

Non-perturbative Renormalization

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First consider just the renormalization of the coupling, set

$$m_i = 0.$$

Properties of a renormalised coupling

- a finite: $g = f(g_0, \mu a)$, such that $\lim_{a \rightarrow 0} G_0(qa, g_0)|_g$ exists
- b gauge invariant (physical)

most natural

$$\begin{aligned} G_0 &= G_0(qa, g_0) \\ \rightarrow G_R &= G_R(q/m_{\text{proton}}, qa), \quad am_{\text{proton}} = f(g_0) \\ G_R^{\text{cont}} &= G_R(q/m_{\text{proton}}, 0) \end{aligned}$$

“hadronic scheme”

- ▶ but we want a **coupling**, i.e. the relation to the Λ - parameter, the relation to perturbative QCD

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c

$$g_{\text{NP}}^2 \stackrel{g_{\text{lat}} \rightarrow 0}{\sim} g_{\text{lat}}^2 \chi_g^{\text{NP, lat}}(g_{\text{lat}})$$

$$\chi_g^{x,y}(g) = 1 + \chi_{g,1}^{x,y} g^2 + \dots$$

↑

convention, good idea

d It depends on a single scale μ → RGE!

For $\mu \rightarrow \infty$ it is purely short distance

Take $G_0(\mu a, g_0)$ dimensionless (in the massless theory) satisfying **a,b,d**.

G_0 has a regular PT

$$G_0(qa, g_0) = G_0^{(0)}(qa) + G_0^{(1)}(qa)g_0^2 + G_0^{(2)}(qa)g_0^4 + \dots$$

lat scheme: $g_0^2 = g_{\text{lat}}^2 + 2b_0 \ln(a\mu)g_{\text{lat}}^4 + \dots$

$$\rightarrow G_0 = G_{\text{R}} = G_{\text{R}}^{(0)}(qa) + G_{\text{R}}^{(1)}(qa)g_{\text{lat}}^2 + G_{\text{R}}^{(2)}(q/\mu, qa)g_{\text{lat}}^4 + \dots$$

$$i = 0, 1 : G_{\text{R}}^{(i)}(qa) = G_0^{(i)}(qa) = C^{(i)} + O(a^2 q^2) \quad (*)$$

$$G_{\text{R}}^{(2)}(q/\mu, qa) = G_0^{(2)}(qa) + 2b_0 \ln(a\mu)G_0^{(1)}(qa) \\ = H(qa) + 2b_0 \ln(\mu/q)G_0^{(1)}(qa) \quad (*)$$

$$H(qa) = C^{(2)} + O(a^2 q^2) \quad (*)$$

(*): the continuum limit exists

Nonperturbative Renormalization

Generic definition of a renormalized coupling

Set $\mu = q$:

$$\begin{aligned}
 G_0(\mu a, g_0^2) &= G_R^{(0)}(\mu a) + G_R^{(1)}(\mu a) g_{\text{lat}}^2(\mu) + G_R^{(2)}(1, \mu a) g_{\text{lat}}^4(\mu) + \dots \\
 &= G_0^{(0)}(\mu a) + G_0^{(1)}(\mu a) g_{\text{lat}}^2(\mu) + \underbrace{G_R^{(2)}(1, \mu a)}_{C^{(2)} + O(a^2 q^2)} g_{\text{lat}}^4(\mu) + \dots
 \end{aligned}$$

then

$$\bar{g}_G^2(\mu) \equiv \frac{G_0(\mu a, g_0^2) - G_0^{(0)}(\mu a)}{G_0^{(1)}(\mu a)}$$

satisfies **a,b,c,d**

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 \end{aligned}$$

then

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satisfies **a,b,c,d**

“physical” coupling

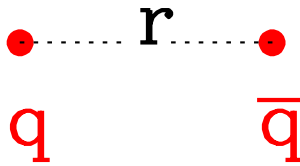
note

$$\begin{aligned}
 \bar{g}_G^2(\mu) &= 1 \times g_{\text{lat}}^2 + O(g_{\text{lat}}^4) \\
 &\quad \uparrow \\
 &\quad \text{no } a^2 \text{ effects here}
 \end{aligned}$$

$Q\bar{Q}$ potential, force:

$$G_0(\mu a, g_0^2) = r^2 F_{\text{impr}}(r), \quad \mu = 1/r$$

$$G_0^{(0)} = 0, \quad G_0^{(1)} = \frac{C_F}{4\pi}$$


$$C_F = \frac{N^2 - 1}{6N}$$

def. of F_{impr} later

$$\rightarrow \bar{g}_{qq}^2(\mu) = \frac{4\pi}{C_F} r^2 F_{\text{impr}}(r)$$

[there is a little caveat with this ... not 100% short distance ... but ok]

Two-point function of multiplicatively renormalisable field

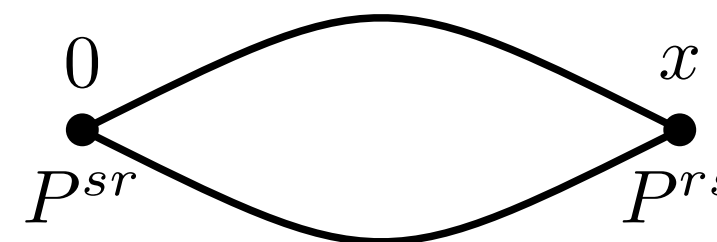
$$G_0(\mu a, g_0^2) = \frac{\langle P^{rs}(x) P^{sr}(0) \rangle_{x=(0,0,0,1/\mu)}}{\langle P^{rs}(x) P^{sr}(0) \rangle_{x=(0,0,0,2/\mu)}}$$

- ▶ Factors Z_P cancel
- ▶ Theoretically fine, but not really recommended (by me) in practise

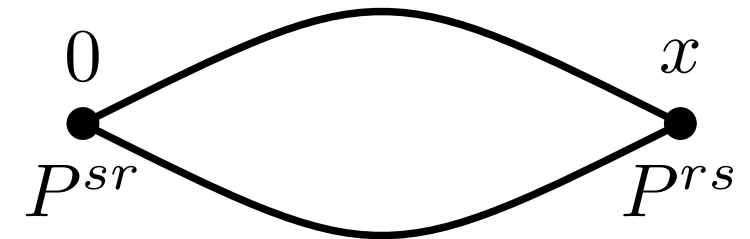
$$\langle P^{rs}(x) P^{sr}(0) \rangle \stackrel{x \rightarrow 0}{\sim} x^{-6}$$

steep function, large $(a/x)^n$ effects

[[Martinelli, Rossi, Sachrajda, Sharpe, talevi, Testa, 1997](#); ..., [Cichy, Jansen, Korcyl, 2012](#)]



- ▶ case by case
- ▶ eg. (in principle)



$$Z_{\mathbb{P}}^2(a\mu, g_0) \langle P^{rs}(x) P^{sr}(0) \rangle_{x=(0,0,0,1/\mu)} = \langle P^{rs}(x) P^{sr}(0) \rangle_{x=(0,0,0,1/\mu), g_0=0}$$

this defines $Z_{\mathbb{P}}(a\mu, g_0)$

- ▶ many correlation functions of P^{rs} can be used, as long as they are sufficiently short distance dominated
- ▶ but be careful with integrals, e.g.

$$\int d^4x \langle P^{rs}(x) P^{sr}(0) \rangle$$

does not exist, since $\langle P^{rs}(x) P^{sr}(0) \rangle \stackrel{x \rightarrow 0}{\sim} x^{-6}$

drop gauge invariance requirement **(b)**: fix a gauge (e.g. Landau gauge)
numerical evidence that this can be done non-perturbatively

then

$$S(p) = a^8 \sum_{x_1, x_2} \exp(-ip(x_1 - x_2)) \langle \psi_r(x_1) \bar{\psi}_r(x_2) \rangle$$

$$G_P(p_1, p_2) = a^8 \sum_{x_1, x_2} \exp(-ip_1 x_1 + ip_2 x_2) \langle \psi_r(x_1) P^{rs}(0) \bar{\psi}_s(x_2) \rangle$$

$$\Lambda_P(p_1, p_2) = S^{-1}(p_1) G_P(p_1, p_2) S^{-1}(p_2)$$

$$\Gamma_P(p_1, p_2) = \frac{1}{12} \text{Tr} [\gamma_5 \Lambda_P(p, p)] , \quad \Gamma_V(p_1, p_2) = \dots$$

Define $\Gamma_V(p)$ similarly from the conserved vector current, then

$$Z_P \Gamma_P(p_1, p_2) / \Gamma_V(p_1, p_2) = [\Gamma_P(p_1, p_2) / \Gamma_V(p_1, p_2)]_{g_0=0}$$

defines $Z_P(\mu)$ [or use a similar condition for the quark propagator to define the quark field renormalization constant and divide it out in Λ_P]

symmetric point $p^2 = p_1^2 = p_2^2 = (p_1 - p_2)^2 = \mu^2$

→ short distance dominated “RI-sMOM”

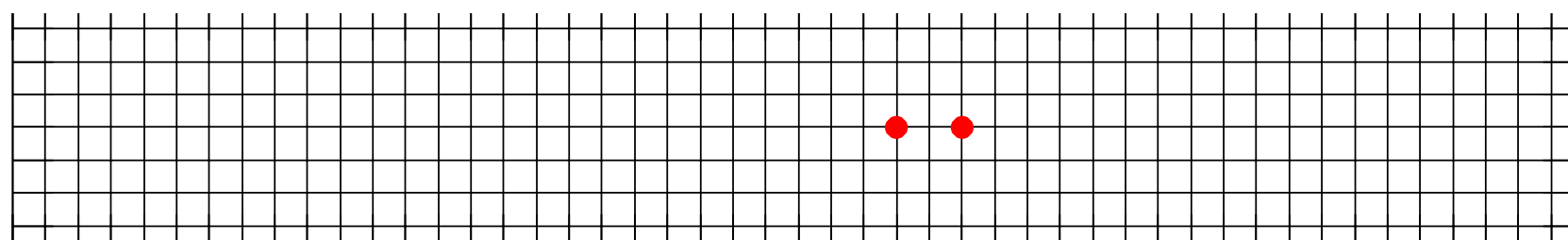
[C. Sturm, Y. Aoki, N. H. Christ, T. Izubuchi, C. T. C. Sachrajda & A. Soni, ARXIV:0901.2599]

Scale Problem

(α_{qq} as an example)

We need to reach large μ where perturbation theory is reliable to be able to use the perturbative relation (perturbative β -function) in

$$\frac{\Lambda}{\mu} = \varphi_g(g(\mu))$$



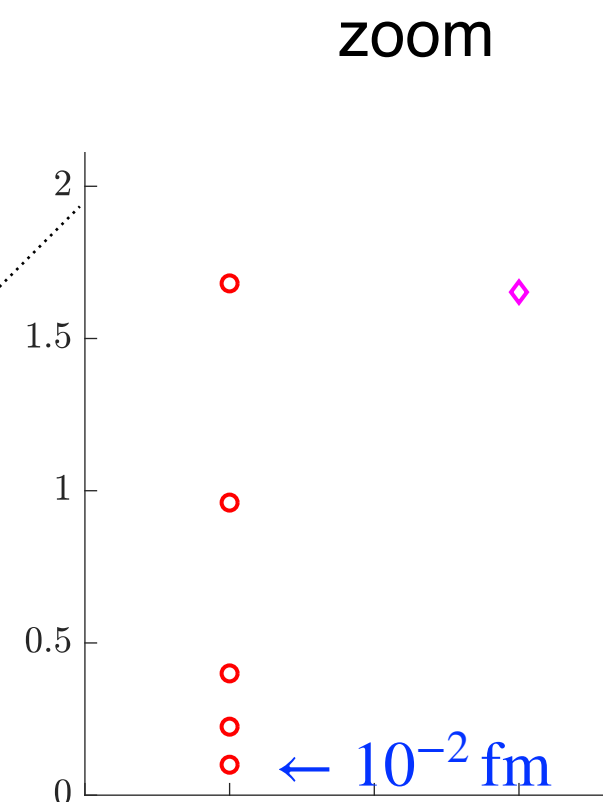
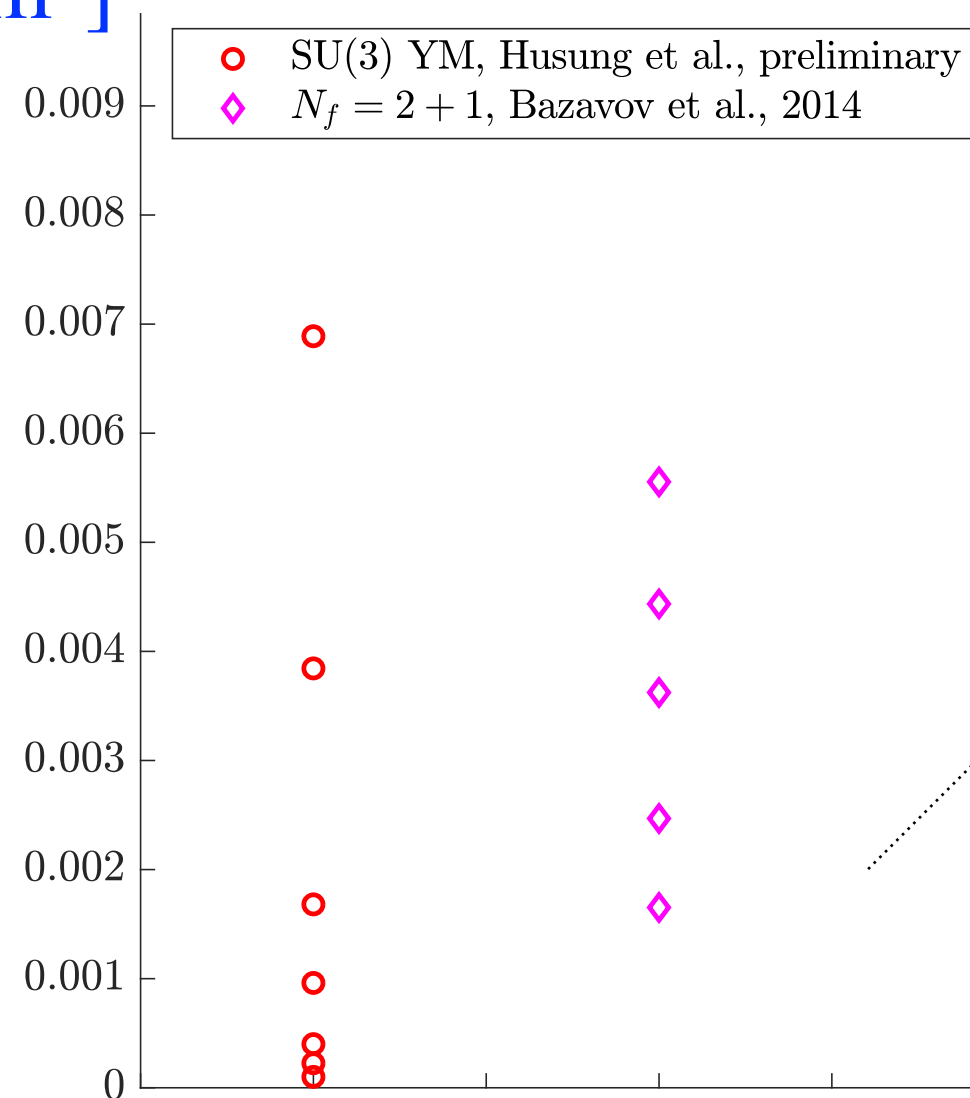
$$\begin{array}{ccccccc} L & \gg & \frac{1}{0.2\text{GeV}} & \gg & \frac{1}{\mu} \sim \frac{1}{10\text{GeV}} & \gg & a \\ \uparrow & & \uparrow & & & & \uparrow \\ \text{box size} & & \text{QCD scale, } m_\pi & & & & \text{spacing} \\ & & & & \Downarrow & & \\ & & & & L/a \gg 50 & & \end{array}$$

Let us see this in more detail

Scales, lattices

- ▶ $L/a=32, \dots, 192, L=2\text{fm}$ (big enough in YM), open BC (no topology freezing)

$a^2[\text{fm}^2]$



Strategy to get to small r (see also arXiv:1711.01860)

- ▶ basic scale from t_0 :

$$\alpha_{\text{qq}}(\mu, a^2\mu^2), \quad \mu = 1/r = (x\sqrt{8t_0})^{-1}$$

on ensembles with $a > 0.02$ fm

- ▶ Then step scaling functions

$$\Sigma(u, a/r) = \bar{g}_{\text{qq}}^2(sr) \Big|_{\bar{g}_{\text{qq}}^2(r)=u}$$

with $s = 3/4$ including $a = \{1.0, 1.4, 2.0\} \times 10^{-2}$ fm

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$$\alpha_{\text{qq}}(\mu, a^2\mu^2), \quad \mu = 1/r = (x\sqrt{8t_0})^{-1}$$
$$0.25 \leq x \leq 0.4$$

on ensembles with $a > 0.02$ fm

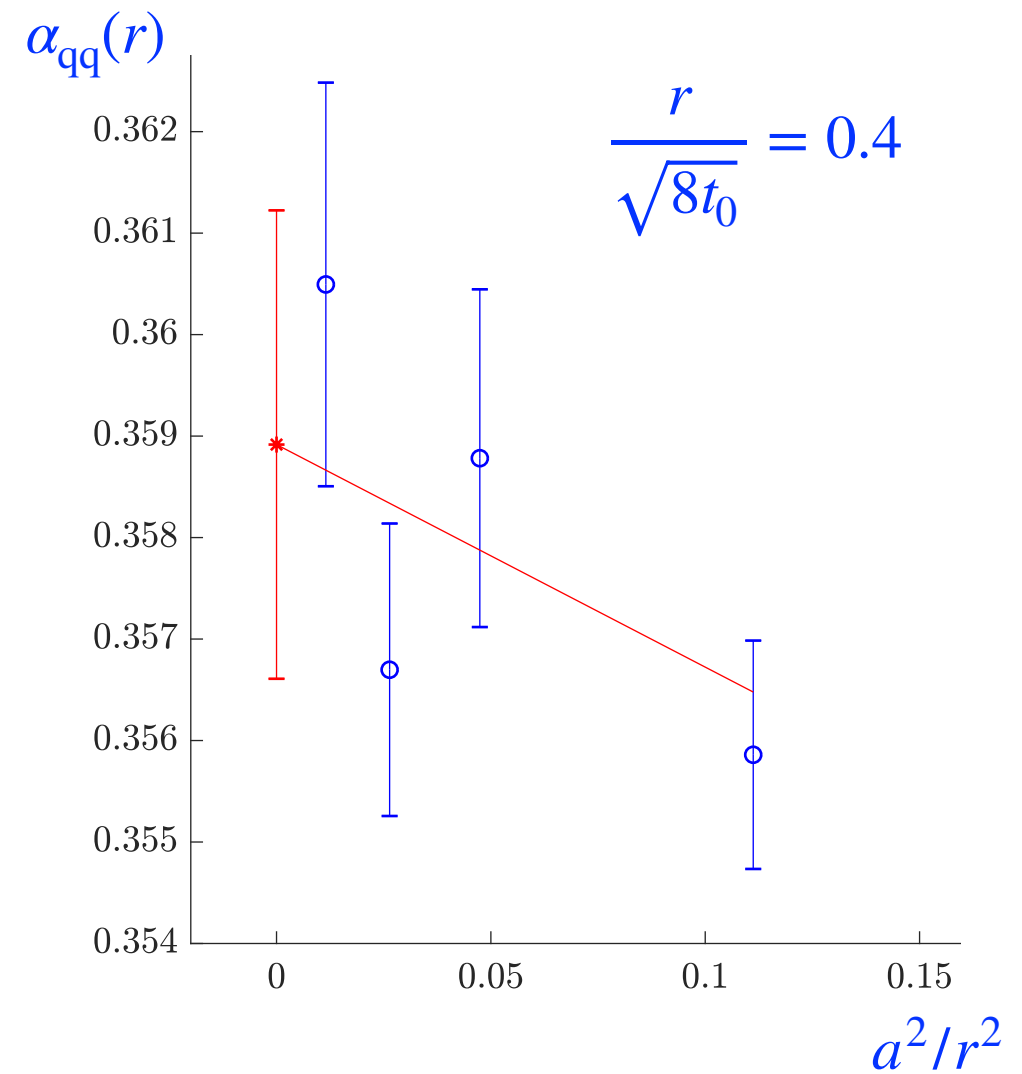
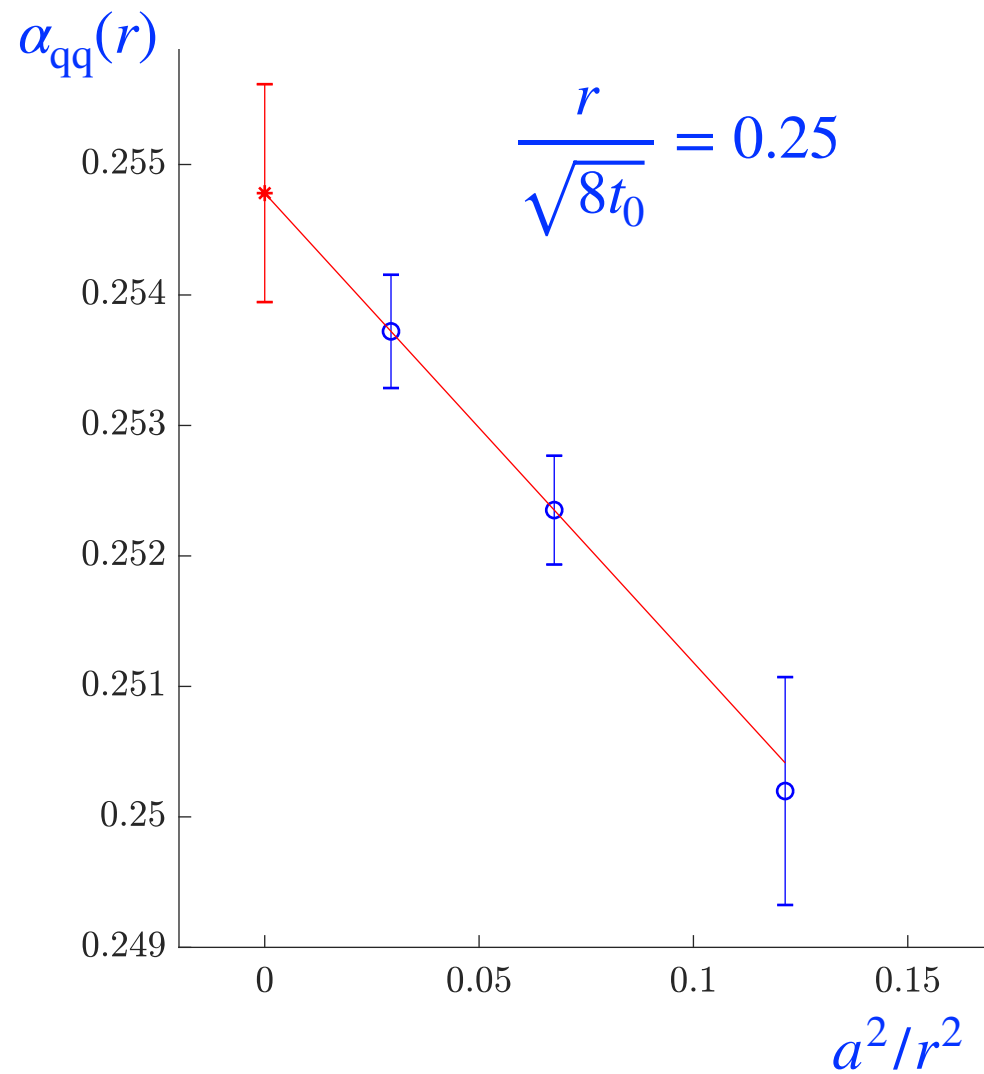
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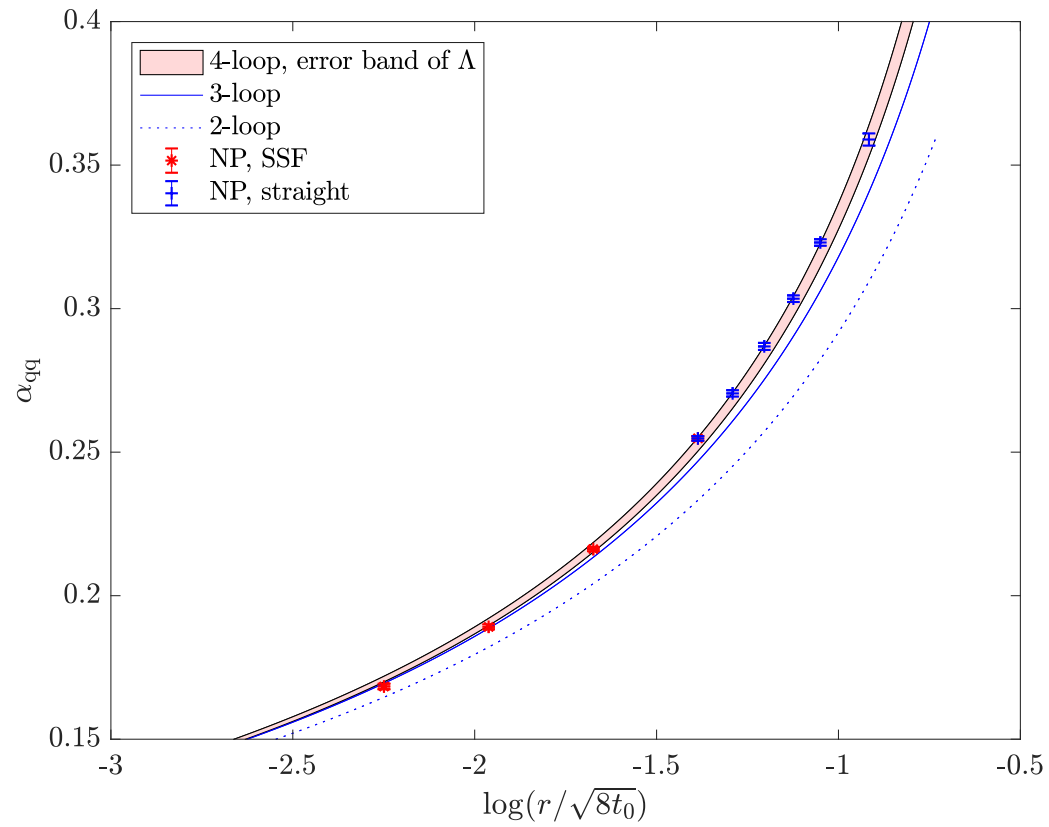
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Continuum limits

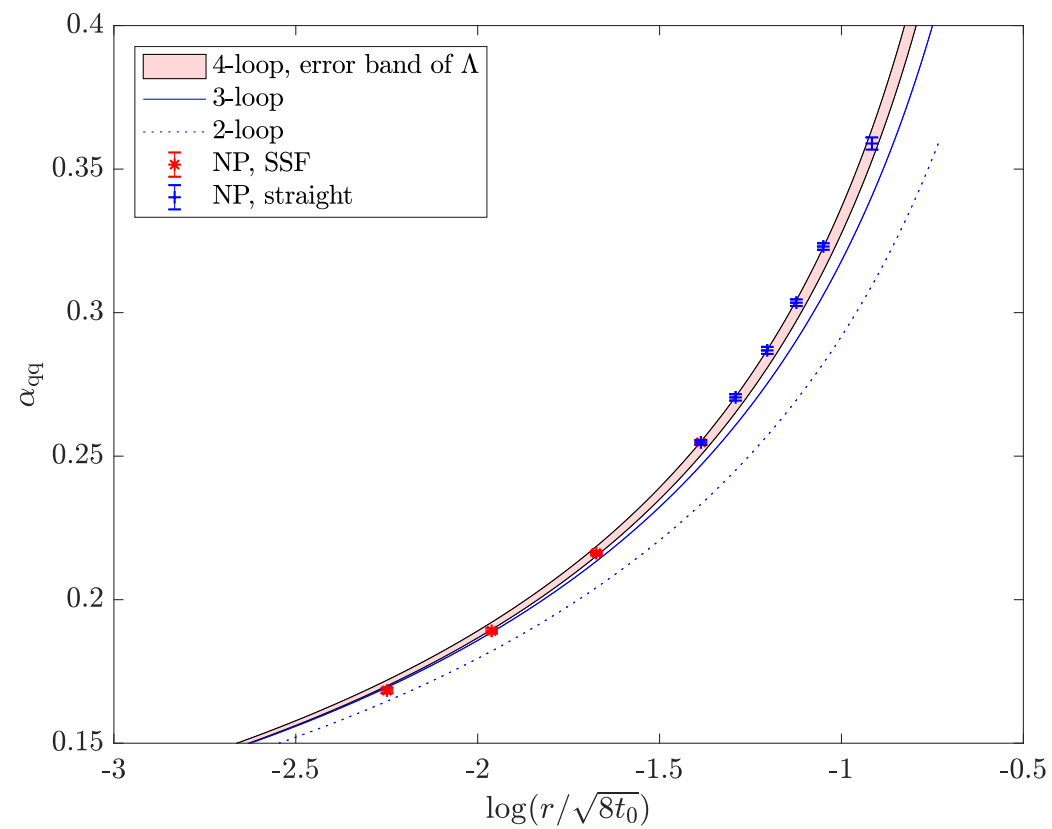
- ▶ Large r region ($r > 0.1\text{fm}$)



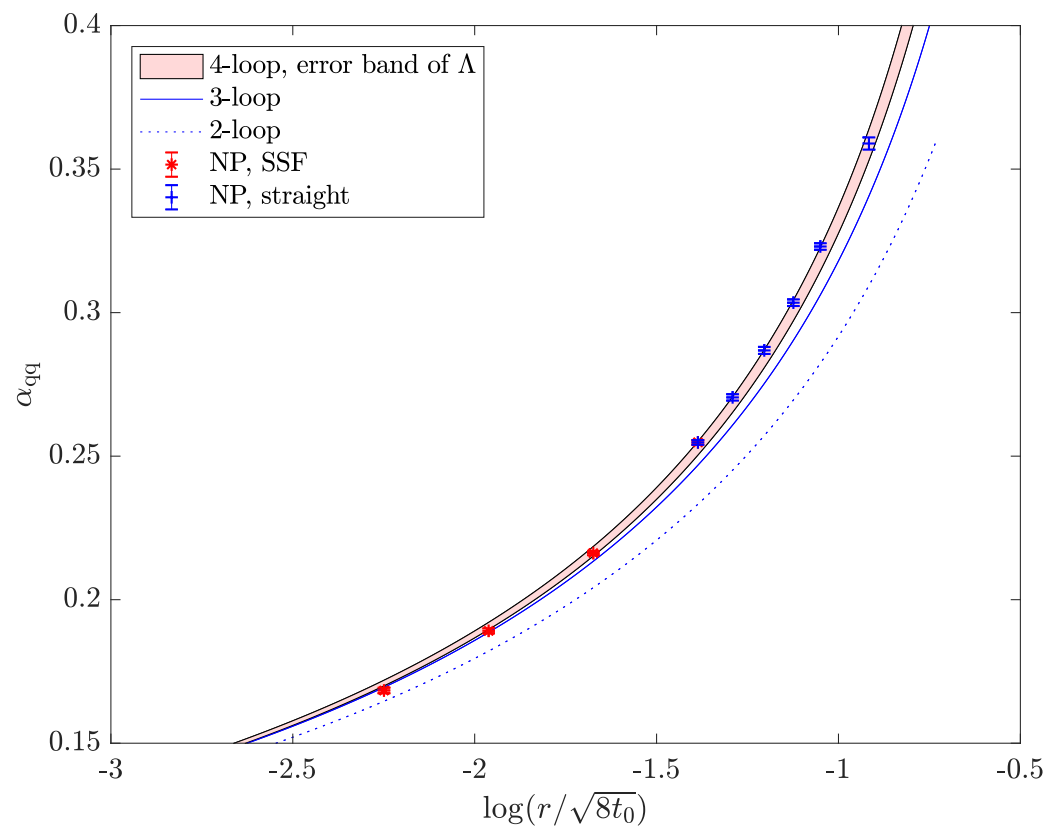
- ▶ Gradient flow: log-corrections to a^2 not yet known.



▶ perturbative prediction with known Λ



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- ▶ Qualitative contact to PT is made



- ▶ perturbative prediction with known Λ
- ▶ Qualitative contact to PT is made
- ▶ But is this safe to determine the Λ -parameter?

$$\Lambda/\mu = \varphi_g(g) = (b_0 g^2)^{-b_1/(2b_0^2)} e^{-1/(2b_0 g^2)} \exp \left\{ - \int_0^g dx \left[\frac{1}{\beta(x)} + \frac{1}{b_0 x^3} - \frac{b_1}{b_0^2 x} \right] \right\}$$

approximations:

$$\left. \frac{\Lambda}{\mu} \right|_{n\text{-loop}}^{\text{eff}} = (b_0 g^2)^{-b_1/(2b_0^2)} e^{-1/(2b_0 g^2)} \exp \left\{ - \int_0^g dx \left[\frac{1}{\beta_{n\text{-loop}}(x)} + \frac{1}{b_0 x^3} - \frac{b_1}{b_0^2 x} \right] \right\}$$

$$\left. \frac{\Lambda}{\mu} \right|_{2\text{-loop}}^{\text{eff}} = \frac{\Lambda}{\mu} + \mathcal{O}(\alpha_{\text{qq}})$$

$$\left. \frac{\Lambda}{\mu} \right|_{n\text{-loop}}^{\text{eff}} = \frac{\Lambda}{\mu} + \mathcal{O}(\alpha_{\text{qq}}^{n-1})$$

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$$\left. \frac{\Lambda}{\mu} \right|_{2\text{-loop}}^{\text{eff}} = \frac{\Lambda}{\mu} + O(\alpha_{qq})$$

$$\left. \frac{\Lambda}{\mu} \right|_{n\text{-loop}}^{\text{eff}} = \frac{\Lambda}{\mu} + O(\alpha_{qq}^{n-1})$$

A specialty of qq-coupling:
 at high orders there are infrared
 divergencies, need to be resummed,
 produce $\log(\alpha)$ terms \rightarrow

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computed from

Peter 97; Schröder 99

Anzai, Kiyo, Sumino, 10

Smirnov, Smirnov, Steinhauser, 10

Brambilla, Pineda, Soto, Vairo, 99

Kniehl, Penin, 99

Brambilla, Garcia i Tormo, Soto, Vairo, 07, 09

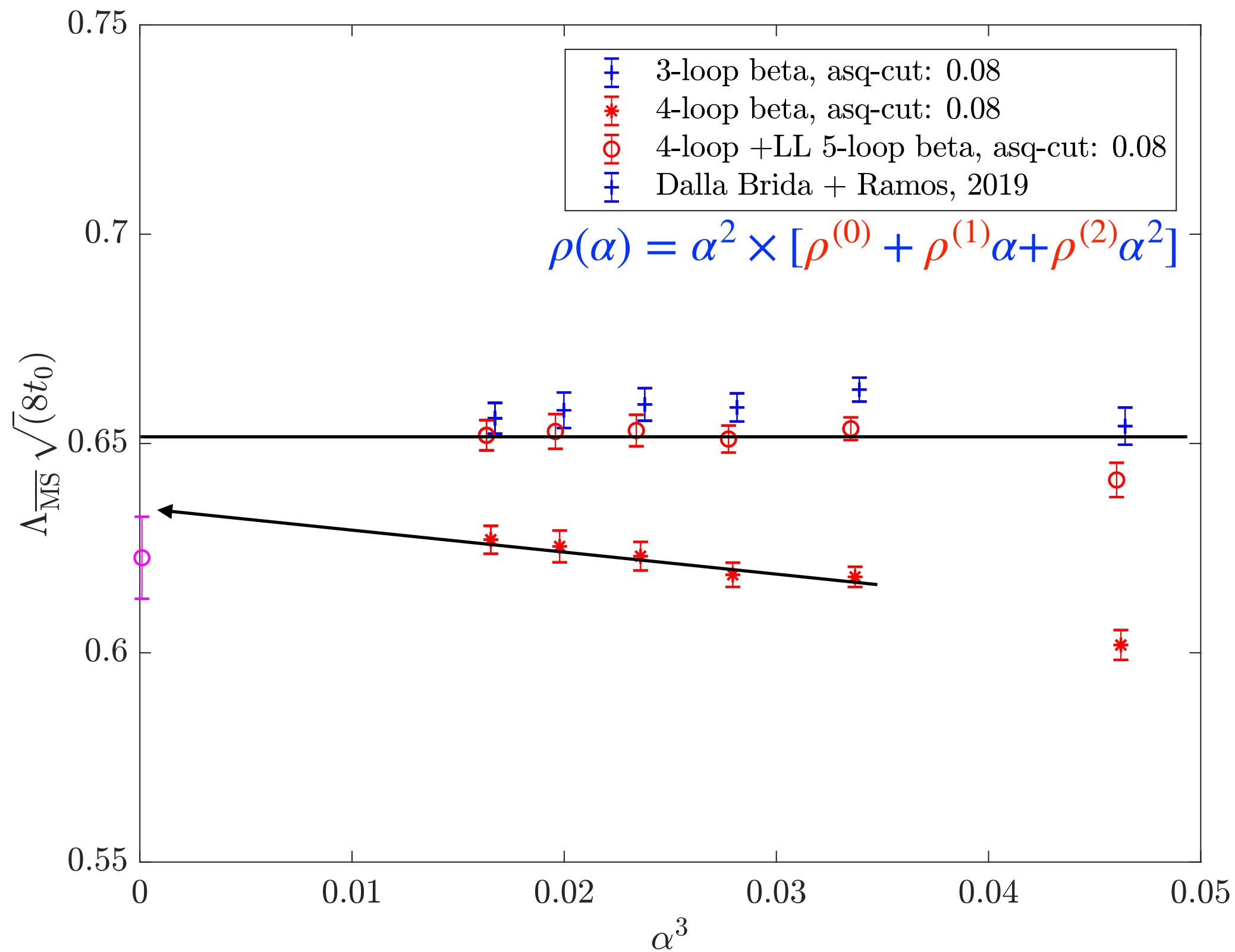
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$$\beta_{3\text{-loop}}(g) = -g^3 [b_0 + b_1 g^2 + b_2 g^4]$$

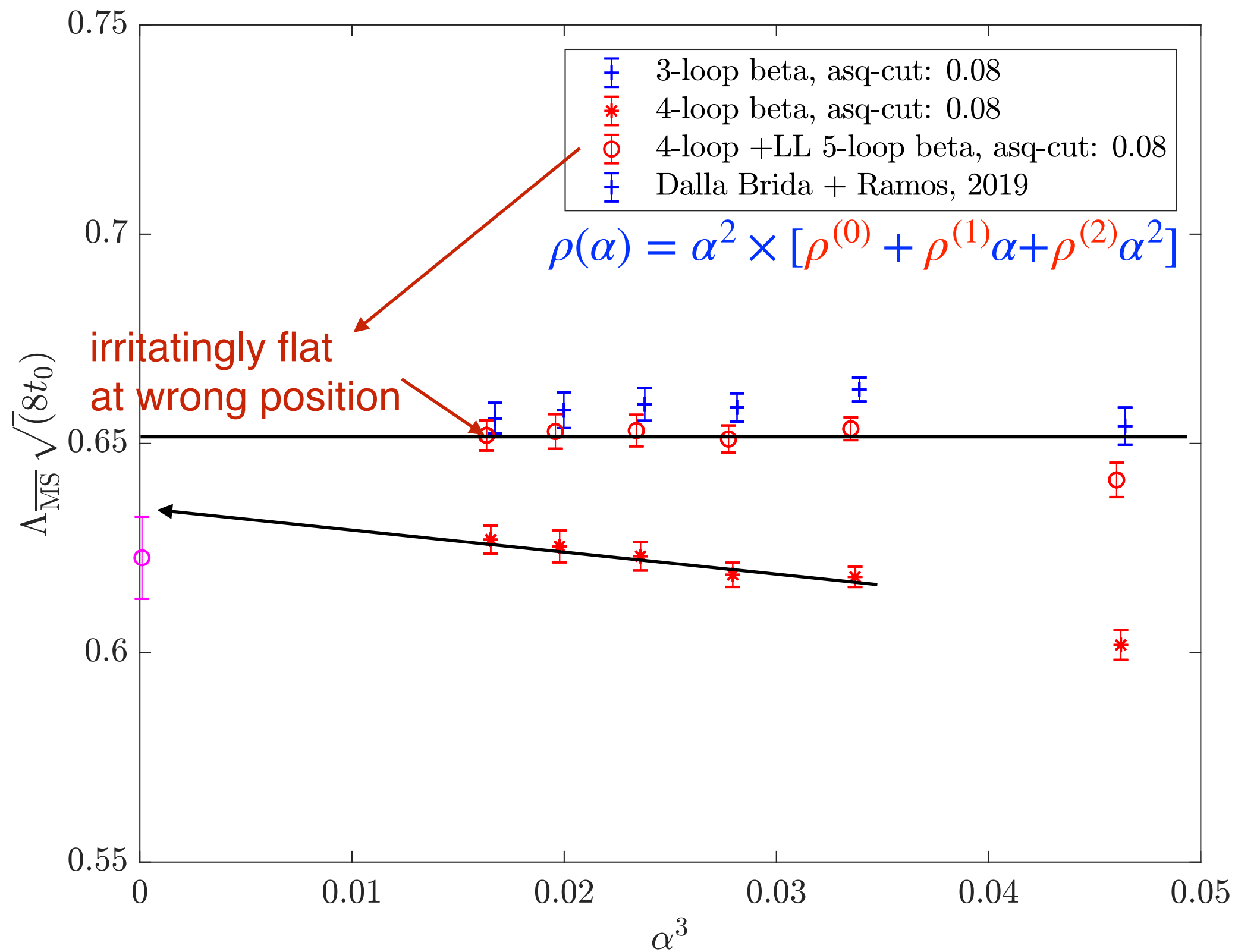
$$4\text{-loop:} \quad +b_3 g^6 + b_{3L} g^6 \log(\alpha)$$

$$4\text{-loop LL:} \quad +b_{4L} g^8 \log(\alpha) + b_{4LL} g^8 [\log(\alpha)]^2$$

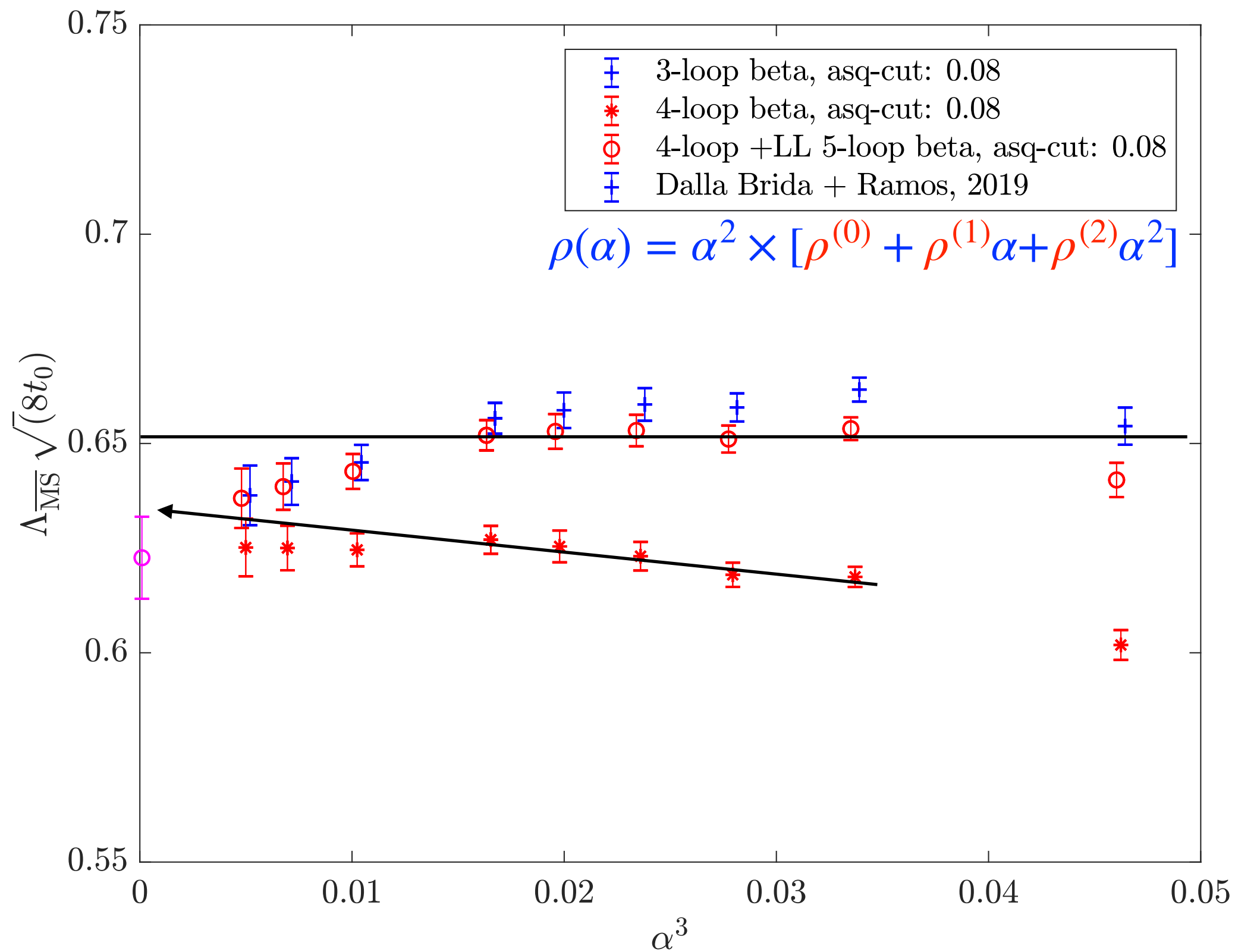
Results (from 2-stage continuum limit, standard derivative)



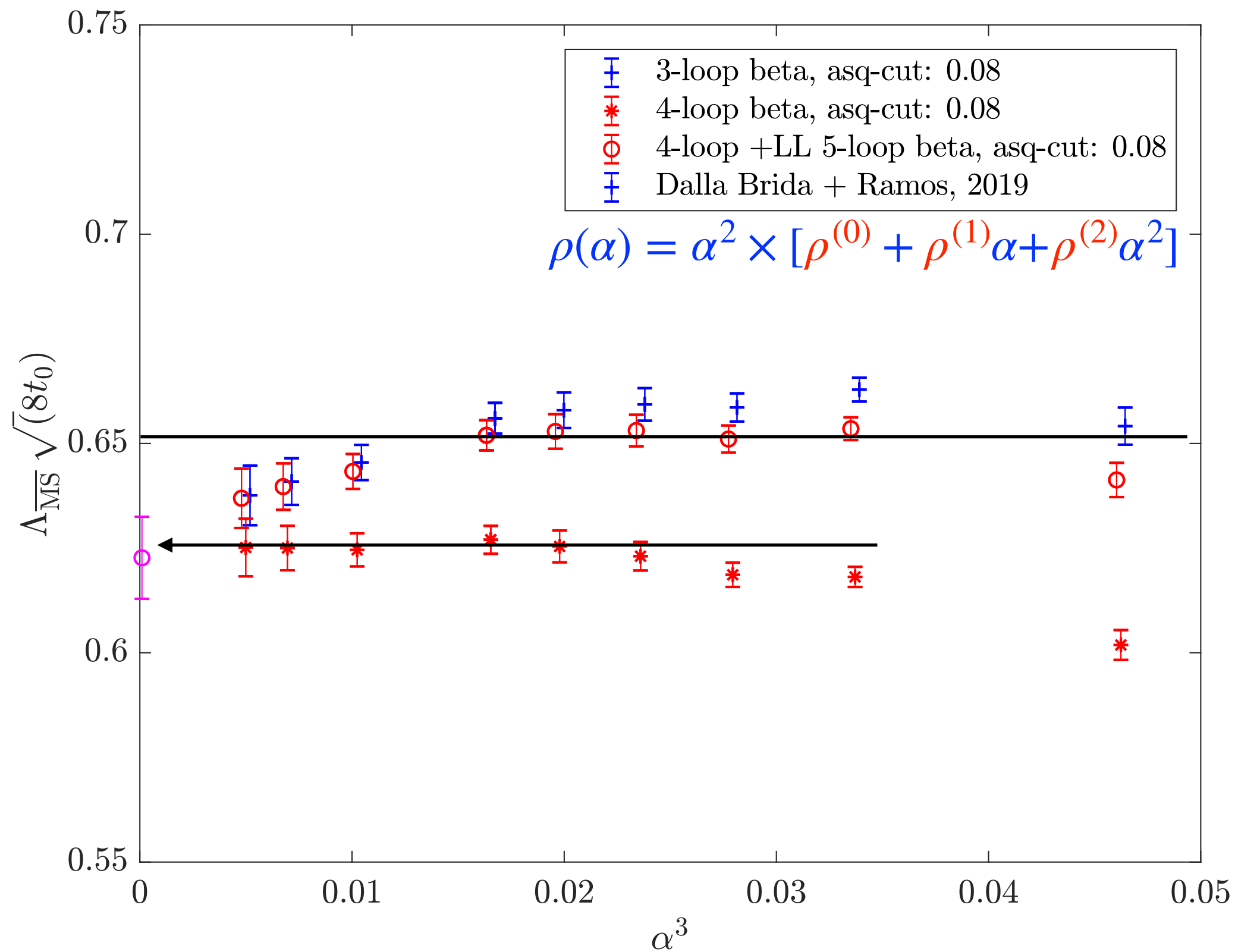
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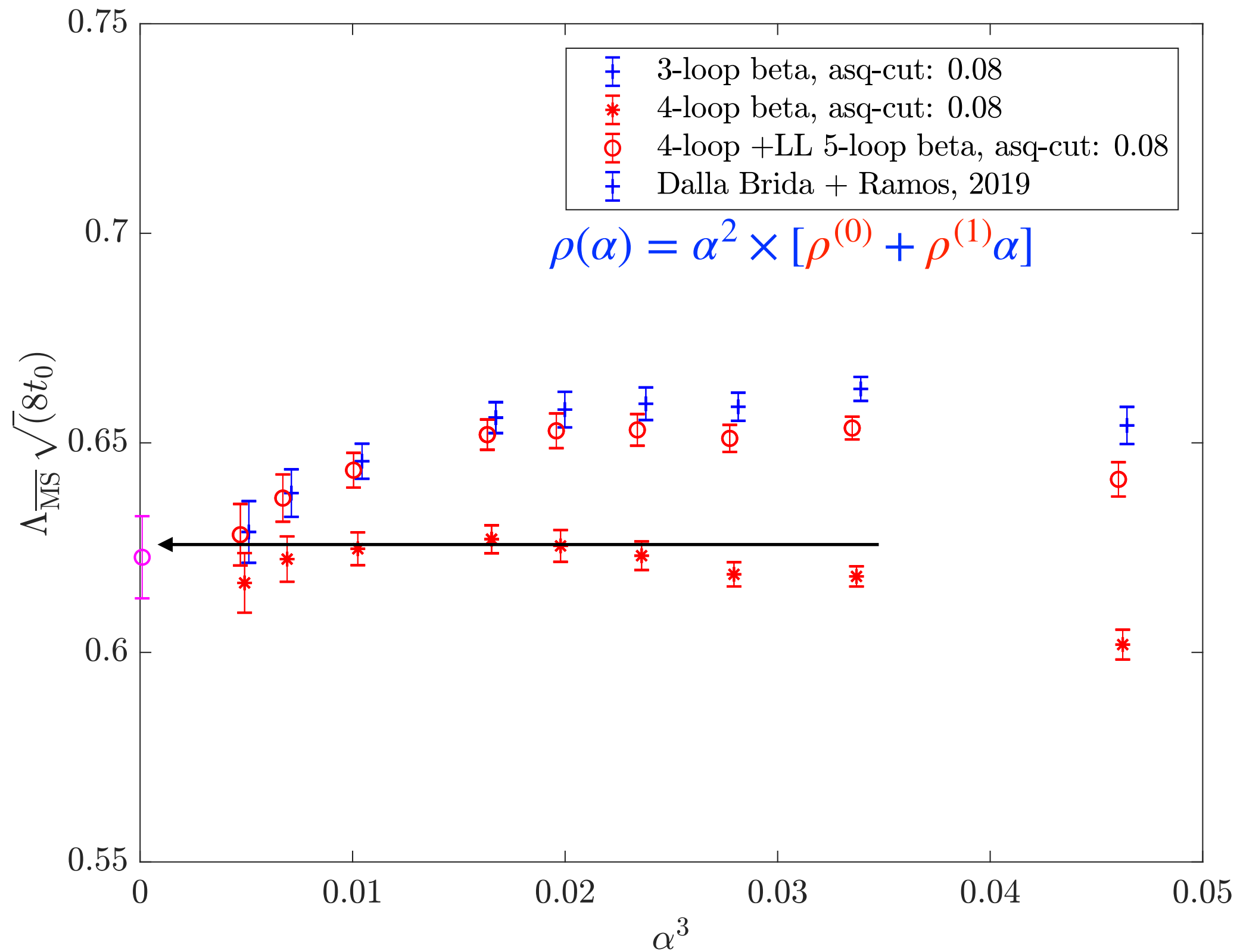
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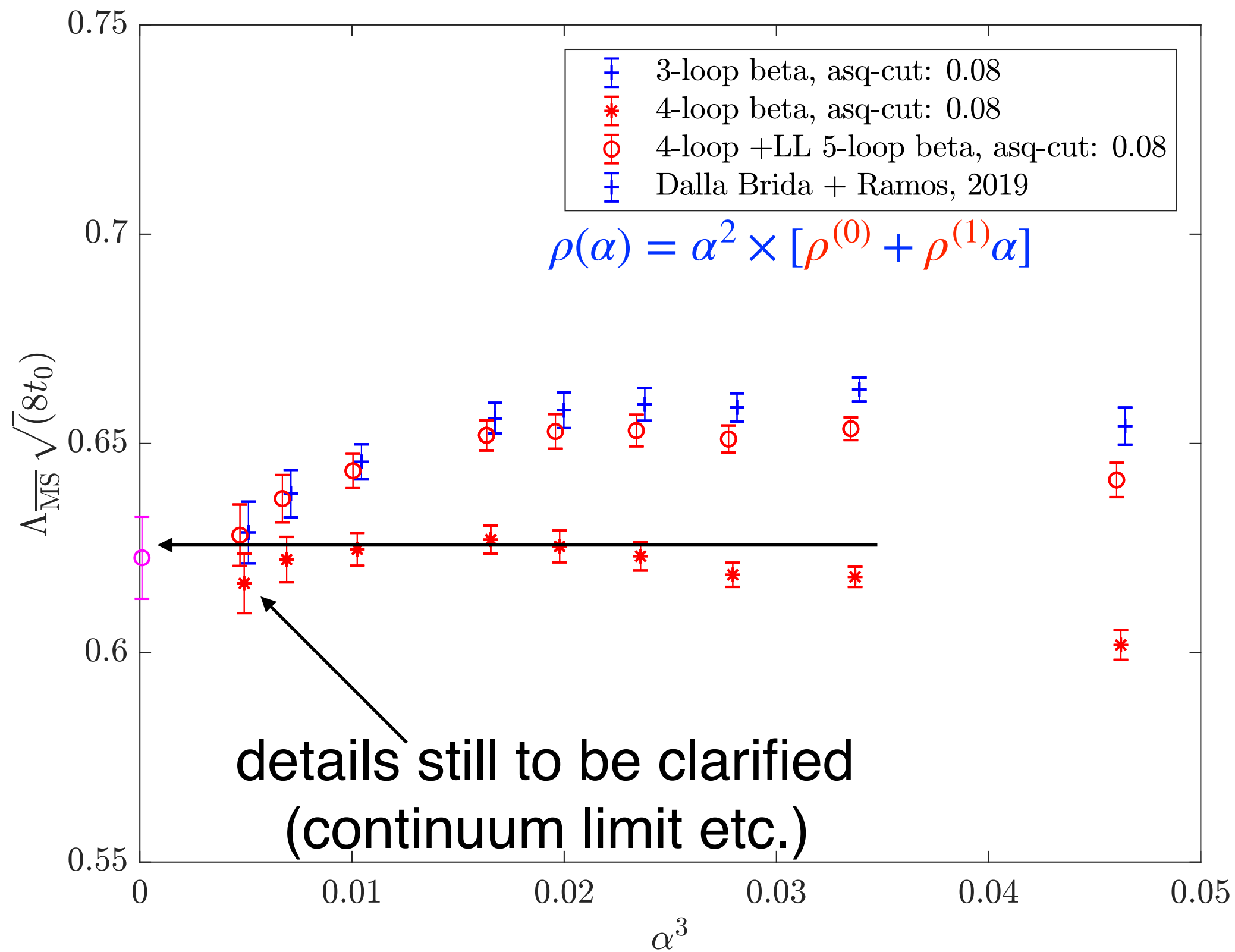
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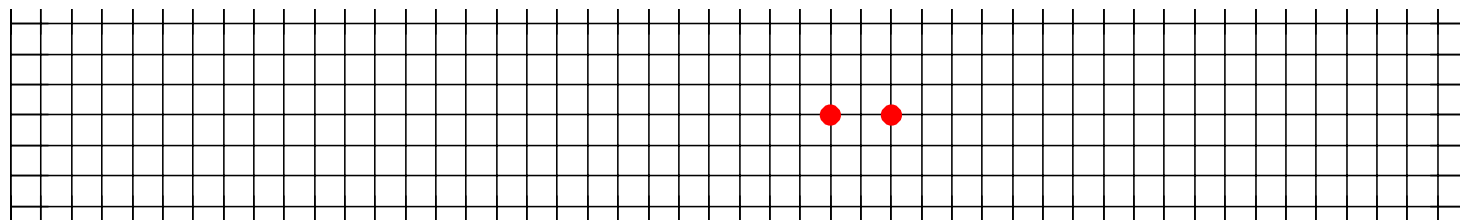


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Scale Problem

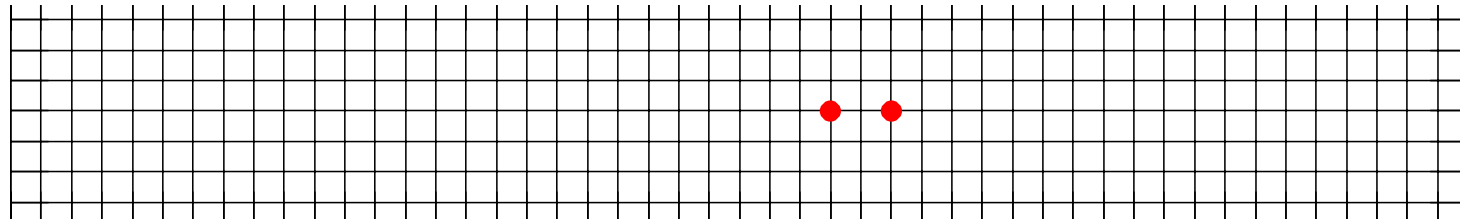
(α_{qq} as an example)



$$\begin{array}{ccccccc} L & \gg & \frac{1}{0.2\text{GeV}} & \gg & \frac{1}{\mu} \sim \frac{1}{10\text{GeV}} & \gg & a \\ \uparrow & & \uparrow & & & & \uparrow \\ \text{box size} & & \text{QCD scale, } m_\pi & & & & \text{spacing} \\ & & & & \Downarrow & & \\ & & & & L/a \gg 50 & & \end{array}$$

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 \uparrow & & \uparrow & & & & \uparrow \\
 \text{box size} & & \text{QCD scale, } m_\pi & & & & \text{spacing} \\
 & & & & \Downarrow & & \\
 & & & & L/a \gg 50 & &
 \end{array}$$

Solution: $L = 1/\mu$ \longrightarrow left with $L/a \gg 1$ [Wilson, ... , Lüscher, Weisz, Wolff]

Finite size effect as a physical observable; finite size scaling!

finite volume coupling $\alpha_{\text{SF}}(\mu)$, $\mu = 1/L$
 defined at zero quark mass

$$L_{\text{max}} = \text{const.}/m_{\text{prot}} = \mathcal{O}(\frac{1}{2} \text{fm}) : \quad \longrightarrow$$

$$\alpha_{\text{SF}}(\mu = 1/L_{\text{max}})$$

↓

$$\alpha_{\text{SF}}(\mu = 2/L_{\text{max}})$$

↓

•

•

•

↓

$$\alpha_{\text{SF}}(\mu = 2^n/L_{\text{max}} = 1/L_{\text{min}})$$

PT: ↓

$$\Lambda_{\text{SF}} L_{\text{max}} = \#$$

always $a/L \ll 1$

Result is a value for $\Lambda_{\text{SF}}/m_{\text{prot}} = \#$

We leave the discussion of a finite volume coupling for later.
Discuss first the

Step scaling function

- ▶ It is a discrete β function:

$$\sigma(s, \bar{g}^2(L)) = \bar{g}^2(sL) \quad \text{mostly } s = 2$$

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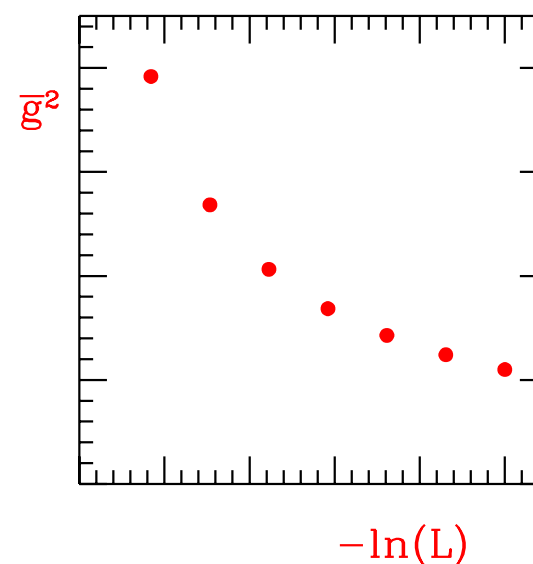
Step scaling function

- ▶ It is a discrete β function:

$$\sigma(s, \bar{g}^2(L)) = \bar{g}^2(sL) \quad \text{mostly } s = 2$$

- ▶ determines the non-perturbative running:

$$\begin{aligned} u_0 &= \bar{g}^2(L_{\max}) \\ &\downarrow \\ \sigma(2, u_{k+1}) &= u_k \\ &\downarrow \\ u_k &= \bar{g}^2(2^{-k} L_{\max}) \end{aligned}$$



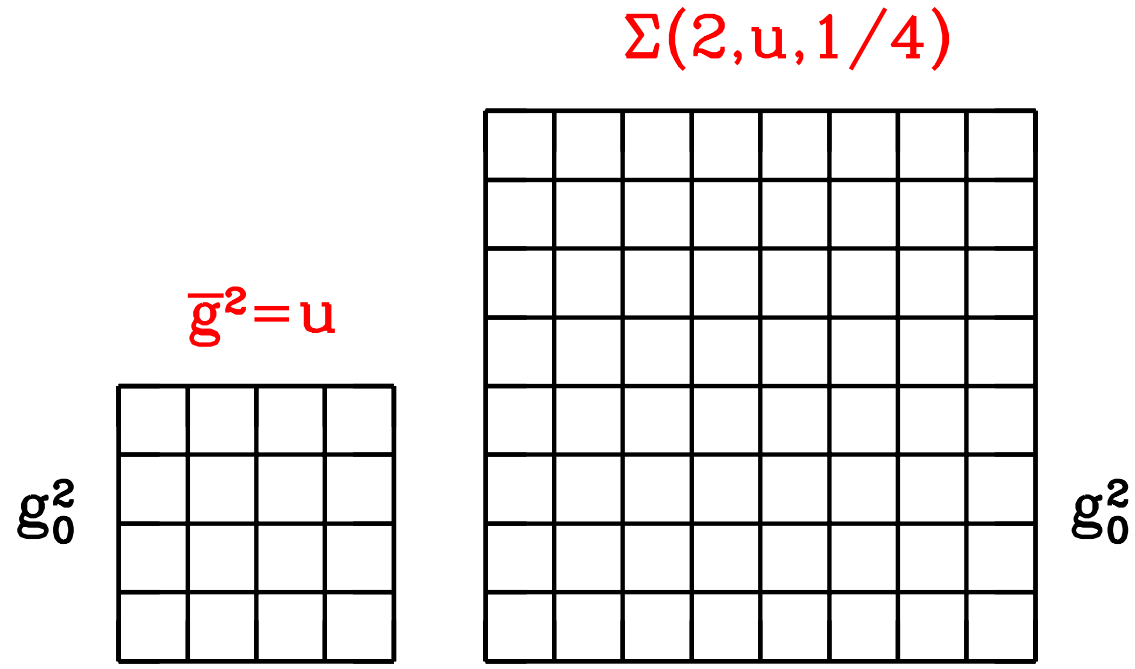
The step scaling function

$$\sigma(s, u) = \bar{g}^2(sL) \text{ with } u = \bar{g}^2(L)$$

On the lattice:
additional dependence on the resolution
 a/L

g_0 fixed, L/a fixed:

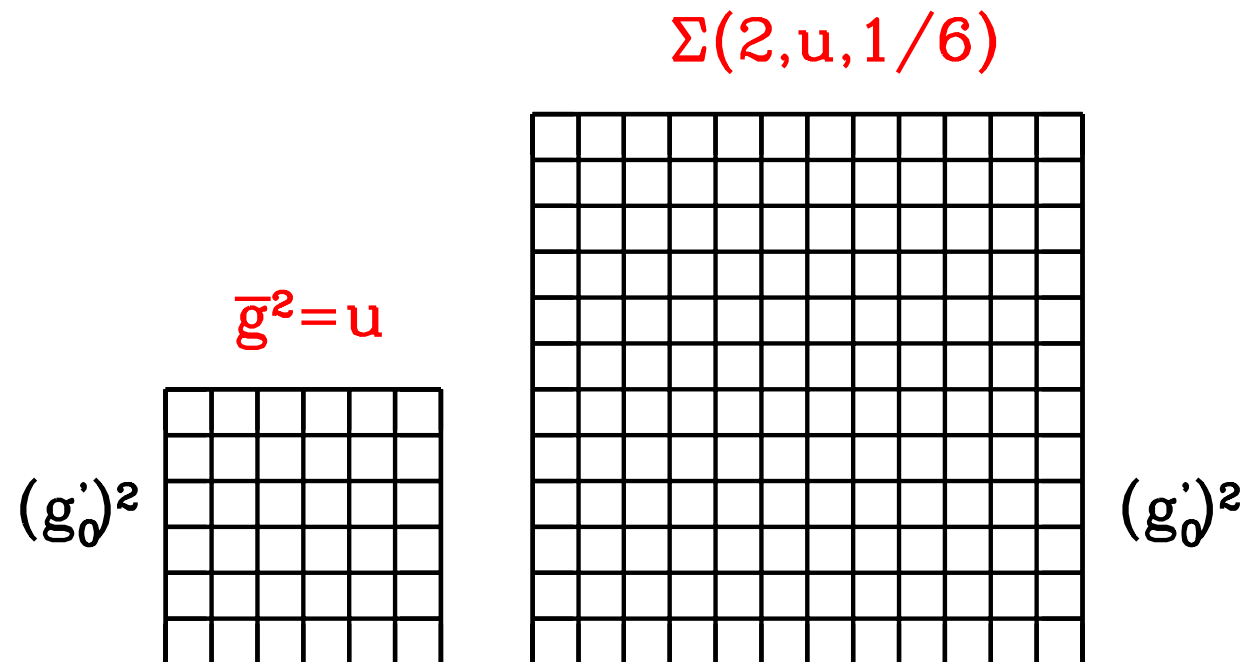
$$\begin{aligned} \bar{g}^2(L) = u, & & \bar{g}^2(sL) = u', \\ \Sigma(s, u, a/L) & = & u' \end{aligned}$$




continuum limit:

$$\Sigma(s, u, a/L) = \sigma(s, u) + O(a/L)$$

in the following always $s = 2$



everywhere: $m = 0$ (PCAC mass defined in $(L/a)^4$ lattice)

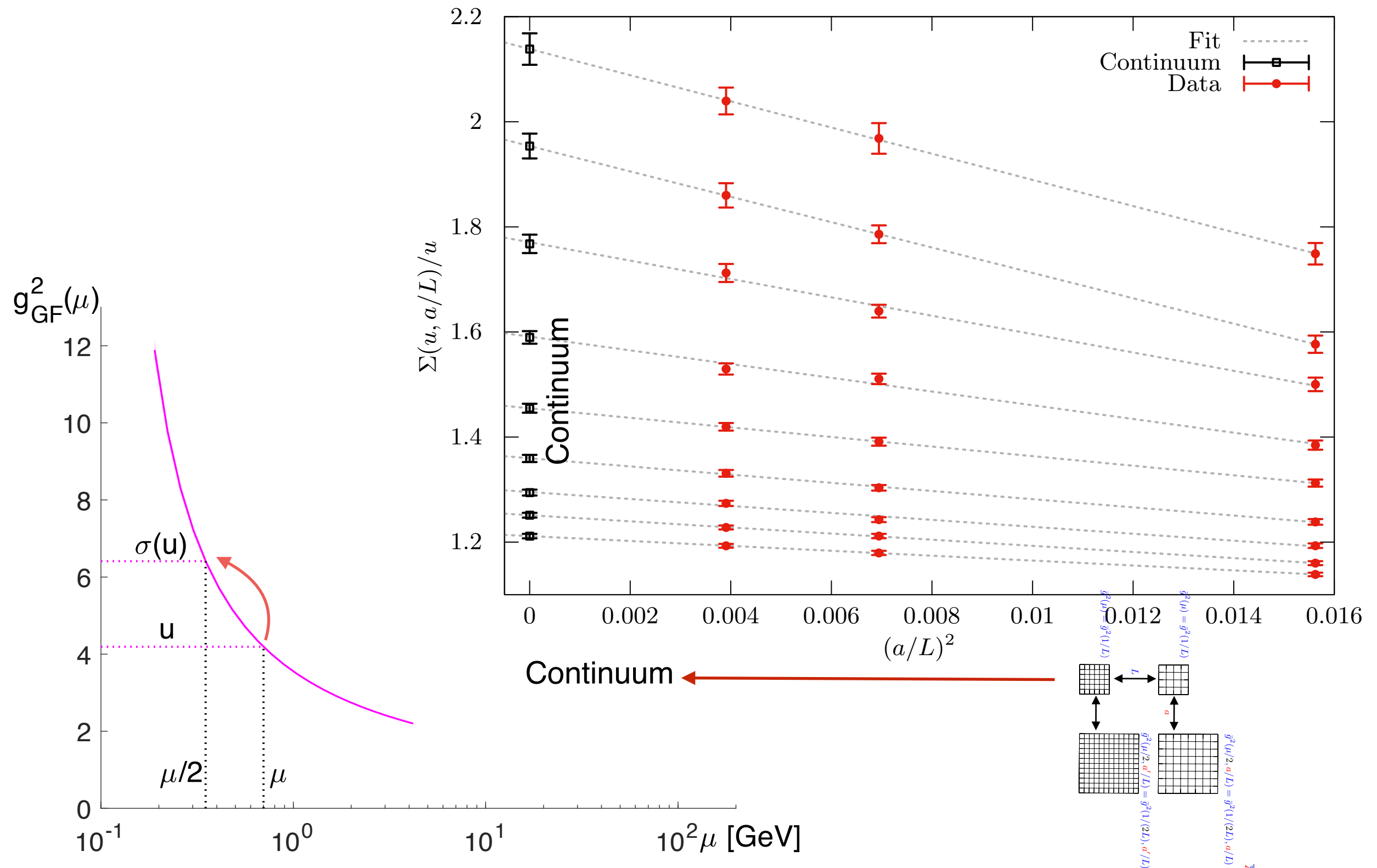
(Table from $N_f = 2$, )

L/a	β	κ	\bar{g}^2	$d\bar{g}^2$	m	dm
$u = 1.1814$						
4	8.2373	0.1327957	1.1814	0.0005	0.00100	0.00011
5	8.3900	0.1325800	1.1807	0.0012	-0.00018	0.00009
6	8.5000	0.1325094	1.1814	0.0015	-0.00036	0.00003
8	8.7223	0.1322907	1.1818	0.0029	-0.00115	0.00004
8	8.2373	0.1327957	1.3154	0.0055	0.00020	0.00005
10	8.3900	0.1325800	1.3287	0.0059	0.00097	0.00007
12	8.5000	0.1325094	1.3253	0.0067	-0.00102	0.00002
16	8.7223	0.1322907	1.3347	0.0061	-0.00194	0.00002
L/a			$\Sigma(1.1814, a/L)$	$\delta\Sigma$		
4			1.3154	0.0055		
5			1.3296	0.0061		
6			1.3253	0.0070		
8			1.3342	0.0071		

- ▶ tune κ, g_0 to have desired $m \approx 0$, fixed $\bar{g}^2(L)$
- ▶ propagate errors from $\bar{g}^2(L)$, shift mean values if necessary
 $\longrightarrow \Sigma, \delta\Sigma$

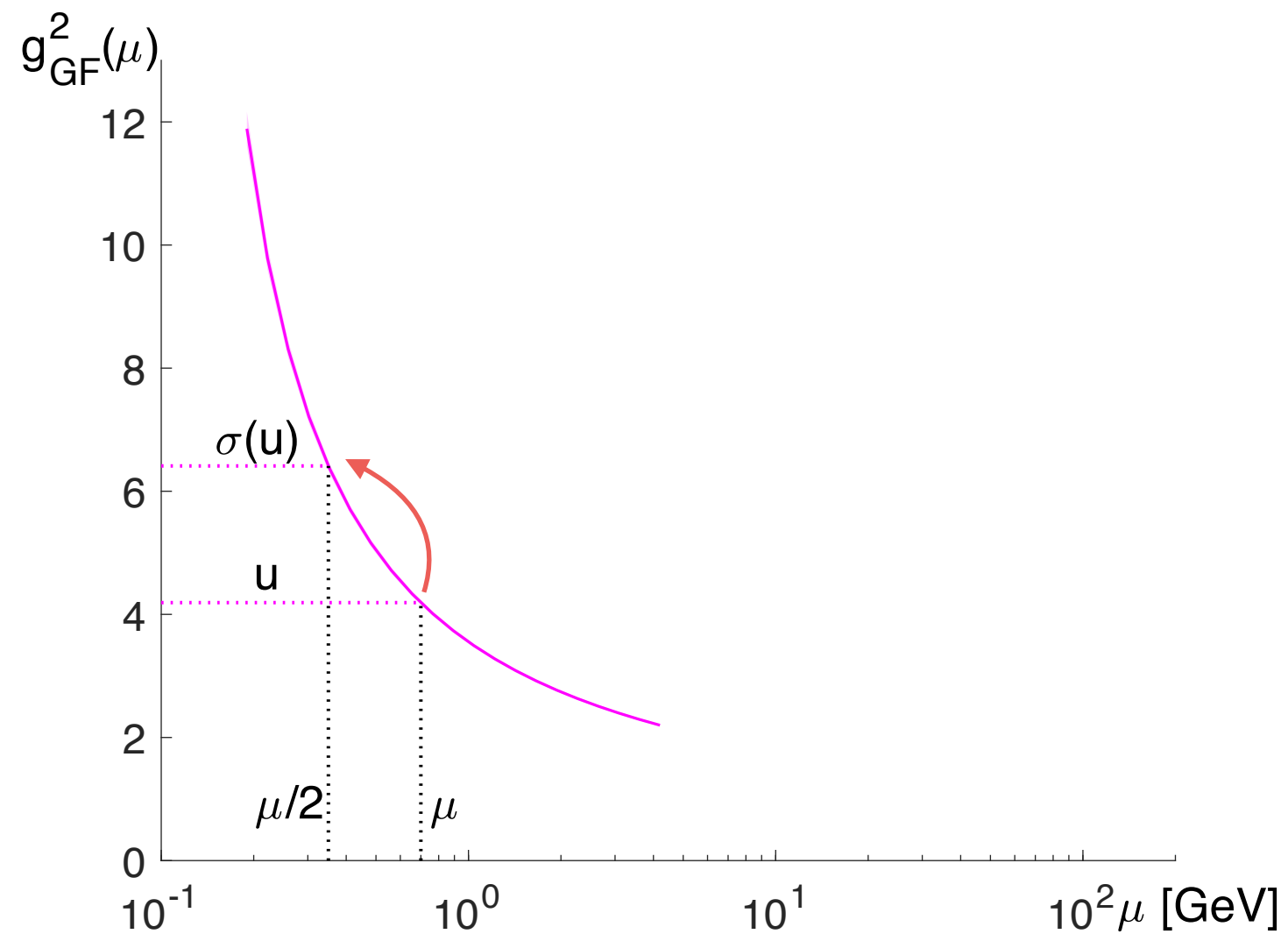
Example continuum extrapolation of step scaling functions

Continuum extrapolations of $\sigma(u)=\Sigma(u,0)$, $N_f=3$



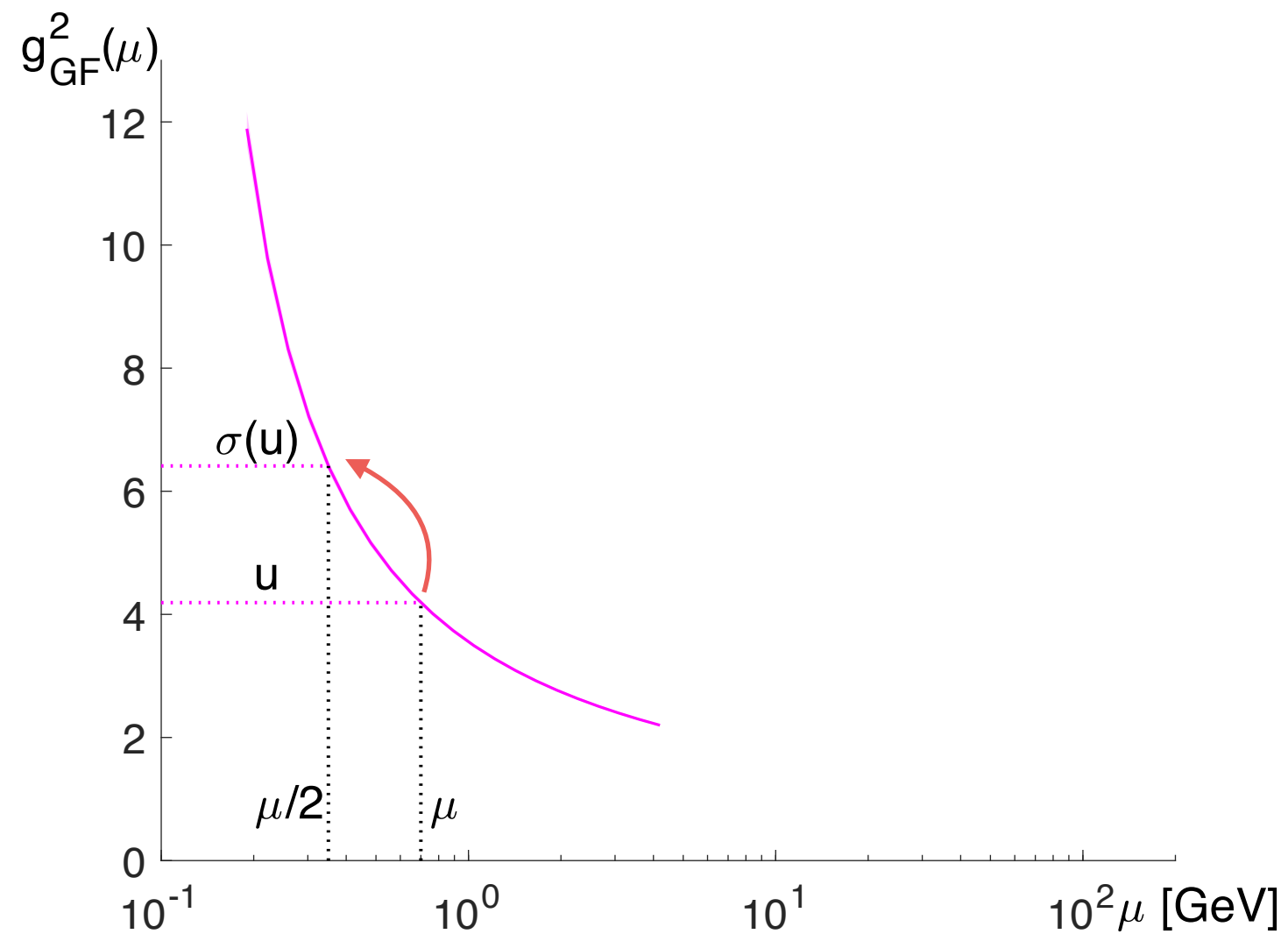
The β -function from the step scaling function

$$\int_{g(\mu)}^{\sigma(g(\mu))} \frac{-1}{\beta(x)} dx = \log(2)$$



The β -function from the step scaling function

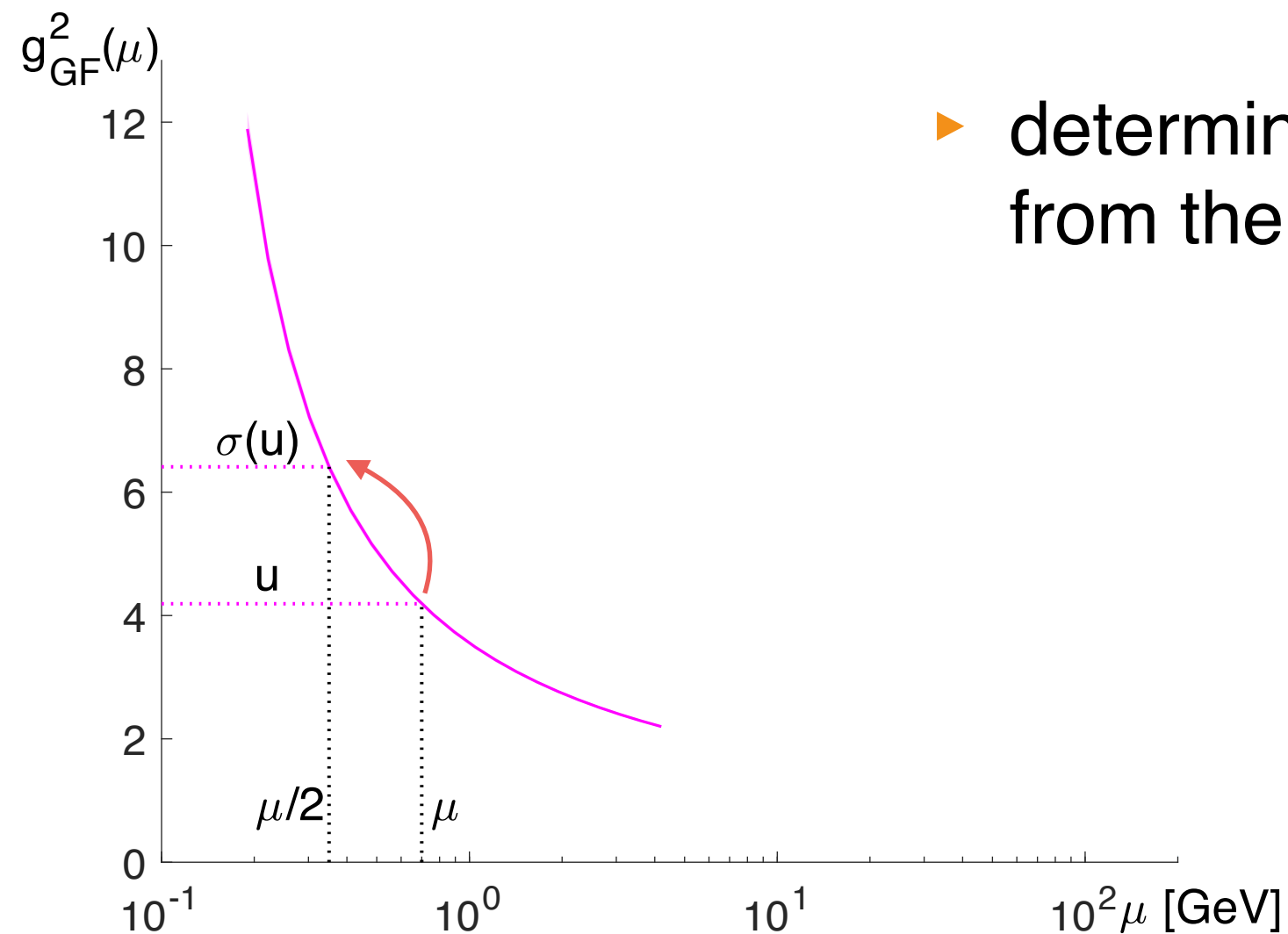
$$\int_{\sqrt{u}}^{\sqrt{\sigma(u)}} \frac{-1}{\beta(x)} dx = \log(2)$$



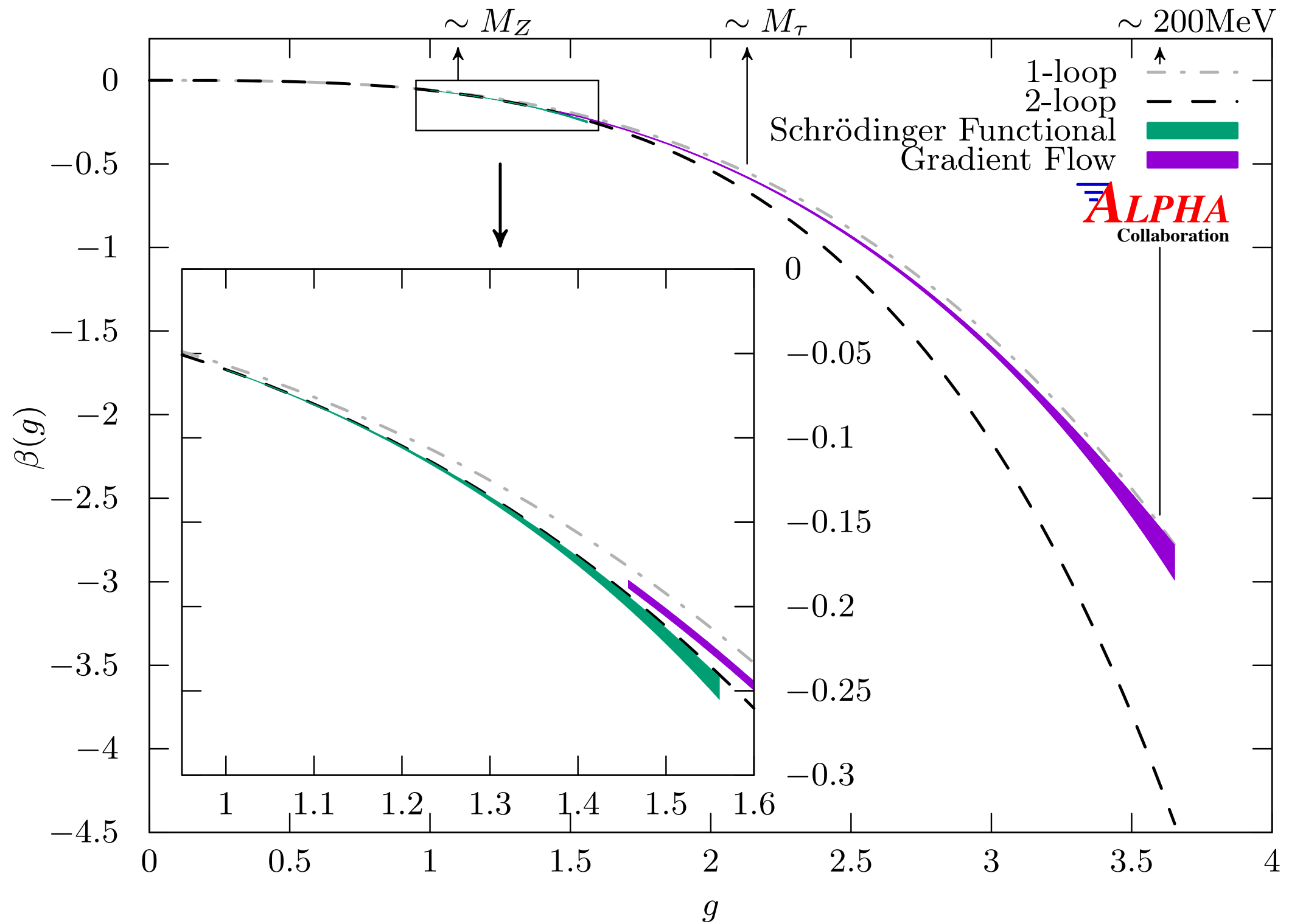
The β -function from the step scaling function

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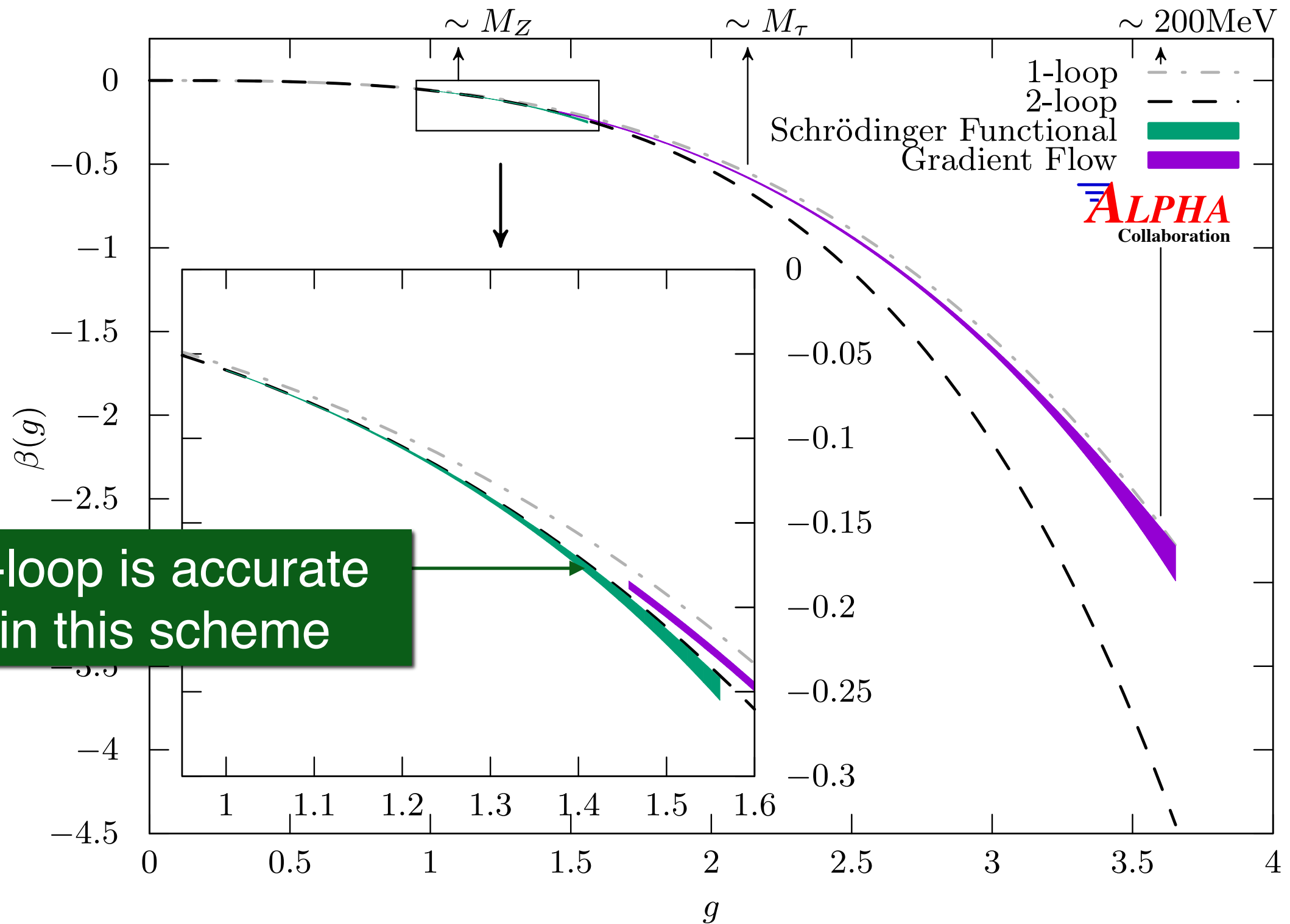
- ▶ smooth fit function for $\beta(x)$
- ▶ determine parameters in fit fct from the data points $\sigma(u)$



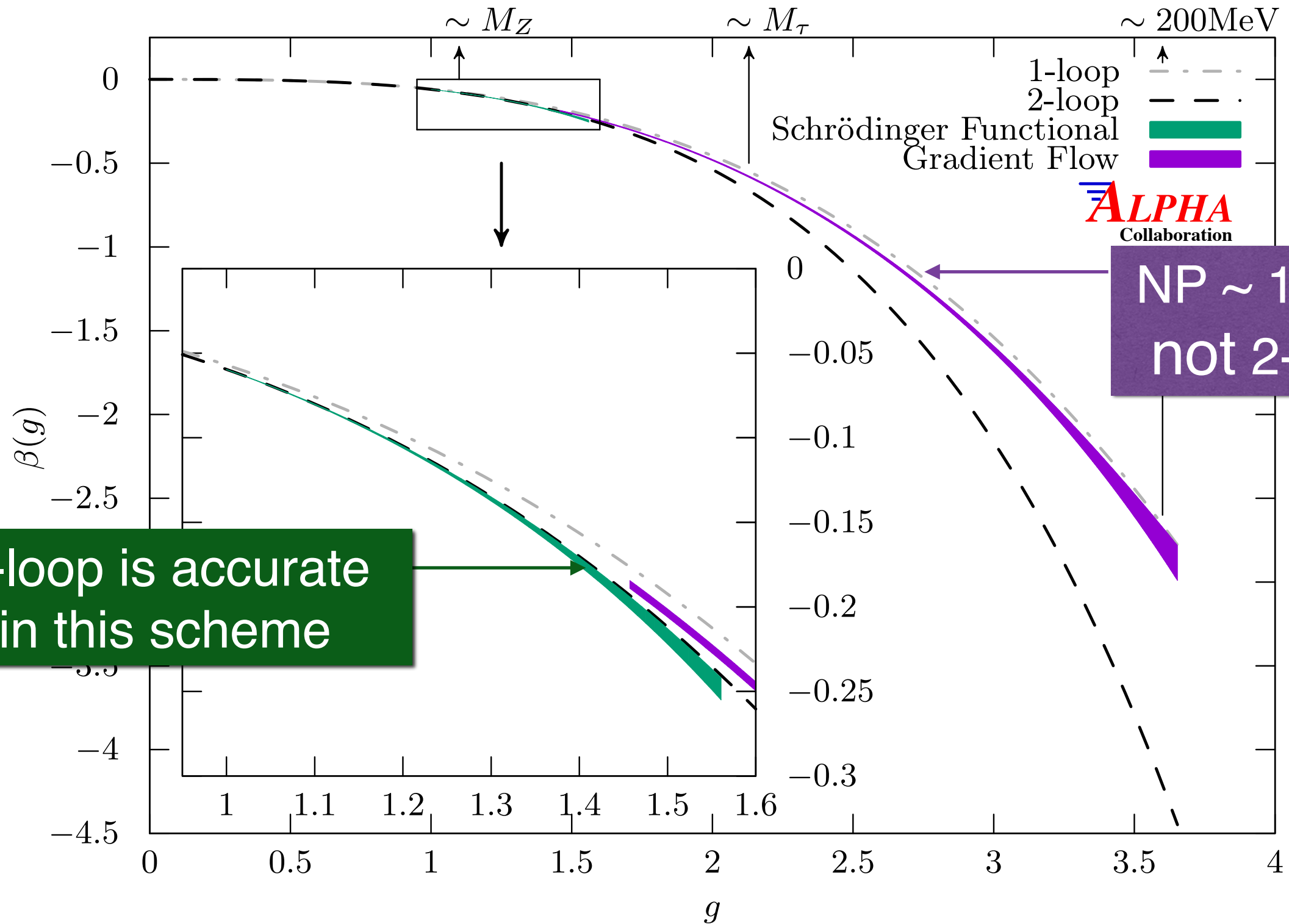
Non-perturbative β -functions for $N_f=3$ QCD



Non-perturbative β -functions for $N_f=3$ QCD



Non-perturbative β -functions for $N_f=3$ QCD



3-loop is accurate
in this scheme

NP \sim 1-loop
not 2-loop

ALPHA
Collaboration

Overall strategy

$\alpha_s(\mu)$

$f_K : K \rightarrow l\nu$

$f_\pi : \pi \rightarrow l\nu$

hadronic (low energy) scale

0.6

0.4

0.2

0

$\alpha_{\overline{\text{MS}}}$

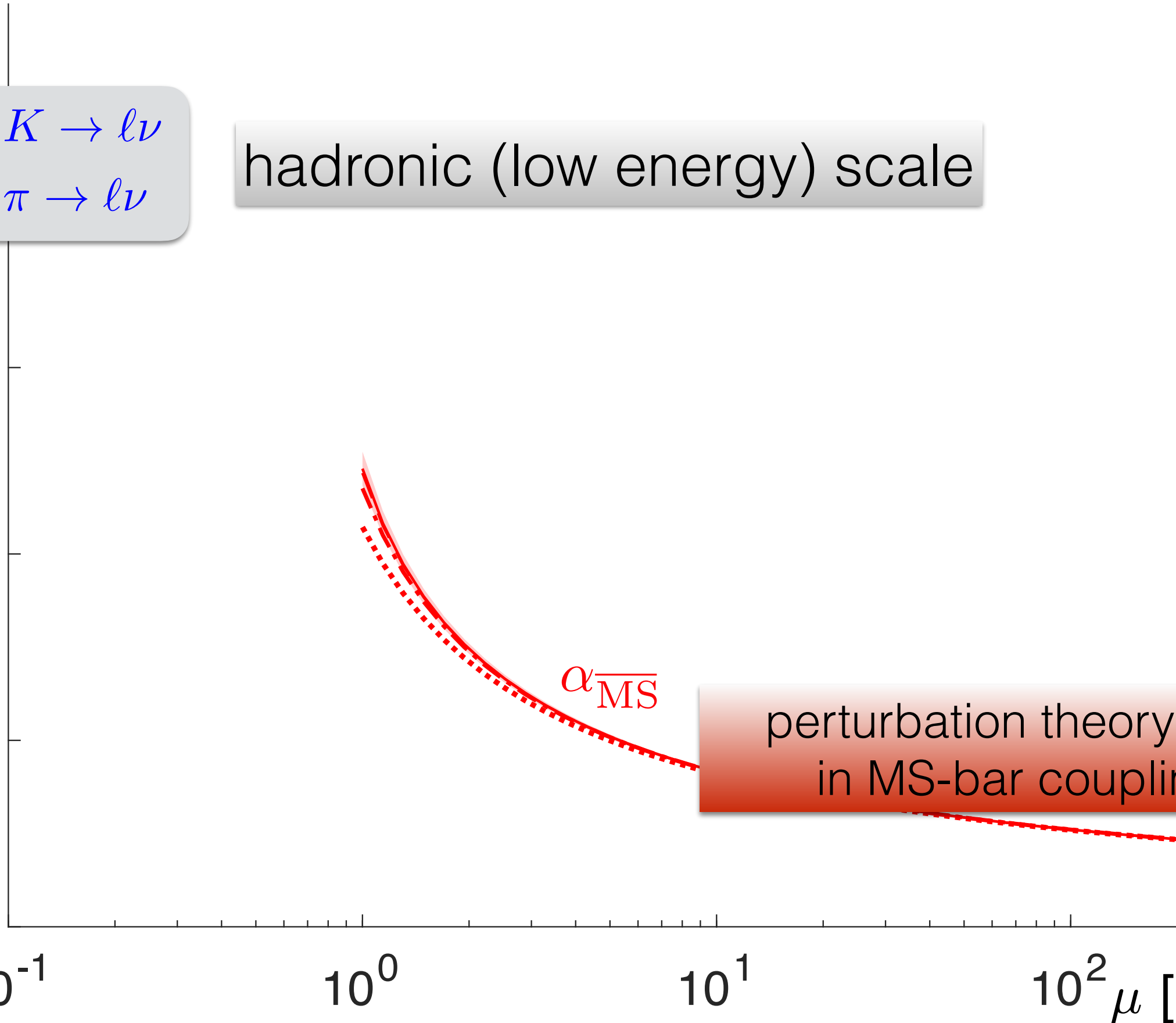
perturbation theory (PT)
in MS-bar coupling

10^{-1}

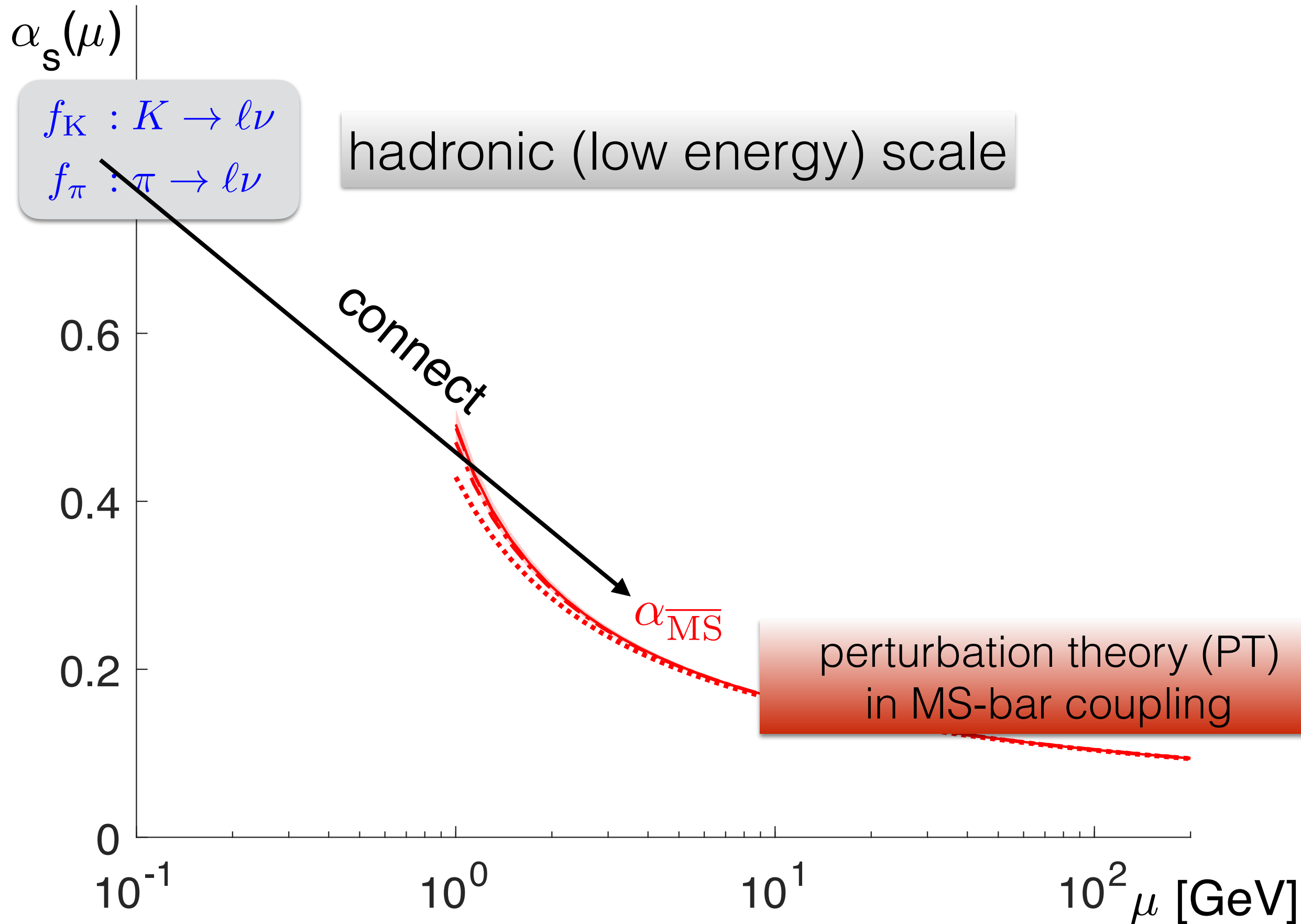
10^0

10^1

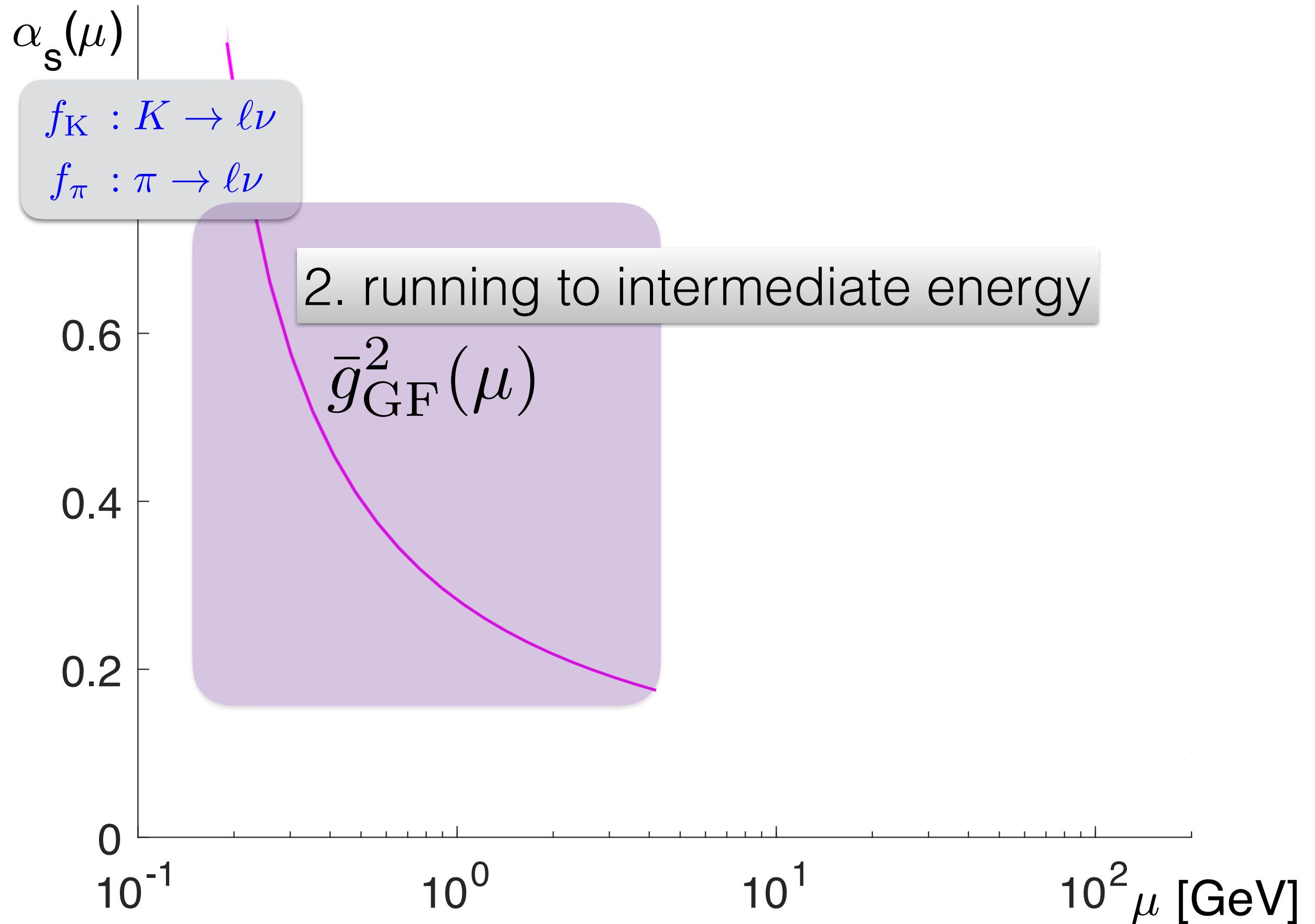
$10^2 \mu$ [GeV]



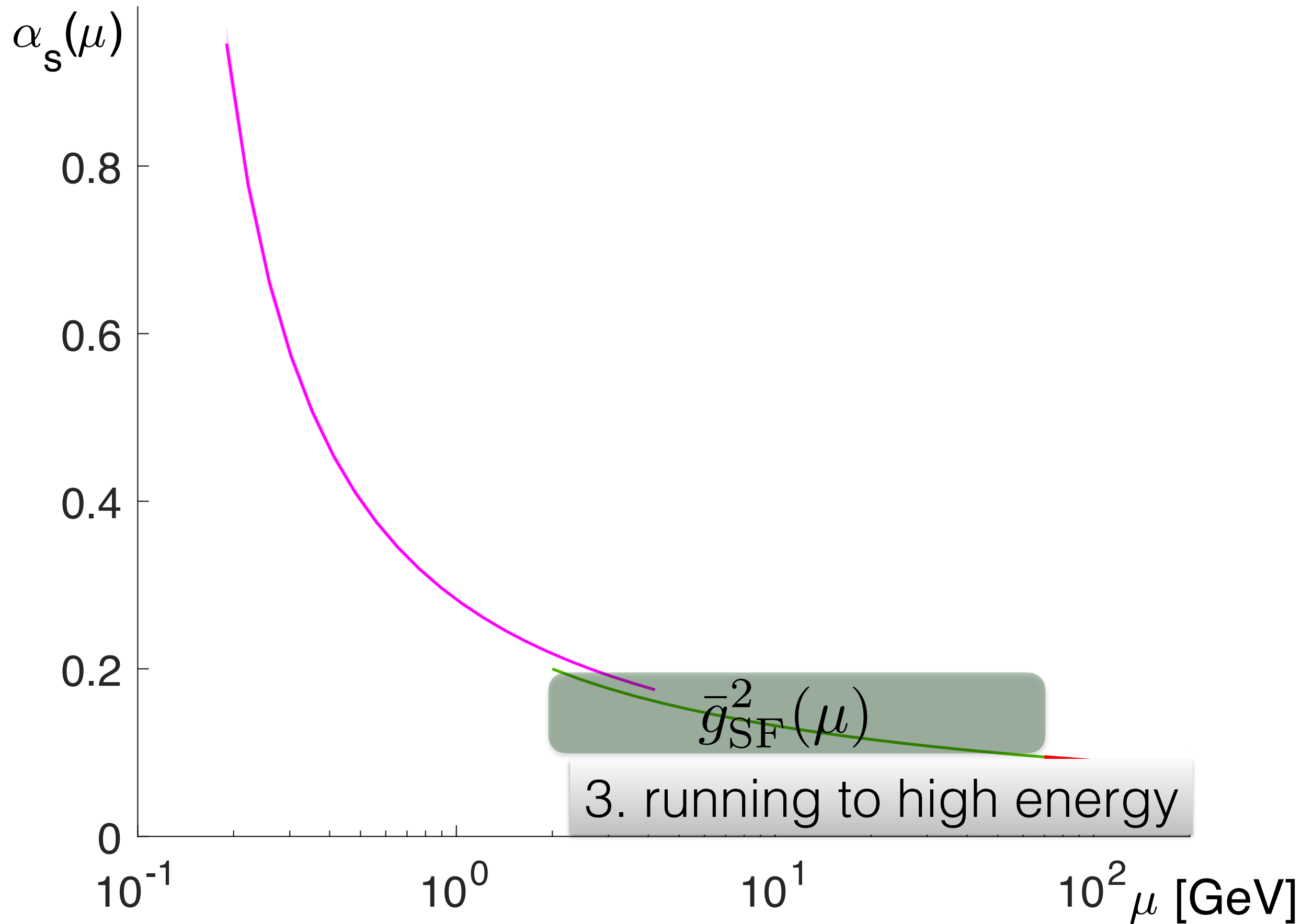
Overall strategy



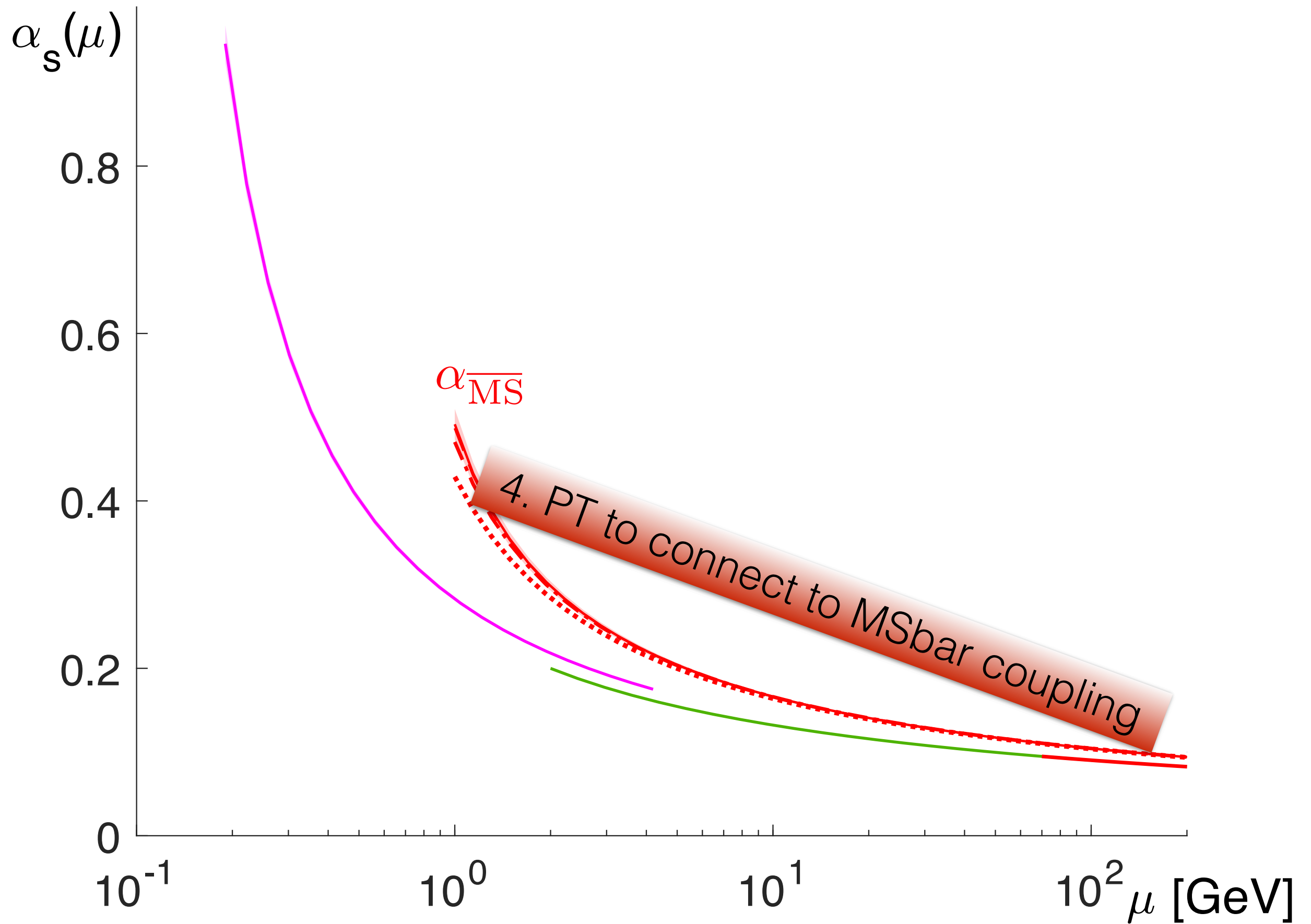
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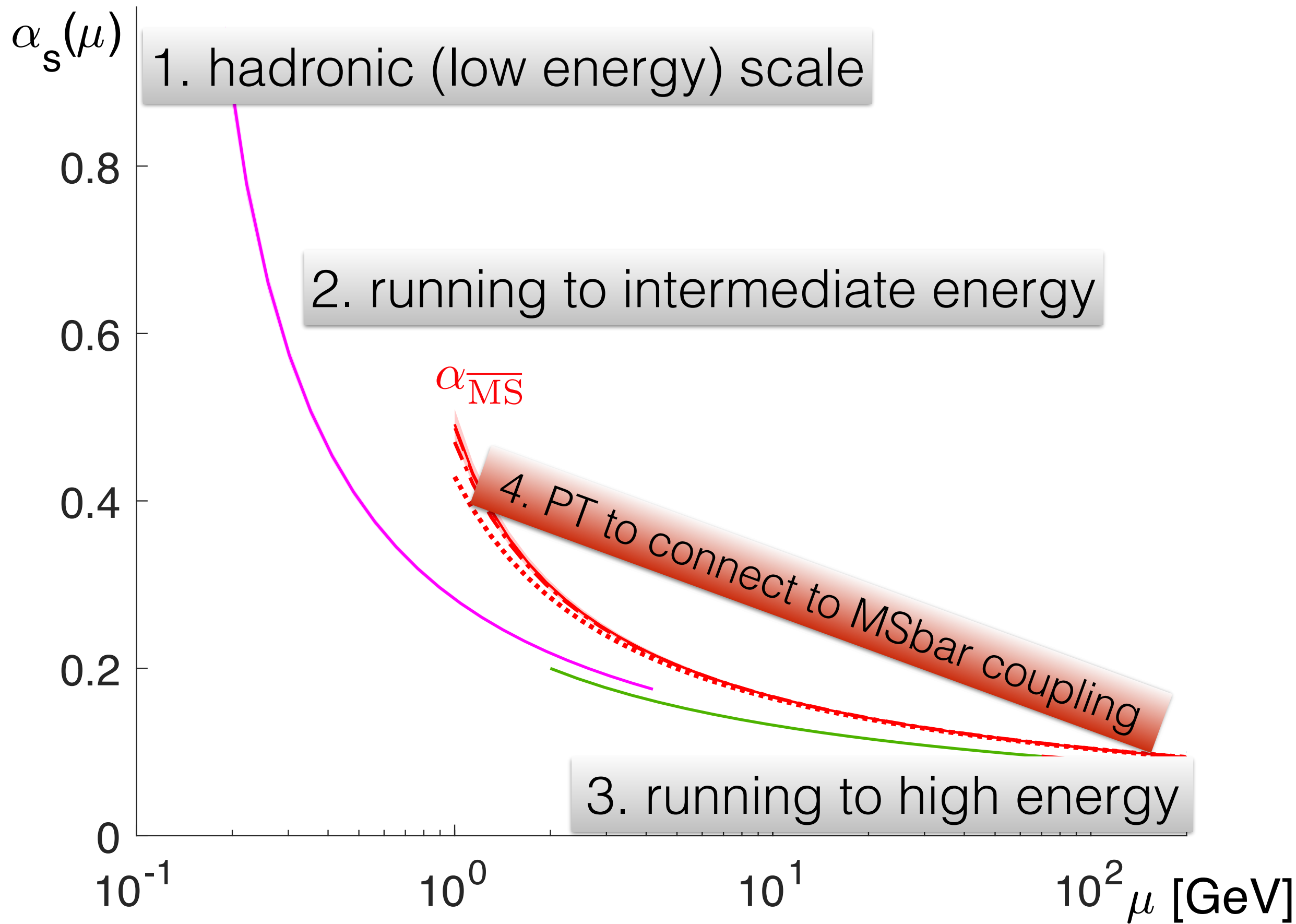
Overall strategy



Overall strategy



Overall strategy



1. Determination of hadronic scale: **CLS** Ensembles

▶ **CLS** Ensembles

- ▶ Large volume, large scale simulations, with theoretically well founded improved Wilson action
- ▶ coordinated between

CERN
MADRID
MAINZ
MILANO + ROMA
REGENSBURG
DESY, Standort ZEUTHEN

coordinated by S. Schaefer, Data management H. Simma

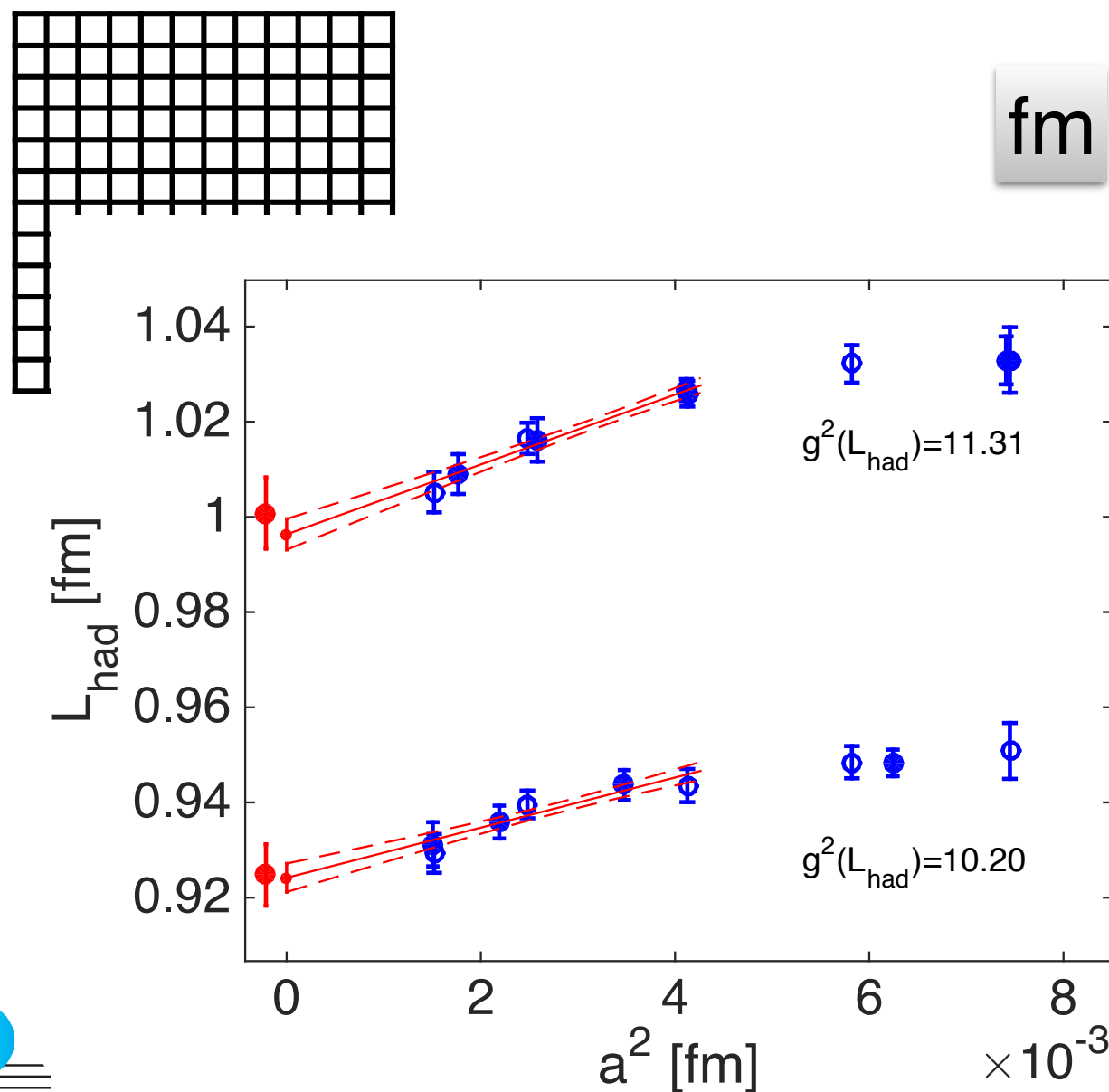
1. Determination of hadronic scale: **CLS** Ensembles

Bruno et al, 1411.3982
 Bruno, Korzec, Schaefer, 1608.089000



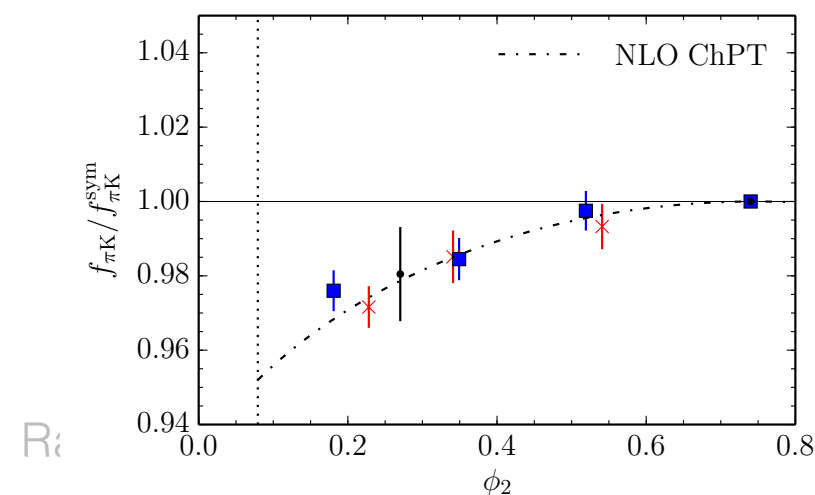
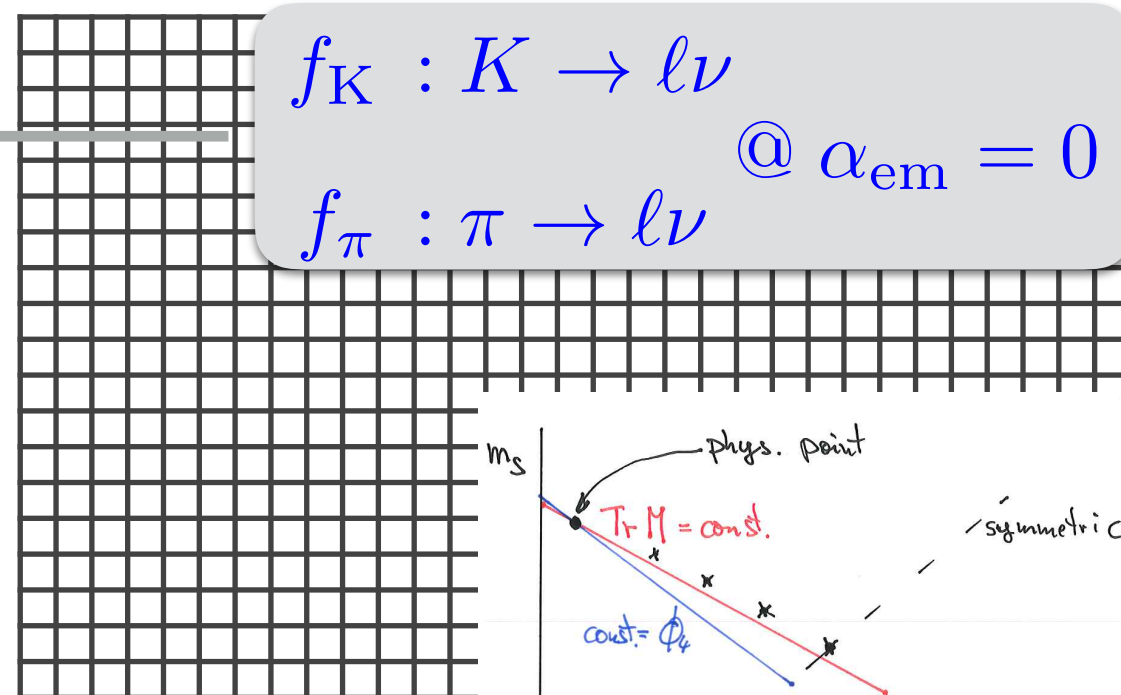
large L

simulated at common $g_0 \Leftrightarrow$ common lattice spacing a



fm

$f_K : K \rightarrow l\nu$
 $f_\pi : \pi \rightarrow l\nu$ @ $\alpha_{\text{em}} = 0$



Adding in c, b, t - quarks by perturbation theory (see later)

add charm

Weinberg (80),
Bernreuther&Wetzel (82),
...

Chetyrkin, Kühn & Sturm;
Schröder, Steinhauser (06)

5-loop β -fct:
Baikov, Chetyrkin, Kühn;
Luthe, Maier, Marquard,
Schröder (16)

$\alpha_s(\mu)$

0.4

0.35

0.3

0.25

0.2

0.15

0.1

0.05

0

10^0

10^1

10^2

μ [GeV]

add beauty

▶ 4-loop PT available

▶ adding fermion loops, “only”

▶ perturbative uncertainties are tiny

$\alpha_{\overline{\text{MS}}}(m_Z)$

1-loop: 0.11701

2 0.00128

3 0.00019

4 0.00006

uncertainty

estimate= 0.00025

add top

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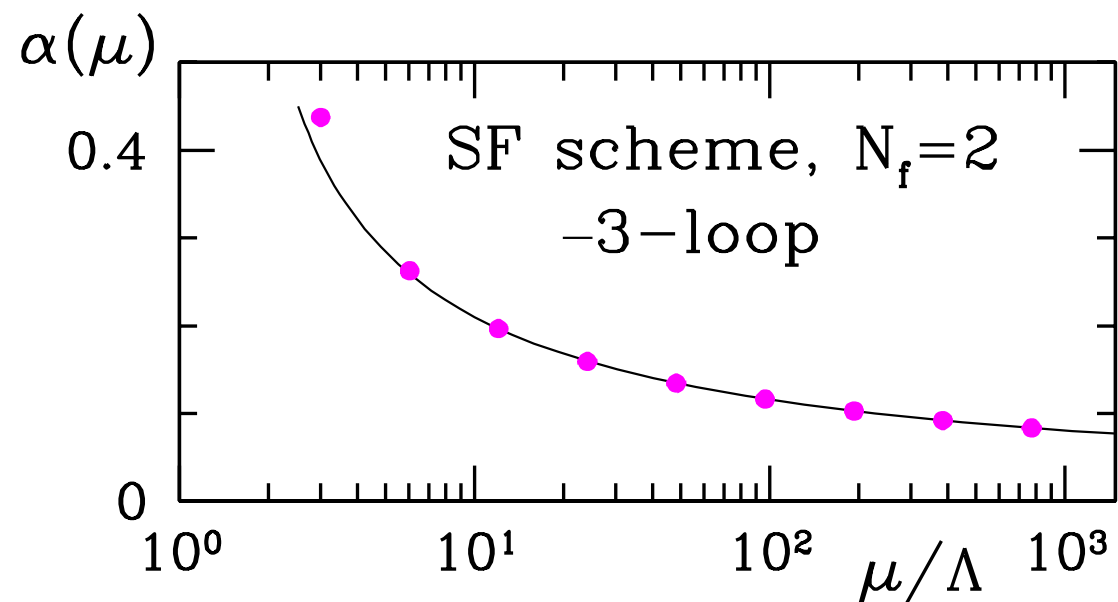
4 0.00006

uncertainty

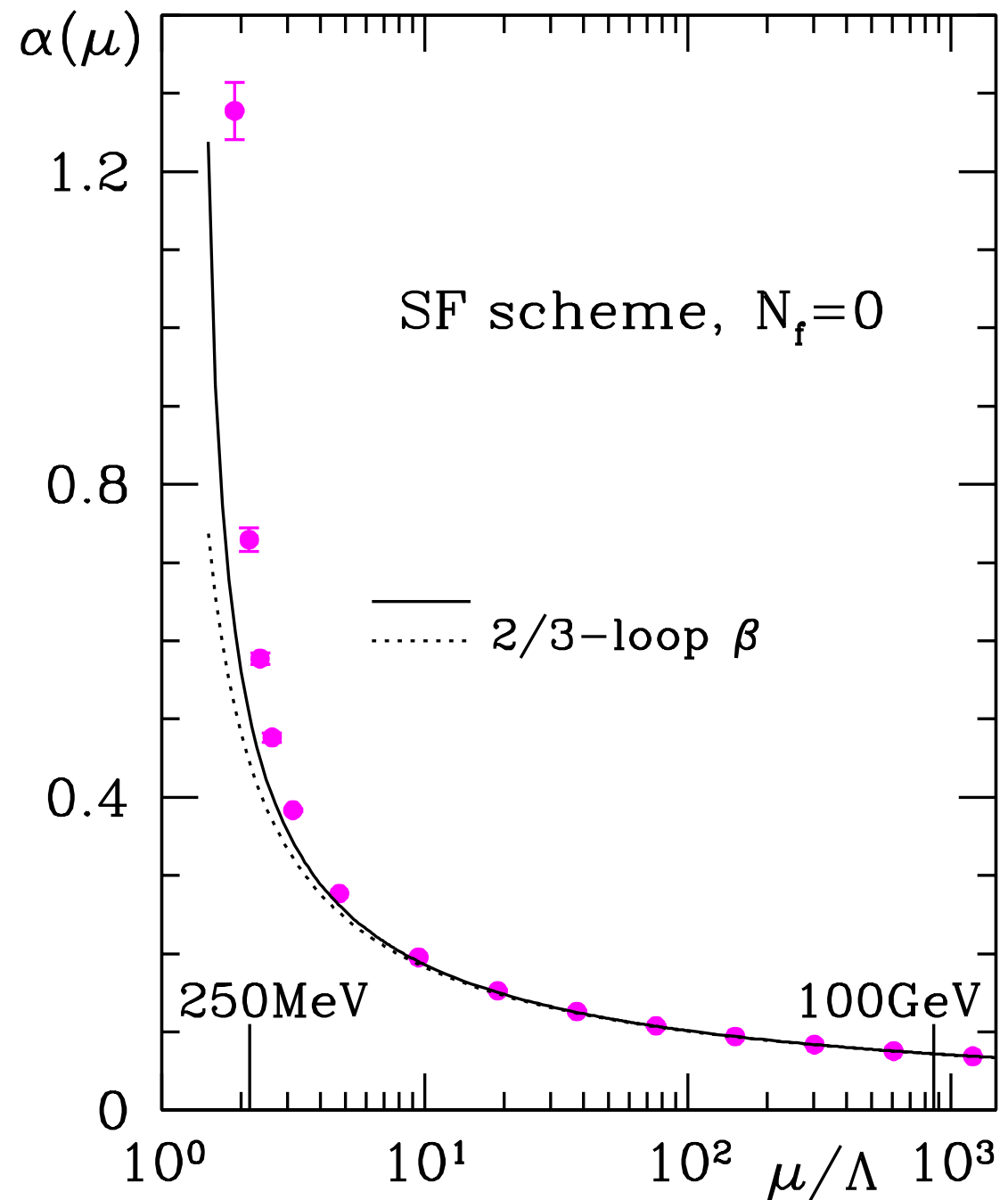
estimate= 0.00025

add top

$\alpha_{\overline{\text{MS}}}(m_Z) = 0.1185(8)(3)$



[ALPHA Collaboration, 2005]



[ALPHA Collaboration, 2001]

Boundary conditions matter in finite volume. Which ones?

A most relevant criterion is zero modes

- ▶ Zero modes of gauge fields
→ perturbative expansion (+ MC)
- ▶ Zero modes of Dirac operator
→ HMC stability

Path integral w.o. fermions

$$\langle O(U) \rangle = \frac{1}{\mathcal{Z}} \int \mathcal{D}[U] e^{-\beta \bar{S}(U)} O(U)$$

$$\bar{S}(U) = \sum_p \text{tr} (1 - U(p)), \quad \beta = \frac{6}{g_0^2}$$

PT, sketchy

$\beta \rightarrow \infty$ $U \approx U_{\min} \equiv V$ dominates (classical solution)

$$U(x, \mu) = V(x, \mu) e^{\bar{q}_\mu^b(x) T^b}, \quad \bar{q}_\mu^b(x) \ll 1, \quad \int \mathcal{D}[U] \rightarrow \int \mathcal{D}[\bar{q}]$$

$$\bar{S}(U) = \bar{S}(V) + \sum_{n,m} q_m K_{mn} q_n + \mathcal{O}(q^3), \quad q_n = \bar{q}_\mu^b(x), \quad n = (\frac{x}{a}, \mu, b)$$

$$O(U) = O(V) + \dots$$

Gauss integrals \rightarrow Wick contractions ... **IFF** K has no zero modes ($Kv = \lambda v, \lambda > 0$)

Generically there are zero modes

- ▶ gauge modes \rightarrow gauge fixing
- ▶ finite volume modes (gauge invariant)

“Ground state metamorphosis” [[Gonzales Arroyo, Jurkiewicz, Korthals-Altes](#)] with periodic BC's

Toy example: $SU(2)$, L^4 , $L = a$ lattice, PBC, $d = 2$, single point

- ▶ $\bar{S} = 2 - \text{tr} (U_2 U_1 U_2^\dagger U_1^\dagger)$
- ▶ $\text{tr} U_i$ is gauge invariant, U_i can't be gauged away
- ▶ minima: $U_1 = U_2 = V \dots$ pick $U_1 = U_2 = 1$.
- ▶ fluctuations $U_i = e^{i\sigma^b q_i^b}$

$$\bar{S} = 2 - \text{tr} e^{i\sigma^b q_2^b} e^{i\sigma^b q_1^b} e^{-i\sigma^b q_2^b} e^{-i\sigma^b q_1^b} = O(q^4) \rightarrow K = 0$$

- ▶ $q = O(\beta^{-1/4}) = O(g_0^{1/2})$
PT in powers of g_0 , not g_0^2 NOT regular
- ▶ In general: mixture of gaussian and non-gaussian modes
integrate over non-gaussian ones exactly ...
complicated, non-universal β -function
it can be worse, divergent behavior, $1/\log(g)$ terms , see [Nogradi et al., 2012]
- ▶ think of these U_i as Polyakov loops \rightarrow relevant for 4-d gauge theory.
“Ground state metamorphosis” [Gonzales Arroyo, Jurkiewicz, Korthals-Altes]

$$V(x, \mu) = 1, \quad \text{PBC: } \psi(x + L\hat{\mu}) = \psi(x)$$

massless Dirac operator has a zero mode (constant mode, $p = 0$)

easily fixed by

$$\psi(x + L\hat{\mu}) = e^{i\alpha} \psi(x)$$

e.g. $\alpha = \pi/2$ in SU(2), $\alpha = \pi/3$ in SU(3)

Exercise: why these values of α ?

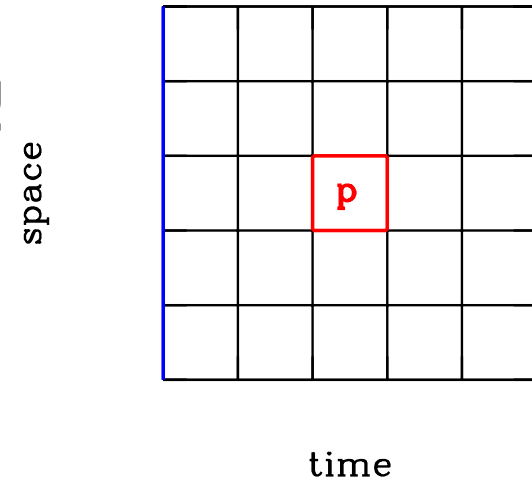
Finite volume schemes

Schrödinger functional

Boundary conditions

- ▶ Space: PBC
- ▶ Time: Dirichlet, breaks translation invariance!

Yang Mills theory [Lüscher, Narayanan, Weisz & Wolff]:



$$\mathcal{Z}(V, V') = \int D(U)_{\text{inside}} e^{-S_{\text{SF}}(U)}$$

$$S_{\text{SF}}(U) = \sum_{p \text{ inside}} \beta \text{tr} (1 - U(p)), \quad U(x, k) = \begin{cases} V(\mathbf{x}, k) & x_0 = 0 \\ V'(\mathbf{x}, k) & x_0 = T \end{cases}$$

Standard introduction of Hilbert space, transfer matrix:

$$\mathcal{Z}(V, V') = \langle V' | \underbrace{e^{-\hat{H}T}}_{\mathbb{T}^{T/a}} \underbrace{\mathbb{P}_0}_{\uparrow} | V \rangle, \quad \hat{U}(\mathbf{x}, k) | U \rangle = U(\mathbf{x}, k) | U \rangle$$

projector onto gauge invariant states

$\mathcal{Z}(V, V') =$ Euclidean time propagation kernel by time $T =$ Schrödinger functional

Wilson Dirac operator (also others are possible)

$$D_W = \frac{1}{2} \{ \gamma_\mu (\nabla_\mu + \nabla_\mu^*) - a \nabla_\mu^* \nabla_\mu \}$$

$$\nabla_\mu \psi(x) = \frac{1}{a} [U(x, \mu) \psi(x + a\hat{\mu}) - \psi(x)]$$

$$\nabla_\mu^* \psi(x) = \frac{1}{a} [\psi(x) - U(x - a\hat{\mu}, \mu)^{-1} \psi(x - a\hat{\mu})]$$

Schrödinger functional action

$$S_F = a^4 \sum_x \bar{\psi}(x) [m_0 + D_W] \psi(x),$$

with $\psi(x) = 0, \bar{\psi}(x) = 0$ for $x_0 \leq 0$, and $x_0 \geq T$

In the continuum theory this corresponds to BC's [Sint, 1994]

$$\begin{aligned} P_+ \psi(x)|_{x_0=0} = 0 & & \bar{\psi}(x) P_- \Big|_{x_0=0} = 0 & & P_\pm = \frac{1}{2} (1 \pm \gamma_0) \\ P_- \psi(x)|_{x_0=T} = 0 & & \bar{\psi}(x) P_+ \Big|_{x_0=T} = 0 & & \end{aligned}$$

These BC's are stable: emerge in the cont. limit without fine-tuning. Universality! [Lüscher, 2006]

The Universality class is characterised by Parity invariance, discrete rot. invariance (not chiral symm).

Finite volume schemes

Schrödinger functional : boundary quark fields

Correlation functions can be formed with the usual fields in the interior (bulk) **and the boundary quark fields**

$$\zeta(\mathbf{x}) = P_- U(x, 0) \psi(x + a\hat{0}) \Big|_{x_0=0}$$

$$\bar{\zeta}(\mathbf{x}) = \bar{\psi}(x + a\hat{0}) P_+ U(x, 0)^{-1} \Big|_{x_0=0}$$

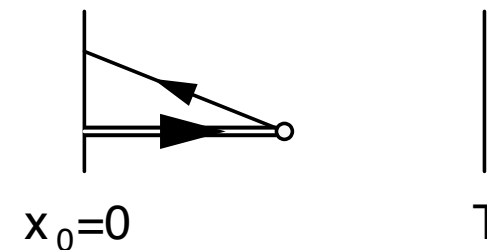
$$\zeta'(\mathbf{x}) = P_+ U(x - a\hat{0}, 0)^{-1} \psi(x - a\hat{0}) \Big|_{x_0=T}$$

$$\bar{\zeta}'(\mathbf{x}) = \bar{\psi}(x - a\hat{0}) P_- U(x - a\hat{0}, 0) \Big|_{x_0=T}$$

A very interesting feature of these is that one can form correlation functions where the **quark** fields are projected to $\mathbf{p} = 0$. (Note that the gauge fields at the boundaries are fixed).

$$f_P^{rs}(x_0) = a^6 \sum_{\mathbf{v}, \mathbf{y}} \langle \bar{\zeta}_s(\mathbf{v}) \gamma_5 \zeta_r(\mathbf{y}) P^{rs}(x) \rangle$$

$$P^{rs}(x) = \bar{\psi}_r(x) \gamma_5 \psi_s(x)$$



Finite volume schemes

Schrödinger functional : boundary quark fields

boundary quark fields

$$\zeta(\mathbf{x}) = P_- U(x, 0) \psi(x + a\hat{0}) \Big|_{x_0=0}$$

$$\bar{\zeta}(\mathbf{x}) = \bar{\psi}(x + a\hat{0}) P_+ U(x, 0)^{-1} \Big|_{x_0=0}$$

$$\zeta'(\mathbf{x}) = P_+ U(x - a\hat{0}, 0)^{-1} \psi(x - a\hat{0}) \Big|_{x_0=T}$$

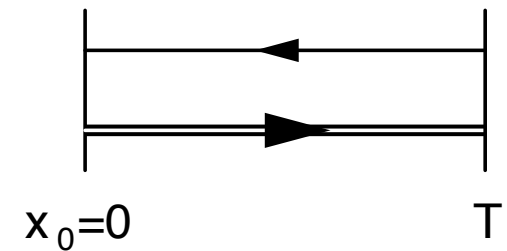
$$\bar{\zeta}'(\mathbf{x}) = \bar{\psi}(x - a\hat{0}) P_- U(x - a\hat{0}, 0) \Big|_{x_0=T}$$

These boundary quark fields renormalize multiplicatively.

$$\zeta_{\text{R}}(\mathbf{x}) = Z_{\zeta} \zeta(\mathbf{x}), \dots, \bar{\zeta}'_{\text{R}} = Z_{\zeta} \bar{\zeta}'(\mathbf{x})$$

Define also boundary-to-boundary correlation functions

$$f_1^{rs} = \frac{a^{12}}{L^6} \sum_{\mathbf{v}, \mathbf{y}, \mathbf{u}, \mathbf{x}} \langle \bar{\zeta}_s(\mathbf{v}) \gamma_5 \zeta_r(\mathbf{y}) \bar{\zeta}'_r(\mathbf{u}) \gamma_5 \zeta'_s(\mathbf{x}) \rangle$$



Then

$$(f_1^{rs})_{\text{R}} = Z_{\zeta}^4 (f_1^{rs}), \quad (f_{\text{P}}^{rs}(x_0))_{\text{R}} = Z_{\zeta}^2 Z_{\text{P}} (f_{\text{P}}^{rs}(x_0))$$

- ▶ Regular PT (no gauge field zero modes)
- ▶ Gap for Dirac operators
- ▶ Momentum zero boundary quark fields
(spatially one takes pbc up to a phase, cf “flavor twisted bc”)
- ▶ Schrödinger functional coupling defined with non-trivial V, V'
 β -function known to 3-loops [LNWW; LW; Bode, Weisz, Wolff]

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- ▶ We can define Z -factors (schemes) for composite fields, e.g.

$$Z_P = \frac{1}{c(a/L)} \frac{\sqrt{f_1^{rs}}}{f_P^{rs}(T/2)}, \quad c(a/L) = \frac{\sqrt{f_1^{rs}}}{f_P^{rs}(T/2)} \Big|_{g_0=0}$$

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- ▶ There is also a new SF coupling ...

Consider the free Schrödinger functional , i.e. $U(x, \mu) = 1$ with pbc in space for the fermions.

- ▶ Show that $f_P(x_0) = \text{constant}$ for mass-less quarks.
hints:
 - write down the Wick-contraction in terms of the Schrödinger functional propagator
 - note that it is appropriate to go to momentum space concerning the space components, but to remain in coordinate space concerning the time coordinates
 - what is the the equation for the spatial $\mathbf{p} = 0$ contribution to the propagator?
note how it splits into P_{\pm} pieces
 - solve the equation by “inspection”, iteration
 - obtain the result for arbitrary quark mass
- ▶ Could this result be guessed by dimensional reasoning?

- ▶ Gradient flow [[Lüscher, 2010](#); [Lüscher & Weisz, 2011](#)]
new observables
 - UV finite (proven to all orders of PT)
 - excellent numerical precision
 - renormalized coupling in finite volume with pbc [[BMW, 2012](#)]
- ▶ Flow in finite volume, SF [[P. Fritsch & Ramos, arXiv:1301.4388](#)]
 - lowest order PT to define a new coupling
 - numerical investigation shows excellent precision
- ▶ Flow with gauge fields AND quark fields [[Lüscher, arXiv:1302.5246](#)]
- ▶ General idea

$$x = (x_0, \mathbf{x}), \quad t = \text{flow time}$$

$$A_\mu(x) = \text{quantum gauge fields} : \quad \mathcal{Z} = \int D[A_\mu(x)] \dots$$

$$B_\mu(x, t) = \text{smoothed gauge fields}, \quad B_\mu(x, 0) = A_\mu(x)$$

$$\frac{dB_\mu(x, t)}{dt} = D_\nu G_{\nu\mu}(x, t) + \text{gauge fixing}$$

$$\sim - \frac{\delta S_{YM}[B]}{\delta B_\mu}$$

correlation functions of B -fields at arbitrary points are finite

- ▶ in PT: $A_\mu(x) = g_0 \bar{A}_\mu(x)$

$$B_\mu(x, t) = B_{\mu,1}(x, t)g_0 + B_{\mu,2}(x, t)g_0^2 + \dots$$

$$G_{\nu\mu} = [\partial_\nu B_{\mu,1} - \partial_\mu B_{\nu,1}]g_0 + O(g_0^2), \quad D_\nu = \partial_\nu + O(g_0)$$

$$\rightarrow \dot{B}_{\mu,1}(x, t) = \partial_\nu \partial_\nu B_{\mu,1}(x, t)$$

- ▶ heat equation

$$B_{\mu,1}(x, t) = \int d^D p e^{ipx} b_\mu(p, t)$$

$$\dot{b}_\mu = -p^2 b_\mu \rightarrow b_\mu(p, t) = b_\mu(p, 0)e^{-p^2 t}$$

$$B_{\mu,1}(x, t) = \int d^D y K_t(x - y) \bar{A}_\mu(y), \quad K_t(z) = (4\pi t)^{-D/2} e^{-z^2/(4t)}$$

- ▶ smoothing over a radius of $\sqrt{8t}$
- ▶ gaussian damping of large momenta

- ▶ in PT: $A_\mu(x) = g_0 \bar{A}_\mu(x)$

$$B_\mu(x, t) = B_{\mu,1}(x, t)g_0 + B_{\mu,2}(x, t)g_0^2 + \dots$$

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- ▶ smoothing over a radius of $\sqrt{8t}$
- ▶ gaussian damping of large momenta
- ▶ all correlation functions of B_μ are finite ($t > 0$) [Lüscher & Weisz, 2011]

in particular $\langle E(t) \rangle$, $E(t) = -\frac{1}{2} \text{tr} G_{\mu\nu} G_{\mu\nu}$

- ▶ order by order iteration:

$$B_\mu(x, t) = \sum_k B_{\mu, k}(x, t) g_0^k$$

$$\dot{B}_{\mu, k}(x, t) - \partial_\nu \partial_\nu B_{\mu, k}(x, t) = R_{\mu, k}$$

$$R_{\mu, 1} = 0, \quad B_{\mu, 1}(x, t) = \int d^D y K_t(x - y) \bar{A}_\mu(y)$$

$$R_{\mu, 2} = 2[B_{\nu, 1}, \partial_\nu B_{\mu, 1}] - [B_{\nu, 1}, \partial_\mu B_{\nu, 1}],$$

$$R_{\mu, 3} = 2[B_{\nu, 2}, \partial_\nu B_{\mu, 1}] + 2[B_{\nu, 1}, \partial_\nu B_{\mu, 2}] \\ - [B_{\nu, 2}, \partial_\mu B_{\nu, 1}] - [B_{\nu, 1}, \partial_\mu B_{\nu, 2}] + [B_{\nu, 1}, [B_{\nu, 1}, B_{\mu, 1}]],$$

...

$$B_{\mu, k}(t, x) = \int_0^t ds \int d^D y K_{t-s}(x - y) R_{\mu, k}(s, y) \quad k > 1$$

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$$R_{\mu, 3} = 2[B_{\nu, 2}, \partial_\nu B_{\mu, 1}] + 2[B_{\nu, 1}, \partial_\nu B_{\mu, 2}] \\ - [B_{\nu, 2}, \partial_\mu B_{\nu, 1}] - [B_{\nu, 1}, \partial_\mu B_{\nu, 2}] + [B_{\nu, 1}, [B_{\nu, 1}, B_{\mu, 1}]],$$

...

$$B_{\mu, k}(t, x) = \int_0^t ds \int d^D y K_{t-s}(x - y) R_{\mu, k}(s, y) \quad k > 1$$

- ▶ For $\langle E \rangle$, $E = -\frac{1}{2} \text{tr} G_{\mu\nu} G_{\mu\nu}$

$$\langle E \rangle = E_0 g_0^2 + E_0 g_0^4 + \dots$$

$$E_0 = \langle \text{tr} [\partial_\mu B_{\nu, 1} \partial_\mu B_{\nu, 1} - \partial_\mu B_{\nu, 1} \partial_\nu B_{\mu, 1}] \rangle$$

$$\sim \int_p e^{-p^2 2t} [p^2 \delta_{\mu\nu} - p_\mu p_\nu] D_{\mu\nu}(p) \quad \text{finite (also with cutoff reg'n)!}$$

use the flow in SF: $T \times L^3$ world with Dirichlet BC in time, $T = L$
define

$$\begin{aligned}\langle E(t) \rangle &\equiv -\frac{1}{2} \langle \text{tr} G_{\mu\nu} G_{\mu\nu}(x, t) \rangle_{x_0=T/2} = \frac{\mathcal{N}}{t^2} \bar{g}_{\text{MS}}^2(\mu) (1 + c_1 \bar{g}_{\text{MS}}^2 + \dots) \\ \bar{g}_{\text{GF}}^2(L) &\equiv \mathcal{N}^{-1} t^2 \langle E(t) \rangle \Big|_{t=c^2 L^2/8}\end{aligned}$$

This is a family of schemes characterized by c (dimensionless)

use the flow in SF: $T \times L^3$ world with Dirichlet BC in time, $T = L$
define

$$\langle E(t) \rangle \equiv -\frac{1}{2} \langle \text{tr} G_{\mu\nu} G_{\mu\nu}(x, t) \rangle_{x_0=T/2} = \frac{\mathcal{N}}{t^2} \bar{g}_{\text{MS}}^2(\mu) (1 + c_1 \bar{g}_{\text{MS}}^2 + \dots)$$

$$\bar{g}_{\text{GF}}^2(L) \equiv \mathcal{N}^{-1} t^2 \langle E(t) \rangle \Big|_{t=c^2 L^2/8}$$

This is a family of schemes characterized by c (dimensionless)

$$\mathcal{N}(c) = \frac{c^4 (N^2 - 1)}{128} \sum_{\mathbf{n}, n_0} e^{-c^2 \pi^2 (\mathbf{n}^2 + \frac{1}{4} n_0^2)}$$

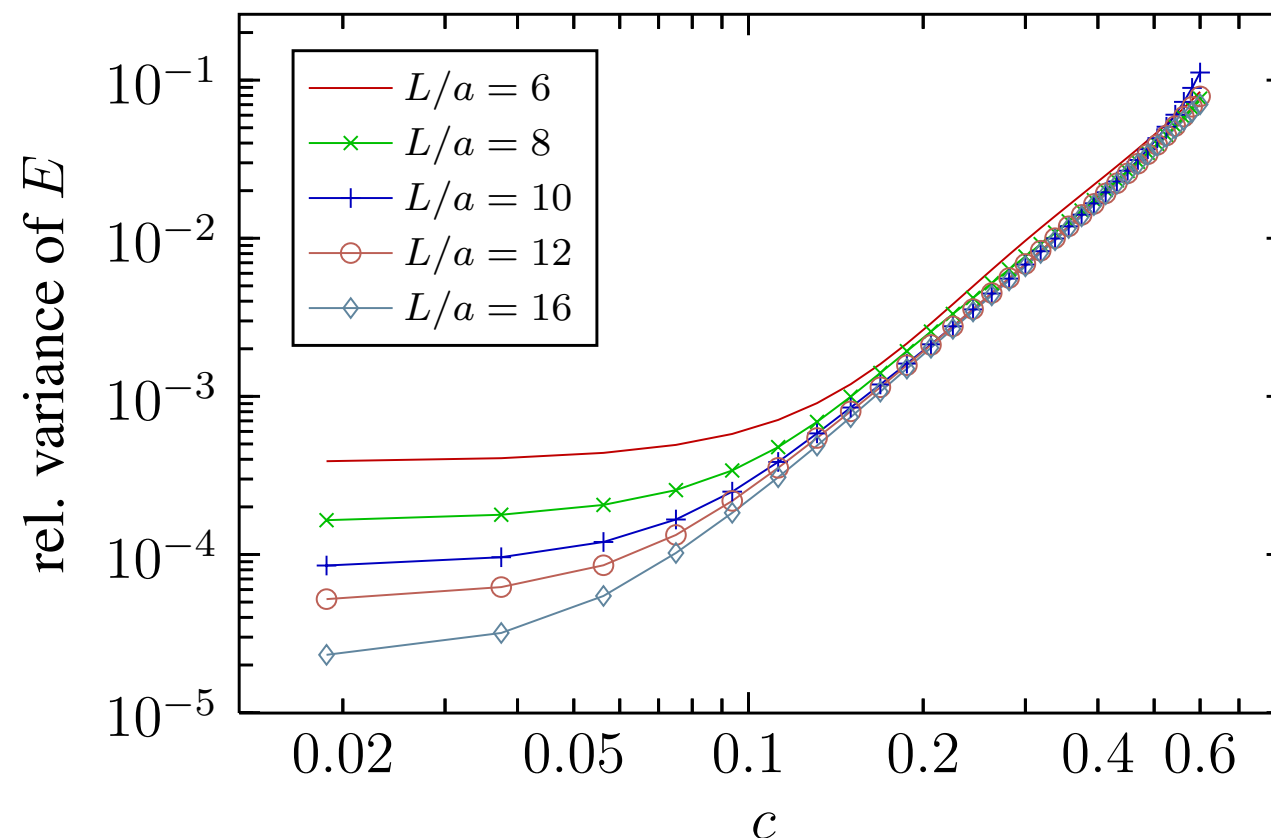
$$\times \frac{2\mathbf{n}^2 s_{n_0}^2 (T/2) + (\mathbf{n}^2 + \frac{3}{4} n_0^2) c_{n_0}^2 (T/2)}{\mathbf{n}^2 + \frac{1}{4} n_0^2}$$

- ▶ the lattice version is known (and needed)

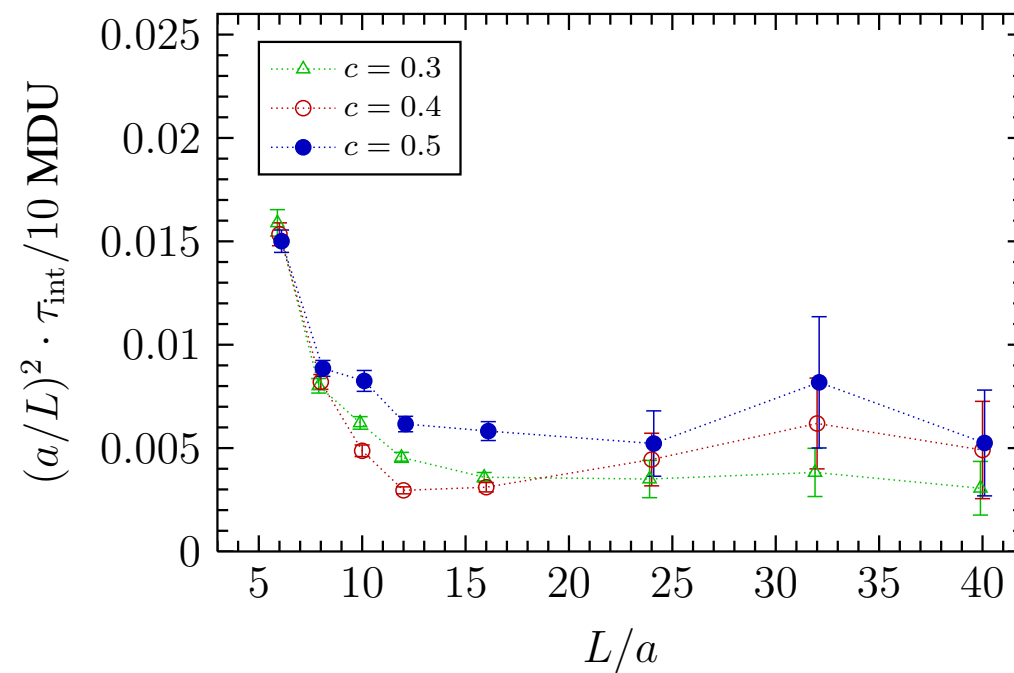
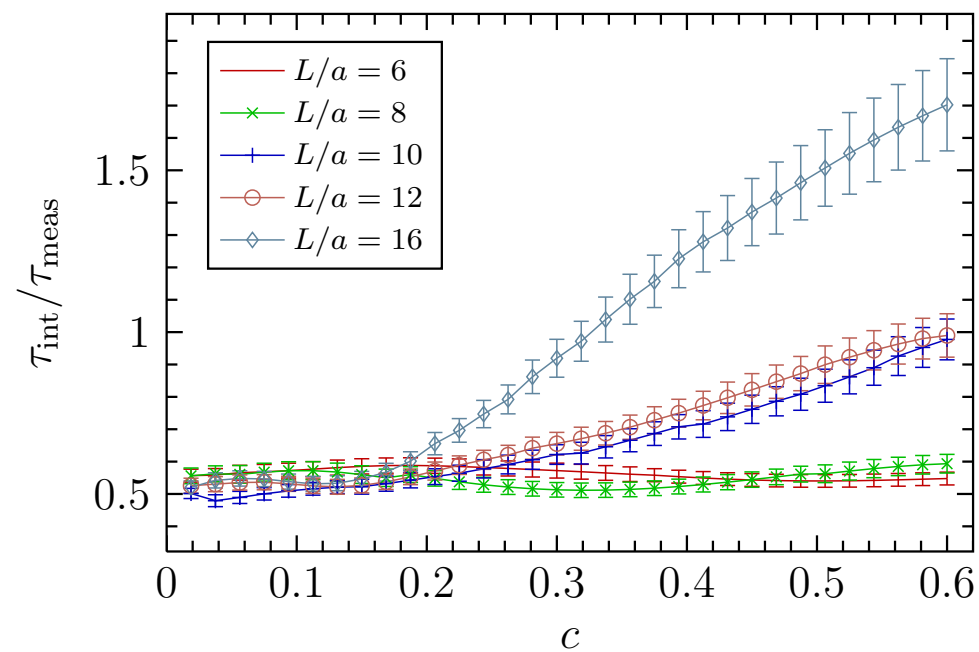
$$\text{relative variance} = \frac{\langle E^2 \rangle - \langle E \rangle^2}{\langle E \rangle^2}$$

should be finite as $a \rightarrow 0$, $L/a \rightarrow \infty$

Numerically, Fritzsche & Ramos:



autocorrelations scale as expected: $\tau_{\text{int}} \propto a^{-2}$



Statistical precision is good and theoretically understood.
There will be no surprises on the way to the continuum limit.