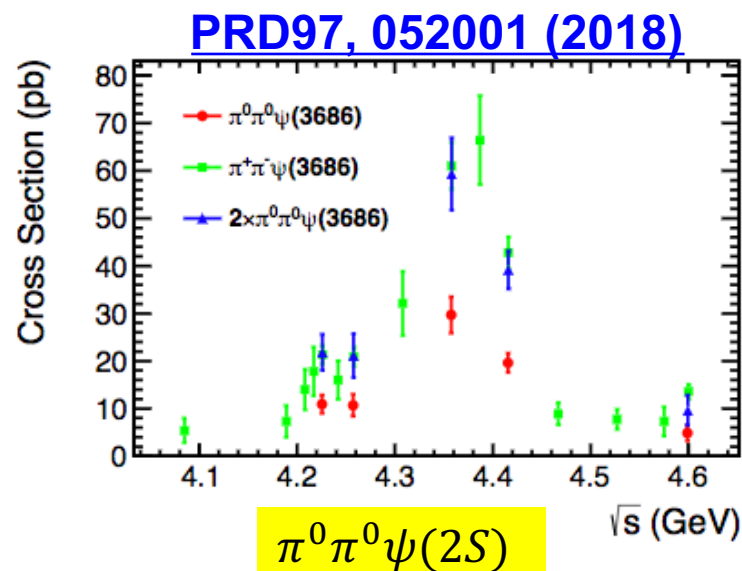
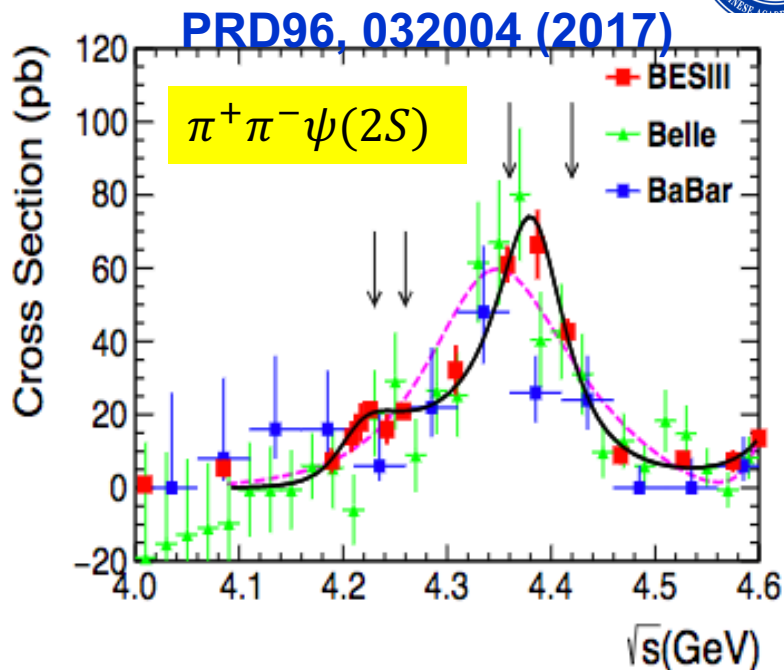
- $\sigma(e^+e^- \rightarrow \phi \chi_{c0}) < 5.4$ pb
- $\sigma(e^+e^- \rightarrow \phi \chi_{c1}) < (4.2^{+1.7}_{-1.0} \pm 0.3)$ pb
- $\sigma(e^+e^- \rightarrow \phi \chi_{c2}) < (6.7^{+3.4}_{-1.7} \pm 0.5)$ pb

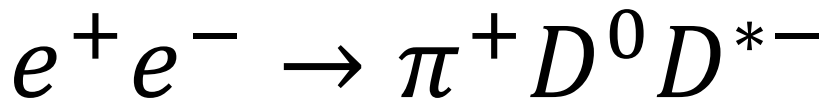
Need data beyond 4.6 GeV to check structure in $\omega \chi_{c1}$ and $\phi \chi_{cJ}$



Parameters	Solution I	Solution II
$M(Y(4220))$ (MeV/c ²)	4209.5 ± 7.4	
$\Gamma(Y(4220))$ (MeV)	80.1 ± 24.6	
$B\Gamma^{e^+e^-}(Y(4220))$ (eV)	0.8 ± 0.7	0.4 ± 0.3
$M(Y(4390))$ (MeV/c ²)	4383.8 ± 4.2	
$\Gamma(Y(4390))$ (MeV)	84.2 ± 12.5	
$B\Gamma^{e^+e^-}(Y(4390))$ (eV)	3.6 ± 1.5	2.7 ± 1.0
ϕ_1 (rad)	3.3 ± 1.0	2.8 ± 0.4
ϕ_2 (rad)	0.8 ± 0.9	4.7 ± 0.1

- Confirm the lineshape of the Y(4360)
- Y(4220) and Y(4390) are confirmed



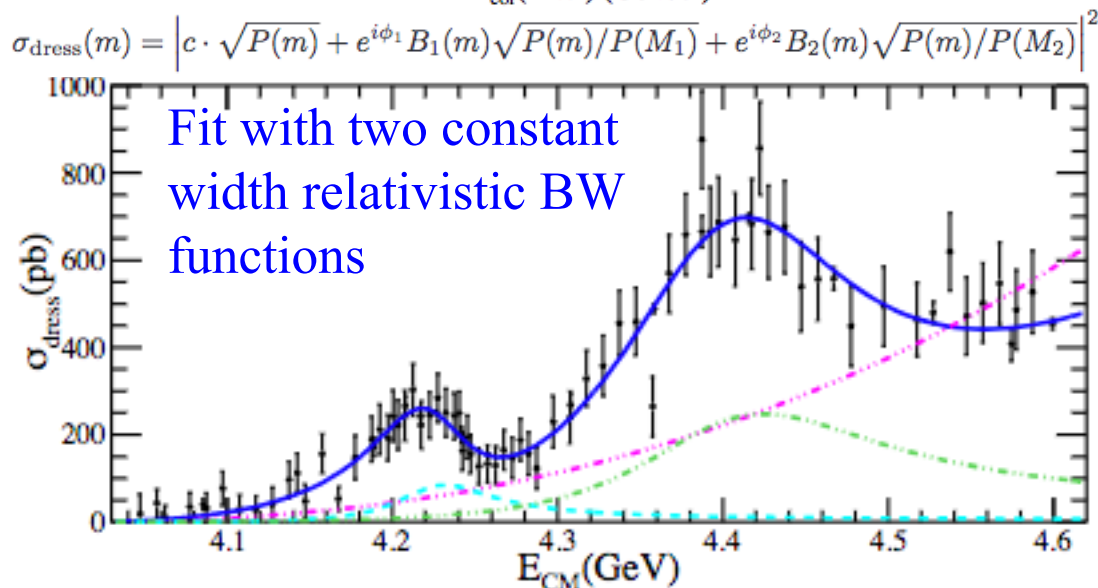
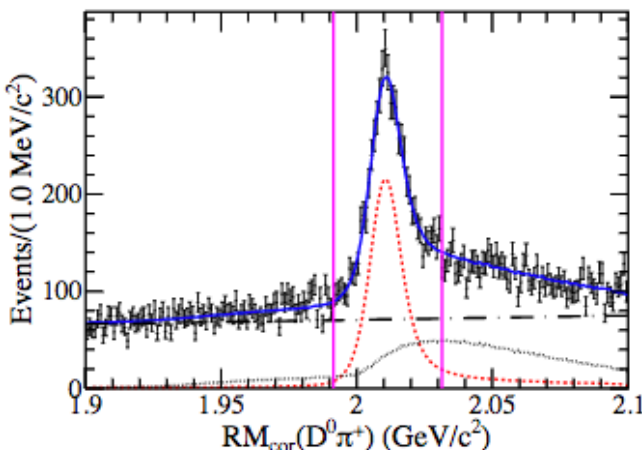


cross section



[arXiv:1808.02847](https://arxiv.org/abs/1808.02847)

- Detect $D^0 \rightarrow K^- \pi^+$ and bachelor π^+ , and reconstruct D^{*-} in the missing mass spectrum

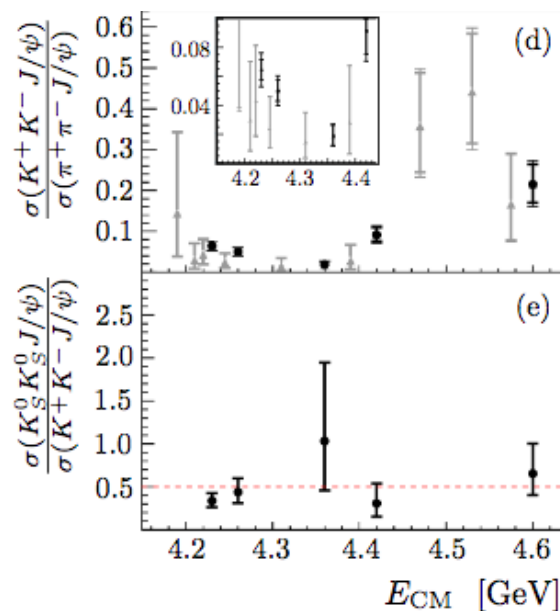
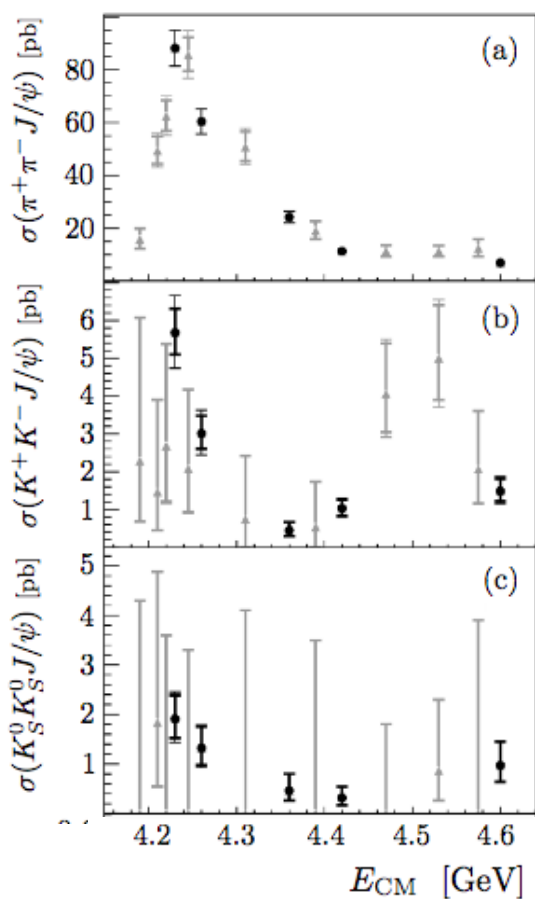
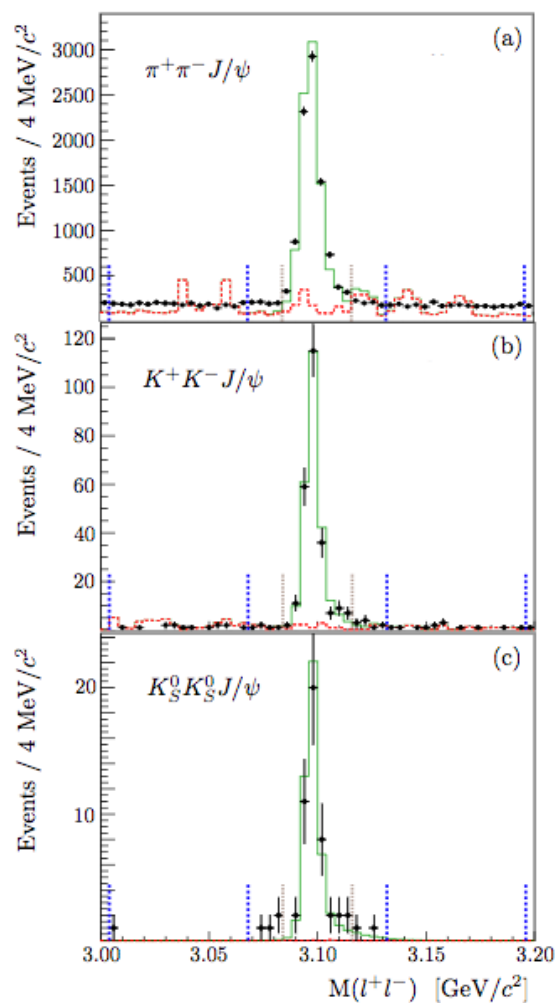


- Two enhancements are evident
- Many ψ and Y states are adopted to fit the bumps
- The lower bump consistently corresponds to the Y(4220)
 $M = (4228.6 \pm 4.1 \pm 5.9) \text{ MeV}$
 $\Gamma = (77.1 \pm 6.8 \pm 6.9) \text{ MeV}$
- The higher bump around 4.4 GeV can not be described by either single resonance of the Y(4260), Y(4320), Y(4360) or $\psi(4415)$. Further more data set is required to better understand this.

First observation of the Y(4260) decays to open charm states

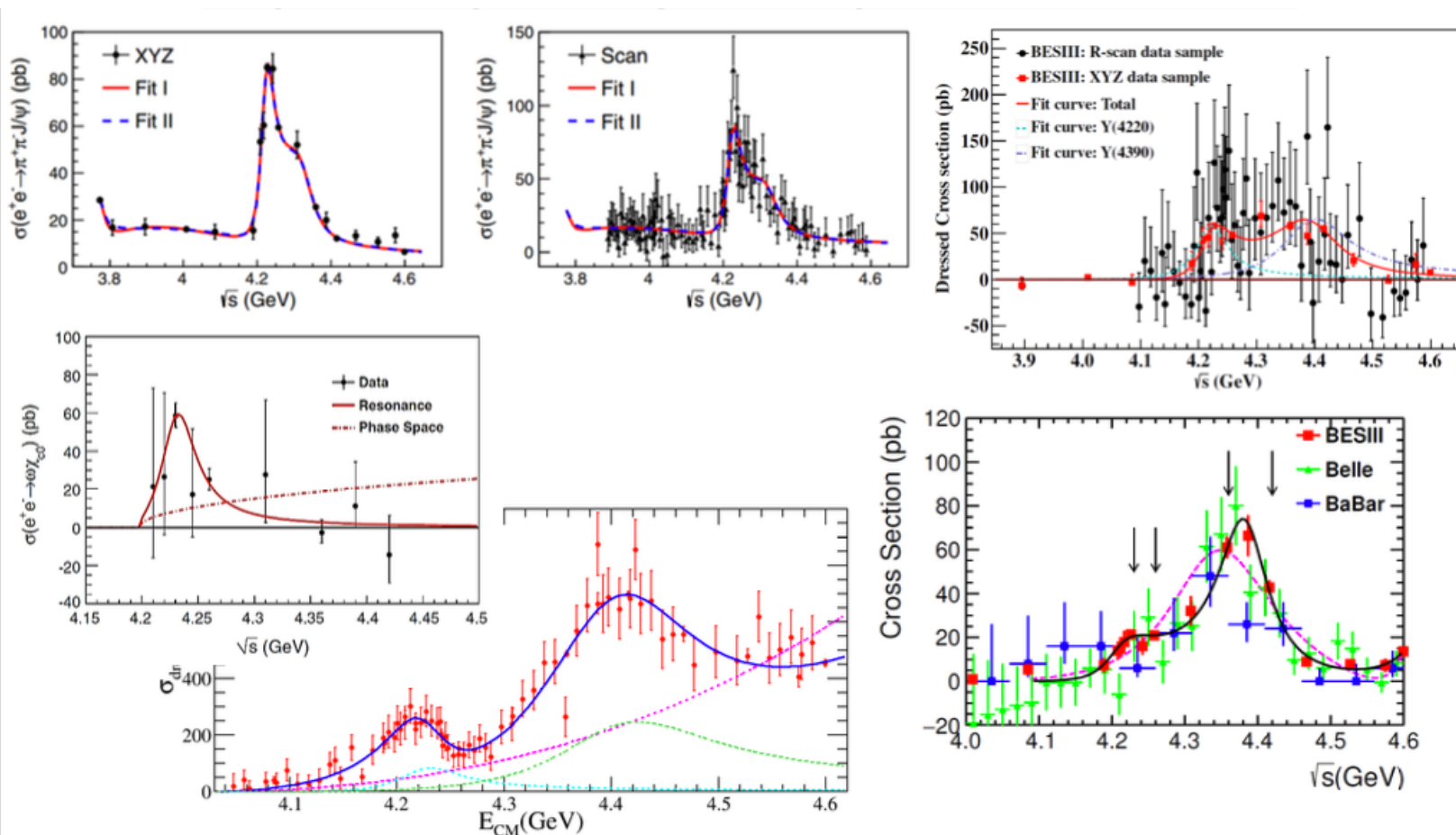
$$e^+e^- \rightarrow K^+K^-J/\psi$$

[PRD 97, 071101 \(2018\)](#)



- $\sigma(KKJ/\psi)$ lineshape is quite different from $\sigma(\pi^+\pi^-J/\psi)$ around $Y(4220)/Y(4260)$
- Higher bump around 4.5 GeV is clear and need further investigation

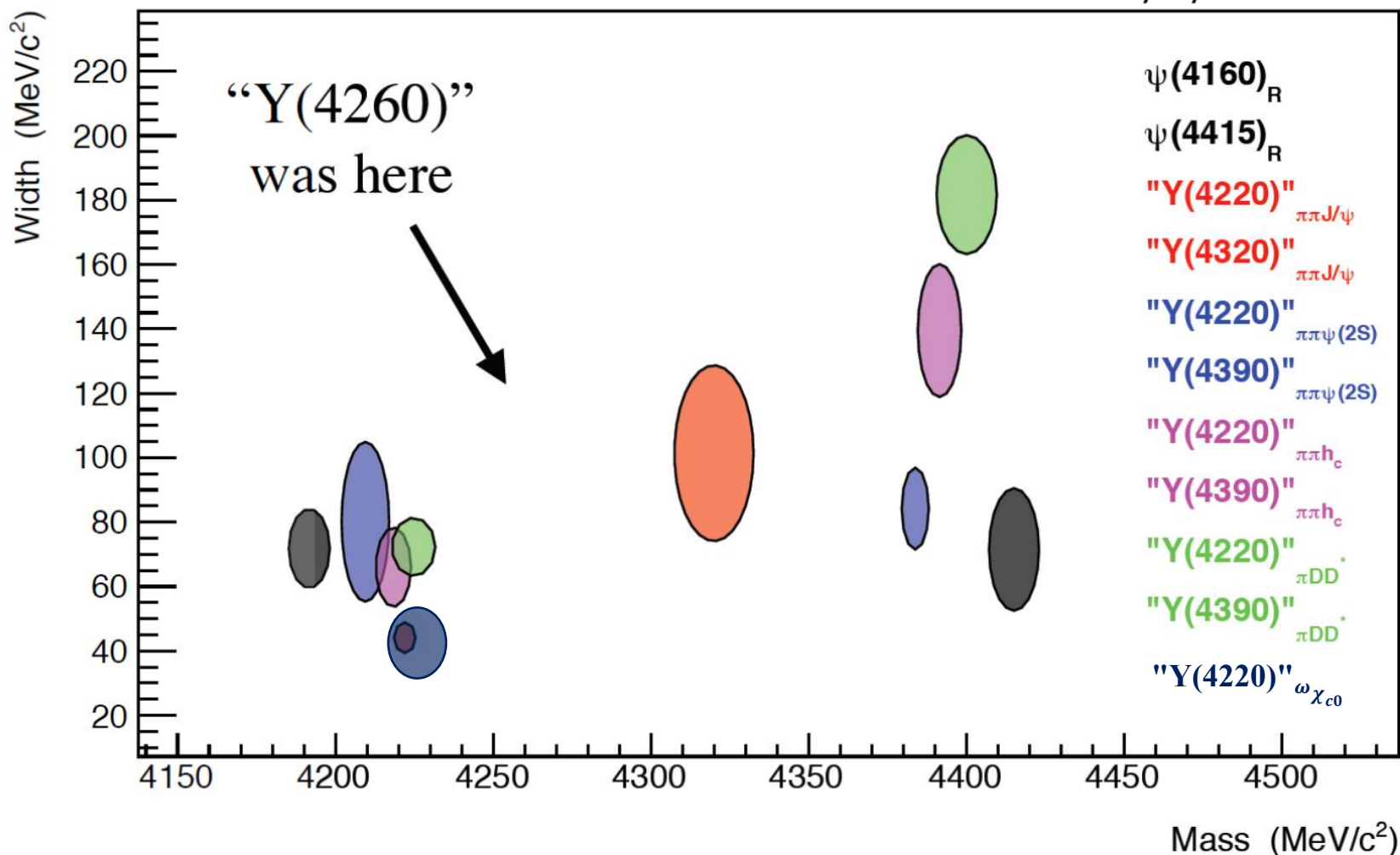
Y(4260) $\rightarrow$ Y(4220)



Mass~4220 MeV, Width~ 60 MeV!

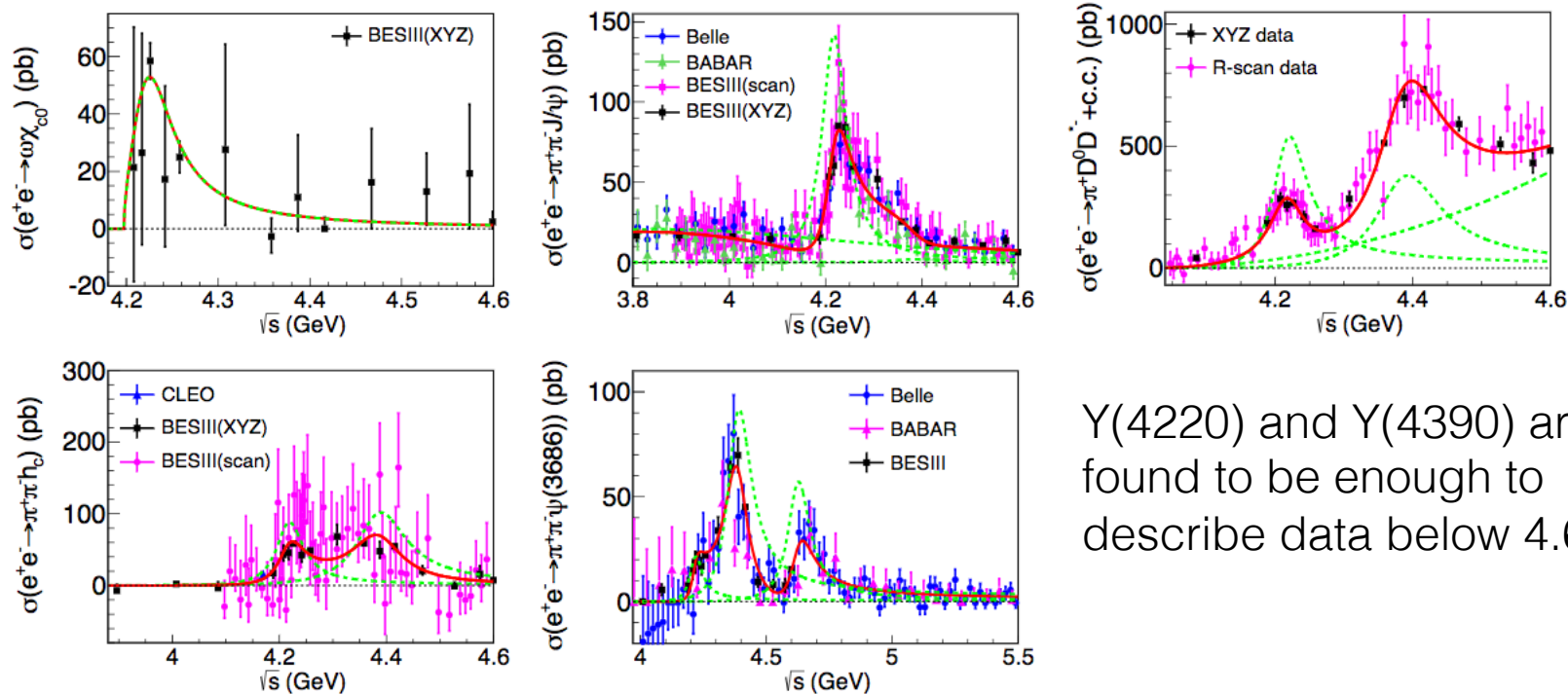
Y(4220) and the new Y's

by Ryan Mitchell



A combined fit

J. L. Zhang et al., arXiv:1805.03565



Y(4220) and Y(4390) are found to be enough to describe data below 4.6 GeV

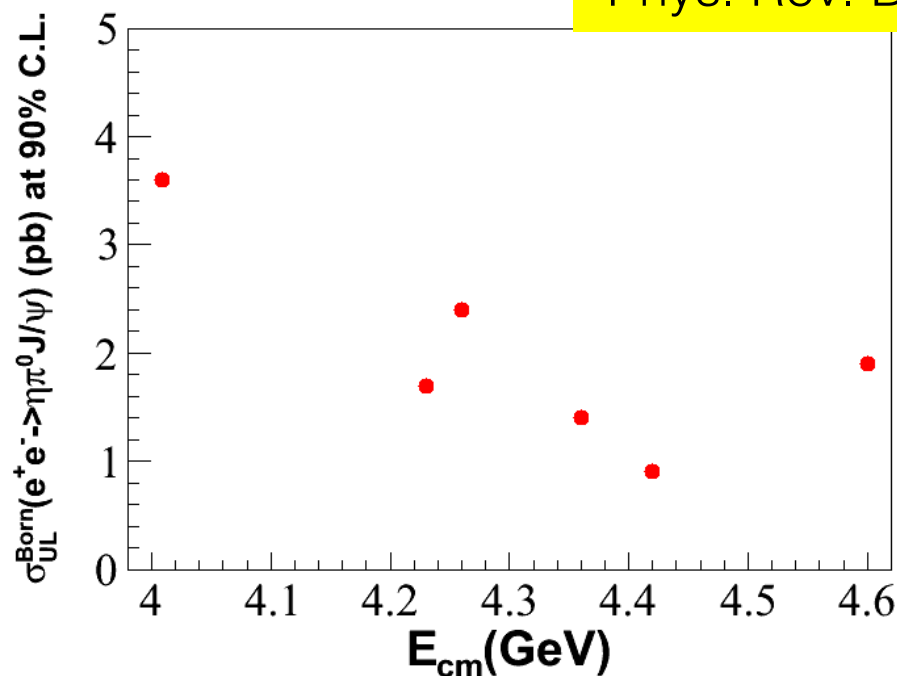
Parameter	Y(4220)	Y(4390)	Y(4660)
M (MeV/ c^2)	$4216.5 \pm 1.4 \pm 3.2$	$4383.5 \pm 1.9 \pm 6.0$	$4623.4 \pm 10.5 \pm 16.1$
Γ (MeV)	$61.1 \pm 2.3 \pm 3.1$	$114.5 \pm 5.4 \pm 9.9$	$106.1 \pm 16.2 \pm 17.5$

Y(4220) appears in $\omega\chi_{c0}$, $\pi^+\pi^-J/\psi$, $\pi^+\pi^-\psi'$, $\pi^+\pi^-h_c$, $D^0D^{*-}\pi^+$ modes

$$\Gamma_{ee}^{Y(4220)} > (36.4 \pm 2.0 \pm 4.2) \text{ eV} \quad \Gamma_{ee}^{Y(4390)} > (123.8 \pm 6.5 \pm 9.0) \text{ eV}$$

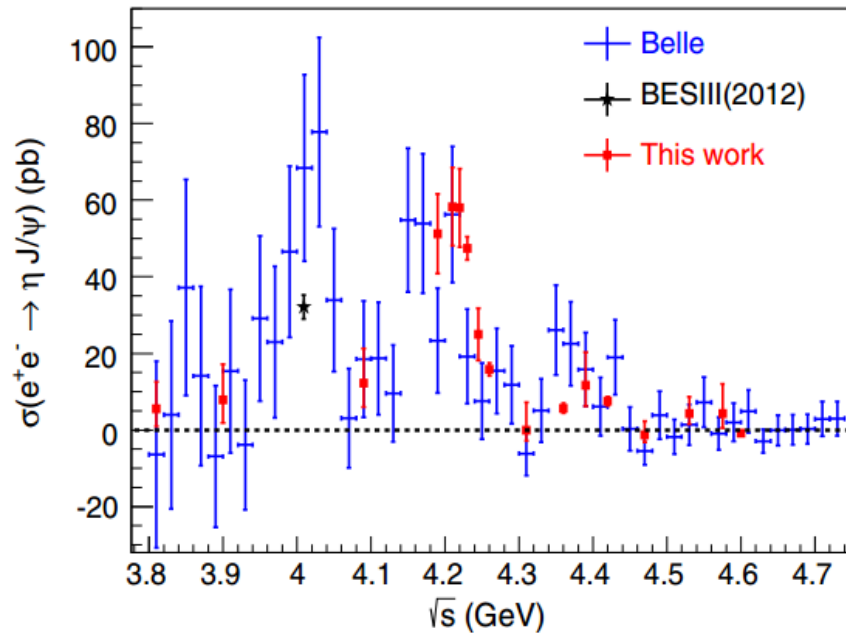
$$Y(4260) \rightarrow J/\psi \eta \pi^0$$

Phys. Rev. D 92, 012008 (2015)



- Considerable decay width expected in hadro-charmonium model and tetraquark model of $Y(4260)$ [PRD 86 034013, PRD 87 111102]
- Measured upper limit is well above the prediction of $D_1 D$ molecule model (0.05 pb at 4.260 GeV) [PRD 89, 054038]

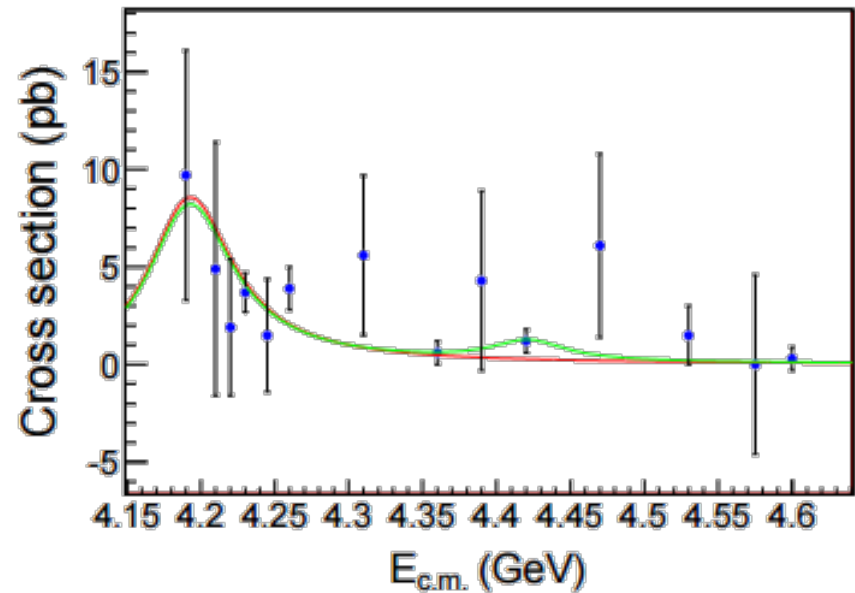
$\sigma(e^+e^- \rightarrow \eta J/\psi)$



Phys. Rev. D 91, 112005 (2015)

- ❑ Agree with previous results with improved precision
- ❑ Structure around 4.2 GeV possible from $\psi(4160) \rightarrow \eta J/\psi$?

$\sigma(e^+e^- \rightarrow \eta' J/\psi)$



Phys. Rev. D 94, 032009 (2016)

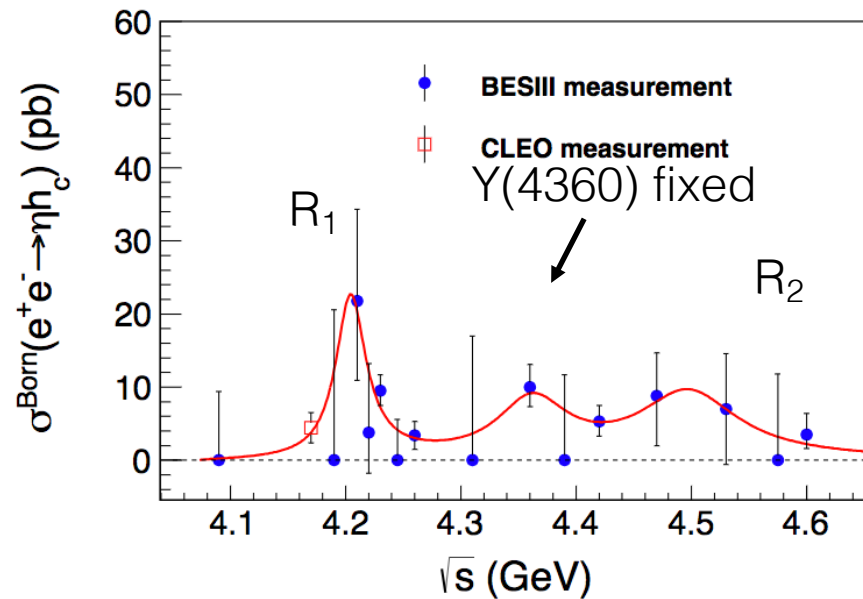
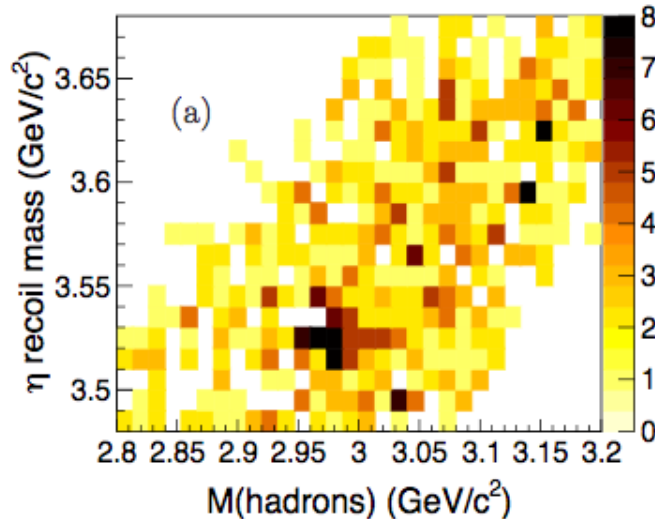
- ❑ Fit with the $\psi(4160)$ and $\psi(4415)$ resonances
 ➔ The $\psi(4415)$ is not significant
- ❑ $\sigma(\eta' J/\psi)$ much lower than $\sigma(\eta J/\psi)$, lower than NRQCD calculation

$$e^+e^- \rightarrow \eta h_c$$

PRD96, 012001 (2017)

- Search for new Y decays to spin-singlet $c\bar{c}$ final state

$$e^+e^- \rightarrow \eta h_c, h_c \rightarrow \gamma \eta_c, \eta_c \rightarrow X_i$$



Fitting curve with 3 coherent BW's

- Clear signals of $e^+e^- \rightarrow \eta h_c$ only at 4.226 GeV and evidence at 4.358 GeV

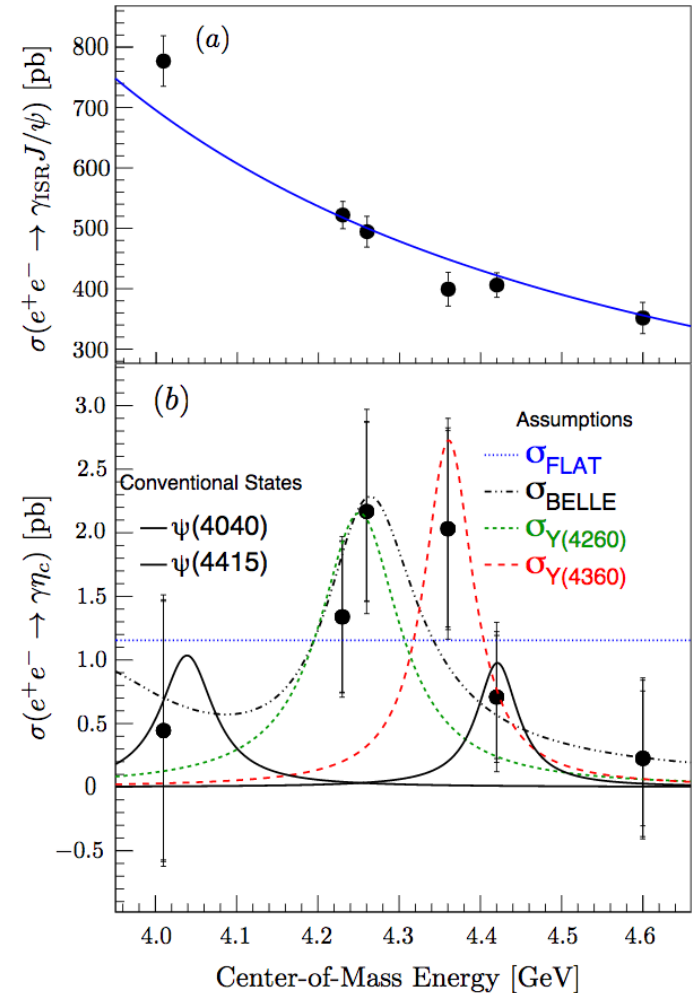
- $M_1 = (4204 \pm 6) \text{ MeV}$
- $\Gamma_1 = (33 \pm 22) \text{ MeV}$

- $M_2 = (4496 \pm 26) \text{ MeV}$
- $\Gamma_2 = (104 \pm 69) \text{ MeV}$

$$e^+e^- \rightarrow \gamma\eta_c$$

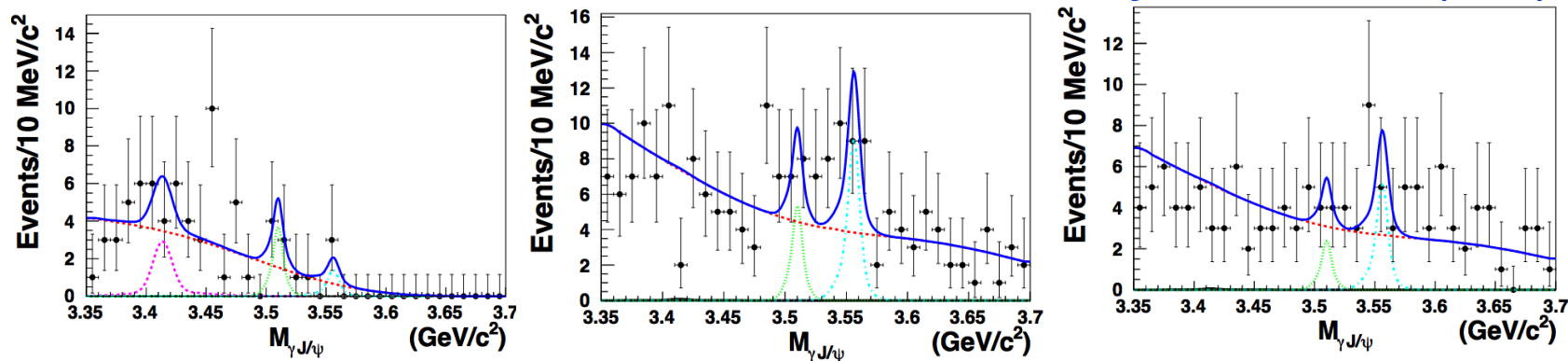
[PRD 96, 051101 \(2017\)](#)

- Search for radiative decay of the Y to the spin-singlet state of η_c
- Lattice QCD predicts that Hybrid model of the Y(4260) would enhance the ratio $\frac{\Gamma(Y \rightarrow \gamma\eta_c)}{\Gamma(Y \rightarrow \gamma\chi_{c0})}$, while for conventional charmonium state $\frac{\Gamma(Y \rightarrow \gamma\eta_c)}{\Gamma(Y \rightarrow \gamma\chi_{c0})} \sim \frac{1}{30}$
- The over significance is above 3σ by combing all data set
- Under current statistics, no firm conclusions can be made about the involved resonance structures.
- However, the Y(4260) is better than conventional ψ states: $\psi(4040)$, $\psi(4160)$, and $\psi(4415)$ to describe the cross sections.



$$e^+e^- \rightarrow \gamma\chi_{cJ}$$

Chin. Phys. C39, 041001 (2015)

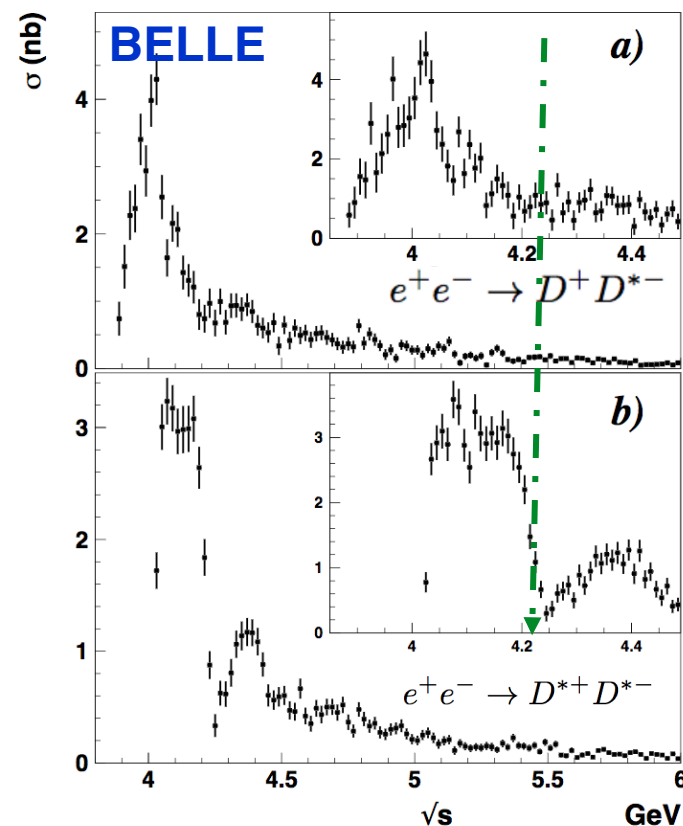
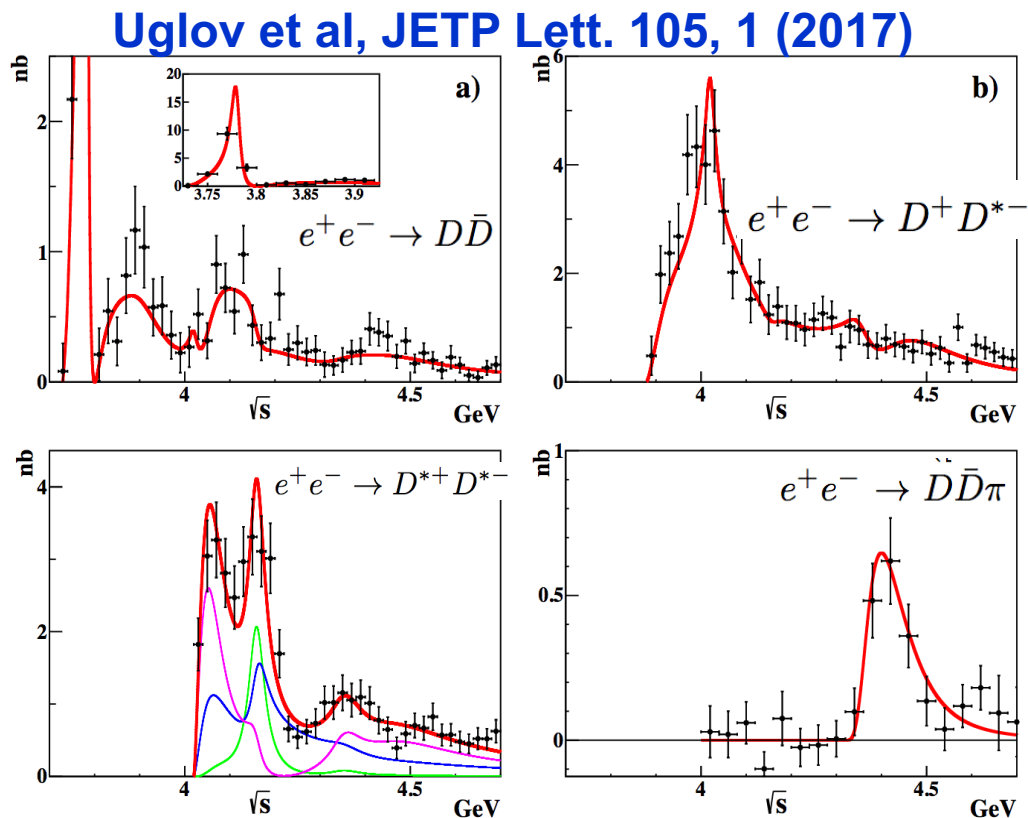


$\sqrt{s}$ (GeV)		N^{obs}	significance (σ)	N^{UP}	ϵ (%)	$1 + \delta^r$	$1 + \delta^v$	σ^{UP} (pb)	σ^B (pb)
4.009	χ_{c0}	7.0 ± 6.6	1.6	18	36.4 ± 0.2	0.738	1.04	182	$65.0 \pm 61.3 \pm 5.3$
	χ_{c1}	4.4 ± 2.6	2.2	9	23.4 ± 0.1			5.3	$2.4 \pm 1.4 \pm 0.2$
	χ_{c2}	1.8 ± 1.7	1.5	6	8.7 ± 0.1			18	$4.7 \pm 4.4 \pm 0.6$
4.230	χ_{c0}	0.2 ± 2.3	0.0	7	37.2 ± 0.2	0.840	1.06	26	$0.7 \pm 8.0 \pm 0.1$
	χ_{c1}	6.7 ± 4.3	1.9	14	44.4 ± 0.2			1.7	$0.7 \pm 0.5 \pm 0.1$
	χ_{c2}	13.3 ± 5.2	2.9	22	42.0 ± 0.2			5.0	$2.7 \pm 1.1 \pm 0.3$
4.260	χ_{c0}	0.1 ± 1.9	0.0	5	36.7 ± 0.2	0.842	1.06	26	$0.5 \pm 8.8 \pm 0.1$
	χ_{c1}	3.0 ± 3.0	1.1	7	42.7 ± 0.2			1.1	$0.4 \pm 0.4 \pm 0.1$
	χ_{c2}	7.5 ± 3.9	2.3	14	41.7 ± 0.2			4.2	$2.0 \pm 1.1 \pm 0.2$
4.360	χ_{c0}	0.1 ± 0.7	0.0	3	32.4 ± 0.2	0.943	1.05	23	$0.7 \pm 5.0 \pm 0.1$
	χ_{c1}	5.2 ± 4.9	2.4	10	31.7 ± 0.2			2.9	$1.4 \pm 1.3 \pm 0.1$
	χ_{c2}	4.4 ± 4.5	2.0	9	30.3 ± 0.2			5.0	$2.3 \pm 2.3 \pm 0.2$

Low statistics of signal yields and no firm conclusions can be drawn

Y searches in other exclusive open charm decays

PRD97. 012002 (2018)



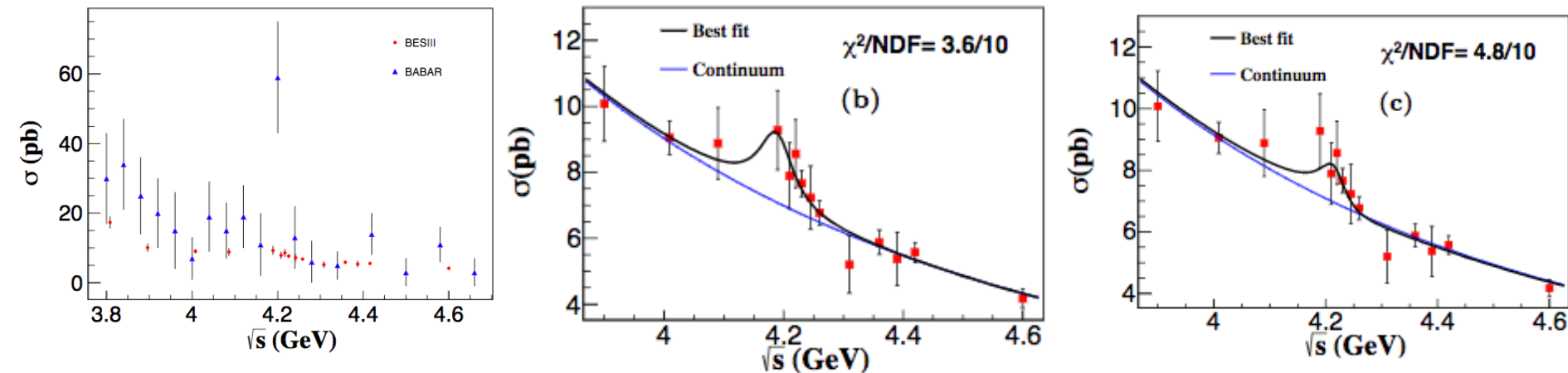
Updated $\sigma(e^+e^- \rightarrow D^{*+}D^{(*)-})$

- An attempt of coupled channel fit to data points from BELLE using K-matrix amplitude
- Five vector charmonium resonances ($\psi(2S)$, $\psi(3770)$, $\psi(4040)$, $\psi(4160)$, $\psi(4415)$,) can describe data fairly well
- More data and channels are required to extend the analysis

$$e^+e^- \rightarrow K_S K^+ \pi^-$$

[arXiv:1808.08733](https://arxiv.org/abs/1808.08733)

- The light mesonic decays of the Y state help to under its nature
- BaBar reported possible enhancement around 4.2 GeV



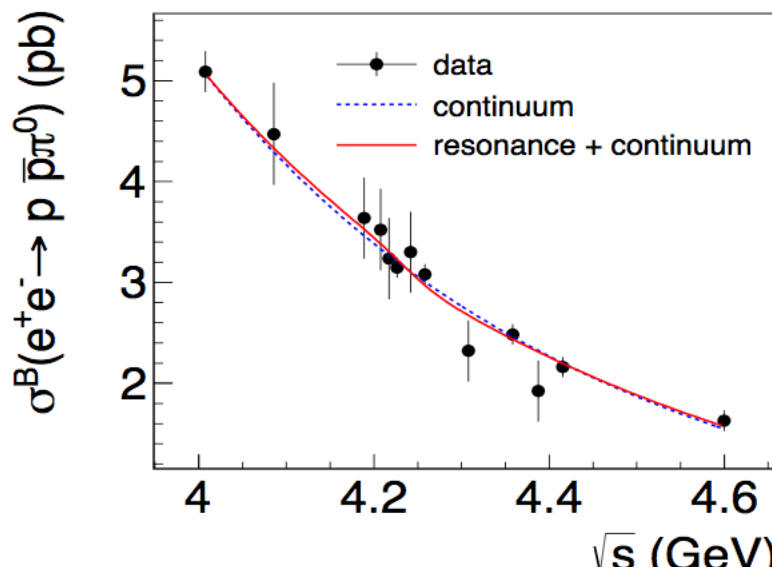
	$\psi(4160)$		$Y(4220)$	
	Solution I	Solution II	Solution I	Solution II
$\Gamma_{ee} \times B_{K_S^0 K^+ \pi^-}$ (eV)	2.71 ± 0.13	0.0118 ± 0.0098	2.03 ± 0.05	0.0038 ± 0.0029
ϕ (rad)	-1.60 ± 0.03	1.71 ± 0.38	-1.61 ± 0.02	2.11 ± 0.43
Significance	2.8σ		2.6σ	

- Basically agree with BaBar results
- Evidence of $\psi(4160) \rightarrow K_S K \pi$ and $Y(4220) \rightarrow K_S K \pi$
- Precisions will be improved using 2017 data set

$$e^+e^- \rightarrow p\bar{p}\pi^0$$

[PLB771, 45, \(2017\)](#)

The Y state decay to baryonic final states?



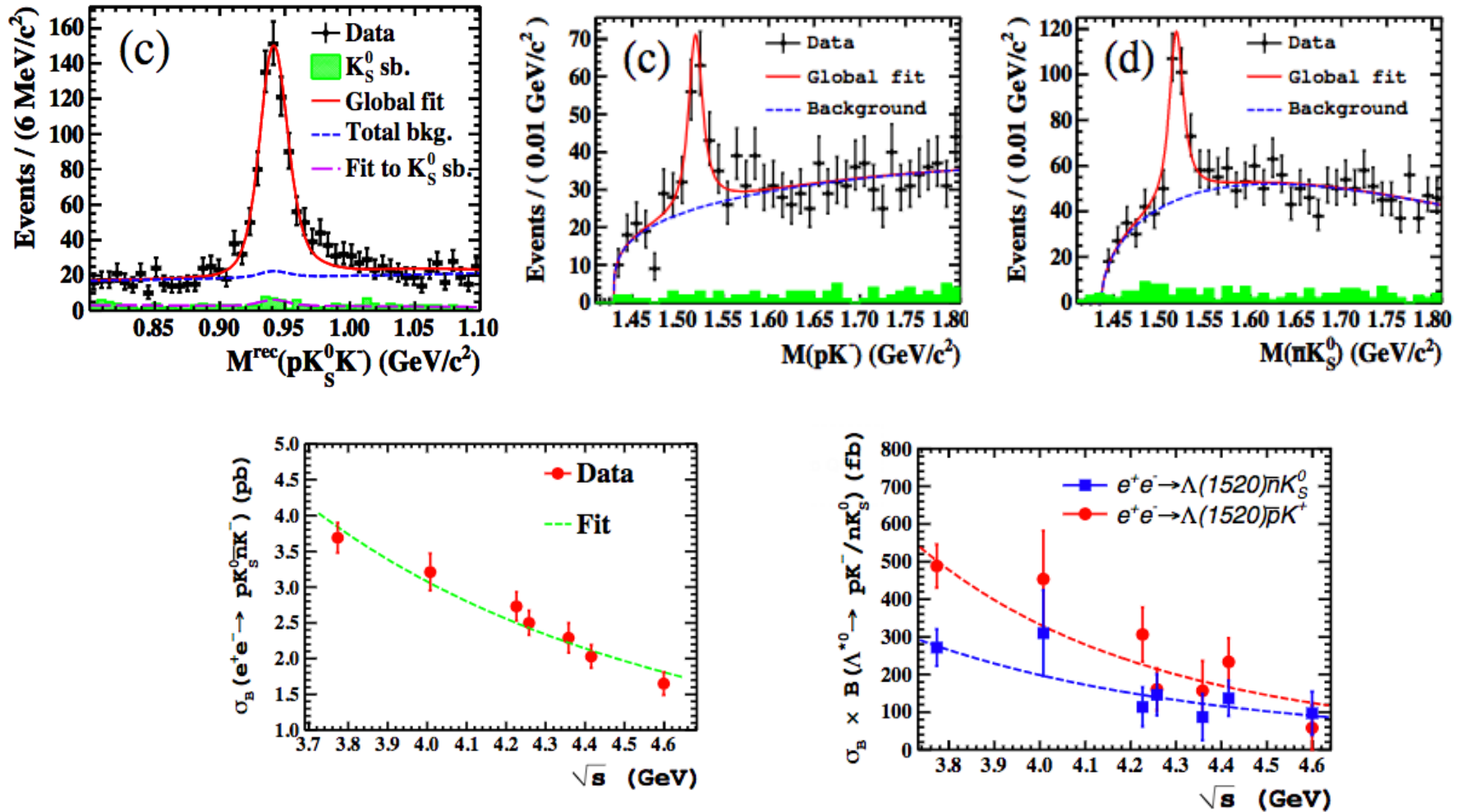
$$\sigma(s) = \left| \sqrt{\sigma_{\text{con}}} + \sqrt{\sigma_Y} \frac{m\Gamma}{s - m^2 + im\Gamma} \exp(i\phi) \right|^2$$

$$\sigma(e^+e^- \rightarrow Y(4260) \rightarrow p\bar{p}\pi^0) < 0.1 \text{ pb at 90 C.L.}$$

$$e^+e^- \rightarrow pK_S\bar{n}K^-$$

[arXiv:1807.03468](https://arxiv.org/abs/1807.03468)

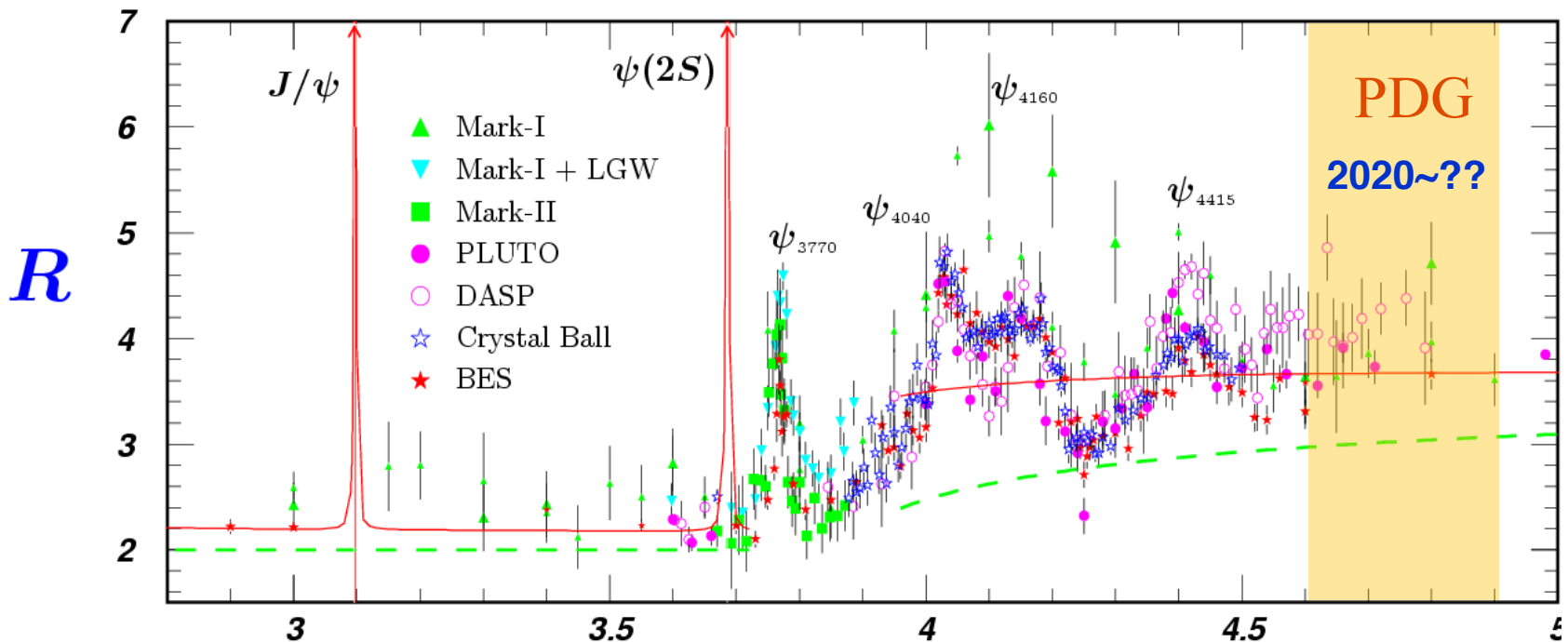
Neutron is not directly detected, but inferred from the missing-mass spectrum



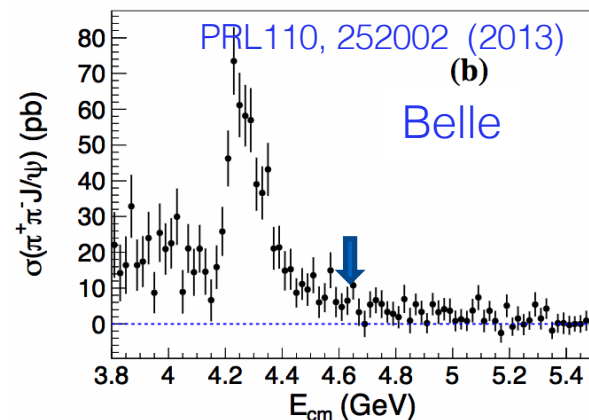
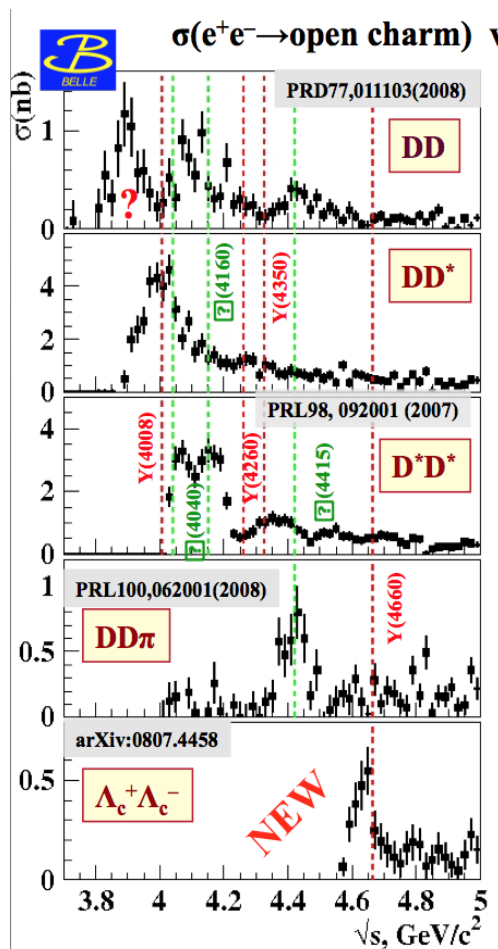
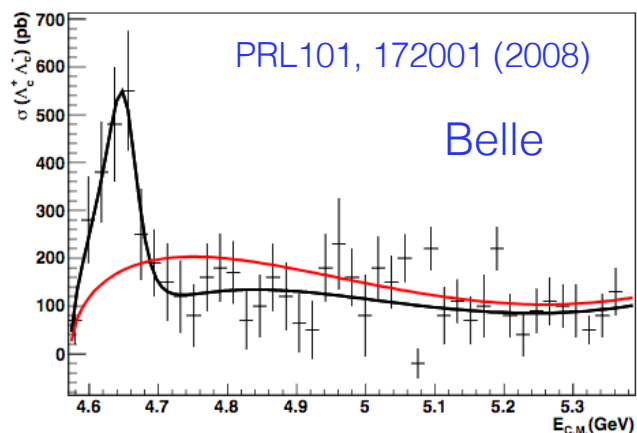
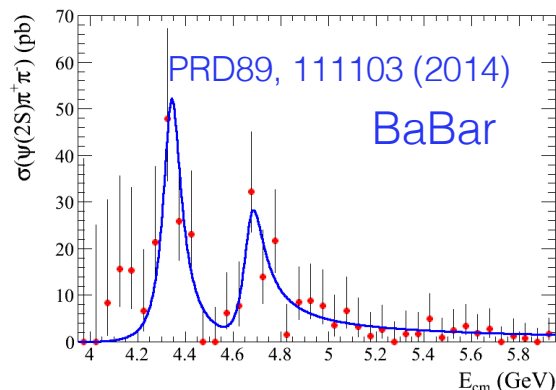
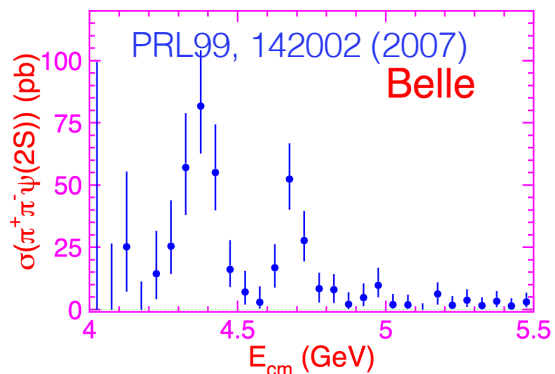
No Y states are evident

BEPCII upgrade

- Increase of beam energy $2.30 \rightarrow 2.35 \rightarrow 2.45$ GeV
 - $\rightarrow$ 2.35 GeV in 2018 summer (done)
 - $\rightarrow$ 2.45 GeV in 2020-21, change ISPB (Interaction region SePtum Bending) magnet
- Top-up injection
 - Data taking efficiency increases by 20~30%



The Y(4630)/Y(4660)



$$\frac{\mathcal{B}(Y_B \rightarrow \Lambda_c \bar{\Lambda}_c)}{\mathcal{B}(Y_B \rightarrow \psi(2S) \pi^+ \pi^-)} = 25 \pm 7,$$

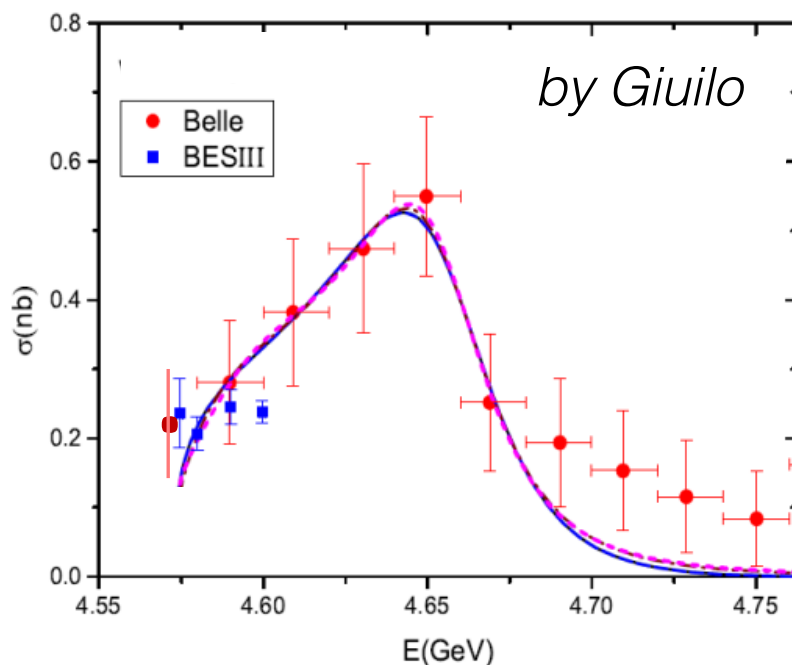
Phys.Rev.Lett. 104 (2010) 132005

$$\frac{B(Y_B \rightarrow D^0 D^{*-} \pi^+)}{B(Y_B \rightarrow \psi(2S) \pi^+ \pi^-)} < 10$$

PDG

- Y(4660) baryonic coupling ≥ 10 mesonic coupling (unexpected!)
 - Another missing large mesonic decay?
- Or Y(4660) is a charmed baryonium?

Lineshape of $e^+e^- \rightarrow \Lambda_c^+\Lambda_c^-$

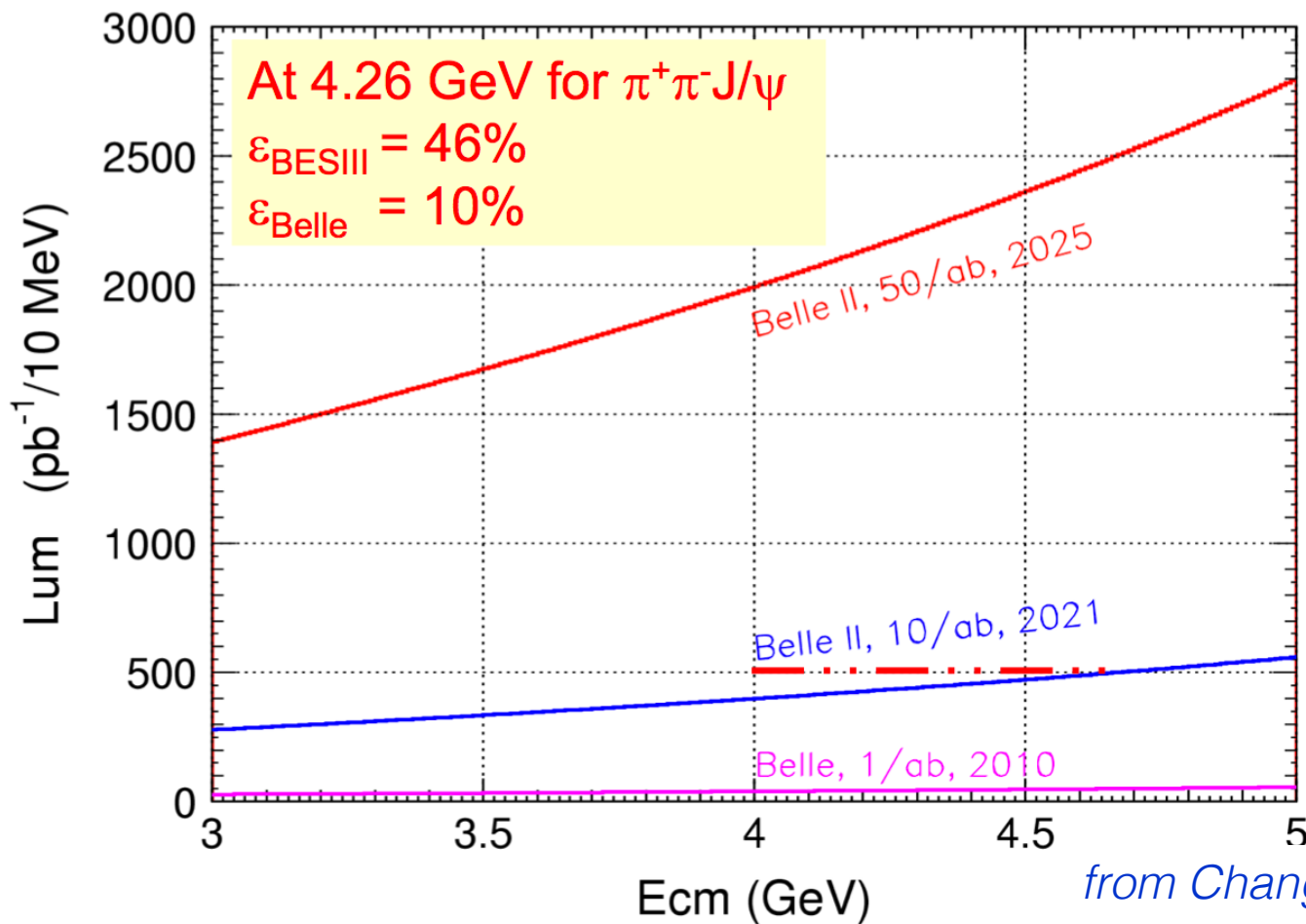


Belle: PRL101, 172001 (2008)
BESIII: PRL120,132001(2018)

- Some tension between BELLE and BESIII data on $e^+e^- \rightarrow \Lambda_c^+\Lambda_c^-$
- BESIII future data above 4.6 GeV will follow a sharp rise of the $Y(4660)$ or a flat cross section near threshold?

BELLE-II versus BESIII

ISR produces events at all CM energies BESIII can reach



Y(4260)

Multiquark
Hybrid
Hadrocharmonium



Molecule
Threshold effects
Cusps

... **States or/and interactions**



X(3872)



Zc(3900)

Zc(4020)

What is the role of threshold

--Many new observations near thresholds: $D^*D, D^*D^*, D_1D, \dots$

* Phase variations appear in many process: not unique for resonance

To have a complete picture, more investigations are desired, e.g., the consistency among different productions and B hadron decays $B \rightarrow KY, Y \rightarrow X$

- **Energy-dependence**
- **Patterns in productions and decays**

Pole properties

For XYZ, the picture is still unclear

World-wide
experimental
efforts

Models

LQCD

Summary

- BESIII is now overwhelmingly dominating the studies on the Y states
- Improved understanding of the previous reported state $Y(4260)$
 - ✓ Now $Y(4260) \rightarrow Y(4220)$
 - ✓ New observation of decays to open charm final states
 - ✓ Exhaustive studies on the decays to various hidden charm final states
 - ✓ Searches for decays to the light meson and baryon final states
 - ✓ ...
- Continuously, more data set will be recorded and new analyses will be publicized ...
- Future BEPCII energy upgrade enable us to study the $Y(4360)/Y(4660)$ states
- BELLE-II and (HL)-LHCb: searches for the Y 's in direct production and the B decays

Thank you!

谢谢!