



# Anomalies in B decays

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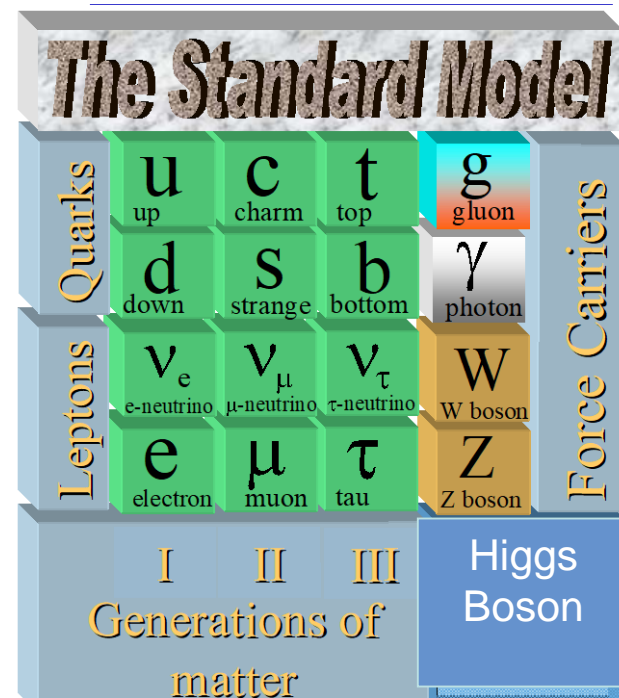
- Introduction
- Anomalies
  - ✓  $b \rightarrow cl\bar{\nu}$ : lepton universality test
  - ✓  $b \rightarrow sl^+l^-$ : lepton universality test & angular analysis
- Summary and outlook

华南师范大学，2018年11月12日

# Introduction

# Standard Model of particle physics

- Successful but many things unexplained
  - Gravity
  - Dark matter, dark energy
  - Smallness of Higgs mass
  - Neutrino mass
  - Matter-antimatter imbalance
  - Why three generations



Gravity  
  
How  
does  
that fit  
in ?



- Hunting for new physics beyond the SM at the LHC
  - Direct search at energy frontier (ATLAS, CMS)
  - Indirect search in flavor physics (LHCb)

# LHCb Collaboration

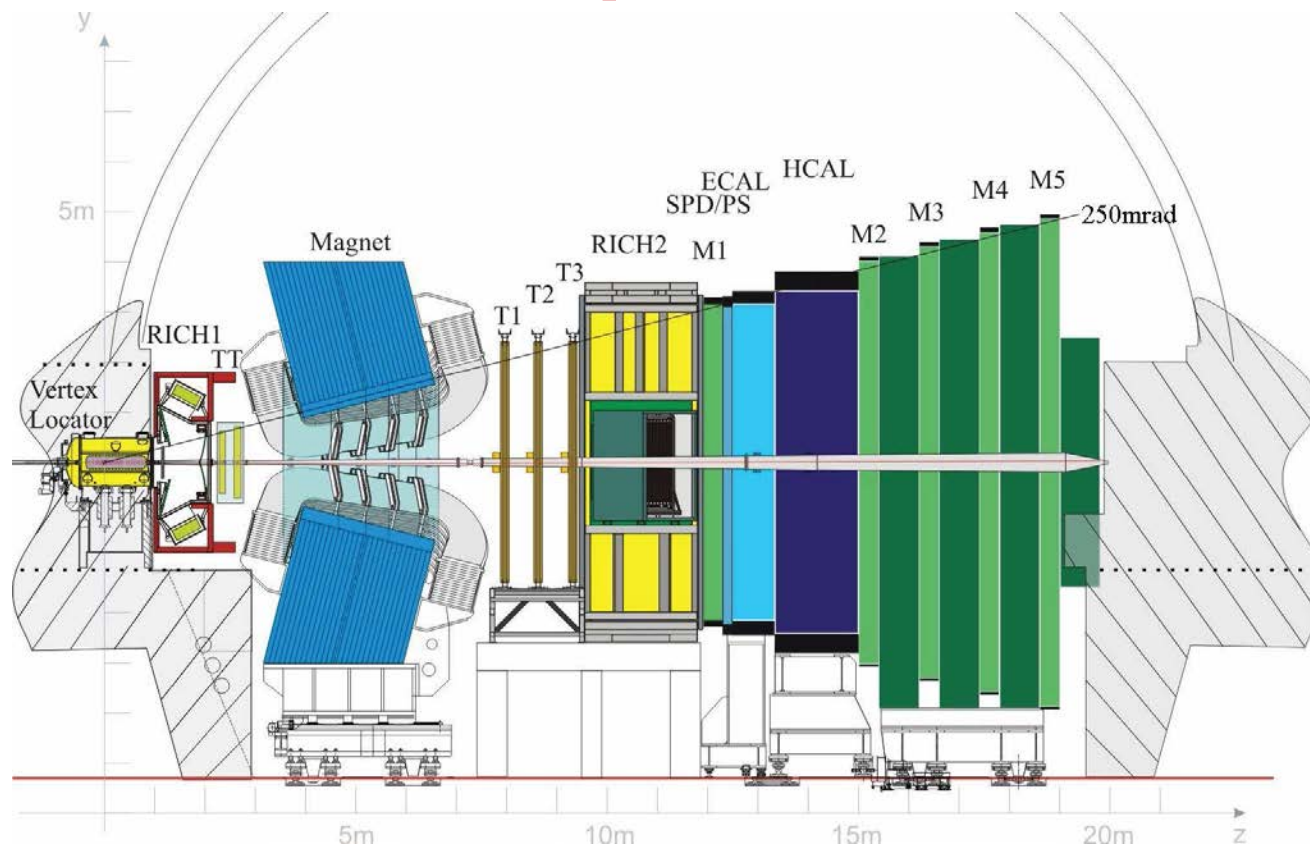


**1263 members, 77 institutes, 17 countries**

**中国: 清华大学, 华中师大, 国科大, 武汉大学, 高能所, 华南师大**



# LHCb experiment



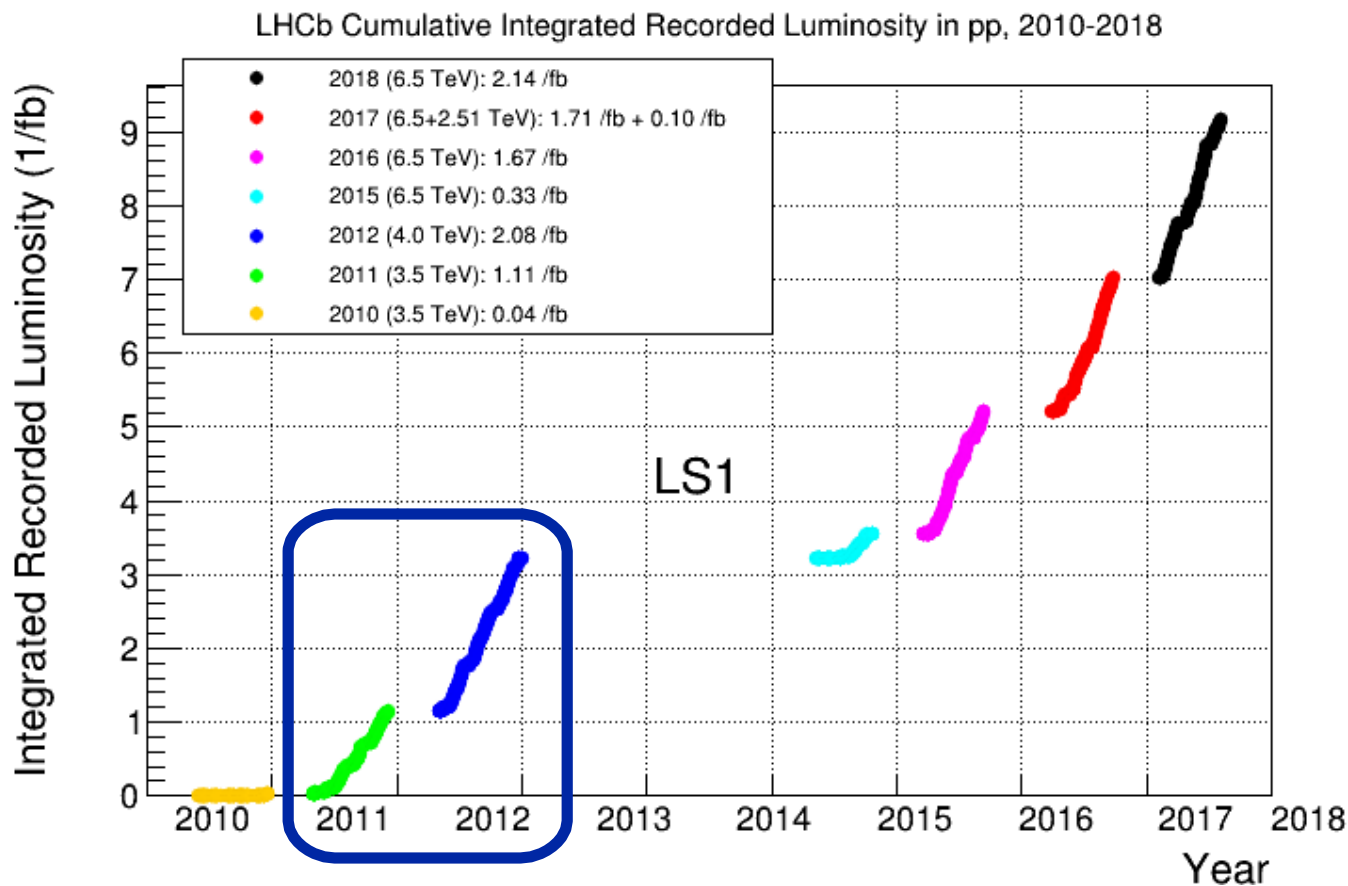
## Design for flavor physics

- Charge-parity violation
- Rare B, D and K decays
- Lepton universality test
- Hadron spectroscopy

## Already a general purpose detector in forward region

- Electroweak physics
- Heavy ion collisions

# LHCb running (pp collisions)

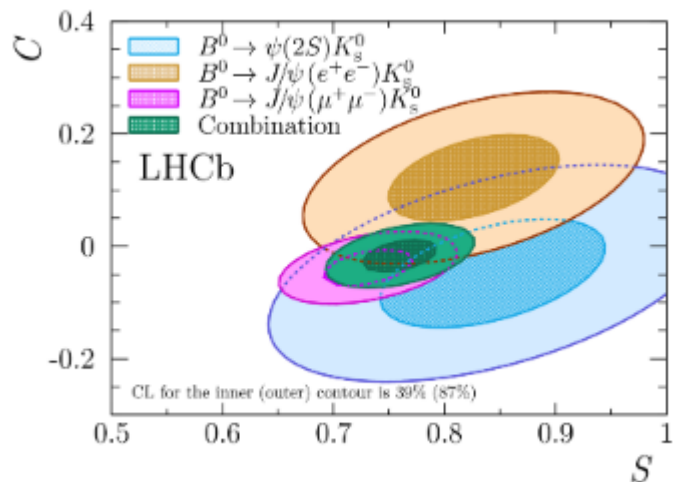


- **9 fb<sup>-1</sup> accumulated after Run-2**
- **Results presented here based on Run-I data:  
1 fb<sup>-1</sup> at 7 TeV + 2 fb<sup>-1</sup> at 8 TeV**

# LHCb highlights

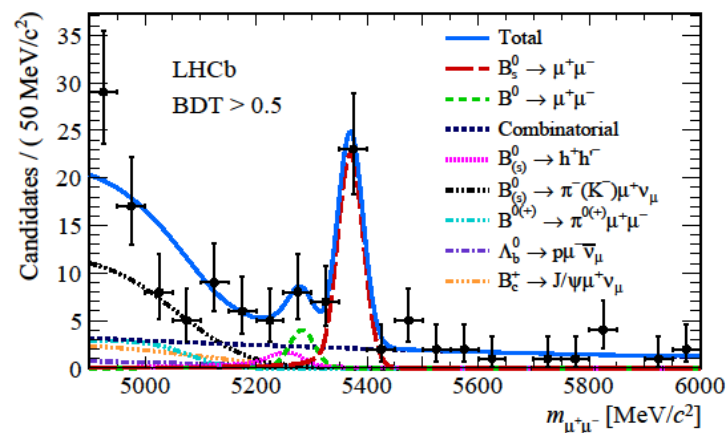
## CP破坏关键参数 $\phi_s$ 的精确测量

PRL 114 (2015) 041801



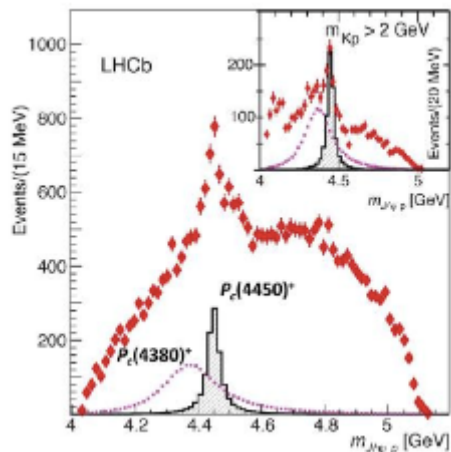
## 观察到极稀有衰变 $B_s \rightarrow \mu^+ \mu^-$

PRL118 (2017) 191801



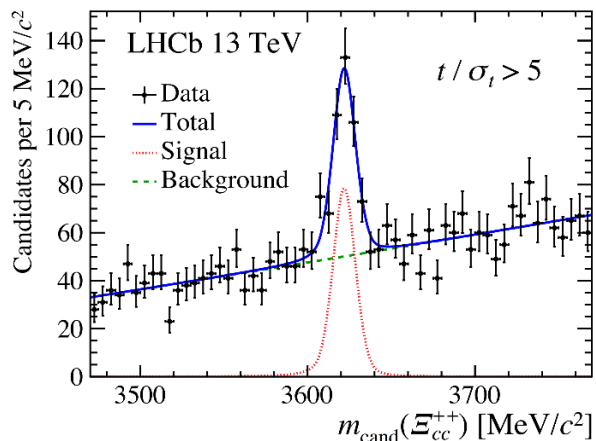
## 五夸克态的发现

PRL 115 (2015) 072001



## 双粲重子的发现

PRL 119 (2017) 112001



**$b \rightarrow cl\bar{\nu}_l$ : lepton universality test**



# Lepton universality (LU)

- SM: couplings of gauge bosons are identical for  $l = e, \mu, \tau$



- Branching fractions to different lepton generations differ only due to lepton masses

$$R_W = \frac{B(W \rightarrow \tau \bar{\nu}_\tau)}{B(W \rightarrow \mu \bar{\nu}_\mu)}$$

$$R_{D^{(*)}} = \frac{B(B \rightarrow D^{(*)} \tau \bar{\nu}_\tau)}{B(B \rightarrow D^{(*)} \mu \bar{\nu}_\mu)}$$

$$R_Z = \frac{B(Z \rightarrow \tau^+ \tau^-)}{B(Z \rightarrow \mu^+ \mu^-)}$$

$$R_{K^{(*)}} = \frac{B(B \rightarrow K^{(*)} \mu^+ \mu^-)}{B(B \rightarrow K^{(*)} e^+ e^-)}$$

# Previous LU tests

- Lepton couplings have **been thoroughly tested** at LEP, NA42, BESIII, CLEO and many other experiments
  - Neutral currents: universal within 2% precision
  - Charged currents: universal within 2% for  $l = e, \mu$

**LEP:  $2.8\sigma$  upward tension in  $W \rightarrow \tau\bar{\nu}_\tau$  CERN-PH-EP/2005-051**

Assuming only partial lepton universality the ratio between the tau fractions and the average of electrons and muons can also be computed:

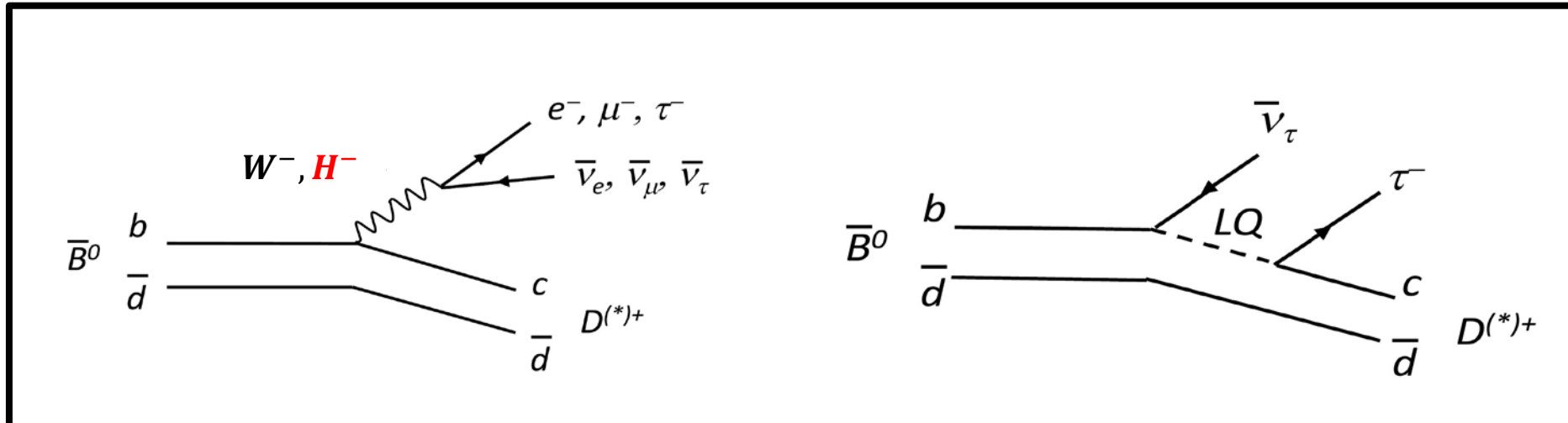
$$2\mathcal{B}(W \rightarrow \tau\bar{\nu}_\tau) / (\mathcal{B}(W \rightarrow e\bar{\nu}_e) + \mathcal{B}(W \rightarrow \mu\bar{\nu}_\mu)) = 1.077 \pm 0.026$$

resulting in a poor agreement at the level of 2.8 standard deviations, with all correlations included.

# Tree-level LU tests

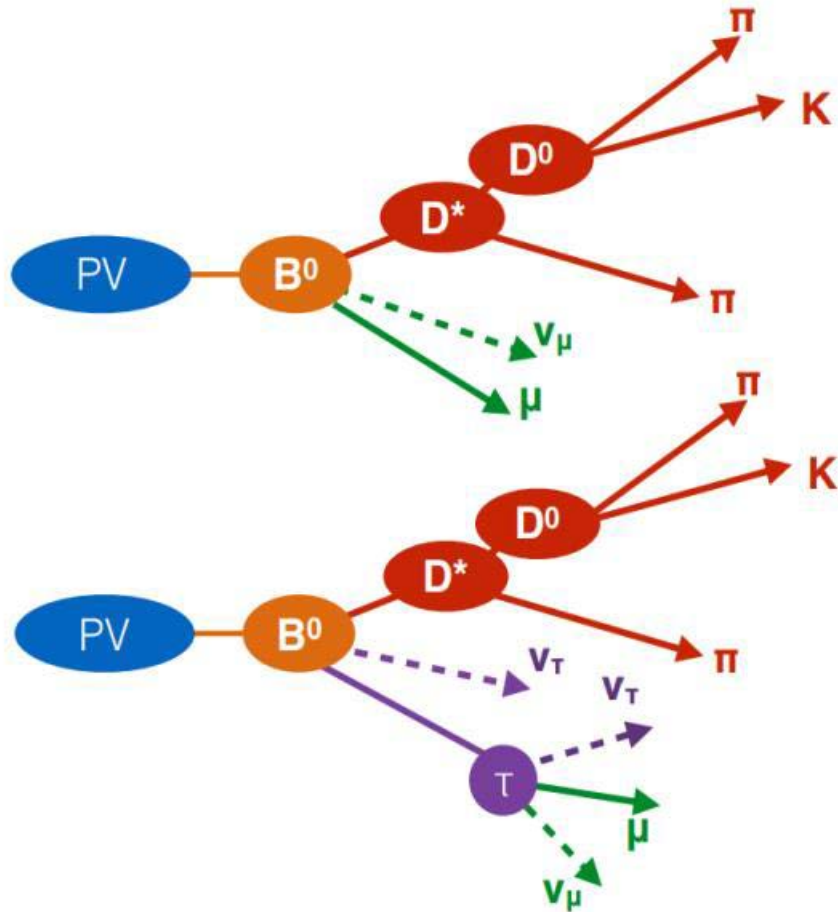
$$R(D^{(*)}) = \frac{B(B \rightarrow D^{(*)} \tau \bar{\nu}_\tau)}{B(B \rightarrow D^{(*)} l \bar{\nu}_l)} \quad (l = e, \mu)$$

- Tree-level  $b \rightarrow cl^- \bar{\nu}_l$  transitions are well understood in SM
- Sensitive to **extended Higgs sector** or **Leptoquarks**, which are expected to couple predominantly to 3<sup>rd</sup> generation leptons



$$B \rightarrow D^* \tau \bar{\nu}_\tau \text{ with } \tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau$$

Vertices => B flight direction => B momentum => missing mass



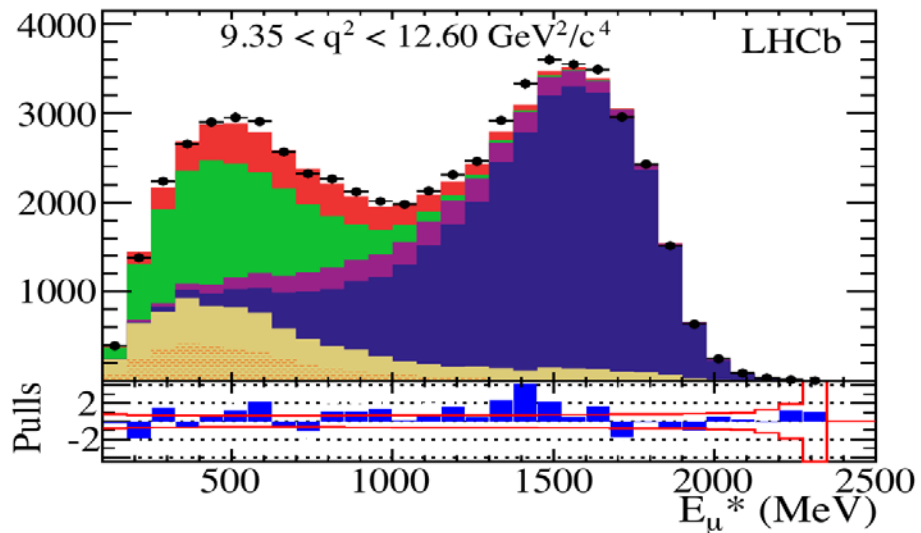
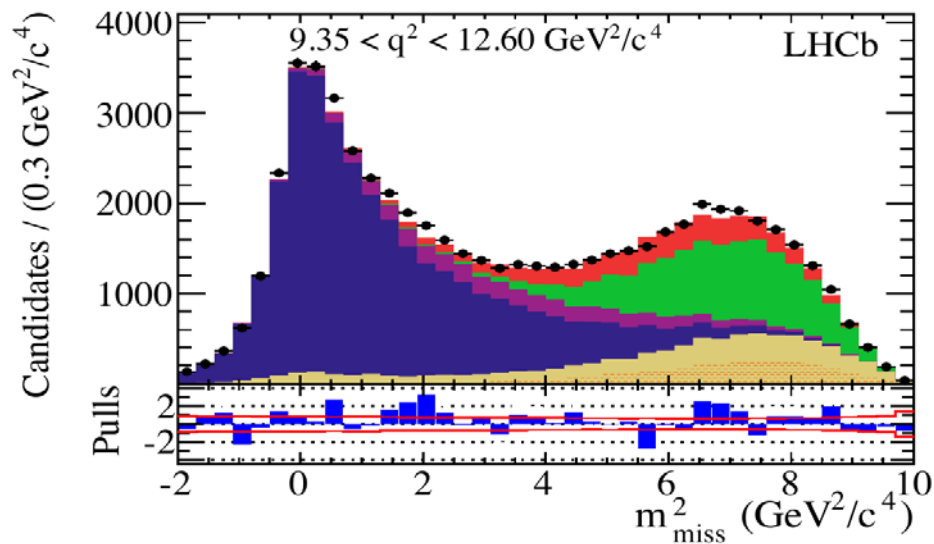
$$B \rightarrow D^* l \bar{\nu}_l$$

Small missing mass

$$B \rightarrow D^* \tau \bar{\nu}_\tau$$

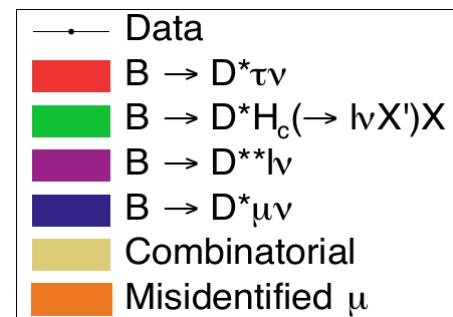
Large missing mass

# $R(D^*)$ with $\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau$



PRL115 (2015) 11803

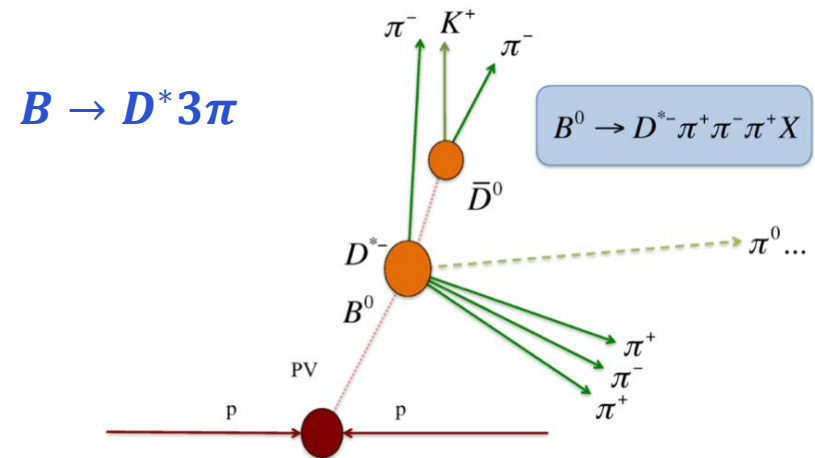
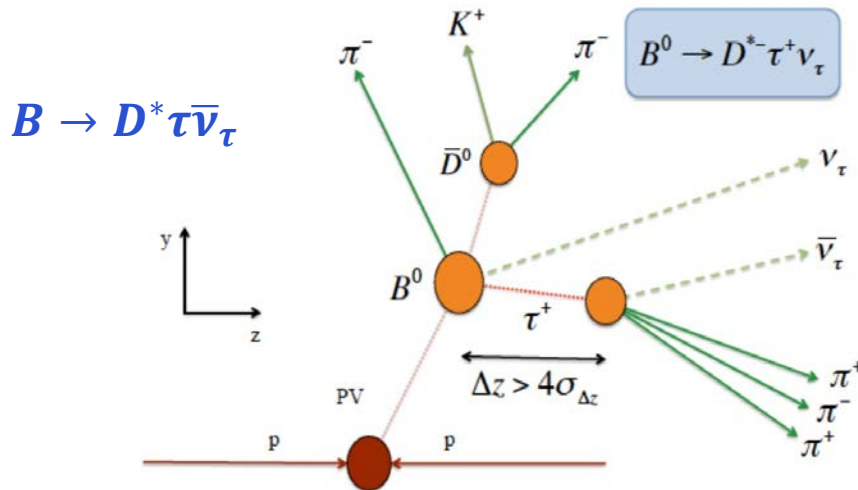
$$R(D^*) = 0.306 \pm 0.013 \pm 0.007$$



$2\sigma$  above SM prediction:  $R^{SM}(D^*) = 0.258 \pm 0.005$

# $R(D^*)$ with $\tau^- \rightarrow \pi^- \pi^+ \pi^- \nu_\tau$

$$R_{D^*} = \underbrace{\frac{\mathcal{B}(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{\mathcal{B}(B^0 \rightarrow D^{*-} 3\pi)}}_{\text{measured ratio } \mathcal{K}(D^{*-})} \cdot \underbrace{\frac{\mathcal{B}(B^0 \rightarrow D^{*-} 3\pi)}{\mathcal{B}(B^0 \rightarrow D^{*-} \mu^+ \nu_\mu)}}_{\text{external inputs}}$$



$$R(D^*) = 0.291 \pm 0.019 \pm 0.029$$

PRL120 (2018) 171802

**1 $\sigma$  above SM prediction**

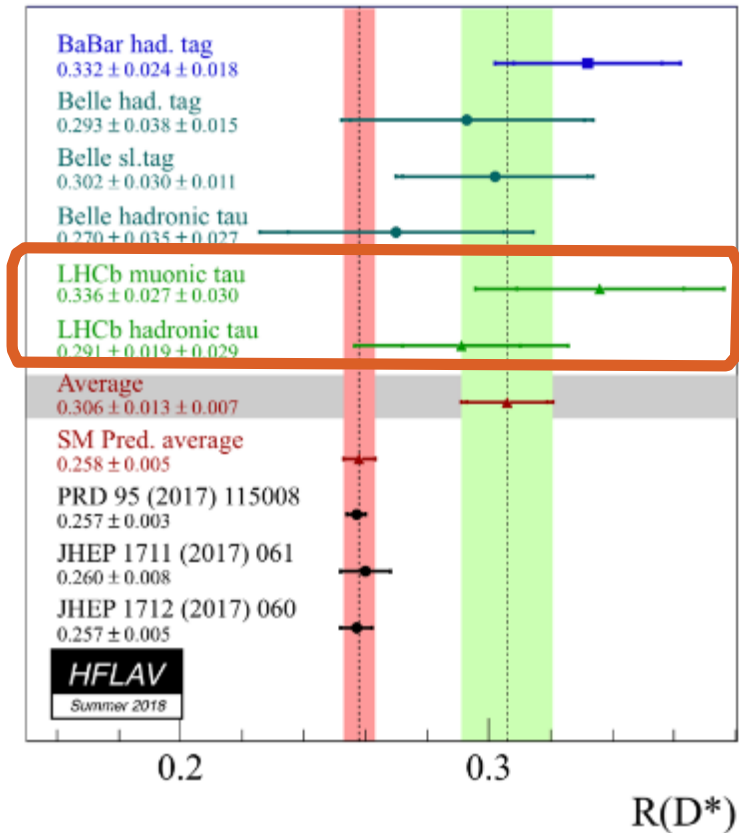


# $R(D^*)$ results

LHCb combination

$$R(D^*) = 0.310 \pm 0.016 \pm 0.021$$

LHCb, Babar and Belle results consistent with each other, all above SM predictions



World average:  $R(D^*) = 0.306 \pm 0.013 \pm 0.007$

$3\sigma$  above SM prediction:  $R^{SM}(D^*) = 0.258 \pm 0.005$

# $b \rightarrow cl^- \bar{\nu}_l$ LU tests: overall status

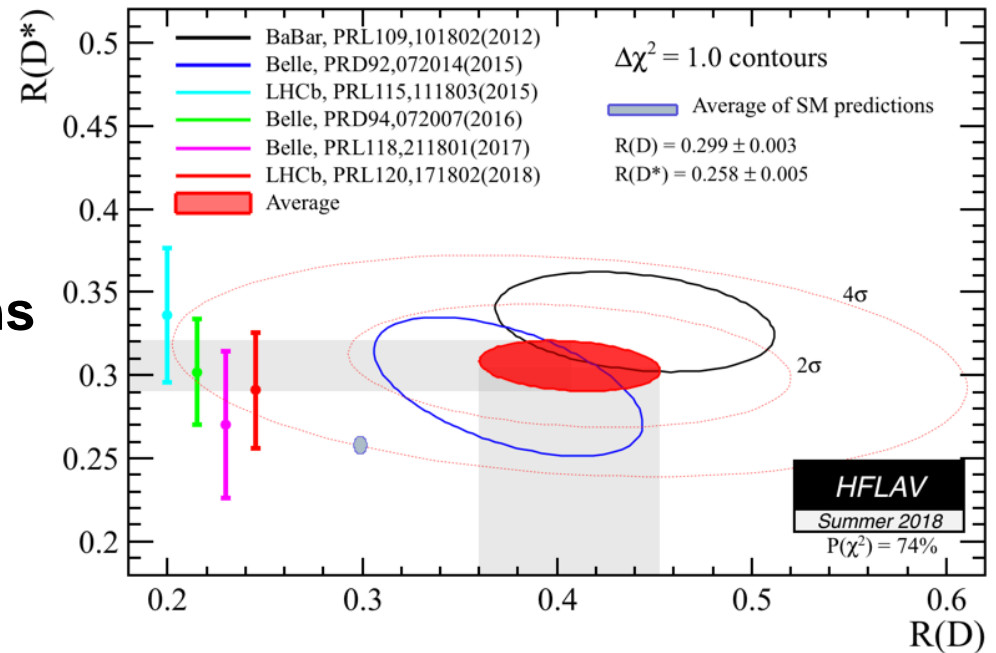
- $R(D^*)$  and  $R(D)$

$R(D^*)$ : Babar, Belle, LHCb

$R(D)$ : Babar, Belle

Tension with SM predictions

- ✓  $3.0\sigma$  in  $R(D^*)$
- ✓  $2.3\sigma$  in  $R(D)$
- ✓  $3.8\sigma$  combined



- Recent LHCb result of  $R_{J/\psi}$

$$R(J/\psi) = \frac{B(B_c^+ \rightarrow J/\psi \tau \bar{\nu}_\tau)}{B(B_c^+ \rightarrow J/\psi \mu \bar{\nu}_\mu)}$$

$$R(J/\psi) = 0.71 \pm 0.17 \pm 0.18$$

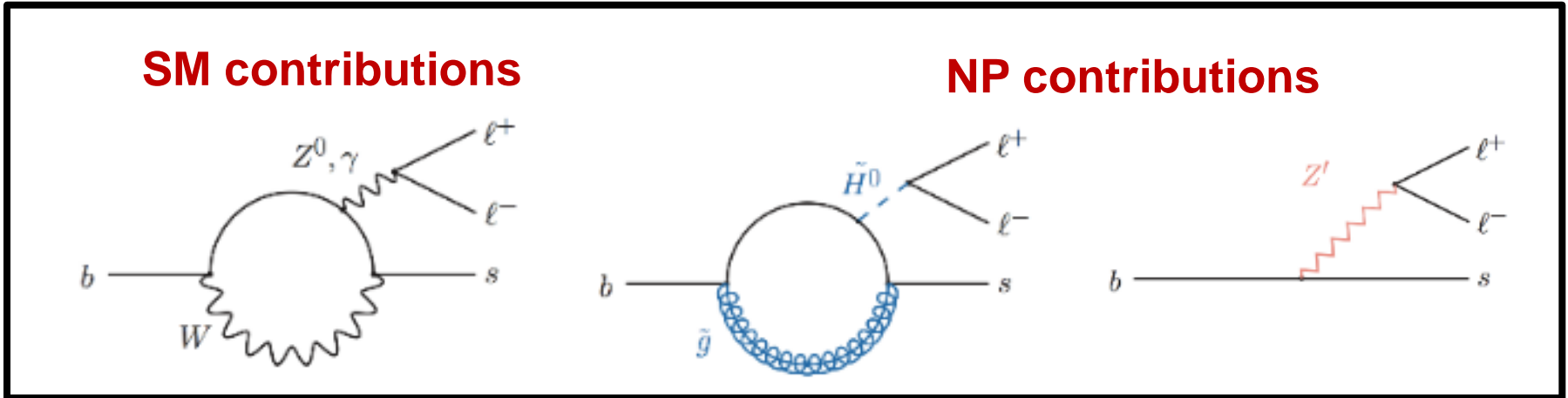
PRL 120 (2018) 121801

$2\sigma$  above SM prediction:  $R^{SM}(J/\psi) = 0.12 \sim 0.28$

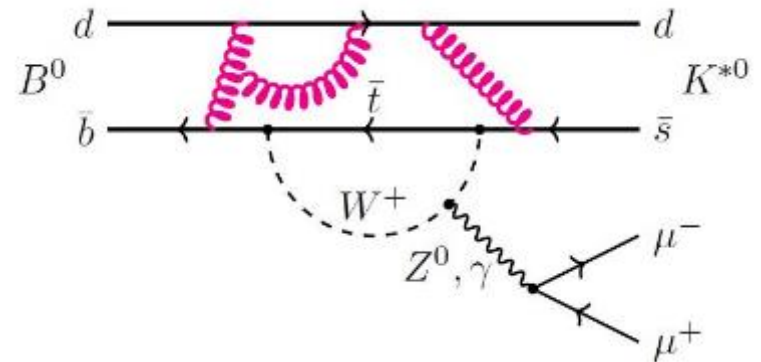
**$b \rightarrow sl^+l^-$ : lepton universality test  
& angular analysis**

# $b \rightarrow sl^+l^-$

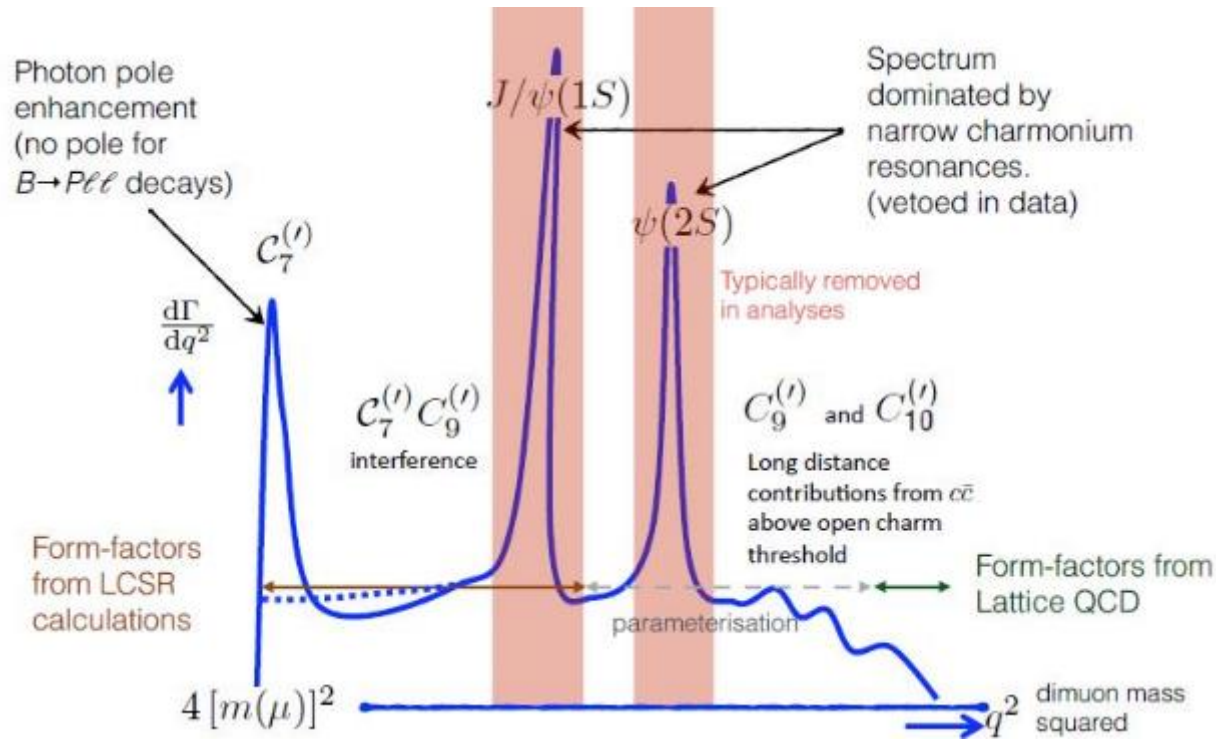
- $b \rightarrow sl^+l^-$  decay rates sensitive to heavy non-SM particles



- Cannot compute hadronic form factors reliably
- Theoretically robust observables
  - ✓ BR ratios between  $e$  and  $\mu$
  - ✓ Special angular observables



# Dependence on $q^2 = m_{l+l-}^2$



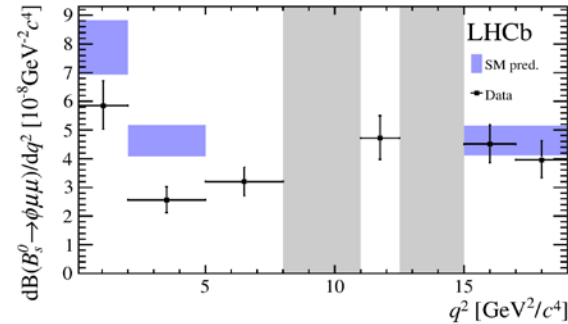
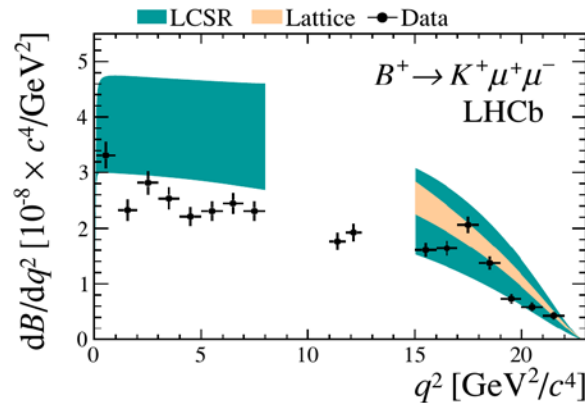
- Veto  $J/\psi$  and  $\psi(2S)$  regions
- Significant interference of short- and long-distance contributions remains and have been observed

# $b \rightarrow sl^+l^-$ branching fractions

- Measured values consistently below SM predictions
- But: significant theory uncertainties from hadronic form factors

$$B^+ \rightarrow K^+ \mu^+ \mu^-$$

[JHEP 06(2014)133]

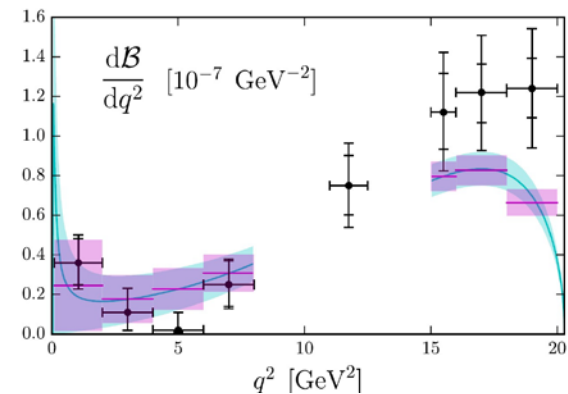
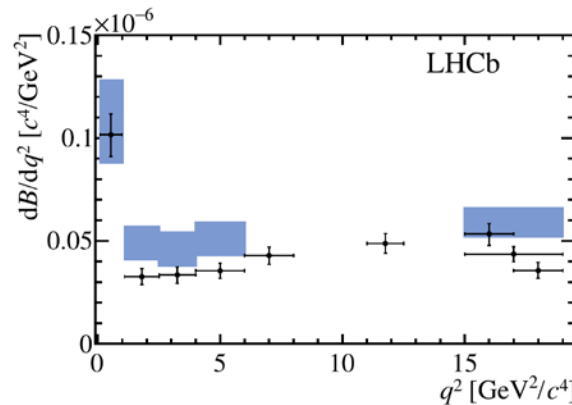


$$B_s^0 \rightarrow \phi \mu^+ \mu^-$$

[JHEP 09(2015)179]

$$B^0 \rightarrow K^{*0} \mu^+ \mu^-$$

[JHEP 04(2017)142]



$$\Lambda_b \rightarrow \Lambda \mu^+ \mu^-$$

[JHEP 06(2015)115]

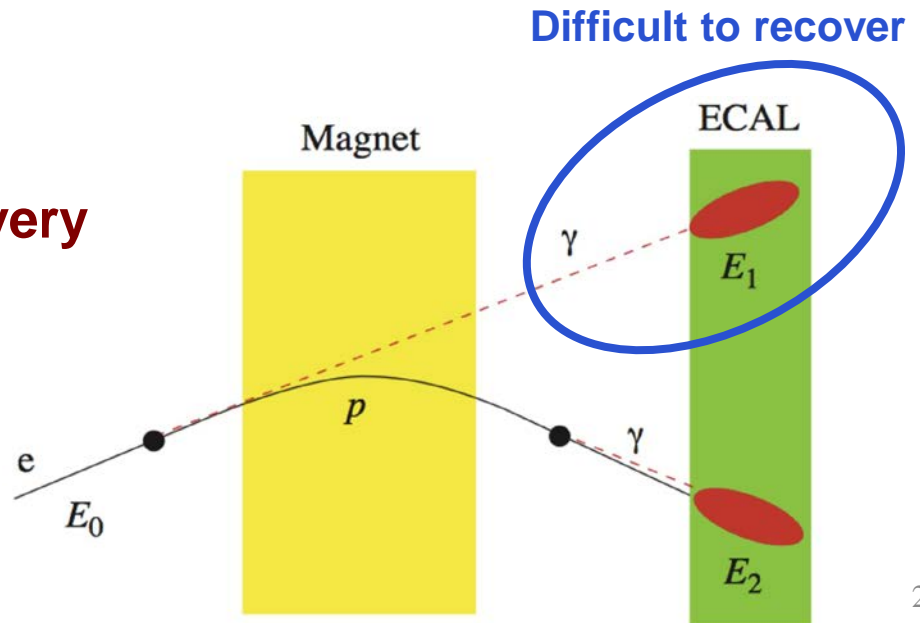


# LU test with $R(K^{(*)})$

$$R(K^{(*)}) = \frac{B(B \rightarrow K^{(*)} \mu^+ \mu^-)}{B(B \rightarrow K^{(*)} e^+ e^-)}$$

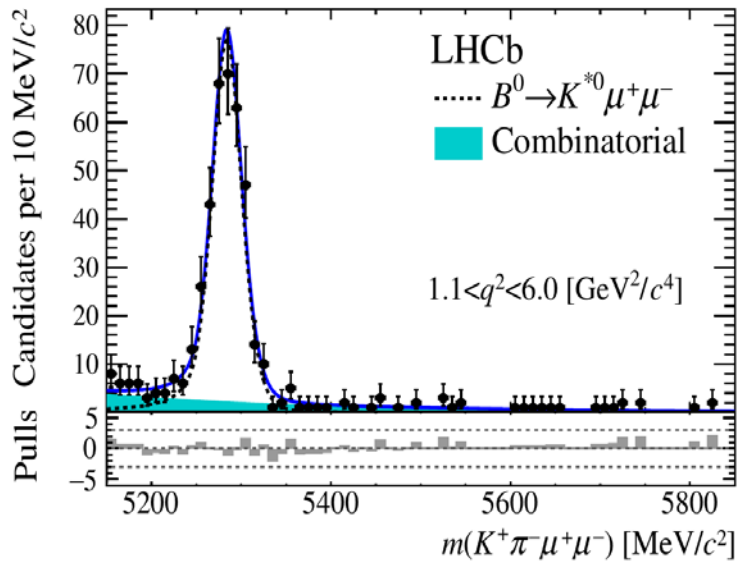
- Theoretical uncertainties in form factors largely cancel in ratio
- $R(K^{(*)})$  close to unity, predicted with precision of  $O(10^{-3})$  in SM
- Experimental challenge: electron reconstruction

## Electron Bremsstrahlung recovery

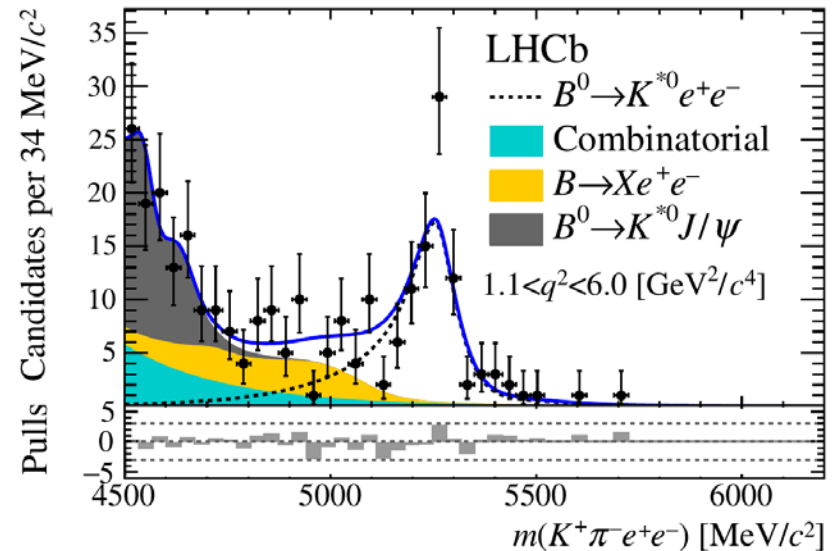


# $K^+ e^+ e^-$ vs $K^+ \mu^+ \mu^-$

$$B^0 \rightarrow K^* \mu^+ \mu^-$$



$$B^0 \rightarrow K^* e^+ e^-$$



## Electron modes suffer from

- ✓ Lower trigger efficiency
- ✓ Higher background
- ✓ Worse resolution
- ✓ Contamination from radiation tail of  $J/\psi \rightarrow e^+ e^-$

# $R(K)$

● LHCb

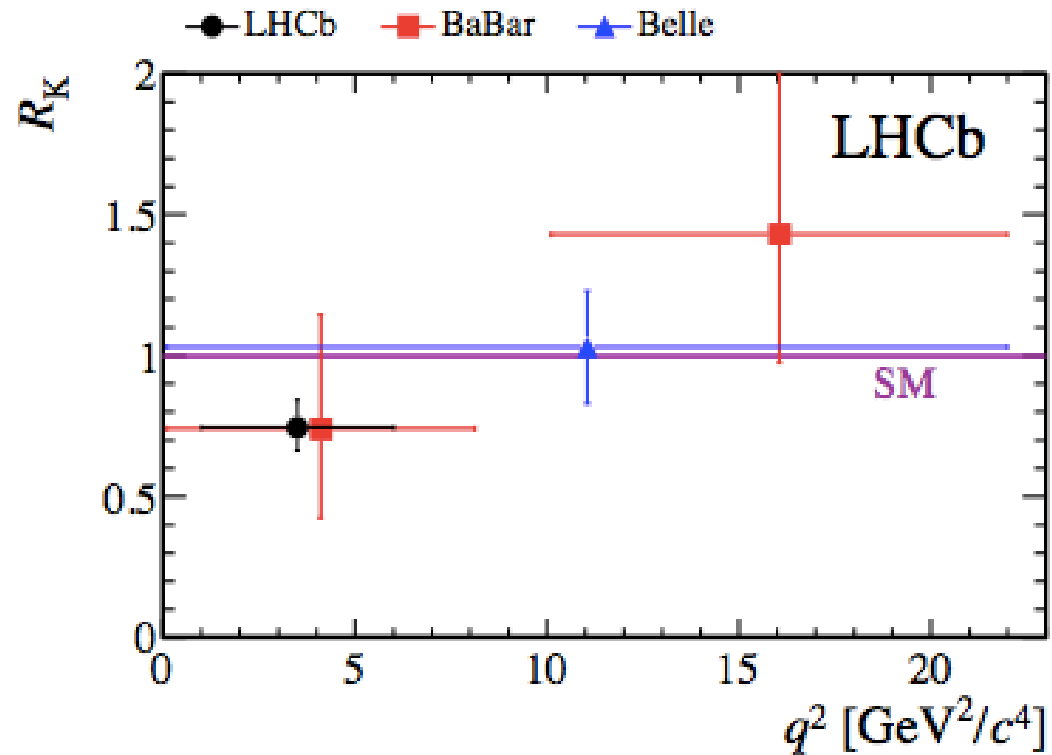
PRL 113 (2014) 151601

■ BaBar

[PRD 86(2012)032012]

▲ Belle

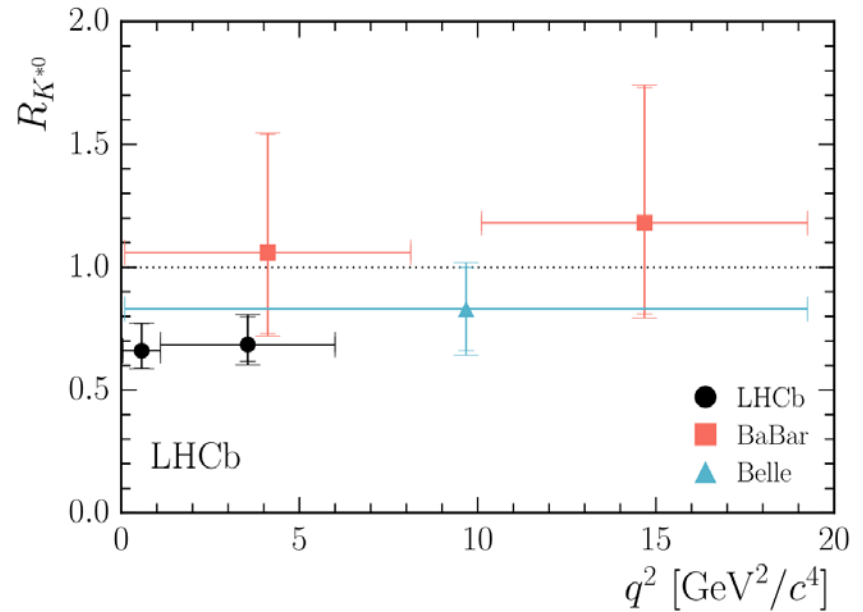
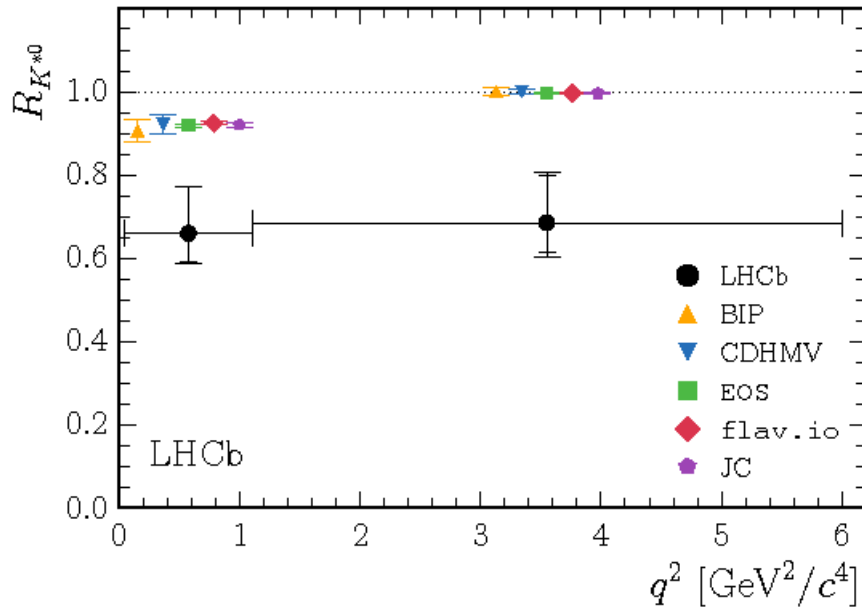
[PRL 103(2009)171801]



$$R_K = 0.745_{-0.074}^{+0.090} \pm 0.036; \quad 1 < q^2 < 6 \text{ GeV}^2$$

**2.6 $\sigma$  below SM prediction**

# $R(K^*)$



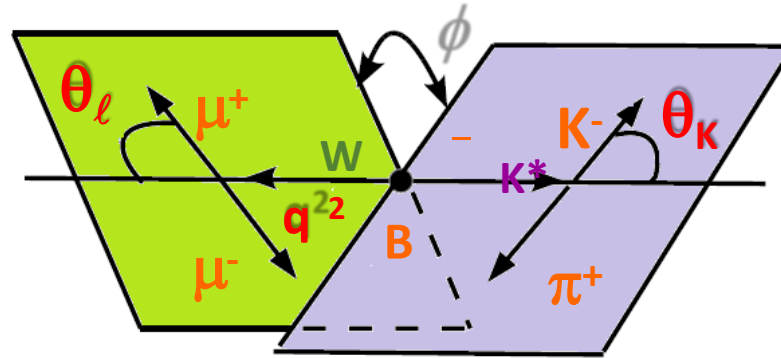
$$R_{K^*} = 0.66^{+0.11}_{-0.07} \pm 0.03; \quad 0.045 < q^2 < 1.1 \text{ GeV}^2$$

$$R_{K^*} = 0.69^{+0.11}_{-0.07} \pm 0.05; \quad 1.1 < q^2 < 6.0 \text{ GeV}^2$$

**2.1 $\sigma$  and 2.4 $\sigma$  below SM predictions**

**JHEP 08 (2017) 055**

# Angular analysis: $B^0 \rightarrow K^{*0} \mu^+ \mu^-$



$$\frac{1}{d\Gamma/dq^2 d \cos \theta_\ell d \cos \theta_K d\phi dq^2} \frac{d^4\Gamma}{dq^2} = \frac{9}{32\pi} \left[ \frac{3}{4} (1 - F_L) \sin^2 \theta_K + F_L \cos^2 \theta_K + \frac{1}{4} (1 - F_L) \sin^2 \theta_K \cos 2\theta_\ell \right. \\ \left. - F_L \cos^2 \theta_K \cos 2\theta_\ell + S_3 \sin^2 \theta_K \sin^2 \theta_\ell \cos 2\phi + S_4 \sin 2\theta_K \sin 2\theta_\ell \cos \phi \right. \\ \left. + S_5 \sin 2\theta_K \sin \theta_\ell \cos \phi + S_6 \sin^2 \theta_K \cos \theta_\ell + S_7 \sin 2\theta_K \sin \theta_\ell \sin \phi \right. \\ \left. + S_8 \sin 2\theta_K \sin 2\theta_\ell \sin \phi + S_9 \sin^2 \theta_K \sin^2 \theta_\ell \sin 2\phi \right]$$

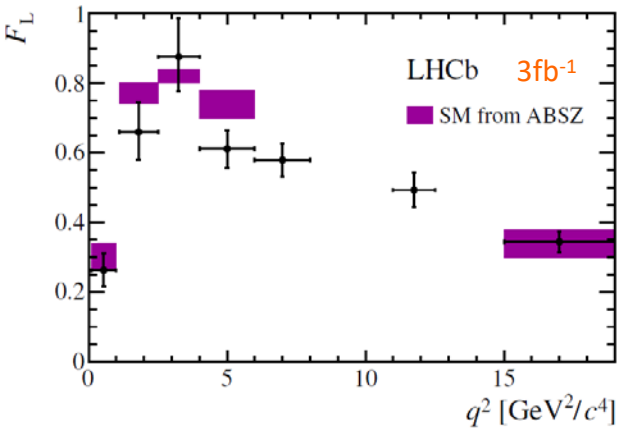
## Eight independent observables

- ✓  $F_L$ : fraction of longitudinal polarization
- ✓  $S_6 = 4/3 A_{FB}$ : forward-backward asymmetry of the  $\mu^+ \mu^-$  system
- ✓  $S_{3,4,5,7,8,9}$ : remaining CP-averaged observables

# $F_L$ and $A_{FB}$

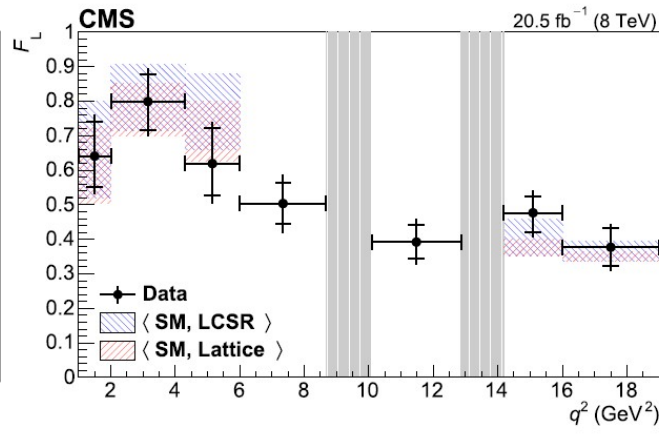
LHCb

JHEP02(2016)104



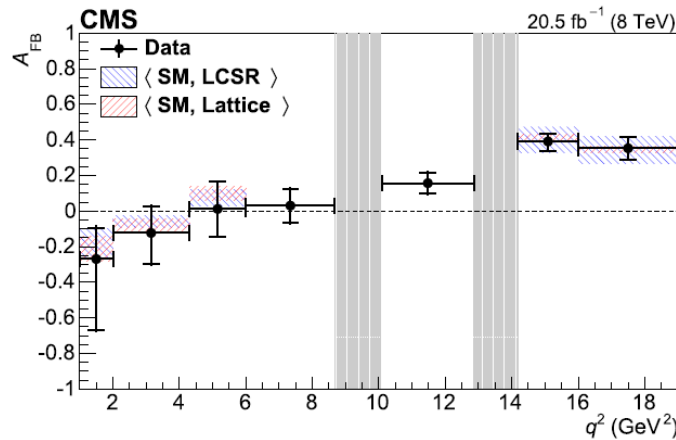
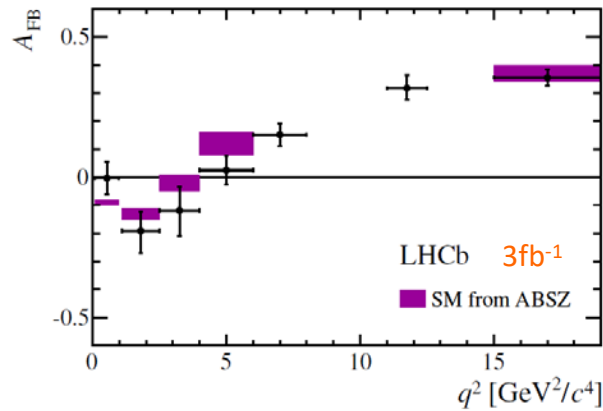
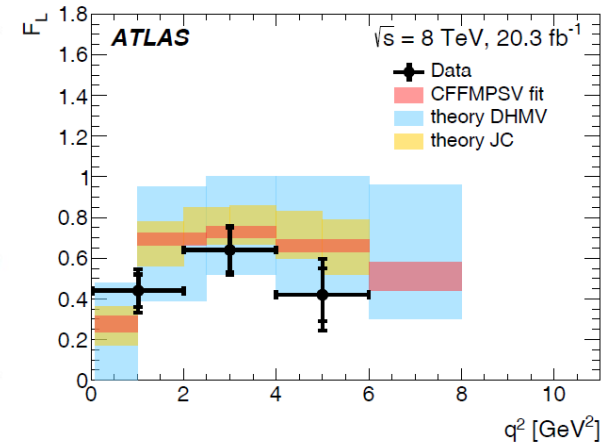
CMS

PLB 753 (2016) 424



ATLAS

arXiv:1805.04000



**SM predictions**

**ABSZ: EPJC 75 (2015) 382**

**LCSR: JHEP 08 (2016) 98**

**Lattice: arXiv:1501.00367**

**Measured values agree well with SM predictions**

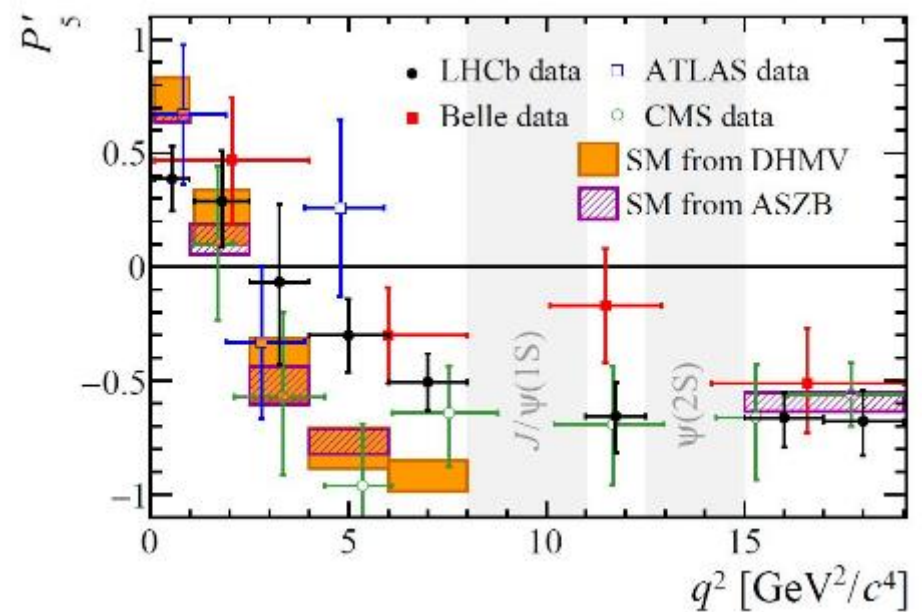
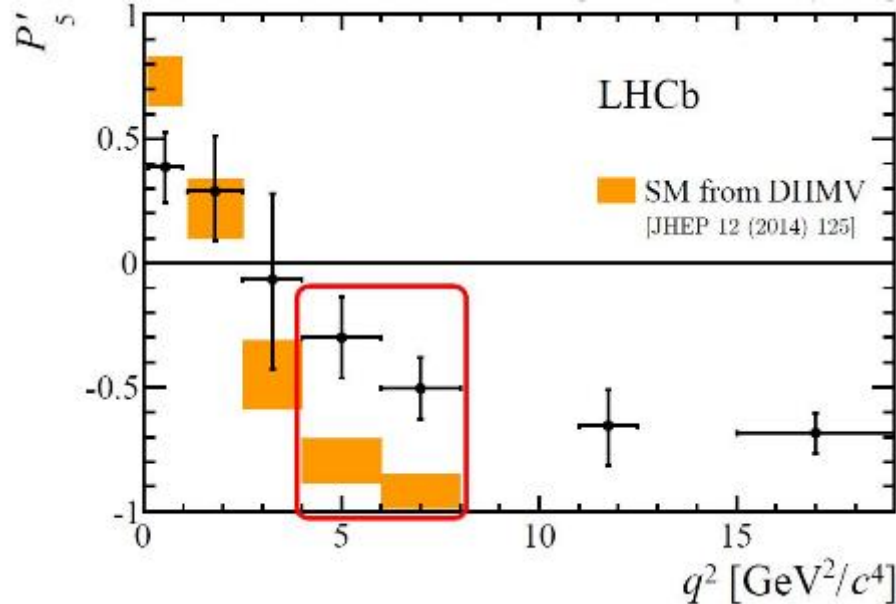


# $P'_5$ puzzle

Form factors cancel at leading order in new observable

$$P'_5 = \frac{S_5}{\sqrt{F_L(1 - F_L)}}$$

JHEP 04 (2016) 104



Local deviations from SM :  $2.8\sigma$  for  $4 < q^2 < 6 \text{ GeV}^2$  ;  
 $3.0\sigma$  for  $6 < q^2 < 8 \text{ GeV}^2$  .

Global deviation from SM:  $3.4\sigma$

# Possible explanations

- Statistical fluctuation?

More data will shed light

- Experimental artefacts?

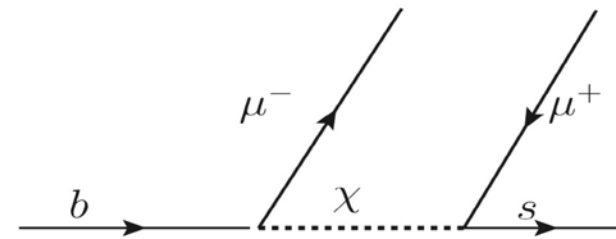
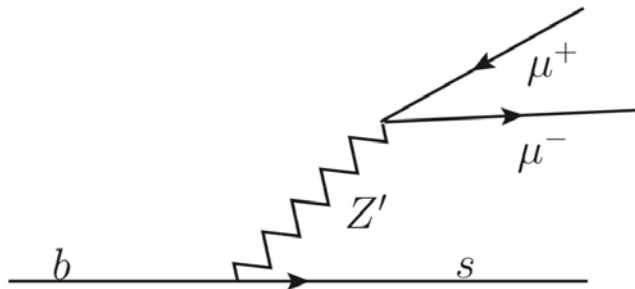
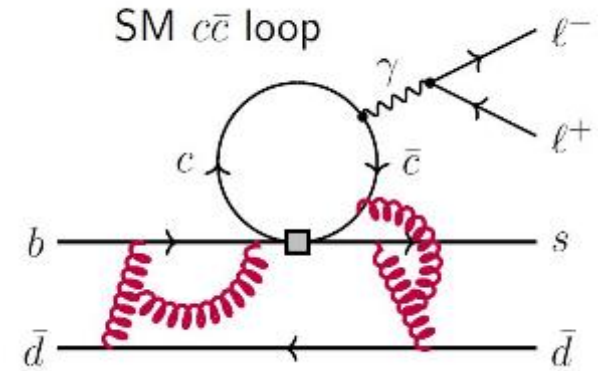
$e$  and  $\tau$  are difficult to reconstruct

- Underestimated SM charm loop effect?

Possible, but LU observables should be robust ...

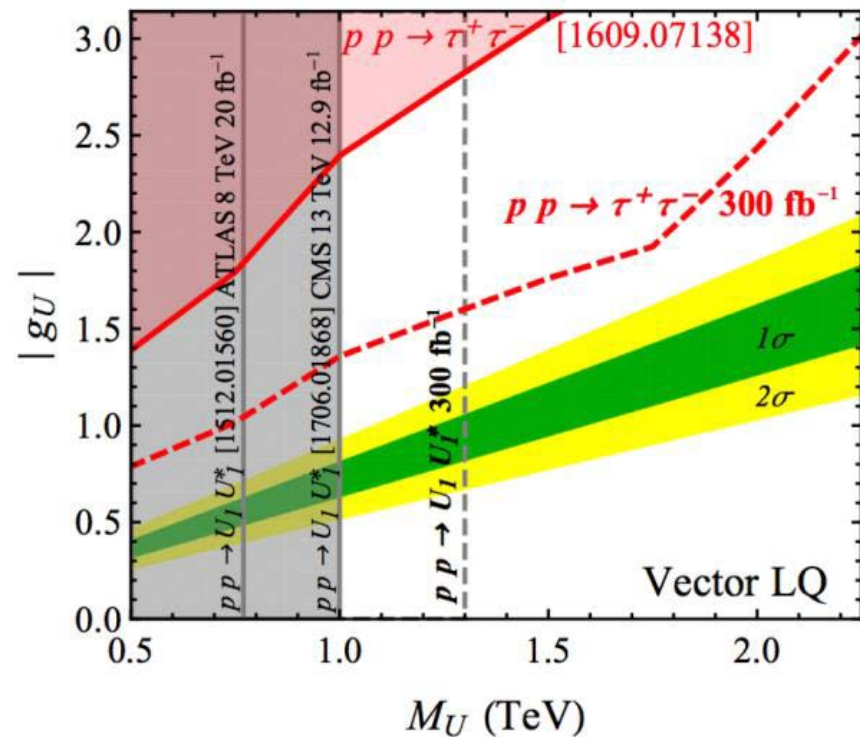
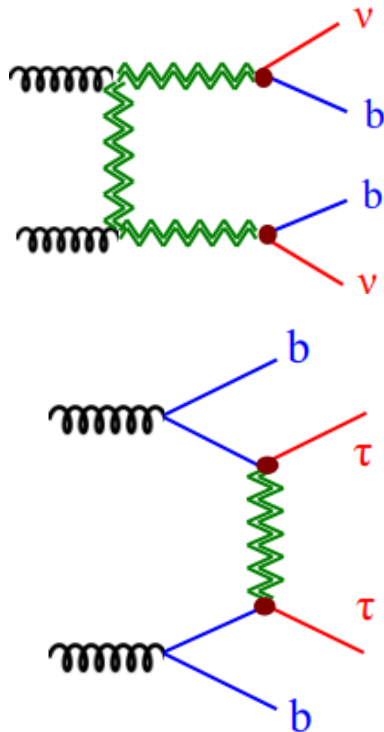
- New physics if all above are excluded

$Z'$  or leptoquark could explain the anomalies



# Interplay with direct search

- If the flavor anomalies are due to exchange of a new particle, it could be searched for at high  $p_T$
- **No sign seen yet**
- Example: leptoquark search at LHC
- May need HL-LHC to see them if they are there ...



# Summary and outlook

# Summary

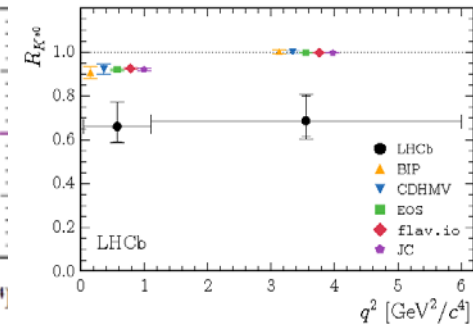
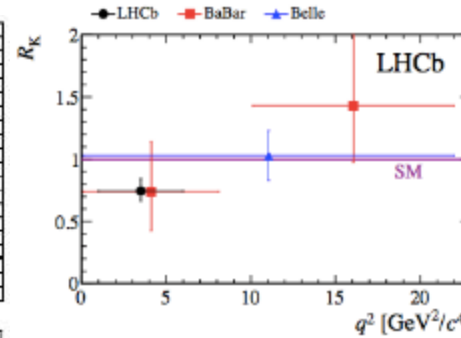
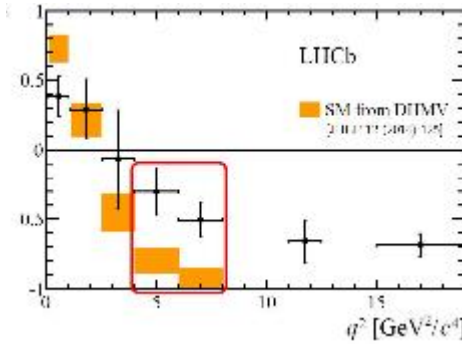
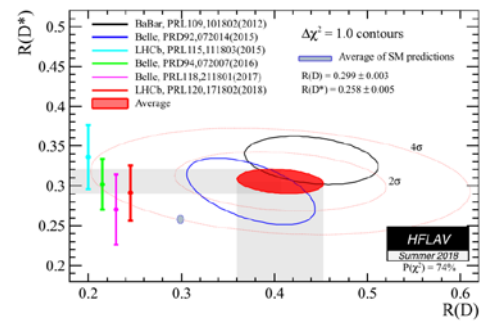
- Intriguing tension with Standard Model predictions

$R(D^{(*)}): 3.8\sigma$

$P'_5: 3.4\sigma$

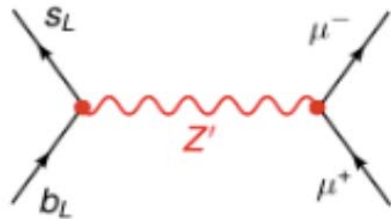
$R(K): 2.6\sigma$

$R(K^*): >2.5\sigma$

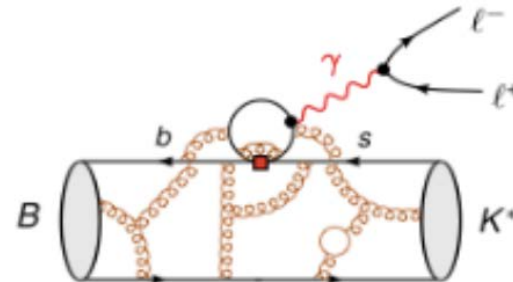


- Many possibilities remain

Optimist's view point

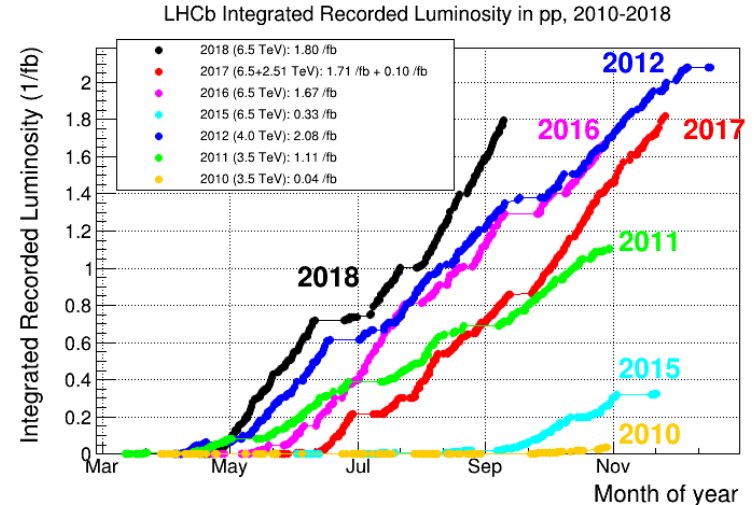


Pessimist's view point

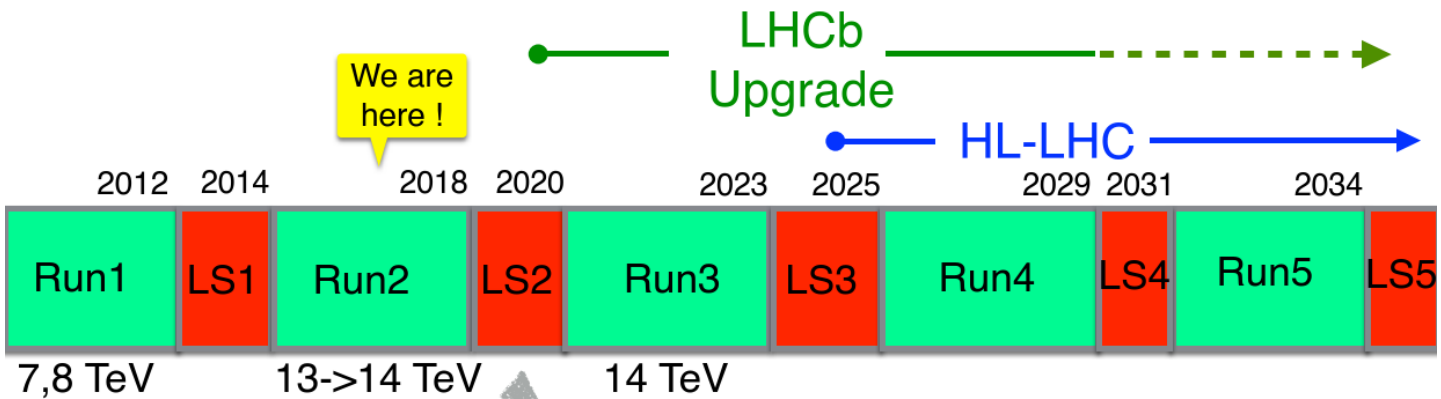


# Outlook

- **Significant progress expected in next five years with new measurements from the LHC and from Belle II**



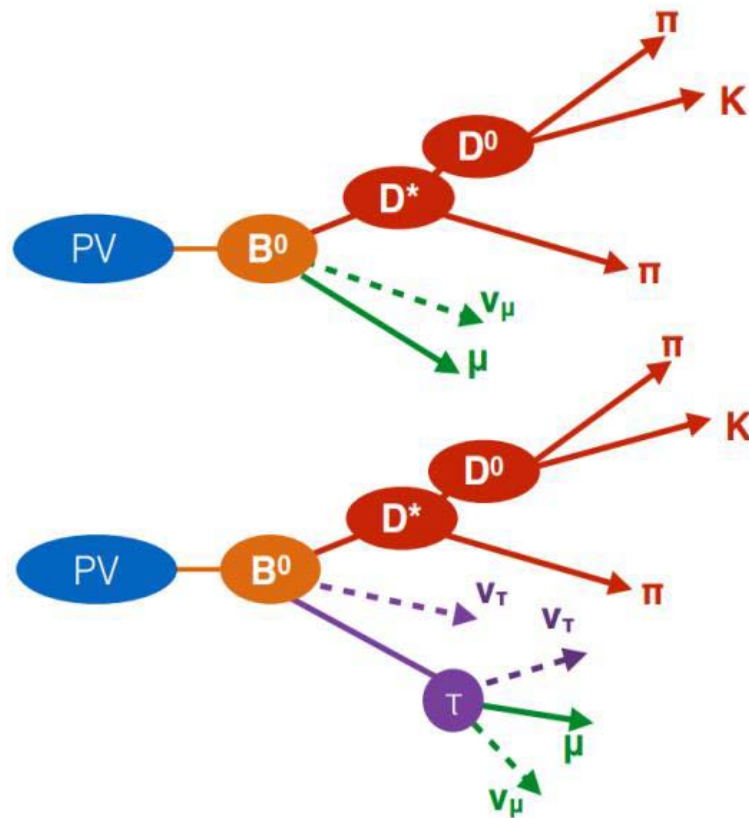
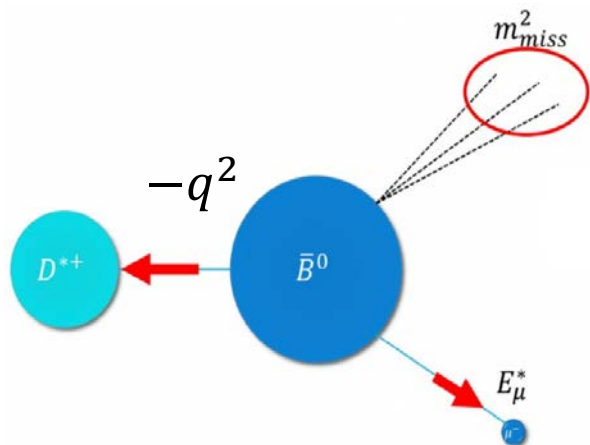
- **LHCb will run at 5X higher instant luminosity after LS2, and has expressed interest to further increase it by 10X after LS4 at 2031**



# Backup slides

# $R(D^*)$ with $\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau$

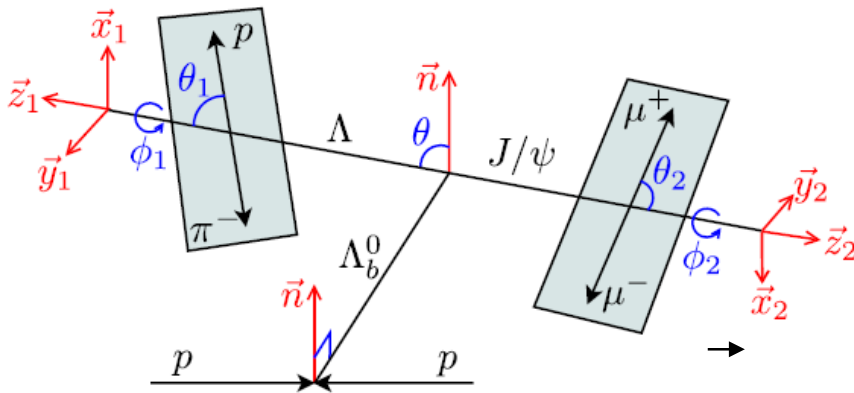
- With neutrinos missing,  $B$  momentum is estimated using  $D^*$  and  $\mu^-$ , and flight direction
- Look at variables in  $B$  rest frame



	$D^{*+} \tau^- \nu_\tau$	$D^{*+} \mu^- \nu_\mu$
$E_M^*$	Softer	harder
$m_{miss}^2$	$> 0$	$\approx 0$
$q^2$	$> m_\tau^2$	$> 0$



# Angular analysis: $\Lambda_b^0 \rightarrow \Lambda^0 \mu^+ \mu^-$



$$\frac{d^5\Gamma}{d\vec{\Omega}} = \frac{3}{32\pi^2} \sum_i^{34} K_i(q^2) f_i(\vec{\Omega})$$

- 5 angles
- $q^2$ -dependent observables  $K_i$
- Method of moment
- Signals only observed in  $15 < q^2 < 20 \text{ GeV}^2$

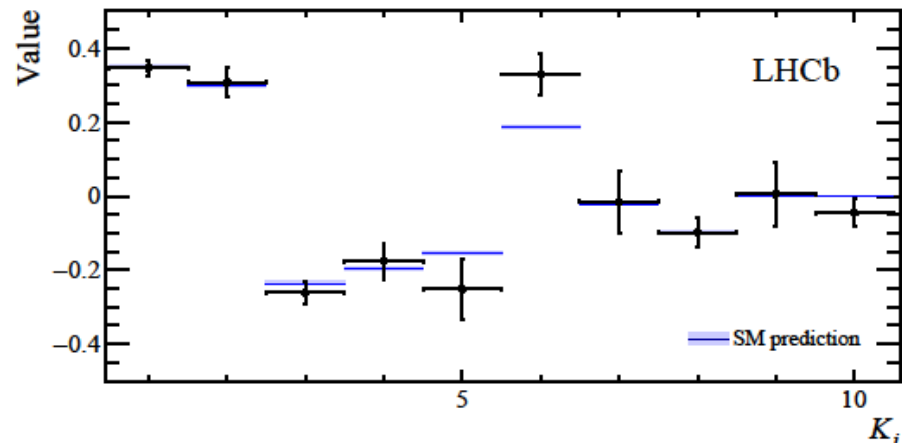
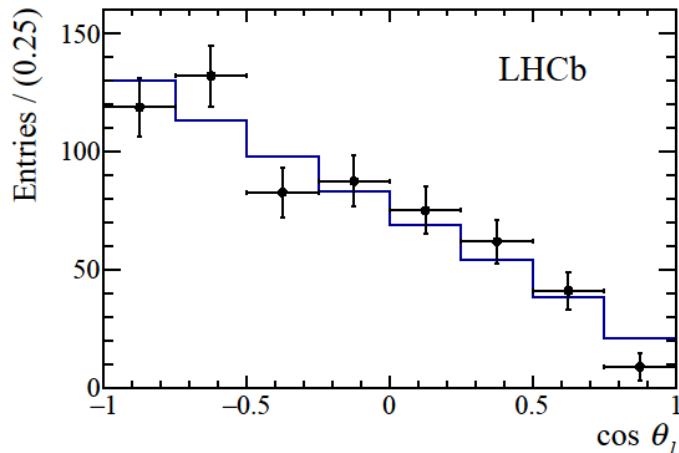
## Results compatible with SM predictions

[Boër et al, JHEP 01 (2015) 155]

[Detmold et al. PRD 93 (2016) 074501]

arXiv: 1808.00264

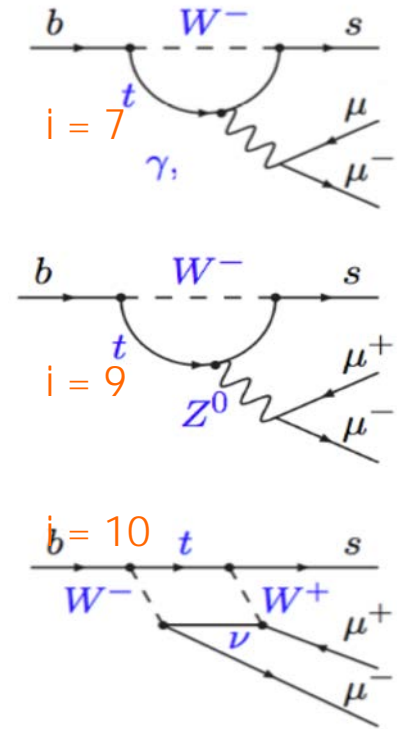
Run 1+II, 5 fb<sup>-1</sup>



# Effective theory for $b \rightarrow sl^+l^-$

- Described by an effective Hamiltonian

$$H_{eff} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \sum_i [C_i(\mu) \underbrace{O_i(\mu)}_{\text{left handed}} + C'_i(\mu) \underbrace{O'_i(\mu)}_{\text{right handed (suppressed in the SM)}}]$$



Operators $O_i$	Wilson coefficients $C_i$		
$0.2 \text{ GeV} \dots$	$4 \text{ GeV} \dots$	$80 \text{ GeV} \dots$	$10\text{-}100 \text{ TeV}$
$\Lambda_{\text{QCD}}$ (non-perturbative)	$\Lambda_b$ b mass	$\Lambda_{\text{EW}}$ W mass	$\Lambda_{\text{BSM}}$ BSM scale

# Global fit to $b \rightarrow sl^+l^-$ results

- Include angular and LU results in  $b \rightarrow sl^+l^-$  transitions
- Allow for  $C_{ie}^{NP} \neq C_{i\mu}^{NP}$ 
  - ✓ Preference for  $C_{9\mu}^{NP} \neq 0$
  - ✓ Data disagree with SM by more than  $3.5\sigma$

