

Near threshold J/ψ and Υ production and the proton mass problem

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Based on 1906.00894 [with Abha Rajan and Dilun Yang](#)
1810.05116 [with A.Rajan and Kazuhiro Tanaka](#)
1808.02163 [with D.Yang](#)

An Assessment of U.S.-Based Electron-Ion Collider Science

Committee on U.S.-Based Electron-Ion Collider Science Assessment

Board on Physics and Astronomy

Division on Engineering and Physical Sciences

A Consensus Study Report of

The National Academies of

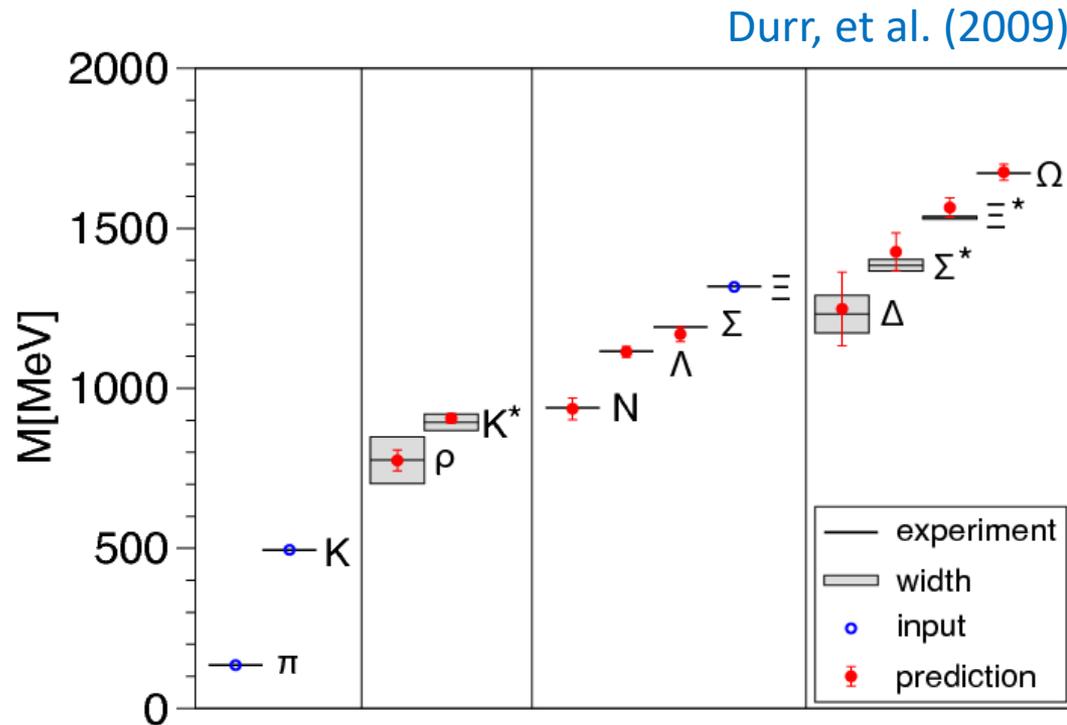
SCIENCES • ENGINEERING • MEDICINE

Finding 1: An EIC can uniquely address three profound questions about nucleons—protons—and how they are assembled to form the nuclei of atoms:

- How does the mass of the nucleon arise?
- How does the spin of the nucleon arise?
- What are the emergent properties of dense systems of gluons?

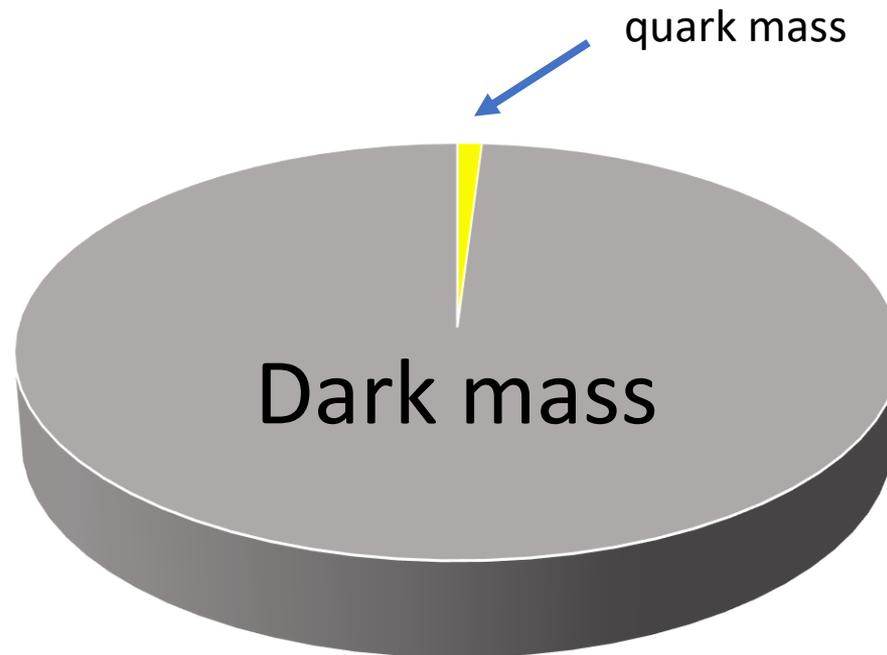
Nucleon mass: What's the issue?

What's mysterious about proton mass? Lattice QCD can explain it.



Proton mass crisis

u,d quark masses add up to $\sim 10\text{MeV}$, only 1 % of the proton mass!



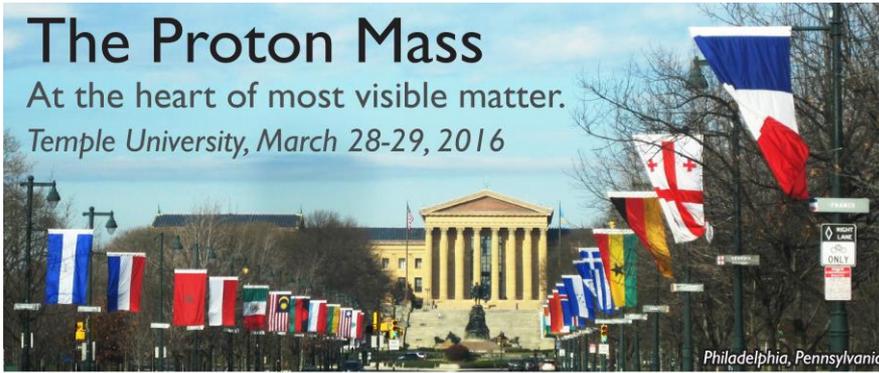
Higgs mechanism explains quark masses, but not hadron masses!

c.f. talk by C. Roberts

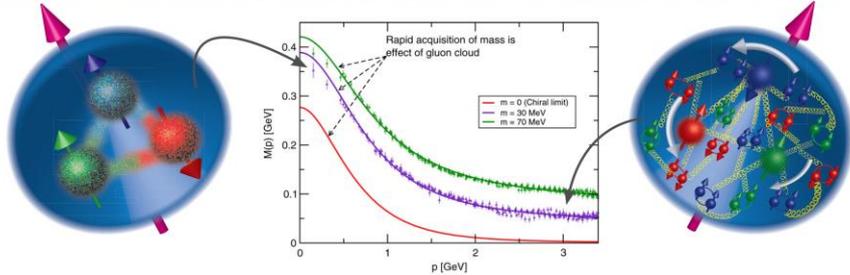
The Proton Mass

At the heart of most visible matter.

Temple University, March 28-29, 2016



Philadelphia, Pennsylvania



$$M_p = 2m_u^{\text{eff}} + m_d^{\text{eff}}$$

Speakers

- Stan Brodsky (SLAC)
- Xiandong Ji (Maryland)
- Dima Kharzeev (Stony Brook & BNL)
- Keh-Fei Liu (University of Kentucky)
- David Richards (JLab)
- Craig Roberts (ANL)
- Martin Savage (University of Washington)
- Stepan Stepanyan (JLab)

$$H_{\text{QCD}} = H_q + H_m + H_g + H_a$$

Quark kinetic and potential energy $H_q = \int d^3x \psi^\dagger (-i\mathbf{D} \cdot \boldsymbol{\alpha}) \psi$

Quark masses $H_m = \int d^3x \bar{\psi} m \psi$

Gluon kinetic and potential energy $H_g = \int d^3x \frac{1}{2} (\mathbf{E}^2 + \mathbf{B}^2)$

Trace anomaly $H_a = \int d^3x \frac{9\alpha_s}{16\pi} (\mathbf{E}^2 - \mathbf{B}^2)$

Workshop Topics

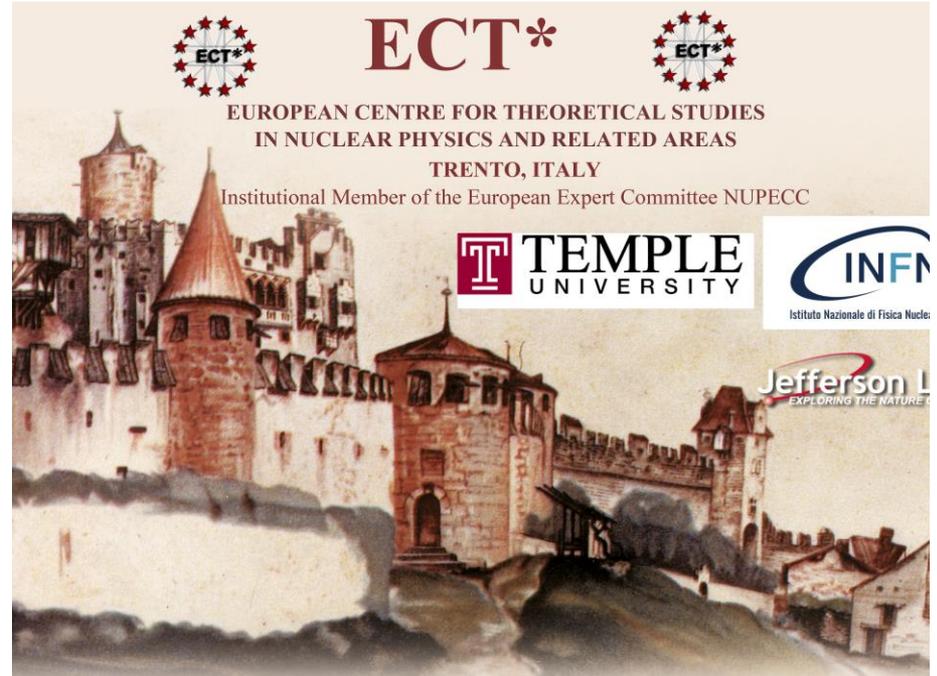


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EUROPEAN CENTRE FOR THEORETICAL STUDIES
IN NUCLEAR PHYSICS AND RELATED AREAS
TRENTO, ITALY

Institutional Member of the European Expert Committee NUPECC



Castello di Trento ("Trint"), watercolor 19.8 x 27.7, painted by A. Dürer on his way back from Venice (1495). British Museum.

The Proton Mass: At the Heart of Most Visible Matter

Trento, April 3 - 7, 2017

Main Topics

Hadron mass decomposition in terms of constituents:

Uniqueness of the decomposition, Quark mass, and quark and gluon energy contribution, Anomaly contribution, ...

Hadron mass calculations:

Lattice QCD (total & individual mass components), Approximated analytical methods, Phenomenological model approaches, ...

Experimental access to hadron mass components:

Exclusive heavy quarkonium production at threshold, nuclear gluonometry through polarized nuclear structure function

Origin of the proton mass

QCD Lagrangian approximately scale (conformal) invariant.
Why is the proton mass nonvanishing in the first place?

Conformal symmetry is explicitly broken by the **trace anomaly**.

QCD energy-momentum tensor

$$T^{\mu\nu} = -F^{\mu\lambda}F^{\nu}_{\lambda} + \frac{\eta^{\mu\nu}}{4}F^2 + i\bar{q}\gamma^{(\mu}D^{\nu)}q$$

$$\langle P|T^{\mu\nu}|P\rangle = 2P^{\mu}P^{\nu}$$

$$T^{\mu}_{\mu} = \frac{\beta(g)}{2g}F^2 + m(1 + \gamma_m(g))\bar{q}q \qquad \langle P|T^{\mu}_{\mu}|P\rangle = 2M^2$$

Proton mass decomposition

Traceless and trace parts of EMT in $d = 4 - 2\epsilon$ dimensions.

$$T^{\mu\nu} = \left(T^{\mu\nu} - \frac{\eta^{\mu\nu}}{d} T^\alpha_\alpha \right) + \frac{\eta^{\mu\nu}}{d} T^\alpha_\alpha$$

$\frac{\beta(g)}{2g} F^2 + m(1 + \gamma_m(g)) \bar{q}q$

Work in the rest frame. Mass is the eigenvalue of the Hamiltonian $H = \int d^3x T^{00}$

quark/gluon kinetic energy

trace anomaly

quark mass

$$M = M_q + M_g + M_a + M_m$$

Ji (1995)

Lattice calculation → [talk by Y. Yang](#)

Difficult to calculate $M_a \sim \langle P | F^2 | P \rangle$ directly.

Alternative decomposition

YH, Rajan, Yang (2019)

$$T^{\mu\nu} = \underbrace{-F^{\mu\lambda} F^\nu{}_\lambda + \frac{\eta^{\mu\nu}}{4} F^2}_{T_g^{\mu\nu}} + \underbrace{i\bar{q}\gamma^{(\mu} D^{\nu)} q}_{T_q^{\mu\nu}}$$

$$T^\mu{}_\mu = (T_q)^\mu{}_\mu + (T_g)^\mu{}_\mu = \frac{\beta}{2g} F^2 + m(1 + \gamma_m)\bar{\psi}\psi$$

If it makes sense to compute $(T_q)^\mu{}_\mu$ and $(T_g)^\mu{}_\mu$ separately,

$$M^2 = M_q^2 + M_g^2 \qquad M_{q,g}^2 \equiv \frac{1}{2} \langle P | (T_{q,g})^\mu{}_\mu | P \rangle$$

Quark and gluon contributions to the trace anomaly

YH, Rajan, Tanaka (2018)

two-loops, $\overline{\text{MS}}$ scheme

$$\eta_{\mu\nu} (T_g^{\mu\nu})_R = \frac{\alpha_s}{4\pi} \left(\frac{14}{3} C_F (m\bar{\psi}\psi)_R - \frac{11}{6} C_A (F^2)_R \right) + \left(\frac{\alpha_s}{4\pi} \right)^2 \\ \times \left[\left(C_F \left(\frac{812C_A}{27} - \frac{22n_f}{27} \right) + \frac{85C_F^2}{27} \right) (m\bar{\psi}\psi)_R + \left(\frac{28C_A n_f}{27} - \frac{17C_A^2}{3} + \frac{5C_F n_f}{54} \right) (F^2)_R \right]$$

$$\eta_{\mu\nu} (T_q^{\mu\nu})_R = (m\bar{\psi}\psi)_R + \frac{\alpha_s}{4\pi} \left(\frac{4}{3} C_F (m\bar{\psi}\psi)_R + \frac{1}{3} n_f (F^2)_R \right) + \left(\frac{\alpha_s}{4\pi} \right)^2 \\ \times \left[\left(C_F \left(\frac{61C_A}{27} - \frac{68n_f}{27} \right) - \frac{4C_F^2}{27} \right) (m\bar{\psi}\psi)_R + \left(\frac{17C_A n_f}{27} + \frac{49C_F n_f}{54} \right) (F^2)_R \right]$$

Result in $\overline{\text{MS}}$ at three-loops

Tanaka, JHEP 1901 (2019) 120

$$\begin{aligned}
 \eta_{\mu\nu} (T_g^{\mu\nu})_R &= \frac{\alpha_s}{4\pi} \left(\frac{14}{3} C_F (m\bar{\psi}\psi)_R - \frac{11}{6} C_A (F^2)_R \right) + \left(\frac{\alpha_s}{4\pi} \right)^2 \\
 &\quad \times \left[\left(C_F \left(\frac{812C_A}{27} - \frac{22n_f}{27} \right) + \frac{85C_F^2}{27} \right) (m\bar{\psi}\psi)_R + \left(\frac{28C_A n_f}{27} - \frac{17C_A^2}{3} + \frac{5C_F n_f}{54} \right) (F^2)_R \right] \\
 &+ \left(\frac{\alpha_s}{4\pi} \right)^3 \left[\left\{ n_f \left(\left(\frac{368\zeta(3)}{9} - \frac{25229}{729} \right) C_F^2 - \frac{2}{243} (4968\zeta(3) + 1423) C_A C_F \right) \right. \right. \\
 &+ \left(\frac{32\zeta(3)}{3} - \frac{91753}{1458} \right) C_A C_F^2 + \left(\frac{294929}{1458} - \frac{32\zeta(3)}{9} \right) C_A^2 C_F - \frac{554}{243} C_F n_f^2 \\
 &+ \left. \left(\frac{95041}{729} - \frac{64\zeta(3)}{9} \right) C_F^3 \right\} (m\bar{\psi}\psi)_R \\
 &+ \left. \left\{ n_f \left(\left(\frac{1123}{162} - \frac{52\zeta(3)}{9} \right) C_A C_F + \left(4\zeta(3) + \frac{293}{36} \right) C_A^2 + \frac{16}{729} (81\zeta(3) - 98) C_F^2 \right) + n_f^2 \left(\frac{655C_A}{2916} - \frac{361C_F}{729} \right) - \frac{2857C_A^3}{108} \right\} (F^2)_R \right]
 \end{aligned}$$

$$\begin{aligned}
 \eta_{\mu\nu} (T_q^{\mu\nu})_R &= (m\bar{\psi}\psi)_R + \frac{\alpha_s}{4\pi} \left(\frac{4}{3} C_F (m\bar{\psi}\psi)_R + \frac{1}{3} n_f (F^2)_R \right) + \left(\frac{\alpha_s}{4\pi} \right)^2 \\
 &\quad \times \left[\left(C_F \left(\frac{61C_A}{27} - \frac{68n_f}{27} \right) - \frac{4C_F^2}{27} \right) (m\bar{\psi}\psi)_R + \left(\frac{17C_A n_f}{27} + \frac{49C_F n_f}{54} \right) (F^2)_R \right] \\
 &+ \left(\frac{\alpha_s}{4\pi} \right)^3 \left[\left\{ n_f \left(\left(\frac{64\zeta(3)}{9} - \frac{8305}{729} \right) C_F^2 - \frac{2}{243} (864\zeta(3) + 1079) C_A C_F \right) \right. \right. \\
 &- \frac{8}{729} (972\zeta(3) + 143) C_A C_F^2 + \left(\frac{32\zeta(3)}{9} + \frac{6611}{729} \right) C_A^2 C_F - \frac{76}{243} C_F n_f^2 \\
 &+ \left. \frac{8}{729} (648\zeta(3) - 125) C_F^3 \right\} (m\bar{\psi}\psi)_R \\
 &+ \left\{ n_f \left(\left(\frac{52\zeta(3)}{9} - \frac{401}{324} \right) C_A C_F + \left(\frac{134}{27} - 4\zeta(3) \right) C_A^2 + \left(\frac{2407}{1458} - \frac{16\zeta(3)}{9} \right) C_F^2 \right) \right. \\
 &+ \left. n_f^2 \left(-\frac{697C_A}{729} - \frac{169C_F}{1458} \right) \right\} (F^2)_R,
 \end{aligned}$$

In terms of the parameter b introduced in [Ji, PRL \(1995\)](#)

$$M_m = \frac{1}{4} \frac{\langle P | m(1 + \gamma_m) \bar{\psi} \psi | P \rangle}{2M} \equiv \frac{b}{4} M \quad M_a = \frac{1}{4} \frac{\langle P | \frac{\beta}{2g} F^2 | P \rangle}{2M} \equiv \frac{1-b}{4} M$$

$$\frac{M_g^2}{M^2} = 1.23 - 1.04b \quad \overline{\text{MS}}, \text{ three-loop}, \mu = 2\text{GeV}$$

This is larger than unity when $b < 0.22$

From the nucleon sigma term and lattice, $b \sim 0.12$

$$M_q^2 < 0$$

Can we measure the gluon condensate $\langle P|F^{\mu\nu}F_{\mu\nu}|P\rangle$ in experiments?

The operator $F^{\mu\nu}F_{\mu\nu}$ is twist-**four**, highly suppressed in high energy scattering. QCD factorization difficult to establish.

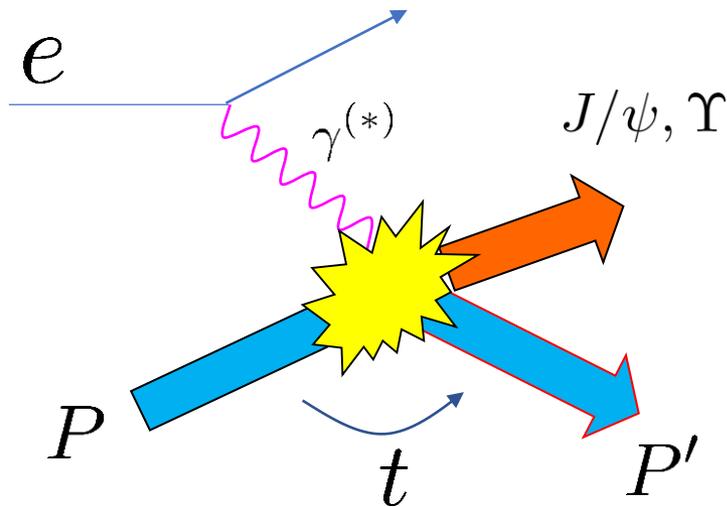
Instead, we should look at **low**-energy scattering.

Purely gluonic operator. Use **quarkonium** as a probe.

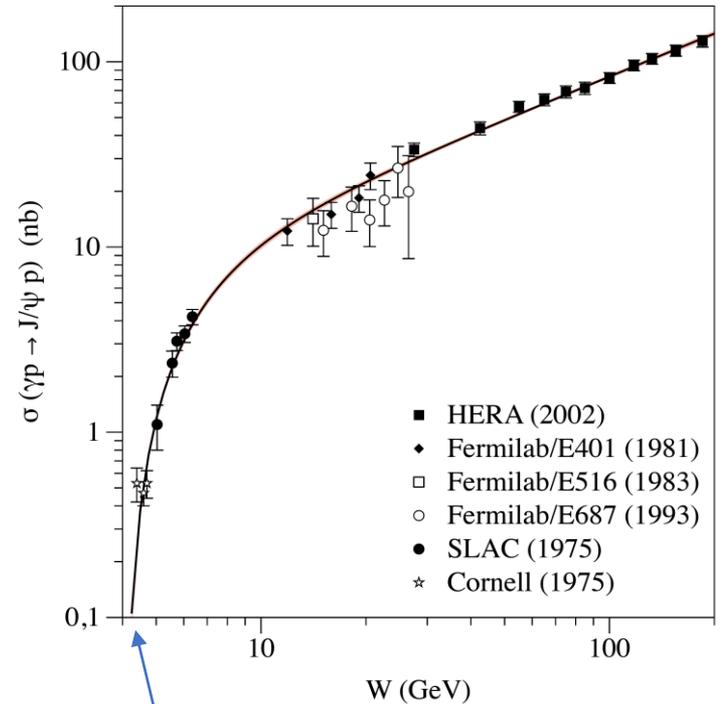
Near-threshold photo-production of $J/\psi, \Upsilon$

Sensitive to the **non-forward** matrix element $\langle P' | F^{\mu\nu} F_{\mu\nu} | P \rangle$

Khazzev, Satz, Syamtomov, Zinovjev (1998)
Brodsky, Chudakov, Hoyer, Laget (2000)



$$\sigma_{tot}^{\gamma p} = \int_{t_{min}}^{t_{max}} dt \frac{d\sigma}{dt}$$



$$W_{th} \approx 4.04 \text{ GeV} \quad (E_{\gamma}^{lab} = 8.2 \text{ GeV})$$

Experiments going on at Jlab \rightarrow talks by A. Somov, S. Joosten
Possibly at **EIC in China** in future

Previous approaches

Khazzev, Satz, Syamtomov, Zinovjev (1998);

Assume vector meson dominance to relate $\gamma p \rightarrow J/\psi p$ to forward $J/\psi p \rightarrow J/\psi p$

Compute $\text{Im}T^{J/\psi p}(t=0) \sim \sigma_{tot}^{J/\psi p}$

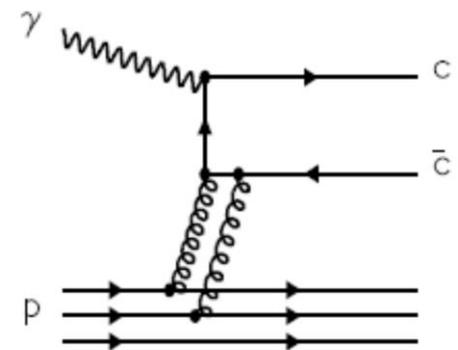
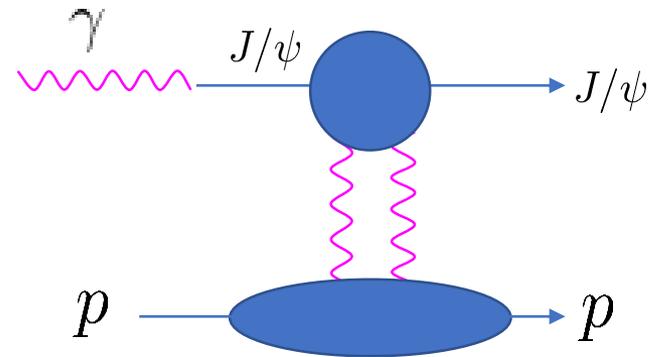
Reconstruct $\text{Re}T^{J/\psi p}(t=0)$ via dispersion relation. $\langle P|F^2|P \rangle$ enters as a subtraction constant.

Brodsky, Chudakov, Hoyer, Laget (2001)

Two-gluon, three-gluon exchanges
Amplitude from quark counting rule

Frankfurt, Strikman (2002)

t-dependence not exponential but power-law,
and comes from **2-gluon form factors**
(Which form factors?)



The nucleon gravitational form factors

$$\langle P'S | T_{q,g}^{\mu\nu} | PS \rangle = \bar{u}(P'S) \left[A_{q,g} \gamma^{(\mu} \bar{P}^{\nu)} + B_{q,g} \frac{\bar{P}^{(\mu} i \sigma^{\nu)\alpha} \Delta_\alpha}{2M} + C_{q,g} \frac{\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2}{M} + \bar{C}_{q,g} M g^{\mu\nu} \right] u(PS)$$

`anomalous gravitomagnetic moment`
The D-term $C_{q,g} = D_{q,g}/4$
`radial force` inside the proton

$$A_q = \int_0^1 dx x (q(x) + \bar{q}(x))$$

$$A_g = \int_0^1 dx x g(x)$$

Related to the trace anomaly

Momentum fraction of quarks and gluons $\Delta = 0$

$A_{q,g}(t), B_{q,g}(t), C_{q,g}(t)$ has been calculated in lattice QCD. Typically fitted by the dipole form

$$A_g(t) = \frac{A_g(0)}{(1 - t/\Lambda^2)^2}$$

Form factor \bar{C}_g

Least understood among the four gravitational form factors.

Related to the nonconservation of energy momentum tensor in quark and gluon subsystems.

$$\langle \partial_\mu T_{q,g}^{\mu\nu} \rangle \sim \Delta^\nu \bar{C}_{q,g} \quad \bar{C}_q + \bar{C}_g = 0$$

Related to the trace anomaly in the forward limit.

$$\langle P | (T_{q,g}^R(\mu))_\alpha^\alpha | P \rangle = 2M^2 (A_{q,g}^R(\mu) + 4\bar{C}_{q,g}^R(\mu))$$

Related to the radial 'pressure' in the quark and gluon subsystems

[Polyakov, Schweitzer \(2018\)](#)

$$p_{q,g}(r) = \frac{1}{6Mr^2} \frac{d}{dr} r^2 \frac{d}{dr} D_{q,g}(r) - M\bar{C}_{q,g}(r)$$

Relation between $\langle P'|F^2|P\rangle$ and \bar{C}_g

$$\begin{aligned} \langle P'|F_R^2|P\rangle = & \bar{u}(P') \left[(K_g A_g^R + K_q A_q^R) M \right. \\ & + \frac{K_g B_g^R + K_q B_q^R}{4M} \Delta^2 - 3 \frac{\Delta^2}{M} (K_g C_g^R + K_q C_q^R) \\ & \left. + 4(K_g \bar{C}_g^R + K_q \bar{C}_q^R) M \right] u(P), \end{aligned}$$

Nonforward amplitude of F^2 completely given by the gravitational form factors.
Coefficients $K_{q,g}$ perturbatively calculable to 3 loops.

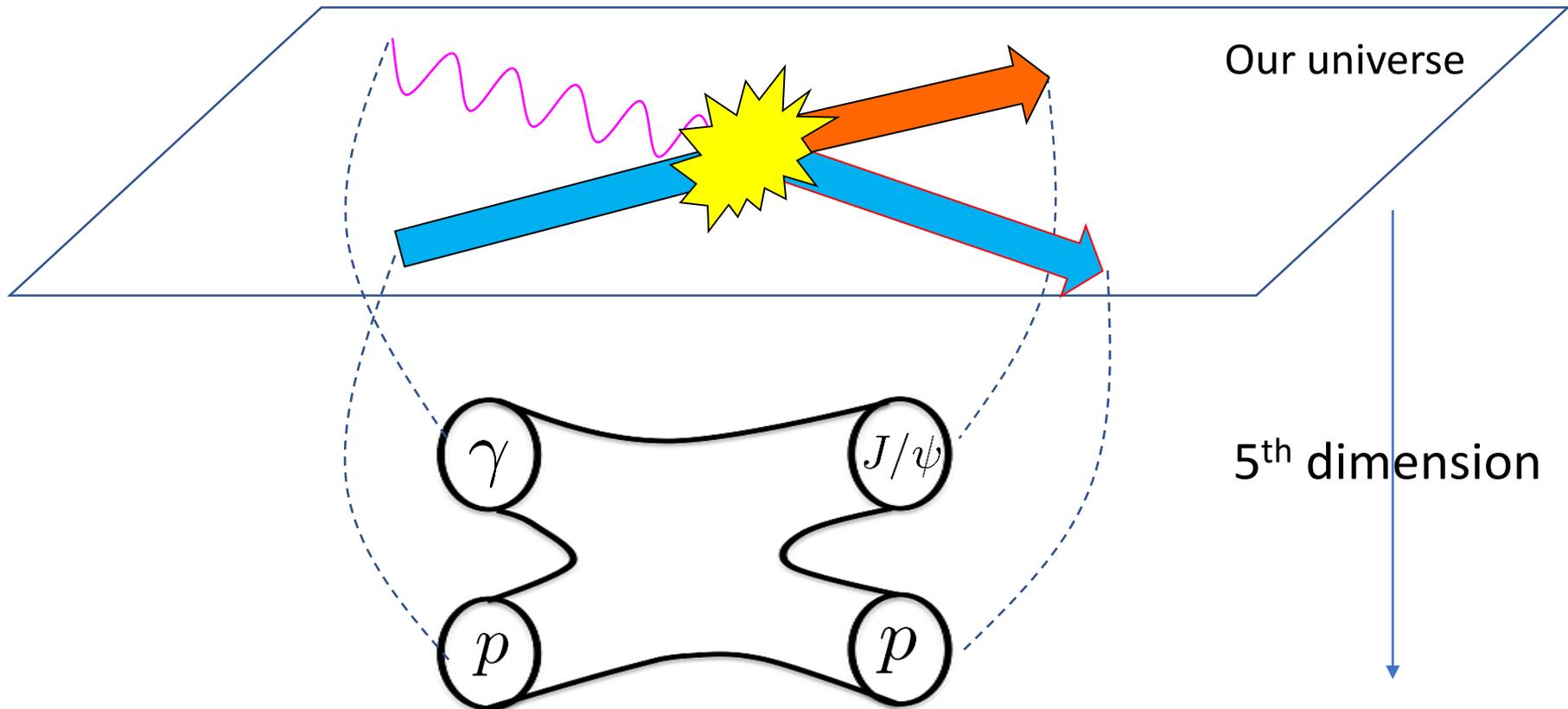
Holographic approach

YH, Yang (2018)

Need nonperturbative methods.

Use AdS/CFT, or more generally, gauge/string duality

QCD amplitude \approx string amplitude in asymptotically AdS_5 .



The AdS/CFT correspondence

Maldacena, '97

N=4 super Yang-Mills at strong coupling, large- N_c



equivalent

Type IIB superstring theory on $AdS_5 \times S^5$

$$ds^2 = R^2 \frac{dz^2 - dx^\mu dx_\mu}{z^2} + R^2 d\Omega_5^2$$

Field theory

operators $T^{\mu\nu}, F^2, \dots$
(anomalous) dimension
't Hooft coupling λ
number of colors $1/N_c$

string

string state $G_{\mu\nu}, \phi, \dots$
mass
curvature radius R
string coupling constant g_s

Application of AdS/CFT to high/low energy scattering

High energy : Disaster

Polchinski, Strassler; Brower, Polchinski, Strassler, Tan
Hatta, Iancu, Mueller; Cornalba, Costa, Penedones,...

Scattering amplitudes dominantly real, in stark contrast to QCD

Graviton exchange gives too strong rise of the cross section $\sigma_{tot} \propto S$

Finite-coupling corrections/modified geometry essential for reasonable phenomenology.

Low-energy : Some hope

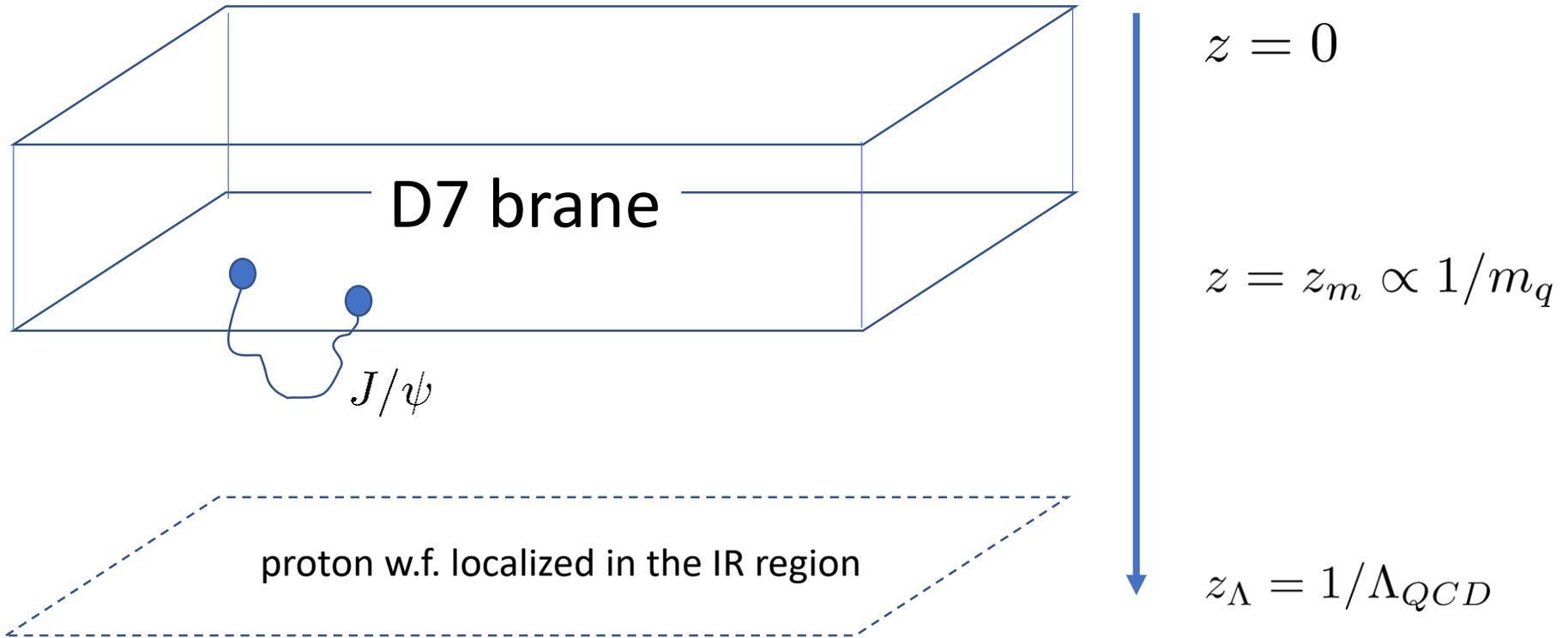
QCD amplitudes dominantly real near threshold.

Energy momentum tensor shows up naturally (=graviton exchange)

Setup

Quarkonium: open string excitation on a D7 brane

Karch, Katz (2002)

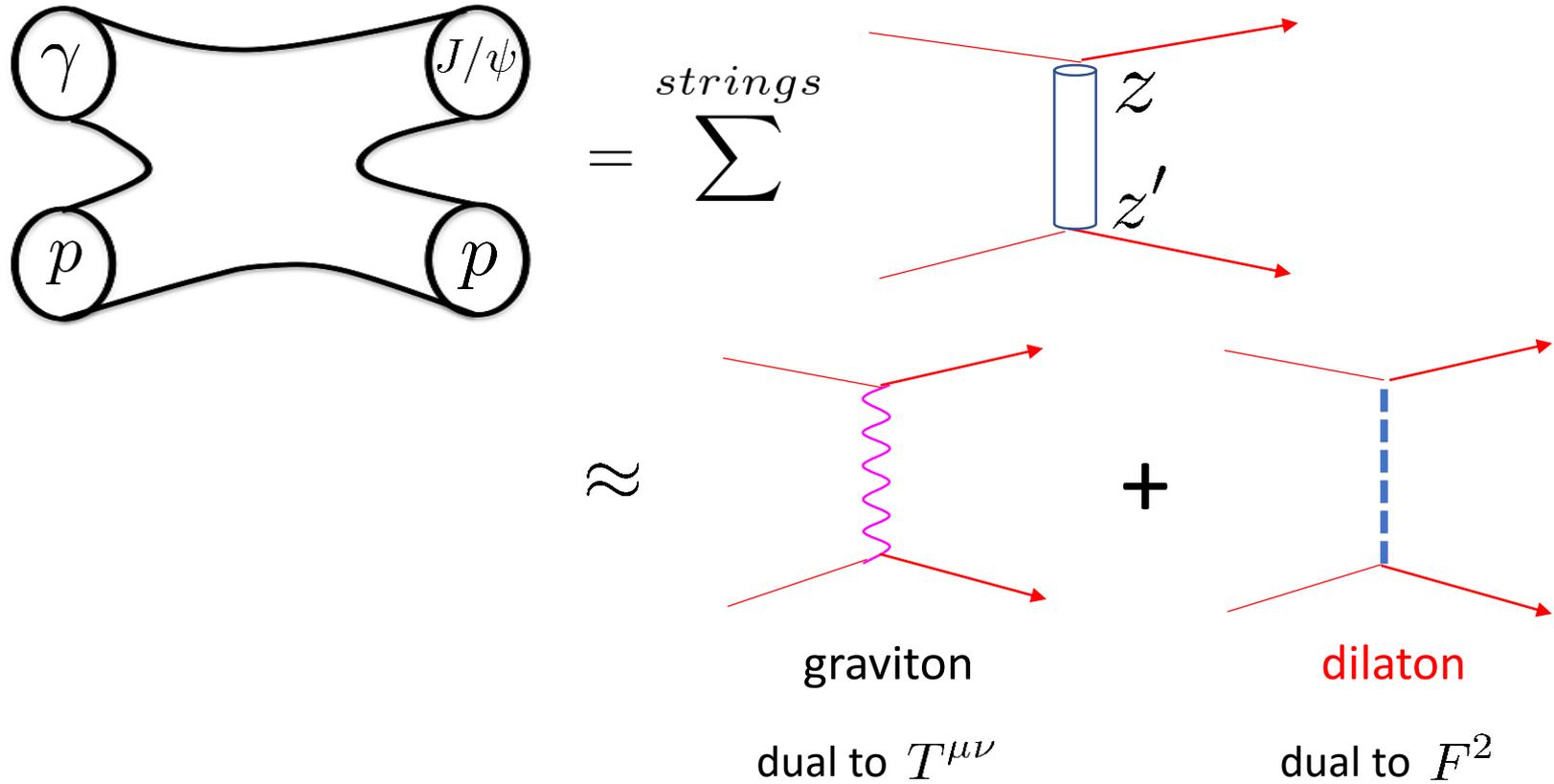


Born-Infeld action on the D7 brane.

$$S_{D7} = -T_{D7} \int d^8\xi e^{-\phi} \sqrt{-\det \left[G_{ab} + 2\pi\alpha' (F_{ab}^\gamma + F_{ab}^{J/\psi}) \right]}$$

dilaton

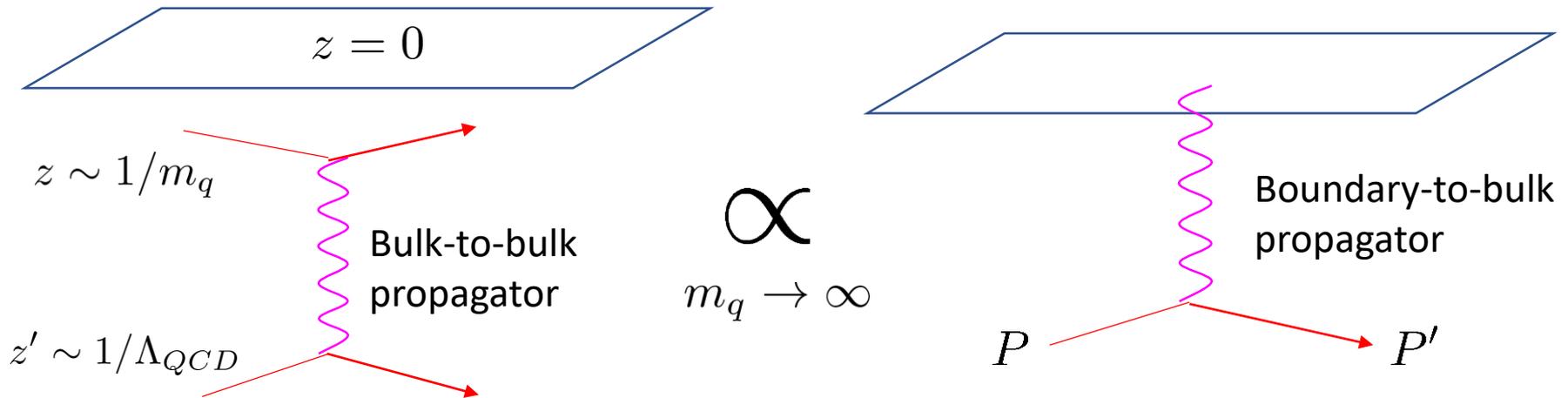
Scattering amplitude in AdS



$$\langle P | \epsilon \cdot J | P' k \rangle \sim \int d^4 x dz \sqrt{-G} \int d^4 x' dz' \sqrt{-G'} \Phi_\gamma \Phi_{J/\psi} G(zx, z'x') \Phi_P \Phi_{P'}$$

Connection to gravitational form factors

In the heavy-quark limit, one can make connection with the gravitational form factors



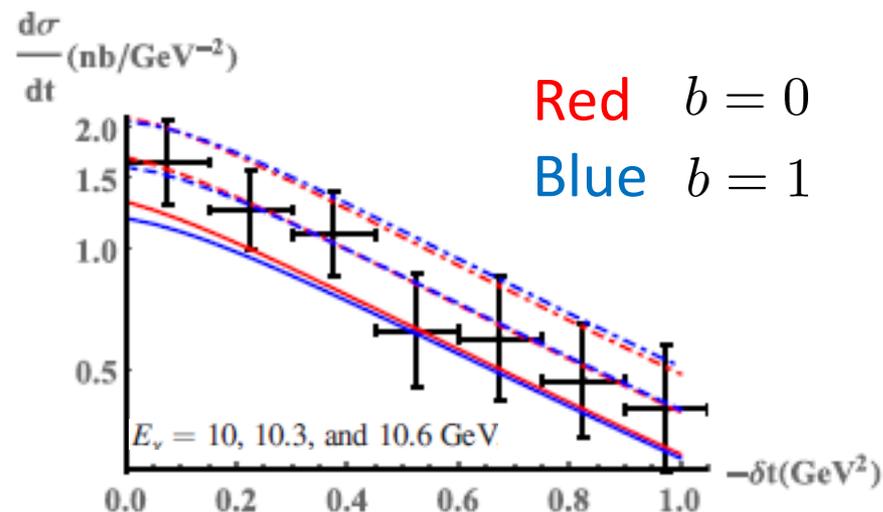
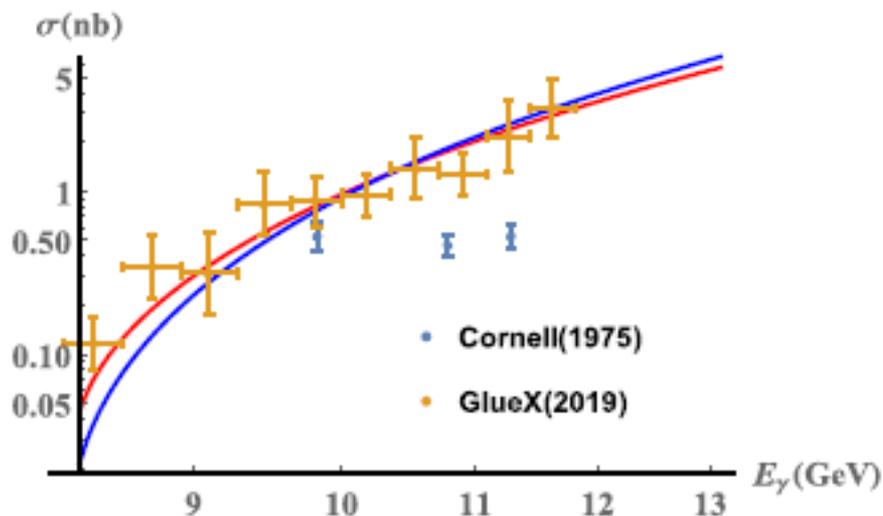
$$\begin{aligned}
 \langle P | \epsilon \cdot J(0) | P' k \rangle &\approx -\frac{2\kappa^2}{f_\psi R^3} \int_0^{z_m} dz \frac{\delta S_{D7}(q, k, z)}{\delta g_{\mu\nu}} \frac{z^2 R^2}{4} \langle P | T_{\mu\nu}^{gTT} | P' \rangle \\
 &+ \frac{2\kappa^2}{f_\psi R^3} \frac{3}{8} \int_0^{z_m} dz \frac{\delta S_{D7}(q, k, z)}{\delta \phi} \frac{z^4}{4} \langle P | \frac{1}{4} F_a^{\mu\nu} F_{\mu\nu}^a | P' \rangle
 \end{aligned}$$

Fitting the latest GlueX result

c.f. talk by A. Somov

$$M_m = \frac{1}{4} \frac{\langle P | m(1 + \gamma_m) \bar{\psi} \psi | P \rangle}{2M} \equiv \frac{b}{4} M$$

$$M_a = \frac{1}{4} \frac{\langle P | \frac{\beta}{2g} F^2 | P \rangle}{2M} \equiv \frac{1-b}{4} M$$



Comments:

Normalization fitted to the data.

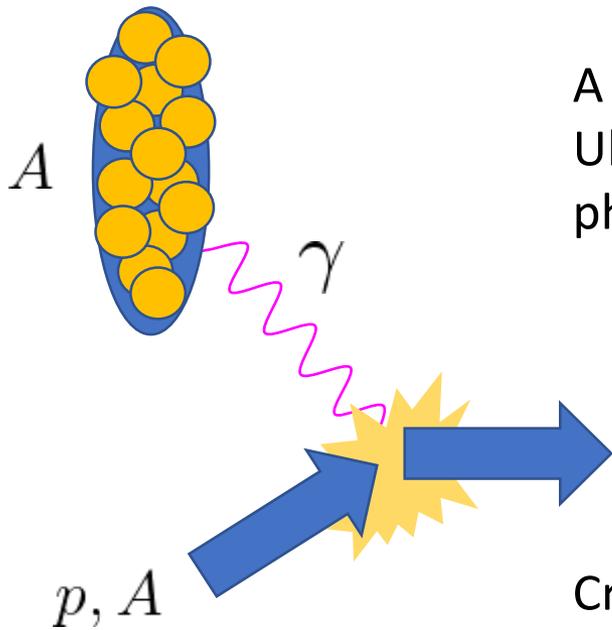
Amplitude purely real

Model does not work at high energy

$A_g(\Delta^2), D_g(\Delta^2)$ from lattice [Shanahan, Detmold \(2018\)](#)

Small-b favored, b=1 disfavored \rightarrow Gluon condensate important for the proton mass!

Ultrapерipheral collisions at RHIC



A heavy ion is an abundant source of real photons. Ultrapерipheral collisions (UPC) closely mimic the photoproduction limit of DIS.

Cross section enhanced by $Z^2 = 6241$ (for gold nucleus)
Can study Υ photo-production

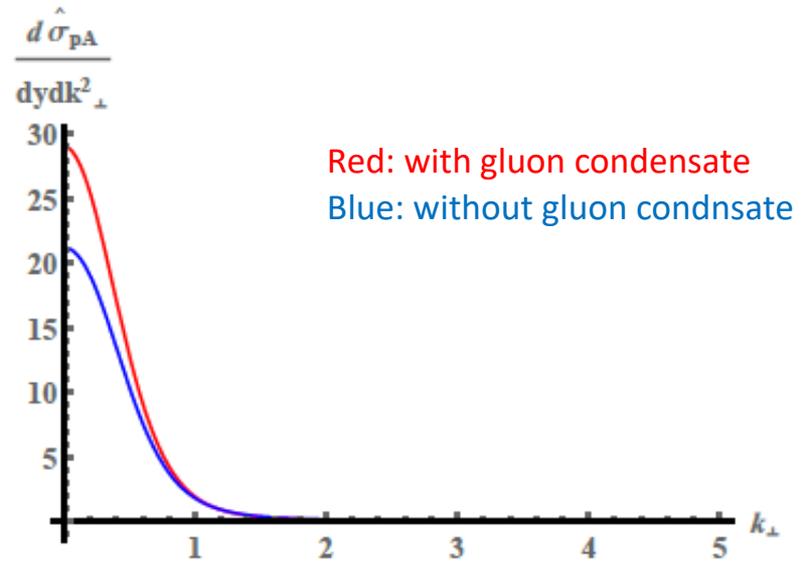
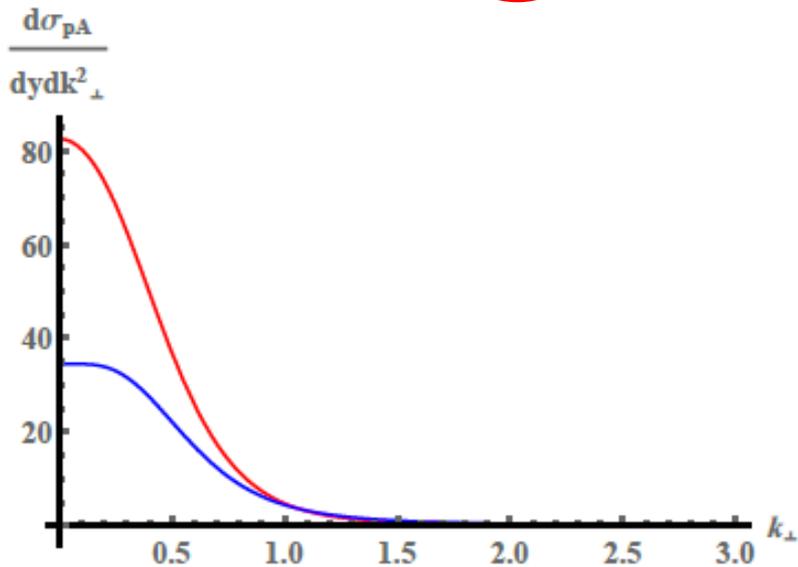
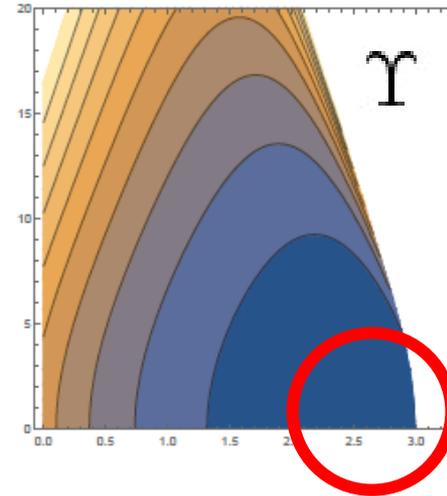
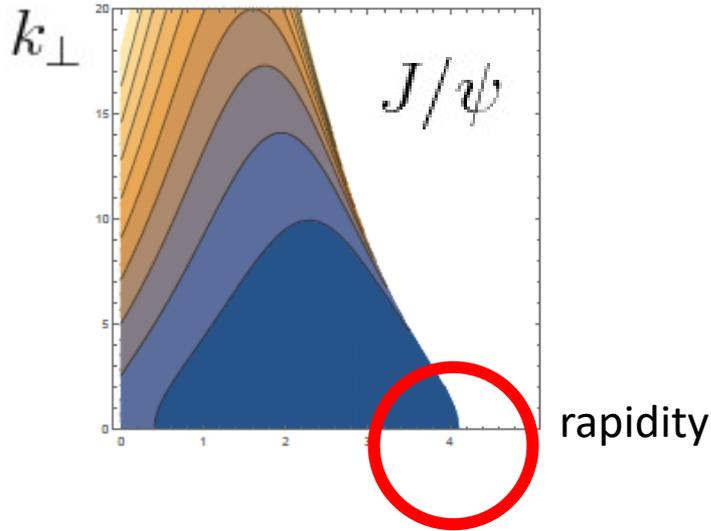
Typical photon energy at 200GeV runs $\omega = 2 \sim 3 \text{ GeV}$, $\sqrt{(P+q)^2} \sim 28 \text{ GeV}$

Enough to produce Υ , but they are produced in the **forward** rapidity region

→ Measurable after the completion of **STAR forward upgrades**.

Near threshold production in UPC pA collisions at RHIC

YH, Rajan, Yang, 1906.00894



Conclusion

- Near-threshold J/ψ , Υ -production
 - An interesting physics case at EIC **in China**
Lower energy helps.
- Cross section sensitive to $\langle P|F^2|P'\rangle$ and the gluon D-term
- Precise t-dependence of gravitational form factors very welcome ← models, lattice
- Use more realistic AdS/QCD models
- First principle/model independent approach?