

# **$Y(4260)$ : a light quark perspective from a dispersive analysis**

**Yun-Hua Chen**

in collaboration with **L.-Y. Dai, F.-K. Guo and B. Kubis**

**University of Science and Technology Beijing**

**April 2nd, 2019**

JPAC/BESIII: A Workshop on Theory-Experiment Collaboration, Beijing

---

# Outline

- Motivation
- Theoretical framework
  - Heavy quark effective theory
  - Dispersion theory
- Phenomenology discussion
  - Characteristics of singlet and octet contributions
  - Numerical fits
- Conclusions

The nature of  $Y(4260)$  has remained controversial since its discovery in 2005.

- hybrid state:  $c\bar{c}g$

[S.-L. Zhu, PLB'2005; F. E. Close, P. R. Page, PLB'2005]

- excited charmonium

[F. J. Llanes-Estrada, PRD'2005; B.-Q. Li, K.-T. Chao, PRD'2009]

- hadrocharmonium

[ S. Dubynskiy, M. B. Voloshin, PLB'2008; X. Li, M. B. Voloshin, MPLA'2014]

- tetraquark state

[L. Maiani *et al.*, PRD'2005, EPJC'2018; Z.-G. Wang EPJC'2018]

- hadronic molecule of  $\bar{D}D_1(2420)$  or  $\omega\chi_{c0}$

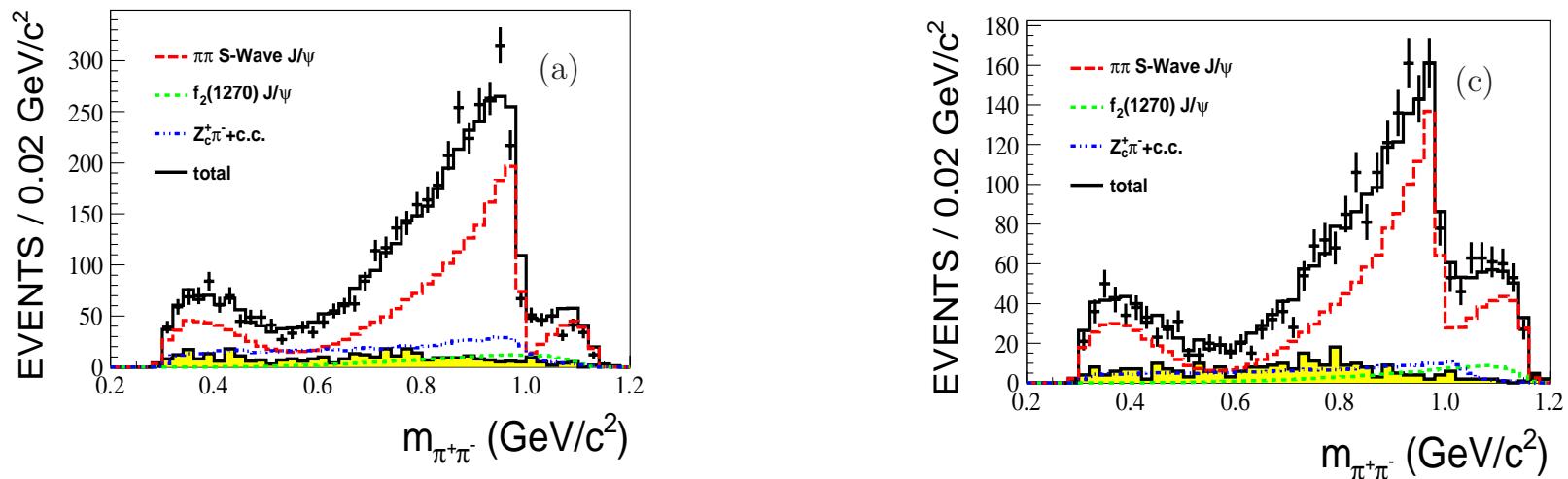
[ G.-J. Ding, PRD'2009; Q. Wang, C. Hanhart, Q. Zhao, PRL'2013; M. Cleven *et al.*, PRD'2014;  
L.-Y. Dai *et al.*, PRD'2014 ]

- interference effect

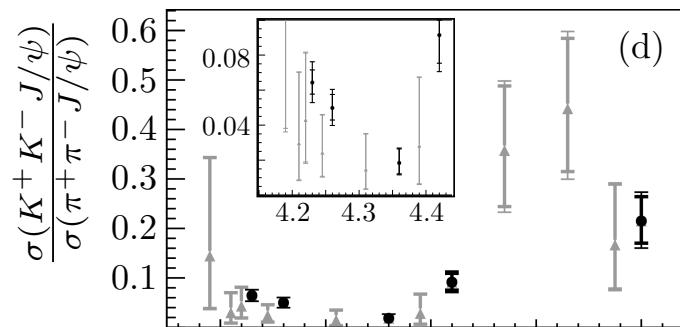
[ D.-Y. Chen, J. He, X. Liu, PRD'2011; D.-Y. Chen, X. Liu, T. Matsuki, EPJC'2018]

If the  $Y(4260)$  contains no light quarks (as hybrid state or charmonium), the light-quark source provided by the  $Y(4260)$  has to be an SU(3) singlet state.

- The  $\pi\pi$  invariant mass distribution in the  $e^+e^- \rightarrow Y(4260) \rightarrow J/\psi\pi^+\pi^-$  process, at  $\sqrt{s} = 4.23$  GeV (left) and  $\sqrt{s} = 4.26$  GeV (right). BESIII [PRL'2017]

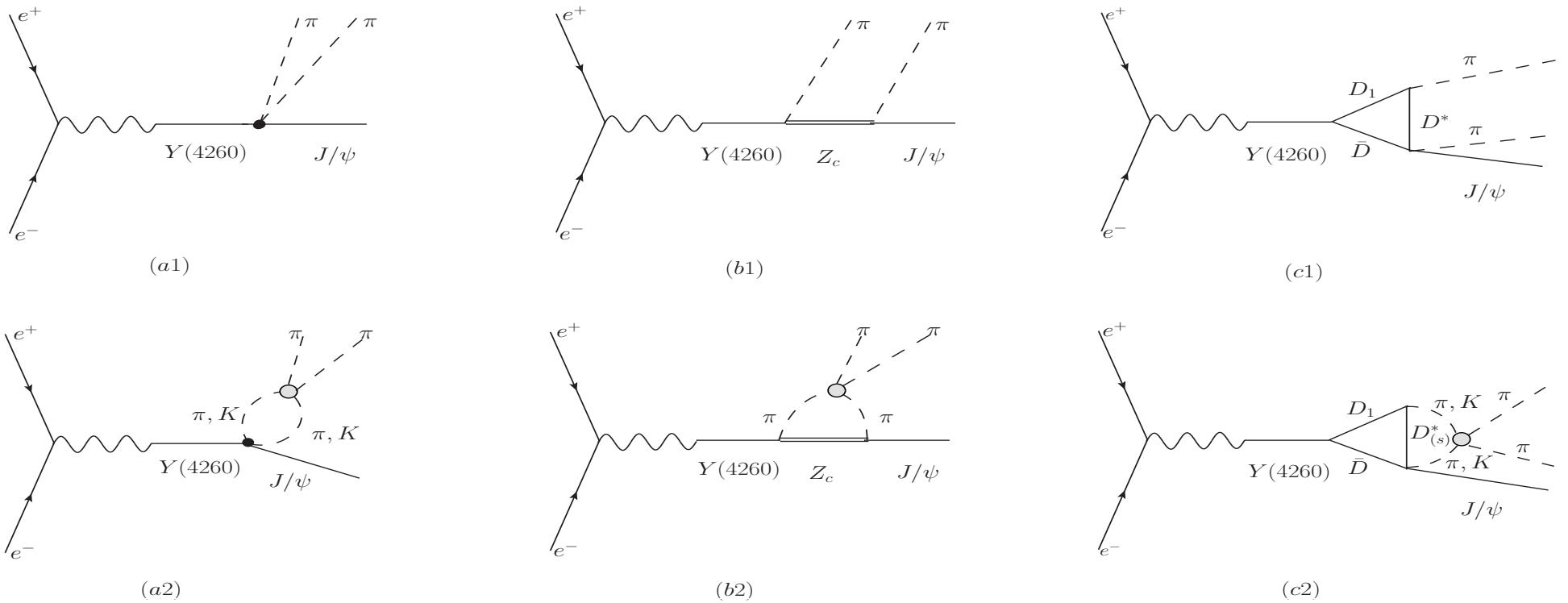


- The ratio of  $\sigma(e^+e^- \rightarrow J/\psi K^+K^-)/\sigma(e^+e^- \rightarrow J/\psi\pi^+\pi^-)$ . BESIII [PRD'2018]



## Our strategy:

- dispersion theory, based on unitarity, analyticity and crossing symmetry  
⇒ account for the  $\pi\pi$  rescattering and the  $K\bar{K}$  coupled channel in the  $S$ -wave in a model-independent way
- consider the effects of the  $Z_c(3900)$  and the triangle diagrams, which provide the left-hand-cut contribution
- the subtraction constants are obtained by matching the dispersive amplitudes to the heavy quark chiral effective theory



$$|Y(4260)\rangle = a|V_1\rangle + b|V_8\rangle$$

SU(3) singlet:  $|V_1\rangle \equiv V_1^{light} \otimes V^{heavy} = \frac{1}{\sqrt{3}}(u\bar{u} + d\bar{d} + s\bar{s}) \otimes V^{heavy}$

SU(3) octet:  $|V_8\rangle \equiv V_8^{light} \otimes V^{heavy} = \frac{1}{\sqrt{6}}(u\bar{u} + d\bar{d} - 2s\bar{s}) \otimes V^{heavy}$

$$\begin{aligned} \mathcal{L}_{Y\psi\Phi\Phi} &= g_1 \langle V_1^\alpha J_\alpha^\dagger \rangle \langle u_\mu u^\mu \rangle + h_1 \langle V_1^\alpha J_\alpha^\dagger \rangle \langle u_\mu u_\nu \rangle v^\mu v^\nu + g_8 \langle J_\alpha^\dagger \rangle \langle V_8^\alpha u_\mu u^\mu \rangle \\ &\quad + h_8 \langle J_\alpha^\dagger \rangle \langle V_8^\alpha u_\mu u_\nu \rangle v^\mu v^\nu + \text{h.c.} \end{aligned} \quad (1)$$

No strange partner of the  $Z_c$  states, thus the SU(3) singlet and octet components of the  $Y(4260)$  are not distinguishable in the  $Z_c Y(4260)\pi$  interaction.

$$\mathcal{L}_{Z_c Y \pi} = C_{Z_c Y \pi} Y^i \langle Z_c^{i\dagger} u_\mu \rangle v^\mu + \text{h.c.}, \quad (2)$$

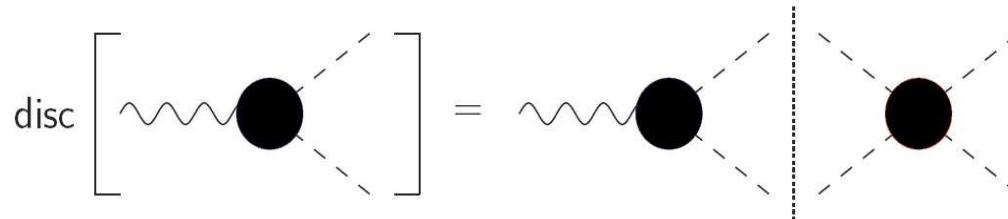
$$\mathcal{L}_{Z_c \psi \pi} = C_{Z_c \psi \pi} \psi^i \langle Z_c^{i\dagger} u_\mu \rangle v^\mu + \text{h.c.}, \quad (3)$$

$$\mathcal{L}_{Y D_1 D} = \frac{y}{\sqrt{2}} Y^i \left( \bar{D}_a^\dagger D_{1a}^{i\dagger} - \bar{D}_{1a}^{i\dagger} D_a^\dagger \right) + \text{h.c.}, \quad (4)$$

$$\mathcal{L}_{D_1 D^* P} = i \frac{h'}{F} [3 D_{1a}^i (\partial^i \partial^j \Phi_{ab}) D_b^{*j\dagger} - D_{1a}^i (\partial^j \partial^j \Phi_{ab}) D_b^{*i\dagger} + \dots] + \text{h.c.}, \quad (5)$$

$$\mathcal{L}_{\psi D^* D P} = \frac{g_{\psi P}}{2} \langle \psi \bar{H}_a^\dagger H_b^\dagger \rangle u_{ab}^0, \quad (6)$$

- elastic unitarity (single channel, no left-hand cut)



$$\frac{1}{2i} \text{disc } F_l(s) = \text{Im } F_l(s) = F_l(s) \sin \delta_l^I(s) e^{-i\delta_l^I(s)}. \quad (7)$$

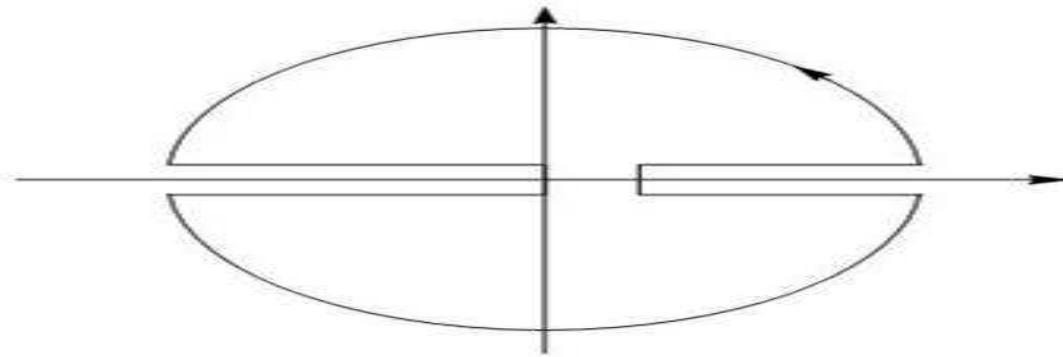
Watson's theorem: phase of  $F_l(s)$  is just  $\delta_l^I(s)$ , the elastic  $\pi\pi$  phase shift

- traditional solution to this homogeneous integral equation [Omnès, Nuovo Cim'1958]

$$F_l(s) = P_n(s) \Omega_l^I(s), \quad \Omega_l^I(s) = \exp \left\{ \frac{s}{\pi} \int_{4m_\pi^2}^\infty \frac{dx}{x} \frac{\delta_l^I(x)}{x - s} \right\}. \quad (8)$$

$P_n(s)$  : polynomial;  $\Omega_l^I(s)$ : Omnes function

- modified Omnès solution (right-hand and left-hand cuts are separated)  
[A. V. Anisovich and H. Leutwyler,, PLB'1996]



$$\text{Im } M_l(s) = [M_l(s) + \hat{M}_l(s)] \sin \delta_l^I(s) e^{-i\delta_l^I(s)}. \quad (9)$$

- $M_l(s)$ : right-hand-cut contributions
- $\hat{M}_l(s)$ : left-hand-cut contributions, approximated by  $Z_c$ -exchange + triangle diagrams

$$M_l(s) = \Omega_l^I(s) \left\{ P_l^{n-1}(s) + \frac{s^n}{\pi} \int_{4m_\pi^2}^\infty \frac{dx}{x^n} \frac{\hat{M}_l(x) \sin \delta_l^I(x)}{|\Omega_l^I(x)|(x-s)} \right\}, \quad (10)$$

- $P_l^{n-1}(s)$ : subtraction polynomial determined by matching to heavy quark chiral effective theory

- two-channel unitarity conditions

$$\text{Im } \mathbf{M}_0(s) = 2iT_0^{0*}(s)\Sigma(s) \left[ \mathbf{M}_0(s) + \hat{\mathbf{M}}_0(s) \right], \quad (11)$$

$$\mathbf{M}_0(s) = \begin{pmatrix} M_0^\pi(s) \\ \frac{2}{\sqrt{3}}M_0^K(s) \end{pmatrix}, \quad \hat{\mathbf{M}}_0(s) = \begin{pmatrix} \hat{M}_0^\pi(s) \\ \frac{2}{\sqrt{3}}\hat{M}_0^K(s) \end{pmatrix}. \quad (12)$$

$$T_0^0(s) = \begin{pmatrix} \frac{\eta_0^0(s)e^{2i\delta_0^0(s)} - 1}{2i\sigma_\pi(s)} & |g_0^0(s)|e^{i\psi_0^0(s)} \\ |g_0^0(s)|e^{i\psi_0^0(s)} & \frac{\eta_0^0(s)e^{2i(\psi_0^0(s) - \delta_0^0(s))} - 1}{2i\sigma_K(s)} \end{pmatrix} \quad (13)$$

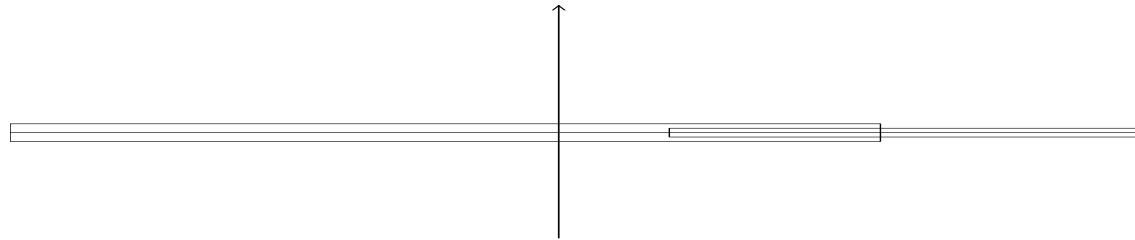
$$\Sigma(s) = \text{diag}(\sigma_\pi(s)\theta(s - 4m_\pi^2), \sigma_K(s)\theta(s - 4m_K^2)). \quad (14)$$

- $\delta_0^0(s)$ :  $\pi\pi$  S-wave isoscalar phase shift
- $|g_0^0(s)|, \psi_0^0(s)$ : modulus and phase of  $\pi\pi \rightarrow K\bar{K}$  S-wave amplitude
- $\eta_0^0(s)$ : inelasticity,  $= \sqrt{1 - 4\sigma_\pi(s)\sigma_K(s)|g_0^0(s)|^2\theta(s - 4m_K^2)}$

Two different  $T_0^0(s)$  matrices will be used: the **Dai–Pennington (DP)** and the **Bern/Orsay (BO)** parametrizations.

$$\mathbf{M}_0(s) = \Omega(s) \left\{ \mathbf{M}_0^\chi(s) + \frac{s^3}{\pi} \int_{4m_\pi^2}^{\infty} \frac{dx}{x^3} \frac{\Omega^{-1}(x)T(x)\Sigma(x)\hat{\mathbf{M}}_0(x)}{x - s} \right\}, \quad (15)$$

- $Y(4260) \rightarrow J/\psi\pi^+\pi^-$ : the crossed-channel exchanged  $Z_c$  and  $DD^*$  can be on-shell, the left-hand cut intersects and overlaps with the right-hand cut.



For example, the  $S$ -wave component of the  $Z_c$ -exchange amplitude reads

$$\hat{M}_0^{Z_c,\pi}(s) = -\frac{2\sqrt{M_Y M_\psi} M_{Z_c}}{F^2 \kappa_\pi(s)} C_{Y\psi} \left\{ (s + |\mathbf{q}|^2) Q_0(y(s)) - |\mathbf{q}|^2 \sigma_\pi^2 [y^2(s) Q_0(y(s)) - y(s)] \right\} \quad (16)$$

where  $y(s) \equiv (3s_0 - s - 2M_{Z_c}^2)/\kappa_\pi(s)$ , and  $Q_0(y) = \frac{1}{2} \log(y+1)/(y-1)$ .

Two branch points in  $Q_0(y(s))$ ,

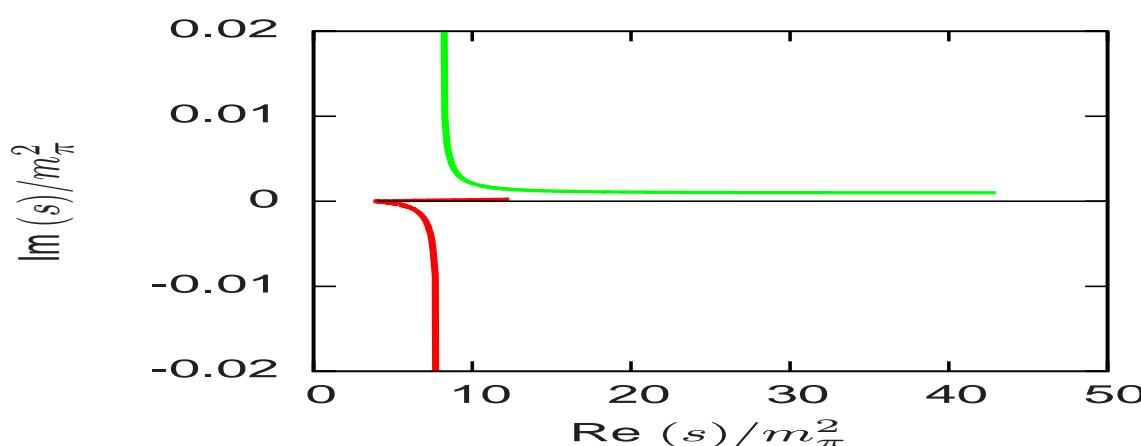
$$\begin{aligned} s_\pm &= \frac{1}{2M_{Z_c}^2} \left\{ (M_Y^2 + M_\psi^2)(m_\pi^2 + M_{Z_c}^2) - M_Y^2 M_\psi^2 - (M_{Z_c}^2 - m_\pi^2)^2 \right. \\ &\quad \left. \pm \lambda^{1/2}(M_Y^2, M_{Z_c}^2, m_\pi^2) \lambda^{1/2}(M_\psi^2, M_{Z_c}^2, m_\pi^2) \right\} \\ &> 4m_\pi^2. \end{aligned} \quad (17)$$

- Solution: using the spectral representation of the resonance propagator, and application of  $q^2 \rightarrow q^2 + i\epsilon$ . [B. Moussallam, EPJC'2013]

$$\widetilde{BW}_R(x) = \frac{1}{\pi} \int_{x_R^{thr}}^{\infty} dx' \frac{Im[BW_R(x')]}{x' - x}, \quad (18)$$

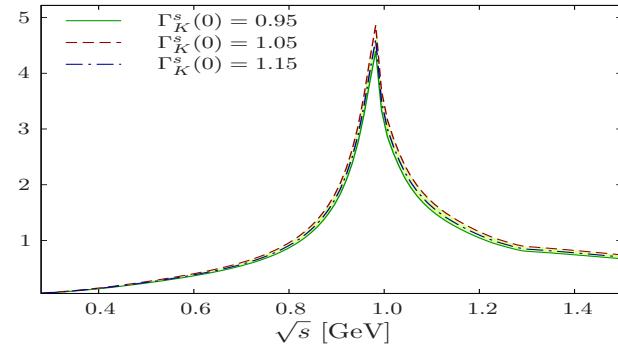
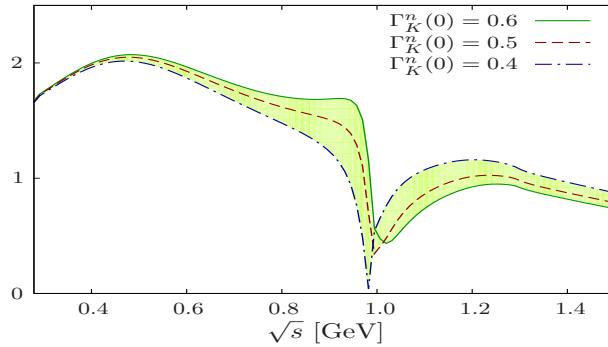
where  $BW_R(x') = (M_R^2 - x' - iM_R\Gamma_R(x'))^{-1}$ , and  $x_{Z_c}^{thr} = (M_\psi + m_\pi)^2$ .

$$\begin{aligned} \hat{M}_0^{Z_c, \pi}(s) &= -\frac{2\sqrt{M_Y M_\psi} M_{Z_c}}{\pi F^2 \kappa_\pi(s)} C_{Y\psi} \int_{x_{Z_c}^{thr}}^{\infty} dx' \frac{M_{Z_c} \Gamma_{Z_c}(x')}{(x' - M_{Z_c}^2)^2 + M_{Z_c}^2 \Gamma_{Z_c}^2(x')} \left\{ (s + |\mathbf{q}|^2) \right. \\ &\quad \left. Q_0(y(s, x')) - |\mathbf{q}|^2 \sigma_\pi^2 \left[ y^2(s, x') Q_0(y(s, x')) - y(s, x') \right] \right\}. \end{aligned} \quad (19)$$

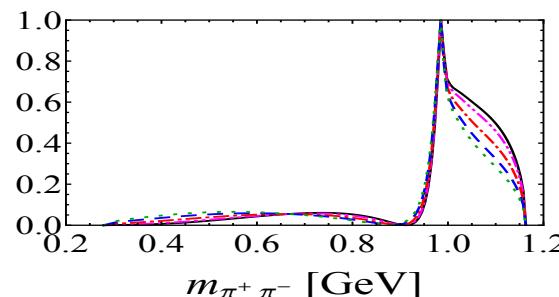
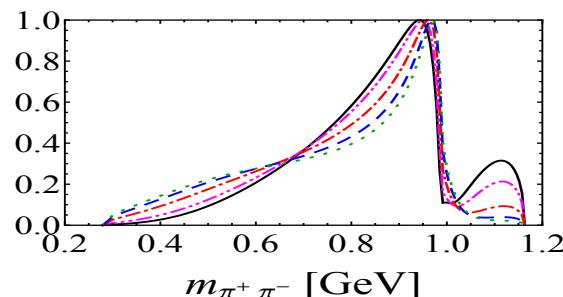
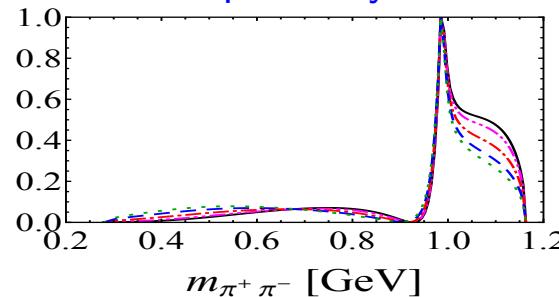
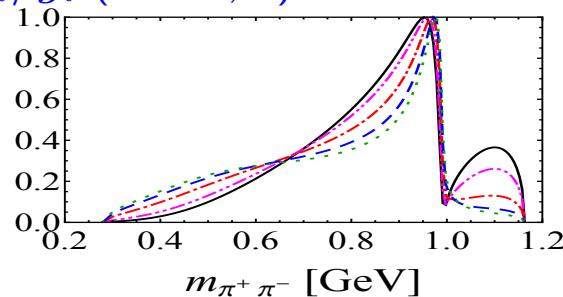


Locus of the branch points  $s_{\pm}(s, x')$ :  
 upper branch lies above the unitarity cut;  
 lower branch crosses the real axis close to but strictly below  $4m_\pi^2$ .

- Modulus of scalar pion nonstrange form factors  $\langle 0 | (\bar{u}u + \bar{d}d) | \pi^+ \pi^- \rangle$  (left) and strange form factors  $\langle 0 | s\bar{s} | \pi^+ \pi^- \rangle$  (right), depicted for three different normalizations.



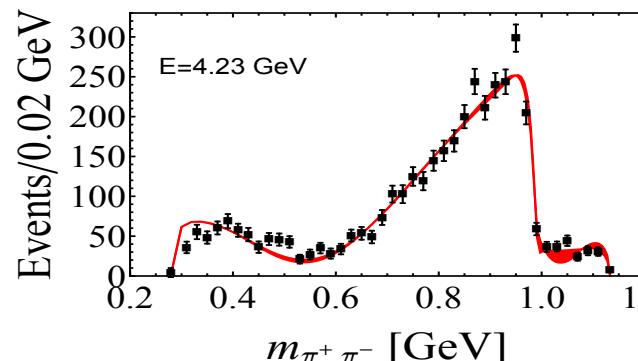
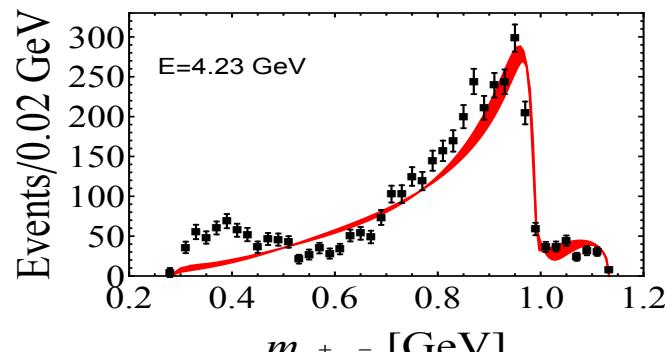
- Shapes of the  $\pi\pi$  mass spectra contributed from SU(3) singlet (left) and octet (right) contact terms using DP (top) or BO (bottom) parametrizations in  $e^+e^- \rightarrow Y(4260) \rightarrow J/\psi \pi^+ \pi^-$ .  $h_i/g_i$  ( $i = 1, 8$ ) fixed at 0.1, 0.3, 1, 3, and 10, respectively.



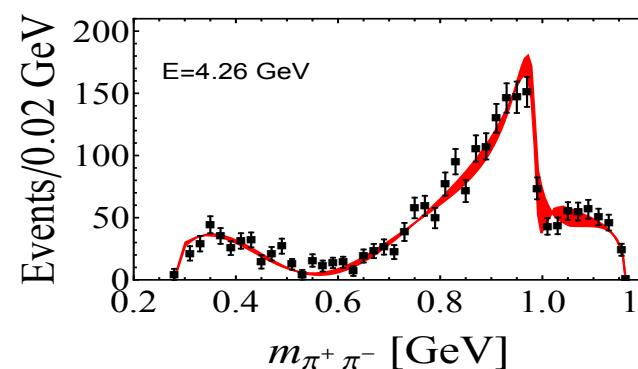
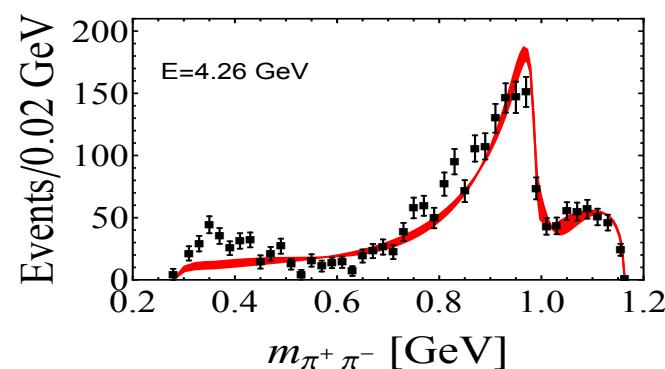
**singlet:** a bump below 1 GeV; around 1 GeV a dip for  $h_1/g_1 \lesssim 1$   
**octet:** little contribution below 0.9 GeV; a sharp peak around 1 GeV.

- Fitting to the BESIII data

Fit results of the  $\pi\pi$  mass spectra in  $e^+e^- \rightarrow J/\psi\pi^+\pi^-$ .



$E = 4.23 \text{ GeV}:$   
**Fit Ia (top left):**  
 Only SU(3) singlet  
**Fit Ib (top right):**  
 SU(3) singlet + octet



$E = 4.26 \text{ GeV}:$   
**Fit IIa (bottom left):**  
 Only SU(3) singlet  
**Fit IIb (bottom right):**  
 SU(3) singlet + octet

|                                                                                               | Experiment      | Fit Ia, DP      | Fit Ib, DP      |
|-----------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| $\frac{\sigma(J/\psi K^+ K^-)}{\sigma(J/\psi \pi^+ \pi^-)} \times 10^2, E = 4.23 \text{ GeV}$ | $6.44 \pm 1.15$ | $7.82 \pm 0.83$ | $7.75 \pm 1.10$ |
|                                                                                               | Experiment      | Fit IIa, DP     | Fit IIb, DP     |
| $\frac{\sigma(J/\psi K^+ K^-)}{\sigma(J/\psi \pi^+ \pi^-)} \times 10^2, E = 4.26 \text{ GeV}$ | $4.99 \pm 1.10$ | $4.46 \pm 0.82$ | $4.67 \pm 0.98$ |

Table 1: Fit parameters using the DP  $T$ -matrix parametrization.

|                               | Fit Ia, DP                     | Fit Ib, DP                    | Fit IIa, DP                   | Fit IIb, DP                  |
|-------------------------------|--------------------------------|-------------------------------|-------------------------------|------------------------------|
| $g_1 [GeV^{-1}]$              | $-0.29 \pm 0.04$               | $1.87 \pm 0.13$               | $0.21 \pm 0.04$               | $-0.99 \pm 0.11$             |
| $h_1 [GeV^{-1}]$              | $-0.29 \pm 0.02$               | $-0.31 \pm 0.06$              | $-0.32 \pm 0.02$              | $0.03 \pm 0.04$              |
| $g_8 [GeV^{-1}]$              | 0 (fixed)                      | $1.25 \pm 0.11$               | 0 (fixed)                     | $-1.18 \pm 0.03$             |
| $h_8 [GeV^{-1}]$              | 0 (fixed)                      | $-1.96 \pm 0.10$              | 0 (fixed)                     | $1.70 \pm 0.18$              |
| $C_{Y\Psi}^{Z_c} \times 10^2$ | $0.7 \pm 0.6$                  | $2.0 \pm 0.8$                 | $4.6 \pm 0.3$                 | $6.9 \pm 0.3$                |
| $C_{Y\Psi}^{loop} [GeV^{-3}]$ | $4.5 \pm 1.0$                  | $38.8 \pm 2.5$                | $12.5 \pm 0.8$                | $-19.4 \pm 2.1$              |
| $\chi^2/\text{d.o.f.}$        | $\frac{405.1}{(44-4)} = 10.13$ | $\frac{102.1}{(44-6)} = 2.69$ | $\frac{182.7}{(46-4)} = 4.35$ | $\frac{63.9}{(46-6)} = 1.60$ |

- Ratio of the SU(3) octet component relative to the SU(3) singlet component:
  - In the  $\bar{D}D_1$  hadronic molecule scenario of  $Y(4260)$ :  $1/\sqrt{2}$  since  $|Y(4260)\rangle = \frac{1}{2}[|D_1^0\bar{D}^0\rangle + |D_1^+\bar{D}^-\rangle] + \text{c.c.}$ , from which the light-quark component  $|u\bar{u} + d\bar{d}\rangle/\sqrt{2} = (\sqrt{2}V_1^{light} + V_8^{light})/\sqrt{3}$ .
  - Our results in Fit IIb, DP:  $g_8/g_1 = 1.2 \pm 0.2$  and  $h_8/h_1 = 57 \pm 76$

Assuming the strengths of the light-quark components from the  $\bar{D}D_1$  hadronic molecule and the other SU(3) singlet source, e.g., from  $|c\bar{c}\rangle$  or a hybrid, are  $\alpha$  and  $\beta$ , respectively,

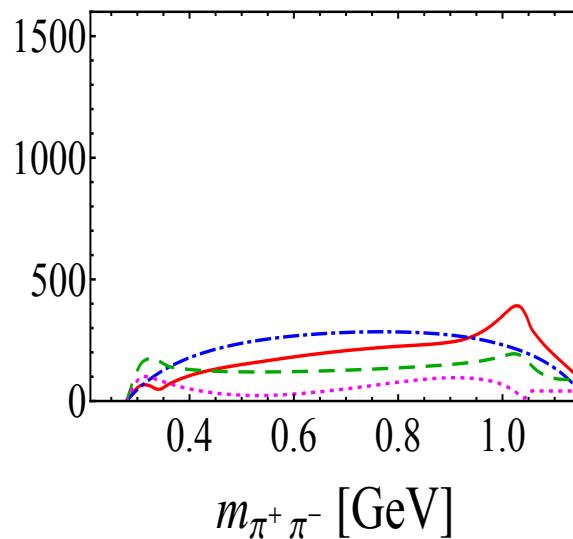
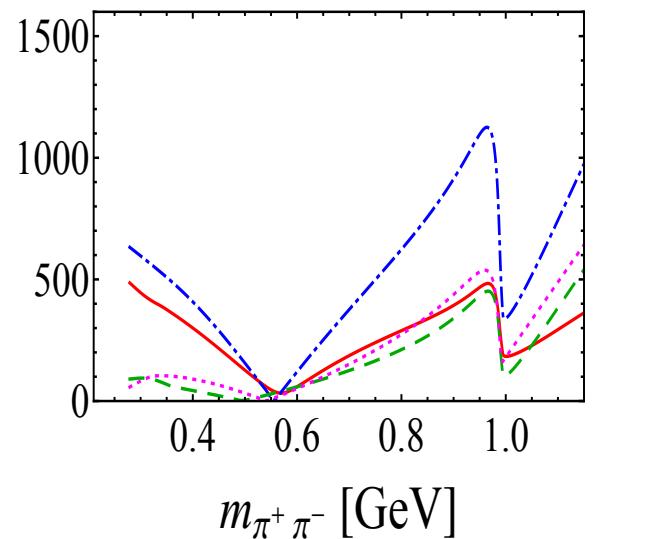
$$\frac{\alpha}{\sqrt{3}} \left( \sqrt{2}V_1^{light} + V_8^{light} \right) + \beta V_1^{light}, \quad (20)$$

we can estimate the ratio of  $\beta/\alpha = -0.30 \pm 0.05$  based on our results of  $g_8/g_1$ .

The  $\bar{D}D_1$  component of the  $Y(4260)$  may not be completely dominant.

$$M_{Y(4260)} - M_D - M_{D_1} \simeq (4220 - 1870 - 2420) \text{ MeV} \simeq -70 \text{ MeV}$$

- Moduli of the *S*- (left) and *D*-wave (right) amplitudes for  $e^+e^- \rightarrow J/\psi\pi^+\pi^-$ .



red: best fit result  
 blue: contact terms  
 green:  $Z_c$ -exchange  
 magenta: triangle diag.

*D*-wave contribution is comparable to the *S*-wave contribution

- $Y(4260)$  cannot be a conventional charmonium state, for which the  $\pi\pi$  *S*-wave should be dominant
- In the  $\bar{D}D_1$  hadronic molecule interpretation, the  $\pi\pi$  *D*-wave emerges naturally since the  $D_1$  decays dominantly into *D*-wave  $D^*\pi$

---

## Conclusions

- Dispersion theory can consider pion-pion final-state interaction in a model-independent way
- $Y(4260)$  contains a large light-quark component, thus it is in all likelihood neither a hybrid nor a conventional charmonium state
- Our findings are consistent with the  $Y(4260)$  having a sizeable  $\bar{D}D_1$  component which, however, is not completely dominant

---

**Thanks for your patience**