

# **Gamma/Proton discrimination for LHAASO-WCDA**

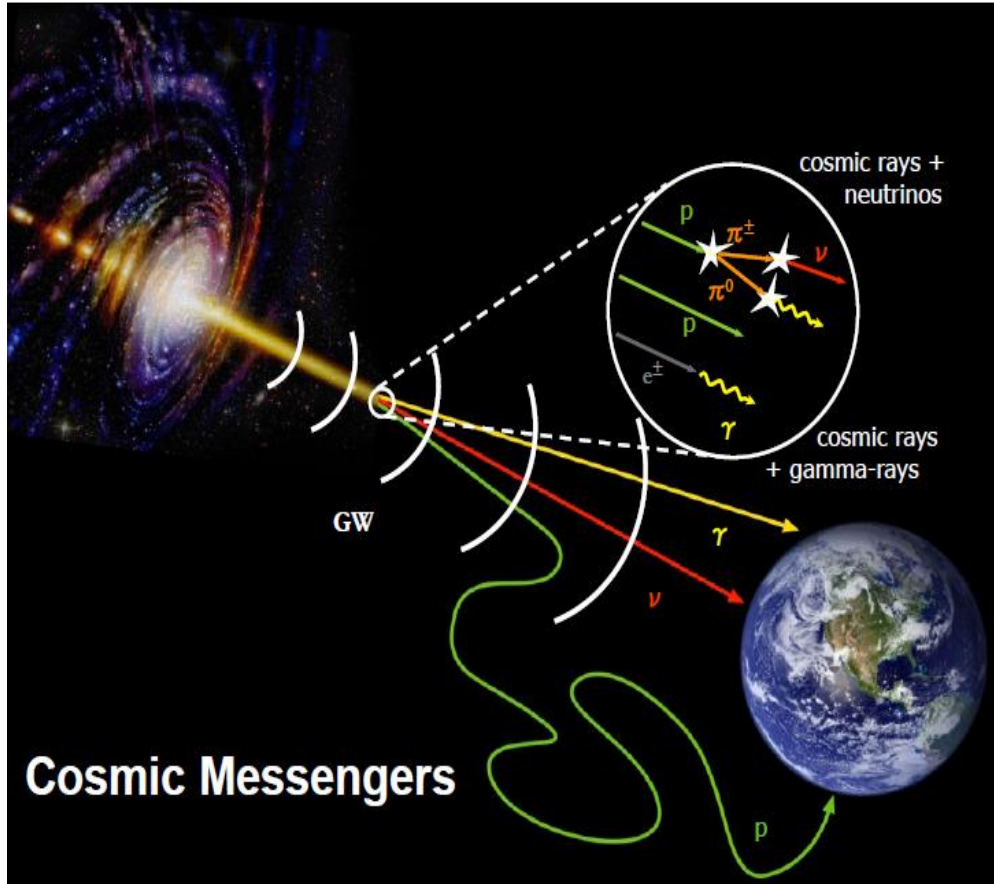
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**Nanjing , 13-15/04/2019**

# Outline

- ◆ Gamma/Proton background introduction
- ◆ Methods
- ◆ Parameters & results
- ◆ Multivariate analysis
- ◆ Summary

# Background



Signal : gamma-ray ;  
background : hadrons ;

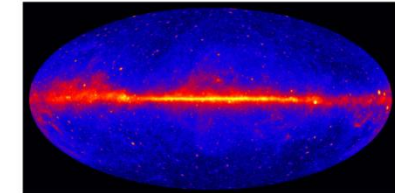
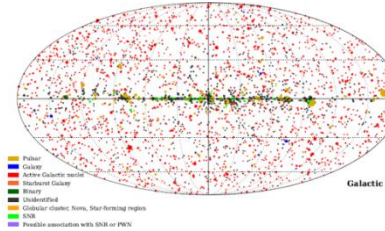


Figure 1.5 Gamma Map > 1 GeV with five years of Fermi-LAT data. Image credit: NASA (see Appendix A)

## LHAASO-WCDA scientific goals (gamma ray astronomy) :

- VHE  $\gamma$ -ray sources full sky survey (100 GeV - 30 TeV)
  - extragalactic sources/transient sources ;
  - GRB ;
  - galactic sources

➢ full sky ;  
➢ Wide FOV ;  
➢ Low threshold ;  
➢ High sensitivity ;

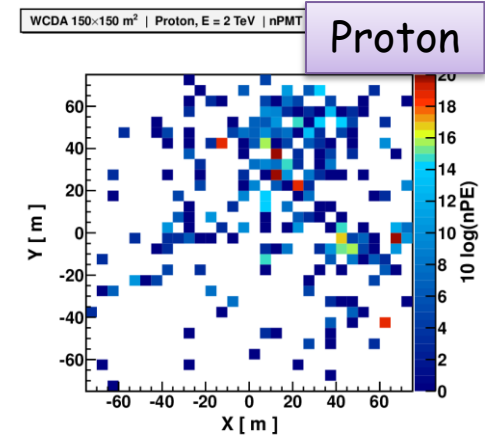
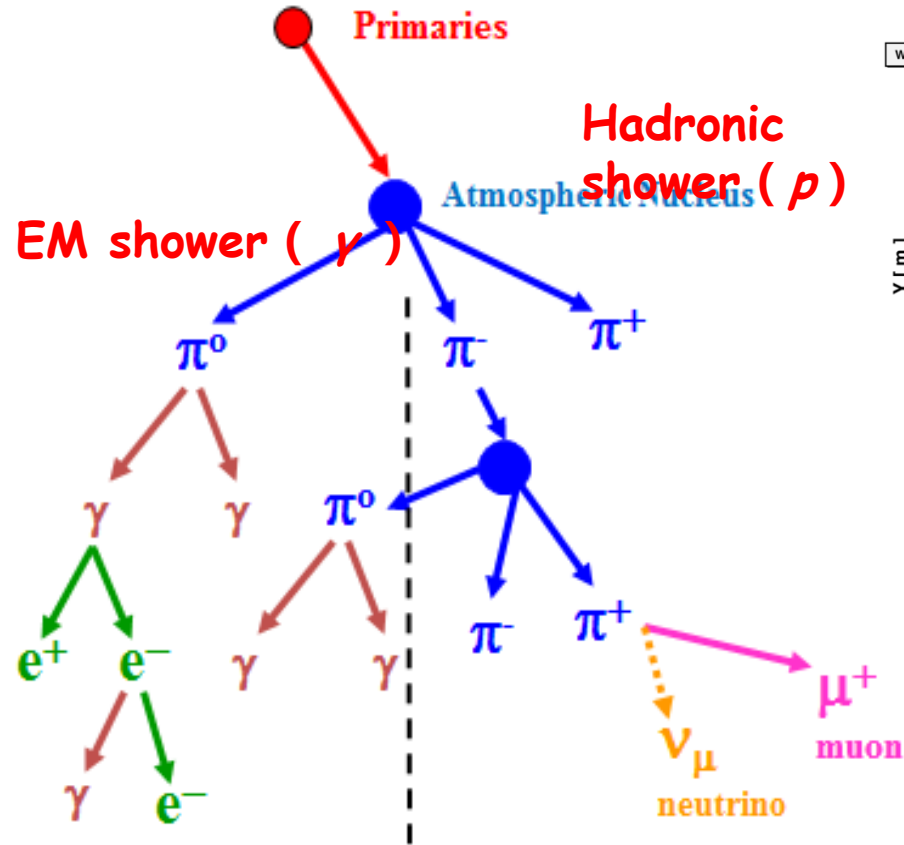
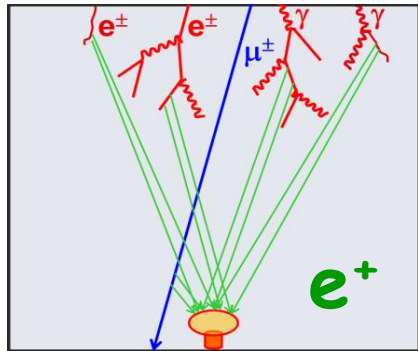
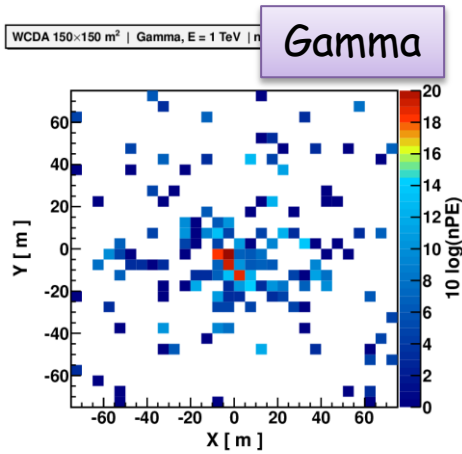
## LHAASO-WCDA :

### Background rejection

( *gamma/proton discrimination* )

is very important

# Mechanism & methods



**Secondary particles :**

**Main compenante :**

**First altitude :**

Single core, concentrated

$e^\pm ; \gamma ;$

Low altitude ;

Sub-core ;

$e^\pm ; \gamma ;$  hadron;  $\mu ;$

High altitude ;

# Sensitive parameters

Take advantages of muons & sub-core

$$1、\text{Compatness}(c) = \frac{nFit}{cxPE_{45}}$$

$nFit$ : number of PMT involved in reconstruction

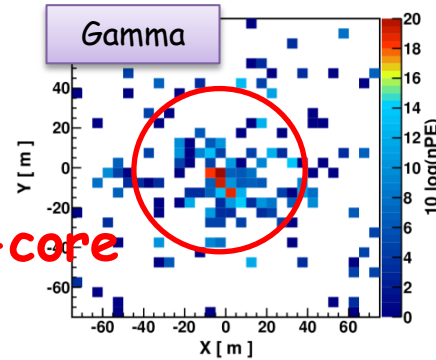
$cxPE_{45}$ : maximum PE count outside the reconstruction core with a distance of 45m ;

$$2、\text{Density out}(\rho_{40}) = \frac{\sum PE_{40}}{\sum PMT_{40}}$$

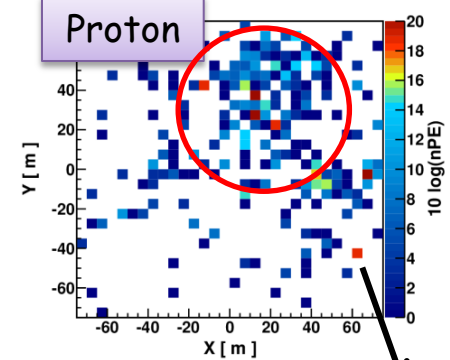
$\sum PE_{40}$ : sum number of nPE outside core within 40m

$\sum PMT_{40}$ : sum number of fired PMTs outside shower core with distance of 40m

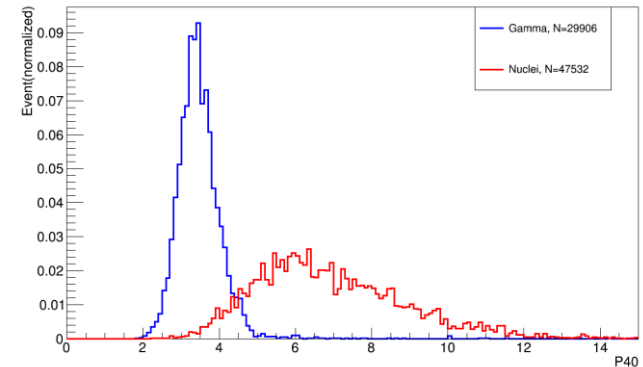
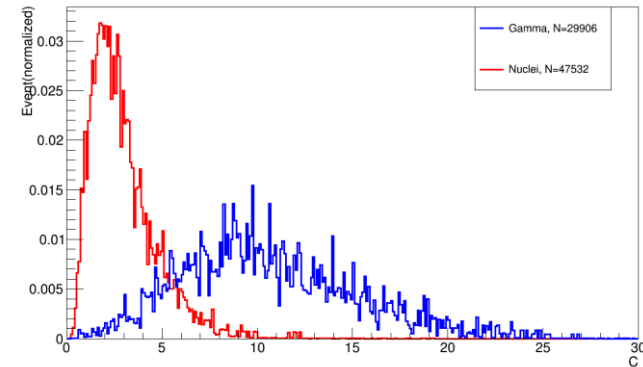
WCDA 150x150 m<sup>2</sup> | Gamma, E = 1 TeV | nPMT = 142



WCDA 150x150 m<sup>2</sup> | Proton, E = 2 TeV | nPMT = 212



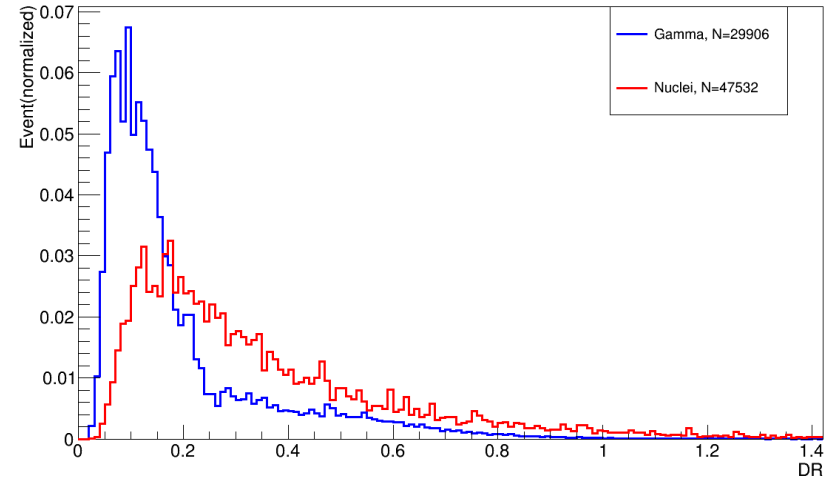
$cxPE_{45}$



# 伽马/质子区分敏感参量

## 3、 Density ratio(DR )

$$DR = \frac{\sum PE_{50} / \sum PMT_{50}}{\sum PE_{10} / \sum PMT_{10}}$$



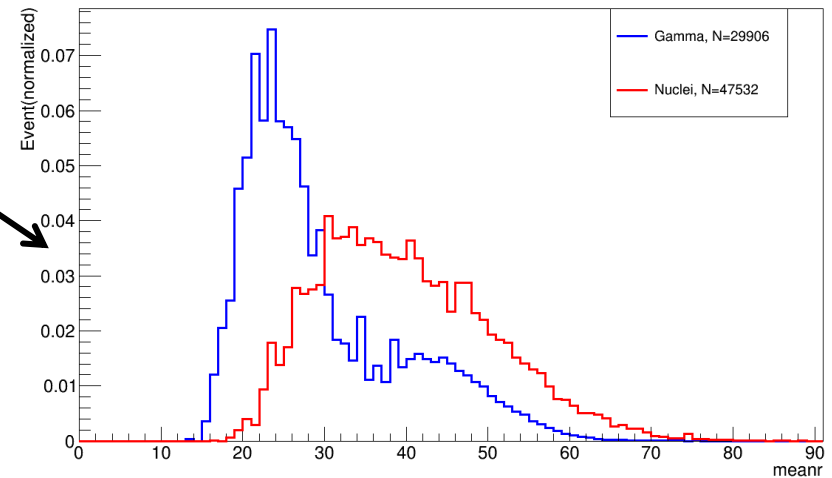
Use the lateral distribution of shower

## 4、 $\langle R \rangle = \frac{\sum PE_i R_i}{\sum PE_i}$

$PE_i$ : number of nPE of the ith fired PMT ;

$R_i$  : distance between the ith fired PMT

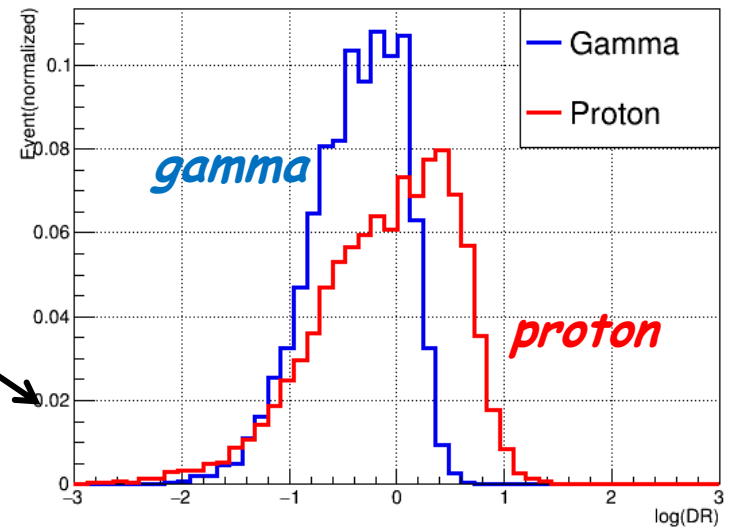
to shower core



# 伽马/质子区分敏感参量

## 5、 r70

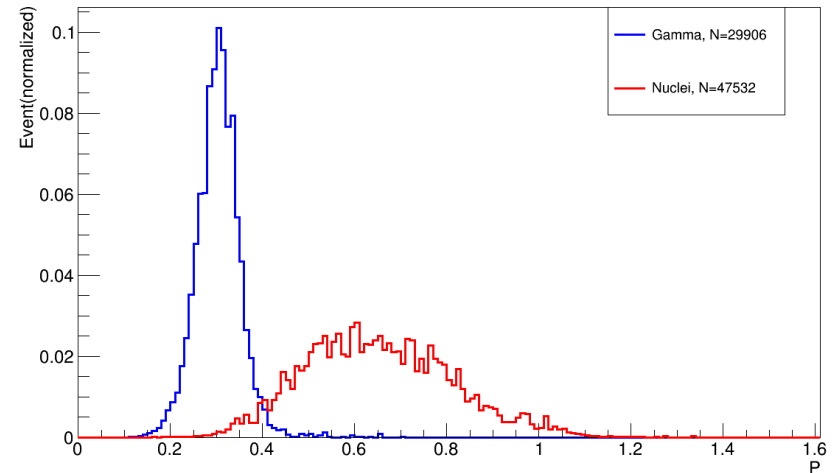
Minimum radius which contained 70% PE charge of the shower



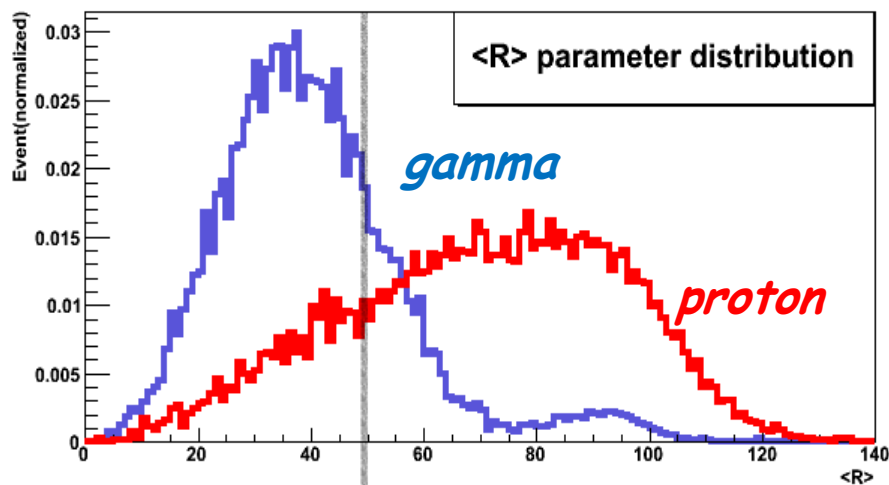
$$6、 P = \frac{1}{N} \sum_{i=0}^N \frac{(\zeta_i - \langle \zeta_i \rangle)^2}{\sigma_{\zeta_i}^2}$$

$$\zeta_i = \log_{10}(Q_{\text{eff},i})$$

$\langle \zeta_i \rangle$ : average  $\zeta_i$  in an annulus



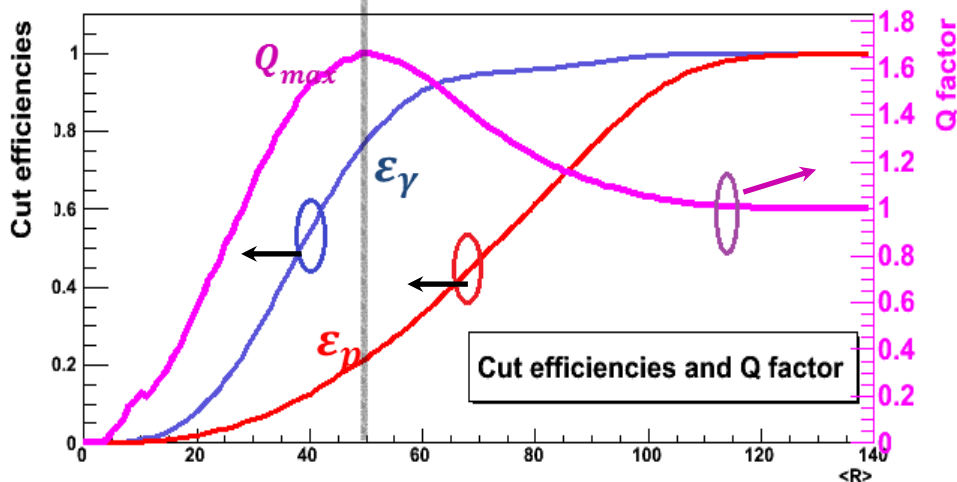
# Judgment parameter——Q factor



$$Q = \frac{\varepsilon_\gamma}{\sqrt{\varepsilon_p}}$$

$\varepsilon_\gamma$ : keeping ratio of gamma

$\varepsilon_p$ : 1-rejection ratio of proton



For example,

$$Q_{max} = 1.68$$

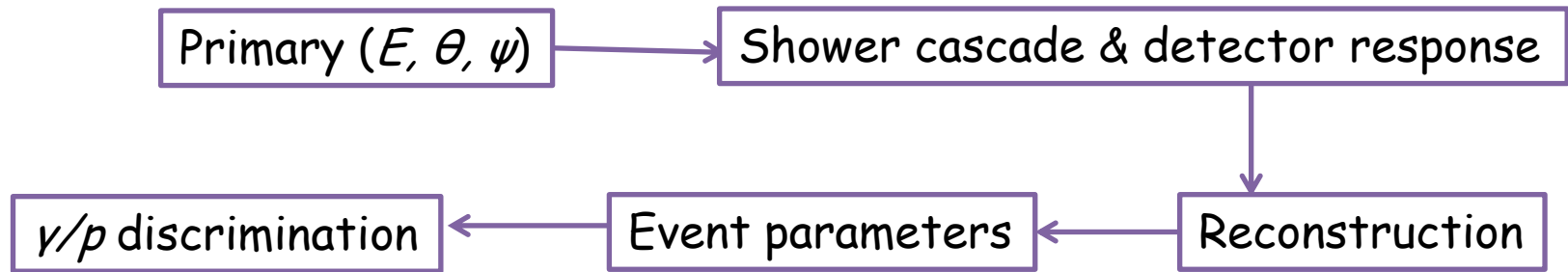
$$\varepsilon_\gamma = 78\%$$

$$\varepsilon_p = 20\%$$

Background rejection ratio is 80% , keeping 78% of signal



# G/P separation procedure



## MC simulation

- ◆ Site: YBJ @ 4300 m a.s.l.
- ◆ Code: Corsika 6720 + QGSJET-II (was EPOS) + GHEISHA
- ◆ Primary: point source ( $\gamma$ )
  - Spectrum & Flux: Crab measured by *HEGRA (astro-ph/0407118)*  $2.05 \cdot 10^{-6} (E/\text{GeV})^{-2.62} \text{ cm}^{-2}\text{s}^{-1}\text{GeV}^{-1}$ .
  - Energy:
    - 6 segments: 10-20-50-100 GeV-1-10-100 TeV
    - Event ratios: 0.05-0.1-0.5-1.0-0.5-1.0
- ◆ Primary: background ( $p$ )
  - Spectrum & flux: *J.R. Hoerandel, Astroparticle Physics 19 (2003) 193-220*
  - Energy: same, but min energy = min(10, 1.1 A).
- ◆ Energy cuts:
  - 50 (hadron), 50 (muon), 0.3 (electron), 0.3 (photon / pion) MeV

## Event cuts condition

cuts :

- ◆ reconstruction core fall
  - in  $150 \times 150 \text{ m}^2$
- ◆  $rec\theta$  :  $0 \sim 45^\circ$
- ◆  $rec\psi$  :  $0 \sim 360^\circ$
- ◆ Gamma keeping ratio  $> 50\%$

# Single parameter result

	$P$	$C$	$\langle R \rangle$	DR
Q因子	2.91	3.25	1.22	1.04
$\epsilon_\gamma$	67.65 %	66.02 %	59.33 %	91.42 %
$\epsilon_p$	5.4%	4.1%	23.6%	76.0%

Energy =  $\sim 2$  TeV

$P$  &  $C$  : rejection ratio 95%

$\langle R \rangle$  & DR : rejection ratio 20%~70%

npmt	E_gam	$\langle R \rangle$	DR	Compactness	Pincness
10-47	189.017	1.00002 99.9679 99.9319	0.999931 99.9797 99.9732	1.04423 73.8223 49.9789	1.0217 97.6283 91.3077
47-71	543.876	1.04676 91.3689 76.191	1.00035 99.8994 99.7294	1.48189 76.9148 26.9395	1.21538 85.9487 50.0097
71-112	860.003	1.09559 82.3736 56.5296	1.00004 99.8885 99.7699	1.87352 74.8762 15.9724	1.4466 80.4579 30.9343
112-172	1341.22	1.1621 66.3033 32.5524	1.02324 93.1197 82.8194	2.59154 63.0289 5.91511	1.95906 75.2315 14.747
172-254	2165.21	1.22231 59.3376 23.5665	1.04885 91.4216 75.9745	3.24649 66.0249 4.13606	2.90978 67.6586 5.40663
254-354	3609.94	1.35336 50.7232 14.047	1.14121 50.5401 19.6128	4.46821 51.0002 1.30279	4.16882 77.2624 3.43487
354-467	5591.14	1.74479 50.2809 8.30457	1.48468 52.3975 12.4554	7.71384 50.0282 0.420619	8.76408 65.6019 0.560299
467-581	8881.78	1.84221 52.7288 8.19248	1.59034 52.2343 10.7878	5.71224 51.2606 0.805292	18.2973 50.4109 0.0759058
581-680	15867.2	1.94035 51.0675 6.92676	1.39635 60.211 18.5936	5.44534 56.1482 1.06322	9.87803 76.8524 0.605304
680-900	27195.7	1.73014 51.9086 9.00148	1.34749 58.9049 19.1095	5.58073 51.7693 0.860523	4.40111 69.5774 2.49926

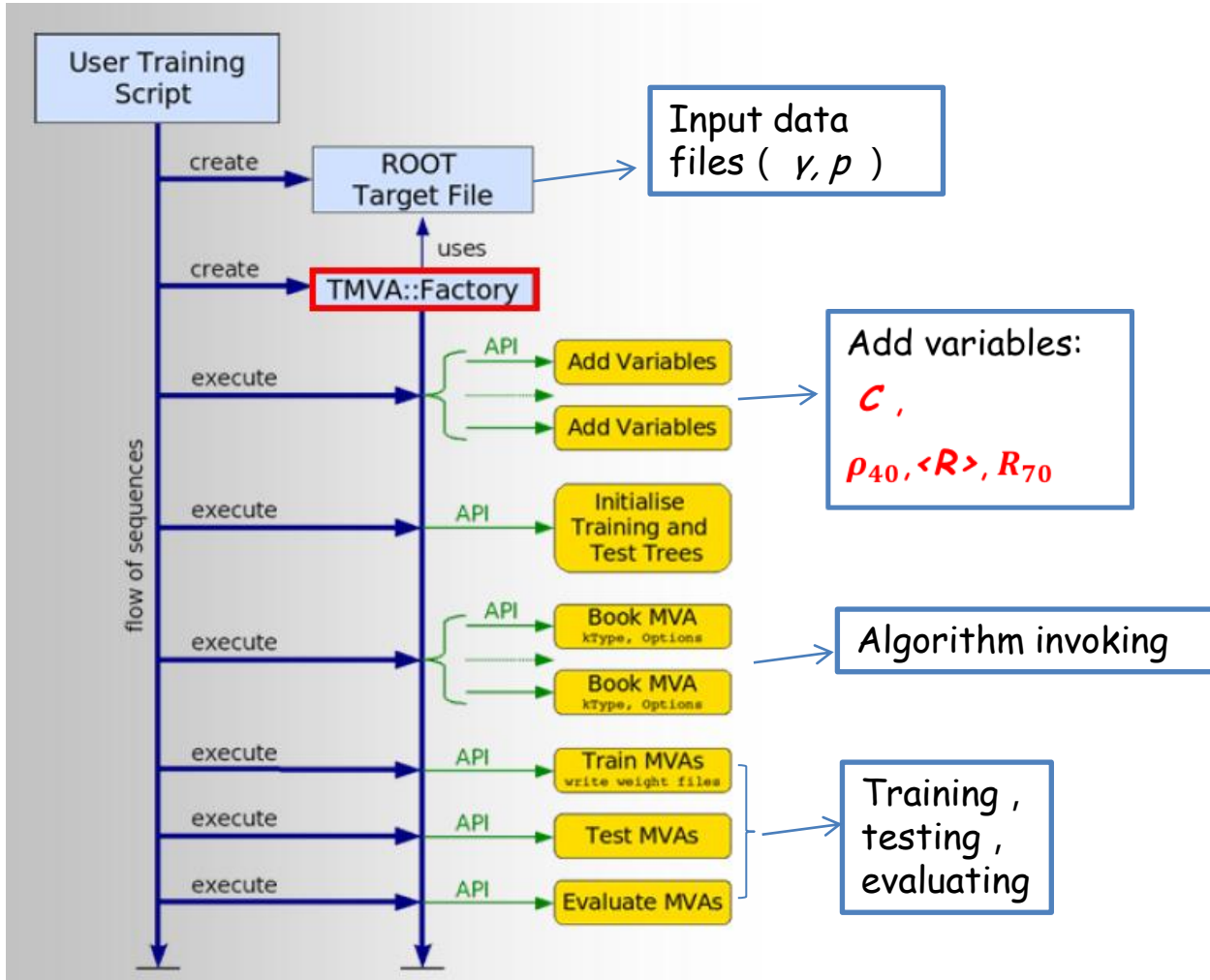
**weakness : poor performance at low energy range ( $< 2$ TeV)**

# Multivariate analysis

ROOT toolkit (v5.14) — **TMVA**

# TMVA

## 分析步骤：



TMVA:

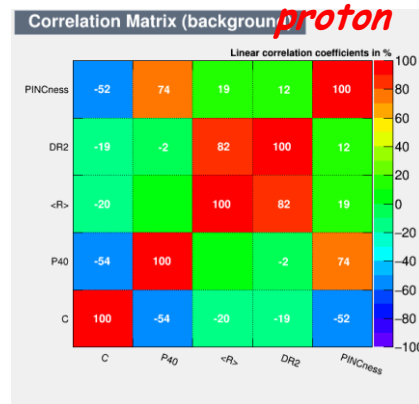
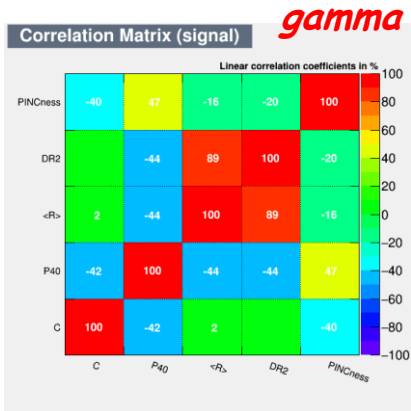
Toolkit for Multivariate Data Analysis with ROOT

## Algorithms :

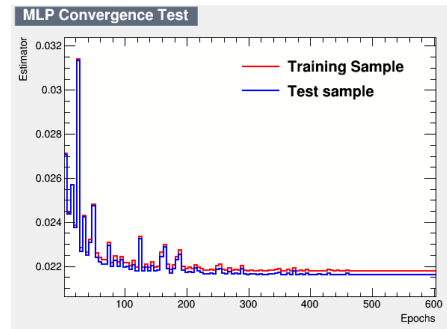
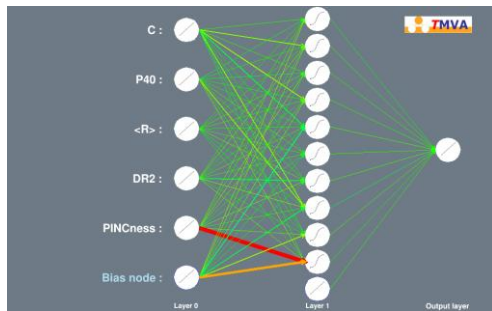
- Artificial Neural Network (ANN)
- Boosting decision trees (BDTG)

# Artificial Neural Network (ANN)

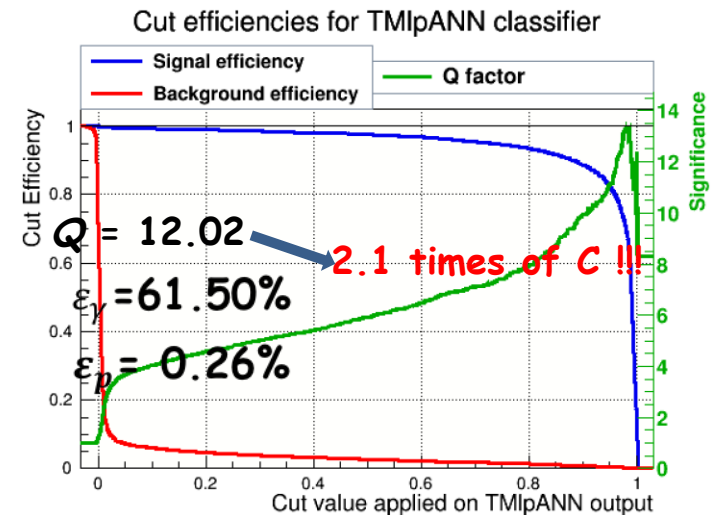
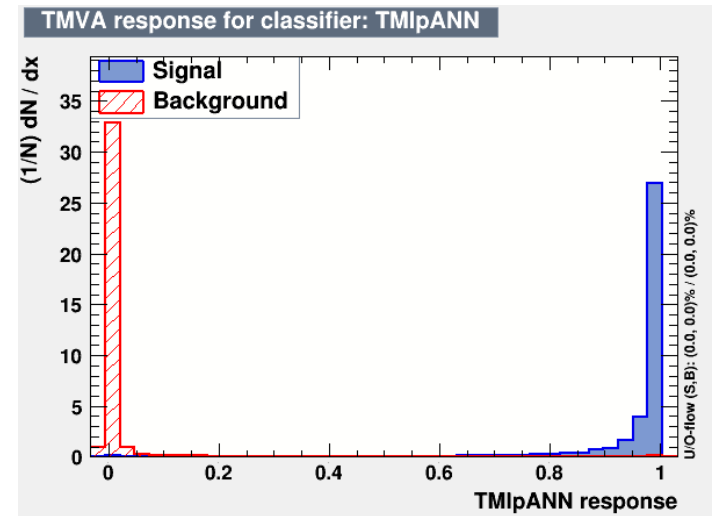
1、 input variable correlations



2、 neural network layout & convergence test

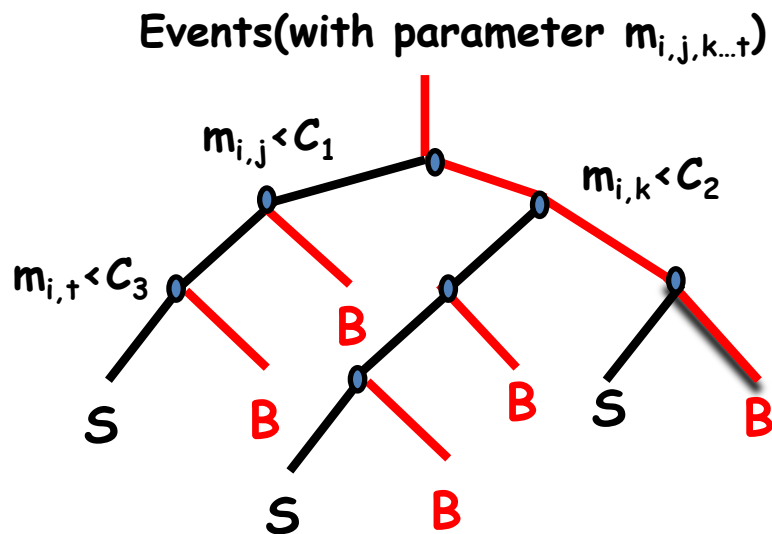


3、 ANN result ( 467<math>n\_{pmt}</math>×581 )



**C parameter** {  
 $Q = 5.71$   
 $\epsilon_{\gamma} = 51.26\%$   
 $\epsilon_p = 0.81\%$

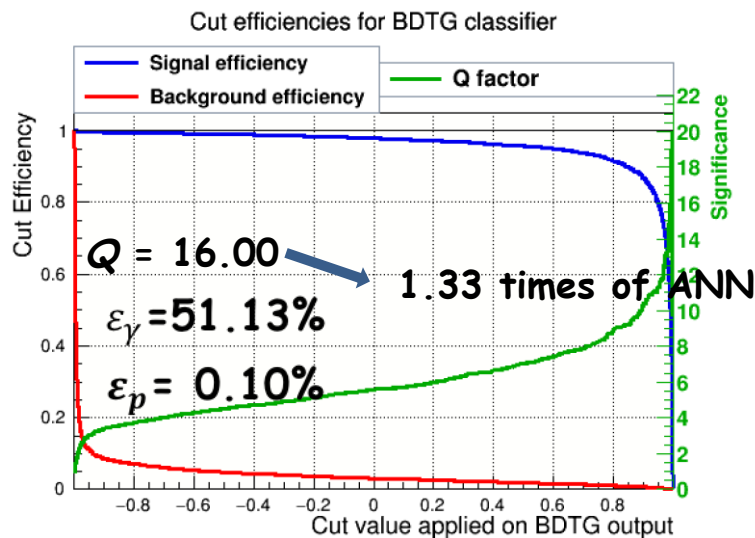
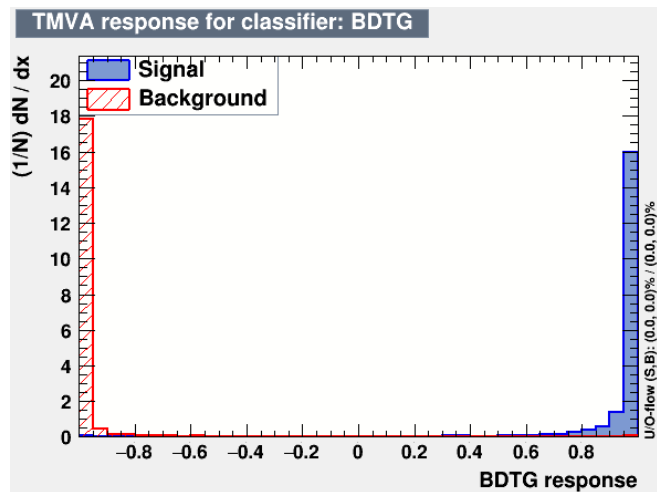
# 决策树多参数分析结果



决策树示意图

ANN {  $Q = 12.02$   
 $\epsilon_\gamma = 61.50\%$   
 $\epsilon_p = 0.26\%$

BDTG result (  $467 < n_{pmt} < 581$  )





# Single parameter v.s. multivariate analysis

## Single parameter:

1.04423	73.8223	49.9789	1.0217	97.6283	91.3077
1.48189	76.9148	26.9395	1.21538	85.9487	50.0097
1.87352	74.8762	15.9724	1.4466	80.4579	30.9343
2.59154	63.0289	5.91511	1.95906	75.2315	14.747
3.24649	66.0249	4.13606	2.90978	67.6586	5.40663
4.46821	51.0002	1.30279	4.16882	77.2624	3.43487
7.71384	50.0282	0.420619	8.76408	65.6019	0.560299
5.71224	51.2606	0.805292	18.2973	50.4109	0.0759058
5.44534	56.1482	1.06322	9.87803	76.8524	0.605304
5.58073	51.7693	0.860523	4.40111	69.5774	2.49926

## Multivariate:

1.45301	0.579734	0.159191	1.53959	0.551961	0.12853
1.80456	0.626471	0.12052	1.98333	0.654642	0.108948
2.32802	0.605486	0.0676449	2.53814	0.625198	0.060674
3.29678	0.560947	0.028951	3.59167	0.559532	0.0242693
4.93119	0.521227	0.0111725	5.26314	0.595072	0.0127835
7.24098	0.501201	0.00479103	8.09207	0.502552	0.00385693
9.61128	0.554136	0.00332408	11.1532	0.501237	0.00201969
12.0243	0.61504	0.0026163	16.0013	0.511289	0.00102099
11.8993	0.763823	0.00412041	14.5283	0.515502	0.00125901
11.8684	0.691859	0.00339821	16.7056	0.587248	0.00123571

Multivariate analysis has  
higher background rejection  
ratio---higher Q value

# ANN v.s. BDTG method

npmt		MLPANN			BDTG		
10-47	189.017	1.45301	0.579734	0.159191	1.53959	0.551961	0.12853
47-71	543.876	1.80456	0.626471	0.12052	1.98333	0.654642	0.108948
71-112	860.003	2.32802	0.605486	0.0676449	2.53814	0.625198	0.060674
112-172	1341.22	3.29678	0.560947	0.028951	3.59167	0.559532	0.0242693
172-254	2165.21	4.93119	0.521227	0.0111725	5.26314	0.595072	0.0127835
254-354	3609.94	7.24098	0.501201	0.00479103	8.09207	0.502552	0.00385693
354-467	5591.14	9.61128	0.554136	0.00332408	11.1532	0.501237	0.00201969
467-581	8881.78	12.0243	0.61504	0.0026163	16.0013	0.511289	0.00102099
581-680	15867.2	11.8993	0.763823	0.00412041	14.5283	0.515502	0.00125901
680-900	27195.7	11.8684	0.691859	0.00339821	16.7056	0.587248	0.00123571

➤ BDTG is better than ANN



# Running time

## ANN

Elapsed time for training with 185993 events: 767 sec

Elapsed time for evaluation of 185993 events: 0.515 sec

## BDTG

Elapsed time for training with 185993 events: 222 sec

Elapsed time for evaluation of 185993 events: 0.476 sec

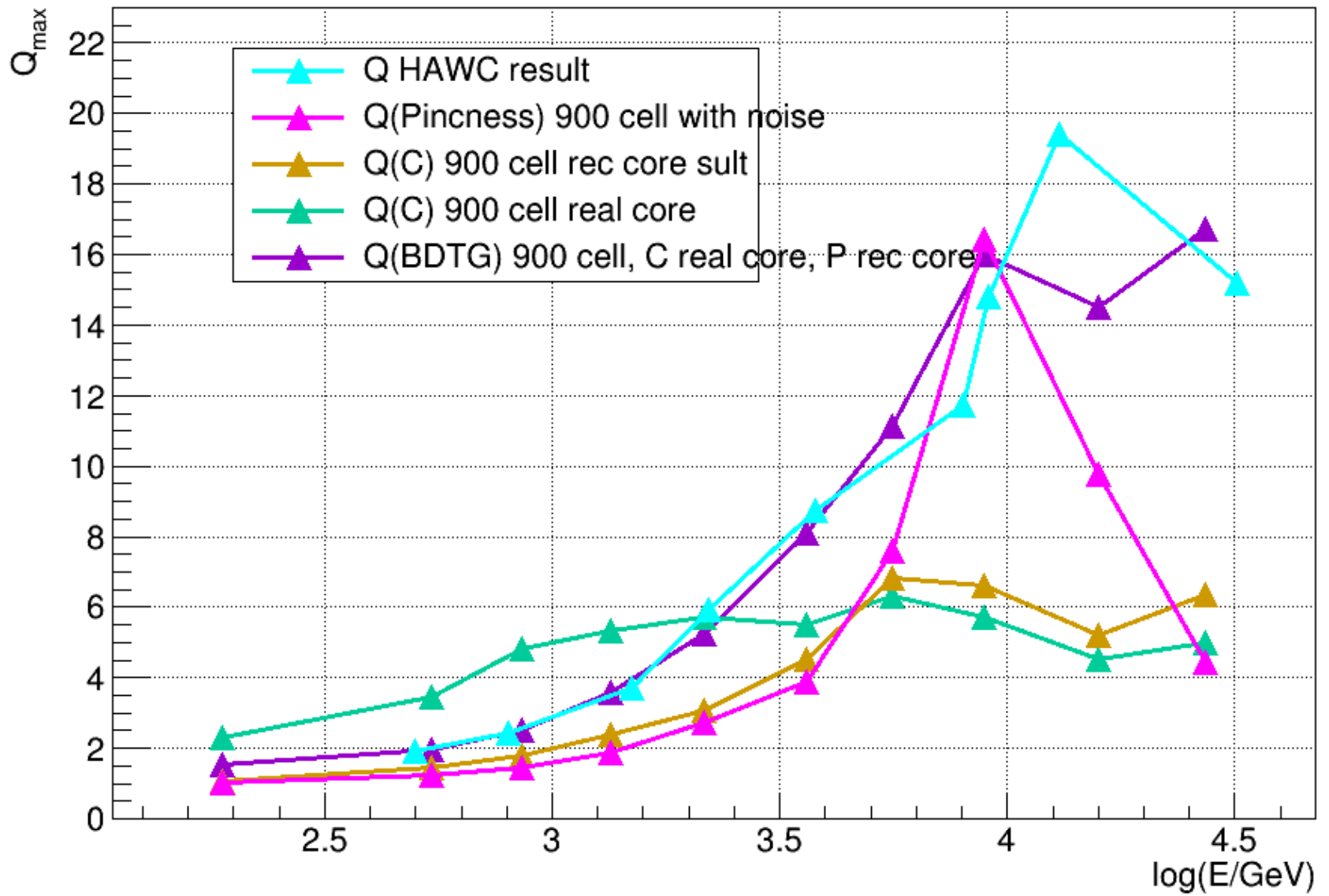
More events ,  
Longer time.

## Comparison :

Training time  $\gg$  test and evaluate time

ANN  $\gg$  BDTG

# Graph



# Summary

- ◆ LHAASO-WCDA can separate gamma/proton well.
- ◆ Energy  $>2\text{TeV}$ : *Q factor* of *C* & *P*  $> 3$  , 排除85%背景事例。
- ◆ Multivariate analysis can improve *Q* factor value obviously.
- ◆ There are still some problems need to be resolved
- ◆ BDTG is better than ANN both in performance and running velocity.

THANK YOU !

# 人工神经网络及决策树优缺点

## 优点

- 分类的准确度高
- 并行分布处理能力强
- 联想记忆的功能
- 对噪声神经的自适应、自组织性及容错性能力强

## 人工神经网络

## 缺点

- **较长的收敛和训练时间**
- 黑盒，内部规则可理解性差
- 性能依赖网络结构设计和学习算法

## 决策树 ( BDTG )

- 精度较高
- **数据训练和构造时间短**
- 白盒，数据规则可视化，容易理解
- 忽略数据集中属性之间的相关性
- 各类别样本数量不一致时，结果偏向于更多数值的类型
- 过度拟合
- 多变量组合发现规则
- 不同决策树分支之间的分裂不平滑