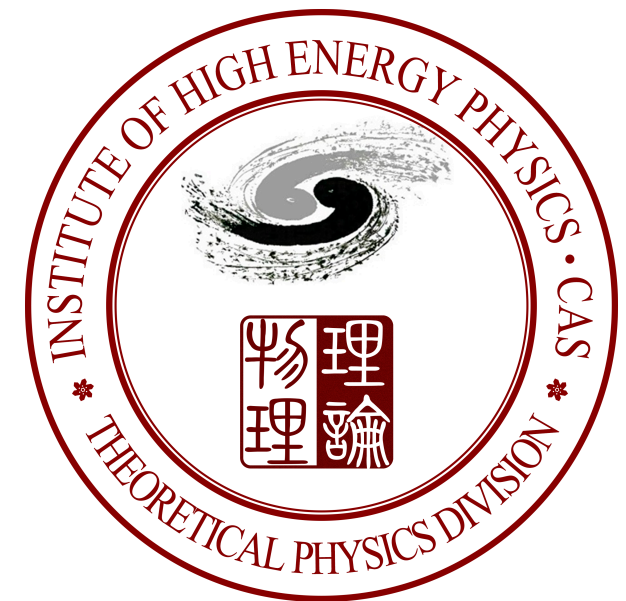


SMEFT for Top Physics at LHC

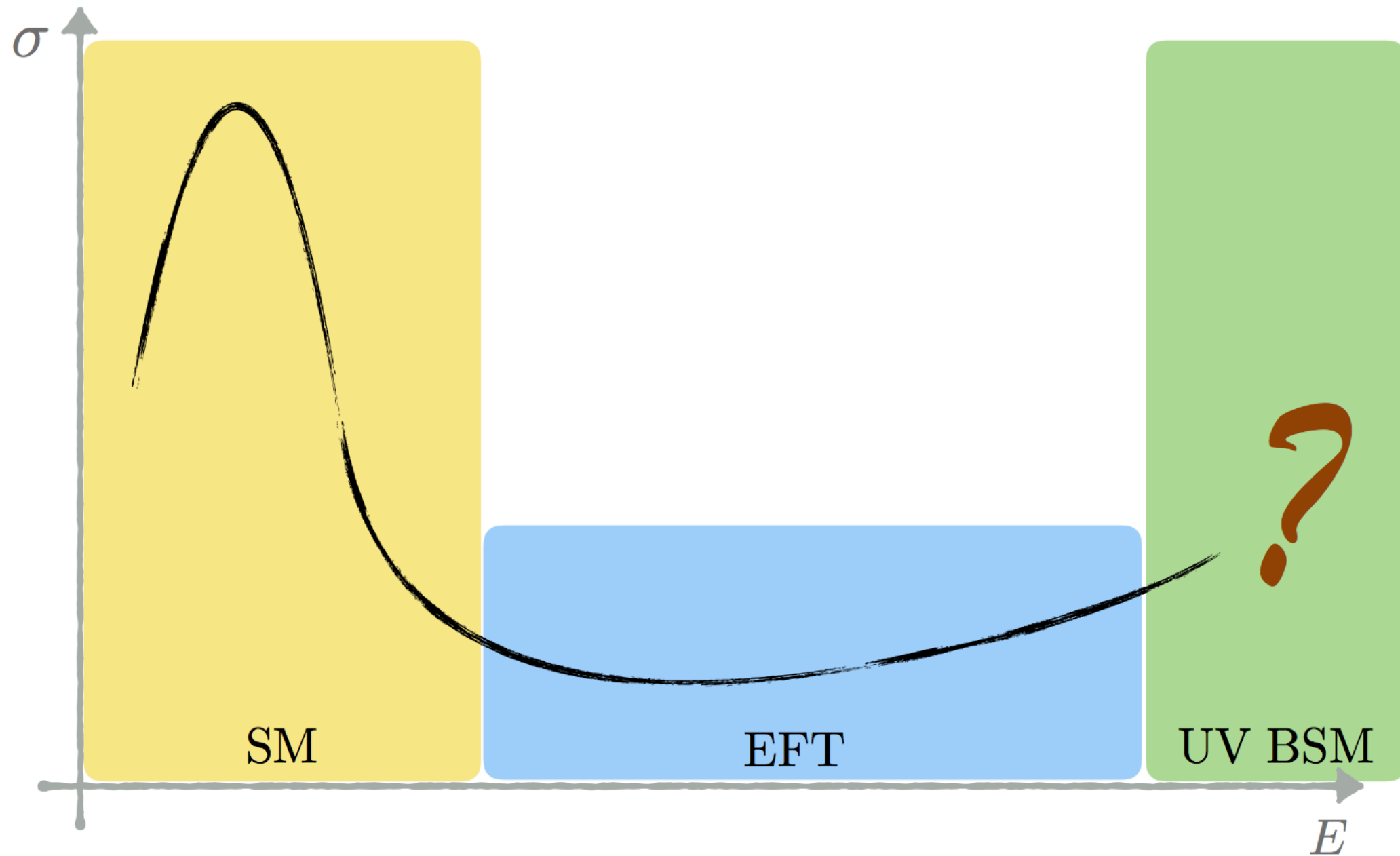
Cen Zhang

Institute of High Energy Physics
Chinese Academy of Sciences

Chongqing
May 19 2019



SMEFT



SMEFT

Parametrizing our ignorance

$$L_{\text{EFT}} = L_{\text{SM}} + \sum_i \frac{C_i^{(6)}}{\Lambda^2} O_i^{(6)} + \sum_i \frac{C_i^{(8)}}{\Lambda^4} O_i^{(8)} + \dots$$

Wilson Coefficient

Scale of new physics, $\Lambda \gg E_{\text{LHC}}$
-> leading effects come from $O_i^{(6)}$

With no assumption on UV:

- A complete set of independent “basis operators”
- Compare to experiments and measure the coefficients

SMEFT for tops

Charged current

$$O_{\varphi Q}^{(3)} = i \frac{1}{2} y_t^2 (\varphi^\dagger \overleftrightarrow{D}_\mu^I \varphi) (\bar{Q} \gamma^\mu \tau^I Q)$$

$$O_{tW} = y_t g_w (\bar{Q} \sigma^{\mu\nu} \tau^I t) \tilde{\varphi} W_{\mu\nu}^I$$

$$O_{\varphi\varphi} = i y_t^2 (\varphi^\dagger D_\mu \tilde{\varphi}) (\bar{b} \gamma^\mu t)$$

$$O_{bW} = y_t g_w (\bar{Q} \sigma^{\mu\nu} \tau^I b) \varphi W_{\mu\nu}^I$$

SMEFT for tops

Charged current

$$O_{\varphi Q}^{(3)} = i \frac{1}{2} y_t^2 (\varphi^\dagger \overleftrightarrow{D}_\mu^I \varphi) (\bar{Q} \gamma^\mu \tau^I Q)$$

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$$O_{bW} = y_t g_w (\bar{Q} \sigma^{\mu\nu} \tau^I b) \varphi W_{\mu\nu}^I$$

Strong

$$O_{tG} = y_t g_s (\bar{Q} \sigma^{\mu\nu} T^A t) \tilde{\varphi} G_{\mu\nu}^A$$

$$O_G = g_s f^{ABC} G_\mu^A G_\nu^B G_\rho^C$$

SMEFT for tops

Charged current

$$O_{\varphi Q}^{(3)} = i \frac{1}{2} y_t^2 \left(\varphi^\dagger \overleftrightarrow{D}_\mu^I \varphi \right) (\bar{Q} \gamma^\mu \tau^I Q)$$

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Strong

$$O_{tG} = y_t g_s (\bar{Q} \sigma^{\mu\nu} T^A t) \tilde{\varphi} G_{\mu\nu}^A$$

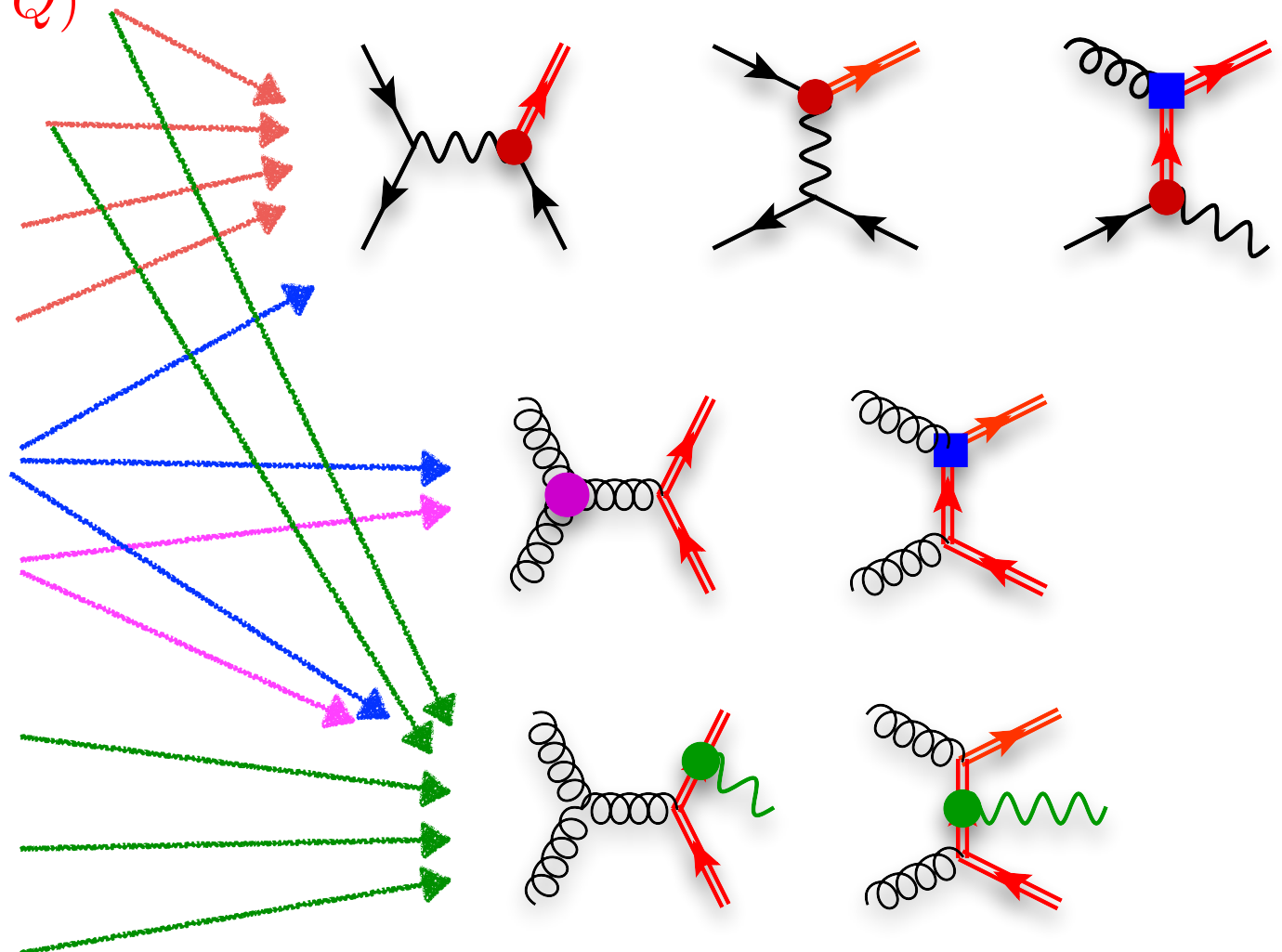
$$O_G = g_s f^{ABC} G_\mu^A G_\nu^B G_\rho^C$$

Neutral current

$$O_{\varphi Q}^{(1)} = i \frac{1}{2} y_t^2 \left(\varphi^\dagger \overleftrightarrow{D}_\mu \varphi \right) (\bar{Q} \gamma^\mu Q)$$

$$O_{\varphi t} = i \frac{1}{2} y_t^2 \left(\varphi^\dagger \overleftrightarrow{D}_\mu \varphi \right) (\bar{t} \gamma^\mu t)$$

$$O_{tB} = y_t g_Y (\bar{Q} \sigma^{\mu\nu} t) \tilde{\varphi} B_{\mu\nu}$$



SMEFT for tops

Charged current

$$O_{\varphi Q}^{(3)} = i \frac{1}{2} y_t^2 \left(\varphi^\dagger \overleftrightarrow{D}_\mu^I \varphi \right) (\bar{Q} \gamma^\mu \tau^I Q)$$

$$O_{tW} = y_t g_w (\bar{Q} \sigma^{\mu\nu} \tau^I t) \tilde{\varphi} W_{\mu\nu}^I$$

$$O_{\varphi\varphi} = i y_t^2 \left(\varphi^\dagger D_\mu \tilde{\varphi} \right) (\bar{b} \gamma^\mu t)$$

$$O_{bW} = y_t g_w (\bar{Q} \sigma^{\mu\nu} \tau^I b) \varphi W_{\mu\nu}^I$$

Strong

$$O_{tG} = y_t g_s (\bar{Q} \sigma^{\mu\nu} T^A t) \tilde{\varphi} G_{\mu\nu}^A$$

$$O_G = g_s f^{ABC} G_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$$

Neutral current

$$O_{\varphi Q}^{(1)} = i \frac{1}{2} y_t^2 \left(\varphi^\dagger \overleftrightarrow{D}_\mu \varphi \right) (\bar{Q} \gamma^\mu Q)$$

$$O_{\varphi t} = i \frac{1}{2} y_t^2 \left(\varphi^\dagger \overleftrightarrow{D}_\mu \varphi \right) (\bar{t} \gamma^\mu t)$$

$$O_{tB} = y_t g_Y (\bar{Q} \sigma^{\mu\nu} t) \tilde{\varphi} B_{\mu\nu}$$

Yukawa

$$O_{t\phi} = y_t^3 \left(\phi^\dagger \phi - v^2/2 \right) (\bar{Q} t) \tilde{\phi}$$

+ ttγ, tjX, ttbb, 4t and more

+ four-fermion Ops

Non-negligible effects from O_{tG} to ttH: [Maltoni, Vryonidou, CZ, 16]
 see also constraining O_G from multi-jets: [Krauss, Kuttimalai, Plehn, 16]

Global fit

Theorists

Fit to SMEFT coefs



Observables



SM measurements

TH dependence cannot be removed:
background, signal shape,
acceptance, MVA, ...

Experimentalists

Precise SMEFT predictions



Targeted analysis



Experiment level fit

Desirable but more complicated...

- Theory status
TH predictions and tools
- Application: global fits, projections
- Experiments

Recent SMEFT calculations at NLO

NLO corrections to EFT can be useful:

- For QCD corrections at LHC
- For better understanding TH uncertainty
- NLO may be the first order for nontrivial effects (e.g. probing h^3 from single higgs)

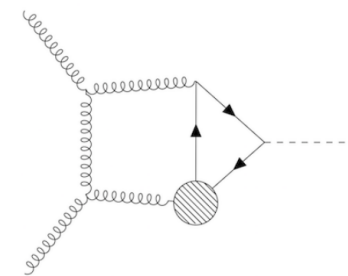
$$1 + \mathcal{O}(\alpha_s) + \mathcal{O}\left(\frac{1}{\Lambda^2}\right) + \mathcal{O}\left(\frac{\alpha_s}{\Lambda^2}\right) + \dots$$

SM NLO EFT EFT @ NLO

[G. Degrande et al., '16] [Gorbahn, Haisch, '16]

Some recent progresses (past two years):

- Higgs decay: $\gamma\gamma$ [A. Dedes et al. '18], γZ [Dedes, Suxho, Trifyllis '19], ZZ [Dawson, Giardino '18], WW [Dawson, Giardino '18], bb [Cullen, Pecjak, Scott '19]
- HZ production (QCD): $vh@nnlo$ [R. Harlander et al. '18]
- WW production: [Balio, Dawson, Lewis '19]
- gg to H, **two loop**: [Deutschmann, Duhr, Maltoni, Vryonidou '18]
- Top production NLO+PS, automated: [Degrande, Maltoni, Mimasu, Vryonidou, CZ '18]
- Single top, fNLO production+decay, off shell: [Neumann, Sullivan, '19]
- Top loops in Higgs processes: [Vryonidou, CZ '18]



SMEFT at NLO in QCD

Automation with MadGraph5_aMC@NLO, see [\[CZ 1611.05091\]](#) for a summary

Eg. ttZ

```
shell> ./bin/mg5
MG5_aMC> import model TopEFT
MG5_aMC> generate p p > t t~ Z EFT=1 [QCD]
MG5_aMC> output some_DIR
MG5_aMC> launch
```

Process	ttg O_{tG}	ttZ/ γ , tbW O_{tB} O_{tW}	$O_{\varphi Q}^{(3)}$ $O_{\varphi Q}^{(1)}$	$O_{\varphi t}$	ttH $O_{t\varphi}$	qqtt O_{4f}	ggH $O_{\varphi G}$
$t \rightarrow bW \rightarrow bl^+\nu$	✓		✓				✓
$pp \rightarrow t\bar{q}$	✓		✓				✓
$pp \rightarrow tW$	✓		✓				
$pp \rightarrow t\bar{t}$	✓						✓
$pp \rightarrow t\bar{t}\gamma$	✓	✓	✓				✓
$pp \rightarrow t\gamma j$	✓	✓	✓				✓
$pp \rightarrow t\bar{t}Z$	✓	✓	✓	✓	✓		✓
$pp \rightarrow tZj$	✓	✓	✓	✓	✓		✓
$pp \rightarrow t\bar{t}W$	✓						✓
$e^+e^- \rightarrow t\bar{t}$	✓	✓	✓	✓	✓		✓
$pp \rightarrow t\bar{t}H$	✓					✓	✓
$pp \rightarrow tHj$	✓		✓			✓	✓
$gg \rightarrow H, H_j, HZ$	✓		✓	✓	✓	✓	✓

See e.g. [\[Shao et al., 11\]](#) [\[Rontsch, Schulze, 15\]](#) ...
for previous work on similar calculations

Pros

User friendly interface

A wide range of processes

Including top/Higgs/EW operators

Fully automated

Cons

No optimization for specific channel

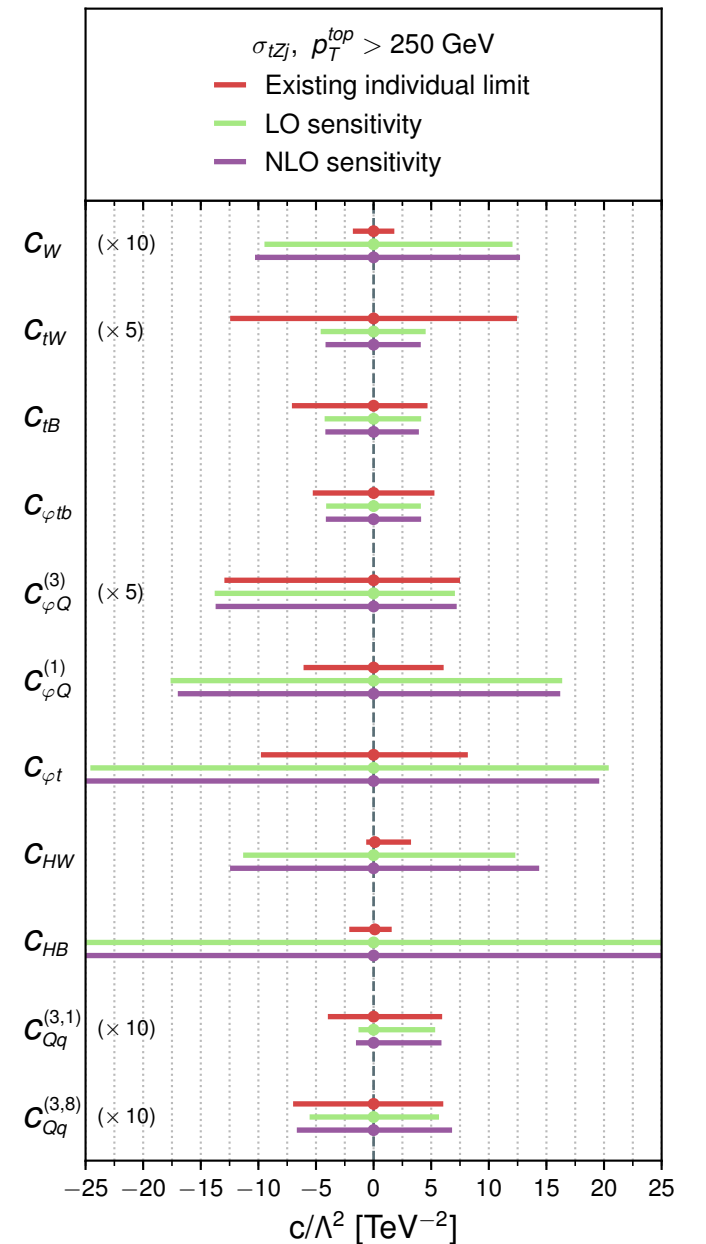
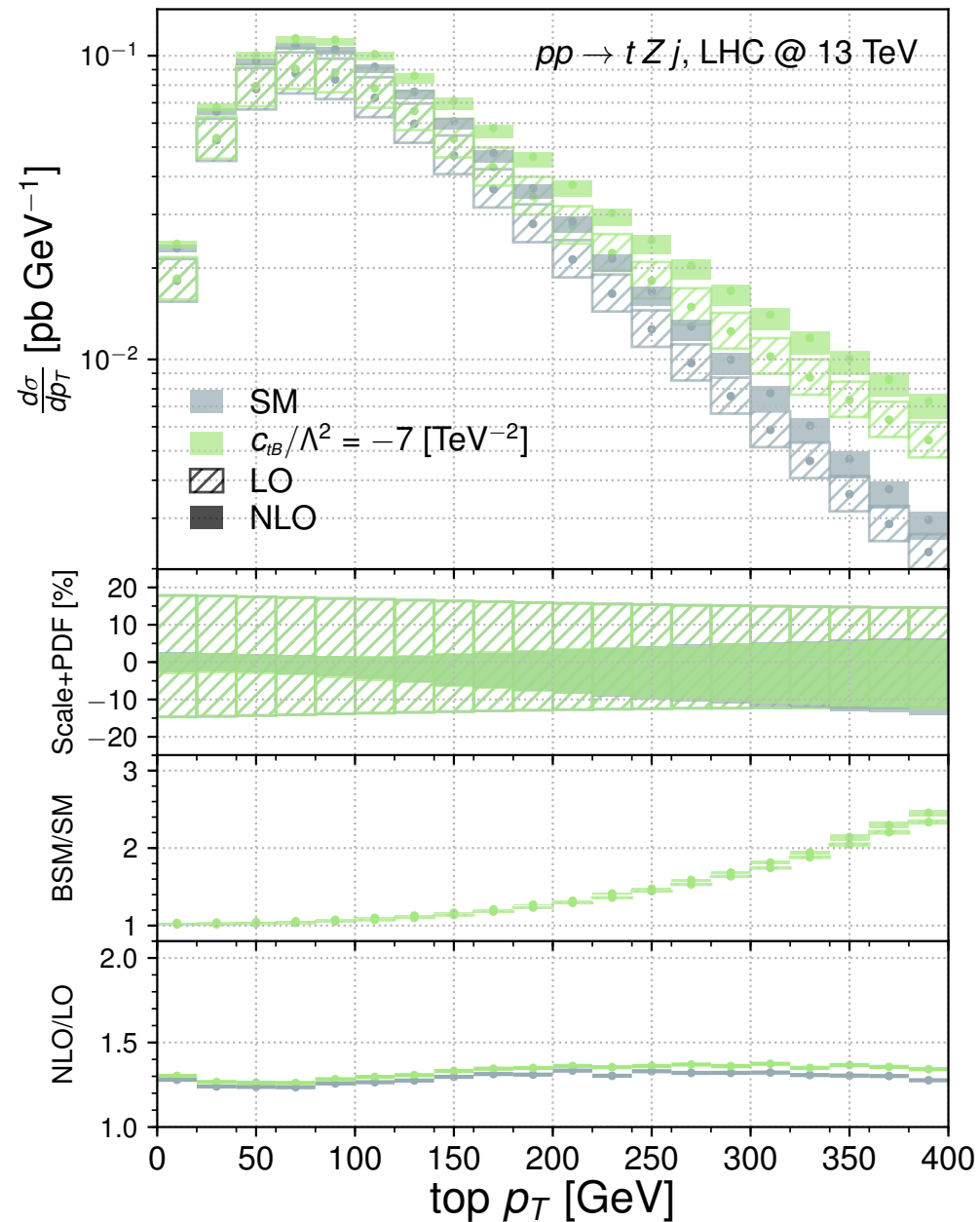
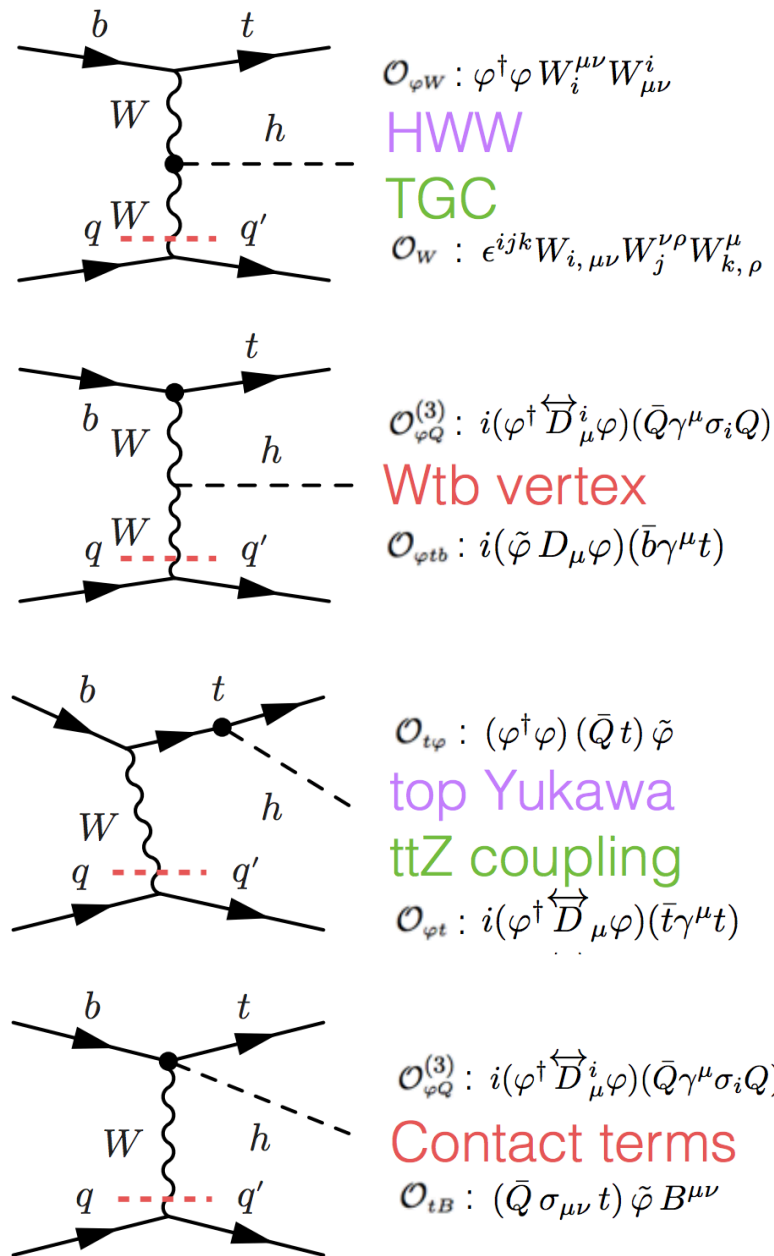
Production + decay is hard

MC@NLO -> negative weights

tZj: a case study with both top and EW operators

[Degrande, Maltoni, Mimasu, Vryonidou, CZ '18]

tHj (tZj = h → Z)



SMEFT at NLO in QCD: single top production + decay

[Neumann, Sullivan, '19]

$$Q_{\varphi q}^{(3,33)} = \frac{1}{2} y_t^2 (\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi) (\bar{Q}_L \gamma^\mu \tau^I Q_L),$$

$$Q_{uW}^{33} = y_t g_W (\bar{Q}_L \sigma^{\mu\nu} \tau^I t) \tilde{\varphi} W_{\mu\nu}^I,$$

$$Q_{uG}^{33} = y_t g_s (\bar{Q}_L \sigma^{\mu\nu} T^A t) \tilde{\varphi} G_{\mu\nu}^A,$$

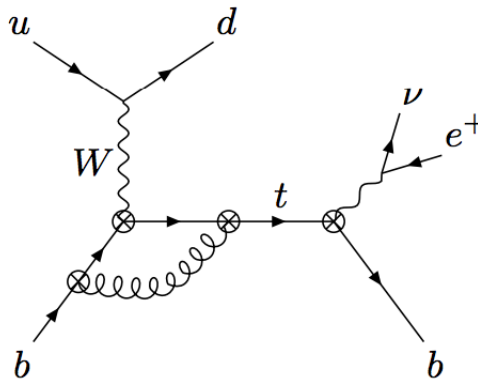
$$Q_{4L} = Q_{qq}^{(3,1133)} = (\bar{q}_L \gamma_\mu \tau^I q_L) (\bar{Q}_L \gamma^\mu \tau^I Q_L),$$

$$Q_{\varphi ud}^{33} = y_t^2 (\tilde{\varphi}^\dagger i D_\mu \varphi) (\bar{t} \gamma^\mu b),$$

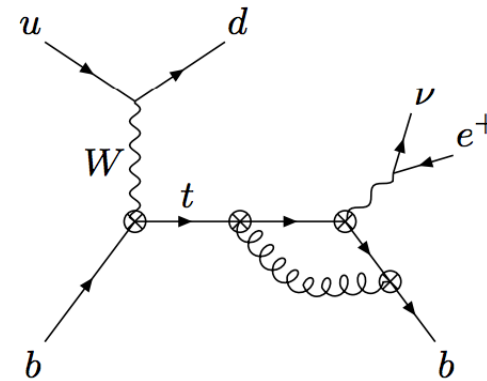
$$Q_{dW}^{33} = y_t g_W (\bar{Q}_L \sigma^{\mu\nu} \tau^I b) \Phi W_{\mu\nu}^I,$$

$$Q_{dG}^{33} = y_T g_s (\bar{Q}_L \sigma^{\mu\nu} T^A b) \Phi G_{\mu\nu}^A,$$

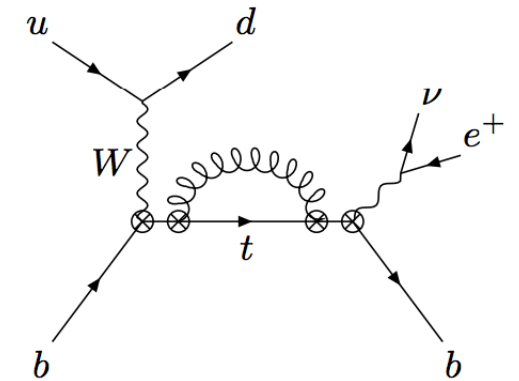
$$Q_{4R} = Q_{ud}^{(1,1331)} + Q_{ud}^{(1,3113)} = (\bar{d} \gamma_\mu u) (\bar{t} \gamma^\mu b) + (\bar{u} \gamma_\mu d) (\bar{b} \gamma^\mu t),$$



(a) production



(b) decay



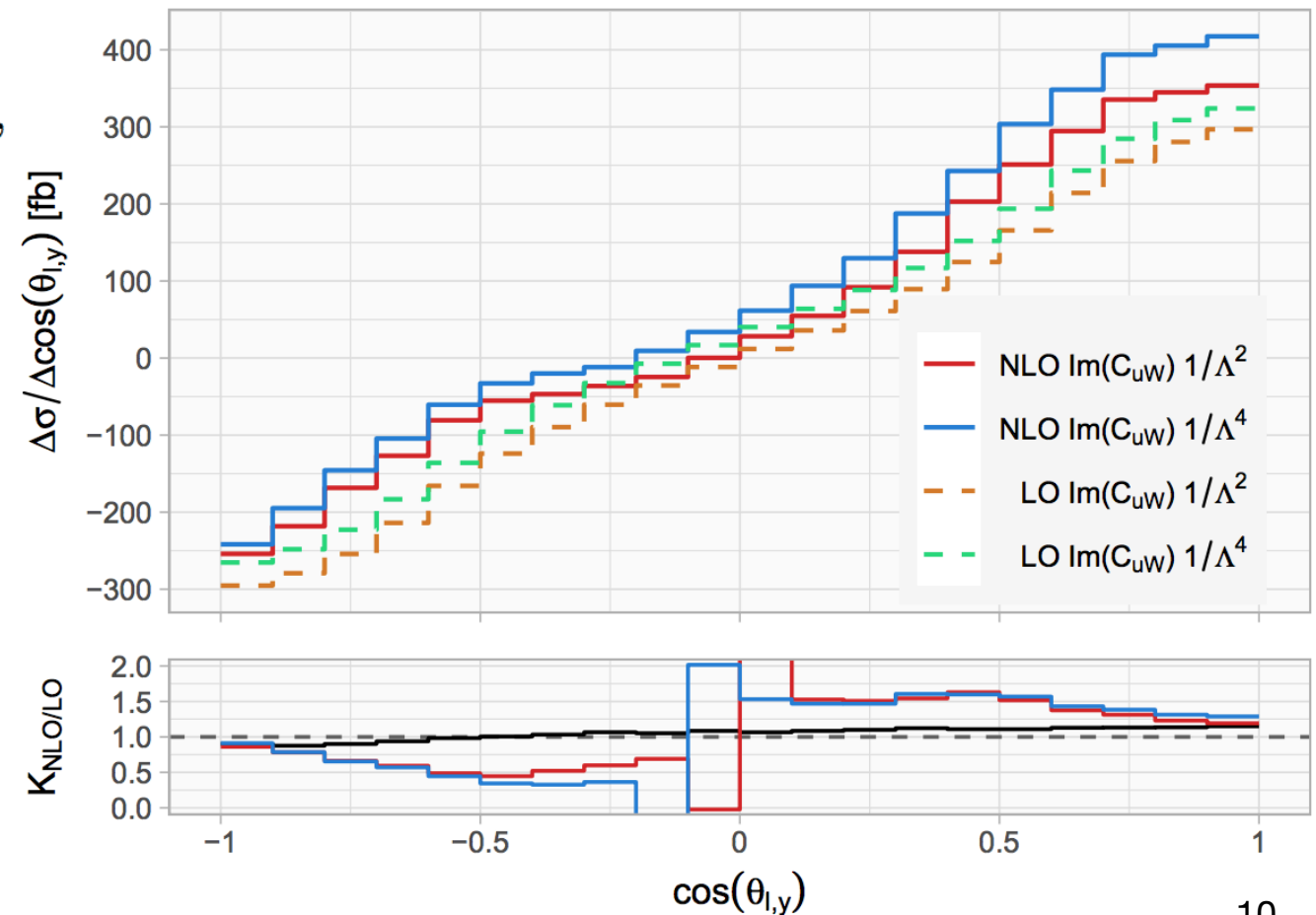
(c) self-energy

All operators up to dim-6 squared

Complex mass scheme

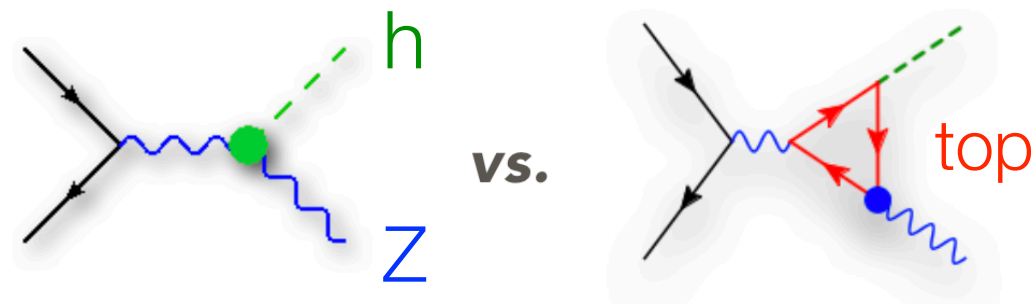
Spin info accurate at NLO

Implemented in MCFM

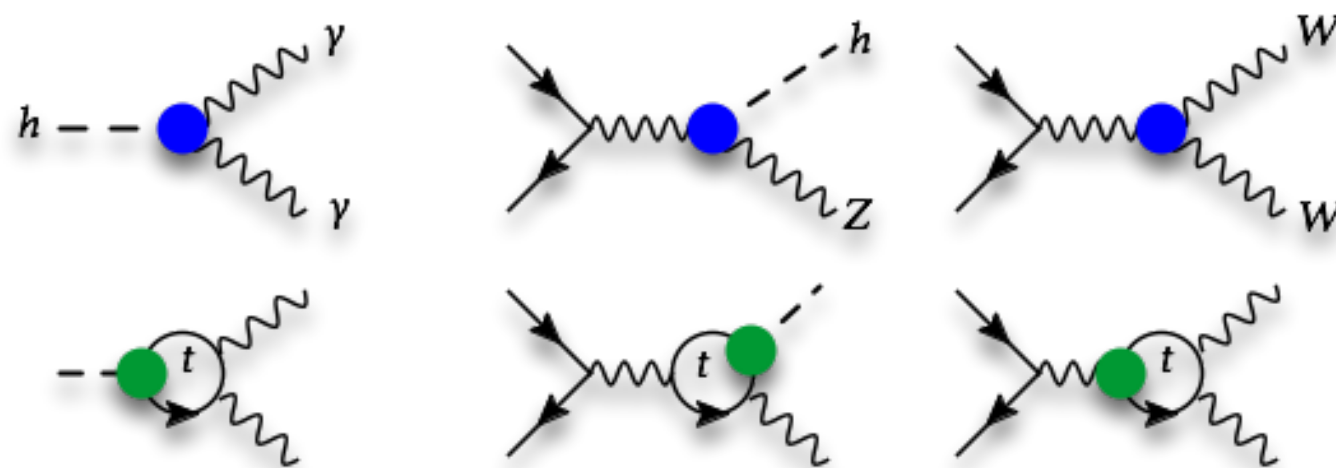


Towards automated SMEFT at NLO in EW

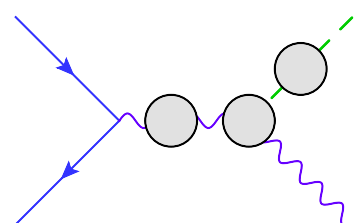
Suppose we see 5% deviation in HZ production (at HL-LHC, CEPC/ILC/FCC), how do we decide:



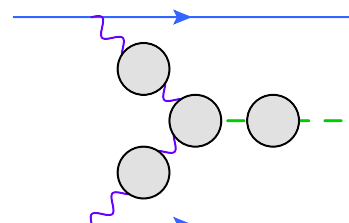
In fact, in a global fit, it is consistent only if all loops in the 2nd row are considered [\[Durieux, Grojean, Gu, Wang '17\]](#)



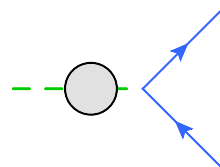
Towards automated SMEFT at NLO in EW



WH,ZH



VBF



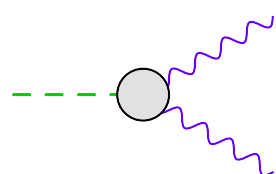
$H \rightarrow \mu\mu, \tau\tau$



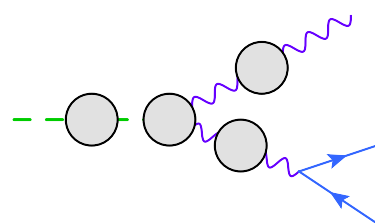
W,Z masses, oblique parameters

Automated with
MG5_aMC@NLO

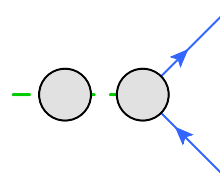
[Vryonidou, CZ '18]



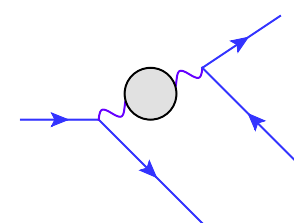
$H \rightarrow \gamma\gamma, \gamma Z$



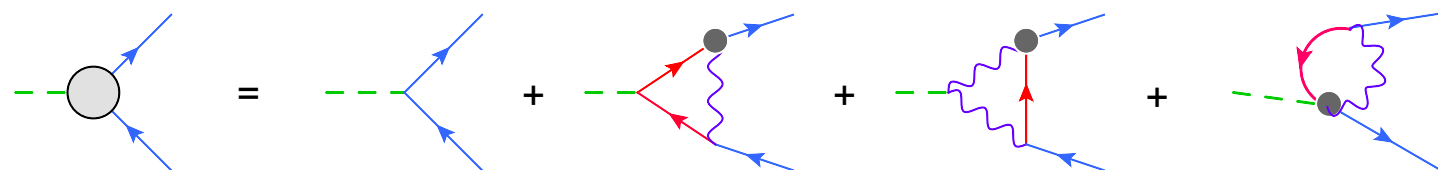
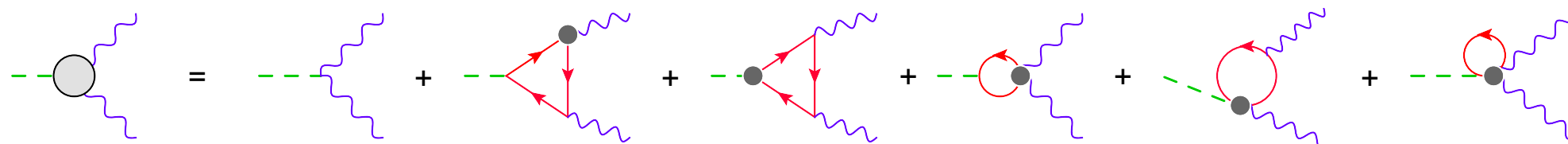
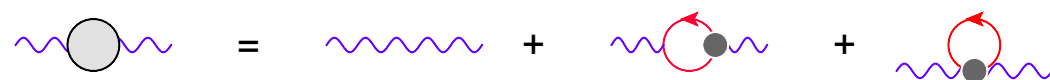
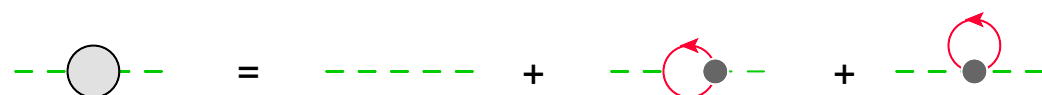
$H \rightarrow Zll, Wlv$



$H \rightarrow bb$



μ decay

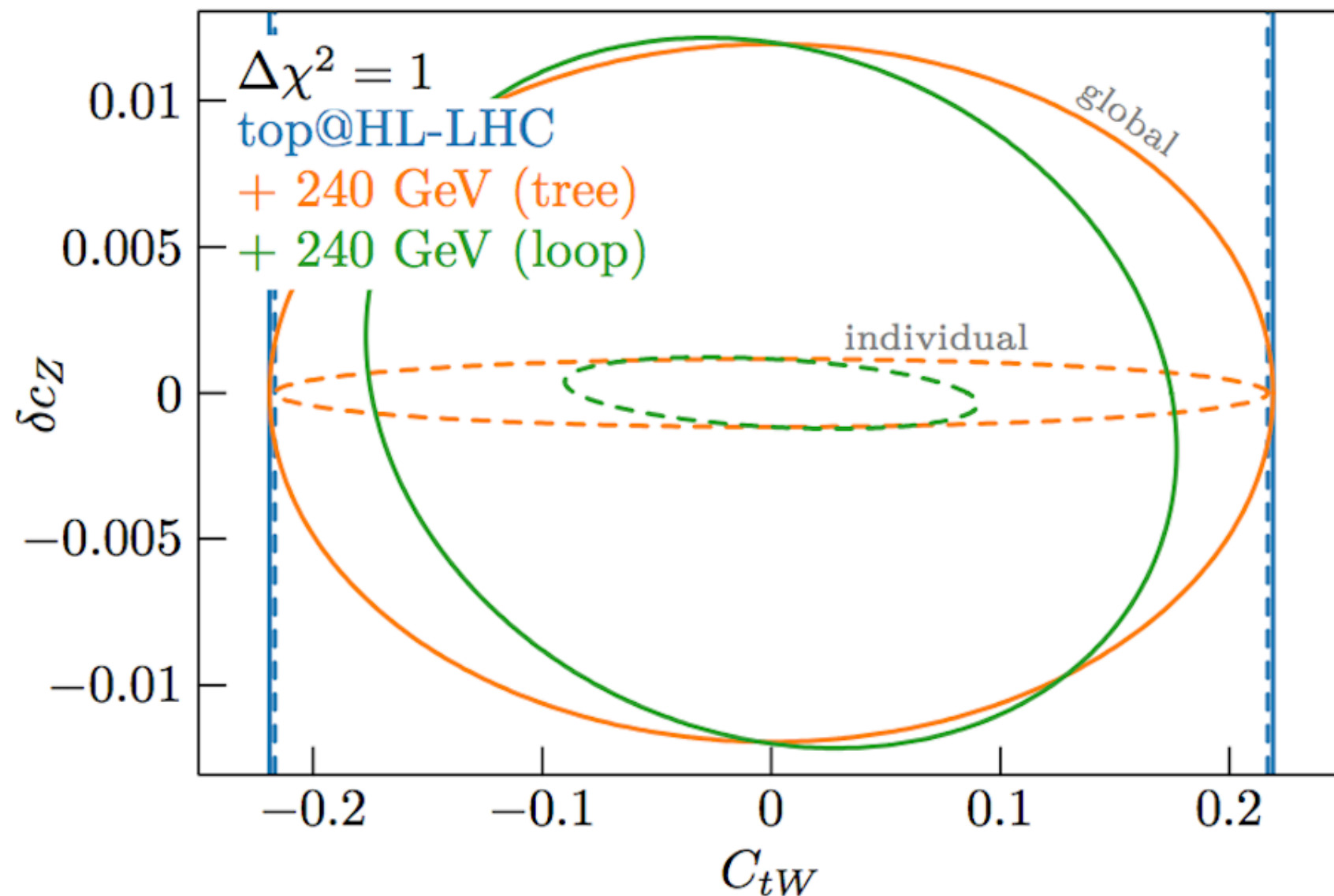


See [Kobakhidze, Liu, Wu, Yue '16] for CPV Yukawa

Towards automated SMEFT at NLO in EW

[Durieux, Gu, Vryonidou, CZ '17]

On a linear scale, in the $(C_{tW}, \delta c_Z)$ plane:



- extra parameter space covered thanks to loop sensitivity

Why global fits

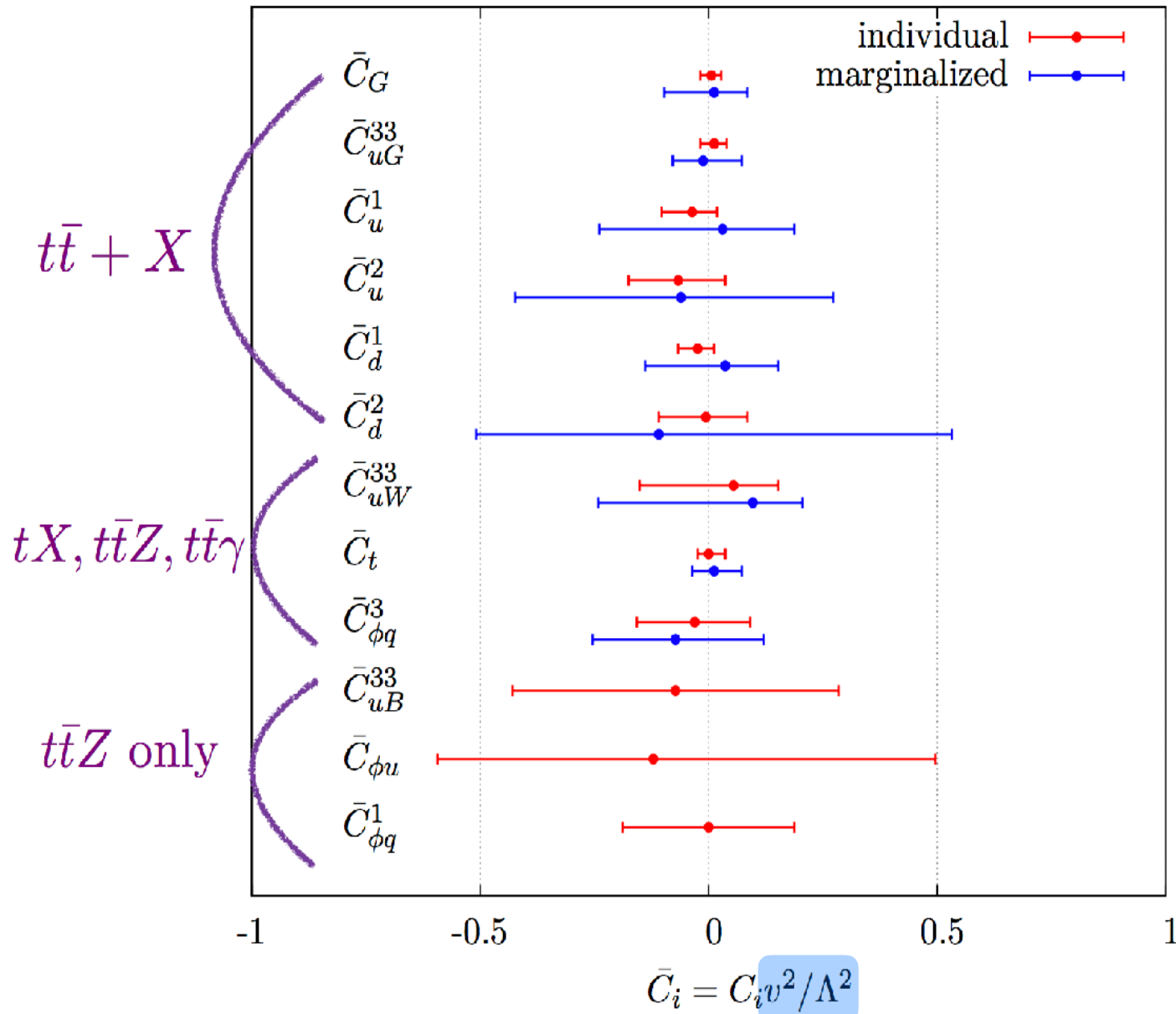
Combine LHC top measurements, set constraints on coefficients...

- As the **LHC legacy**, like the S/T/U parameters from LEP
- **Discovery by combination is possible**

TopFitters

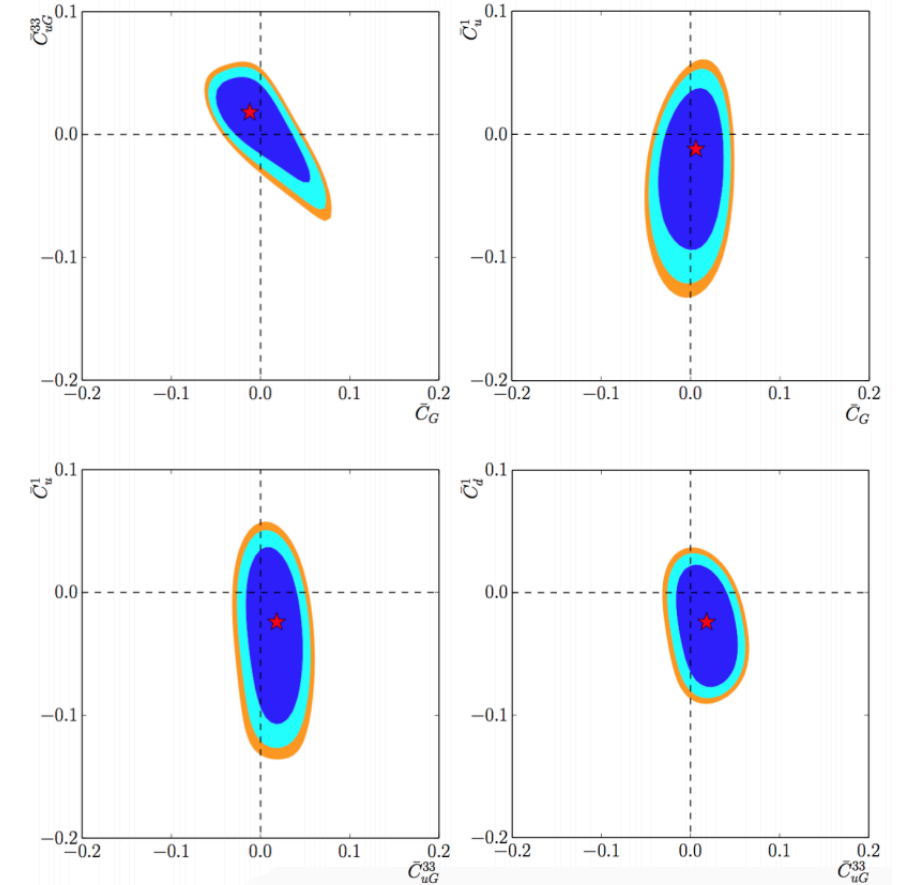
[Buckley, Englert, Ferrando, Miller, Moore, Russell, White 16]

$$\chi^2(\mathbf{C}) = \sum_{\mathcal{O}} \sum_{i,j} \frac{(f_i(\mathbf{C}) - E_i) \rho_{i,j} (f_j(\mathbf{C}) - E_j)}{\sigma_i \sigma_j} \quad \frac{C_i}{\Lambda^2} = \frac{g_*^2}{M_*^2}$$



Tevatron + LHC Run I summary:

- No significant deviation
- Still early stage in LHC program
- Many measurements dominated by statistics
- Improvements expected for HL

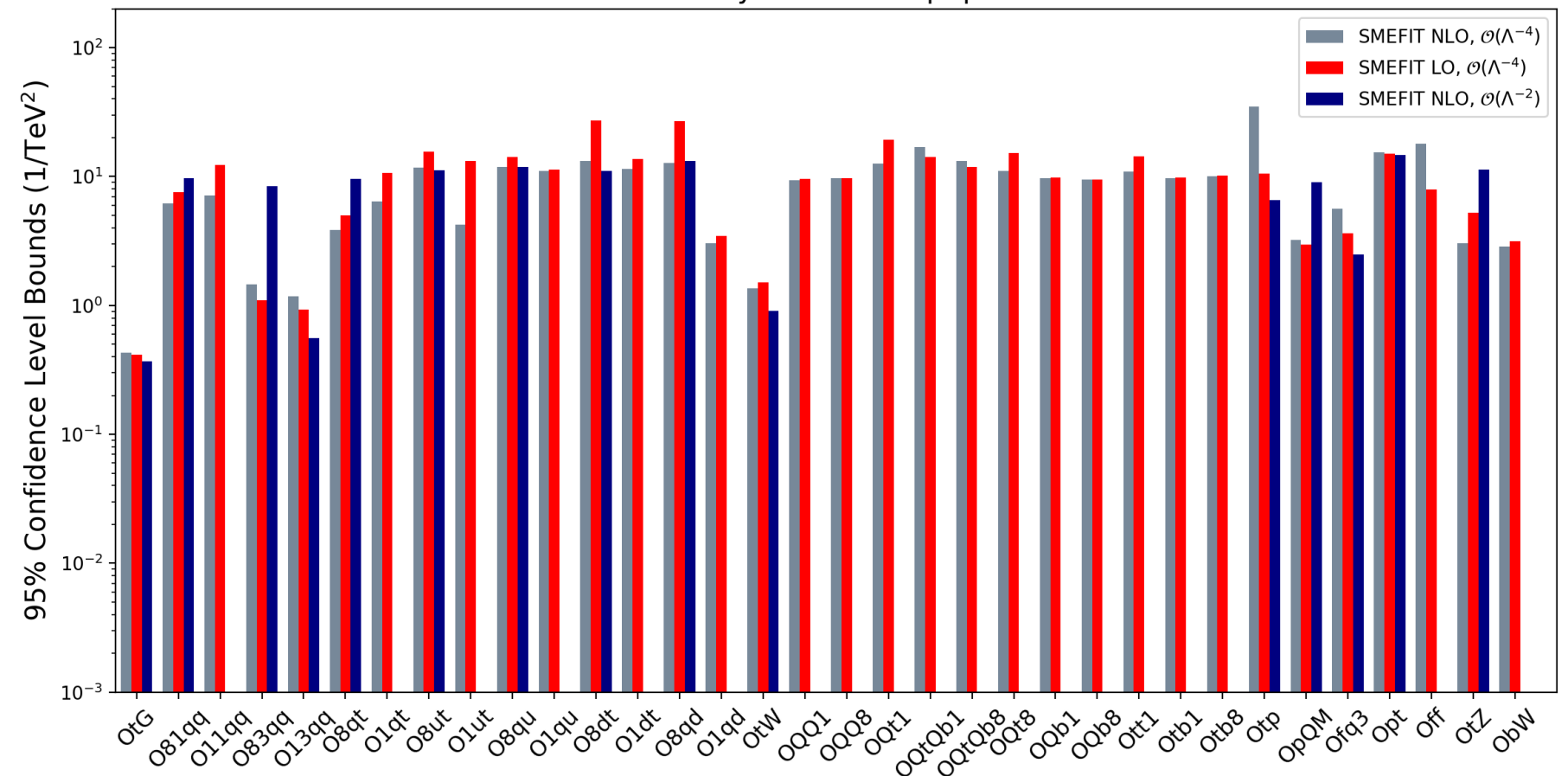


SMEFiT

[Hartland, Maltoni, Nocera, Rojo, Slade, Vryonidou, CZ 19]

- Fitting approach based on **NNPDF**: MC replicas + cross validation and closure test
- Theory predictions from **MG5_aMC@NLO**, i.e. operator contributions are at NLO in QCD
- Parametrization & operator basis etc. are consistent with TOP working group EFT recommendation

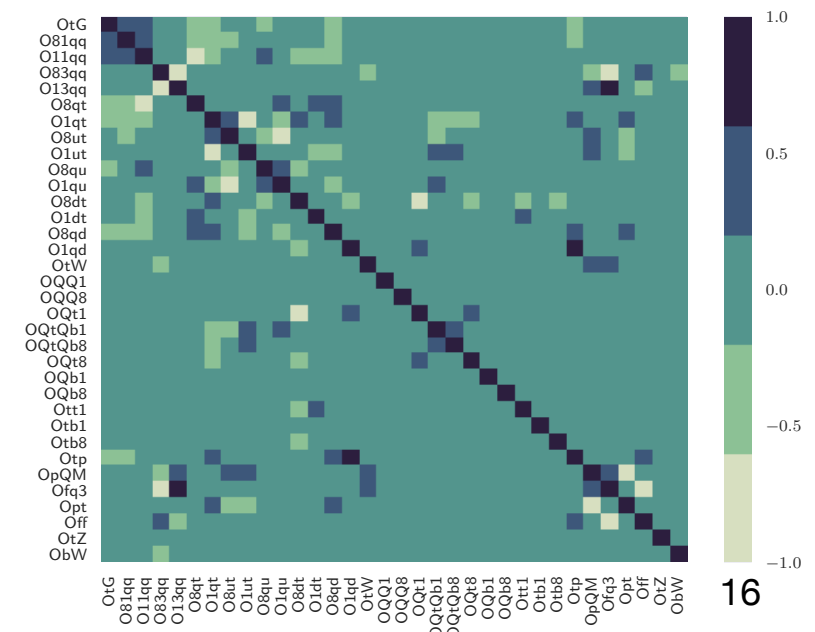
SMEFiT analysis of LHC top quark data



Gray NLO

Red LO

Blue w/o dim6 squared



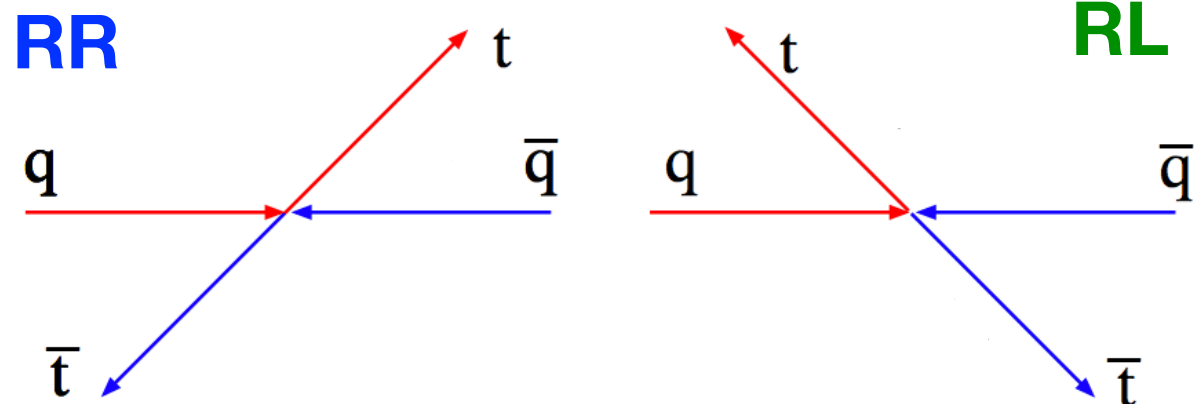
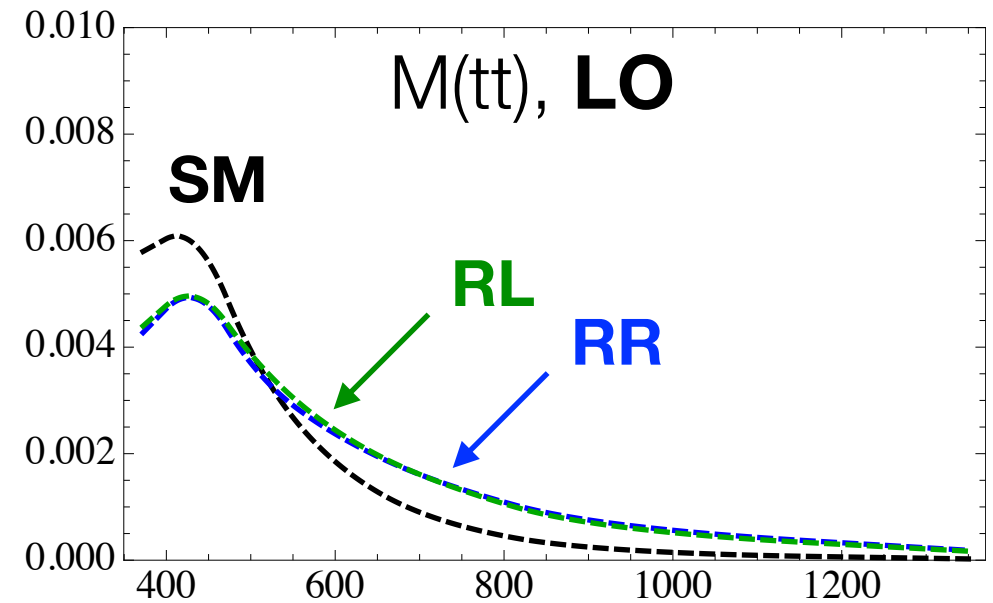
How can NLO corrections play a role?

If NLO only show up as K-factors, **NO**

But if it changes differential distribution...

$$O_{RR} = (\bar{u}_R \gamma^\mu T^A u_R) (\bar{t}_R \gamma_\mu T^A t_R)$$

$$O_{RL} = (\bar{u}_R \gamma^\mu T^A u_R) (\bar{t}_L \gamma_\mu T^A t_L)$$



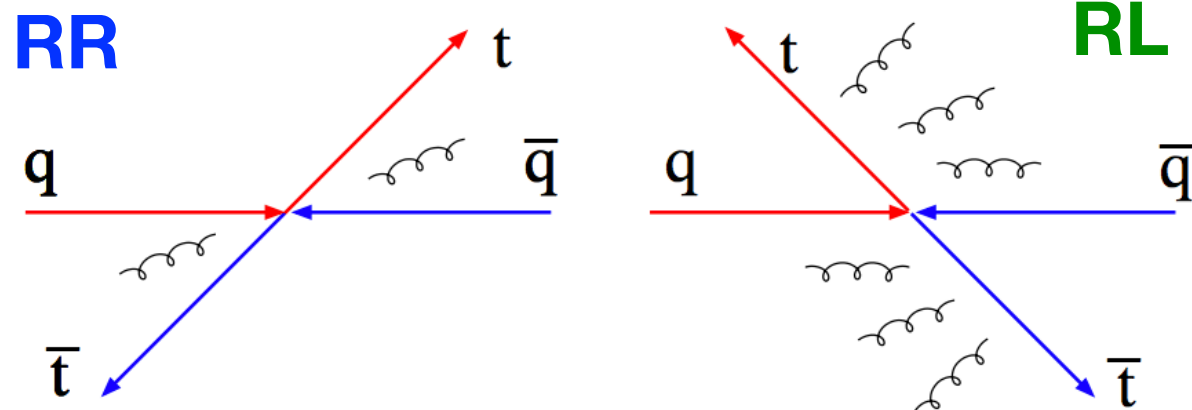
How can NLO corrections play a role?

If NLO only show up as K-factors, **NO**

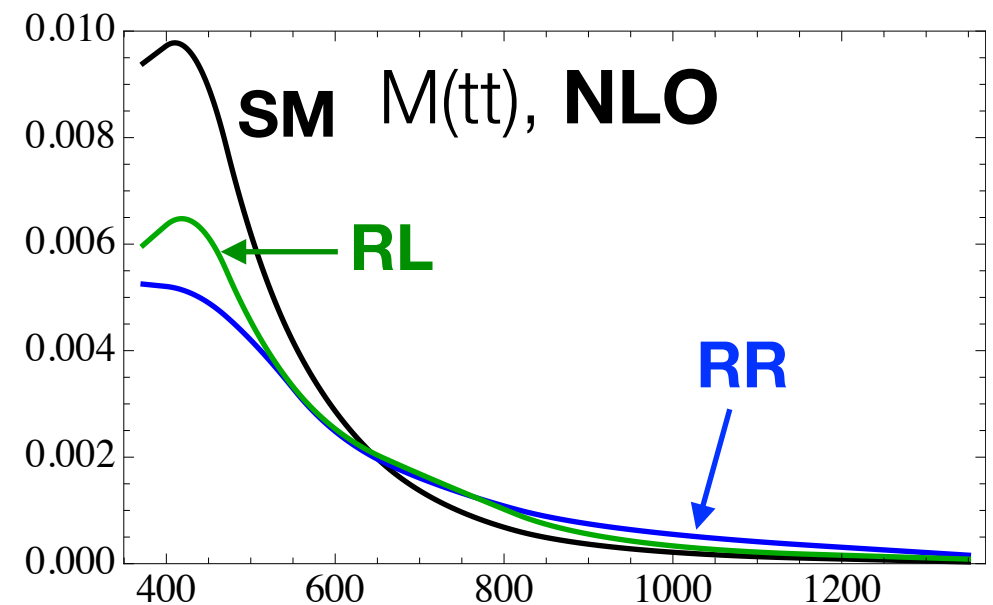
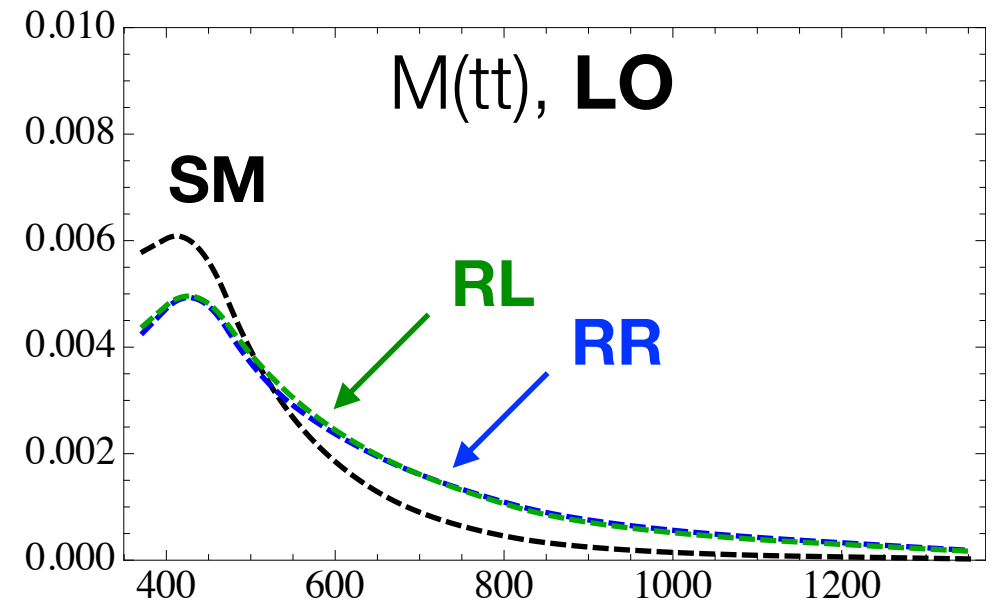
But if it changes differential distribution...

$$O_{RR} = (\bar{u}_R \gamma^\mu T^A u_R) (\bar{t}_R \gamma_\mu T^A t_R)$$

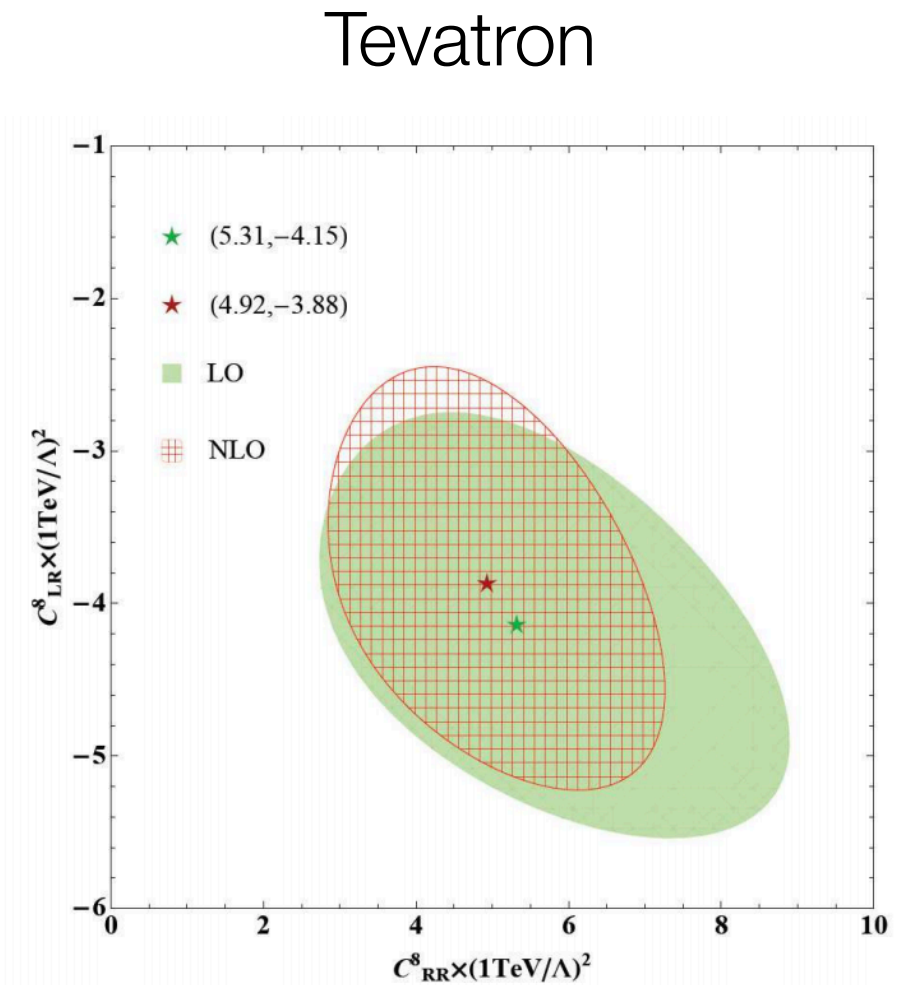
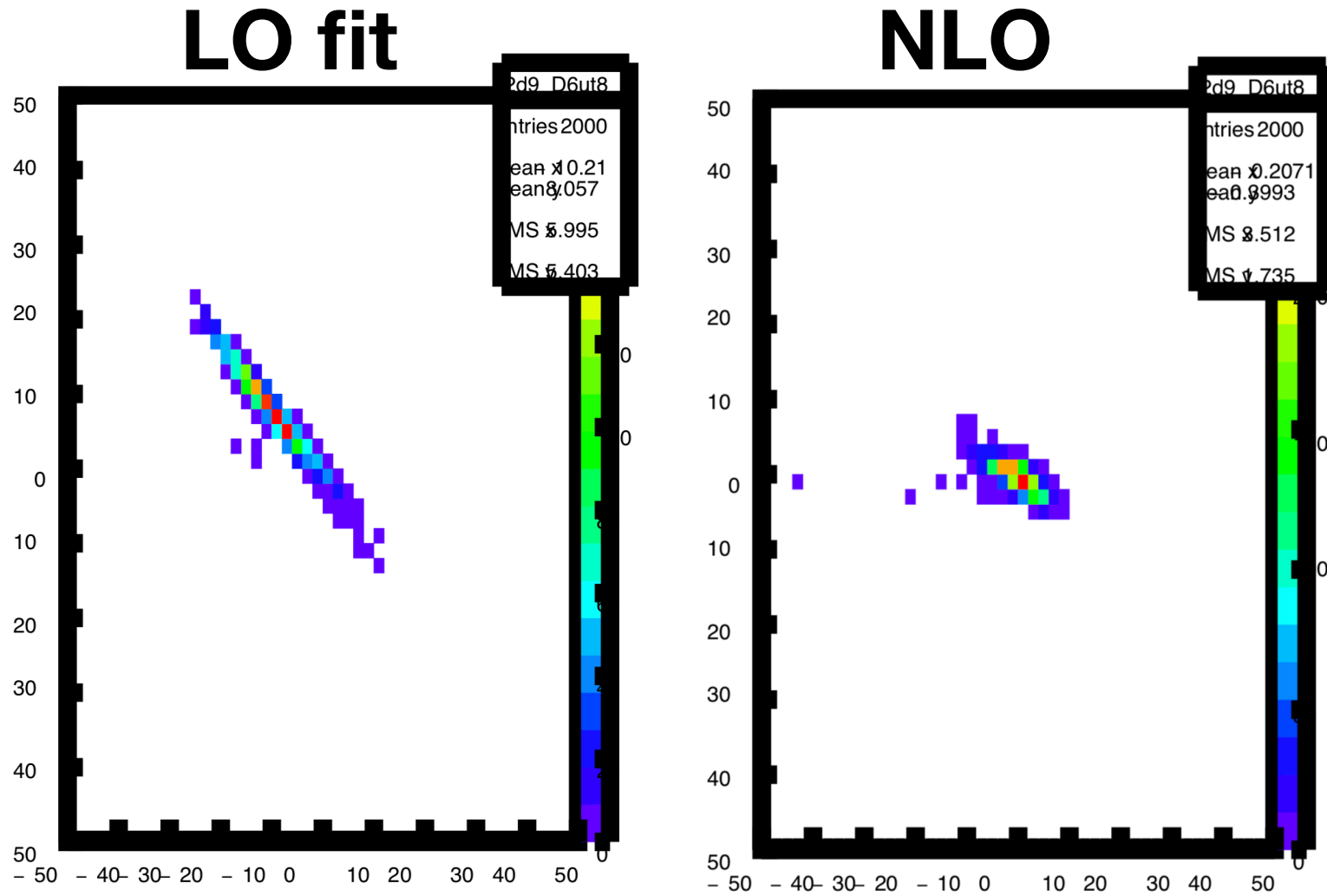
$$O_{RL} = (\bar{u}_R \gamma^\mu T^A u_R) (\bar{t}_L \gamma_\mu T^A t_L)$$



[Skands, Webber, Winter '12]



How can NLO corrections play a role?



(preliminary) Toy fit by S. Bruggisser

[Shao et al., 1107.4012]

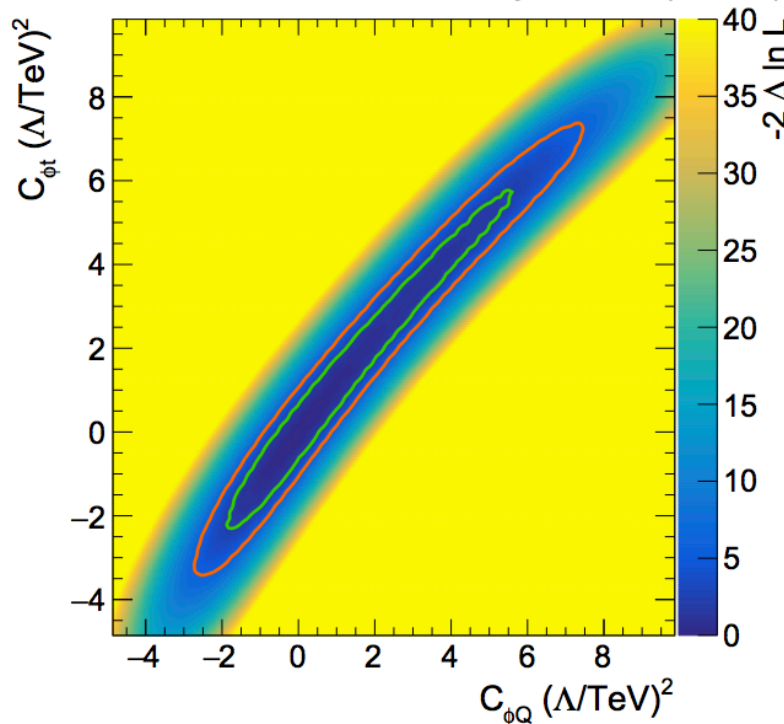
LHC current and future: $tt+Z$

What theorist cannot do in a fit:

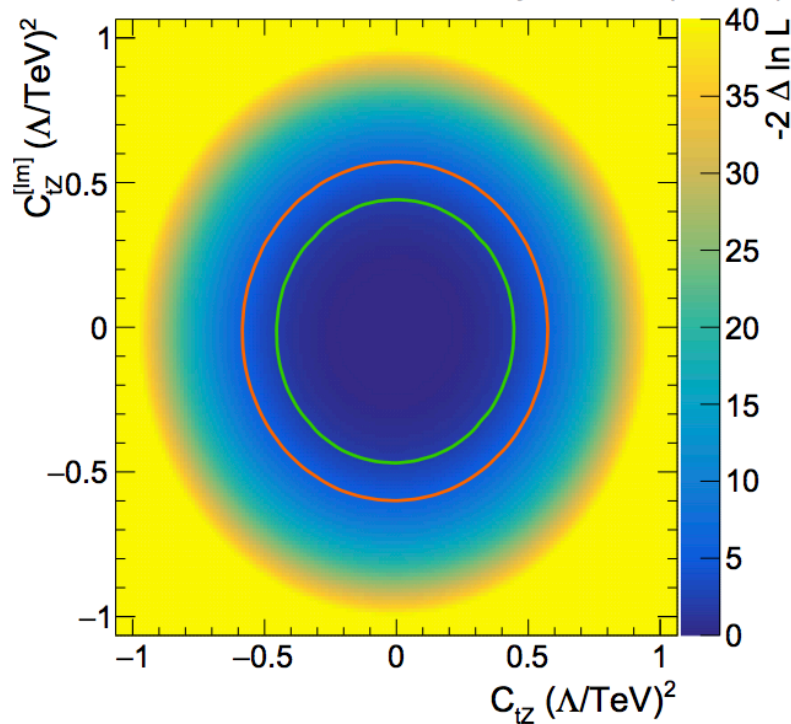
- Correlation between different channels
- When EFT affects both S/B (tjZ/ttZ , ttZ/ttH)
- EFT modifies signal efficiency, unfolding, etc.
- MVA

HL-HE-YR

CMS Phase-2 Simulation Preliminary 3 ab^{-1} (14 TeV)

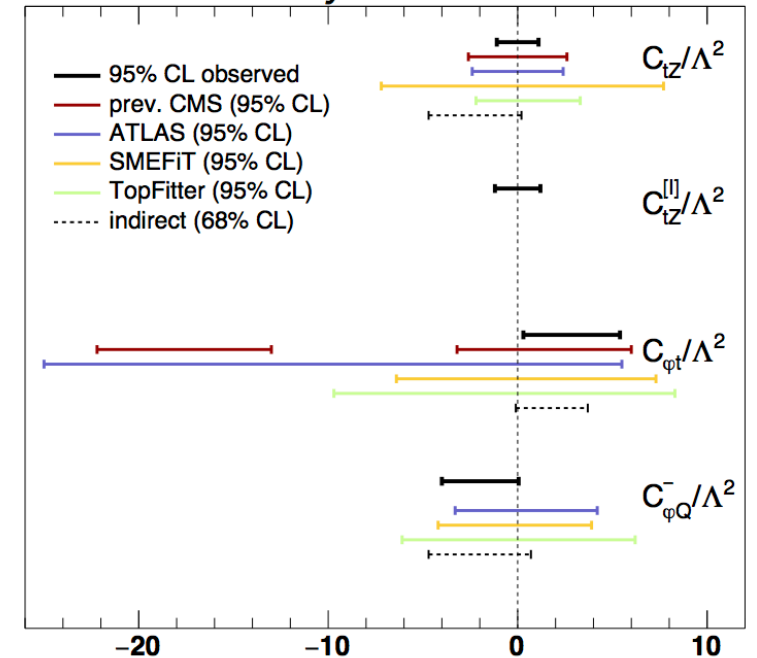


CMS Phase-2 Simulation Preliminary 3 ab^{-1} (14 TeV)



CMS PAS TOP-18-009

CMS Preliminary

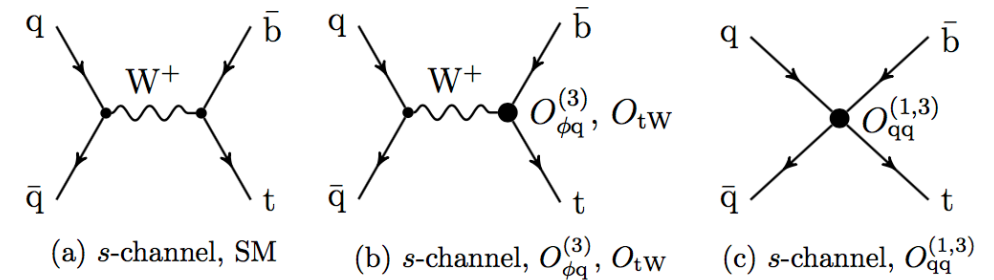
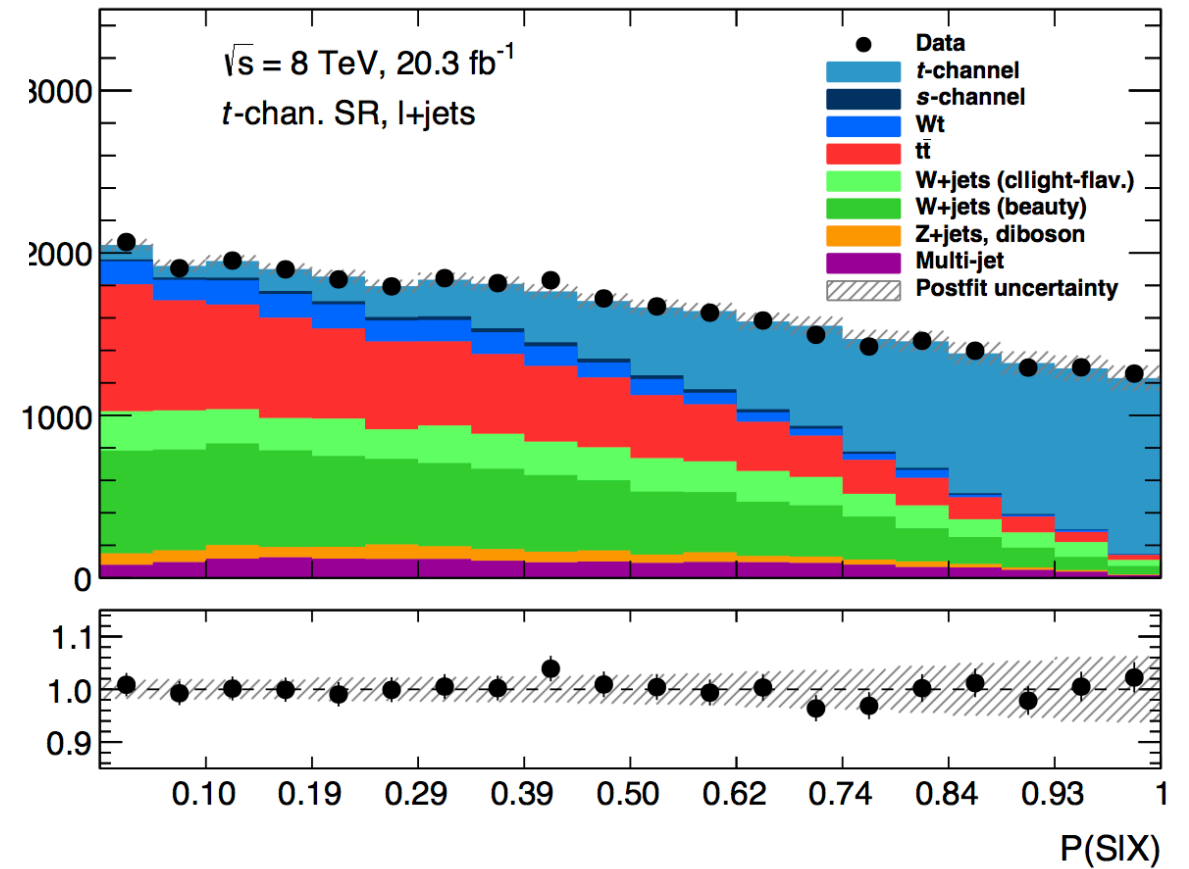
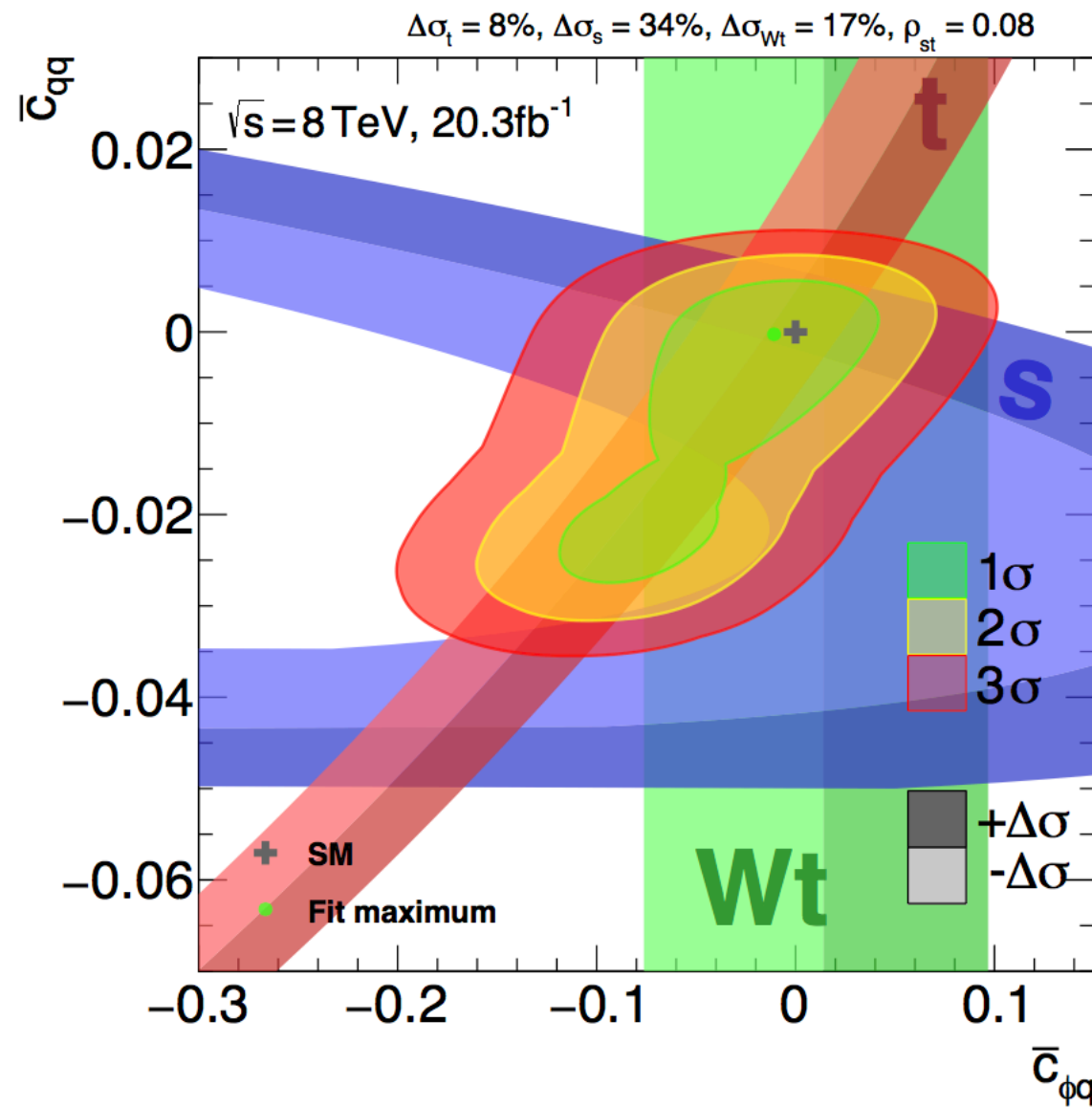


[ATLAS 1901.03584]

Coefficients	$C_{\phi Q}^{(3)}/\Lambda^2$	$C_{\phi T}/\Lambda^2$
Expected limit at 68% CL	[-2.1, 1.9]	[-3.8, 2.7]
Expected limit at 95% CL	[-4.5, 3.6]	[-23, 4.9]
Observed limit at 68% CL	[-1.0, 2.7]	[-2.0, 3.5]
Observed limit at 95% CL	[-3.3, 4.2]	[-25, 5.5]

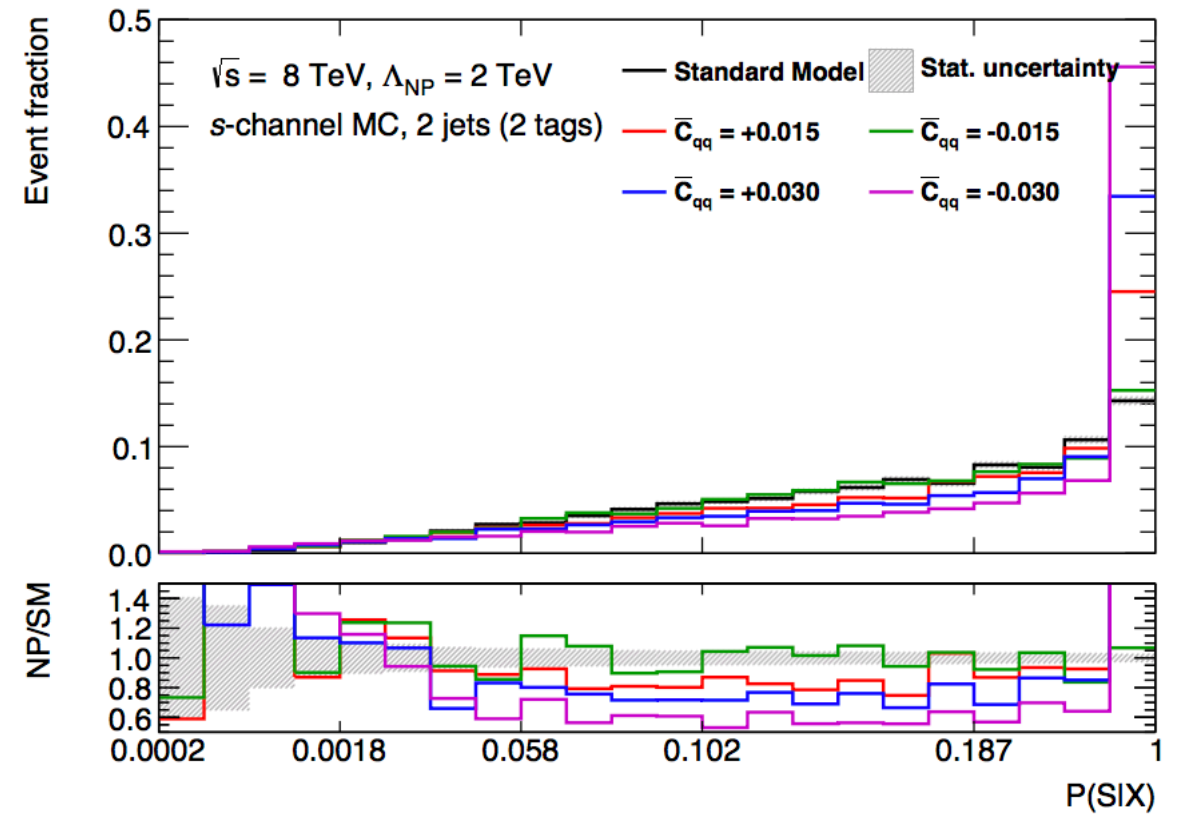
SMEFT+MEM: single top

$$\mathcal{P}(S|X) = \frac{\sum_i P(S_i)\mathcal{P}(X|S_i)}{\sum_i P(S_i)\mathcal{P}(X|S_i) + \sum_j P(B_j)\mathcal{P}(X|B_j)}$$

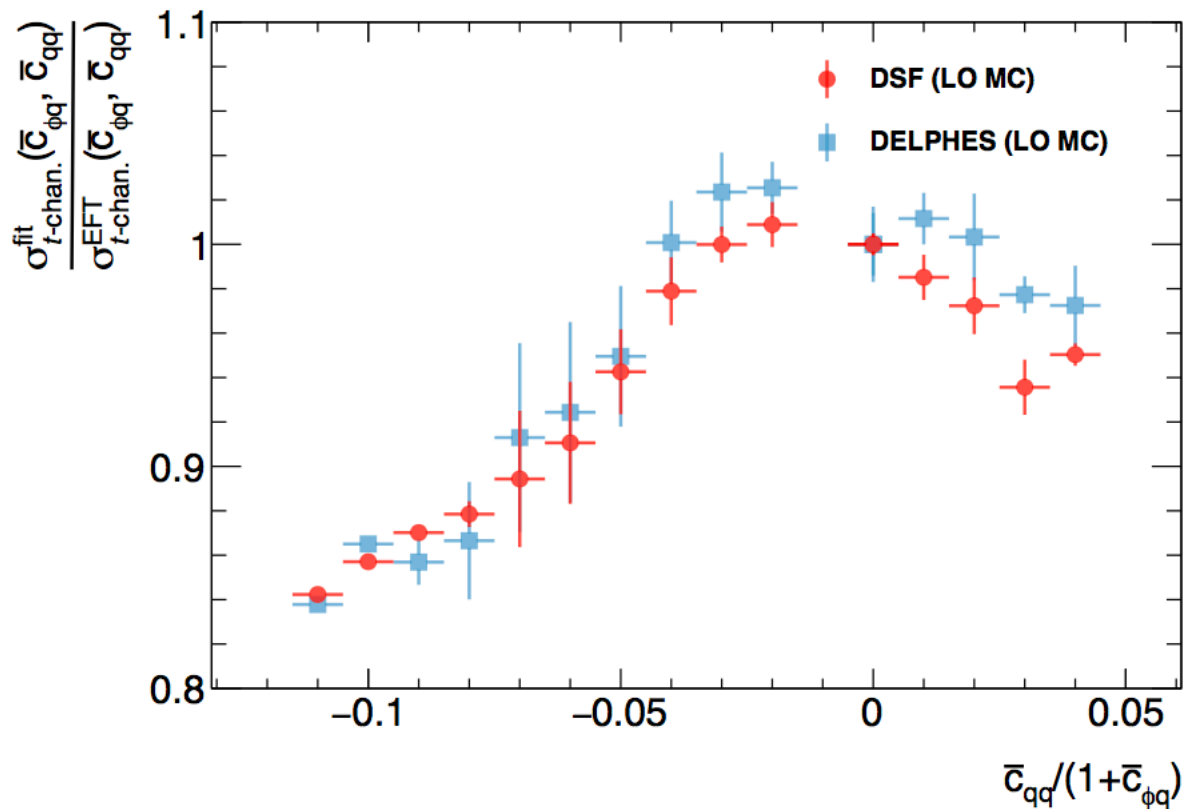


SMEFT+MEM: single top

ME discriminant variables
modified by EFT
(sensitive to operators)



← Acceptance correction due to EFT



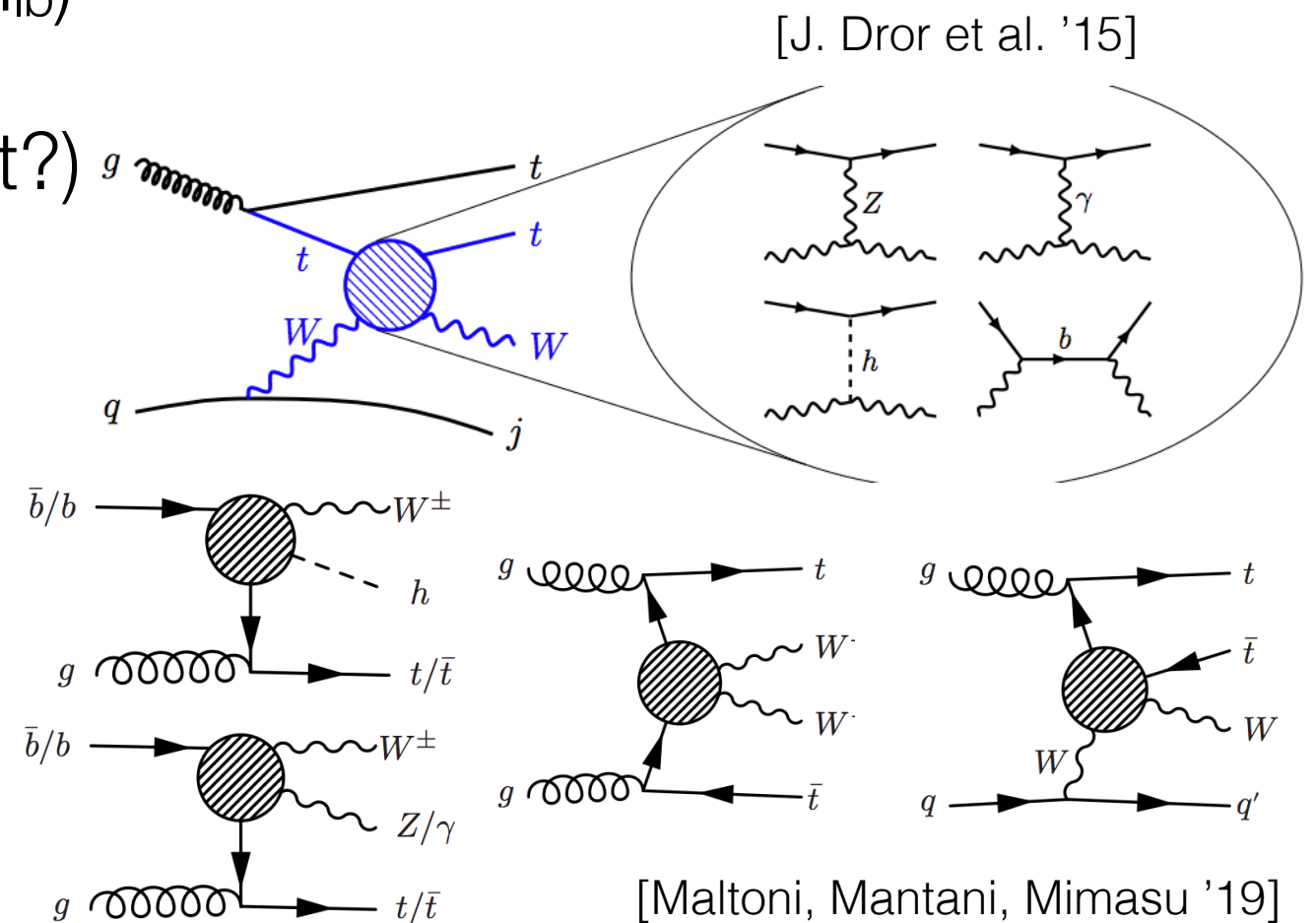
For discussion...

Theory

- TH predictions: what else to calculate
NLO and higher, CPV observables, Off shell tops, spin correlation, EW corrections, loop-induced...
- What if PDF “absorbs” new physics?
- Global fit
connection with Higgs, Precision EW, flavor... How to proceed?

Experiment

- What else to measure?
 - Top width ($[0.6, 2.5]$ from M_{lb})
 - Rare processes ($3t/4t/5t/6t?$)
 - Unitarity cancellation
 - STXS for tops
 - Best ways to present results...



Thank you