

# D meson mixing via dispersion relation

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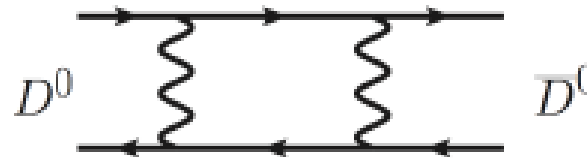
In collaboration with H. Umeeda (梅枝), F. Xu, F. Yu

# Goal

- Try to resolve long-standing (2-decade) challenge---how to understand large D meson mixing?
- All theories predicted mixing parameters  $x, y < 10E-5$ , but data show  $x, y > 10E-3$

Year	Exper.	$D^0$ final state(s)	$y(\%)$
2007	BABAR [31]	$K^+K^-, \pi^+\pi^-$	$1.03 \pm 0.33 \pm 0.19$
2007	Belle [30]	$K^+K^-, \pi^+\pi^-$	$1.31 \pm 0.32 \pm 0.25$
2001	CLEO [32]	$K^+K^-, \pi^+\pi^-$	$-1.2 \pm 2.5 \pm 1.4$
2001	Belle [33]	$K^+K^-$	$-0.5 \pm 1.0^{+0.7}_{-0.8}$
2000	FOCUS [34]	$K^+K^-$	$3.42 \pm 1.39 \pm 0.74$
1999	E791 [35]	$K^+K^-$	$0.8 \pm 2.9 \pm 1.0$

# $D^0 - \bar{D}^0$ Mixing



- The time evolution  $2 \times 2$  matrices

$$i \frac{\partial}{\partial t} \begin{pmatrix} D^0(t) \\ \bar{D}^0(t) \end{pmatrix} = \begin{pmatrix} \text{virtual} \downarrow \mathbf{M} - \frac{i}{2} \uparrow \mathbf{\Gamma} \end{pmatrix} \begin{pmatrix} D^0(t) \\ \bar{D}^0(t) \end{pmatrix}$$

virtual
real contribution

- Mass eigenstates in terms of weak eigenstates

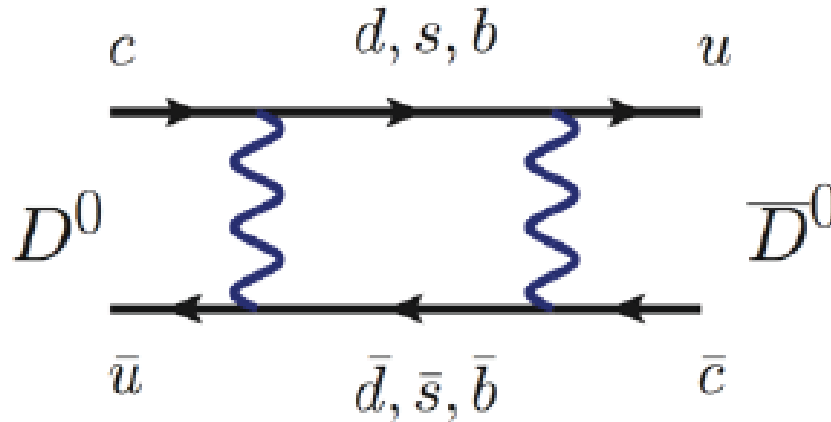
$$|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$$

- Mass difference and Width difference

$$x \equiv \frac{\Delta m}{\Gamma} = \frac{m_1 - m_2}{\Gamma}$$

$$y \equiv \frac{\Delta \Gamma}{2\Gamma} = \frac{\Gamma_1 - \Gamma_2}{2\Gamma}$$

# SU(3) breaking



third generation  
can be neglected

$$V_{cd}^* V_{ud} + V_{cs}^* V_{us} + \cancel{V_{cb}^* V_{ub}} = 0$$

$-\lambda * 1 \quad 1 * \lambda \quad \lambda^2 * \lambda^3$

$\lambda \sim 0.2$

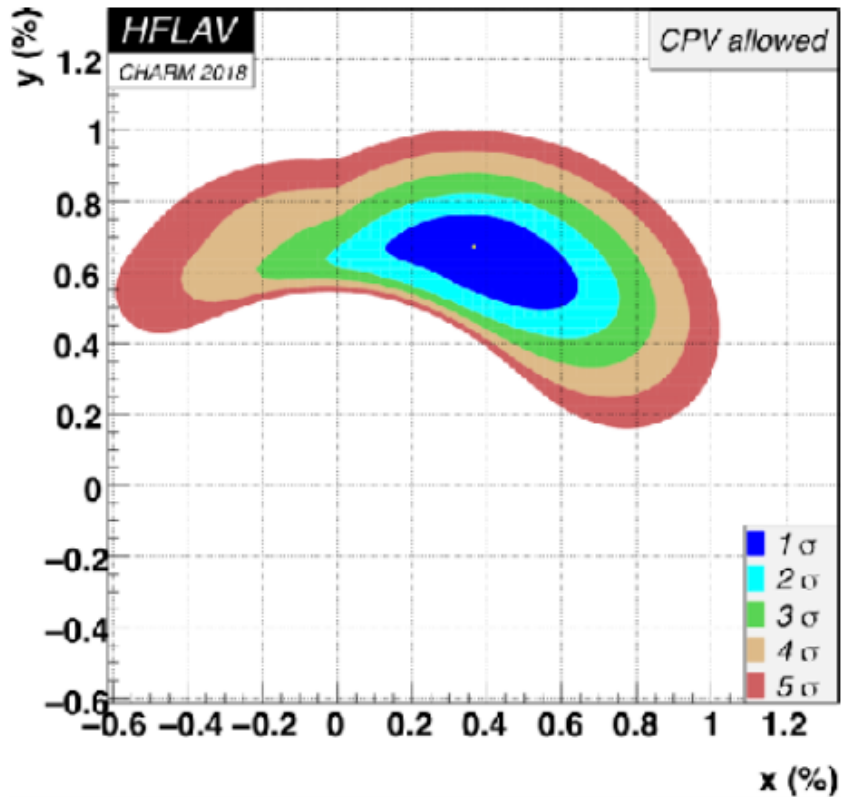
Wolfenstein  
Parameter;  
Cabibbo  
angle

**GIM:  $x \sim y \sim 0$  in the SU(3) limit**

**Non-zero mixing from SU(3) breaking effects**

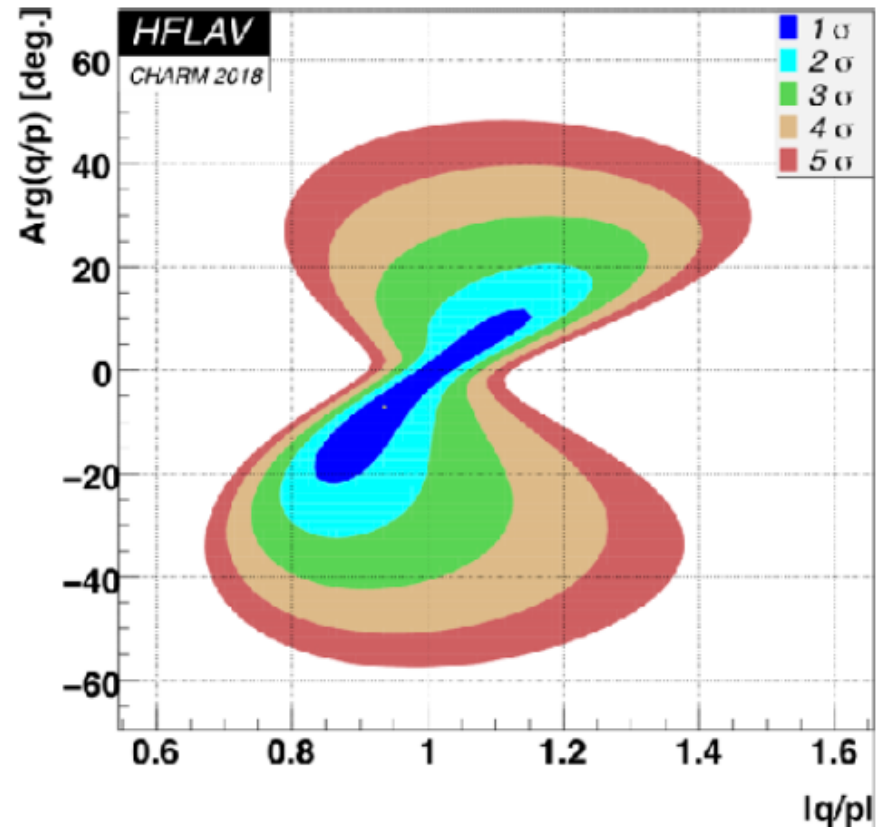
more precisely, U-spin symmetry breaking

# $D^0 - \bar{D}^0$ mixing: data



$(x, y) = (0, 0)$  is excluded by  $11.5\sigma$

→ Mixing is confirmed



$(|q/p|, \text{arg}) = (1, 0)$  is allowed

→ No evidence of CP violation

# Challenges

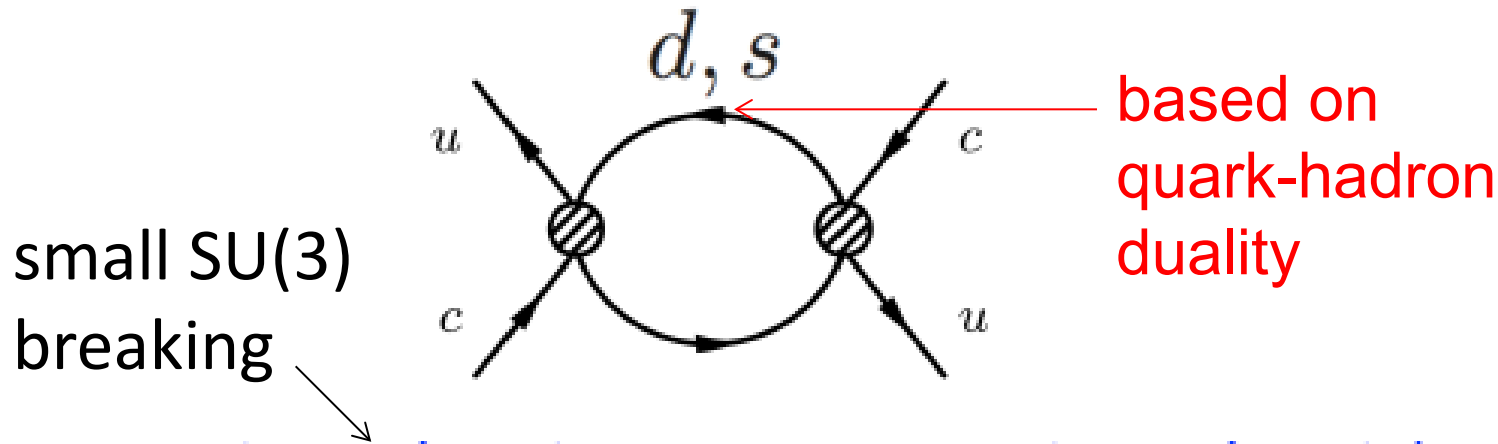
- Charm not heavy enough to apply HQET, PQCD, NRQCD... ; large  $1/m_C, \alpha_S(m_C)$  corrections
- Charm not light enough to apply chiral perturbation, and to allow finite number of decay channels
- GIM mechanism, charm mixing due to SU(3) breaking, difficult to estimate
- Multi-particle channels give significant contribution to  $\gamma$ , difficult to calculate

Two major approaches:  
inclusive and exclusive

# Inclusive approach:

quark level

Heavy Quark Expansion



$$x \sim (m_s/m_c)^4$$

$$y \sim (m_s/m_c)^6$$

$$x \sim 10^{-6}$$

$$y \lesssim 0.9 \times 10^{-5}$$

[Bobrowski, Lenz, 09']

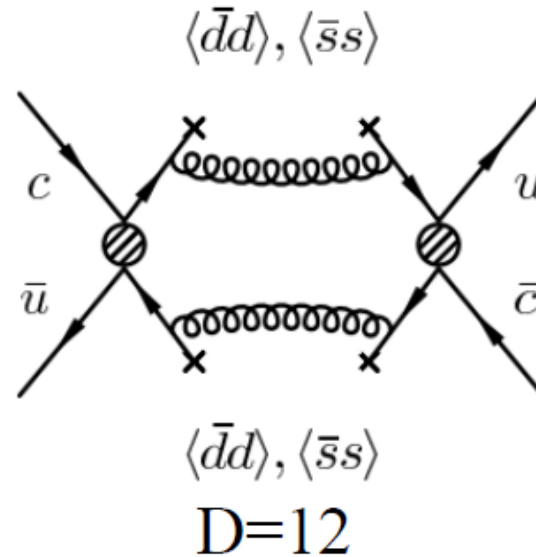
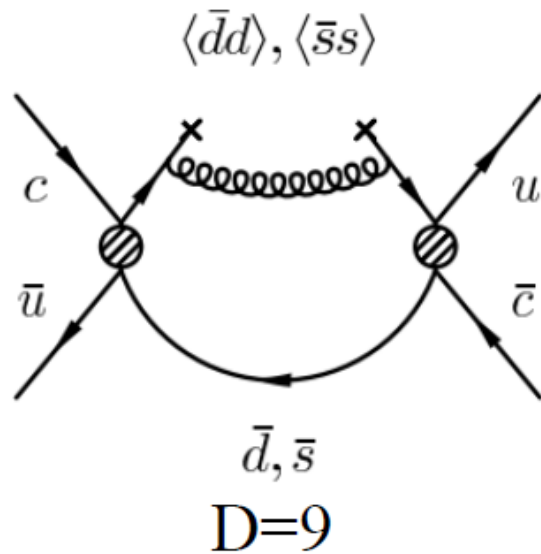
[Lenz, et al, 10']

Short-distance contributions are small



# Higer-dimensional operators

[1002.4794]



$$\mathcal{O}(\alpha_s(4\pi)\langle \bar{q}q \rangle / m_c^3)$$

$$\mathcal{O}(\alpha_s^2(4\pi)^2\langle \bar{q}q \rangle^2 / m_c^6)$$

$y$	no GIM	with GIM
$D = 6, 7$	$2 \cdot 10^{-2}$	$5 \cdot 10^{-7}$
$D = 9$	$5 \cdot 10^{-4}$	?
$D = 12$	$2 \cdot 10^{-5}$	?

do not work

# Exclusive Approach

phase  
space  
factor

$$y \approx \frac{\Gamma_+ - \Gamma_-}{2\Gamma} = \frac{1}{2} \sum_n (Br(D_+ \rightarrow n) - Br(D_- \rightarrow n))$$

$$= \frac{1}{2\Gamma} \sum_n \rho_n (|\langle D_+ | H_w | n \rangle|^2 - |\langle D_- | H_w | n \rangle|^2),$$

$$CP|n\rangle = \eta_{CP}|\bar{n}\rangle \quad \mathcal{A}(\bar{D}^0 \rightarrow n) = \mathcal{A}(D^0 \rightarrow \bar{n}) \quad \text{No CPV}$$

$$y = \frac{1}{2\Gamma} \sum_n \rho_n \eta_{CP}(n) (\langle D^0 | H_w | n \rangle \langle \bar{n} | H_w | D^0 \rangle + \langle D^0 | H_w | \bar{n} \rangle \langle n | H_w | D^0 \rangle)$$

$$= \sum_n \eta_{CKM}(n) \eta_{CP}(n) \cos \delta_n \sqrt{Br(D^0 \rightarrow n) Br(D^0 \rightarrow \bar{n})},$$

sum up all the intermediate states

$$(-1)^{n_s} y_{PP+VP} = (0.36 \pm 0.26)\% \text{ or } (0.24 \pm 0.22)\%$$

number of s quarks

[Cheng, Chiang, 2010]

# Factorization-assisted topological amplitudes

Li et al. 2012, 2017

- With higher precision in global fit

$$y_{PP+PV} = (0.21 \pm 0.07)\%$$

$$y_{VV} = (0.28 \pm 0.47) \times 10^{-3}$$

$\chi^2 = 6.9$  per d.o.f.  
reduced from 87  
by Cheng, Chiang

- PP, PV, VV (amount up to 50% Br of D decays) cannot explain  $\gamma$
- Other 2-body and multi-body modes relevant
- Not applicable to evaluation of  $x$ ; exclusive approach not practical

# possibilities discussed in the literature

HQE is not valid for charm? **likely**

-testable in lifetime ratio?

Lenz and Rauh, 2013

Higer dimensional operator ( $D=9, 12$ )?

-able to avoid severe GIM cancellation? **unlikely**

Bigi and Uraltsev, 2001

Beyond the standard model?

Golowich and Pakvasa and Petrov, 2007

**last resort**

20% breakdown of quark-hadron duality?

Jubb, Kirk, Lenz and Tetlalmatzi-Xolocotzi, 2017

**who knows?**

Way out of desperate situation?

# OPE result for short-distance

## D meson

Golowich and Petrov 2005

$$\text{SM} \begin{cases} x_D \simeq 6 \times 10^{-7} \\ y_D \simeq 6 \times 10^{-7} \end{cases}$$

*much below data*  
*Suppressed by GIM*

HFLAV at CHARM18

$$\text{Exp.} \begin{cases} x_D = 3.6_{-1.6}^{+2.1} \times 10^{-3} \\ y_D = 6.7_{-1.3}^{+0.6} \times 10^{-3} \end{cases}$$

## $B_s$ meson

Artuso, Borissov and Lenz, 2016

$$\text{SM} \begin{cases} \Delta M_s = (18.3 \pm 2.7) \text{ps}^{-1} \\ \Delta \Gamma_s = (0.088 \pm 0.020) \text{ps}^{-1} \end{cases}$$

HFAG

$$\text{Exp.} \begin{cases} \Delta M_s = (17.757 \pm 0.021) \text{ps}^{-1} \\ \Delta \Gamma_s = (0.082 \pm 0.006) \text{ps}^{-1} \end{cases}$$

## $B_d$ meson

Artuso, Borissov and Lenz, 2016

$$\text{SM} \begin{cases} \Delta M_d = (0.528 \pm 0.078) \text{ps}^{-1} \\ \Delta \Gamma_d = (2.61 \pm 0.59) \cdot 10^{-3} \text{ps}^{-1} \end{cases}$$

HFAG

$$\text{Exp.} \begin{cases} \Delta M_d = (0.5055 \pm 0.0020) \text{ps}^{-1} \\ \Delta \Gamma_d = 0.66(1 \pm 10) \cdot 10^{-3} \text{ps}^{-1} \end{cases}$$

- Order of magnitude is not reproduced for D meson.
- The SM is in agreement with data for B(d, s) meson.

Idea:

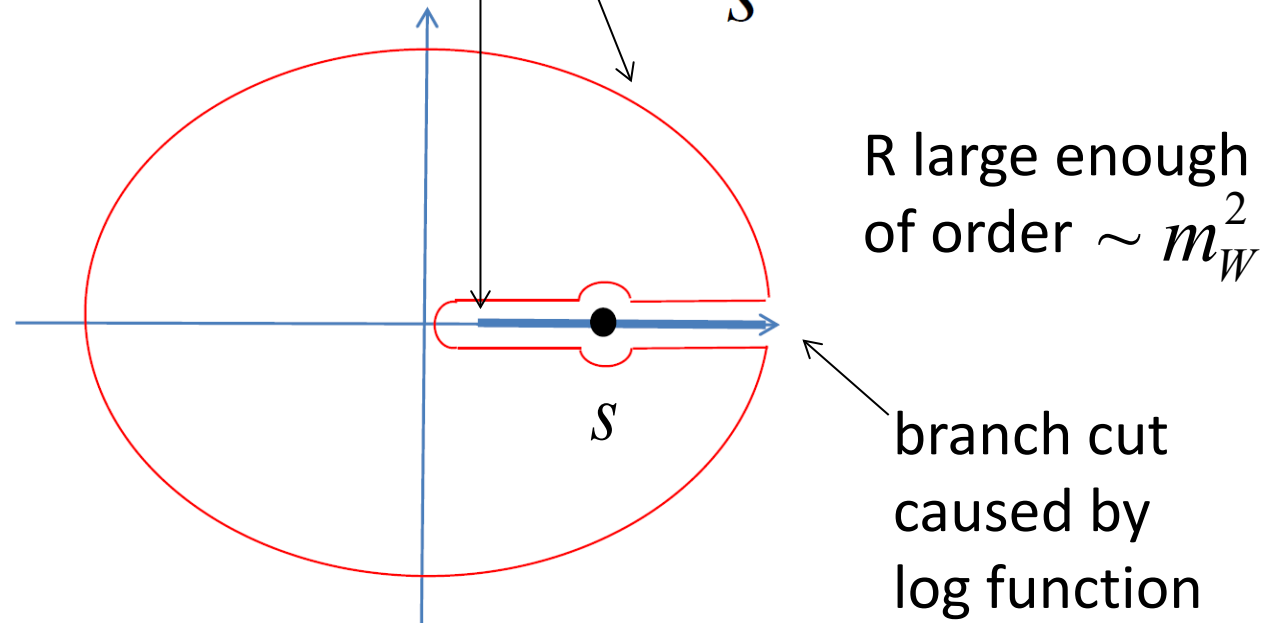
connection between high and low  
mass regions, via which HQE  
constrains D mixing

# Dispersion relation

- Consider “fictitious D meson” of mass  $s$

real part  $M_{12}(s) = -\frac{P}{2\pi} \int_{4m_\pi^2}^R ds' \frac{\Gamma_{12}(s')}{s' - s}$  imaginary part

$$M_{12}(s) - \frac{i}{2}\Gamma_{12}(s) = \langle D^0 | \mathcal{H} | \bar{D}^0 \rangle$$





# Implementation

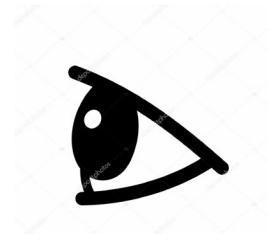
- $s > \Lambda^2$  : known heavy-quark input
- $s < \Lambda^2$  : unknown to be solved
- Divide both sides by measured total width

$$\int_{4m_\pi^2}^{\Lambda^2} ds' \frac{y(s')}{s - s'} = \pi x(s) + \int_{\Lambda^2}^{m_W^2/2} ds' \frac{y(s')}{s' - s} \equiv \omega(s)$$

where  $y$  vanishes to avoid end-point singularity

“charge distribution” at low  $s$

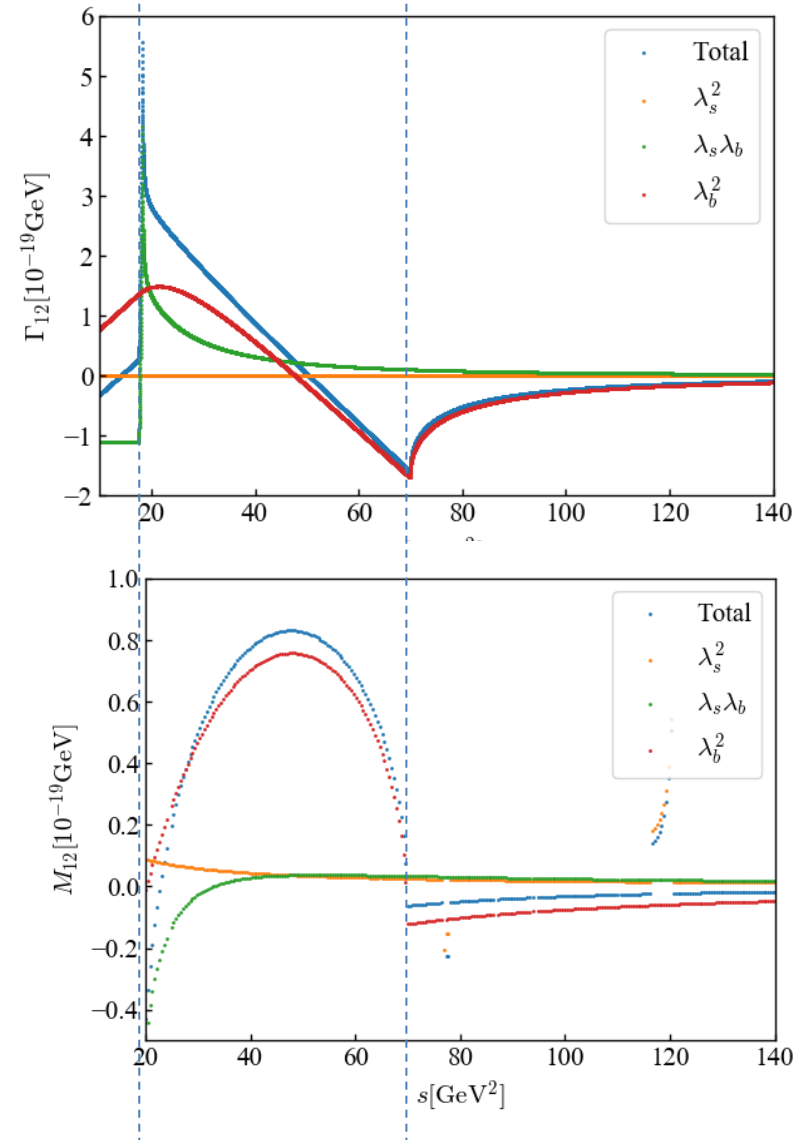
“potential” at high  $s$



# Width, mass difference of fictitious D

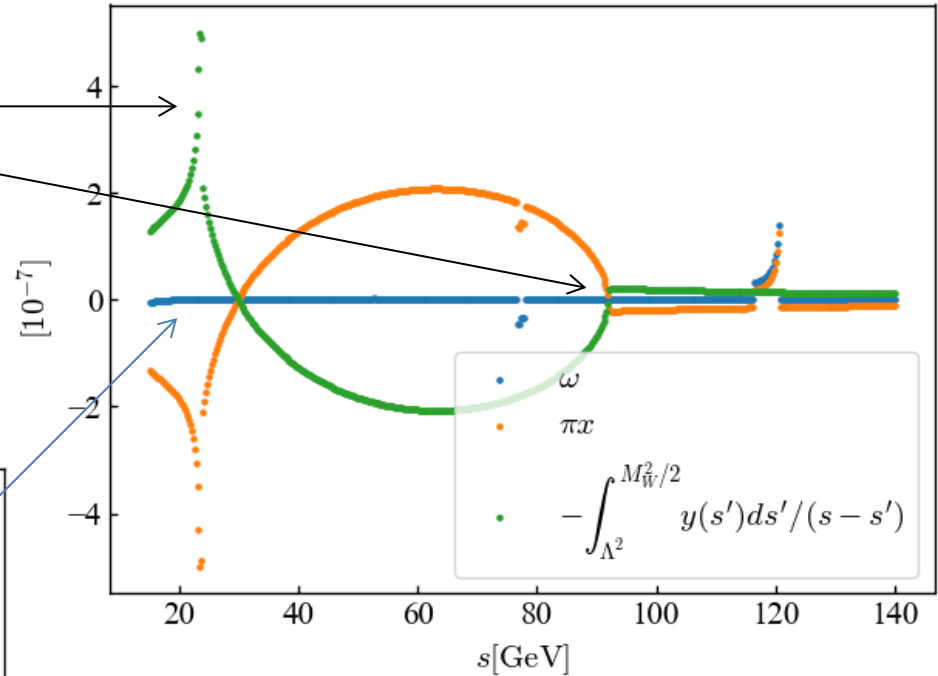
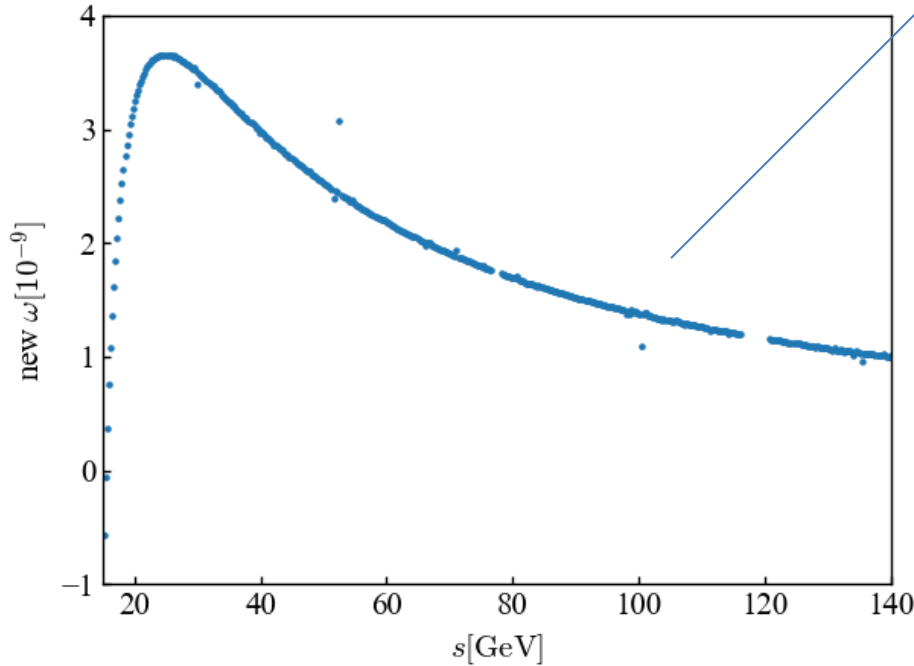
- Fictitious D meson can be heavier than b quark so b quark information is consistent on two sides of relation
- $\Gamma_{12}$  and  $M_{12}$  both show thresholds of single b quark and b quark pair

formulas referred to  
Buras, Slominski, Steger, 1984



# Known input at large s

cusps cancel  
 low s contribution  
 should not know  
 b quark threshold



use this input at high s  
 to constrain y at low s

Highly nontrivial to solve dispersion relation, took almost one year effort

# One of many failed attempts

- Discretize integral equation

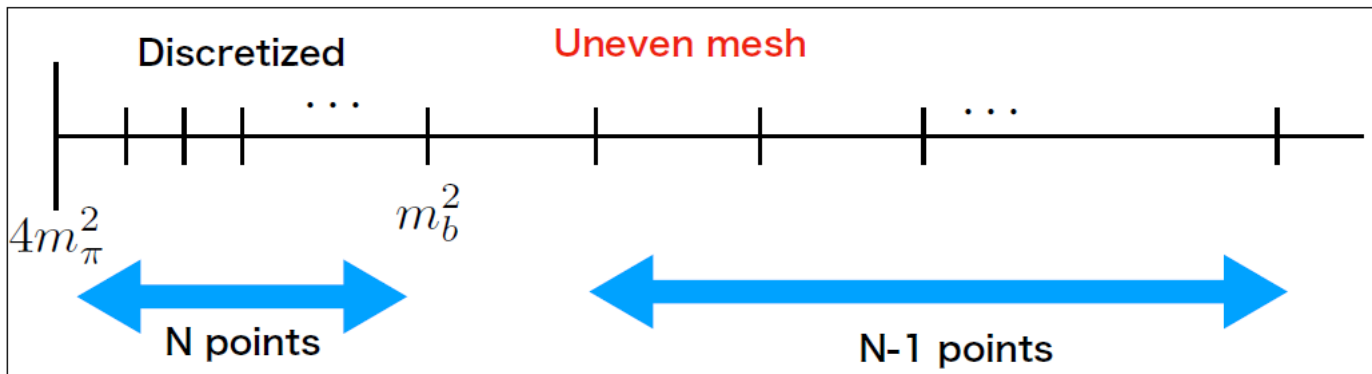
$$\frac{1}{\pi} \sum_{j=2}^N M_{ij} y_j = x(s_{N'+i}) + \frac{1}{\pi} P \int_{m_b^2}^{M_w^2} \frac{y(s) ds}{s - s_{N'+i}}, \quad (\text{for } i = 1, 2, \dots, N - 1)$$

unknowns

input

$$M_{ij} = \begin{cases} 1/(i-j), & i \neq j \\ 0, & i = j \end{cases}$$

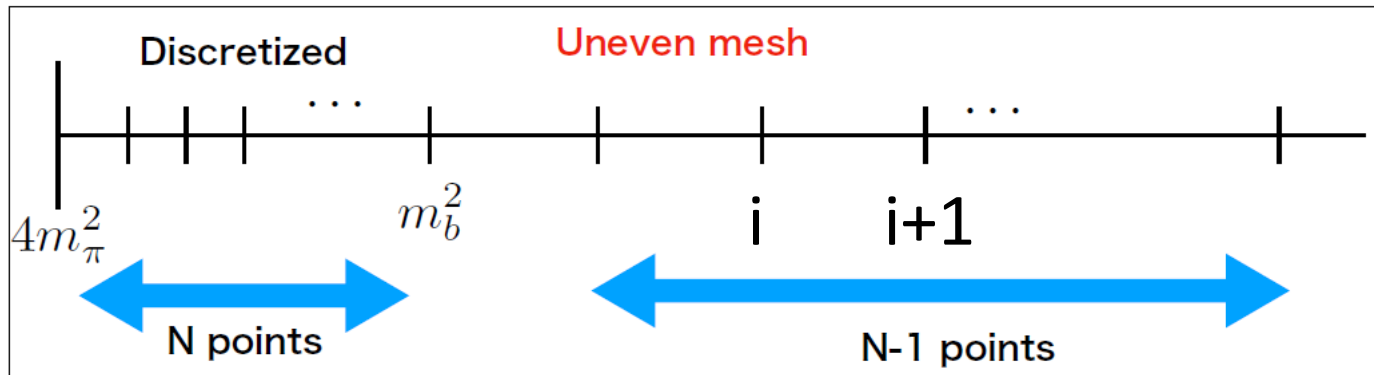
- initial condition  $y_1 = y(4m_\pi^2) = 0$
- Inverse matrix to get  $y(m_c^2)$ , and then  $x(m_c^2)$



# Singular matrix

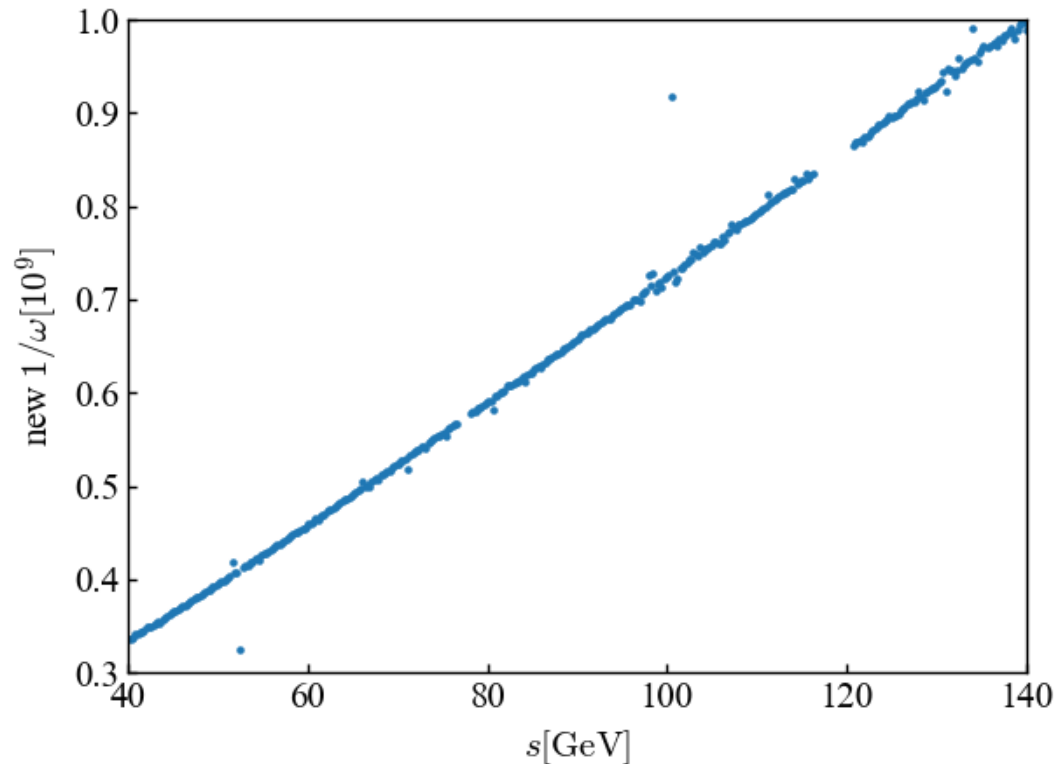
- It is called non-singular Fredholm integral equation: domains of  $s$  and  $s'$  do not overlap
- Matrix  $M$  becomes singular quickly for fine meshes, and solution diverges

the rows  $M_{ij}$  and  $M_{(i+1)j}$  become almost identical, when mesh gets finer



After getting solution, we realized why  $M$  is singular. If it is not singular, there will be unique solution, which is perturbative solution, and small.

Deep frustration...until observing  
that  $\omega(s)$  becomes  $1/s$  quickly



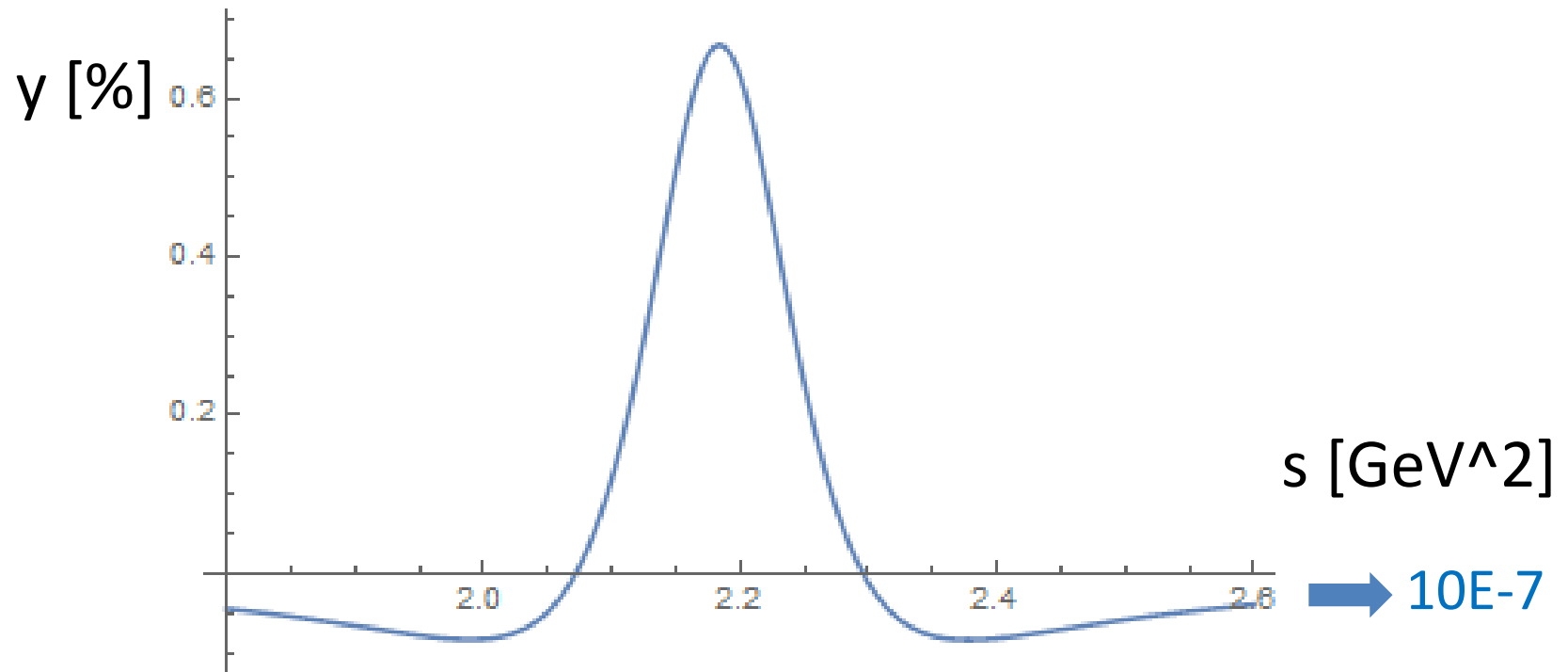


# Qualitative behavior

- The shape of lhs is close to  $1/(s - m^2)$   
surprise! of order charm mass squared<sup>↑</sup>
- If exact  $1/(s - m^2)$ , solution is  $y(s') \sim \delta(s' - m^2)$
- Recall pole term ( $\delta$ -function) in spectral density for QCD sum rules...
- Deviation implies smearing of  $\delta$ -function
- It hints that  $y$  shows substantial distribution only around the scale  $m_c^2$  within narrow interval. Magnitude of  $y$  can be enhanced.

# Preliminary solution $y(s)$

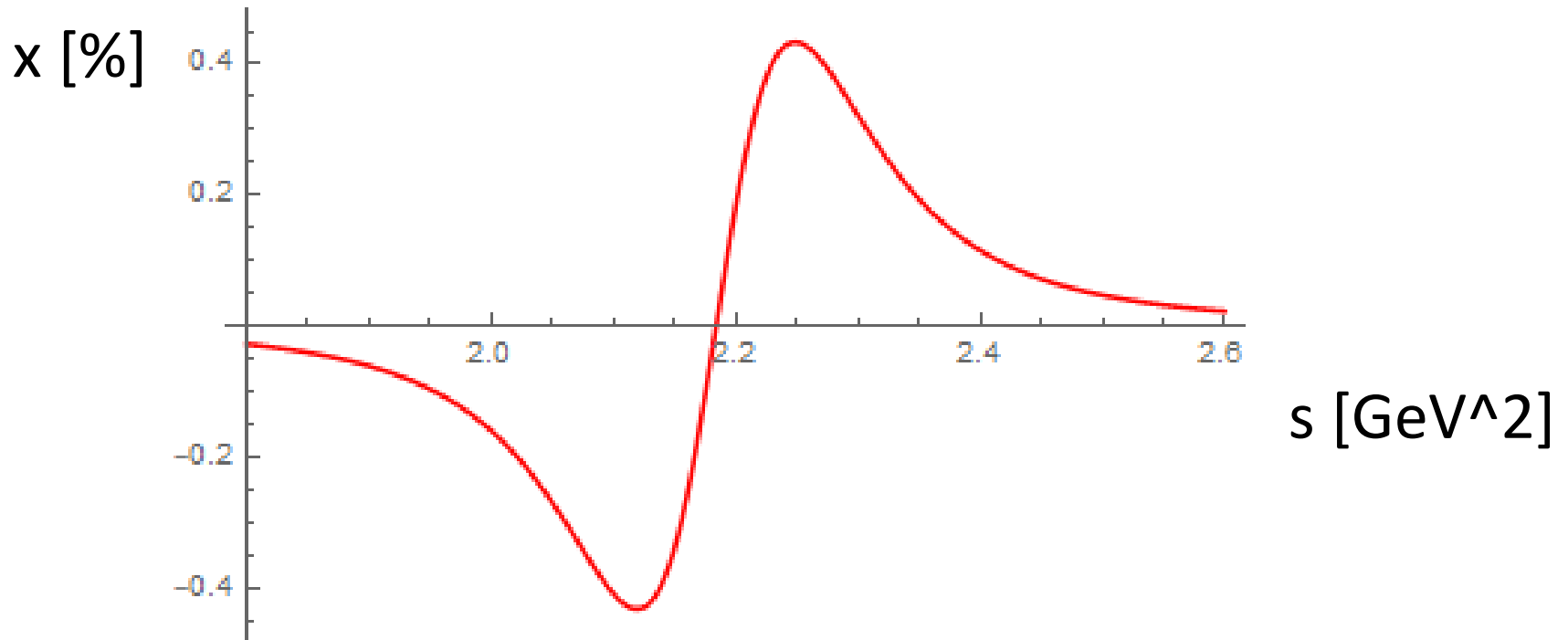
- Assume CP symmetry
- Input b quark mass 4.8 GeV



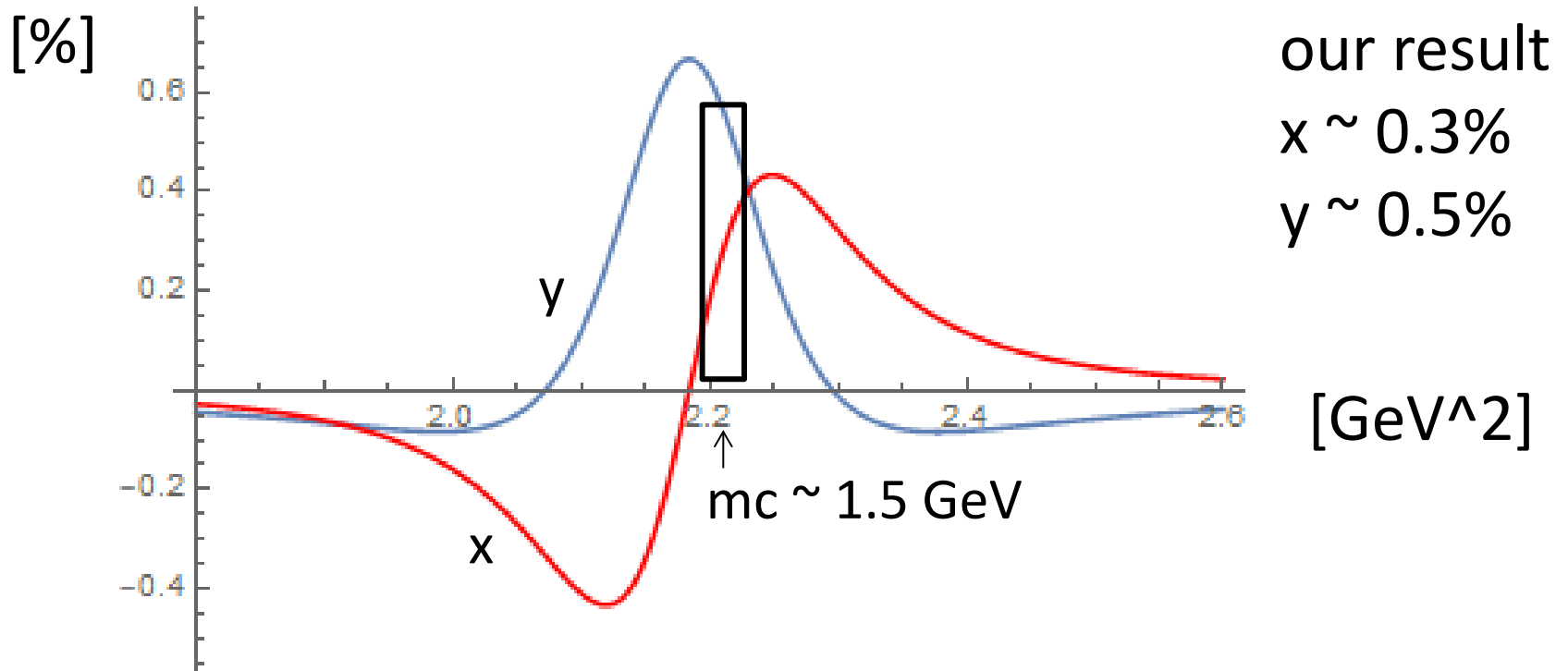
about 30-40%  
theoretical uncertainty

# Preliminary solution $x(s)$

- Substitute  $y(s')$  into dispersion relation, we get  $x(s)$



# Comparison with data



Parameter	No $CP$ Violation	$CP$ Violation Allowed	95% C.L. Interval $CPV$ Allowed
$x(\%)$	$0.46^{+0.14}_{-0.15}$	$0.32 \pm 0.14$	[0.04, 0.62]
$y(\%)$	$0.62 \pm 0.08$	$0.69^{+0.06}_{-0.07}$	[0.50, 0.80]

PDG 2019

# Summary

- Promising to understand D mixing in SM via dispersion relation (1st successful approach)
- Solve for  $x, y$  at low mass from inputs at high mass;  $\lambda_s \lambda_b, \lambda_b^2$  contributions dominate
- Naïve estimate agrees with data in order of magnitude
- Need to implement (systematic) HQET, include QCD correction, bag parameters, **allow CPV**, analyze theoretical uncertainty,...
- Applicable to kaon mixing?

Back-up slides

# Buras' formulas for B mixing

virtual contribution

$$M_{12} = \frac{G_F^2 f_p^2 m_p M_W^2 B_p}{12\pi^2} (\lambda_c^2 U_{cc}^{(d)} + \lambda_t^2 U_{tt}^{(d)} + 2\lambda_c \lambda_t U_{ct}^{(d)})$$

real contribution

$$\Gamma_{12} = \frac{G_F^2 f_p^2 m_p M_W^2 B_p}{12\pi^2} (\lambda_c^2 U_{cc}^{(a)} + \lambda_t^2 U_{tt}^{(a)} + 2\lambda_c \lambda_t U_{ct}^{(a)})$$

$$U_{ij}^{(x)} = A_{uu}^{(x)} + A_{ij}^{(x)} - A_{ui}^{(x)} - A_{uj}^{(x)} \quad x = a, d$$

$$x_i = \frac{m_i^2}{M_W^2}$$

source of SU(3) breaking

$$A_{ij}^{(a)} = -\frac{\pi}{2x_h^2} \frac{1}{(1-x_i)(1-x_j)} \sqrt{(x_i - x_j)^2 + x_h^2 - 2x_h(x_i + x_j)}$$

$$\times \left\{ \left(1 + \frac{1}{4}x_i x_j\right) [3x_h^2 - x_h(x_i + x_j) - 2(x_i - x_j)^2] + 2x_h(x_i + x_j)(x_i + x_j - x_h) \right\}$$

# Linear rise in width difference

$$\Gamma_{12}(\bar{\mathbf{B}}_p^0 \rightarrow \mathbf{B}_p^0) = -\frac{G_F^2 B_p f_B^2 m_B^{(p)}}{8\pi} [\lambda_c^{(p)^2} U_4 + \lambda_t^{(p)^2} U_5 + 2\lambda_t^{(p)} \lambda_c^{(p)} U_6]$$

$$U_4 = m_b^2 \eta_4^{(B)} [\sqrt{1-4z(1+2z)} - 1 + 6z^2 - 4z^3] \\ - \frac{8}{3} m_c^2 \eta_5^{(B)} [\sqrt{1-4z} - (1-z)^2],$$

$$U_5 = m_b^2 \eta_4^{(B)},$$

$$U_6 = m_b^2 \eta_4^{(B)} (3z^2 - 2z^3) + \frac{4}{3} m_c^2 \eta_5^{(B)} (1-z)^2,$$

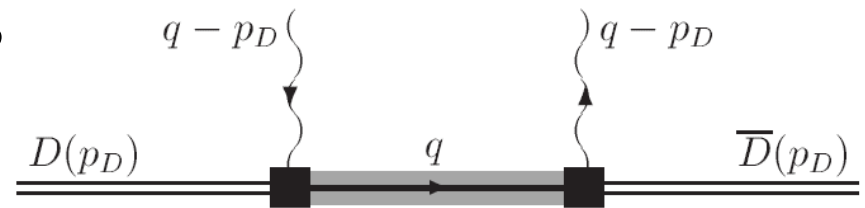
no top contribution  
 linear rise renders  
 integral not convergent

$$M_{12}(s) = -\frac{P}{2\pi} \int_{4m_\pi^2}^{\infty} ds' \frac{\Gamma_{12}(s')}{s' - s}$$



# Difference from literature

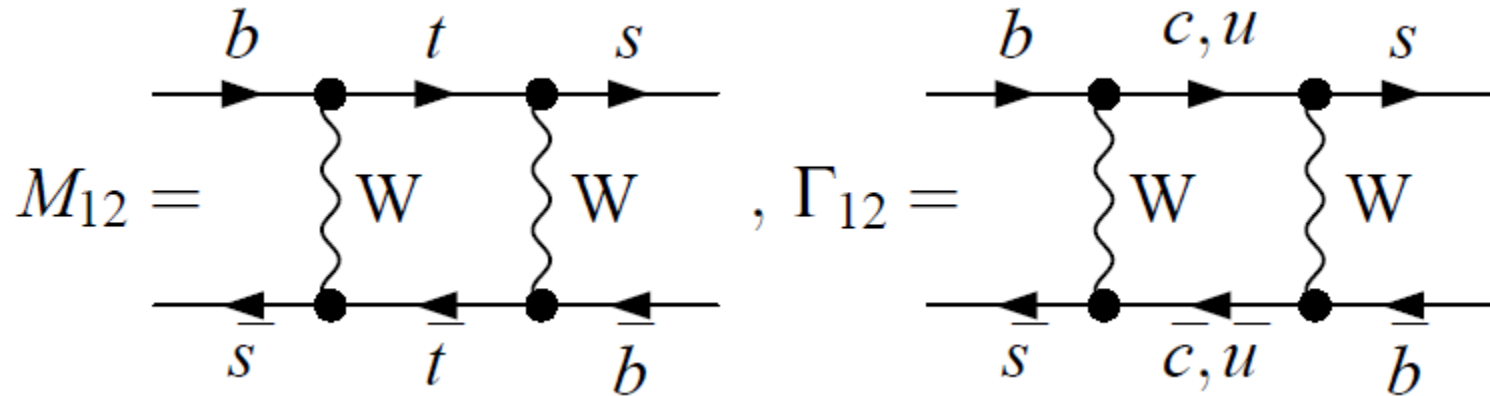
- In 0402204 (Falk et al), physical D meson was considered, with external momentum being injected to vary its mass



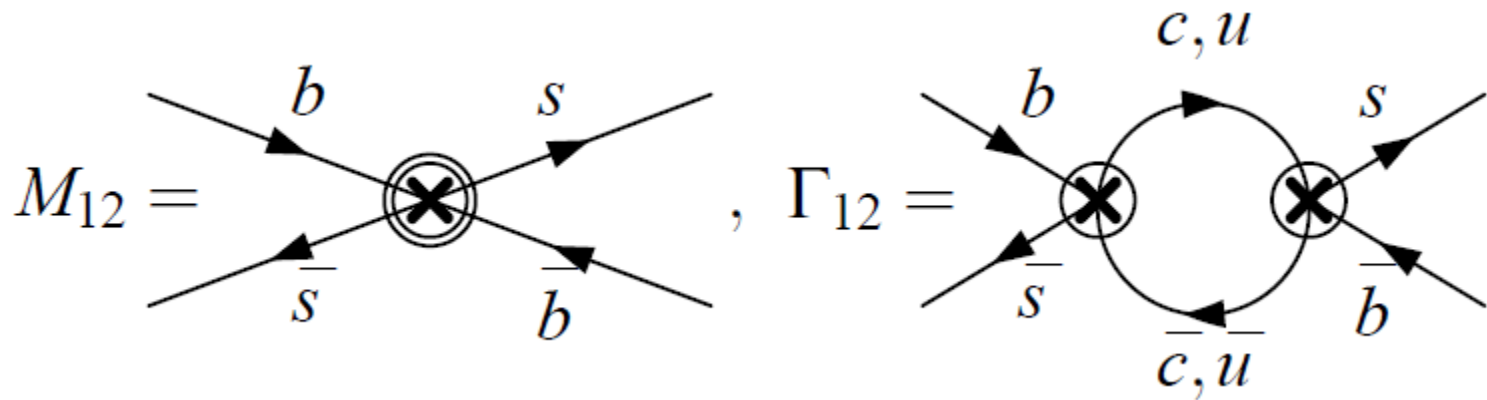
$$\Delta m = -\frac{1}{2\pi} \text{P} \int_{2m_\pi}^{\infty} dE \left[ \frac{\Delta\Gamma(E)}{E - m_D} + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}}{E}\right) \right]$$

- Apply HQET to remove  $m_D$  dependence, and get dispersion relation with external energy  $E$
- Model shape of  $\Delta\Gamma(E)$ , and then compute  $x/y$  using dispersion relation

# Bs mixing



- Integrate out W boson



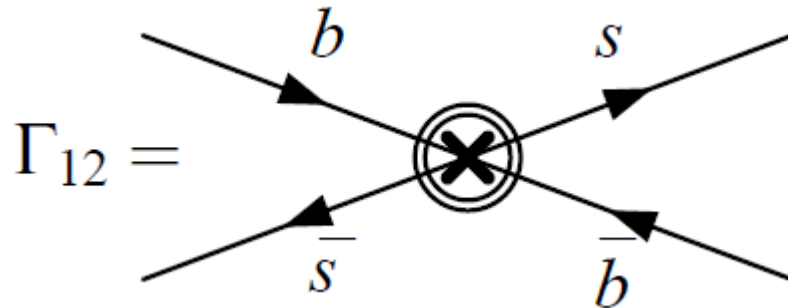
- Delta B = 2

Delta B = 1

# HQET

hep-ph 9605259

- Width difference expanded



Wilson coefficient

$$\sum_n \frac{C_n}{m_b^n} \mathcal{O}_n^{\Delta B=2}(0)$$

- 4-fermion Delta B = 2 operators give bag parameters

$$Q = (\bar{b}_i s_i)_{V-A} (\bar{b}_j s_j)_{V-A}$$

$$Q_S = (\bar{b}_i s_i)_{S-P} (\bar{b}_j s_j)_{S-P}$$

- Like those in Delta m

$$M_{12,q} = \frac{G_F^2}{12\pi^2} (V_{tq}^* V_{tb})^2 M_W^2 S_0(x_t) B_{B_q} f_{B_q}^2 M_{B_q} \hat{\eta}_B$$

# Failed method 2

- Expand both unknown and input in terms of orthogonal functions
- Solve for coefficients of orthogonal functions using dispersion relation
- Expansion of delta function in terms of Legendre polynomials  $P_l$
- Coefficients  $a_l$  grow with  $l$ , no convergence
- Expected, because large coefficients needed to express infinity