

Excited light baryons from quark-gluon-level calculations

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Emergence

Low-level rules producing high-level phenomena with enormous apparent complexity

Start from the QCD Lagrangian:

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4} G_a^{\mu\nu} G_{\mu\nu}^a + \frac{1}{2\xi} (\partial^\mu A_\mu^a)^2 + \partial^\mu \bar{c}^a \partial_\mu c^a + g f^{abc} (\partial^\mu \bar{c}^a) A_\mu^b c^c + \text{quarks}$$



Lattice, DSEs, ...

And obtain:

- ☞ Dynamical generation of fundamental mass scale in pure Yang-Mills (gluon mass).
- ☞ Quark constituent masses and chiral symmetry breaking.
- ☞ Bound state formation: mesons, baryons, glueballs, hybrids, multiquark systems...
- ☞ Signals of confinement.

Emergent phenomena could be associated with dramatic, dynamically driven changes in the analytic structure of QCD's **Green functions** (propagators and vertices).

Quark propagator:

$$\text{---} \circ \text{---}^{-1} = \text{---} \text{---}^{-1} + \text{---} \circ \text{---}$$

Ghost propagator:

$$\dots -1 = \dots -1 + \dots$$

Ghost-gluon vertex:

$$\text{Diagram A} = \text{Diagram B} + \text{Diagram C}$$

Gluon propagator:

$$\text{~~~~~}^{-1} = \text{~~~~~}^{-1} +$$

$$+ \quad \text{---} \quad +$$

$$+ \quad \text{Diagram A} \quad + \quad \text{Diagram B}$$

$$+ \text{ (diagram 1)} + \text{ (diagram 2)}$$

Quark-gluon vertex:

$$\begin{array}{ccccccccc}
 \text{Diagram 1} & = & \text{Diagram 2} & + & \text{Diagram 3} & + & \text{Diagram 4} & + & \text{Diagram 5} \\
 & & & & & & & & \\
 & & \text{Diagram 6} & + & \text{Diagram 7} & + & \text{Diagram 8} & + & \text{Diagram 9}
 \end{array}$$

☞ Even though they are:

- Gauge dependent.
- Renormalization point and scheme dependent.

☞ However:

- They capture characteristic features of the underlying dynamics, both perturbative and non-perturbative.
- When appropriately combined they give rise to physical observables.

Theory tool based on Dyson-Schwinger equations

☞ Interesting features:

- Inherently non-perturbative but, at the same time, captures the perturbative behavior → accommodates the full range of physical momenta.
- Cover smoothly the full quark mass range, from the chiral limit to the heavy-quark domain.

☞ Main caveats:

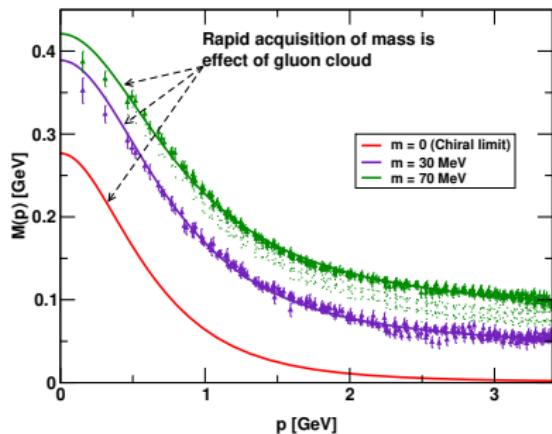
- Truncation of the infinite system of coupled non-linear integral equations that preserves the underlying symmetries of the theory.
- No expansion parameter → no formal way of estimating the size of the omitted terms ↔ the projection of higher Green's functions on the lower ones is small.

Non-perturbative QCD: Dynamical generation of quark and gluon masses

Dressed-quark propagator in Landau gauge:

$$S^{-1}(p) = Z_2(i\gamma \cdot p + m) + \Sigma(p) = \left(\frac{Z(p^2)}{i\gamma \cdot p + M(p^2)} \right)^{-1}$$

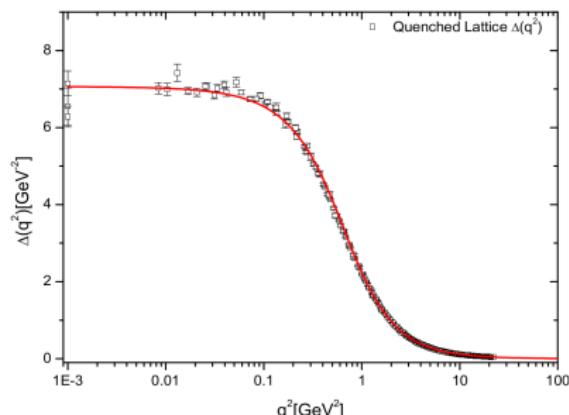
- Mass generated from the interaction of quarks with the gluon-medium.
- Light quarks acquire a **HUGE** constituent mass.
- Responsible of the 98% of proton's mass, the large splitting between parity partners, . . .



Dressed-gluon propagator in Landau gauge:

$$i\Delta_{\mu\nu} = -iP_{\mu\nu}\Delta(q^2), \quad P_{\mu\nu} = g_{\mu\nu} - q_\mu q_\nu / q^2$$

- An inflection point at $p^2 > 0$.
- Breaks the axiom of reflexion positivity.
- Gluon mass generation \leftrightarrow Schwinger mechanism.

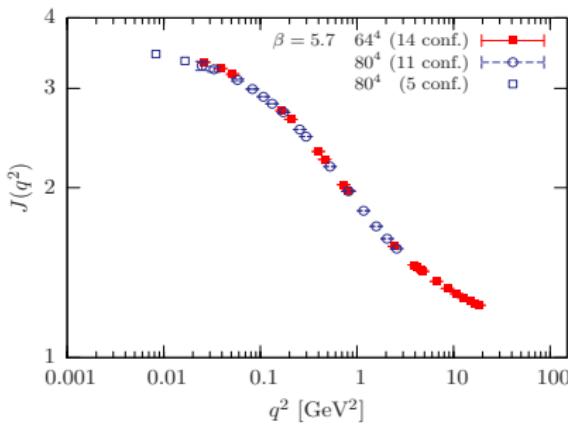


Non-perturbative QCD: Ghost saturation and three-gluon-vertex suppression

Dressed-ghost propagator in Landau gauge:

$$G^{ab}(q^2) = \delta^{ab} \frac{J(q^2)}{q^2}$$

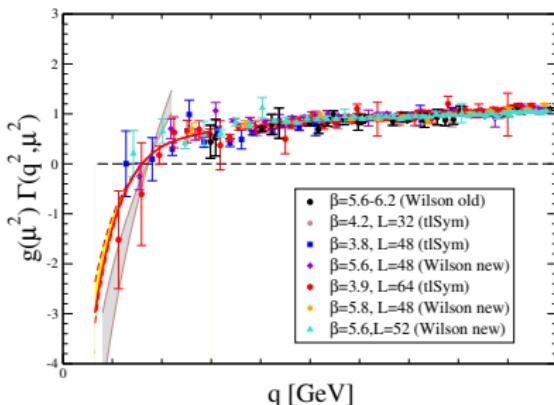
- No power-like singular behavior at $q^2 \rightarrow 0$.
- Good indication that $J(q^2)$ reaches a plateau.
- Saturation of ghost's dressing function.



Three-gluon vertex form factor in Landau gauge: (\propto the tree-level tensor structure)

$$\Gamma_{T,R}^{\text{asym}}(q^2) \xrightarrow{q^2 \rightarrow 0} F(0) \left[\frac{\partial}{\partial q^2} \Delta_R^{-1}(q^2) - C_1(r^2) \right]$$

- Appearance of (longitudinally coupled) massless poles.
- Suppression of the form factor in the so-called asymmetric momentum configuration.
- Plausible zero-crossing.



Non-perturbative QCD:

Saturation at IR of process-independent effective-charge

D. Binosi *et al.*, Phys. Rev. D96 (2017) 054026.
 A. Deur *et al.*, Prog. Part. Nucl. Phys. 90 (2016) 1-74.

☞ Data = running coupling defined from the Bjorken sum-rule.

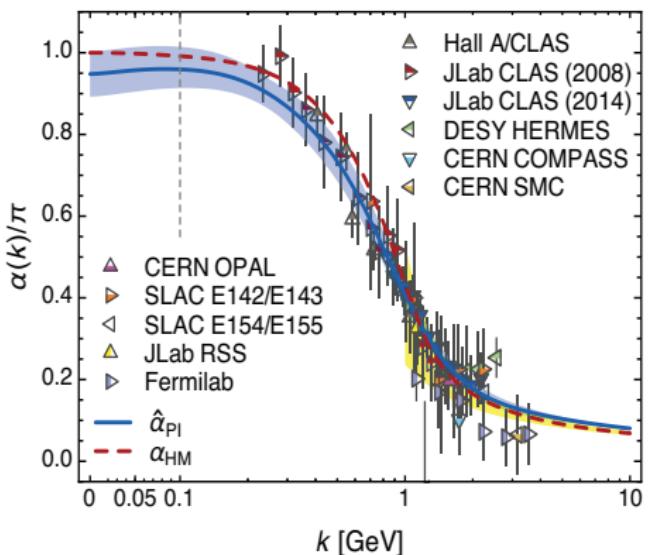
$$\int_0^1 dx \left[g_1^p(x, k^2) - g_1^n(x, k^2) \right] = \frac{g_A}{6} \left[1 - \frac{1}{\pi} \alpha_{g_1}(k^2) \right]$$

☞ Curve determined from combined continuum and lattice analysis of QCD's gauge sector (massless ghost and massive gluon).

☞ The curve is a running coupling that does NOT depend on the choice of observable.

- No parameters.
- No matching condition.
- No extrapolation.

☞ It predicts and unifies an enormous body of empirical data via the matter-sector bound-state equations.



☞ Perturbative regime:

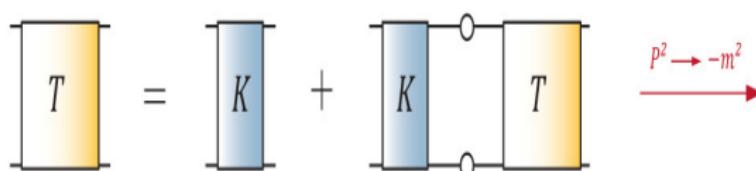
$$\alpha_{g_1}(k^2) = \alpha_{\overline{\text{MS}}}(k^2) \left[1 + 1.14 \alpha_{\overline{\text{MS}}}(k^2) + \dots \right]$$

$$\hat{\alpha}_{\text{PI}}(k^2) = \alpha_{\overline{\text{MS}}}(k^2) \left[1 + 1.09 \alpha_{\overline{\text{MS}}}(k^2) + \dots \right]$$

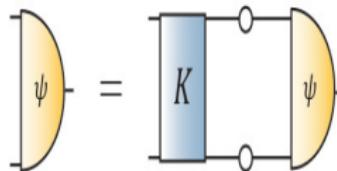
The bound-state problem in quantum field theory

Extraction of hadron properties from poles in $q\bar{q}$, qqq , $qq\bar{q}\bar{q}\dots$ scattering matrices

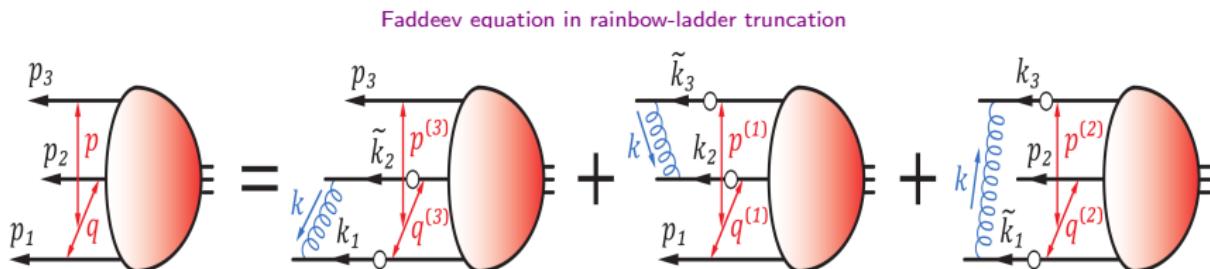
Use **scattering equation** (inhomogeneous BSE) to obtain T in the first place: $T = K + KG_0T$



Homogeneous BSE for BS amplitude:



☞ **Baryons.** A 3-body bound state problem in quantum field theory:



Faddeev equation: Sums all possible quantum field theoretical exchanges and interactions that can take place between the three dressed-quarks that define its valence quark content.

non-relativistic

Mesons: $P = (-1)^{L+1}$

S	L	J^{PC}
0	0	0^{-+}
1	0	1^{--}
0	1	1^{+-}
1	1	0^{++}

relativistic

$$P = (-1)^{L+1}$$

Bethe, Salpeter, Llewelyn-Smith 1950ies

$$\Gamma_\pi(P, p) = \gamma_5 [F_1(P, p)$$

$$+ F_2(P, p)i\cancel{P}$$

$$+ F_3(P, p)pP\cancel{p}i\cancel{p}$$

$$+ F_4(P, p)[\cancel{p}, \cancel{P}]]$$

s-wave**p-wave**

Baryons: $P = (-1)^L$

S	L	J^P
1/2	0	$1/2^+$
3/2	2	

$$P = (-1)^L$$

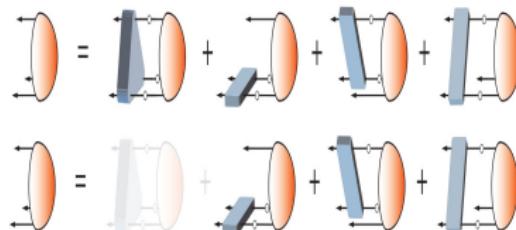


J^P	total	s-wave	p-wave	d-wave	f-wave
$1/2^+$	64	8	36	20	
$3/2^+$	128	4	36	60	28

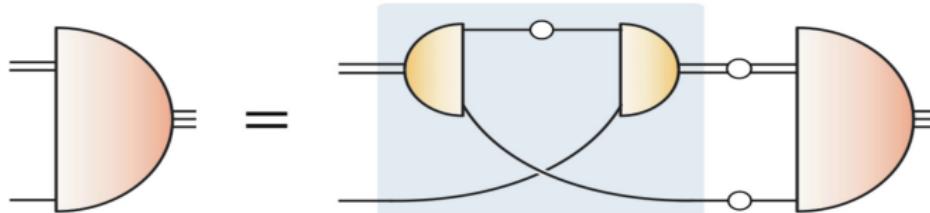
The attractive nature of quark-antiquark correlations in a colour-singlet meson is also attractive for $\bar{3}_c$ quark-quark correlations within a colour-singlet baryon

■ Diquark correlations:

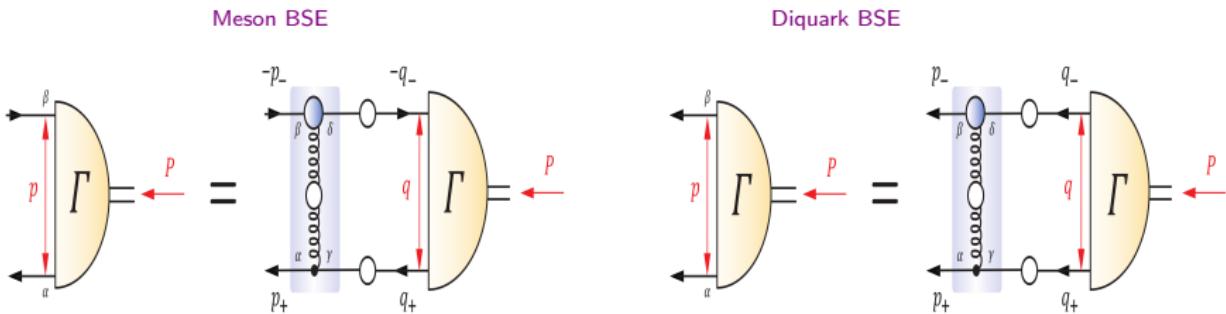
- A tractable truncation of the Faddeev equation.
- In $N_c = 2$ QCD: diquarks can form colour singlets and are the baryons of the theory.
- In our approach: Non-pointlike colour-antitriplet and fully interacting.



Diquark-quark approximation:



Diquark properties



☞ Owing to properties of charge-conjugation, a diquark with spin-parity J^P may be viewed as a partner to the analogous J^{-P} meson:

$$\Gamma_{q\bar{q}}(p; P) = - \int \frac{d^4 q}{(2\pi)^4} g^2 D_{\mu\nu}(p-q) \frac{\lambda^a}{2} \gamma_\mu S(q+P) \Gamma_{q\bar{q}}(q; P) S(q) \frac{\lambda^a}{2} \gamma_\nu$$

$$\Gamma_{qq}(p; P) C^\dagger = - \frac{1}{2} \int \frac{d^4 q}{(2\pi)^4} g^2 D_{\mu\nu}(p-q) \frac{\lambda^a}{2} \gamma_\mu S(q+P) \Gamma_{qq}(q; P) C^\dagger S(q) \frac{\lambda^a}{2} \gamma_\nu$$

☞ Whilst no pole-mass exists, the following mass-scales express the strength and range of the correlation:

$$m_{[ud]_{0+}} = 0.7 - 0.8 \text{ GeV}, \quad m_{\{uu\}_{1+}} = 0.9 - 1.1 \text{ GeV}, \quad m_{\{dd\}_{1+}} = m_{\{ud\}_{1+}} = m_{\{uu\}_{1+}}$$

☞ Diquark correlations are soft, they possess an electromagnetic size:

$$r_{[ud]_{0+}} \gtrsim r_\pi, \quad r_{\{uu\}_{1+}} \gtrsim r_\rho, \quad r_{\{uu\}_{1+}} > r_{[ud]_{0+}}$$

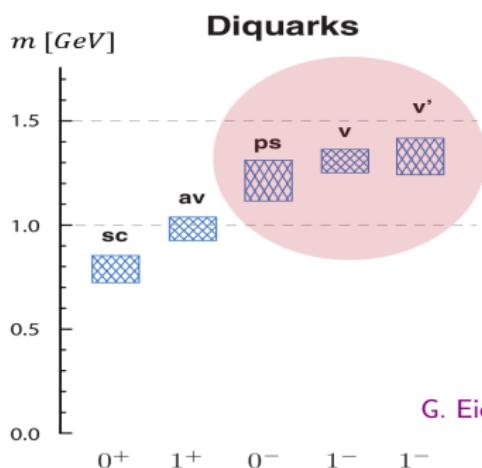
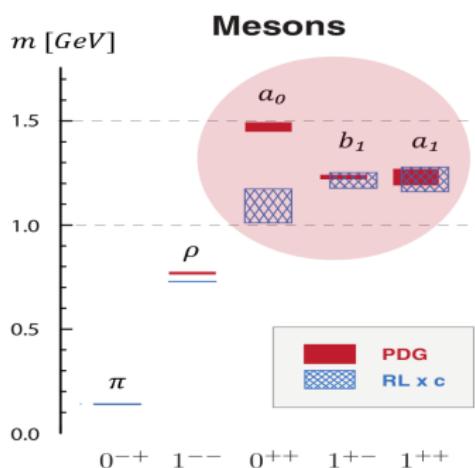
Diquark species

Octet and decuplet baryons

	[nn]	{nn}	[ns]	{ns}	{ss}
N	●	●			
Δ		●			
A	●			●	
Σ		●	●	●	
Ξ			●	●	●
Ω					●

Other baryons as parity partners

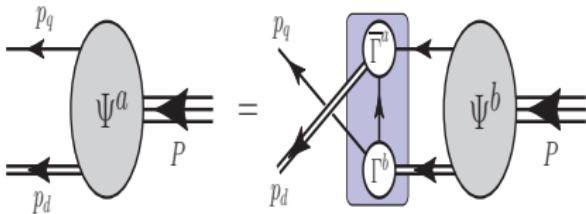
- ☒ [$I = 0, J^P = 0^+$]: Isoscalar-scalar.
- ☒ [$I = 1, J^P = 1^+$]: Isovector-pseudovector.
- ☒ [$I = 0, J^P = 0^-$]: Isoscalar-pseudoscalar.
- ☒ [$I = 0, J^P = 1^-$]: Isoscalar-vector.
- ☒ [$I = 1, J^P = 1^-$]: Isovector-vector.



G. Eichmann et al.

The quark+diquark structure of the nucleon

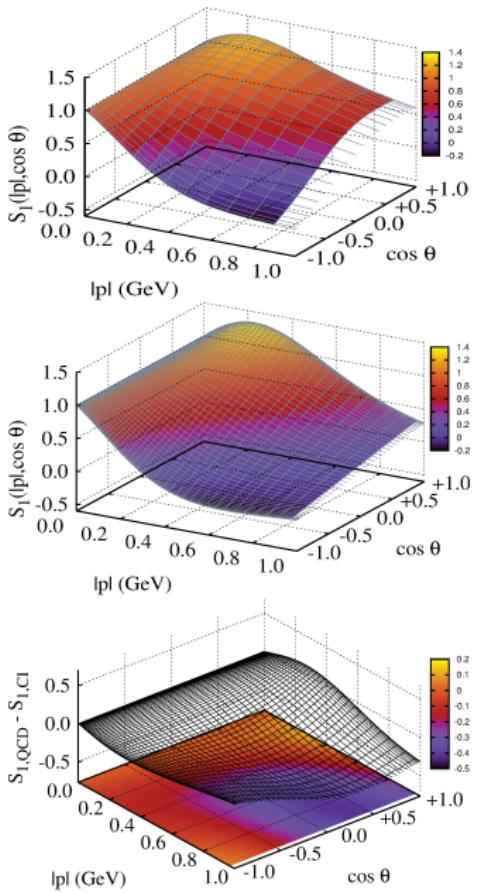
Faddeev equation in the quark-diquark picture



Dominant piece in nucleon's eight-component Poincaré-covariant Faddeev amplitude: $s_1(|p|, \cos \theta)$

- There is strong variation with respect to both arguments in the quark+scalar-diquark relative momentum correlation.
- Support is concentrated in the forward direction, $\cos \theta > 0$. Alignment of p and P is favoured.
- Amplitude peaks at ($|p| \sim M_N/6$, $\cos \theta = 1$), whereat $p_q \sim p_d \sim P/2$ and hence the *natural* relative momentum is zero.
- In the anti-parallel direction, $\cos \theta < 0$, support is concentrated at $|p| = 0$, i.e. $p_q \sim P/3$, $p_d \sim 2P/3$.

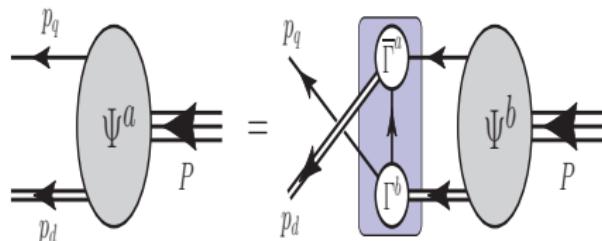
Shu-Sheng Xu et al., Phys. Rev. D92 (2015) 114034



The quark+diquark structure of any baryon

☞ A baryon can be viewed as a **Borromean bound-state**, the binding within which has two contributions:

- Formation of tight diquark correlations.
- Quark exchange depicted in the shaded area.



☞ The exchange ensures that diquark correlations within the baryon are **fully dynamical**: no quark holds a special place.

☞ The rearrangement of the quarks guarantees that the baryon's wave function complies with **Pauli statistics**.

☞ Modern diquarks are **different from the old static, point-like diquarks** which featured in early attempts to explain the so-called missing resonance problem.

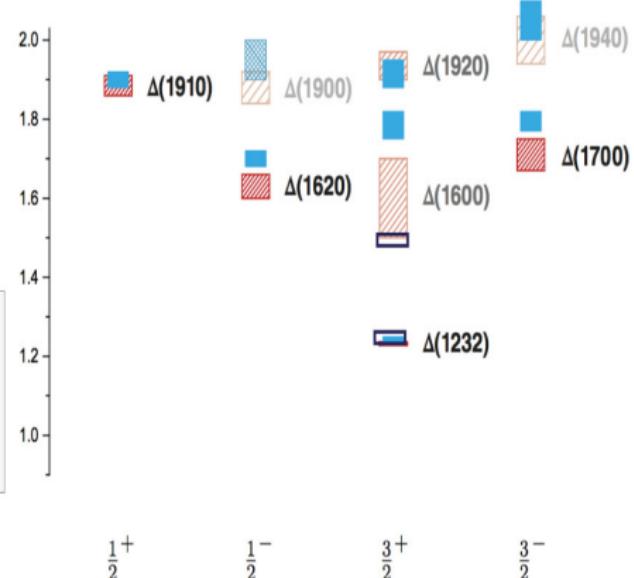
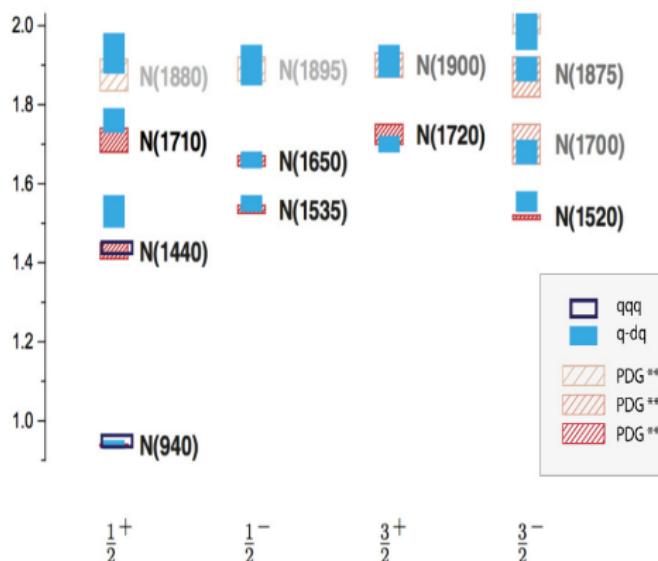
☞ The number of states in the **spectrum of baryons obtained is similar** to that found in the three-constituent quark model, just as it is in today's LQCD calculations.

☞ Modern diquarks enforce certain **distinct interaction patterns** for the singly- and doubly-represented valence-quarks within the baryon.

Three-quark cf. quark-diquark

G. Eichmann *et al.*, Phys. Rev. D94 (2016) 094033;
Few Body Syst. 58 (2017) 81;
Prog. Part. Nucl. Phys. 91 (2016) 1-100

M [GeV]



- ☞ Spectrum in one to one agreement with experiment.
- ☞ Correct level ordering (without coupled-channels effects).
- ☞ Three-body agrees with quark-diquark where applicable.

level of complexity



	I) NJL/contact interaction	II) Quark-diquark model	III) DSE (RL)	IV) DSE (bRL)
up/down				
$P = \pm$ N, Δ masses	✓	✓	✓	✓
N, Δ em. FFs	✓	✓	✓	✓
$N \rightarrow \Delta\gamma$	✓	✓	✓	✓
$P = +$ N^*, Δ^* masses	✓	✓	✓	✓
$\gamma N \rightarrow N^*/\Delta^*$	✓	✓		
$P = -$ N^*, Δ^* masses	✓	✓	✓	✓
$\gamma N \rightarrow N^*/\Delta^*$				
strange				
ground states	✓	✓	✓	✓
excited states	✓	✓	✓	✓
em. FF			✓	✓
TFFs			✓	✓
c/b				
ground states	✓	✓		✓
excited states		✓		✓

Cloet, Thomas,
Roberts, Segovia,
Chen, et al.

Oettel, Alkofer, Bloch,
Roberts, Segovia, Chen, et al.

Eichmann, Alkofer,
Kraussnig, Nicmorus,
Sanchis-Alepuz, CF

Eichmann, Alkofer,
Sanchis-Alepuz, CF,
Qin, Roberts

Sanchis-Alepuz,
Williams, CF

$\Lambda - \Sigma$ mass splitting

Whilst the Λ and Σ are associated with the same combination of valence-quarks, their spin-flavor wave functions are different.



Λ contains more of the (lighter) scalar diquark correlations than Σ

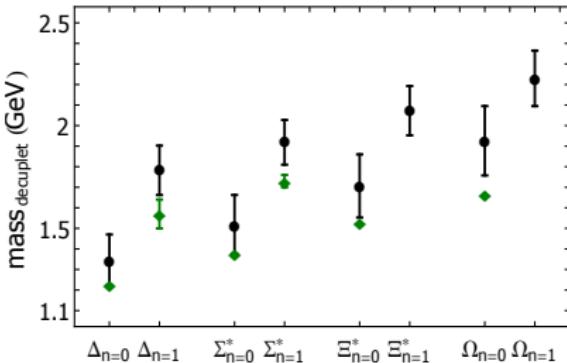
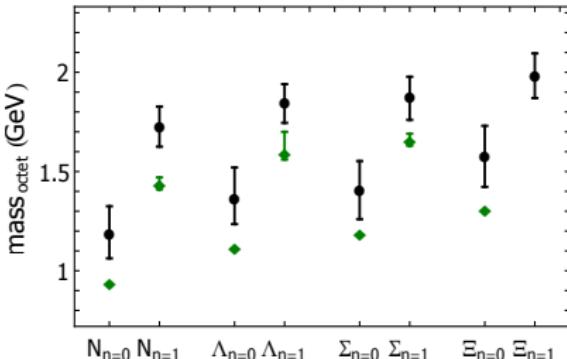
$$u_\Lambda = \frac{1}{\sqrt{2}} \begin{bmatrix} \sqrt{2} s[ud]_{0+} \\ d[us]_{0+} - u[ds]_{0+} \\ d\{us\}_{1+} - u\{ds\}_{1+} \end{bmatrix} \leftrightarrow \begin{bmatrix} s_\Lambda^1 \\ s_\Lambda^{[2,3]} \\ a_\Lambda^{[6,8]} \end{bmatrix}; \quad u_\Sigma = \begin{bmatrix} u[us]_{0+} \\ s\{uu\}_{1+} \\ u\{us\}_{1+} \end{bmatrix} \leftrightarrow \begin{bmatrix} s_\Sigma^2 \\ a_\Sigma^4 \\ a_\Sigma^6 \end{bmatrix}$$

	N	Λ	Σ	Ξ	Δ	Σ^*	Ξ^*	Ω
The.	1.19(13)	1.37(14)	1.41(14)	1.58(15)	1.35(12)	1.52(14)	1.71(15)	1.93(17)
Exp.	0.94	1.12	1.19	1.31	1.23	1.38	1.53	1.67
The.	1.73(10)	1.85(09)	1.88(11)	1.99(11)	1.79(12)	1.93(11)	2.08(12)	2.23(13)
Exp.	1.44(03)	$1.51^{+0.10}_{-0.04}$	1.66(03)	-	1.57(07)	1.73(03)	-	-

C. Chen *et al.*, accepted by Phys. Rev. D, arXiv:nucl-th/1901.04305

Masses of the octet and decuplet

- The computed masses are uniformly larger than the corresponding empirical values.
- The quark-diquark kernel omits all resonant contributions associated with meson-baryon final state interactions, which typically generate a measurable reduction.
- The Faddeev equations analyzed to produce the results should be understood as producing the dressed-quark core of the bound state, not the completely dressed and hence observable object.



C. Chen *et al.*, accepted by Phys. Rev. D, arXiv:nucl-th/1901.04305

Angular momenta of the octet and decuplet (I)

L content	$N_{n=0}$	$N_{n=1}$	$\Lambda_{n=0}$	$\Lambda_{n=1}$	$\Sigma_{n=0}$	$\Sigma_{n=1}$	$\Xi_{n=0}$	$\Xi_{n=1}$
S, P, D	1.19	1.73	1.37	1.85	1.41	1.88	1.58	1.99
$-, P, D$	—	—	—	—	—	—	—	—
$S, -, D$	1.24	1.71	1.40	1.83	1.42	1.84	1.59	1.97
$S, P, -$	1.20	1.74	1.37	1.85	1.41	1.89	1.58	1.99
$S, -, -$	1.24	1.71	1.40	1.83	1.42	1.84	1.59	1.97

L content	$\Delta_{n=0}$	$\Delta_{n=1}$	$\Sigma_{n=0}^*$	$\Sigma_{n=1}^*$	$\Xi_{n=0}^*$	$\Xi_{n=1}^*$	$\Omega_{n=0}$	$\Omega_{n=1}$
S, P, D, F	1.35	1.79	1.52	1.93	1.71	2.08	1.93	2.23
$-, P, D, F$	—	—	—	—	—	—	—	—
$S, -, D, F$	1.36	1.75	1.52	1.90	1.71	2.06	1.93	2.22
$S, P, -, F$	1.35	1.82	1.52	1.95	1.71	2.09	1.93	2.24
$S, P, D, -$	1.35	1.79	1.52	1.93	1.71	2.08	1.93	2.23
$S, -, -, -$	1.35	1.80	1.52	1.93	1.71	2.08	1.93	2.23

- ☞ No state is generated by the Faddeev equation unless S -wave components are contained in the wave function.
- ☞ This observation provides support in quantum field theory for the constituent quark model classification of these systems.
- ☞ The P - and D -wave components play a measurable role in, respectively, octet and decuplet baryons.

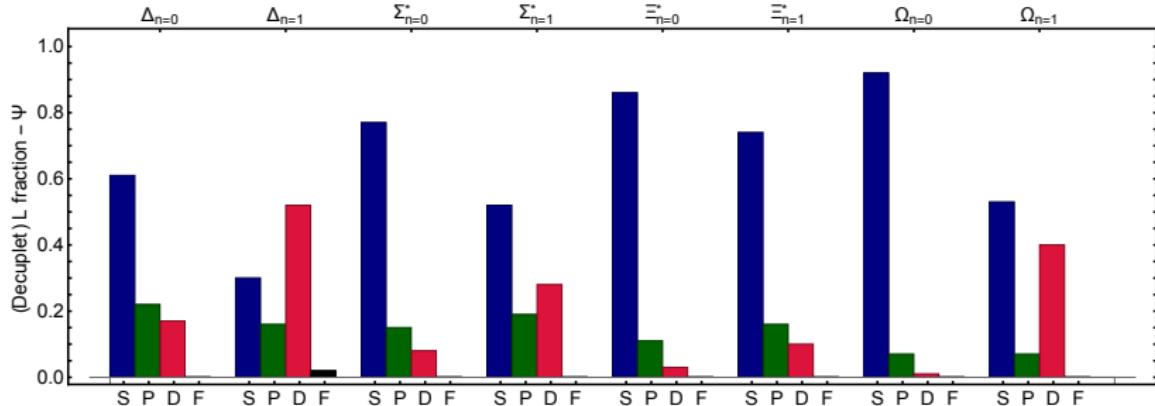
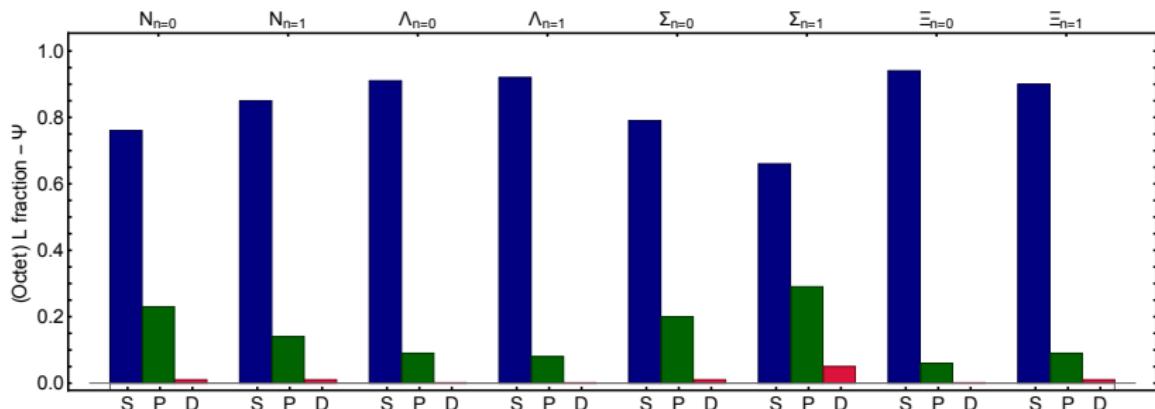
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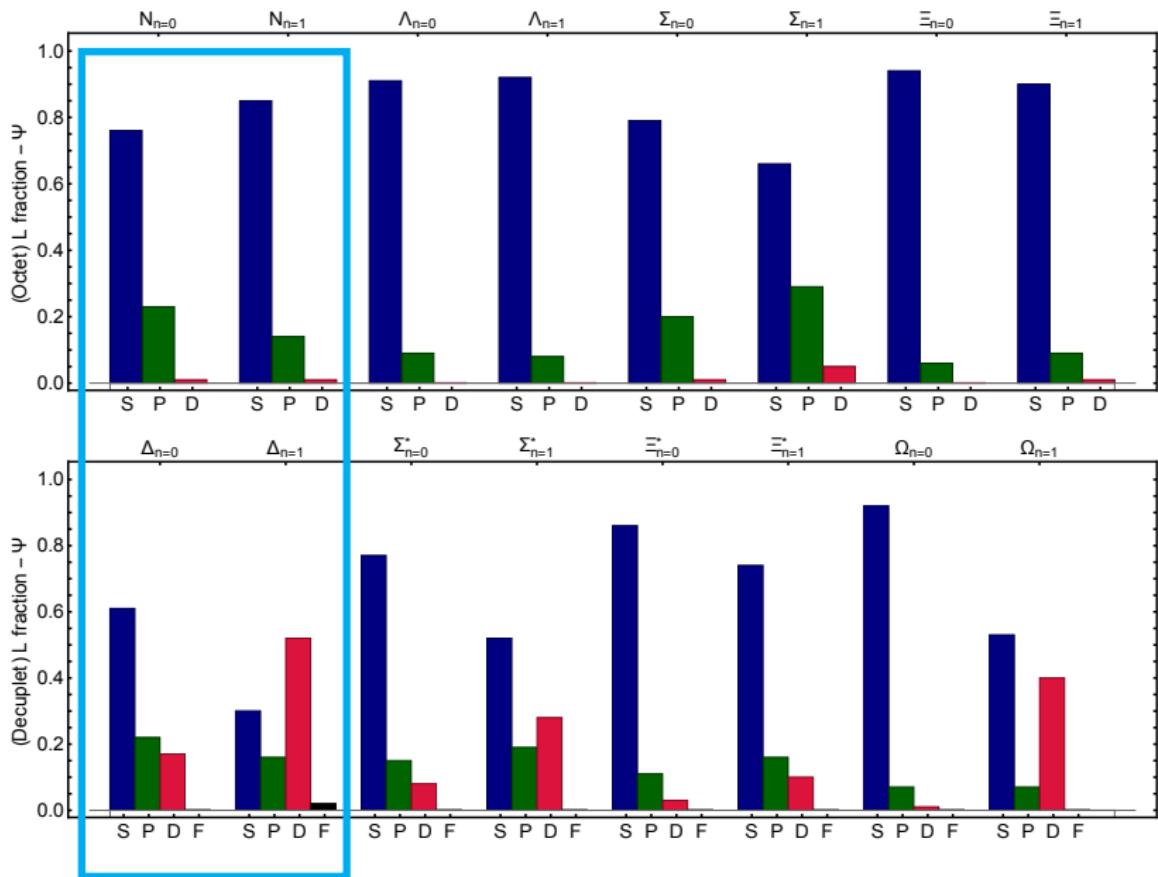
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$S, -, D, F$	1.30	1.75	1.52	1.90	1.71	2.00	1.95	2.22
$S, P, -, F$	1.35	1.82	1.52	1.95	1.71	2.09	1.93	2.24
$S, P, D, -$	1.35	1.79	1.52	1.93	1.71	2.08	1.93	2.23
$S, -, -, -$	1.35	1.80	1.52	1.93	1.71	2.08	1.93	2.23

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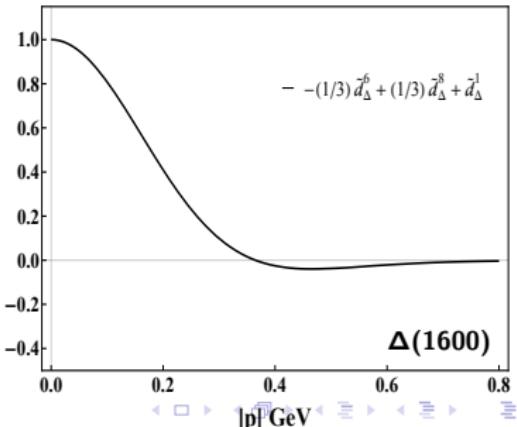
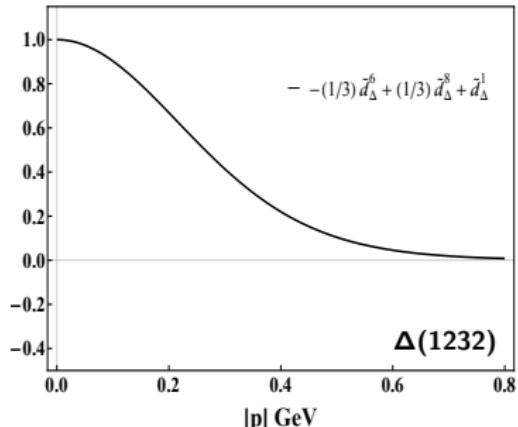
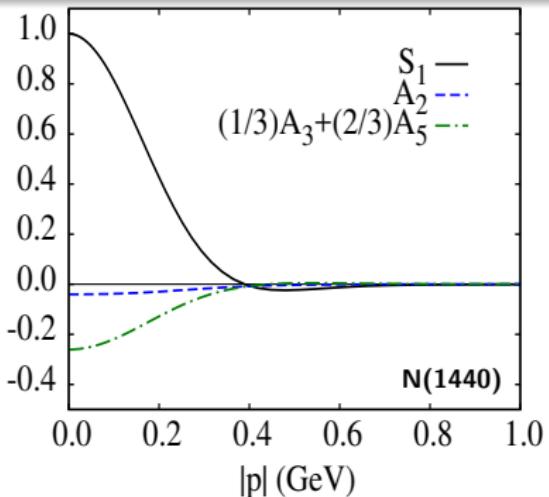
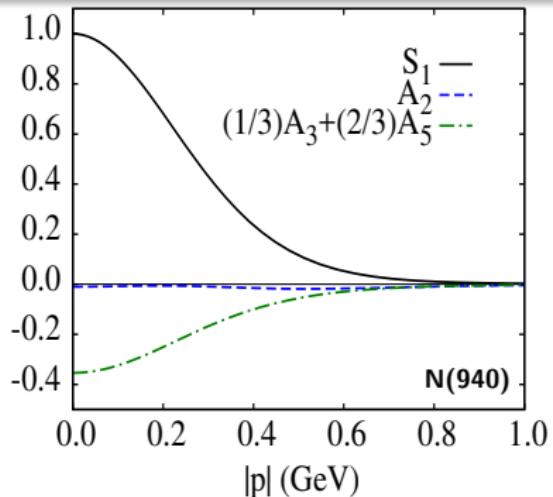
Angular momenta of the octet and decuplet (II)



Angular momenta of the octet and decuplet (II)



Radial excitations in quantum field theory



	$N(940)$	$N(1440)$	$\Delta(1232)$	$\Delta(1600)$
scalar	62%	62%	—	—
pseudovector	29%	29%	100%	100%
mixed	9%	9%	—	—
S -wave	0.76	0.85	0.61	0.30
P -wave	0.23	0.14	0.22	0.15
D -wave	0.01	0.01	0.17	0.52
F -wave	—	—	~ 0	0.02

 $N(1440)$

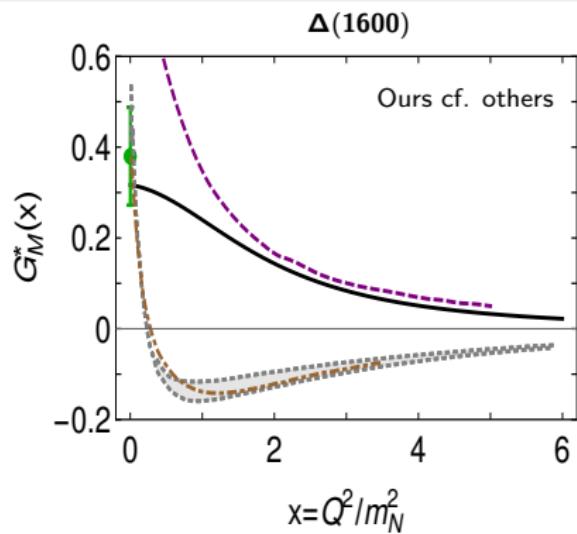
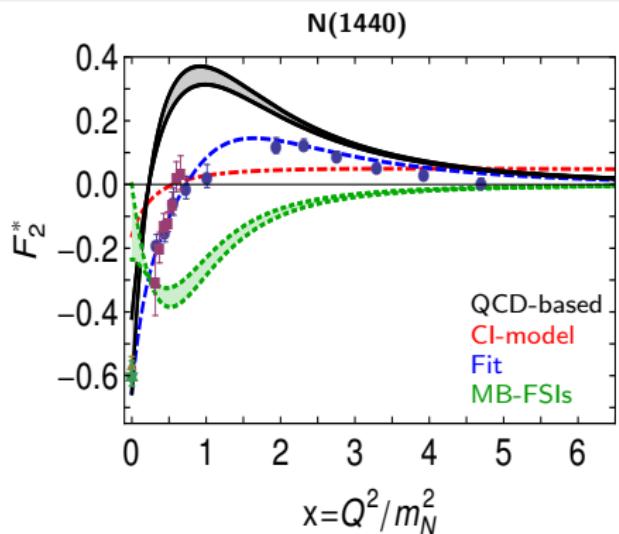
- Roper's diquark content are almost identical to the nucleon's one.
- It has an orbital angular momentum composition which is very similar to the one observed in the nucleon.

 $\Delta(1600)$

- $\Delta(1600)$'s diquark content are almost identical to the $\Delta(1232)$'s one.
- It shows a dominant $\ell = 2$ angular momentum component with its S-wave term being a factor 2 smaller.

The presence of all angular momentum components compatible with the baryon's total spin and parity is an inescapable consequence of solving a realistic Poincaré-covariant Faddeev equation

Consequences on, e.g., EM transition form factors



Observations:

N(1440): Our calculation agrees quantitatively in magnitude and qualitatively in trend with the data on $x \gtrsim 2$.

N(1440): The mismatch between our prediction and the data on $x \lesssim 2$ is due to meson cloud contribution.

Delta(1600): It is positive defined in the whole range of photon momentum and decreases smoothly with larger Q^2 -values $\rightarrow D$ -wave dominance.

J. Segovia et al., Phys. Rev. Lett. 115 (2015) 171801, arXiv:nucl-th/1504.04386

Y. Lu et al., Phys. Rev. D100 (2019) 034001, arXiv:nucl-th/1904.03205

Parity partners at a glance (I)

- The $N(940)$ and $N(1440)$ are primarily S -wave in nature, since they are not supported by the Faddeev equation unless S -wave components are contained in the wave function.
- The $N(1535)$ and $N(1650)$ are essentially P -wave in character, since they are not supported by the Faddeev equation unless P -wave components are contained in the wave function.
- These observations provide (again) support in quantum field theory for the constituent-quark model classifications of these systems.

L content	N_0^+	N_1^+	N_0^-	N_1^-
S, P, D	1.19	1.73	1.83	1.91
$-, P, D$	—	—	1.89	1.98
$S, -, D$	1.24	1.71	—	—
$S, P, -$	1.20	1.74	1.83	1.91
$S, -, -$	1.24	1.71	—	—
$-, P, -$	—	—	1.90	1.98

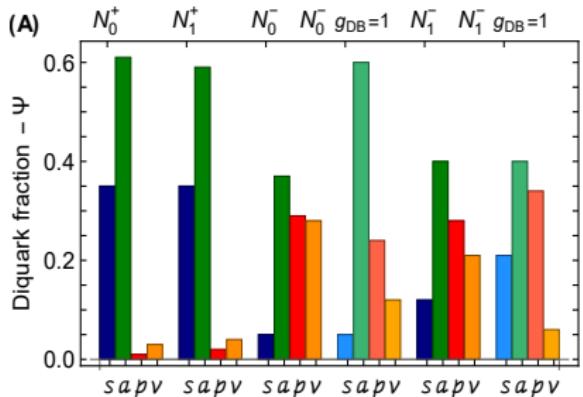
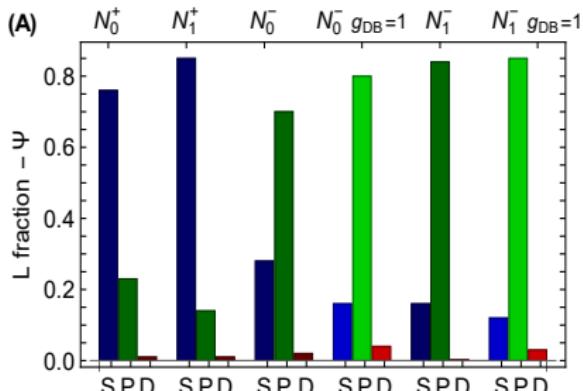
Masses of the quark core against values determined for the meson-undressed bare-excitations

	m_N	$m_{N(1440)}^{1/2+}$	$m_{N(1535)}^{1/2-}$	$m_{N(1650)}^{1/2-}$
herein	1.19	1.73	1.83	1.91
M_B^0		1.76	1.80	1.88

L. Ya et al., Phys. Rev. C96 (2017) no.1, 015208, arXiv:nucl-th/1705.03988.
C. Chen et al., Phys. Rev. D97 (2018) 034016, arXiv:nucl-th/1711.03142.

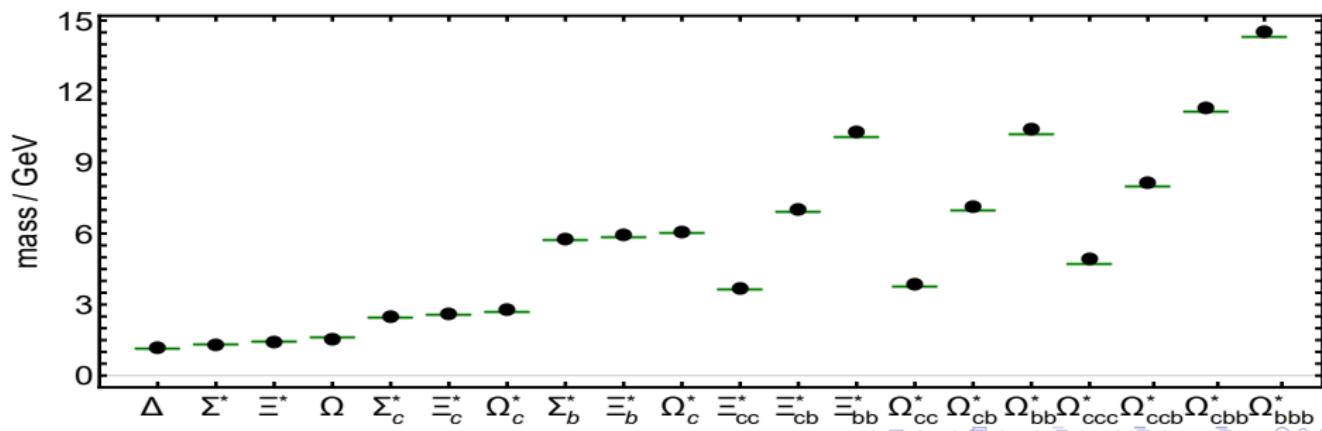
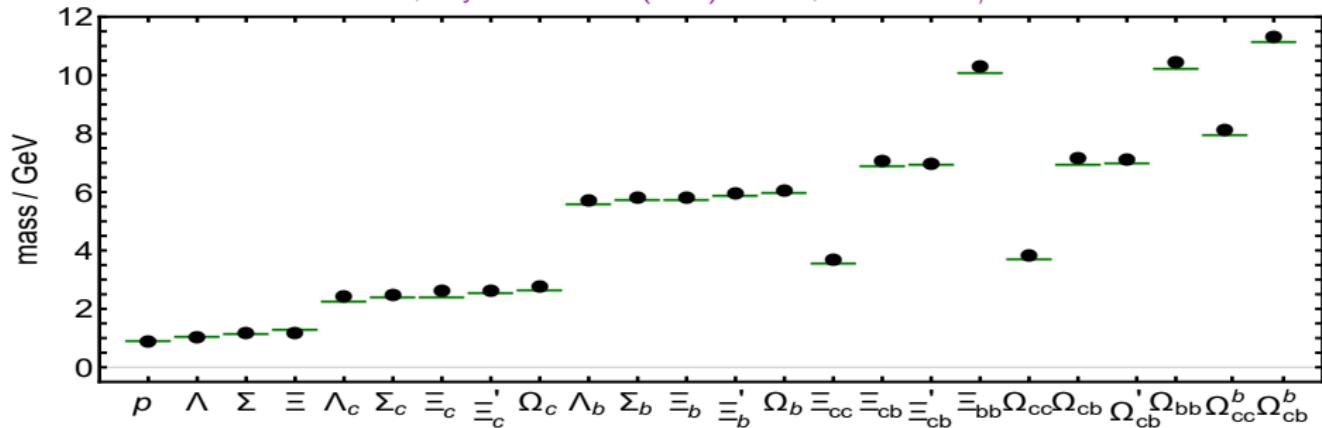
Parity partners at a glance (II)

- Again, the two lightest $1/2^+$ doublets are predominantly S -wave in character, whereas the negative parity states are chiefly P -wave. In all cases the D -wave components are negligible.
- $N(940)$ and $N(1440)$ are mostly constituted by scalar and pseudovector diquarks and $g_{DB} < 1$ has little impact on the nucleon and Roper, so we do not draw $g_{DB} = 1$ results.
- $g_{DB} < 1$ has a significant effect on the structure of the negative parity baryons, it increases both the effective energy-cost (mass) of positive parity diquarks and the fraction of pseudoscalar- and vector-diquarks they contain.



Extension to heavy quark sectors

Pei-Lin Yin et al., Phys. Rev. D100 (2019) 034008, arXiv:nucl-th/1903.00160



☞ Take home messages:

- Baryon spectrum: fair agreement with experiment!
- Results for up/down, strange and heavy quarks.
- Three-body vs diquark-quark: good agreement.

☞ QFT combined with quark-diquark picture:

- The running of the strong coupling constant which is expressed in e.g. the momentum dependence of the dressed-quark mass produces DCSB.
- DCSB and its correct implementation produces pions as well as nonpointlike and fully-dynamical diquark correlations inside baryons.
- The Faddeev kernel ensures that every valence-quark participates actively in all diquark correlations to the fullest extent allowed by kinematics and symmetries.
- Poincaré covariance demands the presence of dressed-quark orbital angular momentum in the baryon.