Features in the Galactic Cosmic Ray Spectra and their interpretation

Paolo Lipari INFN Roma Sapienza

LHAASO Scientific Observation and Multi-messenger Astronomy Workshop

Chengdu, april 25-27 2019

Measurements of *at the Earth:*

Cosmic Rays

$$\phi_p(E,\Omega)$$
, $\phi_{\text{He}}(E,\Omega)$, ..., $\phi_{\{A,Z\}}(E,\Omega)$

protons+ nuclei

$$\phi_{e^-}(E,\Omega)$$
 electrons

$$\phi_{e^+}(E,\Omega)$$
 $\phi_{\overline{p}}(E,\Omega)$ anti-particles





AMS02 $p e^- e^+ \overline{p}$

CREAM p data



angle averaged diffuse Galactic gamma ray flux (Fermi)



"striking" qualitative features that "call out" for an explanation

4 spectra have approximately the same slope

[A] *Proton* and *electron* spectra are very different.
[a1] much smaller e- flux
[a2] much *softer* electron flux
[a3] evident "break" at 1 TeV in the (e⁺ + e⁻) spectrum

[B] positron and antiproton for (E> 30 GeV) have the same power law behavior and differ by a factor 2 (of order unity)



Systematic uncertainties in VERITAS data





Detection of a "softening feature" in the positron spectrum

AMS02 Collaboration "Towards Understanding the Origin of Cosmic-Ray Positrons," Phys. Rev. Lett. **122**, no. 4, 041102 (2019).



Detection of a "break" in the positron spectrum

Detection of a "softening feature" in the positron spectrum



Questions:

Note : Main source of TeV electrons is not equal to the positrons source

(1) Is the e+ suppression an exponential cutoff?

(2) Are the spectral structures fore- and e+ related or independent ?

Energy Loss

Important for e+- at high energy

Synchrotron radiation Compton scattering strongly depend on the particle mass

quadratic in energy

$$-\frac{dE}{dt} \propto \frac{q^4}{m^4} E^2$$

$$T_{\rm loss}(E) = \frac{E}{|dE/dt|} \simeq \frac{1}{bE}$$

Characteristic time for energy loss

$$T_{\rm loss}(E) = \frac{E}{|dE/dt|} \simeq \frac{3 m_e^2}{4 c \,\sigma_{\rm Th} \,\langle \rho_B + \rho_\gamma^*(E) \rangle E}$$
$$\simeq 621.6 \left(\frac{\rm GeV}{E}\right) \left(\frac{0.5 \,\,\rm eV/cm^3}{\rho}\right) \,\,\rm Myr$$

Formation of the Galactic Cosmic Ray spectra (for each particle type) three elements are of fundamental importance:

1. Source spectrum

2. Magnetic confinement (CR residence (escape) time)

- 3. Energy losses (synchrotron + Compton scattering+)
- [4. hadronic + other interactions]

Formation of the Cosmic Rays spectra in the Galaxy:

Simplest Model:[No space variables. The Galaxy is considered
as one single homogeneous volume (or point)]

Equation that describe the CR Galactic population

$$\frac{\partial n(E,t)}{\partial t} = q(E,t) - \frac{n(E,t)}{T_{\rm esc}(E)} + \frac{\partial}{\partial E} \left[\beta(E) \ n(E,t)\right]$$

Three functions of energy/rigidity define completely the model for one particle type

q(E) Source spectrum (stationary)

Escape time

 $T_{\rm esc}(E)$



$$\frac{\partial n(E,t)}{\partial t} = q(E,t) - \frac{n(E,t)}{T_{esc}(E)} + \frac{\partial}{\partial E} \left[\beta(E) \ n(E,t)\right]$$

$$q(E,t) \quad \text{Source} \quad \text{spectrum of cosmic rays}$$

$$T_{\rm esc}(E)$$

$$-\frac{dE}{dt} = \beta(E)$$

Escape time

Rate of energy Loss

Propagation







Idea of very general validity:

The Spectra of electrons and positrons should contain a softening "spectral feature" associated to the energy loss: at a Critical energy E^*

$$T_{\rm esc}(E) \simeq \langle t_{\rm esc}(E) \rangle$$

$$T_{\rm loss}(E) \simeq \frac{E}{\langle |dE/dt| \rangle}$$

$$T_{\rm esc}(E^*) = T_{\rm loss}(E^*)$$

$Diffusion \ Model \ ("minimal version")$



Galaxy modeled as a homogeneous slab of a "diffusive medium" with 2 absorption surfaces

 $z=\pm H~$ (Halo thickness)



Propagation model specified by H + 2 functions

$$D(E) = D_0 E^{\delta}$$

$$\beta(E) = b E^2$$

Average escape time for CR (no energy loss)

$$T_{\rm esc}(E) = \frac{H^2}{2 D(E)} = \langle t_{\rm esc}(E) \rangle$$

$$T_{\rm esc}(E) = T_0 \ E^{-\delta}$$

 $D(E) = D_0 E^{\delta}$

Critical energy
$$E^* = \left(\frac{H^2 b}{2 D_0}\right)^{1/(\delta - 1)}$$

$$T_{\rm esc}(E^*) = T_{\rm loss}(E^*)$$

$$q(E, \vec{x}, t) = q_0 E^{-\alpha} \delta[z]$$

Stationary emission from the Galactic plane



 $\Delta\gamma=\frac{1-\delta}{2}$

Softening break due to propagation

Imprint of the energy losses on the spectral index



 E/E^*



The (Model independent) point : The effects of energy loss during the propagation of electrons and positrons should leave an "imprint" on the spectra: a *softening feature.*

The characteristic energy of the softening has a simple physical meaning: (in good approximation) it is the energy where the Loss-Time is equal to the Escape Time (or age) of the cosmic rays.

$$T_{\rm loss}(E^*) = T_{\rm esc}(E^*)$$

Identification of E^* corresponds to a measurement of the CR residence time

Where is the energy loss softening feature ?



Use the lepton spectra as *"cosmic ray clocks"*

Standard assumption

 $E^* \lesssim 3 \,\,\mathrm{GeV}$

Two possibilities

Imply New source of positrons



Recent AMS02 [79 spectra of e+ and e-] [27 days periods]

What is the shape of the interstellar spectrum ?



Electron spectra at different times

Solar Modulations



Unbroken power law in interstellar space + Force Field Approximation for solar modulations



Positron flux

Possible (and "natural") choice: identification of the sharp softening observed by the Cherenkov telescopes in the spectrum of $(e^+ + e^-)$ as the critical energy

$$E^* = E_{\text{HESS}} \simeq 900 \text{ GeV}$$

$$T_{\text{confinement}}[E \simeq 900 \text{ GeV}] \simeq 0.7 \div 1.3 \text{ Myr}$$

Range depends on volume of confinement



Propagation of positrons and antiprotons is approximately equal for

 $E \lesssim E^* \simeq 900 \text{ GeV}$

Imprints of the

"Granular nature" of the CR sources on the spectra of electrons

How many sources contribute to the Cosmic Ray Flux ?

Assumption, for primary CR (p, e^{-})

The CR sources are "events" point-like and "short-lived" (on Galactic scales) [*Supernova explosions*, Gamma Ray Bursts, Pulsars,]

 $T_{
m sources}$ time between events in the entire Galaxy $T_{
m SNR} pprox 50~{
m yr}$



The source-events that contribute to the CR flux (at the Earth) are those in an energy dependent:

Time intervalT(E) andGalactic VolumeV(E)

Main time scales relevant for Cosmic Ray propagation $T_{\rm esc}(E) \quad T_{\rm loss}(E)$ [relevant for e+-] $T(E) \simeq \min [T_{\rm esc}(E), T_{\rm loss}(E)]$

Volume
$$(E) \simeq \pi R^2(E) h_{\text{disk}}$$

Propagation radius

$$R^2(E) \approx 2 \ D(E) \ T(E)$$

The diffusion coefficient is related to the escape time:

$$H^2 = 2 D(E) T_{\rm esc}(E)$$

H Size of the Cosmic Ray Halo

Energy losses are negligible (e.g. for *protons*)

 $R^2(E) \approx H^2$

$$N_s^p(E) \propto H^2 T_{\rm esc}(E)$$

 $\propto (E^*)^{-(1-\delta)} E^{-\delta}$

Energy losses are dominant "sink" of particles (*electrons* at sufficiently high energy)

$$R^{2}(E) \simeq 2 D(E) T_{\text{loss}}(E)$$

 $\simeq H^{2} \frac{T_{\text{loss}}(E)}{T_{\text{esc}}(E)}$

$$N_s^e(E) \propto R^2(E) T_{\text{loss}}(E)$$
$$\propto H^2 \frac{T_{\text{loss}}^2(E)}{T_{\text{esc}}(E)}$$
$$\propto (E^*)^{1-\delta} E^{-(2-\delta)}$$


Protons (Nuclei)

Numerical example:
$$\delta = 0.4$$

$$N_{\rm sources}(E) \simeq 240 \left[\frac{T_s}{50 \text{ yr}}\right]^{-1} \left[\frac{H}{5 \text{ kpc}}\right]^2 \left[\frac{T_{\rm diff}(10 \text{ GeV})}{10 \text{ Myr}}\right] \left(\frac{E}{\text{PeV}}\right)^{-0.4}$$

Electrons

Numerical example: $\delta = 0.4$ $N_{\text{sources}}^{e^{\mp}}(E) \simeq 8.5 \left[\frac{T_s}{50 \text{ yr}}\right]^{-1} \left[\frac{H}{3 \text{ kpc}}\right]^2 \left[\frac{E^*}{3 \text{ GeV}}\right]^{0.6} \left(\frac{E}{\text{TeV}}\right)^{-1.6}$

Problem of the "Local Sources"

If the CR residence time is long, and therefore the diffusion coefficient is small:

for $E\gtrsim 1~{\rm TeV}$ one expects that only very near sources contribute to the flux.

and therefore:

the spectrum should show evidence for the fact that only very few sources contribute. What happens when only few sources contribute to the flux ?

The flux is generated by an ensemble o of discrete "source events" that are localized ("point like") and last a short time (on Galactic time scales).

$$q_s(E, r, t) = q_0 E^{-\alpha} \delta[t - t_i] \delta[\vec{x} - \vec{r_s}]$$

Each source is defined by two parameters and by its "age" and position

$$\{t_i, \vec{r_s}\}$$
 $\{\mathcal{E}, \alpha\}$ $q_0 \propto \mathcal{E}$

Flux from an " instantaneous explosive) source"

simple diffusive model) $\{D_0, \delta, b, H\}$

$$q_s(E) = q_0 \ E^{-\alpha} \ \delta[t - t_i]$$





Simple analytic expression (limit of negligible escape)

$$\phi_s(E, r, t) = \frac{c}{4\pi} \frac{q_0 E^{-\alpha}}{(2\pi)^{3/2} R^3(E, t)} \exp\left[-\frac{r^2}{2 R^2(E, t)}\right]$$
$$R^2(E, t) = 2 D(E) t \rho(b E t)$$
$$\rho(x) = \frac{1 - (1 - x)^{(1 - \delta)}}{(1 - \delta) x} \qquad 1 \le \rho(x) \le (1 - \delta)^{-1}$$

An ensemble of many such sources all equal to each other uniformly distributed in a thin layer around the Solar system with a constant rate $f = 1/T_s$

Result (neglect escape) in a power law flux:

$$\phi(E) = \frac{c}{4\pi} \frac{k(\alpha, \delta)}{\sqrt{4\pi}} \frac{q_0}{T_s} \frac{1}{\sqrt{D_0 b}} E^{-[\alpha + (1+\delta)/2]}$$

Identical fluxes can be generated by

Many weak sources, or Few strong sources But: *"granularity" effects (discrete sources)* "MonteCarlo study of source configurations

Divide the space time into two regions:

Far, old sources

$$r > r_{\rm cut}$$

$$t > t_{\rm cut}$$

Treated as a continuous "smooth emission"

Near, young sources

$$r < r_{\rm cut}$$

$$t < t_{\rm cut}$$

Treated as individual sources (generating randomly one configuration)



One more randomly generated configuration of sources [2]



One more randomly generated configuration of sources [3]



One more randomly generated configuration of sources [4]



10 configurations [Sum of all contributions]



Conclusion from this numerical study

In the framework of the model described above (short CR lifetime, explosive sources)

It is *very difficult* to explain the observed spectral shape with a sharp break to a steeper power law form

Conclusion from this numerical study

In the framework of the model described above (short CR lifetime, explosive sources)

It is *very difficult* to explain the observed spectral shape with a sharp break to a steeper power law form

Solutions?

[1.] High critical energy (large propagation distance)[2.] Modify the source model

The "Just so" solution to the "local sources problem"

Hypothesis: ONE single log duration source is responsible for the spectral break in the all-electron spectrum

R. López-Coto, R. D. Parsons, J. A. Hinton and G. Giacinti, "An undiscovered pulsar in the Local Bubble as an explanation of the local high energy cosmic ray electron spectrum," arXiv:1811.04123 [astro-ph.HE].

S. Recchia, S. Gabici, F. A. Aharonian and J. Vink, "A local fading accelerator and the origin of TeV cosmic ray electrons," arXiv:1811.07551 [astro-ph.HE]. Emission from a source is extended in time

Simplest hypothesis: a factorized spectrum

$$q_s(E,t) = q_0 E^{-\alpha} F(t-t_i)$$

Time dependence motivated by the PULSAR breaking law

$$q_s(E,t) = q_0 E^{-\alpha} \left[\frac{(p-1)}{\tau} \left[1 + \frac{(t-t_i)}{\tau} \right]^{-p} \right]$$

p = breaking index

$$\int_0^\infty dt \ F(t) = 1$$



Dashed line Instantaneous source



changing source decay time tau:





Possible to match the break with emission from one source



Possible to match the break with emission from one source [can one match the entire spectrum ?]

Note on the solution:

The source distance r enters the flux In the combination:

$$\frac{r^2}{D(E) t}$$

Flux absolute Normalization

$$\propto rac{\mathcal{E}}{(D_0)^3}$$

Infinite identical solutions:

$$\{D_0, r, \mathcal{E}, \ldots\}$$

$$\{D_0', r (D_0'/D_0)^{1/2}, \mathcal{E} (D_0'/D_0)^{3/2}, \dots \}$$

Study ensemble of fading sources



One random Galactic configuration [2]



One random Galactic configuration [e]



Dipole moment of the angular distribution



```
Comments:
```

The "single fading source" is an interesting solution, ... but... requires "significant fine tuning" to generate a spectrum similar to the observed one with no additional structure. [transition many sources \rightarrow one source]

Very important astrophysical implications:

Are Pulsar-like sources the main sources of electrons ?

Do Pulsars accelerate different spectra of e+ and e- ?

What about Supernovae ?

Alternative solution:

Interpret the softening structures in the electron and positron spectra as the signatures of energy losses

Solution allows to "solve" the problem of the "positron excess" [with positrons purely secondary]

But runs in several difficulties

"Conventional mechanism" for the production of positrons and antiprotons:

Creation of secondaries in the inelastic hadronic interactions of cosmic rays in the interstellar medium

$$\begin{array}{c} pp \rightarrow \overline{p} + \dots \\ pp \rightarrow \pi^{+} + \dots \\ \downarrow \rightarrow \mu^{+} + \nu_{\mu} \\ \downarrow \rightarrow e^{+} + \nu_{e} + \overline{\nu}_{\mu} \end{array}$$

$$\begin{array}{c} \text{``Standard mechanism'} \\ \text{for the generation of} \\ \text{anti-protons} \end{array}$$

$$\begin{array}{c} \text{Dominant mechanism} \\ \text{for the generation of} \\ \text{high energy} \\ \text{gamma rays} \end{array}$$

$$\begin{array}{c} pp \rightarrow \pi^{\circ} + \dots \\ \downarrow \rightarrow \gamma + \gamma \end{array}$$

Straightforward [hadronic physics] exercise: $q_i(E, \vec{x}_{\odot})$

- [1] Take spectra of cosmic rays (protons + nuclei) observed at the Earth
- [2] Make them interact in the local interstellar medium (pp, p-He, He-p,...)
- [3] Compute the rate of production of secondaries





There is a simple, natural interpretation that *"leaps out of the slide" :*

- The "standard mechanism of secondary production is the main source of the antiparticles (and of the gamma rays)
- 2. Cosmic rays in the Galaxy (that generate the antiparticles and the photons) have spectra similar to what is observed at the Earth.
- 3. The Galactic propagation effects for positrons and antiprotons are approximately equal
- 4. The propagation effects have only a weak energy dependence.



Weak energy dependence of the propagation effects !

"Standard Scenario" (Long residence time) for CR prop.

- 1. New source of Positrons
- 2. e- and p source spectra have similar shape
- 3. High energy positron suppression (800 GeV) associated to maximum energy of the source [?]
- 4. High energy electron suppression (1000 GeV). Closest source [?]

"Alternative Scenario" (Short residence time) for CR prop.

- 1. Positrons are explained as secondaries
- 2. e- source spectrum softer than the proton one
- 3. High energy supporessions of e- and e+_ Explained as a propagation effect.

How can one discriminate between the two scenarios ?

- 1. Extend measurements of e+- spectra Different cutoffs can confirm the conventional picture
- 2. More precise measuremens of (e+ + e-) spectra in the multi-TeV range
- Extend measurements of secondary nuclei
 [B, Be, Li]. Look for signatures of nuclear fragmentation inside/near the accelerators.
- 4. Study the space and energy distributions of the relativistic e+- in the Milky Way [from the analysis of diffuse Galactic gamma ray flux]
- 5. Develop an understanding of the CR sources Study the populations of e- and p in young SNR (assuming that they are the main sources of CR)

Based in part on:

P. Lipari,

"The spectral shapes of the fluxes of electrons and positrons and the average residence time of cosmic rays in the Galaxy," Phys. Rev. D **99**, no. 4, 043005 (2019) [arXiv:1810.03195 [astro-ph.HE]].

P. Lipari,"Understanding the positron flux" arXiv:1902.06173 [astro-ph.HE]].

P. Lipari,
"Interpretation of the cosmic ray positron and antiproton fluxes,"
Phys. Rev. D 95, no. 6, 063009 (2017)
[arXiv:1608.02018 [astro-ph.HE]].
Conclusions:

An understanding of the origin of the electron, positron and antiproton fluxes is of central importance for High Energy Astrophysics.

This problem touches the *"cornerstones"* of the field and has profound and broad implications

Discovery of Dark Matter !!? Possible antiparticle accelerators Spectra (e and p) released by CR accelerators, Fundamental properties of CR Galactic propagation

Crucial crossroad for the field.

Additional slides

The Logic of the discussion on the positron flux:

$$\phi_j(E) = q_j(E) \ \mathcal{P}_j(E)$$

Flux of particle type j is the source spectrum "distorted" by propagation effect.



Phenomenological observation

 $\frac{\phi_{e^+}(E)}{\phi_{\overline{p}}(E)} \approx \frac{q_{e^+}^{\text{sec}}(E)}{q_{\overline{p}}^{\text{sec}}(E)}$



 $\phi_i(E) = q_i(E) \mathcal{P}_i(E)$

Conventional scenario Positrons have

an "energy loss sink"

 $\mathcal{P}_{e^+}(E) < \mathcal{P}_{\overline{p}}(E)$

Meaningless (but strange) numerical coincidence $[q_{e^+}^{\mathrm{sec}}(E) + q_{e^+}^{\mathrm{new}}(E)] \ \mathcal{P}_{e^+}(E) \approx$



"Natural" explanation

 $\mathcal{P}_{e^+}(E) \approx \mathcal{P}_{\overline{p}}(E)$

 $q_{e^+}(E) \simeq q_{e^+}^{\rm sec}(E)$

 $q_{\overline{p}}(E) \simeq q_{\overline{p}}^{\mathrm{sec}}(E)$

Direct measurement of the cosmic ray "age" unstable isotope Beryllium-10. $(T_{1/2} \simeq 1.51 \pm 0.04 \text{ Myr})$



N.E. Yanasak et al. Astrophys. J. 563, 768 (2001).

Measurements of Beryllium 10

Compare with flux of stable isotopes

Decay suppression: infer residence time

$$\langle P_{\rm surv} \rangle = 0.12 \pm 0.01$$

Estimate of suppression in original paper

Extracting

$$\langle t_{\rm age} \rangle$$



is in general model dependent
[depends on the distribution of the age]

Single age
$$\langle P_{\rm surv} \rangle = e^{-t/ au}$$

Distribution of ages

$$\langle P_{\rm surv} \rangle = \int_0^\infty dt \ F(t, \langle t \rangle) \ e^{-t/\tau}$$

Work of

N.E. Yanasak et al.

Astrophys. J. 563, 768 (2001).

 $E_0 = 70 - 145 \text{ MeV/nucleon}$

$$\langle P_{
m surv}
angle = 0.12 \pm 0.01$$

$$\langle t_{\rm age} \rangle \simeq 15.0 \pm 1.6 \; {\rm Myr}$$

[Leaky Box framework]

Result reinterpreted with longer lifetimes in different frameworks

M. Kruskal, S. P. Ahlen and G. Tarlé,

Astrophys. J. 818, no. 1, 70 (2016)

 $E_0 = 2 \text{ GeV/nucleon}$

very important to confirm !

$$\langle P_{\rm surv} \rangle \approx 1$$

 $\langle t_{\rm age} \rangle \leq 2.0 \ {\rm Myr}$

Much smaller sensitivity to the modeling "theory"



N.E. Yanasak et al.

Astrophys. J. 563, 768 (2001).

M. Kruskal, S. P. Ahlen and G. Tarlé, Astrophys. J. **818**, no. 1, 70 (2016)

Proton versus electron

Acceleration in sources

Cosmic Ray generation

Problem of central importance in High Energy Astrophysics

If: positrons and antiprotons have equal propagation properties.

Then: also electron and protons have also the same propagation properties

But then: why are the electron the proton spectra so different from each other ?!



The *e/p* difference must be generated by the sources

Scheme of a source



Primary Cosmic Rays:

understand the Accelerators

Nearly certainly the accelerators are transients

A single accelerator

t_i	(Accelerator is born)
$t_i + T$	(Accelerator "disappears")

Integrating over its entire lifetime, the Accelerator "releases" in interstellar space populations of relativistic Particles.

$$N_p^{out}(E)$$
 , $N_{e^-}^{out}(E)$, $N_{\rm He}^{out}(E)$,

During its lifetime, $t_i < t < t_i + T$

the accelerator is a gamma ray and neutrino emitter

$$q_{\gamma}(E,t) \quad q_{\nu}(E,t)$$

Infer the populations of relativistic particles inside (or near) the accelerators:

$$N_p^{\rm in}(E,t) \qquad N_{e^-}^{\rm in}(E,t)$$

Far from trivial to relate this information to the CR spectra released in interstellar space $N_p^{\text{out}}(E)$, $N_{e^-}^{\text{out}}(E)$

"Secondary Nuclei"

Li, Be, B

Rare nuclei created in the fragmentation of primary (directly accelerated) more massive nuclei



$$\frac{\text{Boron}}{\text{Carbon}} \approx 0.21 \left(\frac{p/Z}{30 \text{ GV}}\right)^{-0.33}$$

Approximation of constant fragmentation cross sections

Interpretation in terms of Column density $\langle X \rangle \approx 4.7 \left(\frac{p/Z}{30 \text{ GV}} \right)^{-0.33} \frac{\text{g}}{\text{cm}^2}$

[Assuming that the column density is accumulated during *propagation in interstellar space*]

$$\langle T_{\rm age} \rangle \simeq 30 \ {\rm Myr} \left[\frac{0.1 \ {\rm g \ cm^{-3}}}{\langle n_{\rm ism} \rangle} \right] \left(\frac{|p/Z|}{30 \ {\rm GV}} \right)^{-0.33}$$

Residence time inferred from B/C ratio assuming that the column density crossed by the nuclei is accumulated in interstellar space

is inconsistent [as it is too long] with the hypothesis that the energy losses of e^{\pm} are negligibly small.

Possible solutions

- 1. [Energy dependence of fragmentation Cross sections]
- 2. Most of the column density inferred from the B/C ratio is integrated not in interstellar space but inside or in the envelope of the sources [Cowsik and collaborators]

