

# Precision Higgs Physics at the CEPC

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The discovery of the Higgs boson with its mass around 125 GeV by the ATLAS and CMS Collaborations marked the beginning of a new era in high energy physics. The Higgs boson will be the subject of extensive studies of the ongoing LHC program. At the same time, a lepton collider based Higgs factory has been proposed as a possible next step beyond the LHC, with its main goal as the precise measurement of the properties and probing potential new physics associated with the Higgs boson. The Circular Electron Positron Collider (CEPC) is one of such proposed Higgs factories. The CEPC is an  $e^+e^-$  circular collider proposed by China. Located in a tunnel of approximately 100 km in circumference, it will operate at a center-of-mass energy of  $\sim 240 - 250$  GeV. After the CEPC, a potential follow up is a Super Proton-Proton Collider (SPPC) in the same tunnel with an energy 70–100 TeV. In this paper, we present the first estimates on the precision of Higgs property measurements achievable at the CEPC.

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# 1 Introduction

The historic discovery of a Higgs boson in 2012 by the ATLAS and CMS collaborations [1, 2] at the Large Hadron Collider (LHC) has opened a new era in particle physics. Subsequent measurements of the properties of the new particle have indicated compatibility with the Standard Model (SM) Higgs boson [3–7] [need updates]. While the SM has been remarkably successful in describing experimental phenomena, it is important to recognize that the SM is not a complete theory. In particular, the SM does not *predict* the parameters in the Higgs potential, such as the Higgs mass. The vast difference between the Planck scale and the weak scale remains a major mystery. There is not a complete understanding of the nature of electroweak phase transition. The discovery of a spin zero Higgs boson, the first elementary particle of its kind, only sharpens these questions. It is clear that any attempt of addressing these questions will involve new physics beyond the SM. Therefore, the Higgs boson discovery marks the beginning of a new era of theoretical and experimental explorations.

A physics program of precision measurement of Higgs properties will be a critical component of any roadmap for high energy physics in the coming decades. Potential new physics beyond the SM could lead to observable deviations in the Higgs boson couplings from the SM expectations. Typically, such deviations can be parametrized as

$$\delta = c \frac{v^2}{M_{\text{NP}}^2}, \quad (1.1)$$

where  $v$  and  $M_{\text{NP}}$  are the vacuum expectation value of the Higgs field and the typical mass scale of new physics, respectively. The size of the proportionality constant  $c$  depends on model, but it should not be much larger than  $\mathcal{O}(1)$ . The current and upcoming LHC runs will measure the Higgs couplings to about 5% Ref [8]. At the same time, LHC will directly search for new physics from a few hundreds of GeV to at least a TeV. Eq. (1.1) implies that probing new physics significantly beyond the LHC reach would require the measurement of the Higgs boson couplings at least at percent level accuracy. To achieve such sub-percent level of precision will need new facilities, a lepton collider operating as a Higgs factory is a natural next step.

The Circular Electron-Positron Collider (CEPC), proposed by the Chinese particle physics community, is one of such possible facilities. The CEPC will be housed in a tunnel with a circumference about 100 km and will operate at a center-of-mass energy of  $\sqrt{s} \sim 240$  GeV, which maximizes the Higgs boson production cross section through the  $e^+e^- \rightarrow ZH$  process. At the CEPC, in contrast to the LHC, Higgs boson candidate events can be identified through a technique known as the recoil mass method without tagging its decays. Therefore, Higgs boson production can be disentangled from its decay in a model independent way. Moreover, the cleaner environment at a lepton collider allows much better exclusive measurement of Higgs boson decay channels. All of these give the CEPC impressive reach in probing Higgs boson properties. For example, with an integrated luminosity of  $5 \text{ ab}^{-1}$ , over one million Higgs bosons will be produced. With this sample, the CEPC will be able to measure the Higgs boson coupling to the  $Z$  boson with an accuracy of 0.25%

40 [update], more than a factor of 10 better than the High Luminosity (HL)-LHC. Such a  
41 precise measurement gives the CEPC unprecedented reach into interesting new physics sce-  
42 narios which are very difficult to probe at the LHC. The CEPC also has strong capability  
43 in detecting Higgs boson invisible decay. For example, with  $5 \text{ ab}^{-1}$ , it can improve the  
44 accuracy of the measurement of invisible decay branching ratio to 0.14% [update here, do  
45 we really mean invisible decay?]. In addition, it is expected to have good sensitivities to  
46 exotic decay channels which are swamped by backgrounds at the LHC. It is also important  
47 to stress that an  $e^+e^-$  Higgs factory can perform *model independent* measurement of the  
48 Higgs boson width. This unique feature in turn allows for model independent determination  
49 of the Higgs boson couplings.

50 This paper documents the first studies of a precision Higgs boson physics program  
51 at the CEPC. It is organized as follows: Section 2 briefly summarizes the collider and  
52 detector performance parameters assumed for the studies. Section 5 describes individual  
53 Higgs boson measurements including the methodology and results from simulation studies.  
54 Section 6 discusses the combination of individual measurements and the extraction of Higgs  
55 boson coupling parameters. Finally the implications of these measurements are discussed  
56 in Section. 8.

## 2 CEPC Detector Concept

### 2.1 The CEPC operating scenarios

The CEPC is designed to operate as a Higgs factory at  $\sqrt{s} \sim 240 - 250$  GeV and as a  $Z$  factory at  $\sqrt{s} = 91.2$  GeV. It will also perform  $WW$  threshold scans around  $\sqrt{s} = 161$  GeV. Table 2.1 shows potential CEPC operating scenarios and the expected numbers of  $H$ ,  $W$  and  $Z$  bosons produced in these scenarios.

**Table 1.** CEPC operating scenarios and the numbers of Higgs,  $W$  and  $Z$  bosons produced. The integrated luminosity and the event yields assume two interaction points.

Operation mode	$Z$ factory	$WW$ threshold	Higgs factory
$\sqrt{s}$ (GeV)	91.2	161	240
Instantaneous luminosity ( $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ )	16–32	11	3
Run time (year)	2	1	7
Integrated luminosity ( $\text{ab}^{-1}$ )	6–8	2.5	5
Higgs boson yield	-	-	$10^6$
$W$ boson yield	-	$10^7$	$10^8$
$Z$ boson yield	$10^{10-12}$	$10^9$	$10^9$

The CEPC operation as a Higgs factory will last for a decade and produce a total of 1 Million Higgs bosons with two interaction points. Meanwhile, approximately 100 Million  $W$  bosons and 1 Billion  $Z$  bosons will also be produced in this operation. These large statistical samples of  $W$  and  $Z$  bosons will allow for in-situ detector characterization as well as for precise measurements of electroweak parameters. Benefiting from the clean  $e^+e^-$  collision environment and the large number of Higgs bosons, the CEPC is expected to improve the precision of most of the Higgs boson property measurements by a factor of ten over those achievable at the high luminosity LHC (HL-LHC).

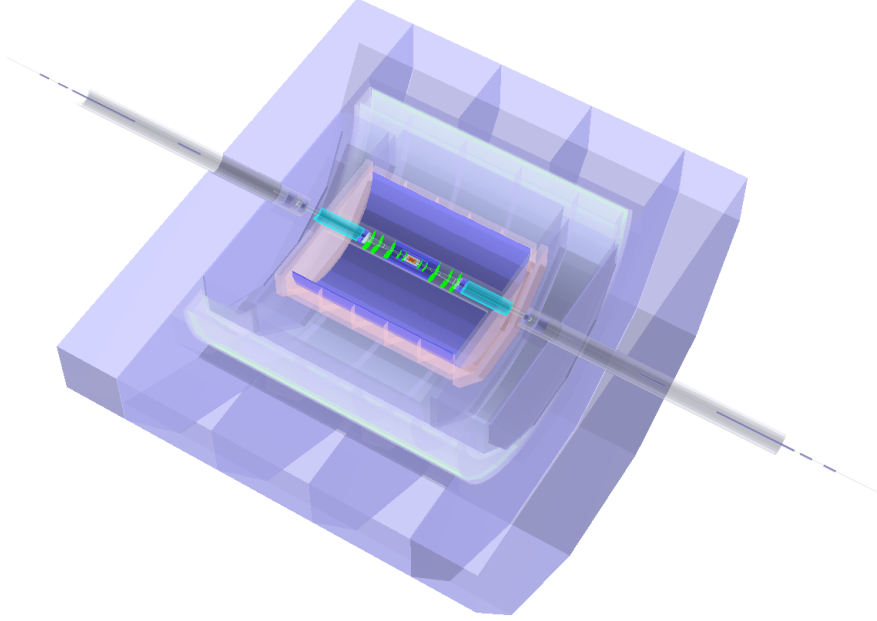
Running at the  $WW$  threshold around  $\sqrt{s} = 161$  GeV,  $10^7$   $W$  bosons will be produced in one year. Similarly running as a  $Z$  factory at  $\sqrt{s} = 91.2$  GeV, CEPC will produce  $10^{10-12}$   $Z$  bosons. These large samples will enable high precision measurements of the electroweak observables such as  $A_{FB}^b$ ,  $R_b$ , the  $Z$  boson line-shape parameters, the mass and width of the  $W$  boson. An order of magnitude or more improvement in the precision of these observables are foreseen.

### 2.2 Conceptual detector design

The primary physics objective of the CEPC is the precise determination of the Higgs boson properties. Therefore CEPC detectors must be able to reconstruct and identify all key physics objects that the Higgs bosons are produced with or decay into with high efficiency, purity and accuracy. These objects include charged leptons, photons, jets, missing energy and missing momentum. Moreover, the flavor tagging of jets, such as those from  $b$ ,  $c$  and light quarks or gluons, are crucial for identifying the hadronic decays of Higgs bosons. The

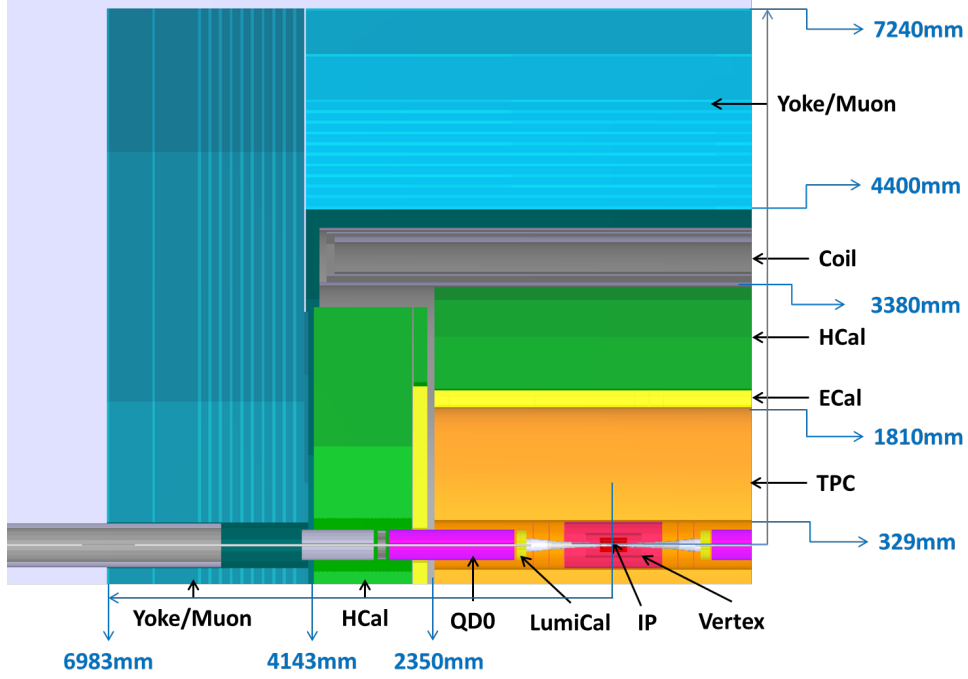
84 detector requirements for the electroweak and heavy flavor physics are similar. One notable  
 85 additional requirement is the identification of charged particles such as  $\pi^\pm$  and  $K^\pm$  for the  
 86 heavy flavor physics program.

87 Using the International Large Detector (ILD) [9, 10] as a reference, a Particle Flow  
 88 oriented conceptual detector, CEPC-v1 (see Fig. 1), has been developed for the CEPC.  
 89 A detailed description of the CEPC-v1 detector can be found in Ref. [11]. Originally de-  
 90 veloped for LEP experiments [12, 13], Particle Flow is a well validated principle for event  
 91 reconstructions [14–17] and is based on the premise of reconstructing all visible final-state  
 92 particles in the most sensitive subdetector system. Specifically, a particle-flow algorithm  
 93 reconstructs charged particles in the tracking system, measures photons in the electromag-  
 94 netic calorimeter and neutral hadrons in both electromagnetic and hadronic calorimeters.  
 95 Physics objects are then identified or reconstructed from the unique list of final state par-  
 96 ticles. Particle Flow reconstruction provides a coherent interpretation of an entire physics  
 97 event and, therefore, is particularly well suited for the identification of composite physics  
 98 objects such as the  $\tau$  leptons and jets.



**Figure 1.** Conceptual CEPC detector, CEPC-v1, implemented in MOKKA [18] and GEANT 4 [19]. It is comprised of a silicon vertexing and tracking system of both pixel and strips geometry, a TPC tracker, a high granularity calorimeter system, a solenoid of 3.5 Tesla magnetic field, and a muon detector embedded in a magnetic field return yoke.

99 Particle Flow algorithm requires good spatial separations of calorimeter showers in-  
 100 duced by different final state particles for their reconstruction. It is imperative to minimize  
 101 the amount of material before the calorimeter to reduce the uncertainty induced by the nu-  
 102 clear interactions and Bremsstrahlung radiations. Therefore, a high granularity calorimeter



**Figure 2.** A schematic quartic view of the CEPC-v1 detector.

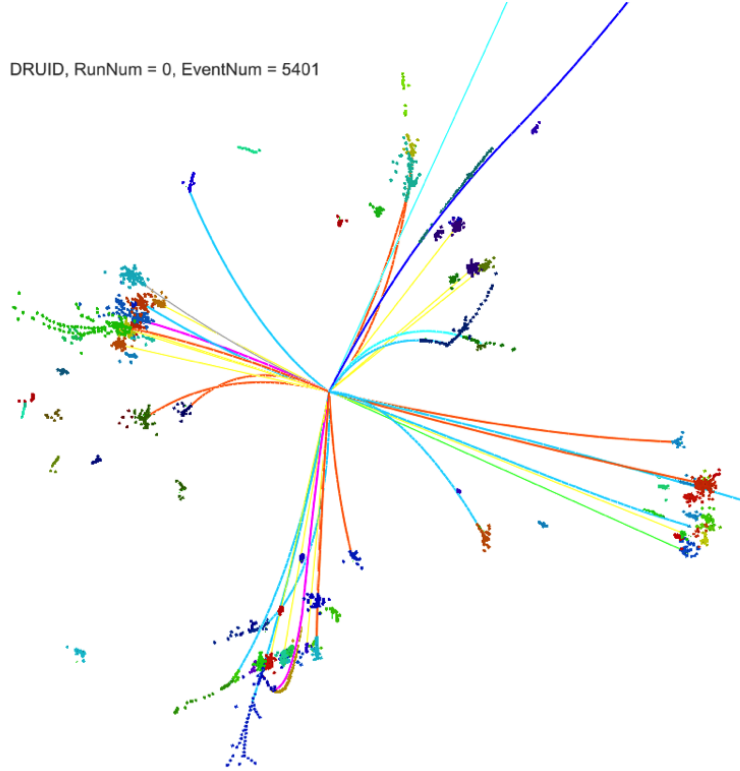
system and low material tracking system are implemented in the CEPC-v1 detector concept. The tracking system consists of silicon vertexing and tracking detectors as well as a Time Projection Chamber (TPC). The calorimetry system is based on the sampling technology with absorber/active-medium combination of Tungsten-Silicon for the electromagnetic calorimeter (ECAL) and Iron-Scintillator for the hadronic calorimeter (HCAL). The calorimeters are segmented at about 1 channel/cm<sup>3</sup>, three orders of magnitude finer than those of the LHC detectors. Both the tracking and the calorimeter system are housed inside a solenoid of 3.5 Tesla magnetic field. The CEPC-v1 detector has a sophisticated machine-detector interface with an 1.5 meter L\* (the distance between the interaction point and the final focusing quadrupole magnet) to accommodate the high design luminosity. Table 2.2 shows the geometric parameters and the benchmark subdetector performances of the CEPC-v1 detector. A quartic view of the detector is shown in Fig. 2.

### 2.3 Object reconstruction and identification

A dedicated Particle Flow reconstruction toolkit, ARBOR [15], has been developed for the CEPC-v1 detector. Inspired by the tree structure of particle showers, ARBOR attempts to reconstruct every visible final state particle. Figure 3 illustrates a simulated  $e^+e^- \rightarrow ZH \rightarrow q\bar{q}b\bar{b}$  event as reconstructed by the ARBOR algorithm. The algorithm's performance for leptons, photons and jets are briefly summarized here. More details can be found in Ref. [20, 21].

**Table 2.** Basic parameters and performances of the CEPC-v1 detector. The radiation and interaction lengths are measured for normal incidences.

<b>Silicon detectors</b>	
Time Projection Chamber	Radii: 300–1808 mm; length: 4700 mm; 220 radial readouts
Electromagnetic calorimeter	<i>W/Si</i> , 24 $X_0$ , 30 active layers
Hadron calorimeter	<i>Fe/Scintillator</i> , 6 $\lambda$ , 48 active layers
Detector acceptance	TPC (97%), ECAL, HCAL (99.5%)
Track momentum resolution	$\Delta(1/p_T) \sim 2 \times 10^{-5} (1/\text{GeV})$
Impact parameter resolution	$5 \mu\text{m} \oplus 10 \mu\text{m}/(p_T (\text{GeV}) \sin \theta)^{1/2}$
ECAL energy resolution	$\Delta E/E \sim 16\%/\sqrt{E (\text{GeV})} \oplus 1\%$
HCAL energy resolution	$\Delta E/E \sim 60\%/\sqrt{E (\text{GeV})} \oplus 1\%$



**Figure 3.** A simulated  $e^+e^- \rightarrow ZH \rightarrow q\bar{q} b\bar{b}$  event reconstructed with the ARBOR algorithm. Different types of reconstructed final state particles are represented in different colors.

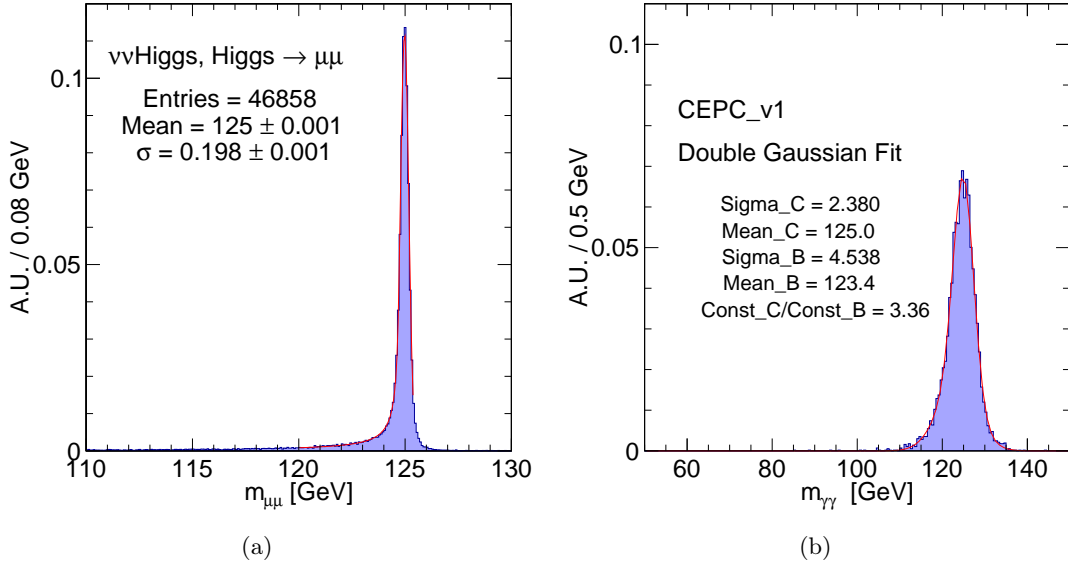
### 122 2.3.1 Leptons and Photons

123 Leptons ( $\ell$ )<sup>1</sup> are fundamental for the measurements of the Higgs boson properties at the  
 124 CEPC. About 7% of the Higgs bosons are produced in association with a pair of leptons

<sup>1</sup>Unless otherwise noted, leptons refer to electrons and muons thereafter, i.e.  $\ell = e, \mu$ .

through the  $e^+e^- \rightarrow ZH \rightarrow \ell^+\ell^- H$  process. These events allow for the identifications of Higgs bosons using the recoil mass information and therefore enable the measurement of the  $ZH$  production cross section and the Higgs boson mass. Moreover, a significant fraction of Higgs bosons decay into final states with leptons indirectly through the  $W$  or  $Z$  bosons as well as the  $\tau$  leptons. These leptons serve as signatures for identifying different Higgs boson decay modes.

A lepton identification algorithm, LICH [22], has been developed and integrated into ARBOR. Efficiencies close to 99.9% for identifying electrons and muons with energies above 2 GeV have been achieved while the mis-identification rates from hadrons are limited to be less than 1%. The CEPC-v1 tracking system provides an excellent momentum resolution that is about ten times better than those of the LEP and LHC detectors. The good resolution is illustrated in the narrow invariant mass distribution of muon pairs from the  $H \rightarrow \mu^+\mu^-$  decays as shown in Fig. 4 (a). A relative mass resolution of 0.16% for  $H \rightarrow \mu^+\mu^-$  is expected.



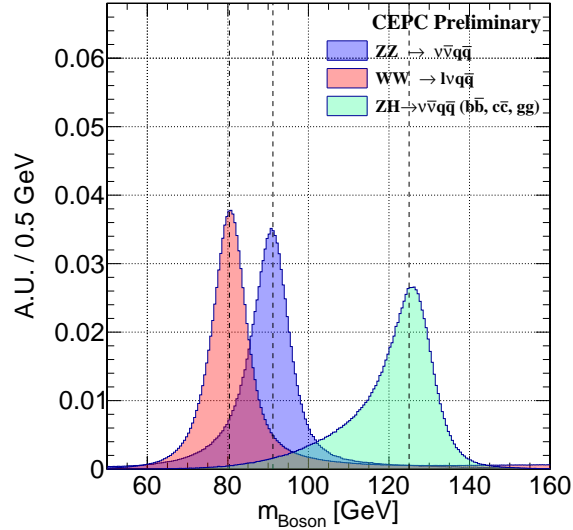
**Figure 4.** Simulated invariant mass distributions of (a) muon pairs from  $H \rightarrow \mu^+\mu^-$  and (b) photon pair from  $H \rightarrow \gamma\gamma$  of  $e^+e^- \rightarrow ZH$  events. The  $m_{\mu^+\mu^-}$  distribution is fit with a Gaussian core plus a small low-mass tail from the Bremsstrahlung radiation. The Gaussian has a width of 0.2 GeV, representing a relative mass resolution of 0.16%. The  $m_{\gamma\gamma}$  distribution is fit with two Gaussians with the dominant one accounts for about 80% of the weight and having a width of 2.4 GeV, corresponding to a relative mass resolution of 1.9%. **Style !**

Photons are essential for the studies of  $H \rightarrow \gamma\gamma$  and  $H \rightarrow Z\gamma$  decays. They are also important for the reconstructions and measurements of  $\tau$  leptons and jets. The  $H \rightarrow \gamma\gamma$  decay is an ideal process to characterize the photon performance of the CEPC-v1. Figure 4 (b) shows the invariant mass distribution of photon pairs from the  $H \rightarrow \gamma\gamma$  decays. The distribution is well described by two Gaussians with the core Gaussian having

144 a width of 2.4 GeV, or equivalently, a relative mass resolution of 1.9%.

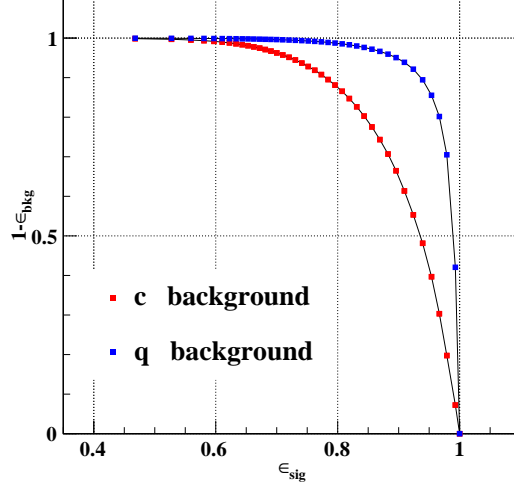
### 145 2.3.2 Jets

146 Approximately 70% of Higgs bosons decay directly into jets ( $b\bar{b}, c\bar{c}, gg$ ) and an additional  
 147 22% decay indirectly into final states with jets through the  $H \rightarrow WW^*, ZZ^*$  cascades.  
 148 Therefore, efficient jet reconstruction and precise measurements of their momenta are pre-  
 149 requisite for a precision Higgs physics program. In ARBOR, jets are reconstructed using  
 150 the Durham algorithm [23]. As a demonstration of the CEPC-v1 jet performance, Fig. 5  
 151 shows the reconstructed dijet invariant mass distributions of the  $W \rightarrow q\bar{q}$ ,  $Z \rightarrow q\bar{q}$  and  
 152  $H \rightarrow b\bar{b}/c\bar{c}/gg$  decays from the  $ZZ \rightarrow \nu\bar{\nu} q\bar{q}$ ,  $WW \rightarrow \ell\nu q\bar{q}$  and  $ZH \rightarrow \nu\bar{\nu} b\bar{b}/c\bar{c}/gg$   
 153 processes respectively. The CEPC-v1 detector has sufficiently good mass resolutions to  
 154 separate  $W$ ,  $Z$  and  $H$  bosons in their hadronic decays. The jet energy resolution is expected  
 155 to be between 3–6% depending on the jet energy. This resolution is approximately 2–4 times  
 156 better than those of the LHC experiments.



**Figure 5.** Distributions of the reconstructed dijet invariant mass for the  $W \rightarrow q\bar{q}$ ,  $Z \rightarrow q\bar{q}$  and  $H \rightarrow b\bar{b}/c\bar{c}/gg$  decays from respectively the  $WW \rightarrow \ell\nu q\bar{q}$ ,  $ZZ \rightarrow \nu\bar{\nu} q\bar{q}$  and  $ZH \rightarrow \nu\bar{\nu} b\bar{b}/c\bar{c}/gg$  processes. All distributions are normalized to unity. Style, x-axis title ...

157 Jets originating from heavy flavors ( $b$ - or  $c$ -quarks) are tagged using the LCFIPlus  
 158 algorithm [24]. The algorithm combines information from the secondary vertex, jet mass,  
 159 number of leptons etc. to construct  $b$ -jet and  $c$ -jet discriminating variables. The tagging  
 160 performance characterized using the  $Z \rightarrow q\bar{q}$  decays from the  $Z$  pole running is shown in  
 161 Fig. 6. For an inclusive  $Z \rightarrow q\bar{q}$  sample,  $b$ -jets can be tagged with an efficiency of 80% and  
 162 a purity of 90% while the corresponding efficiency and purity for tagging  $c$ -jets are 60% and  
 163 60%, respectively.



**Figure 6.** Efficiency for tagging  $b$ -jets vs rejection for light-jet background (blue) and  $c$ -jet background (red), determined from an inclusive  $Z \rightarrow q\bar{q}$  sample at the  $Z$  pole running. **Legends!**

## 2.4 Ongoing optimization

The CEPC-v1 detector concept is used as the reference detector for the Higgs boson studies summarized in this paper. A series of optimizations have been performed meanwhile. These optimizations are intended to reduce the power consumption and the construction cost and to improve the machine-detector interface while minimizing negative impacts on the Higgs boson physics. An updated detector concept, CEPC-v4, has thus been developed. The CEPC-v4 has a smaller solenoidal field of 3 Tesla and a reduced calorimeter dimensions along with fewer readout channels. A new Time-of-Flight measurement capability is added to improve the heavy flavor physics potential.

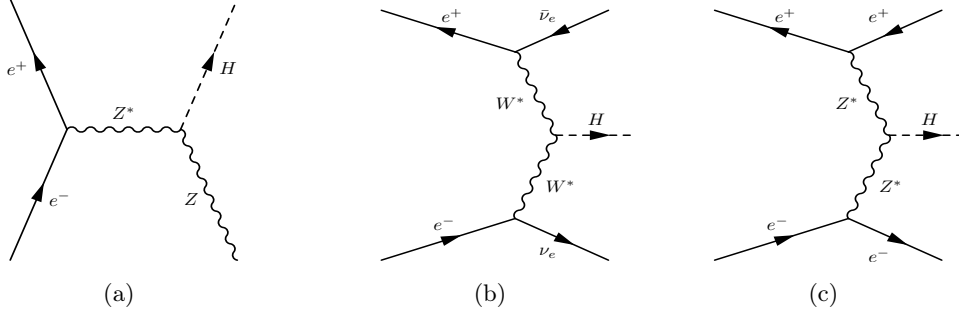
The weaker magnetic field degrades momentum resolution for charged particles by 14% which translates directly into a degraded muon momentum resolution. However the impact on other physics objects such as electrons, photons and jets are estimated to be small as the track momentum resolution is not a dominant factor for the performances of these objects. For Higgs boson physics, distributions most affected by the change are the  $H \rightarrow \mu^+\mu^-$  dimuon invariant mass and  $Z \rightarrow \mu^+\mu^-$  recoil mass. Figure XX compares these distributions from CEPC-v1 and CEPC-v4. **some text on the differences.**

**Suggest to add a figure comparing distributions of  $H \rightarrow \mu^+\mu^-$  mass and  $Z \rightarrow \mu^+\mu^-$  recoil mass between CEPC-v1 and CEPC-v4.**

### 182 3 Theory and Monte Carlo Samples

#### 183 3.1 Higgs boson production and decay

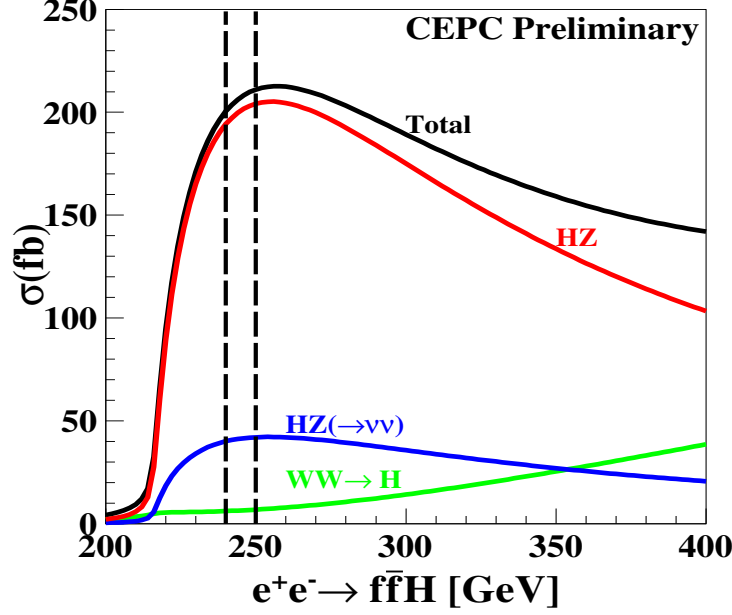
184 Production processes for a 125 GeV SM Higgs boson at the CEPC operating at  $\sqrt{s} \sim$   
 185 240–250 GeV are  $e^+e^- \rightarrow ZH$  ( $ZH$  associate production or Higgsstrahlung),  $e^+e^- \rightarrow \nu\bar{\nu}H$   
 186 ( $W$  fusion) and  $e^+e^- \rightarrow e^+e^-H$  ( $Z$  fusion) as illustrated in Fig. 7. The  $W$  and  $Z$  fusion  
 187 processes are collectively referred to as vector-boson fusion (VBF) production.



**Figure 7.** Feynman diagrams of the Higgs boson production processes at the CEPC: (a)  $e^+e^- \rightarrow ZH$ , (b)  $e^+e^- \rightarrow \nu\bar{\nu}H$  and (c)  $e^+e^- \rightarrow e^+e^-H$ .

188 The total and individual cross sections for the production of a SM Higgs boson with  
 189 a mass of 125 GeV as functions of center-of-mass energy are plotted in Fig. 3.1 while its  
 190 decay branching ratios and total width are shown in Table 3.1. As an  $s$ -channel process,  
 191 the cross section of the  $e^+e^- \rightarrow ZH$  process reaches its maximum at  $\sqrt{s} \sim 250$  GeV,  
 192 and then decreases asymptotically as  $1/s$ . The VBF production processes are through  
 193  $t$ -channel exchanges of vector bosons. Their cross sections increase logarithmically as  
 194  $\ln^2(s/M_V^2)$ . Because of the accidental small neutral-current  $Zee$  coupling, the VBF cross  
 195 section is dominated by the  $W$  fusion process. Numerical values of these cross sections at  
 196  $\sqrt{s} = 250$  GeV are listed in Table 3.1.

197 The CEPC as a Higgs boson factory is designed to deliver a total of  $5 \text{ ab}^{-1}$  integrated  
 198 luminosity to two detectors in 7 years. Over  $10^6$  Higgs boson events will be produced  
 199 during this period. The large statistics, well-defined event kinematics and clean collision  
 200 environment will enable the CEPC to measure Higgs boson production cross sections as  
 201 well as its properties (mass, decay width and branching ratios, etc.) with precision far  
 202 beyond those achievable at the LHC. Compared with hadron collisions,  $e^+e^-$  collisions  
 203 are unaffected by underlying event and pile-up effects. Theoretical calculations are less  
 204 dependent on higher order QCD radiative corrections. Therefore, more precise tests of  
 205 theoretical predictions can be performed at the CEPC. The tagging of  $e^+e^- \rightarrow ZH$  events  
 206 using the invariant mass of the system recoiling against the  $Z$  boson, independent of the  
 207 Higgs boson decay, is unique to lepton colliders. It provides a powerful tool for the model-  
 208 independent measurements of the inclusive  $e^+e^- \rightarrow ZH$  production cross section,  $\sigma(ZH)$ ,  
 209 and of Higgs boson decay branching ratios. Combinations of these measurements will enable  
 210 to determine the total Higgs boson decay width and to extract the Higgs boson couplings



**Figure 8.** Production cross sections of  $e^+e^- \rightarrow ZH$  and  $e^+e^- \rightarrow (e^+e^-/\nu\bar{\nu})H$  as functions of  $\sqrt{s}$  for a 125 GeV SM Higgs boson. Need polishing: the x-axis title should be “ $\sqrt{s}$  [GeV]”, adjust the y-axis title location etc., legends...

**Table 3.** Standard model predictions of the decay branching ratios and total width of a 125 GeV Higgs boson. These numbers are obtained from Refs. [25, 26].

Decay mode	Branching ratio	Relative uncertainties
$H \rightarrow b\bar{b}$	57.7%	+3.2%, -3.3%
$H \rightarrow c\bar{c}$	2.91%	+12%, -12%
$H \rightarrow \tau^+\tau^-$	6.32%	+5.7%, -5.7%
$H \rightarrow \mu^+\mu^-$	$2.19 \times 10^{-4}$	+6.0%, -5.9%
$H \rightarrow WW^*$	21.5%	+4.3%, -4.2%
$H \rightarrow ZZ^*$	2.64%	+4.3%, -4.2%
$H \rightarrow \gamma\gamma$	$2.28 \times 10^{-3}$	+5.0%, -4.9%
$H \rightarrow Z\gamma$	$1.53 \times 10^{-3}$	+9.0%, -8.8%
$H \rightarrow gg$	8.57%	+10%, -10%
$\Gamma_H$	4.07 MeV	+4.0%, -4.0%

211 to fermions and vector bosons, providing sensitive probes to potential new physics beyond  
212 the SM.

**Table 4.** Cross sections of Higgs boson production and other SM processes at  $\sqrt{s} = 250$  GeV and numbers of events expected in  $5 \text{ ab}^{-1}$ . The cross sections are calculated using the Whizard program [27]. Note that cross sections do not include potential interference effects between the same final states from different processes after  $W$  and  $Z$  boson decays (see text).

Process	Cross section	Events in $5 \text{ ab}^{-1}$
Higgs boson production, cross section in fb		
$e^+e^- \rightarrow ZH$	204.7	$1.02 \times 10^6$
$e^+e^- \rightarrow \nu\bar{\nu}H$	6.85	$3.43 \times 10^4$
$e^+e^- \rightarrow e^+e^-H$	0.63	$3.15 \times 10^3$
Total	212.1	$1.06 \times 10^6$
Background processes, cross section in pb		
$e^+e^- \rightarrow e^+e^-$ (Bhabha)	25.1	$1.3 \times 10^8$
$e^+e^- \rightarrow q\bar{q}(\gamma)$	50.2	$2.5 \times 10^8$
$e^+e^- \rightarrow \mu^+\mu^-(\gamma)$ [or $\tau^+\tau^-(\gamma)$ ]	4.40	$2.2 \times 10^7$
$e^+e^- \rightarrow WW$	15.4	$7.7 \times 10^7$
$e^+e^- \rightarrow ZZ$	1.03	$5.2 \times 10^6$
$e^+e^- \rightarrow e^+e^-Z$	4.73	$2.4 \times 10^7$
$e^+e^- \rightarrow e^+\nu W^-/e^-\bar{\nu}W^+$	5.14	$2.6 \times 10^7$

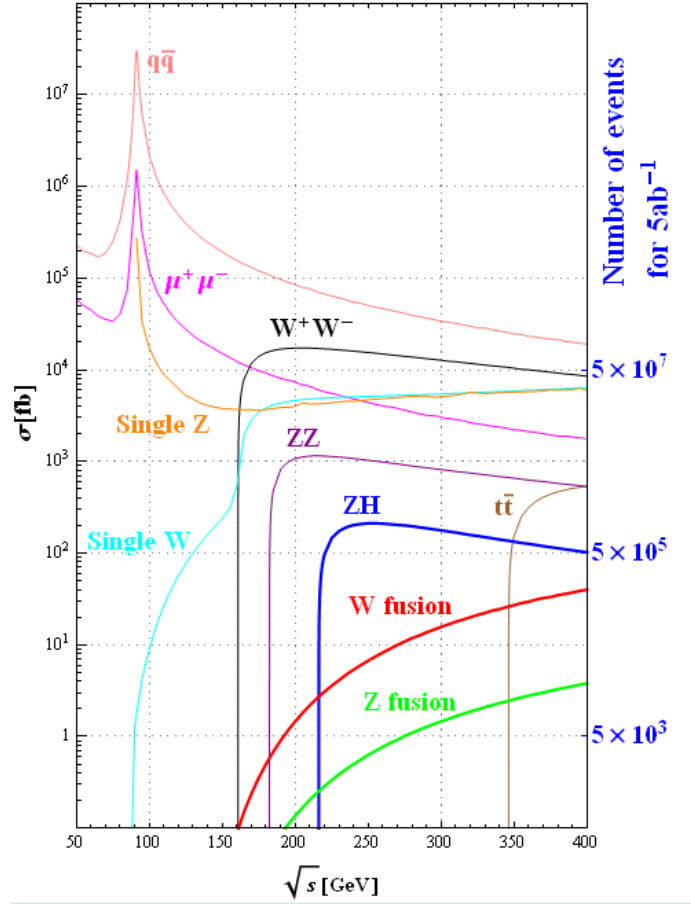
### 3.2 Background processes

Apart from Higgs boson production, other SM processes include  $e^+e^- \rightarrow e^+e^-$  (Bhabha scattering),  $e^+e^- \rightarrow Z\gamma$  (ISR return),  $e^+e^- \rightarrow WW/ZZ$  (diboson) as well as the single boson production of  $e^+e^- \rightarrow e^+e^-Z$  and  $e^+e^- \rightarrow e^+\nu W^-/e^-\bar{\nu}W^+$ . Their cross sections and expected numbers of events for an integrated luminosity of  $5 \text{ ab}^{-1}$  at  $\sqrt{s} = 250$  GeV are shown in Table 3.1 as well. The energy dependence of the cross sections for these and the Higgs boson production processes are shown Fig. 9. Note that many of these processes can lead to identical final states and thus can interfere. For example,  $e^+e^- \rightarrow e^+\nu_e W^- \rightarrow e^+\nu_e e^-\bar{\nu}_e$  and  $e^+e^- \rightarrow e^+e^-Z \rightarrow e^+e^-\nu_e\bar{\nu}_e$  have the same final state. Unless otherwise noted, these processes are simulated together to take into account interference effects for the studies presented in this paper.

Along with  $10^6$  Higgs boson events,  $5 \times 10^6$   $ZZ$ ,  $8 \times 10^7$   $WW$  and  $2.5 \times 10^8$   $q\bar{q}(\gamma)$  events will be produced. Though these events are backgrounds to Higgs boson events, they are important for the calibration and characterization of the detector performances and for the measurements of electroweak parameters.

### 3.3 Event generation and simulation

**Update** The following software tools have been used to obtain the results reported in this paper. GUINEAPIG program [28, 29] is used to study beam backgrounds and its energy spectrum. A full set of SM samples, including both the Higgs boson signal and SM background events, are generated with WHIZARD [27]. In addition, MADGRAPH [30] and PYTHIA [31]



**Figure 9.** Cross sections of main Standard Model processes of  $e^+e^-$  collisions as functions of center-of-mass energy  $\sqrt{s}$  obtained from the Whizard program [27]. The calculations include initial-state radiations (ISR). The single  $W$  and  $Z$  processes refer to  $e^+e^- \rightarrow e^+\nu W^-/e^-\bar{\nu}W^+$  and  $e^+e^- \rightarrow e^+e^-Z$  production, respectively. The  $W$  and  $Z$  fusion processes refer to  $e^+e^- \rightarrow \nu\bar{\nu}H$  and  $e^+e^- \rightarrow e^+e^-H$  production, respectively. Their numerical values at  $\sqrt{s} = 250$  GeV can be found in Table 3.1.

event generators are used to produce samples for the studies of Higgs boson exotic decays. The CEPC detector simulation is based on the software framework used for ILC studies [32]. However, changes have been made to both the simulation (Mokka [18]) and reconstruction software to adapt to the CEPC detector geometry.

All Higgs boson signal samples and part of the leading background samples are processed with Geant 4 [19] based full detector simulation and reconstruction. The rest of backgrounds are simulated with a dedicated fast simulation tool, where the detector acceptances, efficiencies, intrinsic resolutions for different physics objects are parametrized. Samples simulated for ILC studies [33] are used for cross checks of some studies.

## 242 4 Higgs Boson Tagging using Recoil Mass

243 Unlike in hadron collisions, the energy of  $e^+e^-$  collisions is known. For a Higgsstrahlung  
 244 event where the  $Z$  boson decays to a pair of visible fermions ( $ff$ ), the mass of the system  
 245 recoiling against the  $Z$  boson, commonly known as the recoil mass, can be calculated  
 246 assuming the event has a total energy  $\sqrt{s}$  and zero total momentum:

$$M_{\text{recoil}}^2 = (\sqrt{s} - E_{ff})^2 - p_{ff}^2 = s - 2E_{ff}\sqrt{s} + m_{ff}^2. \quad (4.1)$$

247 Here  $E_{ff}$ ,  $p_{ff}$  and  $m_{ff}$  are, respectively, the total energy, momentum and invariant mass  
 248 of the fermion pair. The  $M_{\text{recoil}}$  distribution should show a peak at the Higgs boson mass  
 249  $m_H$  for  $e^+e^- \rightarrow ZH$  and  $e^+e^- \rightarrow e^+e^-H$  processes, and is expected to be smooth without  
 250 a resonance structure for other processes in the mass region around 125 GeV.

251 Two important measurements of the Higgs boson can be performed from the  $M_{\text{recoil}}$   
 252 mass spectrum. The Higgs boson mass can be measured from the peak position of the  
 253 resonance. The width of the resonance is dominated by the beam energy spread (including  
 254 ISR effects) and energy/momentum resolution of the detector as the natural Higgs boson  
 255 width is only 4.07 MeV. The best precision of the mass measurement can be achieved from  
 256 the leptonic  $Z \rightarrow \ell^+\ell^-$  ( $\ell = e, \mu$ ) decays. The height of the resonance is a measure of  
 257 the Higgs boson production cross section  $\sigma(ZH)$ <sup>2</sup>. By fitting the  $M_{\text{recoil}}$  spectrum, the  
 258  $e^+e^- \rightarrow ZH$  event yield, and therefore  $\sigma(ZH)$ , can be extracted, independent of Higgs  
 259 boson decays. Higgs boson branching ratios can then be determined by studying Higgs  
 260 boson decays in selected  $e^+e^- \rightarrow ZH$  candidates. The recoil mass spectrum has been  
 261 investigated for both leptonic and hadronic  $Z$  boson decays as presented below.

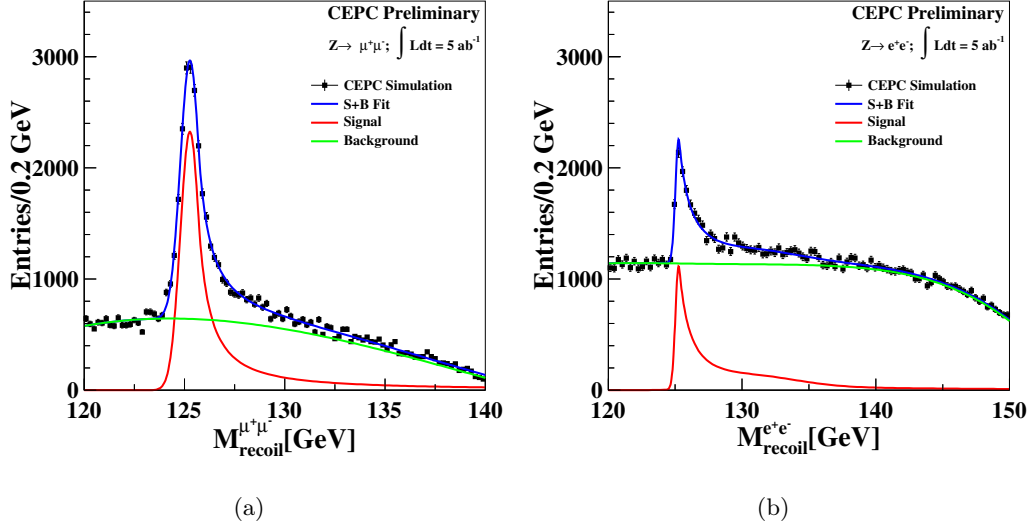
### 262 4.1 $Z \rightarrow \ell^+\ell^-$

263 Leptonic  $Z$  decay is ideal for studying the recoil mass spectrum of the  $e^+e^- \rightarrow ZX$  events.  
 264 The decay is easily identifiable and the lepton momenta can be precisely measured. Fig-  
 265 ure 10 shows the reconstructed recoil mass spectra of  $e^+e^- \rightarrow ZX$  candidates for the  
 266  $Z \rightarrow \mu^+\mu^-$  and  $Z \rightarrow e^+e^-$  decay modes. The analyses are based on the full detector  
 267 simulation for the signal events and on the fast detector simulation for background events.  
 268 They are performed with event selections entirely based on the information of the two lep-  
 269 tons, independent of the final states of Higgs boson decays. This approach is essential for  
 270 the measurement of the inclusive  $e^+e^- \rightarrow ZH$  production cross section and the model-  
 271 independent determination of the Higgs boson branching ratios. SM processes with at least  
 272 2 leptons in their final states are considered as backgrounds.

273 The event selection of the  $Z \rightarrow \mu^+\mu^-$  decay mode starts with the requirement of a  
 274 pair of identified muons. Events must have the dimuon invariant mass in the range of 80–  
 275 100 GeV and the recoil mass between 120 GeV and 140 GeV. The muon pair is required  
 276 to have its transverse momentum larger than 20 GeV, and its acolinear (opening ?) angle  
 277 smaller than  $175^\circ$ . A Boost Decision Tree (BDT) technique is employed to enhance the  
 278 separation between signal and background events. The BDT is trained using the invariant

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<sup>2</sup>For the  $Z \rightarrow e^+e^-$  decay, there will be a small contribution from  $e^+e^- \rightarrow e^+e^-H$  production.



**Figure 10.** The recoil mass spectra of  $e^+e^- \rightarrow ZX$  candidates for (a)  $Z \rightarrow \mu^+\mu^-$  and (b)  $Z \rightarrow e^+e^-$  with an integrated luminosity of  $5 \text{ ab}^{-1}$ . **Need update!**

mass, transverse momentum, polar angle and acollinearity of the dimuon system. Leading background contributions after the selection are from  $ZZ$ ,  $WW$  and  $Z\gamma$  events. As shown in Fig. 10(a), the analysis has a good signal-to-background ratio. The long high-mass tail is largely due to the initial-state radiation.

Compared to the analysis of the  $Z \rightarrow \mu^+\mu^-$  decay, the analysis of the  $Z \rightarrow e^+e^-$  decay suffers from additional and large background contributions from Bhabha and single boson production. A cut based event selection is performed for the  $Z \rightarrow e^+e^-$  decay. The electron-positron pair is required to have its invariant mass in the range of  $86.2 - 96.2 \text{ GeV}$  and its recoil mass between  $120 \text{ GeV}$  and  $150 \text{ GeV}$ . Additional selections based on the kinematic variables of the electron-positron system, the polar angles and the energies of the selected electron and positron, are applied. Events from  $e^+e^- \rightarrow e^+e^-(\gamma)$ ,  $e^+\nu W^-$  ( $e^-\bar{\nu}W^+$ ),  $e^+e^-Z$  production are the dominant backgrounds after the selection. The recoil mass distribution of the selected events is shown in Fig. 10 (b).

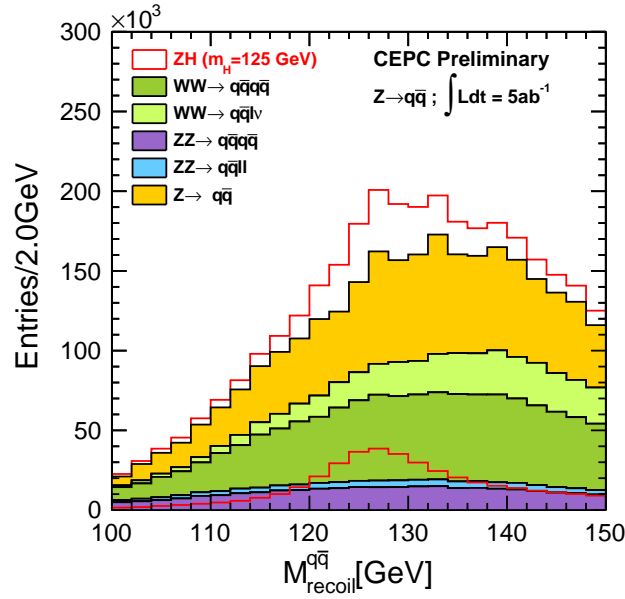
Event selections independent of Higgs boson decays are essential for the model-independent measurement of  $\sigma(ZH)$ . Additional selections using the Higgs boson decay information can, however, be applied to improve the Higgs boson mass measurement. This will be particularly effective in suppressing the large backgrounds from Bhabha scattering and single  $W$  or  $Z$  boson production for the analysis of the  $Z \rightarrow e^+e^-$  decay. This improvement is not implemented in the current study.

#### 4.2 $Z \rightarrow q\bar{q}$

The recoil mass technique can also be applied to the hadronic  $Z$  boson decays ( $Z \rightarrow q\bar{q}$ ) of the  $e^+e^- \rightarrow ZX$  candidates. This analysis benefits from a larger  $Z \rightarrow q\bar{q}$  decay branching ratio, but suffers from the fact that jet energy resolution is worse than the track momentum

and electromagnetic energy resolutions. In addition, ambiguity in selecting jets from the  $Z \rightarrow q\bar{q}$  decay, particularly in events with hadronic decays of the Higgs boson, can degrade the analysis performance and also introduce model-dependence to the analysis. Therefore, the measurement is highly dependent on the performance of the PFA and the jet clustering algorithm.

Following the same approach as the ILC study [34], an analysis based on the fast simulation has been performed. After the event selection, main backgrounds arise from  $Z\gamma$ 's and  $WW$  production. Figure 11 shows the reconstructed recoil mass distribution. Compared with the leptonic decays, the signal-to-background ratio is considerably worse and the recoil mass resolution is significantly poorer.



**Figure 11.** The recoil mass spectrum of the  $e^+e^- \rightarrow ZX$  candidates in the  $Z \rightarrow q\bar{q}$  decay channel for  $5 \text{ ab}^{-1}$  integrated luminosity.

### 4.3 Measurements of $\sigma(ZH)$ and $m_H$

The inclusive  $e^+e^- \rightarrow ZH$  production cross section  $\sigma(ZH)$  and Higgs boson mass  $m_H$  can be extracted from fits to the recoil mass distributions of the  $e^+e^- \rightarrow Z + X \rightarrow \ell^+\ell^-/q\bar{q} + X$  candidates (Figs. 10, 11). For the leptonic  $Z \rightarrow \ell^+\ell^-$  decays, the recoil mass distribution of the signal process  $e^+e^- \rightarrow ZH$  (and  $e^+e^- \rightarrow e^+e^-H$  in case of the  $Z \rightarrow e^+e^-$  decay) is modeled with a Crystal Ball function [35] whereas the total background is modeled with a polynomial function in the fit. As noted above, the recoil mass distribution is insensitive to the intrinsic Higgs boson width if it were as small as predicted by the SM. The Higgs boson mass can be determined with precision of 6.5 MeV and 14 MeV from the  $Z \rightarrow \mu^+\mu^-$  and  $Z \rightarrow e^+e^-$  decay modes, respectively. In combination, an uncertainty of 5.9 MeV can

322 be achieved.  $e^+e^- \rightarrow Z + X \rightarrow q\bar{q} + X$  events contribute little to the precision of the  $m_H$   
 323 measurement due to the poor  $Z \rightarrow q\bar{q}$  mass resolution, but dominates the precision of the  
 324  $e^+e^- \rightarrow ZH$  cross section measurement benefiting from its large statistics. A relative preci-  
 325 sion of 0.65% of  $\sigma(ZH)$  is predicted from a simple event counting analysis. In comparison,  
 326 the corresponding precision from the  $Z \rightarrow e^+e^-$  and  $Z \rightarrow \mu^+\mu^-$  decays is estimated to be  
 327 2.1% and 0.9%, respectively. The combined precision of the three measurements is 0.5%.  
 328 Table 4.3 summarizes the expected precisions on  $m_H$  and  $\sigma(ZH)$  from a CEPC dataset of  
 329  $5 \text{ ab}^{-1}$ .

**Table 5.** Estimated measurement precision for the Higgs boson mass  $m_H$  and the  $e^+e^- \rightarrow ZH$  production cross section  $\sigma(ZH)$  from a CEPC dataset of  $5 \text{ ab}^{-1}$ .

$Z$ decay mode	$\Delta m_H$ (MeV)	$\Delta\sigma(ZH)/\sigma(ZH)$
$e^+e^-$	14	2.1%
$\mu^+\mu^-$	6.5	0.9%
$q\bar{q}$	—	0.65%
Combined	5.9	0.50%

## 5 Analyses of Individual Decay Modes

Different decay modes of the Higgs boson can be identified through their unique signatures, leading to the measurements of production rates for these decays. For the  $e^+e^- \rightarrow ZH$  production process in particular, the candidate events can be tagged from the visible decays of the  $Z$  bosons, the Higgs boson decays can then be probed by studying the rest of the events. These measurements combined with the inclusive  $\sigma(ZH)$  measurement discussed in Section 4 will permit the extraction of the Higgs boson decay branching ratios in a model-independent way.

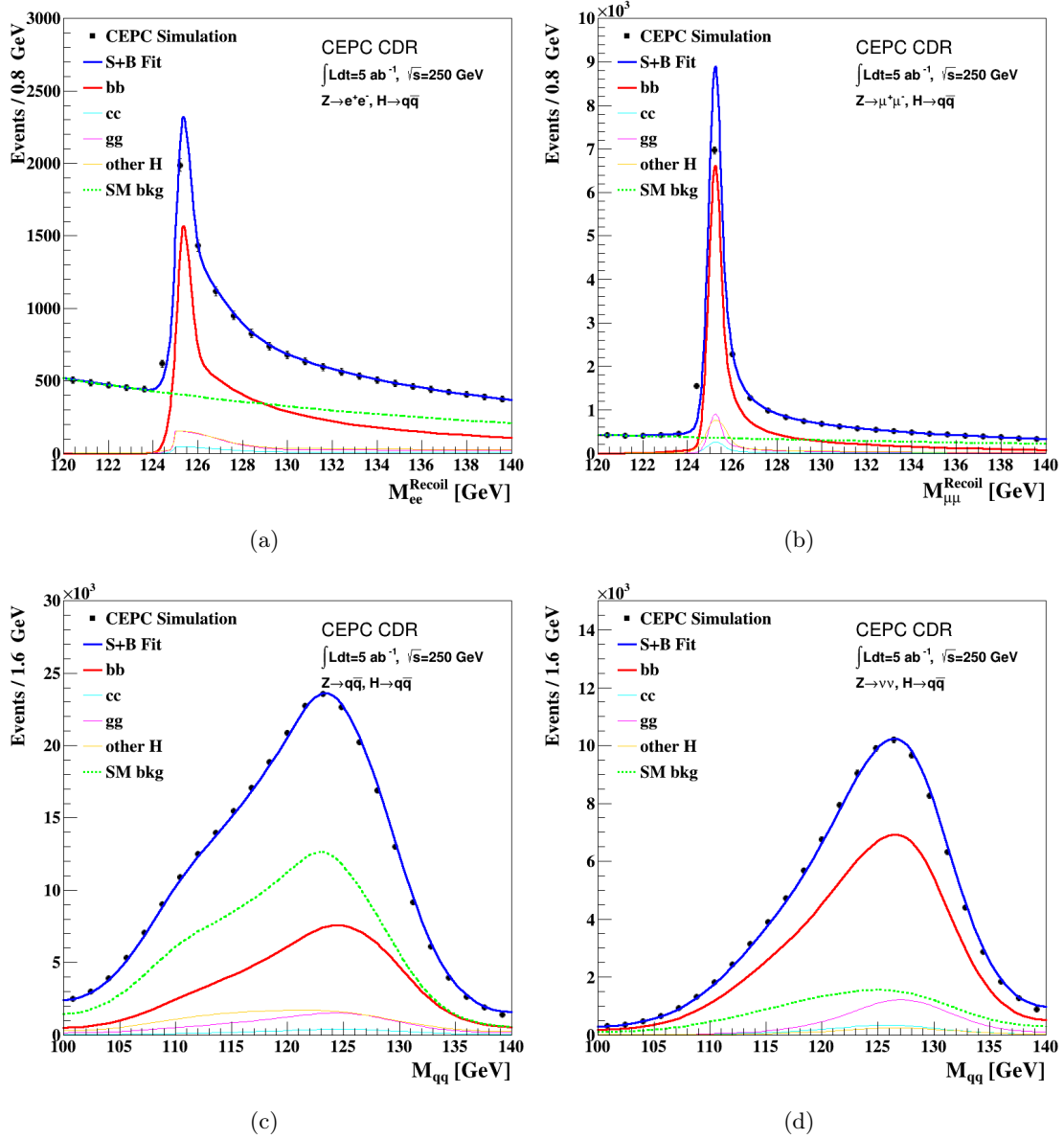
In this section, the results of the current CEPC simulation studies of many different Higgs boson decay modes are summarized. The studies are based on the CEPC-v1 detector concept and  $e^+e^-$  collisions at  $\sqrt{s} = 250$  GeV. The expected relative precision from a CEPC dataset of  $5 \text{ ab}^{-1}$  on the product of the  $ZH$  cross section and the Higgs boson decay branching ratio,  $\sigma(ZH) \times \text{BR}$ , are presented. Detailed discussions of individual analyses are beyond the scope of this paper and therefore only their main features are presented. For the study of a specific Higgs boson decay mode, the other decay modes of the Higgs boson often contribute as well. These contributions are fixed to their SM expectations and are included as backgrounds unless otherwise noted. However for the combination of all decay modes studied, they are allowed to vary within the constraints of the measurements of those decays, see Section 6.

In addition to the invariant and recoil mass, two other mass observables, visible mass and missing mass, are often used in analyses described below. They are defined, respectively, as the invariant mass and recoil mass of all visible particles such as charged leptons, photons and jets, *i.e.* practically all particles other than neutrinos.

### 5.1 $H \rightarrow b\bar{b}, c\bar{c}, gg$

For a SM Higgs boson with a mass of 125 GeV, nearly 70% of all Higgs bosons decay into a pair of jets:  $b$ -quarks (57.7%),  $c$ -quarks (2.9%) and gluons (8.6%). While the  $H \rightarrow b\bar{b}$  decay has been observed at the LHC [?], the  $H \rightarrow c\bar{c}$  and  $H \rightarrow gg$  decays are difficult, if not impossible, to be identified there due to large backgrounds. In comparison, all these three decays can be isolated and studied at the CEPC. The  $H \rightarrow c\bar{c}$  decay is likely the only process for studying Higgs boson coupling to the second-generation quarks at collider experiments. The identifications of  $H \rightarrow b\bar{b}$ ,  $c\bar{c}$  and  $gg$  decays pose critical challenges to the CEPC detector performance, particularly its ability to tag  $b$ - and  $c$ -quark jets from light-flavored jets ( $u, d, s, g$ ). Thus they are good benchmarks for the design and optimization of the jet flavor tagging performance of the CEPC detector.

Studies are performed in details for  $e^+e^- \rightarrow ZH$  production with the leptonic decays of the  $Z$  bosons. The contribution from the  $Z$ -fusion process of  $e^+e^- \rightarrow e^+e^-H$  is included in the  $e^+e^- \rightarrow ZH \rightarrow e^+e^-H$  study. The analysis is based on full simulation for the Higgs boson signal samples and fast simulation for the  $\ell^+\ell^-q\bar{q}$  background samples. After selecting two leading leptons with opposite charge, the rest of the reconstructed particles are clustered into two jets to form a hadronically decaying Higgs boson candidate, whose invariant mass is required to be between 75 GeV and 150 GeV. The dilepton invariant mass



**Figure 12.**  $ZH$  production with  $H \rightarrow b\bar{b}$ ,  $c\bar{c}$  and  $gg$  decays: the recoil mass distributions of (a)  $Z \rightarrow e^+e^-$  and (b)  $Z \rightarrow \mu^+\mu^-$ ; the dijet mass distributions of Higgs boson candidates for (c)  $Z \rightarrow q\bar{q}$  and (d)  $Z \rightarrow \nu\bar{\nu}$ . The markers and their uncertainties represent expectations from a CEPC dataset of  $5 \text{ ab}^{-1}$  whereas the solid blue curves are the fit results. The dashed curves are the signal and background components.

is required to be within  $70 - 110 \text{ GeV}$  for the  $e^+e^-$  channel and  $81 - 101 \text{ GeV}$  for the  $\mu^+\mu^-$  channel. Moreover, the dilepton system must have its transverse momentum in the range  $10 - 90 \text{ GeV}$  and its recoil mass between  $120 \text{ GeV}$  and  $150 \text{ GeV}$ . In addition, a requirement on the polar angle of the Higgs boson candidate,  $|\cos \theta_H| < 0.8$ , is applied.

In order to identify the flavors of the two jets of the Higgs boson candidate, variables  $L_B$

and  $L_C$  are constructed using information such as those from LCFIPlus jet flavor tagging algorithm. The values of  $L_B$  ( $L_C$ ) are close to one if both jets are originated from  $b$  ( $c$ ) quarks and are close to zero if both have light-quark or gluon origins. An unbinned maximum likelihood fit to the  $M_{\text{recoil}}$ ,  $L_B$  and  $L_C$  distributions of candidate events is used to extract the individual signal yields of the  $H \rightarrow b\bar{b}$ ,  $H \rightarrow c\bar{c}$  and  $H \rightarrow gg$  decay modes. The total probability density function (PDF) is the sum of signal and background components. For signals, their  $M_{\text{recoil}}$  PDFs are modeled by Crystal Ball functions [35] with small exponential tails. The background PDF is taken as a sum of two components: a background from Higgs boson decays to other final states such as  $WW$  and  $ZZ$ , and a combinatorial background from other sources, dominated by the  $e^+e^- \rightarrow ZZ \rightarrow \ell\ell q\bar{q}$  production. The background from other Higgs boson decay channels has the same  $M_{\text{recoil}}$  PDF as the signals. The  $M_{\text{recoil}}$  distribution of the combinatorial background is modeled by a second order polynomial. The PDFs of the signal  $L_B$  and  $L_C$  distributions are described by two dimensional histograms, taken from the MC simulated events. The  $L_B$  and  $L_C$  distributions of both background components are modeled by 2-dimensional histogram PDFs based on the MC simulation. The dilepton recoil mass distributions of the simulated data and the fit results are shown in Fig. 5.1 (a,b). The estimated relative statistical precision of the measurements of  $\sigma(ZH) \times \text{BR}(H \rightarrow b\bar{b}, c\bar{c}, gg)$  are listed in Table 5.1.

Table 5.1 also includes the results of the  $Z \rightarrow \nu\bar{\nu}$  and  $Z \rightarrow q\bar{q}$  decays. For the  $Z \rightarrow q\bar{q}$  final state, events are clustered into four jets and the mass information of jet pairs are used to select the Higgs and  $Z$  boson candidates. In addition to  $ZZ$ ,  $WW$  is also a major background for this analysis, particularly for the  $H \rightarrow c\bar{c}$  and  $H \rightarrow gg$  decays. As for the  $Z \rightarrow \nu\bar{\nu}$  final state, events are clustered into two jets are to form the Higgs boson candidate, the invisibly decaying  $Z$  boson is inferred from the missing mass of the event. Fits similar to the one used in the analysis of the  $Z \rightarrow \ell^+\ell^-$  channel is subsequently performed to statistically separate the  $H \rightarrow b\bar{b}$ ,  $c\bar{c}$  and  $gg$  decay components. The simulated data and the fitted dijet mass distributions of the Higgs boson candidates are shown in Fig. 5.1 (c,d) for  $Z \rightarrow q\bar{q}$  and  $Z \rightarrow \nu\bar{\nu}$ .

Combining all  $Z$  boson decay modes studied, a relative statistical precision for  $\sigma(ZH) \times \text{BR}$  of 0.3%, 3.3% and 1.3% can be achieved for the  $H \rightarrow b\bar{b}$ ,  $c\bar{c}$  and  $gg$  decays, respectively.

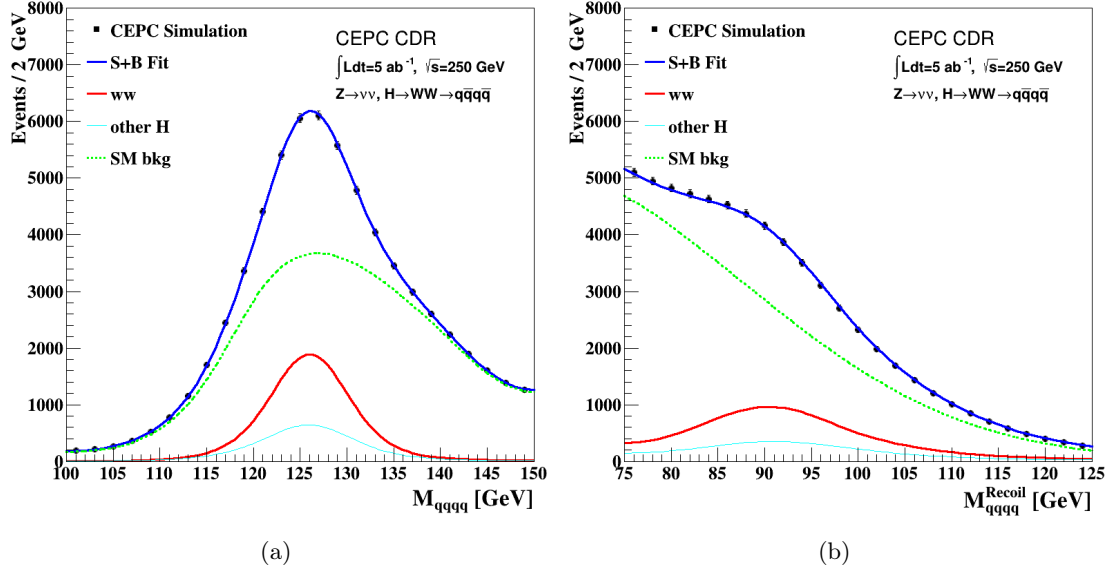
**Table 6.** Expected relative precision on  $\sigma(ZH) \times \text{BR}$  for the  $H \rightarrow b\bar{b}$ ,  $c\bar{c}$  and  $gg$  decays from a CEPC dataset of  $5 \text{ ab}^{-1}$ .

$Z$ decay mode	$H \rightarrow b\bar{b}$	$H \rightarrow c\bar{c}$	$H \rightarrow gg$
$Z \rightarrow e^+e^-$	1.3%	11.8%	6.2%
$Z \rightarrow \mu^+\mu^-$	1.0%	9.4%	4.9%
$Z \rightarrow q\bar{q}$	0.5%	11.7%	3.7%
$Z \rightarrow \nu\bar{\nu}$	0.4%	3.9%	1.5%
Combined	0.3%	3.3%	1.3%

## 5.2 $H \rightarrow WW^*$

For a 125 GeV SM Higgs boson, the  $H \rightarrow WW^*$  decay has the second largest branching ratio at 21.5% [36]. The sensitivity of the  $\sigma(ZH) \times \text{BR}(H \rightarrow WW^*)$  measurement is estimated by combining results from the studies of a few selected final states (Table 5.2) of the  $H \rightarrow WW^*$  decay of  $ZH$  production. SM diboson production is the main background source in all cases.

For  $Z \rightarrow \ell^+\ell^-$ , the  $H \rightarrow WW^*$  decay final states studied are  $\ell\nu\ell'\nu$  and  $\ell\nu q\bar{q}$ . The  $ZH$  candidate events are selected by requiring the dilepton invariant mass in the range of 80–100 GeV and their recoil mass in 120–150 GeV. For  $Z \rightarrow \nu\bar{\nu}$ , the  $\ell\nu q\bar{q}$  and  $q\bar{q}q\bar{q}$  final states are considered for the  $H \rightarrow WW^*$  decay. The presence of neutrinos results in events with large missing mass, which is required to be in the range of 75–140 (75–150) GeV for the  $\ell\nu q\bar{q}$  ( $q\bar{q}q\bar{q}$ ) final state. The total visible mass of the event must be in the range of 100–150 GeV for both  $\ell\nu q\bar{q}$  and  $q\bar{q}q\bar{q}$  final states. In addition, the total transverse momentum of the visible particles must be in the range of 20–80 GeV. Additional requirements are applied to improve the signal-background separations. For  $Z \rightarrow q\bar{q}$ , the  $H \rightarrow WW^* \rightarrow q\bar{q}q\bar{q}$  decay is studied. Candidate events are reconstructed into 6 jets. Jets from  $Z \rightarrow q\bar{q}$ ,  $W \rightarrow q\bar{q}$  and  $H \rightarrow WW^* \rightarrow q\bar{q}q\bar{q}$  decays are selected by minimizing the  $\chi^2$  of their mass differences to the masses of  $Z$ ,  $W$  and  $H$  boson. Figure 5.2 shows the visible and missing mass distributions after the selection of the  $Z \rightarrow \nu\bar{\nu}$  and  $H \rightarrow WW^* \rightarrow q\bar{q}q\bar{q}$  final state.



**Figure 13.**  $ZH$  production with  $Z \rightarrow \nu\bar{\nu}$  and  $H \rightarrow WW^* \rightarrow q\bar{q}q\bar{q}$ : distributions of (a) the visible mass and (b) the missing mass of selected events. The markers and their uncertainties represent expectations from a CEPC dataset of  $5 \text{ ab}^{-1}$  whereas the solid blue curves are the fit results. The dashed curves are the signal and background components.

The relative precision on  $\sigma(ZH) \times \text{BR}(H \rightarrow WW^*)$  from the decay final states studied are summarized in Table 5.2. The combination of these decay final states leads to a precision

of 1.0%. This is likely a conservative estimate of the precision as many of the final states of the  $H \rightarrow WW^*$  decay remain to be explored. Including these missing final states will no doubt improve the precision.

**Table 7.** Expected relative precision on the  $\sigma(ZH) \times \text{BR}(H \rightarrow WW^*)$  measurement from a CEPC dataset of  $5 \text{ ab}^{-1}$ .

$ZH$ final state		Precision
$Z \rightarrow e^+e^-$	$H \rightarrow WW^* \rightarrow \ell\nu\ell'\nu, \ell\nu q\bar{q}$	2.8%
$Z \rightarrow \mu^+\mu^-$	$H \rightarrow WW^* \rightarrow \ell\nu\ell'\nu, \ell\nu q\bar{q}$	2.6%
$Z \rightarrow \nu\bar{\nu}$	$H \rightarrow WW^* \rightarrow \ell\nu q\bar{q}, q\bar{q}q\bar{q}$	1.7%
$Z \rightarrow q\bar{q}$	$H \rightarrow WW^* \rightarrow q\bar{q}q\bar{q}$	1.8%
Combined		1.0%

### 5.3 $H \rightarrow ZZ^*$

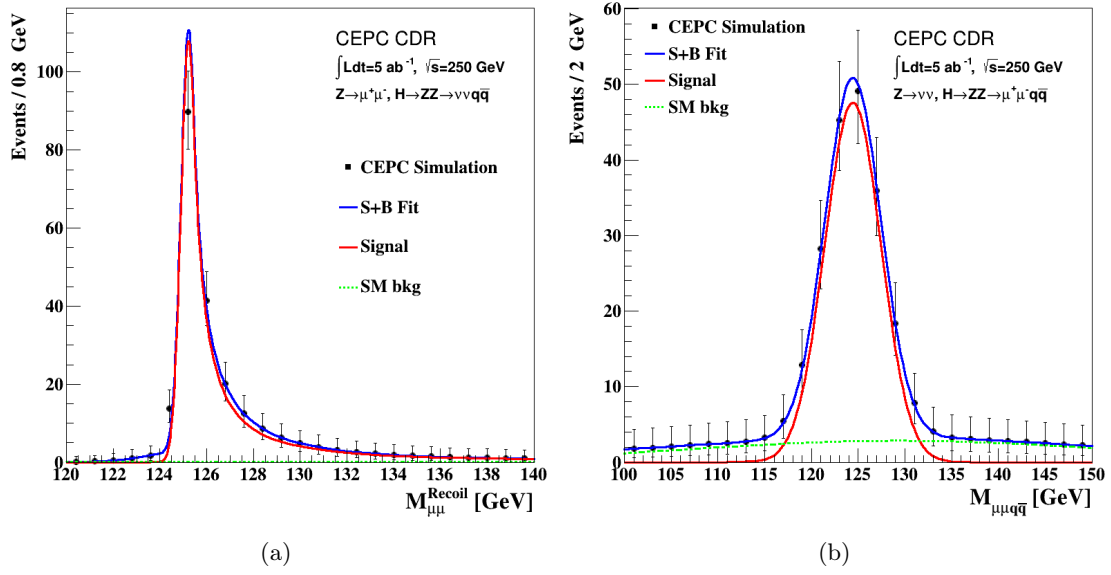
The  $H \rightarrow ZZ^*$  decay has a branching ratio 2.64% [36] for a 125 GeV Higgs boson in the SM. Events from  $e^+e^- \rightarrow ZH$  production with the  $H \rightarrow ZZ^*$  decay have three  $Z$  bosons in their final states with one of them being off-shell.  $Z$  bosons can decay to all lepton and quark flavors, with the exception of the top quark. Consequently, the  $e^+e^- \rightarrow ZH \rightarrow ZZZ^*$  process has a very rich variety of topologies.

Studies are performed for a few selected  $ZH$  final states:  $Z \rightarrow \mu^+\mu^-$  and  $H \rightarrow ZZ^* \rightarrow \nu\bar{\nu}q\bar{q}$ ;  $Z \rightarrow \nu\bar{\nu}$  and  $H \rightarrow ZZ^* \rightarrow \ell^+\ell^-q\bar{q}$ . The  $W$  and  $Z$  boson fusion processes,  $e^+e^- \rightarrow e^+e^-H$  and  $e^+e^- \rightarrow \nu\bar{\nu}H$ , are included in the  $Z(e^+e^-)H$  and  $Z(\nu\bar{\nu})H$  studies assuming their SM values for the rates. For the final states studied, the SM  $ZZ$  production is the main background.

For  $Z \rightarrow \mu^+\mu^-$  and  $H \rightarrow ZZ^* \rightarrow \nu\bar{\nu}q\bar{q}$ , the muon pairs must have their invariant masses between 80–100 GeV, recoil masses between 120–160 GeV and transverse momenta larger than 10 GeV. The jet pairs are required to have their invariant masses in the range of 10–38 GeV. Figure 5.3 (a) shows the recoil mass distribution of  $Z \rightarrow \mu^+\mu^-$  after the selection. The background is negligible in this final state.

The candidates of  $Z \rightarrow \nu\bar{\nu}$  and  $H \rightarrow ZZ^* \rightarrow \ell^+\ell^-q\bar{q}$  are selected by requiring a same-flavor lepton pair and two jets. The total visible energy must be smaller than 180 GeV and the missing mass in the range of 58–138 GeV. Additional requirements are applied on the mass and transverse momenta of the lepton and jet pairs. After the selection, the background is about an order of magnitude smaller than the signal as shown in Fig. 5.3(b).

Table 5.3 summarizes the expected precision on  $\sigma(ZH) \times \text{BR}(H \rightarrow ZZ^*)$  from the final states considered. The combination of these final states results in a precision of about 5.1%. The sensitivity can be significantly improved considering that many final states are not included in the current study. In particular, the final state of  $Z \rightarrow q\bar{q}$  and  $H \rightarrow ZZ^* \rightarrow q\bar{q}q\bar{q}$  which represents a third of all  $ZH \rightarrow ZZZ^*$  decay is not studied. Moreover, gain can also be made using multivariate techniques.



**Figure 14.**  $ZH$  production with  $H \rightarrow ZZ^*$ : a) the recoil mass distribution of the  $\mu^+\mu^-$  system for  $Z \rightarrow \mu^+\mu^-$ ,  $H \rightarrow ZZ^* \rightarrow \nu\bar{\nu}q\bar{q}$ ; b) the invariant mass distribution of the  $\mu^+\mu^-q\bar{q}$  system for  $Z \rightarrow \nu\bar{\nu}$ ,  $H \rightarrow ZZ^* \rightarrow \mu^+\mu^-q\bar{q}$ . The markers and their uncertainties represent expectations from a CEPC dataset of  $5 \text{ ab}^{-1}$  whereas the solid blue curves are the fit results. The dashed curves are the signal and background components.

**Table 8.** Expected relative precision for the  $\sigma(ZH) \times \text{BR}(H \rightarrow ZZ^*)$  measurement with an integrated luminosity  $5 \text{ ab}^{-1}$ .

$ZH$ final state		Precision
$Z \rightarrow \mu^+\mu^-$	$H \rightarrow ZZ^* \rightarrow \nu\bar{\nu}q\bar{q}$	7.3%
$Z \rightarrow \nu\bar{\nu}$	$H \rightarrow ZZ^* \rightarrow \ell^+\ell^-q\bar{q}$	8.0%
Combined		5.1%

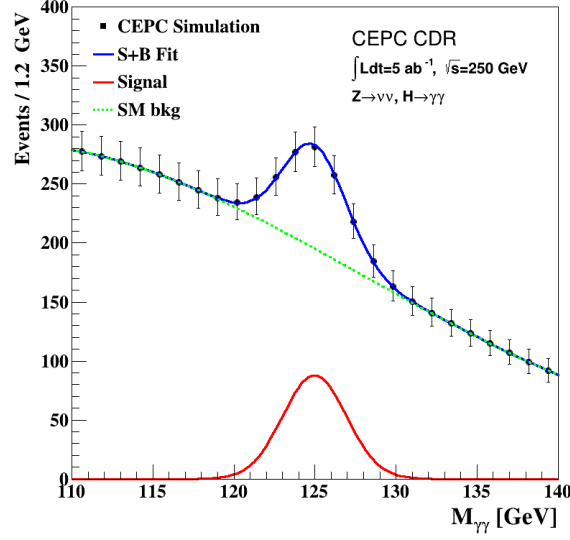
#### 5.4 $H \rightarrow \gamma\gamma$

The diphoton decay of a 125 GeV Higgs boson has a small branching ratio of 0.23% in the SM due to its origin involving massive  $W$  boson and top quark in loops. However photons can be identified and measured well, thus the decay can be fully reconstructed with a good precision. The decay also serves as a good benchmark for the performance of the electromagnetic calorimeter.

Studies are performed for the  $ZH$  production with  $H \rightarrow \gamma\gamma$  and four different  $Z$  boson decay modes:  $Z \rightarrow \mu^+\mu^-$ ,  $\tau^+\tau^-$ ,  $\nu\bar{\nu}$  and  $q\bar{q}$ . The  $Z \rightarrow e^+e^-$  decay is not considered because of the expected large background from the Bhabha process. The studies are based on the full detector simulation for the  $Z \rightarrow q\bar{q}$  decay channel and the fast simulation for the rest. Photon candidates are required to have energies greater than 25 GeV and polar

468 angles of  $|\cos\theta| < 0.9$ . The photon pair with the highest invariant mass is retained as the  
 469  $H \rightarrow \gamma\gamma$  candidate and its recoil mass of must be consistent with the  $Z$  boson mass. For the  
 470  $Z \rightarrow \mu^+\mu^-$  and  $Z \rightarrow \tau^+\tau^-$  decays, a minimal angle of  $8^\circ$  between any selected photon and  
 471 lepton is required to suppress backgrounds from final state radiations. After the selection,  
 472 the main SM background is the  $e^+e^- \rightarrow (Z/\gamma^*)\gamma\gamma$  process where the  $\gamma$ 's arise from the  
 473 initial or final state radiations.

474 The diphoton mass is used as the final discriminant for the separation of signal and  
 475 backgrounds. The distribution for the  $Z \rightarrow \nu\bar{\nu}$  decay mode is shown in Figure 5.4. A  
 476 relative precision of 7.4% on  $\sigma(ZH) \times \text{BR}(H \rightarrow \gamma\gamma)$  can be achieved.



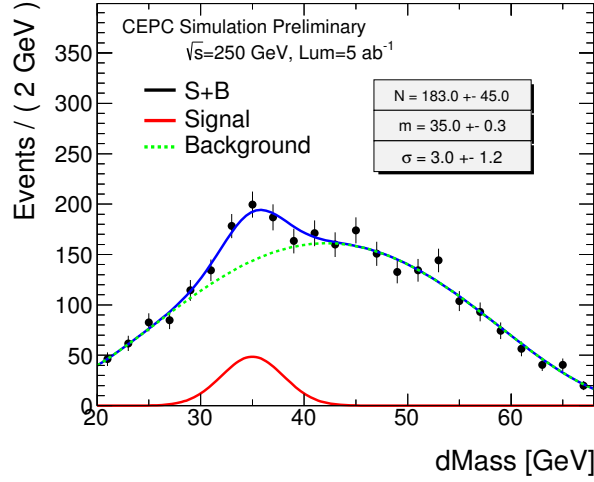
**Figure 15.**  $ZH$  production with  $H \rightarrow \gamma\gamma$ : the diphoton invariant mass distribution for the  $Z \rightarrow \nu\bar{\nu}$  decay. The markers and their uncertainties represent expectations from a CEPC dataset of  $5 \text{ ab}^{-1}$  whereas the solid blue curve is the fit result. The dashed curves are the signal and background components.

## 477 5.5 $H \rightarrow Z\gamma$

478 Similar to the  $H \rightarrow \gamma\gamma$  decay, the  $H \rightarrow Z\gamma$  decay in the SM is mediated by  $W$  boson and  
 479 top quark in loops and has a branching ratio of 0.154%. The  $H \rightarrow Z\gamma$  analysis targets the  
 480 signal process of  $ZH \rightarrow ZZ\gamma \rightarrow \nu\bar{\nu}q\bar{q}\gamma$ , in which one of the  $Z$  bosons decays into a pair of  
 481 quarks and the other decays into a pair of neutrinos.

482 The candidate events are selected by requiring exactly one photon with transverse  
 483 energy between 20–50 GeV and at least two jets, each with transverse energy greater than  
 484 10 GeV. The dijet invariant mass and the event missing mass must be within windows of  
 485  $\pm 12 \text{ GeV}$  and  $\pm 15 \text{ GeV}$  of the  $Z$  boson mass, respectively. Additional requirements are  
 486 applied on the numbers of tracks and calorimeter clusters as well as on the transverse and  
 487 longitudinal momenta of the  $Z$  boson candidates. The backgrounds are dominated by the  
 488 processes of single boson, diboson,  $q\bar{q}$ , and BhaBha production.

After the event selection, the photon is paired with each of the two  $Z$  boson candidates to form Higgs boson candidates and the mass differences,  $d\text{Mass} = M_{q\bar{q}\gamma} - M_{q\bar{q}}$  and  $d\text{Mass} = M_{\nu\bar{\nu}\gamma} - M_{\nu\bar{\nu}}$ , are calculated. Here the energy and momentum of the  $\nu\bar{\nu}$  system are taken to be the missing energy and momentum of the event. For signal events, one of the mass differences is expected to populate around  $M_H - M_Z \sim 35$  GeV whereas the other should be part of the continuum background. Figure 5.5 shows the  $d\text{Mass}$  distribution expected from an integrated luminosity of  $5 \text{ ab}^{-1}$ . Modeling the signal distribution of the correct pairing with a Gaussian and the background (including wrong-pairing contribution of signal events) with a polynomial, a likelihood fit results a statistical significance of  $4\sigma$  for the signal, corresponding to a relative precision of 21% on  $\sigma(ZH) \times \text{BR}(H \rightarrow Z\gamma)$ .



**Figure 16.** The distribution of the mass differences of  $M_{q\bar{q}\gamma} - M_{q\bar{q}}$  and  $M_{\nu\bar{\nu}\gamma} - M_{\nu\bar{\nu}}$  of the selected  $e^+e^- \rightarrow ZH \rightarrow ZZ\gamma \rightarrow \nu\bar{\nu}q\bar{q}\gamma$  candidates expected from an integrated luminosity of  $5 \text{ ab}^{-1}$ . The signal distribution shown is for the correct pairings of the Higgs boson decays. Kaili to get the histograms from Weiming for consistent plot style, change dMass to  $\Delta M$  if possible....

This analysis can be improved with additional optimizations and using multivariate techniques. Other decay modes such as  $ZH \rightarrow ZZ\gamma \rightarrow q\bar{q}q\bar{q}\gamma$  should further improve the precision on the  $\sigma(ZH) \times \text{BR}(H \rightarrow Z\gamma)$  measurement.

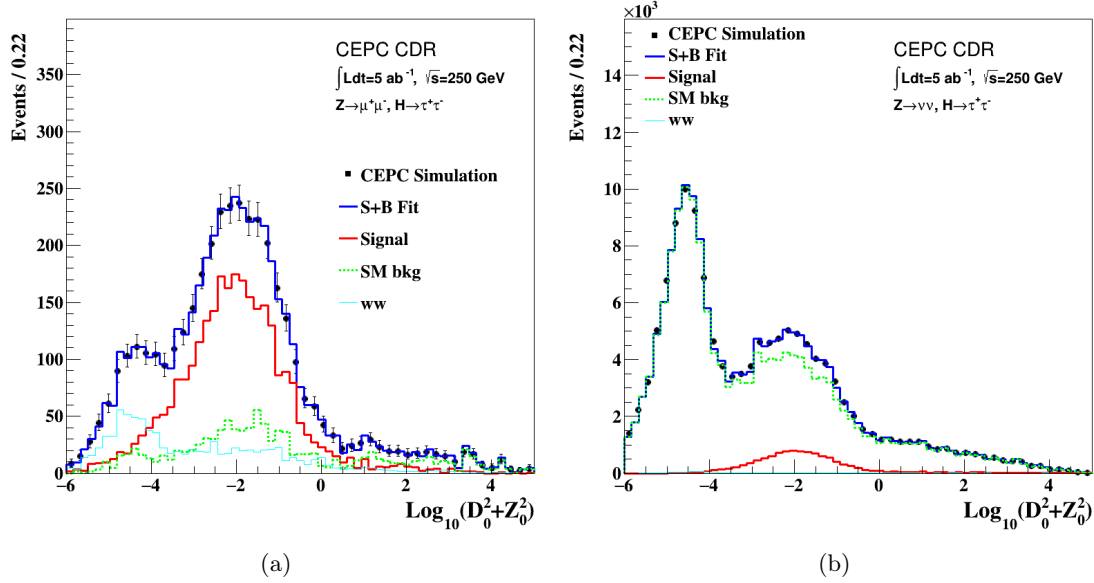
## 5.6 $H \rightarrow \tau^+\tau^-$

The  $H \rightarrow \tau^+\tau^-$  decay has a branching ratio of 6.32% at  $m_H = 125$  GeV in the SM. The  $\tau$ -lepton is short-lived and decays to one or three charged pions along with a number of neutral pions. The charged and neutral pions, as well as the two photons from the decay of the latter, can be well resolved and measured by the CEPC detector.

Simulation studies are performed for  $e^+e^- \rightarrow ZH$  production with  $H \rightarrow \tau^+\tau^-$  and  $Z \rightarrow \mu^+\mu^-, \nu\bar{\nu}$  and  $q\bar{q}$  decays. For  $Z \rightarrow \mu^+\mu^-$ , candidates are first required to have a pair of oppositely charged muons with their invariant mass between 40–180 GeV and their recoil mass between 110–180 GeV. For  $Z \rightarrow \nu\bar{\nu}$ , candidates are preselected by requiring a

missing mass in the range of 65–225 GeV, a visible mass greater than 50 GeV and an event visible transverse momentum between 10–100 GeV. For both decays, a BDT selection is applied after the preselection to identify di-tau candidates. The BDT utilizes information such as numbers of tracks and photons and the angles between them. After these selections, the  $ZH$  production with the non-tau decays of the Higgs boson is the dominant ( $>95\%$ ) background for  $Z \rightarrow \mu^+\mu^-$  and contributes to approximately 40% of the total background for  $Z \rightarrow \nu\bar{\nu}$ . The rest of the background in the  $Z \rightarrow \nu\bar{\nu}$  channel comes from diboson production. For  $Z \rightarrow q\bar{q}$ , candidates are required to have a pair of tau candidates with their invariant mass between 20–120 GeV, a pair of jets with their mass between 70–110 GeV and their recoil mass between 100–170 GeV. The main background is again from  $ZH$  production originating from the decay modes other than the intended  $ZH \rightarrow q\bar{q}\tau^+\tau^-$  decay. The rest of the background is primarily from  $ZZ$  production.

The final signal yields are extracted from fits to the distributions of variables based on the impact parameters of the leading tracks of the two tau candidates as shown in Fig. 17. Table 5.6 summarizes the estimated precision on  $\sigma(ZH) \times \text{BR}(H \rightarrow \tau^+\tau^-)$  expected from a CEPC dataset of  $5 \text{ fb}^{-1}$  for the three  $Z$  boson decay modes studied. The precision from the  $Z \rightarrow e^+e^-$  decay mode extrapolated from the  $Z \rightarrow \mu^+\mu^-$  study is also included. The  $e^+e^- \rightarrow e^+e^-H$  contribution from the  $Z$  fusion process is fixed to its SM value in the extrapolation. In combination, the relative precision of 0.79% is expected for  $\sigma(ZH) \times \text{BR}(H \rightarrow \tau^+\tau^-)$ .



**Figure 17.** Distributions of the impact parameter variable of the leading tracks from the two tau candidates in the  $Z$  decay mode: (a)  $Z \rightarrow \mu^+\mu^-$  and (b)  $Z \rightarrow \nu\bar{\nu}$ . “ww” should be “ $H \rightarrow WW^*$ ”? Combined with SM background? Move legends of the left plot...

The  $ZH$  production with  $Z \rightarrow \ell^+\ell^-$ ,  $q\bar{q}$  and  $H \rightarrow \tau^+\tau^-$  can also be used to extract the CP property of the Higgs boson [37]. Using the three tau decay modes with the largest

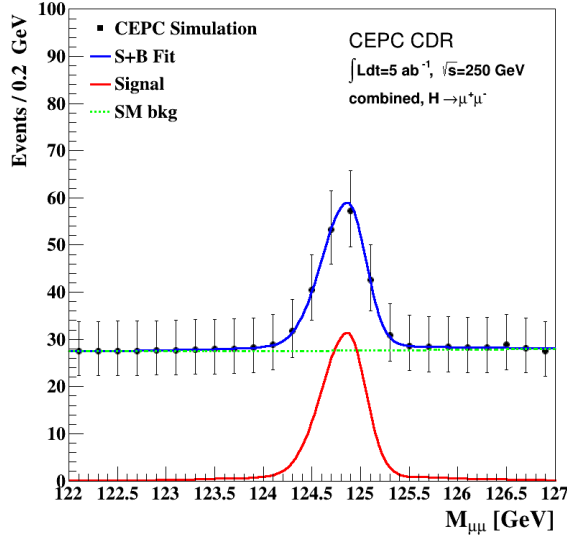
**Table 9.** Expected relative precision for the  $\sigma(ZH) \times \text{BR}(H \rightarrow \tau^+\tau^-)$  measurement from a CEPC dataset of  $5 \text{ ab}^{-1}$ .

$ZH$ final state		Precision
$Z \rightarrow \mu^+\mu^-$	$H \rightarrow \tau^+\tau^-$	2.3%
$Z \rightarrow e^+e^-$	$H \rightarrow \tau^+\tau^-$	2.7%
$Z \rightarrow \nu\bar{\nu}$	$H \rightarrow \tau^+\tau^-$	3.1%
$Z \rightarrow q\bar{q}$	$H \rightarrow \tau^+\tau^-$	0.9%
Combined		0.79%

branching ratios ( $\pi^\pm\nu$ ,  $\pi^\pm\pi^0\nu$  and  $\ell\nu\nu$ ), the neutrinos from the tau decay are reconstructed from the mass, energy and impact parameter constraints. A matrix element based method is employed to extract the value of the CP mixing angle between the even and odd components of the  $H\tau\tau$  coupling. It is estimated that with  $5 \text{ ab}^{-1}$  of the CEPC data, a precision of  $2.9^\circ$  can be achieved for this angle, which can shed light on the potential BSM physics.

### 5.7 $H \rightarrow \mu^+\mu^-$

The dimuon decay of the Higgs boson,  $H \rightarrow \mu^+\mu^-$ , is sensitive to the Higgs boson coupling to the second-generation fermions with a clean final-state signature. In the SM, the branching ratio of the decay is  $2.18 \times 10^{-4}$  [36] for  $m_H = 125 \text{ GeV}$ .



**Figure 18.**  $ZH$  production with the  $H \rightarrow \mu^+\mu^-$  decay: dimuon invariant mass distribution of the selected  $H \rightarrow \mu^+\mu^-$  candidates expected from an integrated luminosity of  $5 \text{ ab}^{-1}$  at the CEPC. The distribution combines contributions from  $Z \rightarrow \ell^+\ell^-$ ,  $Z \rightarrow \nu\bar{\nu}$ , and  $Z \rightarrow q\bar{q}$  decays. The markers and their uncertainties represent expectatins from a CEPC dataset of  $5 \text{ ab}^{-1}$  whereas the solid curve is the fit results. The dashed curves are the signal and background components.

542 To estimate CEPC's sensitivity for the  $H \rightarrow \mu^+\mu^-$  decay, studies are performed for  
543 the  $ZH$  production with the  $Z$  decay modes:  $Z \rightarrow \ell^+\ell^-$ ,  $Z \rightarrow \nu\bar{\nu}$ , and  $Z \rightarrow q\bar{q}$ . In all  
544 cases, the SM production of  $ZZ$  is the dominant background source. Candidate events  
545 are selected by requiring a pair of muons with its mass between 120–130 GeV and their  
546 recoiling mass consistent with the  $Z$  boson mass (in the approximate range of 90–93 GeV,  
547 depending on the decay mode). Additional requirements are applied to identify specific  $Z$   
548 boson decay modes. For  $Z \rightarrow \ell^+\ell^-$ , candidate events must have another lepton pair with  
549 its mass consistent with  $m_Z$ . In the case of  $Z \rightarrow \mu^+\mu^-$ , the muon pairs of the  $Z \rightarrow \mu^+\mu^-$   
550 and  $H \rightarrow \mu^+\mu^-$  decays are selected by minimizing a  $\chi^2$  based on their mass differences  
551 with  $m_Z$  and  $m_H$ . For the  $Z \rightarrow \nu\bar{\nu}$  decay, a requirement on the missing energy is applied.  
552 For the  $Z \rightarrow q\bar{q}$  decay, candidate events must have two jets with their mass consistent with  
553  $m_Z$ . To further reduce the  $ZZ$  background, differences between the signal and background  
554 in kinematic variables, such as the polar angle, transverse momentum and energy of the  
555 candidate  $H \rightarrow \mu^+\mu^-$  muon pair, are exploited. Simple criteria on these variables are  
556 applied for the  $Z \rightarrow \ell^+\ell^-$  and  $Z \rightarrow \nu\bar{\nu}$  decay mode whereas a BDT is used for the  $Z \rightarrow q\bar{q}$   
557 decay.

558 In all analyses, the signal is extracted through unbinned likelihood fits to the  $M_{\mu^+\mu^-}$   
559 distributions in the range of 120–130 GeV with a signal-plus-background model. Analyt-  
560 ical functions are used model both the signal and background distributions. The signal  
561 model is a Crystal Ball function while the background model is described by a second-order  
562 Chebyshev polynomial. The dimuon mass distribution combining all  $Z$  boson decay modes  
563 studied is shown in Fig. 5.7 with the result of the signal-plus-background fit overlaid. The  
564 combined relative precision on the  $\sigma(ZH) \times \text{BR}(H \rightarrow \mu^+\mu^-)$  measurement is estimated to  
565 be about 15.9% for 5  $\text{ab}^{-1}$  integrated luminosity.

## 566 5.8 Invisible decay: $H \rightarrow \text{inv}$

567 In the SM, the Higgs boson can decay invisibly via  $H \rightarrow ZZ^* \rightarrow \nu\bar{\nu}\nu\bar{\nu}$ . For a Higgs boson  
568 mass of 125 GeV, this decay has a branching ratio of  $1.06 \times 10^{-3}$ . In many extensions to  
569 the SM, the Higgs boson can decay directly to invisible particles [38–41]. In this case, the  
570 branching ratio can be significantly enhanced.

571 The sensitivity of the  $\text{BR}(H \rightarrow \text{inv})$  measurement is studied for the  $Z \rightarrow \ell^+\ell^-$  and  
572  $Z \rightarrow q\bar{q}$  decay modes. The  $H \rightarrow ZZ^* \rightarrow \nu\bar{\nu}\nu\bar{\nu}$  decay is used to model the  $H \rightarrow \text{inv}$   
573 decay in both the SM and its extensions. This is made possible by the fact that the Higgs  
574 boson is narrow scalar so that the production and the decay are factorized. The main  
575 background is SM  $ZZ$  production with one of the  $Z$  bosons decay invisibly and the other  
576 decays visibly. Candidate events in the  $Z \rightarrow \ell^+\ell^-$  decay mode are selected by requiring  
577 a pair of lepton with its mass between 70–100 GeV and event visible energy in the range  
578 90–120 GeV. Similarly, candidate events in  $Z \rightarrow q\bar{q}$  are selected by requiring two jets with  
579 its mass between 80–105 GeV and event visible energy in the range 90–130 GeV. Additional  
580 selections including using a BDT to exploit the kinematic differences between signal and  
581 background events are applied.

582 Table 5.8 summarizes the expected precision on the measurement of  $\sigma(ZH) \times \text{BR}(H \rightarrow$   
583  $\text{inv})$  and the 95% confidence-level (CL) upper limit on  $\text{BR}(H \rightarrow \text{inv})$  from a CEPC dataset

of  $5 \text{ ab}^{-1}$ . Subtracting the SM  $H \rightarrow ZZ^* \rightarrow \nu\bar{\nu}\nu\bar{\nu}$  contribution, a 95% CL upper limit of 0.31% on  $\text{BR}(H \rightarrow \text{inv})$  from physics beyond the SM can be obtained.

**Table 10.** Expected relative precision on  $\sigma(ZH) \times \text{BR}(H \rightarrow \text{inv})$  and 95% CL upper limit on  $\text{BR}(H \rightarrow \text{inv})$  from a CEPC dataset of  $5 \text{ ab}^{-1}$ .

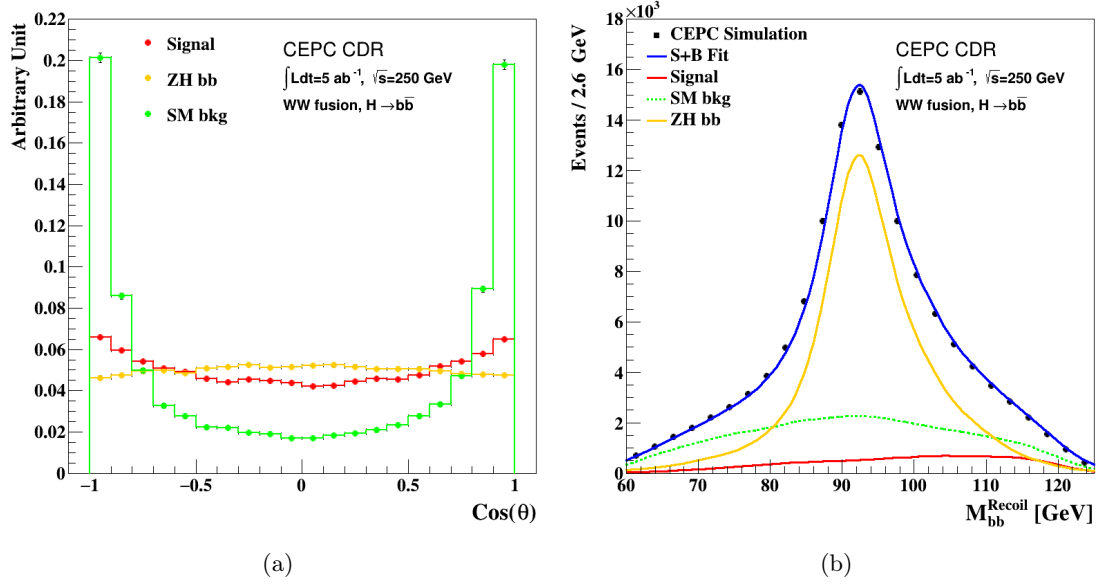
$ZH$ final state studied	Relative precision on $\sigma \times \text{BR}$	Upper limit on $\text{BR}(H \rightarrow \text{inv})$
$Z \rightarrow e^+e^- \quad H \rightarrow \text{inv}$	325%	0.84%
$Z \rightarrow \mu^+\mu^- \quad H \rightarrow \text{inv}$	229%	0.62%
$Z \rightarrow q\bar{q} \quad H \rightarrow \text{inv}$	220%	0.59%
Combined	150%	0.42%

### 5.9 Measurement of $\sigma(e^+e^- \rightarrow \nu\bar{\nu}H) \times \text{BR}(H \rightarrow b\bar{b})$

The  $W$ -fusion process,  $e^+e^- \rightarrow \nu\bar{\nu}H, (\nu\bar{\nu}H)$ , has a cross section of 3.3% of that of the  $ZH$  process at  $\sqrt{s} = 250 \text{ GeV}$ . The product of its cross section and  $\text{BR}(H \rightarrow b\bar{b})$ ,  $\sigma(\nu\bar{\nu}H) \times \text{BR}(H \rightarrow b\bar{b})$ , is a key input quantity to one of the two model-independent methods for determining the Higgs boson width at the CEPC, see Section 6. The  $e^+e^- \rightarrow \nu\bar{\nu}H \rightarrow \nu\bar{\nu}b\bar{b}$  process has the same final state as the  $e^+e^- \rightarrow ZH \rightarrow \nu\bar{\nu}b\bar{b}$  process, but has a rate that is approximately one sixth of  $e^+e^- \rightarrow ZH \rightarrow \nu\bar{\nu}b\bar{b}$  at  $\sqrt{s} = 250 \text{ GeV}$ . The main non-Higgs boson background is the SM  $ZZ$  production.

The  $Z(\nu\bar{\nu})H$  background is irreducible and can also interfere with  $\nu\bar{\nu}H$  in the case of  $Z \rightarrow \nu_e\bar{\nu}_e$ . However the interference effect is expected to be small and is therefore not taken into account in the current study. The  $\nu\bar{\nu}H$  and  $Z(\nu\bar{\nu})H$  contributions can be separated through the exploration of their kinematic differences. While the invariant mass distributions of the two  $b$ -quark jets are expected to be indistinguishable, the recoil mass distribution should exhibit a resonance structure at the  $Z$  boson mass for  $Z(\nu\bar{\nu})H$  and show a continuum spectrum for  $\nu\bar{\nu}H$ . Furthermore, Higgs bosons are produced with different polar angular distributions, see Fig. 5.9 (a).

Candidate events are selected by requiring their visible energies between 105 GeV and 155 GeV, visible masses within 100–135 GeV, and missing masses in the range of 65–135 GeV. The two  $b$ -quark jets are identified using the B-likeness variable  $L_B$  as discussed in Section 5.1. To separate  $\nu\bar{\nu}H$  and  $Z(\nu\bar{\nu})H$  contributions, a 2-dimensional fit in the plane of the recoil mass and polar angle of the  $b\bar{b}$  system is performed. The recoil mass resolution is improved through a kinematic fit by constraining the invariant mass of the two  $b$ -jets within its resolution to that of the Higgs boson mass. Figure 5.9 (b) shows the recoil mass distribution of the  $b\bar{b}$  system after the kinematic fit. A fit to the  $m_{b\bar{b}} - \cos\theta$  distribution with both rates of  $\nu\bar{\nu}H$  and  $Z(\nu\bar{\nu})H$  processes as free parameters leads to relative precision of 3.1% for  $\sigma(\nu\bar{\nu}H) \times \text{BR}(H \rightarrow b\bar{b})$  and 0.33% for  $\sigma(ZH) \times \text{BR}(H \rightarrow b\bar{b})$ . The latter is consistent with the study of the  $H \rightarrow b\bar{b}/c\bar{c}/g\bar{g}$  decay described in Section 5.1. Fixing



**Figure 19.** Distributions of the  $b\bar{b}$  system of the  $e^+e^- \rightarrow \nu\bar{\nu}b\bar{b}$  events: (a) cosine of the polar angle  $\theta$  before the event selection and (b) the recoil mass after the event selection. Contributions from  $e^+e^- \rightarrow \nu\bar{\nu}H$ ,  $ZH$  and other SM processes are shown. The  $\cos\theta$  distributions are normalized to unity and therefore only shapes are compared.

613 the  $Z(\nu\bar{\nu})H(b\bar{b})$  contribution to its SM expectation yields a relative precision of 2.7% on  
 614  $\sigma(e^+e^- \rightarrow \nu\bar{\nu}H) \times \text{BR}(H \rightarrow b\bar{b})$ .

615 Quote measurement on  $\sigma(e^+e^- \rightarrow \nu\bar{\nu}H)$  ?

## 616 5.10 Constraining anomalous $HZZ$ couplings

617 The  $CP$  parity of a Higgs boson, and more generally its anomalous couplings to gauge  
 618 bosons in the presence of BSM physics, can be measured at the CEPC based on the  
 619  $e^+e^- (\rightarrow Z^*) \rightarrow ZH \rightarrow \mu^+\mu^-b\bar{b}$  process. It is convenient to express the anomalous cou-  
 620 pling measurements in terms of physical quantities of effective fractions of events of the  
 621 anomalous contribution relative to the SM predictions as detailed in Refs. [42–44], which  
 622 are invariant under independent re-scalings of all couplings.

623 Two of the anomalous  $HZZ$  coupling measurements are of particular interest at the  
 624 CEPC: the fraction of the high-order  $CP$ -even contribution due to either SM contribution  
 625 or new physics,  $f_{a2}$ , and the fraction of a  $CP$ -odd contribution due to new physics,  $f_{a3}$ .  
 626 The following two types of observables can be used to measure these anomalous couplings  
 627 of the Higgs bosons.

- 628 1. The dependence of the  $e^+e^- \rightarrow Z^* \rightarrow ZH$  cross section on  $\sqrt{s}$  is different for different  
 629  $CP$  property of the Higgs boson [44]. Therefore, measurements of the cross section  
 630 at several different energies will yield useful information about anomalous  $HZZ$  cou-  
 631 plings. However this has non-trivial implications to the accelerator design and is not

included in this study as a single value of  $\sqrt{s}$  assumed for the CEPC operating as a Higgs boson factory.

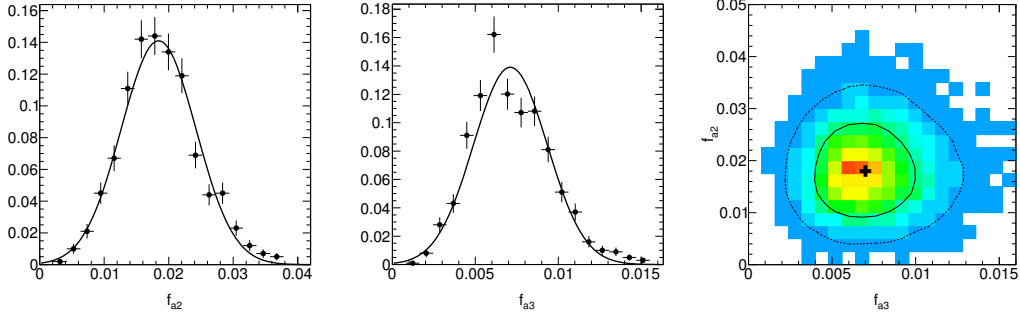
2. Angular distributions,  $\cos\theta_1$  or  $\cos\theta_2$  and  $\Phi$  as defined in Ref. [44]. These angles are also sensitive to interference between  $CP$ -even and  $CP$ -odd couplings. In particular forward-backward asymmetry with respect to  $\cos\theta_1$  or  $\cos\theta_2$  and non-trivial phase in the  $\Phi$  distributions can lead to an unambiguous interpretation of  $CP$  violation.

To estimate the sensitivities on the anomalous couplings, a maximum likelihood fit [44] is performed to match observed three-dimensional angular distributions to theory predictions including signal and background processes. In this likelihood fit, the signal probability density functions are from analytical predictions that are validated using a dedicated MC program, the JHU generator [42, 43], which incorporates all the anomalous couplings, spin correlations, interference of all contributing amplitudes. The background probability density function is modeled from simulation based on  $e^+e^- \rightarrow ZZ \rightarrow \ell^+\ell^-b\bar{b}$  process in Madgraph. The total integrated luminosity is assumed to be  $5 \text{ ab}^{-1}$ .

Several thousand statistically-independent experiments are generated and fitted to estimate the sensitivity to  $f_{a2}$  and  $f_{a3}$ , defined as the smallest values that can be measured with  $3\sigma$  away from 0. All other parameters in the fit, including the number of expected signal and background events, are fixed. Figure 5.10 shows precision on  $f_{a2}$  and  $f_{a3}$  obtained with generated experiments. The expected sensitivity on  $f_{a2}$  and  $f_{a3}$  are 0.018 and 0.007 respectively.

The sensitivities of  $f_{a2}$  and  $f_{a3}$  are then converted to the equivalent parameters defined for the on-shell  $H \rightarrow ZZ^*$  decays,  $f_{a2}^{\text{dec}}$  and  $f_{a3}^{\text{dec}}$ , in order to compare with the sensitivities from other experiments as described in Ref. [44]. The corresponding sensitivities of  $f_{a2}^{\text{dec}}$  and  $f_{a3}^{\text{dec}}$  are  $2 \times 10^{-4}$  and  $1.3 \times 10^{-4}$  respectively. The much smaller values in the  $f_{a2,a3}^{\text{dec}}$  are due to the much larger  $m_{Z^*}^2$  in the  $Z^* \rightarrow ZH$  production compared to the value in the  $H \rightarrow ZZ^*$  decays. A simultaneous fit of  $f_{a2}$  and  $f_{a3}$  can also be performed with the 68% and 95% confidence level contours shown in Figure 5.10.

Compared to the ultimate sensitivity from HL-LHC experiments as shown in Ref. [44], the sensitivities in the  $f_{a2}$  and  $f_{a3}$  at the CEPC are a factor of 300 and 3 better. Further improvements can be achieved by exploring kinematics in the  $H \rightarrow b\bar{b}$  decays, including other  $Z$  decay final states, and combining with the overall cross-section dependence of the signal with a threshold scan in  $\sqrt{s}$ .



**Figure 20.** Distribution of fitted values of  $f_{a2}$  and  $f_{a3}$  in a large number of generated experiments. In the left and middle plots, only the parameter shown is floated. Other parameters are fixed to SM expectations. Right plot: simultaneous fit of non-zero  $f_{a2}$  and  $f_{a3}$ , with 68% and 95% confidence level contours shown.

## 6 Combinations of Individual Measurements

### 6.1 Combined measurements of $\sigma \times \text{BR}$ and BR

With the measurements of inclusive cross section  $\sigma(ZH)$  and the cross sections of individual Higgs boson decay mode  $\sigma(ZH) \times \text{BR}$ , the Higgs boson decay branching ratio, BR, can be extracted. Most of the systematic uncertainties associated with the measurement of  $\sigma(ZH)$  cancels in this procedure. A maximum likelihood fit is used to estimate the precision on BRs. For a given Higgs boson decay mode, the likelihood has the form:

$$L(\text{BR}, \theta) = \text{Poisson} \left[ N^{\text{obs}} \middle| N^{\text{exp}}(\text{BR}, \theta) \right] \cdot G(\theta), \quad (6.1)$$

where BR is the parameter of interest and  $\theta$  represent nuisance parameters associated with systematic uncertainties.  $N^{\text{obs}}$  is the number of the observed events,  $N^{\text{exp}}(\text{BR}, \theta)$  is the expected number of events, and  $G(\theta)$  is a set of constraints on the nuisance parameters within their estimated uncertainties. The number of expected events is the sum of signal and background events. The number of signal events is calculated from the integrated luminosity, the  $e^+e^- \rightarrow ZH$  cross section  $\sigma(ZH)$  measured from the recoil method, Higgs boson branching ratio BR, the event selection efficiency  $\epsilon$ . The number of the expected background events,  $N^b$ , is estimated from Monte Carlo samples. Thus

$$N^{\text{exp}}(\text{BR}, \theta) = \text{Lumi}(\theta^{\text{lumi}}) \times \sigma_{ZH}(\theta^\sigma) \times \text{BR} \times \epsilon(\theta^\epsilon) + N^b(\theta^b), \quad (6.2)$$

where  $\theta^X$  ( $X = \text{lumi}, \sigma, \epsilon$  and  $b$ ) are the nuisance parameters of their corresponding parameters or measurements. Even with  $10^6$  Higgs boson events, statistical uncertainties are expected to be dominant and thus systematic uncertainties are not taken into account for the current studies. Thus the nuisance parameters are fixed to their nominal values.

For the individual analyses discussed in Section 5, contaminations from Higgs boson production or decays other than the one under study are fixed to their SM values for simplicity. In the combination, however, these constraints are removed and the contaminations

are constrained only by the analyses targeted for their measurements. For example, the  $H \rightarrow b\bar{b}, c\bar{c}, gg$  analysis suffers from contaminations from the  $H \rightarrow WW^*, ZZ^* \rightarrow q\bar{q}q\bar{q}$  decays. For the analysis discussed in Section 5.1, these contaminations are estimated from SM. In the combination fit, they are constrained by the  $H \rightarrow WW^*$  and  $H \rightarrow ZZ^*$  analyses described in Sections 5.2 and 5.3, respectively. Taking into account these across-channel contaminations properly generally leads to small improvements in precision. For example, the precision on  $\sigma(ZH) \times \text{BR}(H \rightarrow ZZ^*)$  is improved from 5.4% of the standalone analysis to 5.1% from the combination.

**Table 11.** Estimated precision of Higgs boson property measurements for CEPC-v1 with a 3.5 Tesla solenoidal field operating at a center-of-mass energy of  $\sqrt{s} = 250$  GeV. All precision are relative except for  $m_H$  and  $\text{BR}(H \rightarrow \text{inv})$  for which  $\Delta m_H$  and 95% CL upper limit are quoted respectively. The extrapolated precision for CEPC-v4 with a 3 Tesla field at  $\sqrt{s} = 240$  GeV are included for comparisons. *Quote  $\sigma(e^+e^- \rightarrow \nu\bar{\nu}H)$  precision? Sure no BR?*

Property	Estimated Precision	
	CEPC-v1	CEPC-v4
$m_H$	5.9 MeV	
$\Gamma_H$	3.3%	
$\sigma(ZH)$	0.50%	0.50%
$\sigma(\nu\bar{\nu}H) \times \text{BR}(H \rightarrow b\bar{b})$	3.11%	3.22%
Decay mode	$\sigma(ZH) \times \text{BR}$	
$H \rightarrow b\bar{b}$	0.28%	0.29%
$H \rightarrow c\bar{c}$	3.30%	3.45%
$H \rightarrow gg$	1.31%	1.37%
$H \rightarrow \tau^+\tau^-$	0.79%	0.82%
$H \rightarrow \mu^+\mu^-$	15.9%	16.8%
$H \rightarrow WW^*$	1.05%	1.07%
$H \rightarrow ZZ^*$	5.12%	5.21%
$H \rightarrow \gamma\gamma$	7.38%	7.87%
$H \rightarrow Z\gamma$	21%	21%
$\text{BR}_{\text{BSM}}(H \rightarrow \text{inv})$	< 0.31%	< 0.33%

Table 6.1 summarizes the estimated precision of Higgs boson property measurements. For the leading Higgs boson decay modes, namely  $b\bar{b}, c\bar{c}, gg, WW^*, ZZ^*$  and  $\tau^+\tau^-$ , percent level precision are expected. The best achievable statistical uncertainties for  $5 \text{ ab}^{-1}$  are 0.28% for  $\sigma(e^+e^- \rightarrow ZH) \times \text{BR}(H \rightarrow b\bar{b})$  and 0.5% for  $\sigma(e^+e^- \rightarrow ZH)$ . Even for these measurements, statistics is likely the dominant source of uncertainties. Systematic uncertainties from the efficiency/acceptance of the detector, the luminosity and the beam energy determination are expected to be small. The integrated luminosity can be measured with a 0.1% precision, a benchmark already achieved at the LEP [45], and can be potentially improved in the future. The center-of-mass energy will be known better than

1 MeV, resulting negligible uncertainties on the theoretical cross section predictions and experimental recoil mass measurements.

A short paragraph to cite current LHC results and future prospects

## 6.2 Extrapolation to CEPC-v4

As discussed in Section 2.4, the CEPC conceptual detector design has evolved from CEPC-v1 to CEPC-v4 with the main change being the reduction of the solenoidal field from 3.5 Tesla to 3.0 Tesla. In the meantime, the nominal CEPC center-of-mass energy has been changed from 250 GeV to 240 GeV. The results presented above are based on CEPC-v1 operating at  $\sqrt{s} = 250$  GeV. However given the relative small differences, the results for CEPC-v4 operating at  $\sqrt{s} = 240$  GeV can be estimated through extrapolation taking into account changes in signal and background cross sections as well as track momentum resolution. From 250 GeV to 240 GeV, the  $e^+e^- \rightarrow ZH$  and  $e^+e^- \rightarrow \nu\bar{\nu}H$  cross sections are reduced, respectively, by approximate 5% and 10% while cross sections for background processes are increased by up to 10%. The change in magnetic field affects the  $H \rightarrow \mu^+\mu^-$  analysis the most whereas its effect on other analyses are negligible. The extrapolated results for CEPC-v4 at 240 GeV are included in Table 6.1. In most cases, small degradations of a few percent are expected.

Shall we use the extrapolated CEPC-v4 numbers for the  $\kappa$  fits and theoretical implications?

## 6.3 Measurement of Higgs boson width

The Higgs boson width ( $\Gamma_H$ ) is of special interest as it is sensitive to BSM physics in Higgs boson decays that are not directly detectable or searched for. However, the 4.07 MeV width predicted by the SM is too small to be measured with a reasonable precision from the distributions of either the invariant mass of the Higgs boson decay products or the recoil mass of the system produced in association with the Higgs boson. Unique to lepton colliders, the width can be determined from the measurements of Higgs boson production cross sections and its decay branching ratios. This is because the inclusive  $e^+e^- \rightarrow ZH$  cross section  $\sigma(ZH)$  can be measured from the recoil mass distribution, independent of Higgs boson decays.

Measurements of  $\sigma(ZH)$  and BR's have been discussed in Sections 4 and 5. Combining these measurements, the Higgs boson width can be calculated in a model-independent way:

$$\Gamma_H = \frac{\Gamma(H \rightarrow ZZ^*)}{\text{BR}(H \rightarrow ZZ^*)} \propto \frac{\sigma(ZH)}{\text{BR}(H \rightarrow ZZ^*)} \quad (6.3)$$

Here  $\Gamma(H \rightarrow ZZ^*)$  is the partial width of the  $H \rightarrow ZZ^*$  decay. Because of the small expected  $\text{BR}(H \rightarrow ZZ^*)$  value for a 125 GeV Higgs boson (2.64% in the SM), the precision of  $\Gamma_H$  is limited by the  $H \rightarrow ZZ^*$  statistics. It can be improved using the decay final states with the expected large BR values, for example the  $H \rightarrow b\bar{b}$  decay:

$$\Gamma_H = \frac{\Gamma(H \rightarrow b\bar{b})}{\text{BR}(H \rightarrow b\bar{b})} \quad (6.4)$$

738  $\Gamma(H \rightarrow b\bar{b})$  can be independently extracted from the cross section of the  $W$  fusion process  
 739  $e^+e^- \rightarrow \nu\bar{\nu}H \rightarrow \nu\bar{\nu}b\bar{b}$ :

$$\sigma(\nu\bar{\nu}H \rightarrow \nu\bar{\nu}b\bar{b}) \propto \Gamma(H \rightarrow WW^*) \cdot \text{BR}(H \rightarrow b\bar{b}) = \Gamma(H \rightarrow b\bar{b}) \cdot \text{BR}(H \rightarrow WW^*) \quad (6.5)$$

740 Thus the Higgs boson total width

$$\Gamma_H = \frac{\Gamma(H \rightarrow b\bar{b})}{\text{BR}(H \rightarrow b\bar{b})} \propto \frac{\sigma(\nu\bar{\nu}H \rightarrow \nu\bar{\nu}b\bar{b})}{\text{BR}(H \rightarrow b\bar{b}) \cdot \text{BR}(H \rightarrow WW^*)} = \frac{\sigma(e^+e^- \rightarrow \nu\bar{\nu}H)}{\text{BR}(H \rightarrow WW^*)} \quad (6.6)$$

741 Here  $\text{BR}(H \rightarrow b\bar{b})$  and  $\text{BR}(H \rightarrow WW^*)$  are measured from the  $e^+e^- \rightarrow ZH$  process. The  
 742 limitation of this method is the precision of the  $\sigma(e^+e^- \rightarrow \nu\bar{\nu}H \rightarrow \nu\bar{\nu}b\bar{b})$  measurement.

743 The expected precision on  $\Gamma_H$  is 5.4% from the measurements of  $\sigma(ZH)$  and  $\text{BR}(H \rightarrow$   
 744  $ZZ^*)$  and is 3.7% from the measurements of  $\sigma(\nu\bar{\nu}H \rightarrow \nu\bar{\nu}b\bar{b})$ ,  $\text{BR}(H \rightarrow b\bar{b})$  and  $\text{BR}(H \rightarrow$   
 745  $WW^*)$ . The former is dominated by the precision of the  $\text{BR}(H \rightarrow ZZ^*)$  measurement  
 746 while the latter by the  $\sigma(\nu\bar{\nu}H \rightarrow \nu\bar{\nu}b\bar{b})$  measurement. The combined  $\Gamma_H$  precision of the  
 747 two measurements is 3.3%, taking into account correlations between the two measurements.

## 7 Higgs Boson Coupling Measurements and Beyond

In order to extract the implications of the predicted measurement precision shown in Table 6.1 on possible new physics models, we would need to translate them into constraints on the parameters in the Lagrangian. This is frequently referred to as Higgs boson coupling measurement, even though we will see from the following that this way of phrasing it can be misleading.

There are different ways of presenting the constraints. Before going into our result, we briefly comment on the reason behind our choice. First, we note that our goal is different from analyzing actual data, where a lot of detailed work will be done to derive the consequences. Instead, we would like to give a broad brushed big picture of the basic capability of the Higgs boson coupling measurement at the CEPC. Ideally, we would like this presentation to be simple with a intuitive connection with the observables. We would also like it to be free of underlying model assumptions. In addition, it would be convenient if it can interfaced directly with higher order computations, RGE evolutions etc. However, achieving all of these goals simultaneously is not possible. Two of the most popular approaches are the so called  $\kappa$ -framework and the Effective Field Theory (EFT) analysis. As we will discuss in more detail, none of these is perfect. At the same time, neither of these is wrong as long as we are careful not to over interpreting the result. Another important aspect of making projections on the physics potential of a future experiment is that it will be compared with other possible future experiments. Hence, we should follow the most commonly used approaches to facilitate such comparisons.

Motivated by these arguments, in the following, we will present our projections using both the  $\kappa$ -framework and EFT approach.

### 7.1 Coupling fits in the $\kappa$ -framework

The Standard Model makes specific predictions for the Higgs boson couplings to the SM fermions,  $g(Hff; \text{SM})$ , and to the SM gauge bosons  $g(HVV; \text{SM})$ .<sup>3</sup> In the  $\kappa$ -framework, the potential deviations are parameterized by

$$\kappa_f = \frac{g(Hff)}{g(Hff; \text{SM})}, \quad \kappa_V = \frac{g(HVV)}{g(HVV; \text{SM})}, \quad (7.1)$$

with  $\kappa_i = 1$  indicating agreement with the SM prediction.

In addition to couplings which are present at tree level, the Standard Model also predicts effective couplings  $H\gamma\gamma$  and  $Hgg$ , in terms of other SM parameters. Changes in the gluon and photon couplings can be induced by the possible shifts in the Higgs boson couplings described above. In addition, they can also be altered by loop contributions from new physics states. Hence, they will be introduced as two independent couplings, with their ratios to the SM predictions denoted as  $\kappa_\gamma$  and  $\kappa_g$ .

Furthermore, it is possible that the Higgs boson can decay directly into new physics particles. In this case, two type of new decay channels will be distinguished:

---

<sup>3</sup>For the discussion of coupling fits and their implications, “ $h$ ” is used to denoted the 125 GeV Higgs boson.

- 784 1. Invisible decay. This is a specific channel in which Higgs boson decay into invisible  
785 particles. This can be searched for and, if detected, measured.
- 786 2. Exotic decay. This includes all the other new physics channels. Whether they can  
787 be observed, and, if so, to what precision, depends sensitively on the particular final  
788 states. In one extreme, they can be very distinct and can be measured very well. In  
789 another extreme, they can be in a form which is completely swamped by the back-  
790 ground. Whether postulating a precision for the measurement of the exotic decay or  
791 treating it as an independent parameter (essentially assuming it can not be measured  
792 directly) is an assumption one has to make. In the later case, it is common to use the  
793 total width  $\Gamma_H$  as an equivalent free parameter.

794 In general, possible deviations of all Standard Model Higgs boson couplings should be  
795 considered. However, in the absence of obvious light new physics states with large couplings  
796 to the Higgs boson and other SM particles, a very large deviation ( $> \mathcal{O}(1)$ ) is unlikely. In  
797 the case of smaller deviations, the Higgs boson phenomenology will not be sensitive to  
798 the deviations  $\kappa_e$ ,  $\kappa_u$ ,  $\kappa_d$  and  $\kappa_s$ . (are they important ever?) Therefore, they will not be  
799 considered here.

800 The CEPC will not be able to directly measure the Higgs boson coupling to top quarks.  
801 A deviation of this coupling from its SM value does enter  $H\gamma\gamma$  and  $Hgg$  amplitudes. How-  
802 ever, this can be viewed as parametrized by  $\kappa_\gamma$  and  $\kappa_g$  already. Therefore, we will not include  
803  $\kappa_t$  as an independent parameter. Hence, the following set of 10 independent parameters is  
804 considered:

$$\kappa_b, \kappa_c, \kappa_\tau, \kappa_\mu, \kappa_Z, \kappa_W, \kappa_\gamma, \kappa_g, \text{BR}_{\text{inv}}, \Gamma_H. \quad (7.2)$$

805 In this 10 parameter list, the relation  $\Sigma_i \Gamma_i = \Gamma_H$  is used to replace the exotic decay  
806 branching ratio with the total width.

807 Several assumptions can be made that can lead to a reduced number of parameters  
808 (see also [36, 46]). It can be reduced to a 7-parameter set, by assuming lepton universality,  
809 and the absence of exotic and invisible decays (excluding  $H \rightarrow ZZ^* \rightarrow \nu\bar{\nu}\nu\bar{\nu}$ ) [46, 47]:

$$\kappa_b, \kappa_c, \kappa_\tau = \kappa_\mu, \kappa_Z, \kappa_W, \kappa_\gamma, \kappa_g. \quad (7.3)$$

810 This is useful for hadron collider studies. Since it can not measure the Higgs boson total  
811 width with precision, it is more useful for models in which this assumption is satisfied.

812 We remark here some of the pros and cons of the  $\kappa$ -framework.  $\kappa_i$ s give a simple  
813 and intuitive parameterization of potential deviations. It has a direct connection with the  
814 observables shown in Table 6.1. It does cover a lot of possible modifications of the coupling.  
815 At the same time,  $\kappa$ -framework has its limitations. Strictly speaking, it should not be  
816 understood as modifying the SM renormalizable Lagrangian by a multiplicative factor.  
817 Such a modification is not physical. For instance, it violates gauge invariance. Therefore,  
818  $\kappa_i$ s should not be treated as actual couplings and used in computations. They give a  
819 parameterization of the size of the effective vertex.  $\kappa_i$ s don't summarize all possible effects  
820 of new physics either. For example, in addition to the overall size, potential new physics  
821 can also introduce form factors which can change the kinematics of particles connected to

a vertex. We will see manifestations of this effect in our discussion of the EFT approach. Overall,  $\kappa$ -framework does capture the big picture of the capability of precision Higgs boson measurement at CEPC. It is useful as long as we understand its limitation.

The LHC and especially the HL-LHC will provide valuable and complementary information about the Higgs boson properties. For example, the LHC is capable of directly measuring the top Yukawa coupling through the  $t\bar{t}H$  process [48, 49]. In addition, the LHC could use differential cross sections to differentiate top-loop contributions and other heavy particle-loop contributions to the Higgs boson to gluon coupling [50–53], and similarly to separate contributions from different operators to the Higgs boson to vector boson couplings [54]. For the purpose of the coupling fit in our framework, the LHC with its large statistics, helps improving precision on rare processes such as Higgs boson to diphoton couplings. Note that a large portion of the systematics intrinsic to a hadron collider would be canceled by taking ratios of measured cross sections. For example, combining the ratio of the rates  $pp \rightarrow H \rightarrow \gamma\gamma$  and  $pp \rightarrow H \rightarrow ZZ^*$  and the measurement of  $HZZ$  coupling at the CEPC can significantly improve the measurement of  $\kappa_\gamma$ . These are the most useful inputs from the LHC to combine with the CEPC. Similar studies with the ILC can be found in Refs. [55–57].

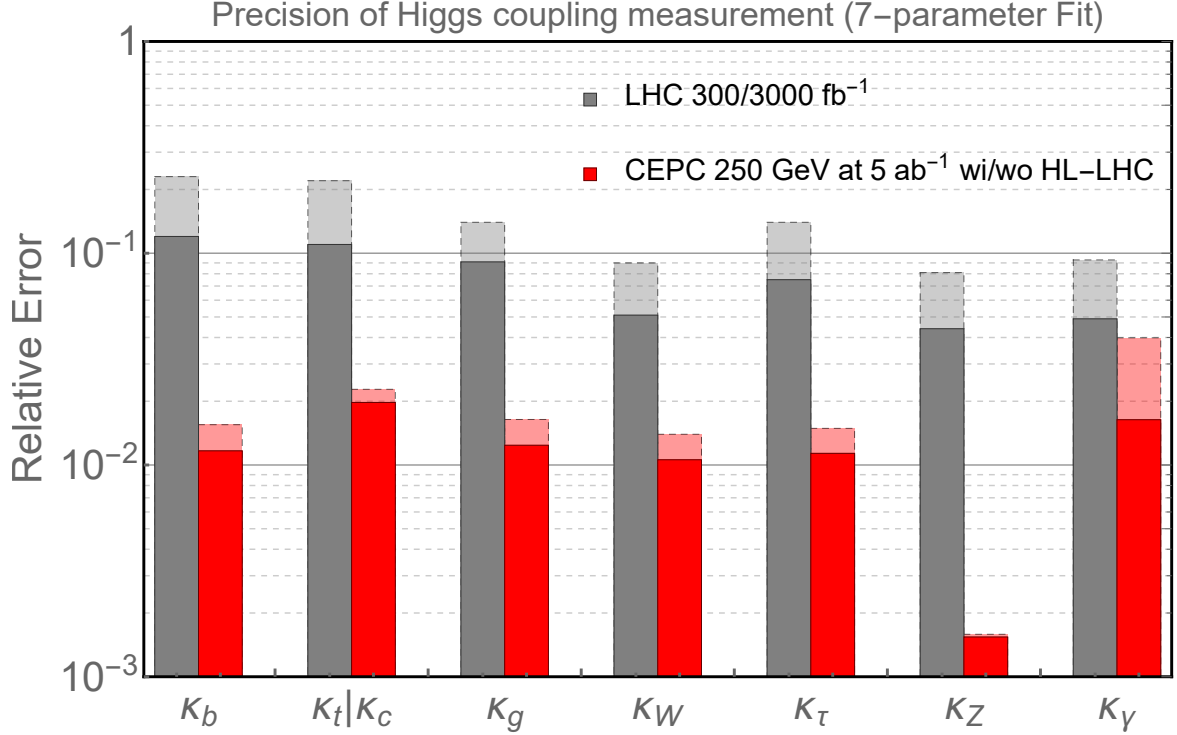
**Table 12.** Coupling measurement precision in percent from the 7-parameter fit and 10-parameter fit described in the text for several benchmark integrated luminosity of the CEPC, and corresponding results after combination with the HL-LHC. All the numbers refer to are relative precision except for  $\text{BR}_{\text{inv}}$  of beyond standard model for which 95% CL upper limit are quoted respectively. To leave some entries vacant for the 7-parameter fit to stress them being dependent parameter under the fitting assumptions of the 7-parameter.

	10-parameter fit		7-parameter fit	
	CEPC	+HL-LHC	CEPC	+HL-LHC
$\Gamma_H$	3.4	2.6	–	–
$\kappa_b$	1.7	1.3	1.6	1.2
$\kappa_c$	2.4	2.0	2.3	2.0
$\kappa_g$	1.7	1.3	1.6	1.2
$\kappa_W$	1.5	1.1	1.4	1.1
$\kappa_\tau$	1.6	1.2	1.5	1.1
$\kappa_Z$	0.25	0.25	0.16	0.15
$\kappa_\gamma$	4.3	1.7	4.0	1.6
$\kappa_\mu$	8.6	5.0	–	–
$\text{BR}_{\text{inv}}$	0.31	0.31	–	–

The 10-parameter fit and the 7-parameter fit for several integrated luminosities are shown in Table 12, respectively. In addition, the combinations with expectations (with theoretical uncertainties included) from the HL-LHC from Ref. [58] are shown in the same tables as well.<sup>4</sup> We assume the HL-LHC will operate at 14 TeV center-of-mass energy and

<sup>4</sup>We note here that the LHC and the CEPC have different sources of theoretical uncertainties, for detailed

843 accumulate an integrated luminosity of  $3000 \text{ fb}^{-1}$ .



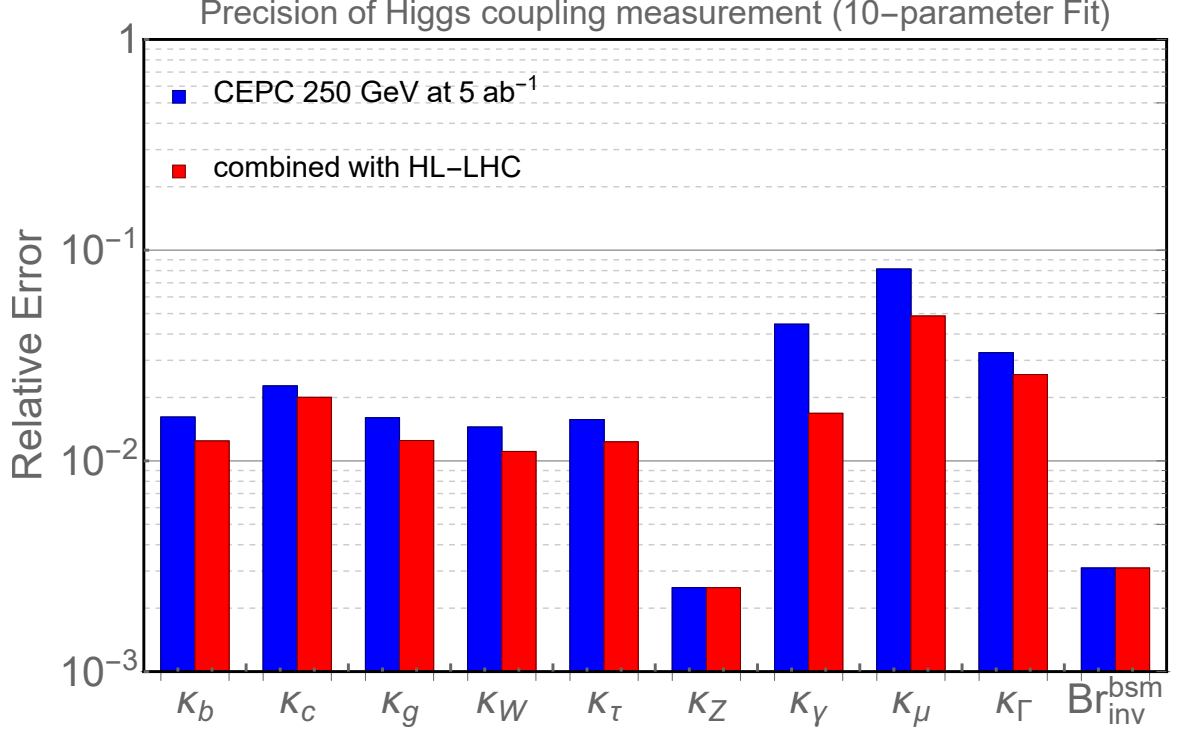
**Figure 21.** The 7 parameter fit result, and comparison with the HL-LHC [58]. The projections for the CEPC at 250 GeV with  $5 \text{ ab}^{-1}$  integrated luminosity are shown. The CEPC results without combination with the HL-LHC input are shown with dashed edges. The LHC projections for an integrated luminosity of  $300 \text{ fb}^{-1}$  are shown in dashed edges.

844 The CEPC Higgs boson properties measurements mark a giant step beyond the HL-  
845 LHC. First of all, in contrast to the LHC, a lepton collider Higgs factory is capable of  
846 measuring the absolute width and coupling strengths of the Higgs boson. A comparison with  
847 the HL-LHC is only possible with model dependent assumptions. One of such comparison  
848 is within the framework of a 7-parameter fit, shown in Fig. 21. Even with this set of  
849 restrictive assumptions, the advantage of the CEPC is still significant. The measurement  
850 of  $\kappa_Z$  is more than a factor of 10 better. The CEPC can also improve significantly on a set  
851 of channels which suffers from large background at the LHC, such as  $\kappa_b$ ,  $\kappa_c$ , and  $\kappa_g$ . We  
852 emphasize that this is comparing with the HL-LHC projection with aggressive assumptions  
853 about systematics. Such uncertainties are typically under much better control at lepton  
854 colliders. Within this 7 parameter set, the only coupling which the HL-LHC can give a  
855 competitive measurement is  $\kappa_\gamma$ , for which the CEPC's accuracy is limited by statistics.  
856 This is also the most valuable input that the HL-LHC can give to the Higgs boson coupling  
857 measurement at the CEPC, which underlines the importance of combining the results of  
858 these two facilities.

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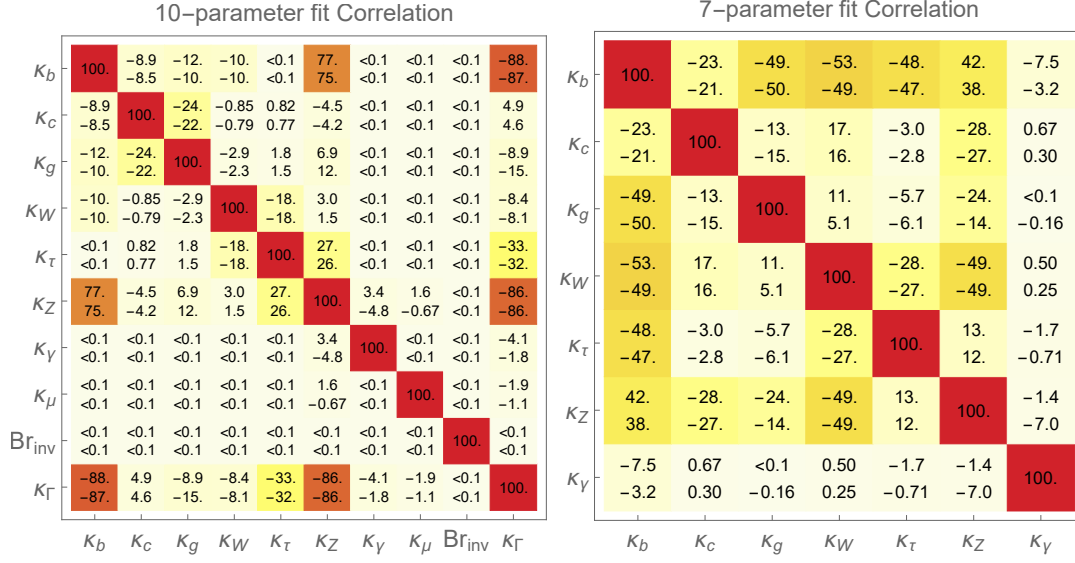
discussion, see Refs. [36, 47, 59–61].

859 We also remark on the couplings which are left out in this fit. The most obvious  
860 omission is the  $\text{BR}_{\text{inv}}^{\text{bsm}}$ . The CEPC with  $5 \text{ ab}^{-1}$  can measure this to a high accuracy as 95%  
861 upper limit 0.31%, as shown in Table 12. At the same time, the HL-LHC can only manage  
862 a much lower accuracy 6 – 17% [47].



**Figure 22.** The 10 parameter fit result for CEPC at 250 GeV with  $5 \text{ ab}^{-1}$  integrated luminosity (blue) and in combination with HL-LHC inputs (red). All the numbers refer to are relative precision except for  $\text{BR}_{\text{inv}}^{\text{bsm}}$  for which 95% CL upper limit are quoted respectively.

863 As we have discussed above, one of the greatest advantages of lepton collider Higgs  
864 boson factory is the capability of determining the Higgs boson coupling *model independently*.  
865 The projection of such a determination at the CEPC is shown in Fig. 22. The advantage  
866 of the higher integrated luminosity at a circular lepton collider is apparent. The CEPC  
867 has a clear advantage in the measure of  $\kappa_Z$ . It is also much stronger in  $\kappa_\mu$  and  $\text{BR}_{\text{inv}}$   
868 measurements.



**Figure 23.** The correlation of the 10-parameter fit and 7-parameter fit shown the left and right panel, respectively. The upper (lower) number in each entry represent the CEPC (combined fit with HL-LHC) fit results.

In Fig. 23 we show the correlation matrix for the 10-parameter and 7-parameter fit in the  $\kappa$ -scheme in percentage. The darker color represent stronger correlations and the numbers in the off-diagonal entries represent the correlation before and after combination with HL-LHC Higgs boson precision inputs, in the upper and lower entries, respectively. Comparing the 10-parameter and 7-parameter fit, the 7-parameter fit has much large correlations between different entries, as the improved precision comparing with 10-parameter fit comes from having the total width as a summation of all decay channels. In the 10-parameter fit, the only entries with strong correlations are between  $\kappa_Z$  with  $\Gamma$  and  $\kappa_b$ , which can be understood in the discussion of the large dependence of width determination on inclusive  $ZH$  cross section measurement. Very naturally, the HL-LHC and CEPC are very complimentary and almost all entries after combinations have reduced correlation. One exception is the correlations between  $\kappa_Z$  and  $\kappa_\gamma$  as HL-LHC dominants the precision in  $\kappa_\gamma$  through the ratio measurement in both the 10-parameter fit and 7-parameter fit. In the 7-parameter fit, in addition, the correlation between  $\kappa_b$  and  $\kappa_\gamma$ ,  $\kappa_W$  and  $\kappa_g$ , as well as  $\kappa_g$  with  $\kappa_b$  and  $\kappa_c$  are slightly increased. This slight increase in correlation are mainly coming from the HL-LHC improving the  $\kappa_g$  through the fusion rate measurement.

## 7.2 Effective-field-theory analysis

We begin with the assumption that the new physics particles are heavier than the relevant energy of the Higgs factory. In this case, their effect can be characterized in the effective-field-theory (EFT) framework, in which higher dimensional operators supplement the Standard Model Lagrangian. Imposing baryon and lepton numbers conservations, all

890 higher dimensional operators are of even dimension:

$$\mathcal{L}_{\text{EFT}} = \mathcal{L}_{\text{SM}} + \sum_i \frac{c_i^{(6)}}{\Lambda^2} \mathcal{O}_i^{(6)} + \sum_j \frac{c_j^{(8)}}{\Lambda^4} \mathcal{O}_j^{(8)} + \dots \quad (7.4)$$

891 The leading effects of new physics at the electroweak scale would be the dimension-six  
 892 operators. To obtain robust constraints on the Wilson coefficients  $c_i$ , a global analysis is  
 893 required which includes the contributions from all possible dimension-six operators. While  
 894 a large number of dimension-six operators can be written down, only a subset of them  
 895 contribute to the Higgs boson processes at leading order. Among these operators, some  
 896 are much better constrained by other measurements. It is thus reasonable to focus on the  
 897 operators that primarily contribute to the Higgs boson processes and reduce the parameter  
 898 space by making appropriate assumptions, as done in many recent studies of EFT global  
 899 analysis at future lepton colliders [62–68]. Following these studies, we discard the CP-  
 900 violating operators as well as the ones that induce fermion dipole interactions. At leading  
 901 order, CP-violating operators do not have linear contributions to the rates of Higgs pro-  
 902 cesses. While they do contribute to the angular observables at the leading order [69, 70],  
 903 these operators are usually much better constrained by EDM experiments [71–73], though  
 904 some rooms are still possible for the CP-violating couplings of Higgs boson to the heavy  
 905 flavor quarks and leptons [74, 75]. The interference between the fermion dipole interactions  
 906 with SM terms are suppressed by the fermion masses. The corresponding operators also  
 907 generate dipole moments, which are stringently constrained especially for light fermions.  
 908 For the operators that modify the Yukawa matrices, we focus on the five diagonal ones that  
 909 correspond to the top, charm, bottom, tau, and muon Yukawa couplings, which are relevant  
 910 for the Higgs boson measurements at CEPC.

911 Before presenting our projections, we offer some brief comments on the EFT framework.  
 912 In comparison with the  $\kappa$ -framework, a significant advantage of the EFT framework is that  
 913 it gives is physical parameterization of the new physics effect. EFT operators can be used  
 914 directly in computations. It also allows natural inclusion of new observables, with possible  
 915 correlations automatically taken into account. At the same time, the connections with  
 916 experimental observables are less direct and intuitive. Sometimes, the EFT approach is  
 917 referred to as model-independent. This is only accurate to a certain extent. At least, it  
 918 assumes that there are no new light degrees of freedom. In practice, assumptions are often  
 919 made to simplify the set of EFT operators, as we have also done here.

920 The electroweak precision observables are already tightly constrained by the LEP  $Z$ -  
 921 pole and  $W$  mass measurements. The CEPC  $Z$ -pole run can further improve the constraints  
 922 set by LEP, thanks to the enormous amount ( $\sim 10^{11}$ ) of  $Z$  bosons that can be collected.  
 923 The  $W$  mass can also be constrained within a few MeVs at CEPC even without a dedicated  
 924  $WW$  threshold run. Given that the expected precisions of the  $Z$ -pole observables and the  $W$   
 925 mass are much higher than the ones of Higgs boson observables, in the Higgs boson analysis,  
 926 we assume that the former ones are perfectly constrained, which significantly simplifies the  
 927 analysis. In particular, in a convenient basis all the contact interaction terms of the form  
 928  $hVf\bar{f}$  can be discarded since they also modify the fermion gauge couplings. Realistic  $Z$ -pole

CEPC 250 GeV ( $5 \text{ ab}^{-1}$ )				
	uncertainty	correlation matrix		
		$\delta g_{1,Z}$	$\delta \kappa_\gamma$	$\lambda_Z$
$\delta g_{1,Z}$	$1.1 \times 10^{-3}$	1	0.03	-0.89
$\delta \kappa_\gamma$	$0.8 \times 10^{-3}$		1	-0.40
$\lambda_Z$	$1.2 \times 10^{-3}$			1

**Table 13.** The estimated constraints on aTGCs from the measurements of the diboson process ( $e^+e^- \rightarrow WW$ ) in the semi-leptonic channel at CEPC 250 GeV with  $5 \text{ ab}^{-1}$  data and unpolarized beams. All angular distributions are used in the fit. We consider only the statistical uncertainties of the signal events assuming a selection efficiency of 80%.

constraints have also been considered in recent studies [65, 66, 68], but certain assumptions (such as flavor-universality) and simplifications are made. Future studies with more general frameworks are desired to fully determine the impact of the  $Z$ -pole measurements on the Higgs boson analysis.

The measurements of the triple gauge couplings (TGCs) from the diboson process ( $e^+e^- \rightarrow WW$ ) play an important role in the Higgs boson coupling analysis under the EFT framework. Focusing on CP-even dimension-six operators, the modifications to the triple gauge vertices from new physics can be parameterized by three anomalous TGC parameters (aTGCs), conventionally denoted as  $\delta g_{1,Z}$ ,  $\delta \kappa_\gamma$  and  $\lambda_Z$  [76, 77]. Among them,  $\delta g_{1,Z}$  and  $\delta \kappa_\gamma$  are generated by operators that also contribute to the Higgs boson processes. At 250 GeV, the cross section of  $e^+e^- \rightarrow WW$  is almost two orders of magnitude larger than the one of the Higgsstrahlung process. The measurements of the diboson process thus provide strong constraints on the operators that generate the aTGCs. A dedicated study on the TGC measurements at CEPC is not available at the current moment. We thus perform a simplified analysis to estimate the precision reaches on the aTGCs. Our results are shown in Table 13. The analysis roughly follows the methods in Refs. [64, 78]. We use only the  $WW$  events in the semi-leptonic (electron or muon) channel, which has good event reconstructions and also a sizable branching fraction ( $\approx 29\%$ ). In particular, the production polar angle, as well as the two decay angles of the leptonic  $W$ , can be fully reconstructed, which contain important information on the aTGCs. The two decay angles of the hadronic  $W$  can only be reconstructed with a two-fold ambiguity. We perform a  $\chi^2$  fit of the three aTGC parameters to the binned distribution of all five angles and extract the one-sigma precision of the three aTGCs as well as the correlations among them. Without a detailed simulation study, we only assume a signal selection efficiency of 80%, and do not consider the effects of systematics and backgrounds, assuming they are under control after the selection cuts. (Can remove the following if we do not want to directly compare with ILC.) Our results are comparable with the ones of ILC 250 GeV in Ref. [65], which agrees with our expectation since the lack of the longitudinal beam polarization at CEPC is compensated with a larger luminosity. We also note that in the TGC analysis at ILC 500 GeV [79], the selection efficiency of  $WW$  events in the semi-leptonic channel is around 70%, while the number of background events is much smaller than the signal one after all

$\mathcal{O}_H = \frac{1}{2}(\partial_\mu  H ^2)^2$	$\mathcal{O}_{GG} = g_s^2  H ^2 G_{\mu\nu}^A G^{A,\mu\nu}$
$\mathcal{O}_{WW} = g^2  H ^2 W_{\mu\nu}^a W^{a,\mu\nu}$	$\mathcal{O}_{y_u} = y_u  H ^2 \bar{Q}_L \tilde{H} u_R \quad (u \rightarrow t, c)$
$\mathcal{O}_{BB} = g'^2  H ^2 B_{\mu\nu} B^{\mu\nu}$	$\mathcal{O}_{y_d} = y_d  H ^2 \bar{Q}_L H d_R \quad (d \rightarrow b)$
$\mathcal{O}_{HW} = ig(D^\mu H)^\dagger \sigma^a (D^\nu H) W_{\mu\nu}^a$	$\mathcal{O}_{y_e} = y_e  H ^2 \bar{L}_L H e_R \quad (e \rightarrow \tau, \mu)$
$\mathcal{O}_{HB} = ig'(D^\mu H)^\dagger (D^\nu H) B_{\mu\nu}$	$\mathcal{O}_{3W} = \frac{1}{3!} g \epsilon_{abc} W_\mu^{a\nu} W_\nu^b W^c{}^{\rho\mu}$

**Table 14.** A complete set of CP-even dimension-six operators that contribute to the Higgs and TGC measurements, assuming there is no correction to the  $Z$ -pole observables and the  $W$  mass, and also no fermion dipole interaction. For  $\mathcal{O}_{y_u}$ ,  $\mathcal{O}_{y_d}$  and  $\mathcal{O}_{y_e}$ , we only consider the contributions to the diagonal elements of the Yukawa matrices that corresponds to the top, charm, bottom, tau, and muon Yukawa couplings.

the selection cuts. While the center of mass energy and the beam polarizations are different, this nevertheless provides justifications to the assumptions we made in our analysis.

Under the assumptions specified above, the contributions to the Higgs boson and di-boson processes from dimension-six operators consist of a total number of twelve degrees of freedoms. While all non-redundant basis are equivalent, it is particularly convenient to choose a basis in which the twelve degrees of freedoms can be mapped to exactly twelve operators, while the rest are removed by the assumptions. We consider two such bases in our analysis, one is defined by the set of dimension-six operators in Table 14, the other is the so-called ‘‘Higgs basis,’’ proposed in Ref. [80]. In the Higgs basis, the parameters are defined in the broken electroweak phase, and can be directly interpreted as the size of the Higgs couplings. Different from the original Higgs basis, we follow Ref. [64] and normalize the parameters associated with the  $Hgg$ ,  $H\gamma\gamma$  and  $HZ\gamma$  vertices to the SM one-loop contributions, and denote them as  $\bar{c}_{gg}$ ,  $\bar{c}_{\gamma\gamma}$  and  $\bar{c}_{Z\gamma}$ . We further define the parameter  $\bar{c}_{gg}^{\text{eff}}$  to absorb all contributions to the  $Hgg$  vertex, as shown in Eq. 7.13. These redefined parameters can be more conveniently interpreted as the precisions of the Higgs couplings analogous to those in the  $\kappa$  framework. The exact definitions of the Higgs basis and the translation to the basis in Table 14 can be found in the end of the section.

The estimated precisions of all the Higgs rate measurements in Section 6 (Table 6.1), along with the correlations among them, are included as inputs for the EFT global analysis. In addition, we include the angular observables of the channel  $e^+e^- \rightarrow HZ$ ,  $Z \rightarrow \ell^+\ell^-$ ,  $H \rightarrow b\bar{b}$ , following the studies in Refs. [69, 70]. This channel is almost background-free after the selection cuts, with a signal selection efficiency of about 40%. For the TGC measurements, we use the results in Table 13 as inputs. The global  $\chi^2$  is obtained by summing over the  $\chi^2$  of all the measurements. Due to the high precision of the measurements, it is shown that for all observables, keeping only the linear terms of all EFT parameters gives a very good approximation [64]. This greatly simplifies the fitting procedure, as the total  $\chi^2$  can be written as

$$\chi^2 = \sum_{ij} (c - c_0)_i \sigma_{ij}^{-2} (c - c_0)_j, \quad \text{where} \quad \sigma_{ij}^{-2} \equiv (\delta c_i \rho_{ij} \delta c_j)^{-1}, \quad (7.5)$$

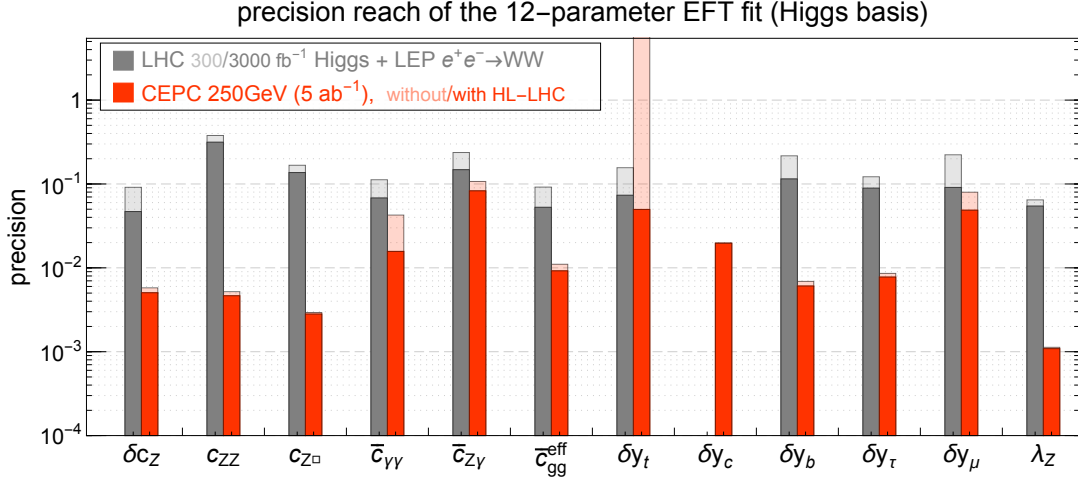
where  $c_i$ ’s are the EFT parameters,  $c_0$ ’s are the corresponding central values which are zero

by construction, as we assume the measurements are SM-like. The one-sigma uncertainties  $\delta c_i$  and the correlation matrix  $\rho$  can be obtained from  $\sigma_{ij}^{-2} = \partial^2 \chi^2 / \partial c_i \partial c_j$ .

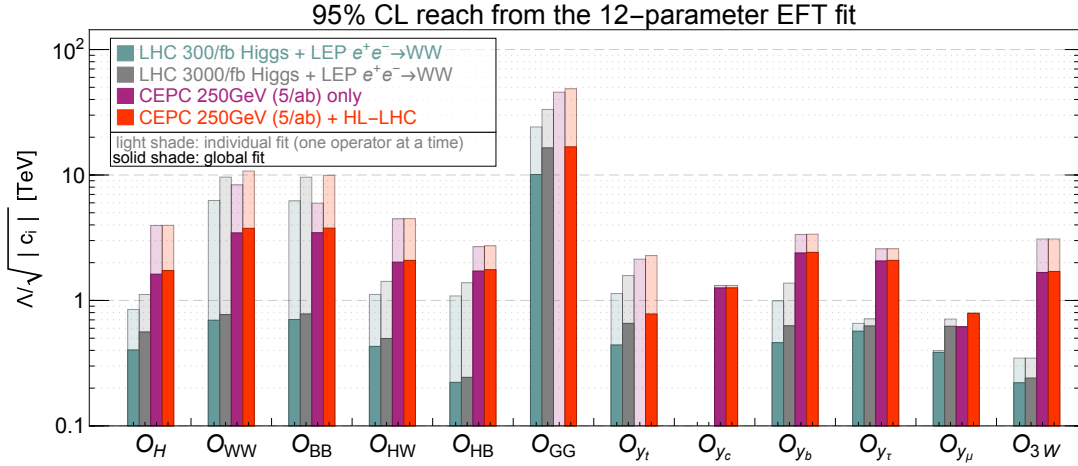
For comparison, we also consider the reaches of the LHC 14 TeV with a total luminosities of  $300 \text{ fb}^{-1}$  or  $3000 \text{ fb}^{-1}$ , which are combined with the diboson ( $e^+e^- \rightarrow WW$ ) measurements at LEP as well as the LHC 8 TeV Higgs measurements. For the LHC 14 TeV Higgs measurements, we use the projections by the ATLAS collaboration [58], while the composition of each channel is obtained from Refs. [81–85]. The constraints from the LHC 8 TeV Higgs measurements and the diboson measurements at LEP are obtained directly from Ref. [86]. While the LHC diboson measurements could potentially improve the constraints on aTGCs set by LEP [87], they are not included in our analysis due to the potential issues related to the validity of the EFT [88, 89] and the TGC dominance assumption [90].

The results of the 12-parameter fit at CEPC are shown in Fig. 24 for the Higgs basis and Fig. 25 for the basis in Table 14. The results from LHC Higgs measurements (both  $300 \text{ fb}^{-1}$  and  $3000 \text{ fb}^{-1}$ ) combined with LEP diboson measurements are shown in comparison. We also show the results of the combination of CEPC with HL-LHC ( $3000 \text{ fb}^{-1}$ ) in addition to the ones of CEPC alone. In Fig. 24, the results are shown in terms of the one-sigma precision of each parameter. The LHC results are shown with gray columns with  $300 \text{ fb}^{-1}$  ( $3000 \text{ fb}^{-1}$ ) in light (solid) shades, while the CEPC ones are shown with the red columns, with the CEPC-alone (combination with HL-LHC) results shown in light (solid) shades. In Fig. 25, the results are presented in terms of the reaches of  $\Lambda/\sqrt{|c_i|}$  at 95% confidence level (CL), where  $\Lambda$  is the scale of new physics and  $c_i$  is the corresponding Wilson coefficient for each operator, defined in Eq. 7.4. Four columns are shown separately for LHC  $300 \text{ fb}^{-1}$ , LHC  $3000 \text{ fb}^{-1}$ , CEPC alone and CEPC combined with HL-LHC. The results of the global fits are shown with solid shades. The results from individual fits are shown with light shades, which are obtained by switching on one operator at a time with the rest fixed to zero.

It is transparent from Fig. 24 that CEPC provides very good reaches on the precisions of Higgs couplings, which are of one order of magnitude better than the ones at the LHC. For the parameters  $\bar{c}_{\gamma\gamma}$ ,  $\bar{c}_{Z\gamma}$  and  $\delta y_\mu$ , the clean signal and small branching ratios of the corresponding channels ( $H \rightarrow \gamma\gamma/Z\gamma/\mu\mu$ ) makes the HL-LHC precisions comparable with the CEPC ones. The combination with additional LHC measurements thus provides non-negligible improvements, especially for those parameters. It should be noted that, while  $\delta y_t$  modifies the  $Hgg$  vertex via the top loop contribution, CEPC alone could not discriminate it from the  $Hgg$  contact interaction ( $\bar{c}_{gg}$  in Eq. 7.14) obtained from integrating out a heavy new particle in the loop. The parameter  $\bar{c}_{gg}^{\text{eff}}$  absorbs both contributions and reflects the overall precision of the  $Hgg$  coupling. The combination with the LHC  $t\bar{t}H$  measurements could resolve this flat direction. The CEPC measurements, in turn, could improve the constraint on  $\delta y_t$  set by the LHC by providing much better constraints on the other parameters that contribute to the  $t\bar{t}H$  process. We also note that the measurement of the charm Yukawa coupling is not reported in Ref. [58], while the projection of its constraint has a large variation among different studies and can be much larger than one [91–96]. We, therefore, fix  $\delta y_c = 0$  for the LHC-only fits, as treating  $\delta y_c$  as an unconstrained free parameter generates a flat direction in the fit which makes the overall reach much worse. The CEPC,



**Figure 24.** One-sigma precision reach of the twelve parameters in the Higgs basis. The first column shows the results from the LHC Higgs measurements with  $300 \text{ fb}^{-1}$  (light shade) and  $3000 \text{ fb}^{-1}$  (solid shade) combined with LEP diboson ( $e^+e^- \rightarrow WW$ ) measurement. The second column shows the results from CEPC with  $5 \text{ ab}^{-1}$  data collected at 250 GeV with unpolarized beam. The results from CEPC alone are shown in light shades, and the ones from a combination of CEPC and HL-LHC are shown in solid shades. The charm Yukawa is poorly constrained at the LHC and we simply fix  $\delta y_c$  to zero for the LHC fits.



**Figure 25.** The 95% CL reach on  $\Lambda/\sqrt{|c_i|}$  for the operators in the basis defined in Table 14. The first two columns show the results from LHC Higgs measurements with  $300 \text{ fb}^{-1}$  and  $3000 \text{ fb}^{-1}$  combined with LEP diboson ( $e^+e^- \rightarrow WW$ ) measurement. The last two columns show the results from CEPC alone and the combination of CEPC and HL-LHC ( $3000 \text{ fb}^{-1}$ ). The results of the global fits are shown with solid shades. The results from individual fits (by switching on one operator at a time) are shown with light shades. The charm Yukawa is poorly constrained at the LHC and we simply fix  $\delta y_c$  to zero for the LHC fits.

1031 on the other hand, provides excellent measurements of the charm Yukawa and can constrain  
 1032  $\delta y_c$  to a precision of  $\sim 2\%$ .

1033 Regarding the reaches of  $\Lambda/\sqrt{|c_i|}$  in Fig. 25, it is also clear that CEPC has a significantly

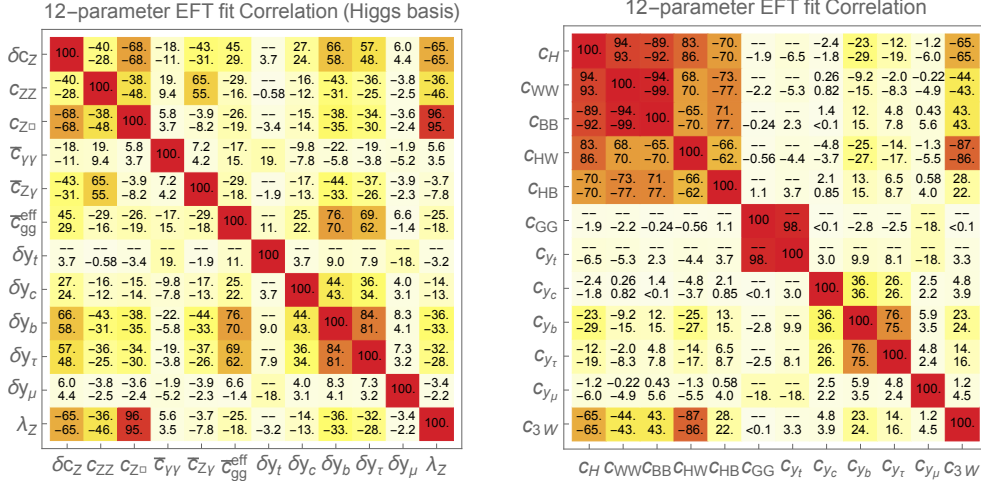
better performance than the LHC. If the couplings are naïvely assumed to be of order one ( $c_i \sim 1$ ), the Higgs measurements at CEPC would be sensitive to new physics scales at multiple TeVs. While the individual reach for some of the operators at the LHC can be comparable to the ones at CEPC (*e.g.*,  $O_{WW}$  and  $O_{BB}$  from the measurement of  $H \rightarrow \gamma\gamma$ ), the reaches of CEPC are much more robust under a global framework thanks to its comprehensive measurements of both the inclusive  $HZ$  cross section and the exclusive rates of many Higgs decay channels. Operators  $O_{GG}$  and  $O_{yt}$  both contribute to the  $Hgg$  vertex. While the CEPC could provide strong constraints on either of them if the other is set to zero, they can only be constrained in a global fit if the  $t\bar{t}h$  measurements at the LHC are also included. It is also important to note that the validity of EFT could be a potential issue for the LHC measurements [88]. Depending on the size of the couplings, the inferred bounds on the new physics scale  $\Lambda$  could be comparable with or even smaller than the energy scale probed by the LHC. The CEPC has a smaller center of mass energy and much better precisions, which ensures the validity of EFT for most new physics scenarios.

In Table 15 and Fig. 26, we present for CEPC the numerical results of the global fit in terms of the one-sigma precisions of the 12 parameters and the correlations among them. The results assume an integrated luminosity of  $5 \text{ ab}^{-1}$  at 250 GeV with unpolarized beams, both without and with the combination of HL-LHC ( $3000 \text{ fb}^{-1}$ ) Higgs measurements. With both the one-sigma bounds and the correlation matrix, the corresponding *chi-squared* can be reconstructed, which can be used to derive the constraints in any other EFT basis or any particular model that can be matched to the EFT. This offers a convenient way to study the reaches on new physics models, as detailed knowledge of the experimental measurements are not required.

Higgs basis											
$\delta c_Z$	$c_{ZZ}$	$c_{Z\Box}$	$\bar{c}_{\gamma\gamma}$	$\bar{c}_{Z\gamma}$	$\bar{c}_{gg}^{\text{eff}}$	$\delta y_t$	$\delta y_c$	$\delta y_b$	$\delta y_\tau$	$\delta y_\mu$	$\lambda_Z$
0.0058	0.0052	0.0029	0.043	0.11	0.011	—	0.020	0.0069	0.0086	0.080	0.0011
0.0050	0.0046	0.0028	0.016	0.083	0.0092	0.050	0.020	0.0061	0.0078	0.049	0.0011
$c_i/\Lambda^2 [\text{TeV}^{-2}]$ of dimension-six operators											
$c_H$	$c_{WW}$	$c_{BB}$	$c_{HW}$	$c_{HB}$	$c_{GG}$	$c_{yt}$	$c_{yc}$	$c_{yb}$	$c_{y\tau}$	$c_{y\mu}$	$c_{3W}$
0.19	0.042	0.042	0.12	0.17	—	—	0.32	0.088	0.12	1.3	0.18
0.17	0.035	0.035	0.11	0.16	0.0018	0.82	0.32	0.086	0.12	0.81	0.17

**Table 15.** The one-sigma uncertainties for the 12 parameters from CEPC (250 GeV,  $5 \text{ ab}^{-1}$ ) in the Higgs basis and the basis of dimension-six operators. For both cases, the upper (lower) row correspond to results without (with) the combination of the HL-LHC Higgs measurements.. Note that, without the  $t\bar{t}h$  measurements,  $\delta y_t$  can not be constrained in a global fit, thus  $c_{GG}$  and  $c_{yt}$  can not be resolved.

**Higgs total width:** In our EFT framework, it is explicitly assumed that the Higgs total width is the sum of all the widths of its SM decay channels. This is because the EFT expansion in Eq. 7.4 relies on the assumption that the new physics scale is sufficiently large, while any potential Higgs exotic decay necessarily introduces light BSM particles, thus in direct conflict with this assumption. One could nevertheless treat the Higgs total width as a free parameter in the EFT global fit and obtain an indirect constraint of it, as done in Ref. [65]. With this treatment, we found that the CEPC can constrain the Higgs total



**Figure 26.** The correlation matrix of the 12-parameter fit at the CEPC in the Higgs basis (**left**) and the basis of dimension-six operators (**right**). The upper (lower) entries correspond to results without (with) the combination of the HL-LHC Higgs measurements.

width to a precision of 1.8% (1.7% if combined with HL-LHC). This result is significantly better than the one from the 10-parameter coupling fit (3.2%/2.5%). The improvement is mainly because the  $HWW$  and  $HZZ$  couplings are treated as being independent in the 10-parameter coupling fit, while in the EFT framework they are related to each other under gauge invariance and custodial symmetry. It should also be noted that the Higgs width determined using Eq. (6.3) and (6.6) explicitly assumes that the  $HWW$  and  $HZZ$  couplings are independent of the energy scale. Such an assumption is not valid in the EFT framework with the inclusion of the anomalous couplings.

## The “12-parameter” effective-field-theory framework

The Higgs basis is proposed in Ref. [80] and applied in EFT studies of the LHC Higgs measurements such as Refs. [86, 97]. While the SM and the dimension-six operators are included with gauge invariances imposed, the parameters in the Higgs basis are defined in the broken electroweak phase Lagrangian, which makes the connection to measurements more straightforward. We follow the framework in Ref. [64], which applies the Higgs basis to measurements at future lepton colliders. For simplicity, the CP-violating operators and the ones that induce fermion dipole interactions are discarded, and the  $Z$ -pole observables and  $W$  mass are assumed to be SM-like.

The SM and dimension-6 operators relevant for our study are

$$\mathcal{L} \supset \mathcal{L}_{HVV} + \mathcal{L}_{Hff} + \mathcal{L}_{\text{tgc}}, \quad (7.6)$$

where the couplings of the Higgs to the SM gauge bosons are

$$\begin{aligned}\mathcal{L}_{HVV} = & \frac{h}{v} \left[ (1 + \delta c_W) \frac{g^2 v^2}{2} W_\mu^+ W^{-\mu} + (1 + \delta c_Z) \frac{(g^2 + g'^2) v^2}{4} Z_\mu Z^\mu \right. \\ & + c_{WW} \frac{g^2}{2} W_{\mu\nu}^+ W^{-\mu\nu} + c_{W\Box} g^2 (W_\mu^- \partial_\nu W^{+\mu\nu} + \text{h.c.}) \\ & + c_{gg} \frac{g_s^2}{4} G_{\mu\nu}^a G^{a\mu\nu} + c_{\gamma\gamma} \frac{e^2}{4} A_{\mu\nu} A^{\mu\nu} + c_{Z\gamma} \frac{e\sqrt{g^2 + g'^2}}{2} Z_{\mu\nu} A^{\mu\nu} \\ & \left. + c_{ZZ} \frac{g^2 + g'^2}{4} Z_{\mu\nu} Z^{\mu\nu} + c_{Z\Box} g^2 Z_\mu \partial_\nu Z^{\mu\nu} + c_{\gamma\Box} g g' Z_\mu \partial_\nu A^{\mu\nu} \right]. \quad (7.7)\end{aligned}$$

Not all the parameters in Eq. 7.7 are independent. Imposing gauge invariances, we choose to rewrite  $\delta c_W$ ,  $c_{WW}$ ,  $c_{W\Box}$  and  $c_{\gamma\Box}$  as<sup>5</sup>

$$\begin{aligned}\delta c_W &= \delta c_Z + 4\delta m, \\ c_{WW} &= c_{ZZ} + 2s_{\theta_W}^2 c_{Z\gamma} + s_{\theta_W}^4 c_{\gamma\gamma}, \\ c_{W\Box} &= \frac{1}{g^2 - g'^2} [g^2 c_{Z\Box} + g'^2 c_{ZZ} - e^2 s_{\theta_W}^2 c_{\gamma\gamma} - (g^2 - g'^2) s_{\theta_W}^2 c_{Z\gamma}], \\ c_{\gamma\Box} &= \frac{1}{g^2 - g'^2} [2g^2 c_{Z\Box} + (g^2 + g'^2) c_{ZZ} - e^2 c_{\gamma\gamma} - (g^2 - g'^2) c_{Z\gamma}], \quad (7.8)\end{aligned}$$

1082 where  $\delta m$  is induced by custodial symmetry breaking effects and is set to zero in our  
1083 framework. While the modifications to the Yukawa couplings are in general  $3 \times 3$  complex  
1084 matrices in the family space, we focus on the diagonal ones of  $t$ ,  $c$ ,  $b$ ,  $\tau$ ,  $\mu$  which are  
1085 relevant for the measurements,

$$\mathcal{L}_{Hff} = -\frac{h}{v} \sum_{f=t,c,b,\tau,\mu} m_f (1 + \delta y_f) \bar{f}_R f_L + \text{h.c.} \quad (7.9)$$

The anomalous triple gauge couplings (aTGCs) are given by

$$\begin{aligned}\mathcal{L}_{\text{tgc}} = & ig s_{\theta_W} A^\mu (W_{\mu\nu}^{-\nu} W_{\mu\nu}^+ - W_{\mu\nu}^{+\nu} W_{\mu\nu}^-) \\ & + ig (1 + \delta g_1^Z) c_{\theta_W} Z^\mu (W_{\mu\nu}^{-\nu} W_{\mu\nu}^+ - W_{\mu\nu}^{+\nu} W_{\mu\nu}^-) \\ & + ig [(1 + \delta \kappa_Z) c_{\theta_W} Z^{\mu\nu} + (1 + \delta \kappa_\gamma) s_{\theta_W} A^{\mu\nu}] W_\mu^- W_\nu^+ \\ & + \frac{ig}{m_W^2} (\lambda_Z c_{\theta_W} Z^{\mu\nu} + \lambda_\gamma s_{\theta_W} A^{\mu\nu}) W_v^{-\rho} W_{\rho\mu}^+, \quad (7.10)\end{aligned}$$

where  $V_{\mu\nu} \equiv \partial_\mu V_\nu - \partial_\nu V_\mu$  for  $V = W^\pm, Z, A$ . Gauge invariance further imposes  $\delta \kappa_Z = \delta g_{1,Z} - t_{\theta_W}^2 \delta \kappa_\gamma$  and  $\lambda_Z = \lambda_\gamma$ , thus leaving three independent aTGC parameters, which are chosen to be  $\delta g_{1,Z}$ ,  $\delta \kappa_\gamma$  and  $\lambda_Z$ . Two of them,  $\delta g_{1,Z}$  and  $\delta \kappa_\gamma$ , are related to Higgs observables and can be written as

$$\begin{aligned}\delta g_{1,Z} &= \frac{1}{2(g^2 - g'^2)} [-g^2(g^2 + g'^2) c_{Z\Box} - g'^2(g^2 + g'^2) c_{ZZ} + e^2 g'^2 c_{\gamma\gamma} + g'^2(g^2 - g'^2) c_{Z\gamma}], \\ \delta \kappa_\gamma &= -\frac{g^2}{2} \left( c_{\gamma\gamma} \frac{e^2}{g^2 + g'^2} + c_{Z\gamma} \frac{g^2 - g'^2}{g^2 + g'^2} - c_{ZZ} \right). \quad (7.11)\end{aligned}$$

---

<sup>5</sup>In this subsection,  $s_{\theta_W}$ ,  $c_{\theta_W}$  and  $t_{\theta_W}$  are shorthands for  $\sin \theta_W$ ,  $\cos \theta_W$  and  $\tan \theta_W$ , where  $\theta_W$  is the weak mixing angle.

1086

In the Higgs basis, we therefore have the following 12 parameters:

$$\delta c_Z, \quad c_{ZZ}, \quad c_{Z\Box}, \quad c_{\gamma\gamma}, \quad c_{Z\gamma}, \quad c_{gg}, \quad \delta y_t, \quad \delta y_c, \quad \delta y_b, \quad \delta y_\tau, \quad \delta y_\mu, \quad \lambda_Z. \quad (7.12)$$

1087

A full list of the relevant observables in terms of the 12 EFT parameters can be found in Ref. [64]. In particular, for the EFT parameters we consider only their tree level contributions, except for the  $Hgg$  vertex for which we also include the contributions of  $\delta y_t$  and  $\delta y_b$  via the fermion loops. We also follow Ref. [64] and normalize  $c_{\gamma\gamma}$ ,  $c_{Z\gamma}$  and  $c_{gg}$  with respect to the SM 1-loop contributions to the  $H\gamma\gamma$ ,  $HZ\gamma$  and  $Hgg$  vertices. The corresponding parameters are denoted by  $\bar{c}_{\gamma\gamma}$ ,  $\bar{c}_{Z\gamma}$  and  $\bar{c}_{gg}$ , defined as

$$\frac{\Gamma_{\gamma\gamma}}{\Gamma_{\gamma\gamma}^{\text{SM}}} \simeq 1 - 2\bar{c}_{\gamma\gamma}, \quad \frac{\Gamma_{Z\gamma}}{\Gamma_{Z\gamma}^{\text{SM}}} \simeq 1 - 2\bar{c}_{Z\gamma}, \quad (7.13)$$

1093 and

$$\frac{\Gamma_{gg}}{\Gamma_{gg}^{\text{SM}}} \simeq 1 + 2\bar{c}_{gg}^{\text{eff}} \simeq 1 + 2\bar{c}_{gg} + 2.10\delta y_t - 0.10\delta y_b. \quad (7.14)$$

1094 They are related to the original parameters by

$$\bar{c}_{\gamma\gamma} \simeq \frac{c_{\gamma\gamma}}{8.3 \times 10^{-2}}, \quad \bar{c}_{Z\gamma} \simeq \frac{c_{Z\gamma}}{5.9 \times 10^{-2}}, \quad \bar{c}_{gg} \simeq \frac{c_{gg}}{8.3 \times 10^{-3}}. \quad (7.15)$$

It should be noted that, without the inclusion of LHC  $t\bar{t}h$  measurements, the CEPC measurements alone could only constrain a linear combination of  $c_{gg}$  and  $\delta y_t$ . In this case, the two parameters can be replaced by  $\bar{c}_{gg}^{\text{eff}}$  (defined in Eq. 7.14) which parametrize the total contribution to the  $Hgg$  vertex.

To translate to the basis in Table 14, we first choose a different normalization of the Wilson coefficients, defined as

$$\begin{aligned} \mathcal{L}_{\text{D6}} = & \frac{c_H}{v^2} \mathcal{O}_H + \frac{\kappa_{WW}}{m_W^2} \mathcal{O}_{WW} + \frac{\kappa_{BB}}{m_W^2} \mathcal{O}_{BB} + \frac{\kappa_{HW}}{m_W^2} \mathcal{O}_{HW} + \frac{\kappa_{HB}}{m_W^2} \mathcal{O}_{HB} \\ & + \frac{\kappa_{GG}}{m_W^2} \mathcal{O}_{GG} + \frac{\kappa_{3W}}{m_W^2} \mathcal{O}_{3W} + \sum_{f=t,c,b,\tau,\mu} \frac{c_{y_f}}{v^2} \mathcal{O}_{y_f}, \end{aligned} \quad (7.16)$$

in order to simplify the expressions. In this basis, the aTGCs are given by

$$\begin{aligned} \delta g_{1,Z} &= -\frac{\kappa_{HW}}{c_{\theta_W}^2}, \\ \delta \kappa_\gamma &= -\kappa_{HW} - \kappa_{HB}, \\ \lambda_Z &= -\kappa_{3W}, \end{aligned} \quad (7.17)$$

The translation between the two bases is straightforward, given by

$$\begin{aligned}
\delta c_Z &= -\frac{1}{2} c_H, \\
c_{ZZ} &= \frac{4}{g^2 + g'^2} (-\kappa_{HW} - t_{\theta_W}^2 \kappa_{HB} + 4 c_{\theta_W}^2 \kappa_{WW} + 4 t_{\theta_W}^2 s_{\theta_W}^2 \kappa_{BB}), \\
c_{Z\Box} &= \frac{2}{g^2} (\kappa_{HW} + t_{\theta_W}^2 \kappa_{HB}), \\
c_{\gamma\gamma} &= \frac{16}{g^2} (\kappa_{WW} + \kappa_{BB}), \\
c_{Z\gamma} &= \frac{2}{g^2} (\kappa_{HB} - \kappa_{HW} + 8 c_{\theta_W}^2 \kappa_{WW} - 8 s_{\theta_W}^2 \kappa_{BB}), \\
c_{gg} &= \frac{16}{g^2} \kappa_{GG}, \\
\delta y_f &= -\frac{1}{2} c_H - c_{y_f}.
\end{aligned} \tag{7.18}$$

It should be noted that Eq. 7.17 and Eq. 7.18 are only valid under the assumptions made in our analysis, more specifically, that there is no correction to the  $Z$ -pole observables and the  $W$  mass. The general expressions for the aTGCs can be found in Ref. [98]. Basis translations from the Higgs basis to the SILH' basis (and others) are provided in Ref. [80]. To go from the SILH' basis to the one in Table 14, one simply trades  $\mathcal{O}_W, \mathcal{O}_B$  for  $\mathcal{O}_{WW}, \mathcal{O}_{WB}$ , using

$$\begin{aligned}
\mathcal{O}_B &= \mathcal{O}_{HB} + \frac{1}{4} \mathcal{O}_{BB} + \frac{1}{4} \mathcal{O}_{WB}, \\
\mathcal{O}_W &= \mathcal{O}_{HW} + \frac{1}{4} \mathcal{O}_{WW} + \frac{1}{4} \mathcal{O}_{WB},
\end{aligned} \tag{7.19}$$

where  $\mathcal{O}_{WB}$  is directly related to the  $Z$ -pole measurements and is discarded in our analysis.

### 7.3 The Higgs self-coupling

The Higgs boson self-coupling is a critical parameter governing the dynamics of the electroweak symmetry breaking. In the Standard Model, the Higgs trilinear and quadrilinear couplings are fixed once the values of the electroweak VEV and the Higgs mass are known. Any deviation from the SM prediction is thus clear evidence of new physics beyond the SM. The Higgs trilinear coupling is probed at the LHC with the measurement of the double-Higgs process,  $pp \rightarrow HH$ . Current bounds on the Higgs trilinear coupling is at the  $\mathcal{O}(10)$  level, while the HL-LHC is expected to improve the precision to the level of  $\mathcal{O}(1)$  [?]. The prospects for extracting the Higgs quadrilinear coupling are much less promising, even for a 100 TeV hadron collider [?].

To measure the double-Higgs processes at a lepton collider, a sufficiently large center of mass energy ( $\gtrsim 400$  GeV) is required, which is likely to be achieved only at a linear collider. The CEPC, instead, can probe the Higgs trilinear coupling via its loop contributions to the single Higgs processes. This indirect approach nevertheless provides competitive reaches since the loop suppression is compensated by the high precision of the Higgs measurements at CEPC [99]. With a precision of 0.5% on the inclusive  $HZ$  cross section at 250 GeV,

the Higgs trilinear coupling can be constrained to a precision of 40%, assuming all other Higgs couplings that contributes to  $e^+e^- \rightarrow HZ$  are SM-like.<sup>6</sup> While this indirect bound is comparable to the direct ones at linear colliders, it relies on strong assumptions which are only applicable to some specific models. A more robust approach is to include all possible deviations on the Higgs couplings simultaneously and constrain the Higgs trilinear coupling in a global fit. The EFT framework presented in Section 7.2 is ideal for such an analysis. We follow Ref. [67] and include the one-loop contributions of the trilinear Higgs coupling to all the relevant Higgs production and decay processes. The new physics effect is parameterized by the quantity  $\delta\kappa_\lambda \equiv \kappa_\lambda - 1$ , where  $\kappa_\lambda$  is the ratio of the Higgs trilinear coupling to its SM value,

$$\kappa_\lambda \equiv \frac{\lambda_3}{\lambda_3^{\text{sm}}}, \quad \lambda_3^{\text{sm}} = \frac{m_H^2}{2v^2}. \quad (7.20)$$

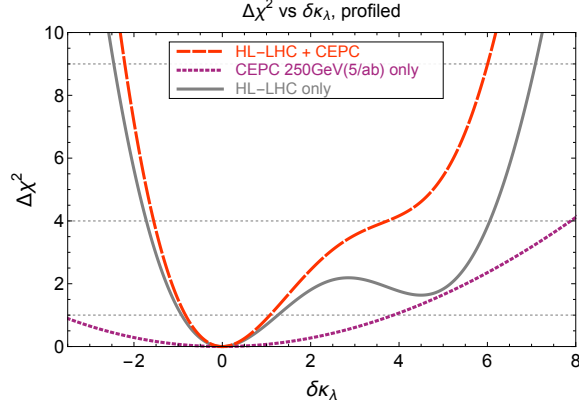
The global fit is performed simultaneously with  $\delta\kappa_\lambda$  and the 12 EFT parameters in Section 7.2. The results are presented in Table 16. The results for HL-LHC are also shown, which were obtained in Ref. [100] under the same global framework. For CEPC 250 GeV, the one-sigma bound on  $\delta\kappa_\lambda$  is around  $\pm 4$ , significantly worse than the 40% in the  $\delta\kappa_\lambda$ -only fit. This is a clear indication that it is difficult to resolve the effects of  $\delta\kappa_\lambda$  from those of other Higgs couplings. For HL-LHC, the reach on  $\delta\kappa_\lambda$  is still dominated by the double-Higgs process. However, as a result of the destructive interferences among diagrams, the double-Higgs process at LHC could not constrain  $\delta\kappa_\lambda$  very well on its positive side, even with the use of differential observables [?]. The combination of HL-LHC and CEPC 250 GeV thus provides a non-trivial improvement to the HL-LHC result alone, in particular for the two-sigma bound on the positive side, which is improved from  $+6.1$  to  $+3.6$ . This is illustrated in Fig. 27, which plots the profiled  $\chi^2$  as a function of  $\delta\kappa_\lambda$  for the two colliders.

bounds on $\delta\kappa_\lambda$	$\Delta\chi^2 = 1$	$\Delta\chi^2 = 4$
CEPC 250 GeV ( $5 \text{ ab}^{-1}$ )	$[-3.7, +3.9]$	$[-7.3, +7.9]$
HL-LHC	$[-0.9, +1.3]$	$[-1.7, +6.1]$
HL-LHC + CEPC 250 GeV	$[-0.8, +1.1]$	$[-1.6, +3.6]$
250 GeV ( $5 \text{ ab}^{-1}$ ) + 350 GeV ( $1.5 \text{ ab}^{-1}$ )	$[-0.56, +0.56]$	$[-1.1, +1.1]$

**Table 16.** The  $\Delta\chi^2 = 1$  (one-sigma) and  $\Delta\chi^2 = 4$  (two-sigma) bounds of  $\delta\kappa_\lambda$  for various scenarios, obtained in a global fit by profiling over all other EFT parameters. The results for HL-LHC are obtained from Ref. [100].

It is also important to note that the reach on  $\delta\kappa_\lambda$  in the global framework is significantly improved if an additional run at 350 GeV is available. The global constraint on  $\delta\kappa_\lambda$  is improved by almost one order of magnitude with  $1.5 \text{ ab}^{-1}$  data collected at the 350 GeV on top of the  $5 \text{ ab}^{-1}$  at 250 GeV. The usefulness of the 350 GeV run in discriminating different EFT parameters is already discussed in Section 7.2. In addition, it was pointed out in Refs. [67, 99] that the sensitivity of  $\sigma(HZ)$  to  $\delta\kappa_\lambda$  is maximized near the  $HZ$  threshold and

<sup>6</sup> A better precision can be obtained by also using the exclusive channels, such as  $\sigma(HZ) \times \text{BR}(H \rightarrow b\bar{b})$ , but would require an even stronger assumption that all Higgs couplings contributing to the branching ratios are also SM-like except the Higgs trilinear coupling.



**Figure 27.** Chi-square as a function of  $\delta\kappa_\lambda$  after profiling over all other EFT parameters for HL-LHC, CEPC and their combination.

decreases as the center of mass energy increases – a feature not exhibited by the other EFT parameters. Measuring  $e^+e^- \rightarrow HZ$  at two different energies is thus particularly helpful in discriminating  $\delta\kappa_\lambda$  with other EFT parameters.

We also note that a future proton collider running at  $E_{\text{CM}} = 100$  TeV can significantly improve the precision on the trilinear coupling to be about 5%. [Not sure whether we need this. Also ILC 1 TeV].

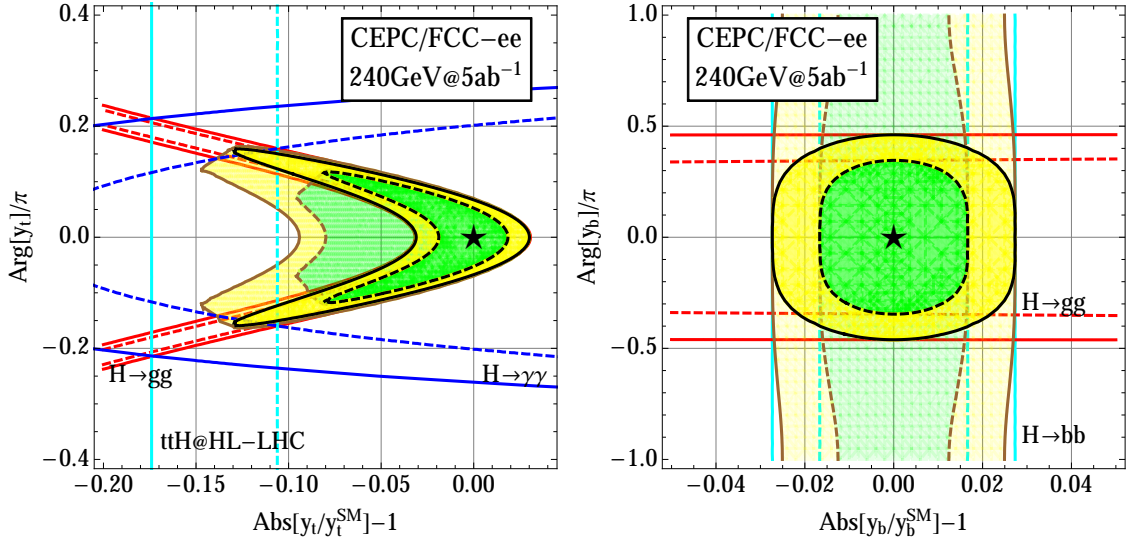
#### 7.4 Higgs and top couplings

Interactions of the Higgs boson with the top quark are widely viewed as a window to possible new physics beyond the Standard Model. Parameterizing effects of new physics in terms of dimension-six gauge-invariant operators modifying the Higgs-top interactions [? ?], the Higgs top couplings physics potential at CEPC can be evaluated [? ? ? ?]. This EFT basis enlarges the Higgs EFT considered above. Moreover, the CP violation effects in the third generation Yukawas, which can be reflect as the complexity of the Wilson coefficients of operator  $\mathcal{O}_{y_t}$  and  $\mathcal{O}_{y_b}$ ,

$$\Delta y_t = y_t^{\text{SM}} \left( \Re[C_{y_t}] \frac{v^3}{2m_t \Lambda^2} + i \Im[C_{y_t}] \frac{v^3}{2m_t \Lambda^2} \right) \quad (7.21)$$

$$\Delta y_b = y_b^{\text{SM}} \left( \Re[C_{y_b}] \frac{v^3}{2m_b \Lambda^2} + i \Im[C_{y_b}] \frac{v^3}{2m_b \Lambda^2} \right) \quad (7.22)$$

In this section, we show the effect of introducing CP phases in the Yukawa operators in Higgs physics. For more detailed discussion on a complete set of Higgs and Top operators, see Ref. [?].  $\mathcal{O}_{y_t}$  and  $\mathcal{O}_{y_b}$ , the dominant sources of constraints are from  $H \rightarrow \gamma\gamma$  and  $H \rightarrow gg$  for  $\mathcal{O}_{y_t}$ , and  $H \rightarrow gg$  and  $H \rightarrow b\bar{b}$  for  $\mathcal{O}_{y_b}$ . Given that  $H \rightarrow gg$  measurements are sensitive to both operators, a joint analysis of  $\mathcal{O}_{y_t}$  and  $\mathcal{O}_{y_b}$  will yield a significantly different result comparing to individual operator analysis. In this section, we perform a joint analysis for these two operators in terms of Yukawa coupling strengths and the associated CP phases, and highlight the important physics cases for such considerations.



**Figure 28.** Results for analysis on  $C_{y_t}$  and  $C_{y_b}$  in presentation of the projected allowed regions for modification to top and bottom Yukawa couplings in magnitude and CP phase at 68% and 95% confidence level. The results for CEPC are shown in black curves. The source of individual constraints for the single operator analysis are labelled correspondingly. For a joint analysis of simultaneous appearance of both  $\mathcal{O}_{y_t}$  and  $\mathcal{O}_{y_b}$  operators, the results for CEPC are shown in the enlarged yellow (95%) and green regions (68%) with thick brown boundary lines.

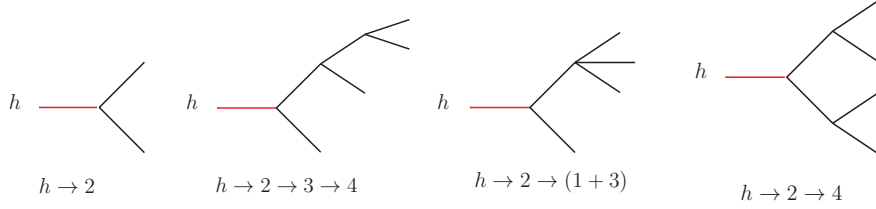
We show in Fig. 28 constraints on the top and bottom Yukawa coupling strengths and their CP phases in the left panel and right panel, respectively. The 68% and 95% exclusion bands are shown in solid and dashed lines, respectively. The limits for CEPC are shown in *bright* black and magenta lines for individual operator analysis and the *bright* green and yellow shaded regions representing the 68% and 95% allowed parameter space, respectively. The *dimmed* thick black curves represent turning on both operators  $\mathcal{O}_{tH}$  and  $\mathcal{O}_{bH}$  at the same time, using a profile-likelihood method profiling over other parameters. Furthermore, in the left panel the cyan band represents constraints from HL-LHC  $t\bar{t}H$  measurements, red bands are constraints from CEPC  $H \rightarrow gg$  measurements and blue bands are constraints from CEPC  $H \rightarrow \gamma\gamma$  measurements. Similarly in the right panel, the cyan bands are constraints from  $H \rightarrow b\bar{b}$  and the red bands are constraints from  $H \rightarrow gg$  at CEPC.

The left panel of Fig. 28 shows that the expected sensitivity on the modification in the magnitude of top Yukawa is at around  $\pm 3\%$  for the single operator analysis, which is relaxed to  $[-9.5\%, +3\%]$  for the joint analysis allowing the bottom Yukawa and the associated CP phase to vary freely, in the case of zero CP phase in the top Yukawa. The phase of the top Yukawa could be constrained to be  $\pm 0.16\pi$ . The constraints on the phase of the top Yukawa is driven by the  $H \rightarrow \gamma\gamma$  measurements, where a sizable phase shift will enlarge the Higgs to diphoton rate via reducing the interference with SM  $W$ -loop. The constraints on the magnitude of the top Yukawa modification is driven by the  $H \rightarrow gg$  measurements due to the dominant contribution to  $H \rightarrow gg$  being from top-loop. Note that constraints from  $H \rightarrow gg$  measurement is not entirely vertical, this is a result of the different sizes of

the top loop contribution to  $Hgg$  through scalar and pseudoscalar couplings. Similarly, as shown in the right panel of Fig. 28 for the bottom Yukawa magnitude modification, the constraint is  $\pm 2.5\%$  and, for the bottom Yukawa CP phase, the constraints changes from  $\pm 0.47\pi$  to no constraint for simultaneous modification to top Yukawa.

## 7.5 Higgs Exotic Decays

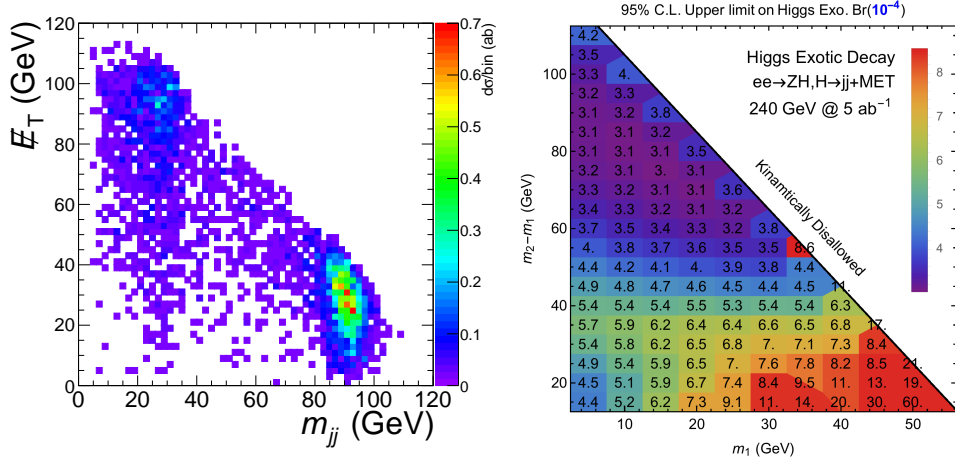
Higgs boson can be an important portal to new physics beyond the Standard Model. Such new physics could manifest itself through Higgs exotic decays if some of the degrees of freedom are light. The Higgs boson BSM decays have a rich variety of possibilities. To organize this study on Higgs boson BSM decays. We focus on two-body Higgs decays into BSM particles, dubbed as  $X_i$ ,  $H \rightarrow X_1 X_2$ , which are allowed to subsequently decay further, up to four-body final states. The cascade decay modes are classified into four cases, schematically shown in Fig. 29. These processes can be motivated by SM+singlet extensions, two-Higgs-doublet-models, SUSY models, Higgs portals, gauge extensions of the SM [101–103].



**Figure 29.** The topologies of the SM-like Higgs exotic decays.

For CEPC running at the center of mass energy  $240 \sim 250$  GeV, the most important Higgs production mechanism is  $Z$ -Higgs associated production  $e^+e^- \rightarrow Z^* \rightarrow ZH$ . The  $Z$  boson with visible decays enables Higgs tagging using the “recoil mass” technique. A cut around the peak of the recoil mass spectrum would remove the majority of the SM background. To demonstrate a typical Higgs exotic search at CEPC, we show one benchmark processes from our analysis,  $H \rightarrow jj + \cancel{E}_T$  and  $H \rightarrow b\bar{b} + \cancel{E}_T$ . In the last part of this section, we present the summary for Higgs exotic decay physics potential at CEPC for an integrated luminosity of  $5 \text{ ab}^{-1}$  and  $10 \text{ ab}^{-1}$  operated at 240 GeV. The details of these analysis can be found in Ref. [103].

For numerical analyses, we generate both the signal and the background events for a 240 GeV electron-positron collider with **MadGraph5** at parton level [104]. We describe here our parameter choices for the detector effects, and our pre-selection cuts that are universal for the analyses for all Higgs exotic decay mode. All of the visible particles in the final state are required to have  $|\cos \theta| < 0.98$ , or equivalently  $|\eta| < 2.3$ . The final state particles are required to be well separated with  $y_{ij} \equiv 2 \min(E_i^2, E_j^2) (1 - \cos \theta_{ij}) / E_{vis}^2 \geq 0.001$ . We only study the case where the  $Z$  boson decays into  $\ell^+ \ell^-$  where  $\ell^\pm = e^\pm, \mu^\pm$ . The signal events are required to contain at least a pair of opposite-sign same-flavor charged leptons with an opening angle greater than  $80^\circ$ , and satisfy  $E_\ell > 5 \text{ GeV}$  and  $|m_{\ell\ell} - m_Z| < 10 \text{ GeV}$ , where  $m_{\ell\ell}$  is the invariant mass of the di-lepton system. The recoil mass is defined as



**Figure 30. left panel:** The invariant mass distribution of the SM backgrounds for  $\ell^+\ell^-\nu_\ell\bar{\nu}_\ell jj$  in the  $m_{jj}$ - $\cancel{E}_T$  plane. **Right panel:** The 95% C.L. upper limit on the Higgs exotic decay branching fractions into  $jj + \cancel{E}_T$  for various lightest detector-stable particle mass  $m_1$  and mass splittings  $m_2 - m_1$ .

1220  $m_{\text{recoil}}^2 \equiv s - 2\sqrt{s}E_{\ell\ell} + m_{\ell\ell}^2$  where  $E_{\ell\ell} = E_{\ell^+} + E_{\ell^-}$ . The recoil mass is required to satisfy  
 1221  $|m_{\text{recoil}} - m_H| < 5$  GeV. To suppress the ISR contribution to the backgrounds<sup>7</sup>, for Higgs  
 1222 exotic decay modes without missing energy, we require the events to have the total visible  
 1223 energy  $E_{\text{vis}} > 225$  GeV. We mimic the detector resolution effect by adding Gaussian  
 1224 smearing effects on the four-momentum of the particles, details can be found in Ref. [103].

1225  $h \rightarrow jj + \cancel{E}_T$

1226 The SM-like Higgs boson decays into  $X_2 X_1$  with  $X_2 \rightarrow X_1 jj$  through an off-shell interme-  
 1227 diate state gives raise to this exotic decay mode. Beyond the pre-selection cut and the recoil  
 1228 mass cut, we require that there are two additional jets which satisfy  $E_j > 10$  GeV and  $|\cos\theta_j| <$   
 1229 0.98. The dominant background after the recoil mass cut will clearly be the Higgsstrahlung  
 1230 process with  $H \rightarrow ZZ^* \rightarrow q\bar{q}\nu\bar{\nu}$ . After the recoil mass cut, the SM background cross  
 1231 section is 0.063 fb. The dijet invariant mass ( $m_{jj}$ ) distribution and the two-dimensional  
 1232 differential distribution of  $m_{jj}$  versus  $\cancel{E}_T$  of the SM background after the recoil mass cut  
 1233 are shown in the left panel of Fig. 30. There is a clear valley in the distribution between 35  
 1234 to 75 GeV, in which none of the  $Z$  bosons from the SM-like Higgs boson decay are on-shell  
 1235 and thus the  $H \rightarrow q\bar{q}\nu\bar{\nu}$  is doubly suppressed.

1236 We use the likelihood function of the  $m_{b\bar{b}}\text{-}\cancel{E}_T$  distribution to derive the exclusive limit.  
 1237 The results are shown in the right panel of Fig. 30 in the plane of  $X_1$ , mass  $m_1$ , and the  
 1238 mass splitting between  $X_2$  and  $X_1$ ,  $m_2 - m_1$  for  $h \rightarrow jj + \cancel{E}_T$ . The exclusion limits on the  
 1239 branching fraction in the bulk region of the parameter space reach  $3 \times 10^{-4} \sim 8 \times 10^{-4}$  for  
 1240  $h \rightarrow jj + \cancel{E}_T$ .

<sup>7</sup>Corrections from beamstrahlung effect [105] and ISR effect [106] need to be carefully taken into account for certain processes relying a precise reconstruction of the recoil mass.

**Table 17.** The current and projected limits on selected Higgs exotic decay modes for the (HL-)LHC and CEPC with  $5 \text{ ab}^{-1}$  integrated luminosity, based upon results from Ref. [103]. The projections for the HL-LHC are collected in the third column, where the limits for  $100 \text{ fb}^{-1}$  and  $300 \text{ fb}^{-1}$  alone are shown in parentheses and square brackets respectively.

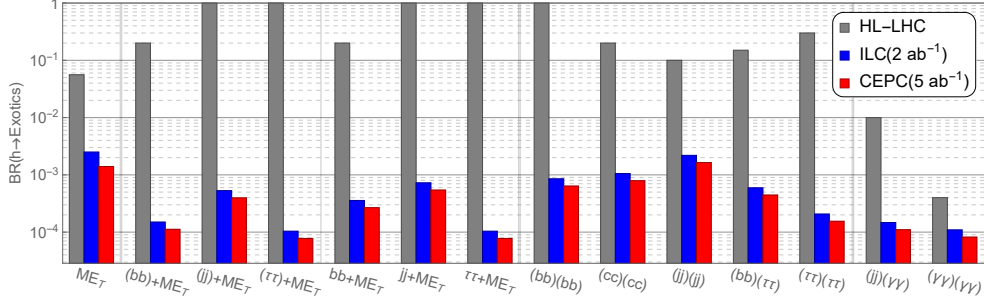
Decay Mode	95% C.L. limit on Br		
	LHC	HL-LHC	CEPC
$\cancel{E}_T$	0.23	0.056	0.014
$(b\bar{b}) + \cancel{E}_T$	–	[0.2]	$1 \times 10^{-4}$
$(jj) + \cancel{E}_T$	–	–	$4 \times 10^{-4}$
$(\tau^+ \tau^-) + \cancel{E}_T$	–	[1]	$8 \times 10^{-5}$
$b\bar{b} + \cancel{E}_T$	–	[0.2]	$2 \times 10^{-4}$
$jj + \cancel{E}_T$	–	–	$5 \times 10^{-4}$
$\tau^+ \tau^- + \cancel{E}_T$	–	–	$8 \times 10^{-5}$
$(b\bar{b})(b\bar{b})$	1.7	(0.2)	$6 \times 10^{-4}$
$(c\bar{c})(c\bar{c})$	–	(0.2)	$8 \times 10^{-4}$
$(jj)(jj)$	–	[0.1]	$2 \times 10^{-3}$
$(b\bar{b})(\tau^+ \tau^-)$	[0.1]	[0.15]	$4 \times 10^{-4}$
$(\tau^+ \tau^-)(\tau^+ \tau^-)$	[1.2]	[0.2 ~ 0.4]	$2 \times 10^{-4}$
$(jj)(\gamma\gamma)$	–	[0.01]	$1 \times 10^{-4}$
$(\gamma\gamma)(\gamma\gamma)$	$[7 \times 10^{-3}]$	$4 \times 10^{-4}$	$8 \times 10^{-5}$

From the exclusion limits shown in the right panel of Fig. 30, we find that when the mass splitting  $m_2 - m_1$  is around 80 GeV, the future lepton colliders have the strongest sensitivities on these Higgs exotic channels, reaching around  $3.1 \times 10^{-4}$  for  $H \rightarrow jj + \cancel{E}_T$ . When  $X_1$  is light and  $m_2 - m_1$  is large, the energy is shared by the two jets and the  $X_1$ . Consequently, when the mass splitting  $m_2 - m_1$  is around 80 GeV, the dijet invariant mass will be around 40~60 GeV, falling in the “valley” of low SM background as shown in the left panel of Fig. 30. For heavier  $X_1$ , the MET will be lower due to less momentum available for the LSP. The optimal limits will be reached for an even smaller mass splitting.

### Summary and outlook on Higgs exotic decays at CEPC

We summarize the set of Higgs exotic decays in Table 17, including current and projected LHC constraints, and limits from our study for the CEPC with  $5 \text{ ab}^{-1}$  integrated luminosity. For the LHC constraints, we tabulate both the current limits and projected limits on these exotic decay channels from various references. We choose to focus on comparison for particular benchmark points, which is sufficient to demonstrate the qualitative difference between the LHC and CEPC.

In the summary in Table 17 and the corresponding Fig. 31, the exotic Higgs decay channels are selected such that they are hard to be constrained at the LHC. The improvements on the limits of the Higgs exotic decay branching fractions vary from one to four orders of magnitude for these channels. The lepton colliders can improve the limits on the Higgs invisible decays beyond the HL-LHC projection by one order of magnitude, reaching the SM invisible decay branching fraction of 0.12% from  $H \rightarrow ZZ^* \rightarrow \nu\bar{\nu}\nu\bar{\nu}$  [36]. After subtraction of the SM contribution to the Higgs to invisible decays, a 95% C.L. upper limit can be placed on BSM Higgs For the Higgs exotic decays into hadronic particle plus miss-



**Figure 31.** The 95% C.L. upper limit on selected Higgs exotic decay branching fractions at HL-LHC, ILC and CEPC, based on Ref [103]. The benchmark parameter choices are the same as in Table 17. We put several vertical lines in this figure to divide different types of Higgs exotic decays.

ing energy,  $b\bar{b} + \cancel{E}_T$ ,  $jj + \cancel{E}_T$  and  $\tau^+\tau^- + \cancel{E}_T$ , the future lepton colliders improve on the  
 HL-LHC sensitivity for these channels by roughly three to four orders of magnitude. This  
 great advantage benefits a lot from low QCD background and the Higgs tagging from recoil  
 mass technique at future lepton colliders. As for the Higgs exotic decays without missing  
 energy, the improvement varies between two to three orders of magnitude, except for the  
 one order of magnitude improvement for the  $(\gamma\gamma)(\gamma\gamma)$  channel. Being able to reconstruct  
 the Higgs mass from the final state particles at the LHC does provide additional signal-  
 background discrimination power and hence the improvement from CEPC on Higgs exotic  
 decays without missing energy is less impressive than for those with missing energy. Fur-  
 thermore, as discussed earlier, leptons and photons are relatively clean objects at the LHC  
 and the sensitivity at the LHC on these channels will be very good. CEPC complements  
 the HL-LHC for hadronic channels and channels with missing energy.

## 8 Implications

In this section, we briefly discuss the most important physics implications of the Higgs measurements at the CEPC. The measurements of the Higgs boson properties are essential to the understanding of the nature of electroweak symmetry breaking, which remains to be a central and open question in the Standard Model. In the SM, it is parameterized by the so-called “Mexican Hat” Higgs potential,

$$V(H) = -\frac{1}{2}\mu^2|H|^2 + \frac{\lambda}{4}|H|^4, \quad (8.1)$$

with the vacuum expectation value (VEV) of the Higgs field spontaneously breaking the  $SU(2)_L \times U(1)_Y$  gauge symmetry down to  $U(1)_{\text{em}}$ , and generating masses for the  $W$  and  $Z$  bosons. With the measurements of the Fermi constant (from muon decay) and the Higgs boson mass, the two parameters in Eq. 8.1,  $\mu^2$  and  $\lambda$ , are determined to very good precisions, and thus the SM Higgs potential is fully determined. However, we would like to emphasize that this simplicity is somewhat misleading, as our knowledge of the electroweak symmetry breaking is far from complete. First of all, even though the values of these parameters can be fixed by the experimental measurement, the SM does not contain an explanation of their sizes, and in particular why the electroweak scale appears to be many orders of magnitude smaller than the Planck scale. Further more, the Mexican Hat potential as well as the SM itself are model assumptions which needs to be explicitly tested by experiments before they are established to be correct. In this section, we will focus on the potential of using precision measurement of Higgs properties at the CEPC to address these important questions.

### 8.1 Naturalness of the electroweak scale

An important question associated with the electroweak symmetry breaking is naturalness. It arises from the need to explain the presence of the weak scale  $\Lambda_{\text{weak}} \sim 10^2$  GeV in terms of a more fundamental theory. New physics is necessarily involved in such a theory, as the SM itself could not answer this question. There are many new physics models which can potentially answer this question. However, a key question for any model of electroweak symmetry breaking, regardless of the model details, is what the scale of new physics is. For instance, if the new physics is the quantum gravity scale,  $M_{\text{Planck}} = 10^{19}$  GeV, then an immediate question is how to explain the 17 orders of magnitude difference between it and the electroweak scale. This is often denoted as the naturalness/hierarchy/fine-tuning problem. More generally, the weak scale in any such model can be expressed using dimensional analysis as

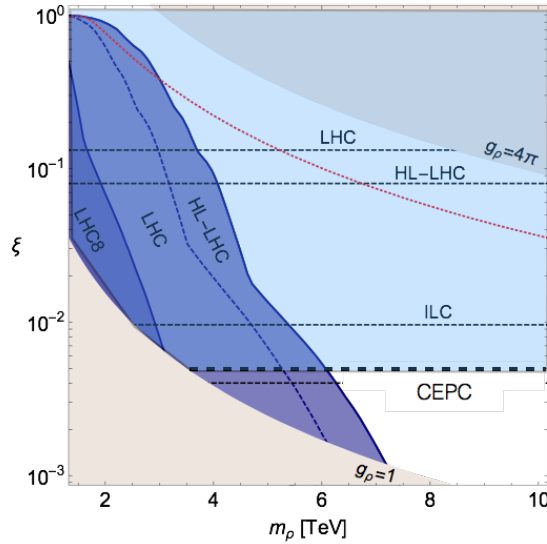
$$\Lambda_{\text{weak}}^2 \sim c_1 M_1^2 + c_2 M_2^2 + \dots, \quad (8.2)$$

where  $M_i \sim M_{\text{NP}}$  are the scale of new physics. They are typically the masses of the new physics particles. The  $c_i$  are numerical coefficients that depend on the details of the model. However, we do not expect them to be very different from order one. Therefore, a large and precise cancellation is needed if  $M_{\text{NP}} \gg \Lambda_{\text{EW}}$ , with the level of tuning proportional to  $M_{\text{NP}}^2$ . The discovery of the spin-zero Higgs boson deepens this mystery. While it is

possible to generate a large cancellation by imposing symmetries instead of tuning – one well-known example is the chiral symmetry which protects the masses of the light fermions from receiving large quantum corrections – there is no obvious symmetry that protects the mass of the Higgs boson if it is an elementary scalar particle. To avoid an excessive amount of fine tuning in the theory, the new physics cannot be too heavy, and should preferably be below the TeV scale. This is the main argument for TeV new physics based on naturalness.

Searching for new physics which leads to a natural electroweak symmetry breaking has been and will continue to be a main part of the physics program at the LHC. Looking for signals from the direct production of the new physics particles, the LHC will probe the new physics scale up to a few TeV. At the same time, as we will show below, the precision measurements at the CEPC can provide competitive reaches, and has the potential of probing significant higher new physics scales for many scenarios. In addition, the reach of the LHC searches has a strong dependence on the production and decay modes of the new physics particles. The measurements at the CEPC thus provides crucial complementary information and can cover some scenarios that the LHC has difficulties to probe. Indeed, the precision measurement of the Higgs couplings offers a very robust way of probing new physics related to electroweak symmetry breaking. Any such new physics would necessarily contain particles with sizable couplings to the Higgs boson, which leave their imprints in the Higgs couplings. Such a model independent handle is of crucial importance, given the possibility that the new physics could simply be missed by the LHC searches designed based on our wrong expectations of it.

In the following, we demonstrate the potential of probing new physics in several broad classes of models which can address the naturalness of the electroweak symmetry breaking.



**Figure 32.** Limits on the composite Higgs model from both direct searches at the LHC and precision measurement at the CEPC. *Is this reproduced from Ref. [107]? (needs to be updated)*

One obvious idea is that the Higgs boson is a composite particle instead of an elementary one. After all, many composite light scalars already exist in nature, such as the QCD

mesons. The composite Higgs can thus be regarded as a close analogy of the QCD mesons. A light Higgs boson can be naturally obtained if it is implemented as a pseudo-Nambu-Goldstone boson with new dynamics at scale  $f$ . Its physics can be described by a chiral Lagrangian similar to that of the low energy QCD. The explicit breaking comes from the couplings which are responsible for the SM fermion masses, and the SM gauge couplings. In this case, the Higgs boson would not unitarize the  $WW$  scattering amplitude completely, and its coupling to  $W$  and  $Z$  will be shifted by (only true in minimal models?)

$$\kappa_W, \kappa_Z \simeq \sqrt{1 - \frac{v^2}{f^2}}. \quad (8.3)$$

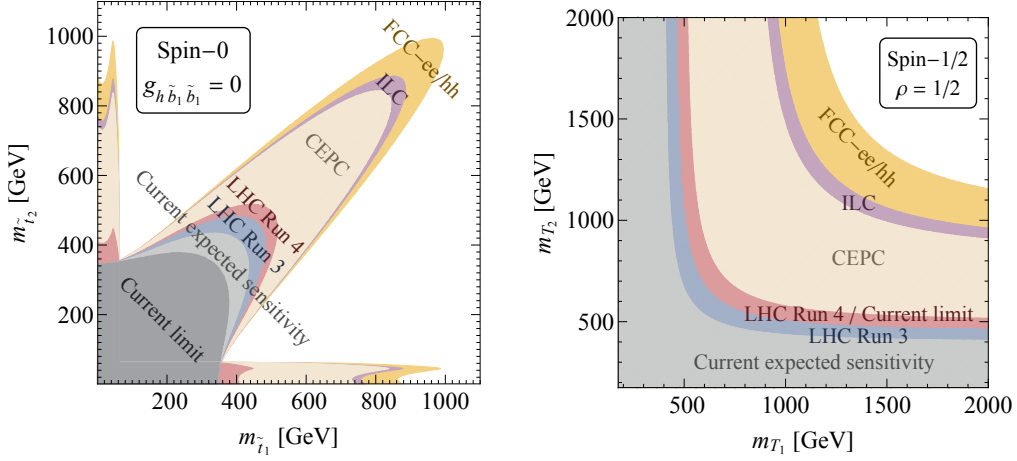
Therefore, the measurement of  $\kappa_Z$  provides a strong and robust constraint on  $f$ . Taking the results of the 10-parameter fit in Table 12, a precision of 0.21% on  $\kappa_Z$  implies that values of  $f$  below 2.7 TeV are excluded at 95% CL. For specific models, an even stronger bound on  $f$ , up to around 5 TeV, can be obtained by exploiting also its contributions to other Higgs couplings [108]. The masses of the composite resonances are given by  $m_\rho \sim g_\rho f$ , where  $g_\rho$  is the coupling of the new strong interaction, with a size typically much larger than one. This indicates that the CEPC has the potential to probe composite resonance scales much above 10 TeV, which is far beyond the reach of the LHC direct searches. The Higgs measurements at the CEPC thus provides a strong and robust test of the idea of naturalness in the composite Higgs models.

Due to the large Higgs boson coupling to the top quark, arguably the most important particle in addressing the naturalness problem is the top partner. For example, in supersymmetric models (SUSY), the particle mainly responsible for stabilizing the electroweak scale is the scalar top,  $\tilde{t}$ . The presence of stop will modify the Higgs couplings via a loop contribution, which is most notable for the  $Hgg$  and  $H\gamma\gamma$  couplings since they are also generated at one-loop order in the SM. The dominant effect is on the  $Hgg$  coupling,

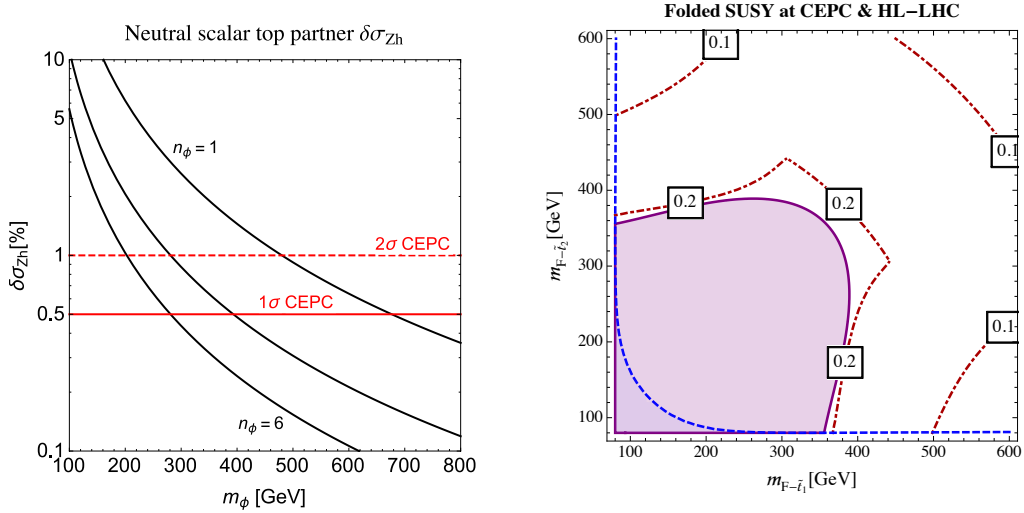
$$\kappa_g - 1 \simeq \frac{m_t^2}{4m_{\tilde{t}}^2}. \quad (8.4)$$

The measurement of  $\kappa_g$  at the CEPC, up to 1% accuracy, will allow us to probe stop mass up to 900 GeV [109, 110]. The situation is also very similar for non-SUSY models with fermionic top partners, with the bounds on the top partner mass being even stronger than the stop one [110]. The more detailed exclusion region in the top partner parameter space is presented in Fig. 33 for both scenarios. This gives us another important handle to test the idea of naturalness. We note that, in favorable cases, the search of stop at the LHC run 2 can set a stronger limit on the stop mass. However, this limit depends strongly on the assumption of the mass spectrum of the other superpartners, as well as the relevant decay modes of the stop. As a result, there will still be significant gaps remaining in the parameter space after the upcoming runs of the LHC, and even very light stops cannot be completely excluded. On the other hand, the measurement of the  $Hgg$  coupling offers a complementary way of probing the stop that is independent of the decay modes of the stop.

It is also possible that the top partner does not have the same SM gauge quantum numbers as the top quark. A particularly interesting possibility is that the top partner is a

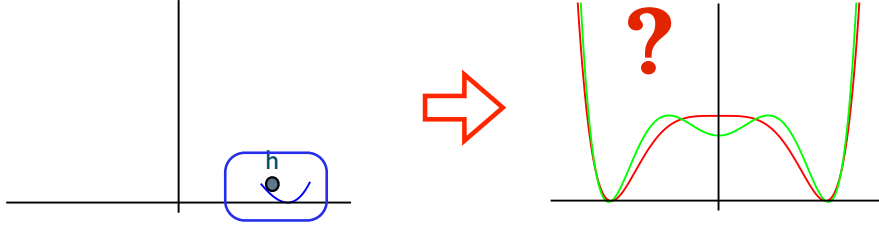


**Figure 33.** 95% CL Limits on the stop (left) and fermionic top partner (right) from Higgs coupling measurements at various current and future collider scenarios, including the CEPC. This figure is reproduced from Ref. [110].



**Figure 34. Left:** The fractional deviation of  $\sigma_{Zh}$  at the Higgs factory in the scalar singlet top partner model with the  $H^\dagger H \phi_t^\dagger \phi_t$  interaction, reproduced from Ref. [111]. **Right:** Projected constraints in the folded stop mass plane from the  $H\gamma\gamma$  coupling measurements at HL-LHC and CEPC, reproduced from Ref. [109]. The dot-dashed red contours indicate the fine-tuning in the Higgs mass from the quadratic sensitivity to stop soft terms.

1375 SM singlet. In such scenarios, it is very difficult to search for the top partner at the LHC. It  
 1376 is nontrivial to construct models with SM-singlet top partners that resolve the fine-tuning  
 1377 problem of the electroweak scale [112, 113]. Nevertheless, they offer an extreme example  
 1378 that new physics with a scale of a few hundred GeVs could still be alive after the current and  
 1379 future LHC runs. However, as mentioned earlier, any model that addresses the electroweak  
 1380 naturalness problem would inevitably contain sizable couplings to the Higgs boson. The



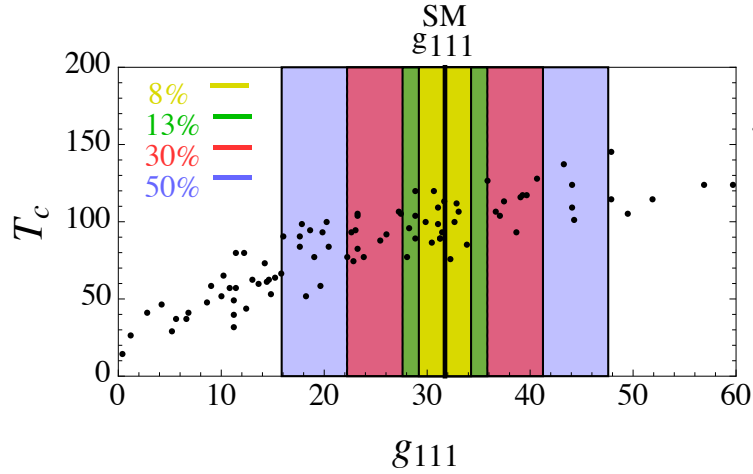
**Figure 35.** A schematic drawing illustrating the question of the nature of the electroweak phase transition. **Left:** Our current knowledge of the Higgs potential. **Right:** Based on our current knowledge, we could not distinguish the SM Mexican Hat potential from an alternative one with more wiggles.

Higgs coupling measurements at the CEPC thus offer an ideal way of testing this type of models, which is very important for making robust arguments on the naturalness problem. As an example, we consider a scalar top partner  $\phi_t$  with its only interaction to the SM fields given by  $H^\dagger H \phi_t^\dagger \phi_t$  [111, 114]. This interaction contributes to the Higgs propagator at one-loop level, and induces a universal shift to all Higgs couplings. The precise measurement of the inclusive  $HZ$  cross section imposes a strong constraint on  $\kappa_Z$  and provides the best reach on the mass of the top partner,  $m_\phi$ . As we can see from the left panel of Fig. 34, the CEPC will be able to probe  $m_\phi$  up to around 700 GeV, giving a non-trivial test of naturalness even in this very difficult scenario. A more concrete model is the so-called “folded SUSY”, in which the top partners are scalars analogous to the stops in SUSY. The projected constraints in the folded stop mass plane is shown on in the right panel of Fig. 34, which are at least around 350 GeV for both stops.

## 8.2 Electroweak phase transition

The measurement of the properties of the Higgs boson at the LHC has been consistent with the SM so far. At the same time, the nature of the electroweak phase transition remains unknown. While we have a very good knowledge of the sizes of the electroweak VEV and the Higgs mass, they only allow us to uncover a small region of the Higgs potential near the vacuum, and the global picture of the Higgs potential is largely undetermined. This is shown schematically in Fig 35. The remaining region of the Higgs potential is difficult to probe, even with an upgraded LHC. Meanwhile, it has important consequences on the early universe cosmology and the understanding of our observable world. For example, it is crucial in determining whether the electroweak phase transition is of first order or second order. The nature of the electroweak phase transition can also be relevant for the matter anti-matter asymmetry in the Universe, as a large class of models of baryogenesis rely on a first order electroweak phase transition. The CEPC has the capability of probing many of these models and potentially revealing the nature of the electroweak phase transition and the origin of baryogenesis.

It is well known that, under the assumption of a minimal Higgs potential and the Higgs sector of the SM, the electroweak phase transition is of second order. New physics

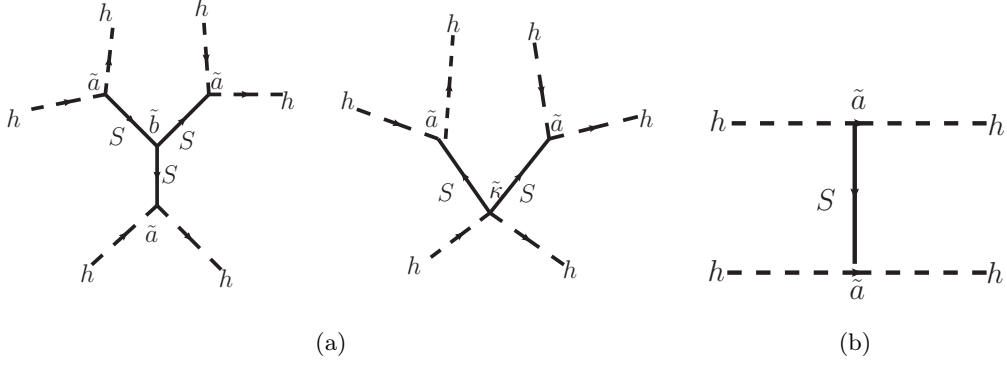


**Figure 36.** The deviation in the Higgs boson self-coupling in a generic singlet model that could produce first order electroweak phase transition, reproduced from Ref. [115]. Black dots are points where the phase transition is of first order.  $g_{111}$  is the triple Higgs boson coupling.

with sizable couplings to the Higgs boson are needed to make the phase transition a first order one. The measurement of the triple Higgs coupling offers an ideal testing ground for these new physics models. Being the third derivative, it carries more information about the global shape of the Higgs potential than the mass. It can also be determined to a reasonable precision at the future colliders, unlike the quartic Higgs coupling. Indeed, most models with first order electroweak phase transition predict a triple Higgs coupling with large deviations from the SM prediction. This is demonstrated with a simple example in Fig 36, which shows the deviation in the Higgs boson self-coupling for a generic singlet model. For the model points that produces a first order phase transition, the value of triple Higgs coupling indeed covers a wide range and can be different from the SM prediction by up to 100%.

The CEPC could probe the triple Higgs coupling via its loop contributions to single Higgs processes. As pointed out in Section 7.3, it will have a limited reach in the most general scenario where all Higgs couplings are allowed to deviate from SM values. An additional run at 350 GeV helps improve the reach, while a direct measurement using the double-Higgs processes would have to wait for a future proton proton collider, or a lepton collider running at much higher energies. However, it should be noted that the model independent approach in Section 7.3 makes no assumption on any possible connection between the triple Higgs coupling and other couplings. In practice, to induce large deviation in triple Higgs coupling requires the new physics to be close to the weak scale, while the presence of such new physics will most likely induce deviations in other Higgs couplings as well, such as the couplings to the electroweak gauge bosons. Without some symmetry or fine tuning, both deviations are expected to come in at the order of  $v^2/M_{\text{NP}}^2$ . Such deviations can be probed very well at lepton colliders.

We will now demonstrate this in the context of models. Instead of a comprehensive



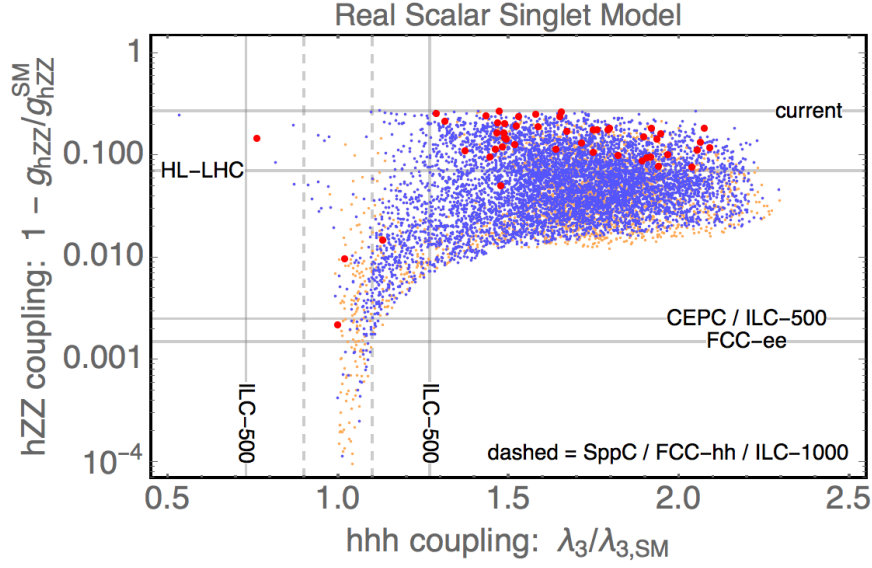
**Figure 37.** (a) Induced  $|H|^6$  couplings after integrating out the singlet. (b) Induced wavefunction renormalization of the Higgs,  $|H^\dagger \partial H|^2$ .

survey, we will focus here on some of the simplest possibilities which are also difficult to probe. The minimal model that has been well studied in this class introduces an additional singlet scalar which couples to the Higgs boson [115–120]. The general potential of the Higgs boson and the new scalar  $S$  is

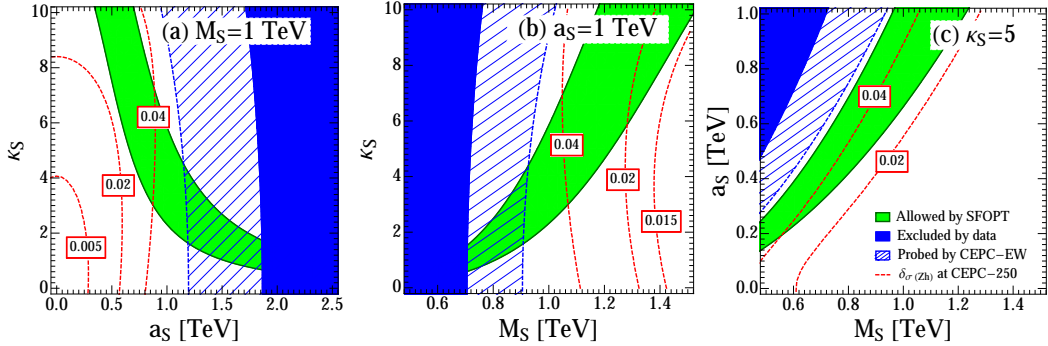
$$V(H, S) = \frac{1}{2}\mu^2|H|^2 + \frac{\lambda}{4}|H|^4 + m_S^2 S^2 + \tilde{a}S|H|^2 + \tilde{\kappa}S^2|H|^2 + \tilde{b}S^3 + \lambda_S S^4. \quad (8.5)$$

After integrating out the singlet, it will generate an  $|H|^6$  interaction (shown in panel (a) in Fig. 37), which, after electroweak symmetry breaking, leads to a modification of the triple Higgs coupling on the order of  $v^2/m_S^2$ . At the same time, it will also generate the operator  $|H^\dagger \partial H|^2$ . This leads to a wave function renormalization, which gives rises to universal shift of the Higgs couplings. In particular, the modification of the  $HZZ$  coupling is also of order  $\sim v^2/m_S^2$ . We thus expect  $\kappa_Z$ , which is constrained within 0.25% even with the inclusive  $HZ$  measurement alone, to provide the best constraining power on this model. This is explicitly verified with a scan in the model parameter space, shown in Fig. 38. The model points with a first order phase transition are projected on the plane of the  $HZZ$  and triple Higgs couplings. Indeed, for model points with a large deviation in the triple Higgs coupling, a sizable deviation in the  $HZZ$  coupling is also present. In this model, constraining power of the  $HZZ$  coupling measurement at CEPC is almost the same as the triple Higgs coupling measurement at a future 100 TeV hadron collider. A more detailed view of the parameter space of the real singlet model is presented in Fig. 39. In addition to the deviations in  $\sigma(HZ)$  at CEPC, the sensitivities of the current and future electroweak precision tests are also presented [121]. The  $\sigma(HZ)$  measurement, with a projected precision of 0.5%, indeed provides the best sensitivity in this scenario. We thus conclude that CEPC has an excellent coverage in the full model space that gives a first order electroweak phase transition.

A more restricted scenario, in which a discrete  $Z_2$  symmetry is imposed on the singlet, has also been considered [116, 120]. It is significantly more difficult to achieve a first order electroweak phase transition in this scenario, since the singlet could only modify the Higgs potential at loop levels. To produce the same level of deviation in the Higgs potential, a much stronger coupling between the Higgs boson and the singlet is required, which often

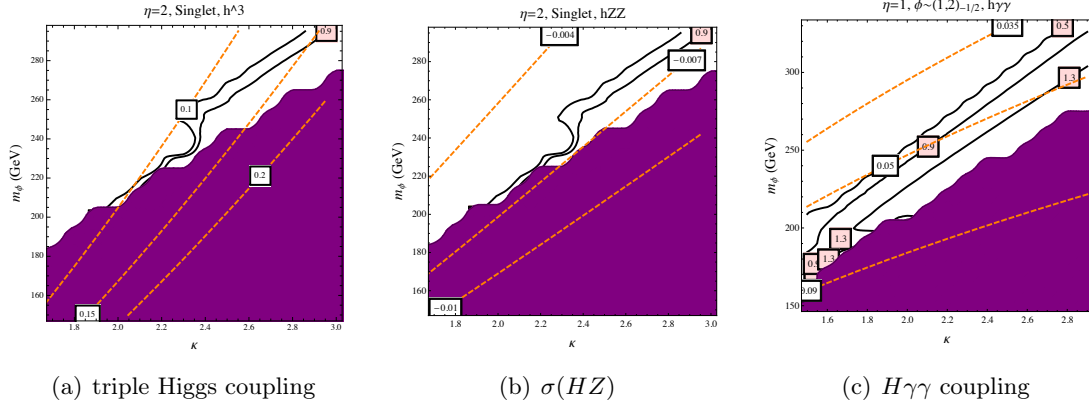


**Figure 38.** The  $hZZ$  and  $hhh$  couplings in the real scalar singlet model of Eq. 8.5. The points in this figure represent models with a first order electroweak phase transition, and are obtained by scanning over the theory space. Points with a first order phase transition are shown in orange, points with a strongly first order phase transition are shown in blue, and points with a strongly first order phase transition that also produces detectable gravitational waves are shown in red. This figure is reproduced from Ref. [122].



**Figure 39.** The parameter sapce compatible with a strong first order phase transition (green region) and the deviations in  $\sigma(HZ)$  (dashed red contours) in the real singlet scalar model, reproduced from Ref. [121]. The solid blue region is excluded by current EW and Higgs data, and the region with dashed blue lines can be probed by the CEPC Z-pole run.

1462 exceeds the limits imposed by the requirement of perturbativity. For the same reason, the  
 1463 expected loop induced deviation in the triple Higgs coupling is also generically smaller in  
 1464 this case, and is about 10 – 15%, as shown in Fig. 40(a). Even in this difficult case, we see in  
 1465 Fig. 40(b) that the expected deviation of the cross section  $\sigma(HZ)$  is about 0.6%. Therefore,  
 1466 the CEPC will see the first evidence of new physics even in this very difficult case. In the



## 9 Conclusion

The Higgs boson is responsible for the electroweak symmetry breaking. It is the only fundamental scalar particle in the Standard Model observed so far. The discovery of such a particle at the LHC is a major breakthrough on both theoretical and experimental fronts. However, the Standard Model is likely only an effective theory at the electroweak scale. To explore potential new physics at the electroweak scale and beyond, complementary approaches of direct searches at the energy frontier as well as precision measurements will be needed. The current LHC and the planned HL-LHC have the potential to significantly extend its new physics reach and to measure many of the Higgs boson couplings with precision of a few percents.

However, many new physics models predict Higgs boson coupling deviations at the sub-percent level, beyond those achievable at the LHC. The CEPC complements the LHC and will be able to study the properties of the Higgs boson in great details with unprecedented precision. Therefore it is capable of unveiling the true nature of this particle. At the CEPC, most Higgs boson couplings can be measured with precision at a sub-percent level. More importantly, the CEPC will be able to measure many of the key Higgs boson properties such as the total width and decay branching ratios model independently, greatly enhancing the coverage of new physics searches. Furthermore, the clean event environment of the CEPC will allow the detailed study of known decay modes and the identification of potential unknown decay modes that are impractical to test at the LHC.

This paper provides a snapshot of the current studies, many of them are ongoing and more analyses are needed to fully understand the physics potential of the CEPC. Nevertheless, the results presented here have already built a strong case for the CEPC as a Higgs factory. The CEPC has the potential to “undress” the Higgs boson as what the LEP has done to the  $Z$  boson, and shed light on new physics.

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