

*Search for Higgs production in  
association with top quark pair in  
multilepton final state with  $80\text{fb}^{-1}$  data  
collected by ATLAS detector*

Based on conf note: [ATLAS-CONF-2019-045](#)



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Shandong University  
CLHCP 2019  
Dalian



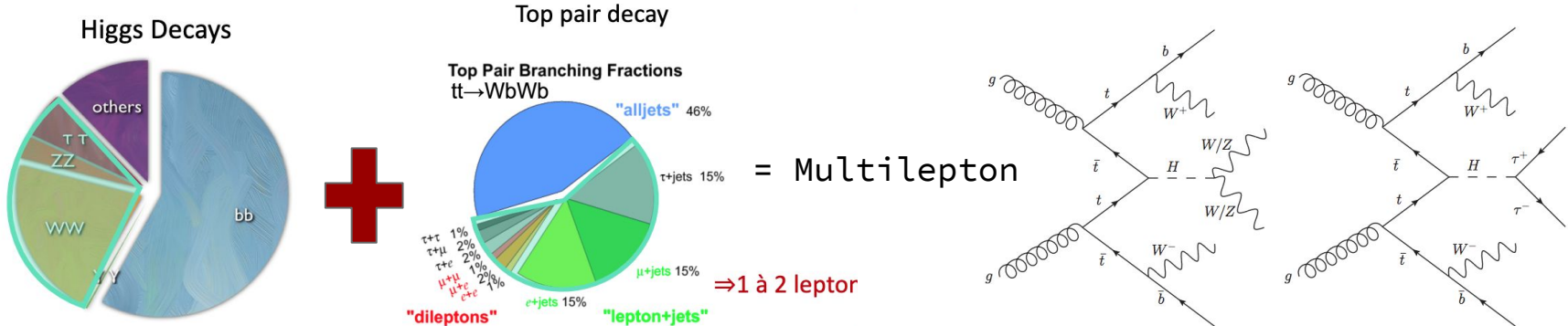
山东大学

# Outline

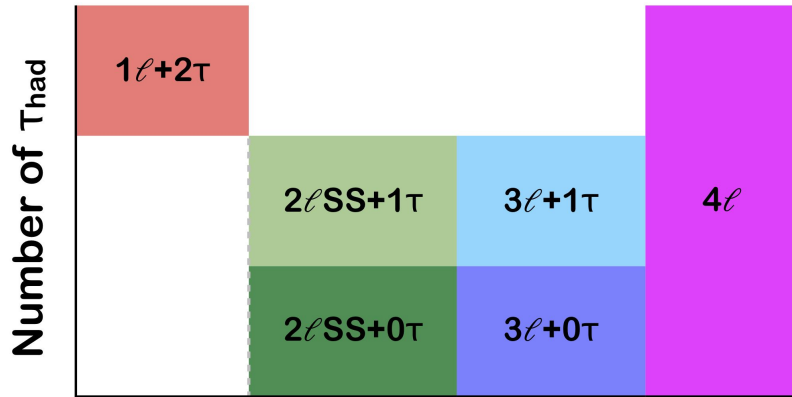
- Motivation
- Analysis overview
- Background estimate
- Result

# Motivation

- Direct measurement of the Yukawa coupling between top quark and Higgs boson at tree level via  $t\bar{t}H$  cross section measurement
- $t\bar{t}H$  production cross section at 13 TeV is  $\sim 1\%$  of total Higgs production cross section
- Multilepton signatures at decay modes with  $\geq 1$  leptons ( $WW$ ,  $\tau\tau$ ,  $ZZ$ ) from both top quark and Higgs boson decays



# Overview

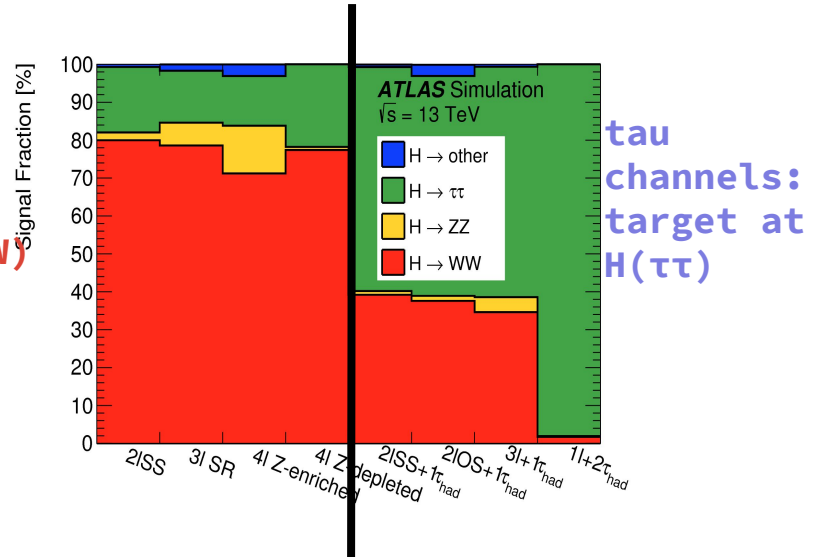


Number of light  $\ell$

What we have in multilepton:

- 2/3/4 leptons ( $e/\mu$ )
- 1 or 2 hadronic taus ( $\tau_{had}$ )
- several jets (usually  $\geq 2$ )
- in which some are b-tagged (usually  $\geq 1$ )

0-tau:  
target  
at  $H(WW)$



tau  
channels:  
target at  
 $H(\tau\tau)$

The selections among different sub-channels are **orthogonal at object level** to avoid overlaps and to allow combination.

# Backgrounds

- Reducible backgrounds: Events with non-prompt leptons

- Non-prompt leptons
- Fake tau
- Charge flip

Important bkg in most channels

- Irreducible background: Can really mimic the signal topology, with prompt leptons

- $ttW$
- $ttZ$
- Diboson
- ...

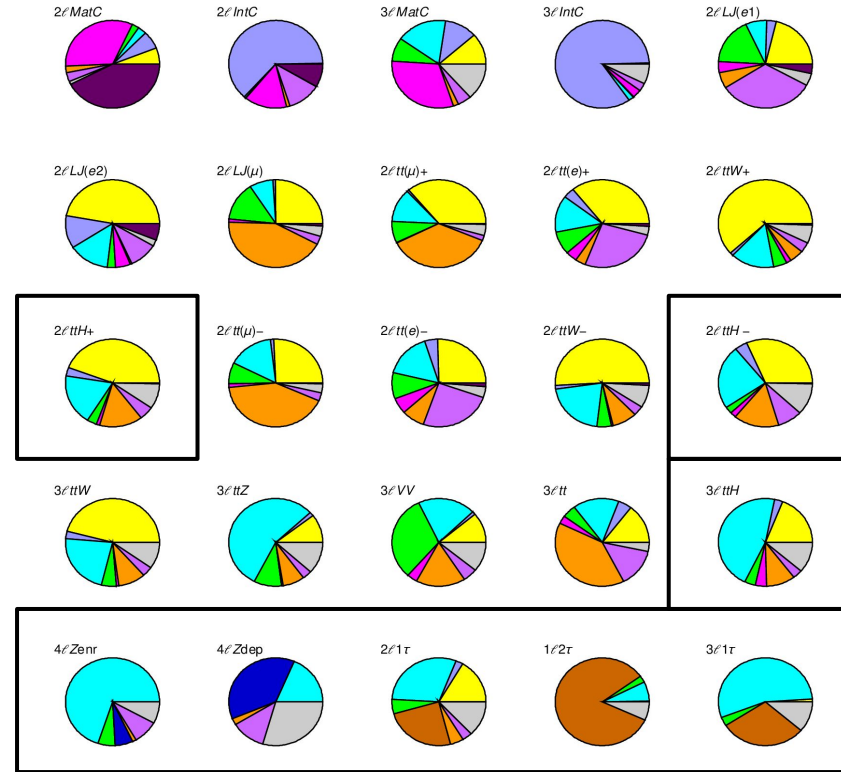
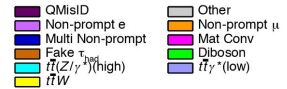
Basically from simulation, with some corrections from CR

ATLAS

$\sqrt{s} = 13 \text{ TeV}$ ,  $79.9 \text{ fb}^{-1}$   
Pre-Fit

Preliminary

SR



# Non-prompt tau

- Mainly arise from  $t\bar{t}$  events with a jet mis-identified as hadronic tau
- $2l_{ss}1\tau/3l_{1\tau}$ : Estimate from a dedicated CR: requiring OS lepton pair,  $\geq 3$  jets,  $\geq 1$  bjets, 1 hadronic tau
  - A scale factor (Data/MC) is derived to correct possible mismodelling of the fake tau rate in simulation

Number of Tracks	25-45(50) GeV	45(50) - 70(75) GeV	> 70(75) GeV
1 prong	$1.05 \pm 0.04 \pm 0.05$	$0.94 \pm 0.08 \pm 0.21$	$0.64 \pm 0.10 \pm 0.07$
3 prong	$1.25 \pm 0.10 \pm 0.41$	$1.30 \pm 0.32 \pm 0.72$	$0.52 \pm 0.30 \pm 0.64$

- $1l_{2\tau}$ : measured from a  $1l_{2\tau}$  CR with SS tau pair (OS in SR)
  - Jets have identical chance to be reconstructed as positive or negative charged tau
  - The estimation is taken from the SS data with small corrections from simulation samples (truth tau contribution)

# Charge Mis-assignment

- The charge of electrons can be mis-identified due to:
  - Wrong assignment of EM cluster
  - Slightly curved track that induces measurement error

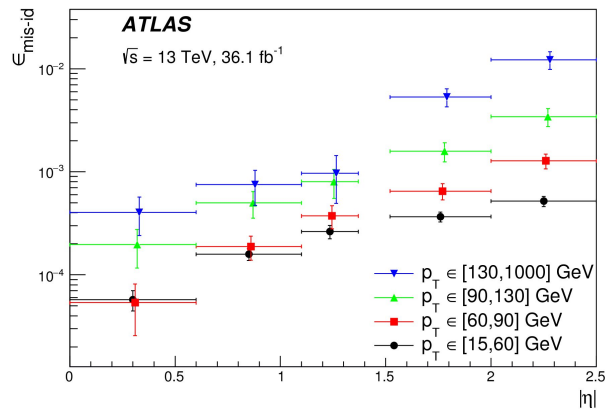
$$N^{os} = (1 - 2\varepsilon + 2\varepsilon^2)$$

$$N^{ss} = 2\varepsilon(1 - \varepsilon)$$

$$N^{ss} = \frac{\varepsilon_i + \varepsilon_j - 2\varepsilon_i \varepsilon_j}{1 - \varepsilon_i - \varepsilon_j + 2\varepsilon_i \varepsilon_j} N^{os} \text{ for the } ee \text{ channel,}$$

$$N^{ss} = \frac{\varepsilon}{1 - \varepsilon} N^{os} \text{ for the } e\mu \text{ channel,}$$

$\varepsilon$  is the rate of a single electron to be mis-identified, measured from  $Z \rightarrow ee$  events.  
 $N$  is the total number of true OS events



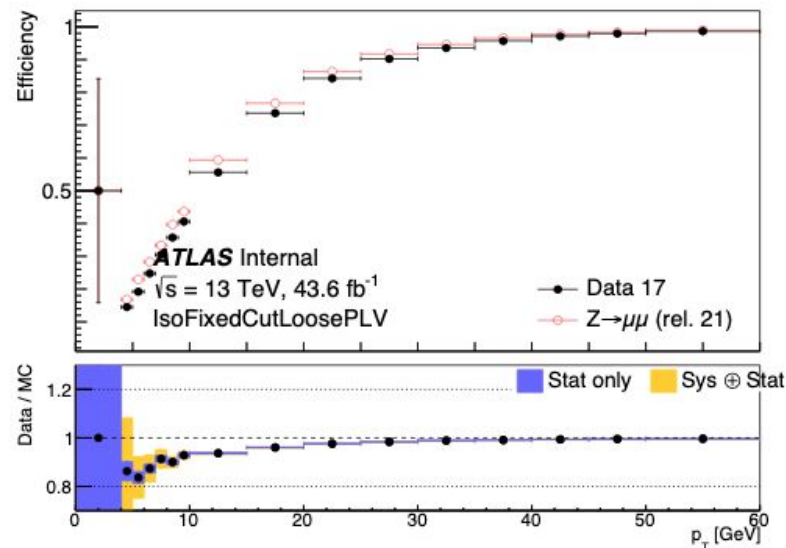
# Leptons MVA

- To suppress the most important background: **non-prompt leptons from semi-leptonic b decay**
- Implement a new variable to reject non-prompt leptons -> PromptLeptonVeto(PLV)

PLV is a BDT trained with:

- lepton and overlapping track jets properties
- lepton track/calorimeter isolation variables

**Details in Fudong He's talk yesterday!**



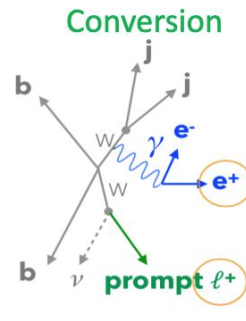
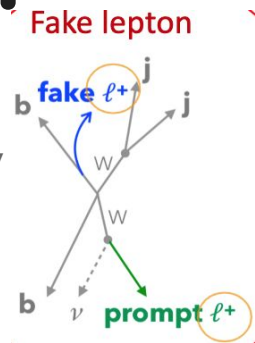
Selection eff. of PLV for muon. Ratio plot shows Data/MC agreement



# Non-prompt light leptons

- Mainly arise from:

- Hadrons: semi-leptonic hadron decay
- Material photon conversion (MatCO)



- In last round, Data-driven method (Matrix method/Fake factor) was used for fake lepton estimation.
- Change to a semi-DD method, **template fit**, to estimate fake leptons.
  - Shape from MC simulation
  - Normalization from fit to Data
- Hence re-define the analysis strategy

# Event categorization (for 2l/3l)

- Events are categorized to control:
  - ttW enriched regions
  - Internal photon conversion (IntC0) -> decay radius < 20 mm
  - Material photon conversion -> decay radius > 20 mm
  - Non-prompt leptons from semi-leptonic hadron decay
  - Signal regions
- Each of those components is assigned by a normalization factor, and determined in a simultaneous fit to data
- ttW is also left free-float in the fit. A factor of 1.2 is applied in addition to the normal ttW cross section to account for the missing 1j@NLO and EW corrections in YR4

# Event categorization (for 2l/3l)

- “High Njet region”:
  - 2l: Based on a combination of 2 BDTs (vs. ttV/ vs. ttbar)
  - 3l: a multi-dimensional BDT (vs. ttW/fakes/ttZ/VV)

**2ℓSS0τ** [ $\geq 4j, \geq 1bj$ ]

**3ℓ0τ** [ $\geq 2j, \geq 1bj$ ]

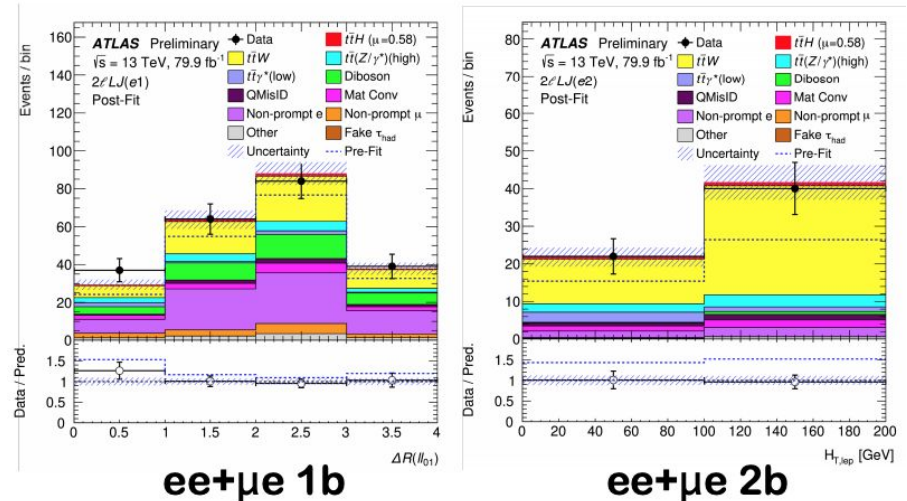
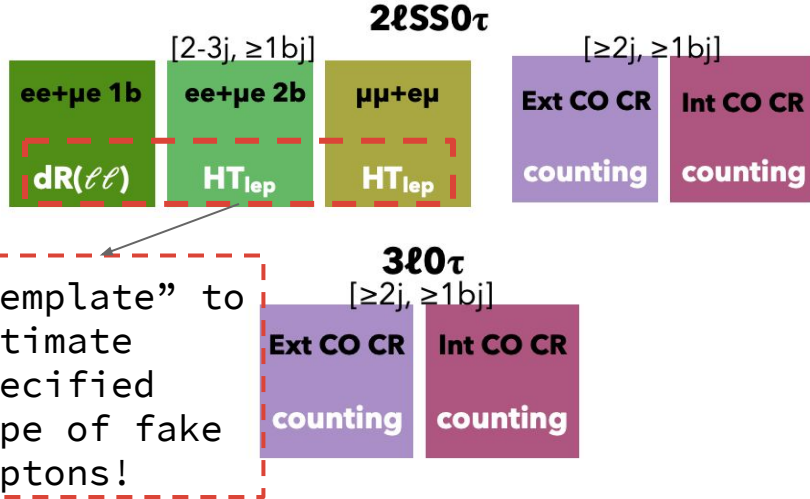


Categories based on nD BDT space, split by **lepton charge** and **lepton flavor**



# Event categorization (for 2l/3l)

- “Low Njet region”:
  - 2-3 jets ( $\geq 4$  in High Njet), enriched in non-prompt leptons and ttW
- Conversion CRs:
  - $\geq 1$  electron passing material / internal conversion selection



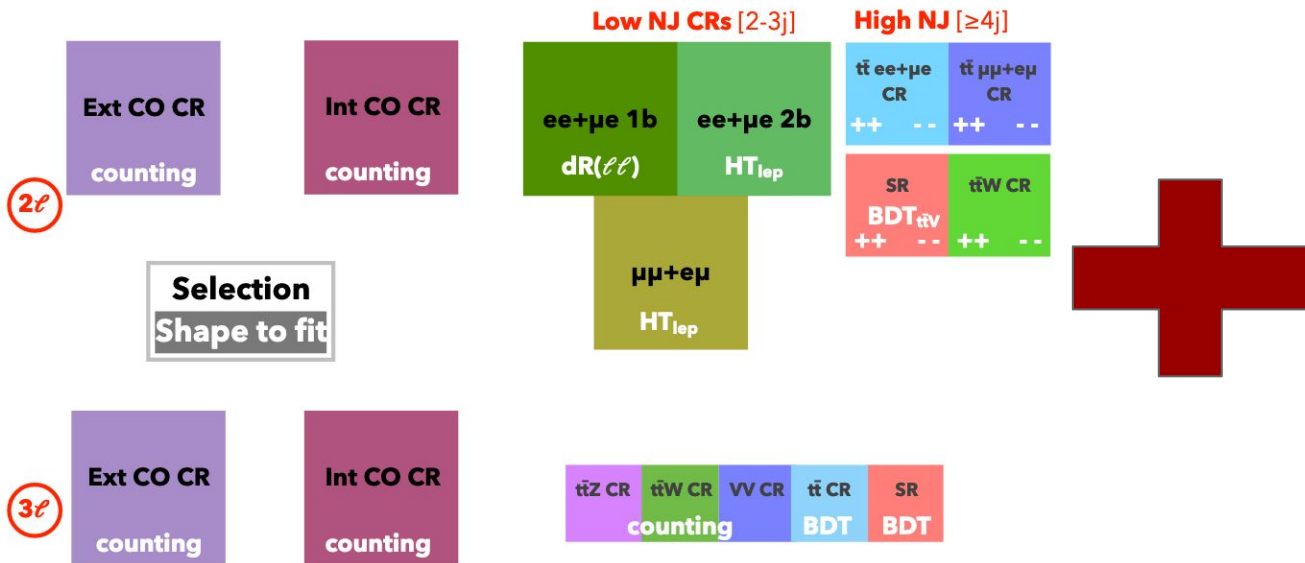
# Final fit setup

electron  $\text{radius}_{\text{CO}} > 20 \text{ mm}$   
and  
 $m_{\text{trk-trk,CV}} < 100 \text{ MeV}$

!Ext CO CR  
and  
 $m_{\text{trk-trk,PV}} < 100 \text{ MeV}$

!Ext CO CR and !Int CO CR

or 2 tight muons



Other multilepton channels:

- 2lss1tau
- 3l1tau
- 1l2tau
- 4l

Regions: 17

Normalisation factors: 5 {e HF - e extCO -  $\mu$  HF - intCO -  $t\bar{t}W$ }

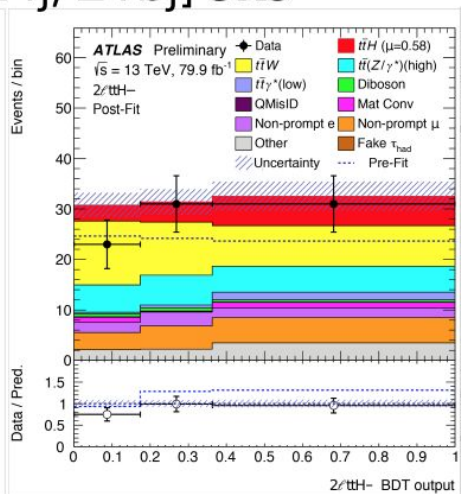
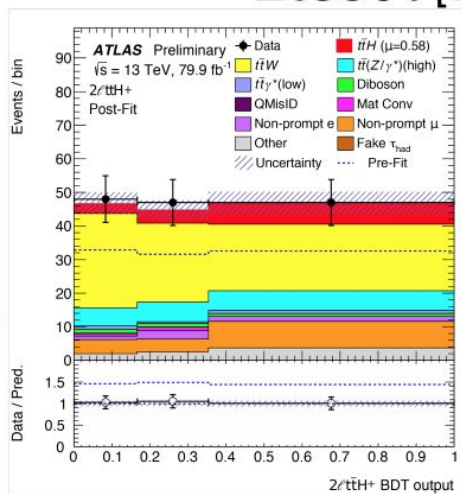
# Signal extraction

- For channels with high statistics, using MVA methods
  - $2l_{ss}$ ,  $3l$ ,  $1l2\tau$
- For channels with limited statistics, using event counting
  - $2l_{ss}1\tau$ ,  $3l1\tau$ ,  $4l$  (One BDT is trained to define SR)

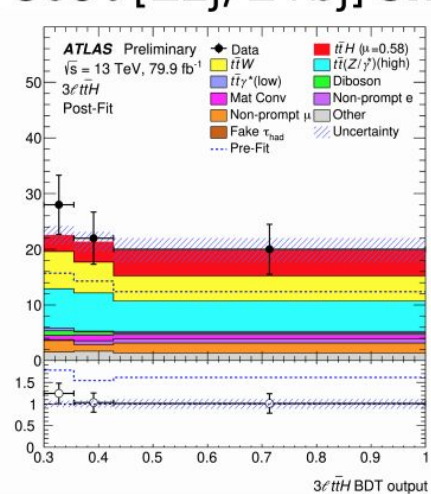
	Non-tau channels			Tau channels		
	$2l_{SS}$	$3l$	$4l$	$1l+2\tau_{had}$	$2l_{SS}+1\tau_{had}$	$3l+1\tau_{had}$
BDT trained against	Fakes and $t\bar{t}V$	$t\bar{t}$ , $t\bar{t}W$ , $t\bar{t}Z$ , $VV$	$t\bar{t}Z / -$	$t\bar{t}$	-	-
Discriminant	2D BDT	5D BDT	Event count	BDT	Event count	Event count
Number of bins in SR	4	5	1 / 1	3	1	1
Control regions	6	4	-	-	-	-

# MVA distribution

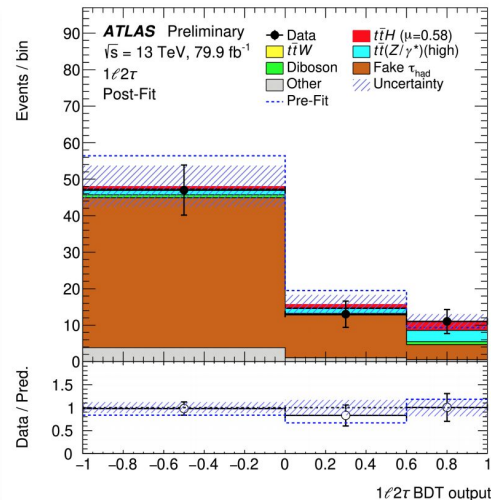
**2ℓSS0τ [≥4j, ≥1bj] SRs**



**3ℓ0τ [≥2j, ≥1bj] SR**



**1ℓ2τ**



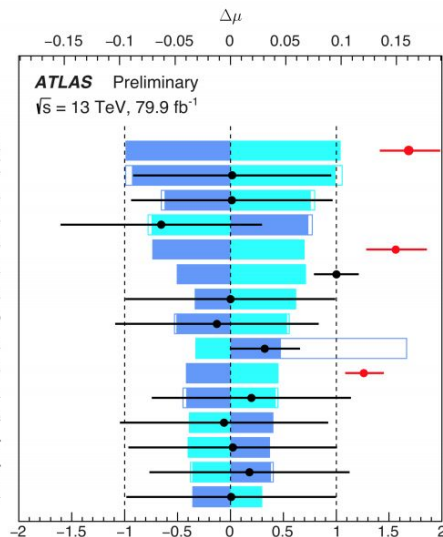
Good agreement after fit to data. Prefit distribution is shown in dash line.

# Systematic uncertainties

Uncertainty source	$\Delta\hat{\mu}$	
Jet energy scale and resolution	+0.13	-0.13
$t\bar{t}(Z/\gamma^*)$ (high mass) modelling	+0.09	-0.09
$t\bar{t}W$ modelling (radiation, generator, PDF)	+0.08	-0.08
Fake $\tau_{\text{had}}$ background estimate	+0.07	-0.07
$t\bar{t}W$ modelling (extrapolation)	+0.05	-0.05
$t\bar{t}H$ cross section	+0.05	-0.05
Simulation sample size	+0.05	-0.05
$t\bar{t}H$ modelling	+0.04	-0.04
Other background modelling	+0.04	-0.04
Jet flavour tagging and $\tau_{\text{had}}$ identification	+0.04	-0.04
Other experimental uncertainties	+0.03	-0.03
Luminosity	+0.03	-0.03
Diboson modelling	+0.01	-0.01
$t\bar{t}\gamma^*$ (low mass) modelling	+0.01	-0.01
Charge misassignment	+0.01	-0.01
Template fit (non-prompt leptons)	+0.01	-0.01
Total systematic uncertainty	+0.25	-0.22
Intrinsic statistical uncertainty	+0.23	-0.22
$t\bar{t}W$ normalisation factors	+0.10	-0.10
Non-prompt leptons normalisation factors (HF, material conversions)	+0.05	-0.05
Total statistical uncertainty	+0.26	-0.25
Total uncertainty	+0.36	-0.33

Pre-fit impact on  $\mu$ :  
 $\square \theta = \hat{\theta} + \Delta\theta$      $\square \theta = \hat{\theta} - \Delta\theta$   
 Post-fit impact on  $\mu$ :  
 $\square \theta = \hat{\theta} + \Delta\hat{\theta}$      $\square \theta = \hat{\theta} - \Delta\hat{\theta}$   
 —●— Pull:  $(\hat{\theta} - \theta_0) / \Delta\theta$   
 —●— Norm. Factor

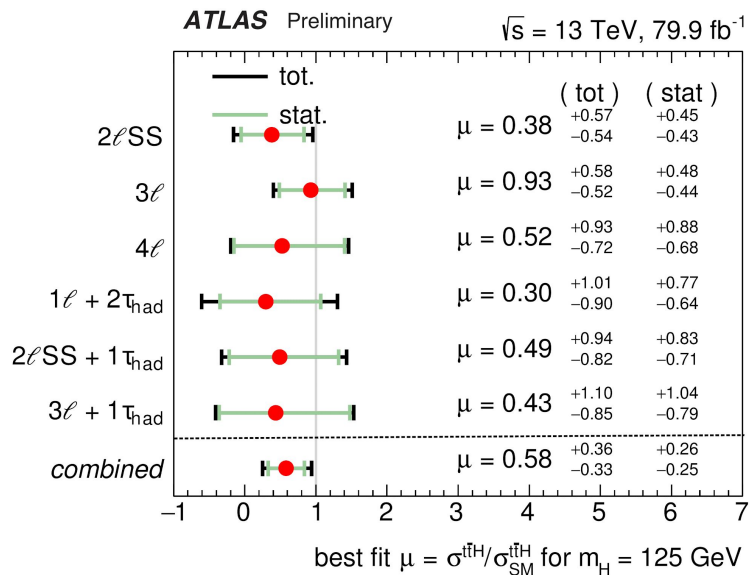
$t\bar{t}W$  norm. factor:  $3\ell$  channel  
 Jet energy scale:  $\eta$  intercalib. NP I  
 $t\bar{t}Z$  cross section: scale variations  
 $t\bar{t}W$  modelling: scale variations  
 $t\bar{t}W$  norm. factor:  $2\ell SS$  channel, 2-3 jets  
 Fake  $\tau_{\text{had}}$  bkg. stat:  $1\ell 2\tau$  channel  
 $t\bar{t}H$  cross section: scale variations  
 Jet energy scale: pileup  
 $t\bar{t}W$  modelling: charge extrapolation  
 $t\bar{t}W$  norm. factor:  $2\ell SS$  channel,  $\geq 4$  jets  
 Top rare decay cross-section  
 Jet energy scale: flavour response  
 $t\bar{t}H$  modelling: parton shower  
 $t\bar{t}W$  modelling: alternative generator  
 4-top cross section



- ❖ largest uncertainties from  $t\bar{t}W/t\bar{t}l\bar{l}$  modelling
- ❖ reduced impact from fake
- ❖ JES/JER still important



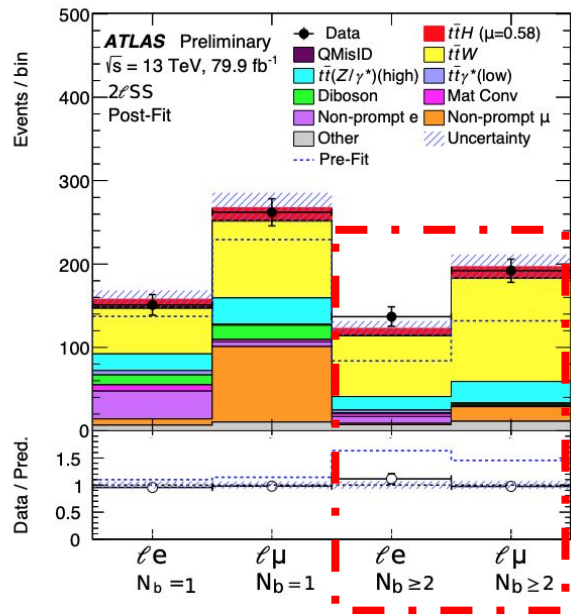
# Results



Observed (expected) significance w.r.t bkg only hypothesis: **1.8 $\sigma$**  (**3.1 $\sigma$** )

NF(ttW)  $\rightarrow$  already scaled by 1.2:

- 2l LowNj:  $1.56^{+0.30}_{-0.28}$
- 2l HighNj:  $1.26^{+0.19}_{-0.18}$
- 3l:  $1.68^{+0.30}_{-0.28}$



Data Still prefer to pull up ttW even after taking into account missing scale factor of 1.2 from YR4!

# Conclusion

- Updated results with 80/fb data collected by ATLAS is published recently (ATLAS-CONF-2019-045)
- Re-designed event categorization and new semi-DD method for fake lepton estimation
- Also free-float ttW at the same time, and measured  $NF > 1$
- Measured ttH cross section agree with SM prediction within uncertainty

$$\hat{\sigma}(t\bar{t}H) = 294^{+132}_{-127} \text{ (stat.)}^{+94}_{-74} \text{ (exp.)}^{+73}_{-56} \text{ (bkg. th.)}^{+41}_{-39} \text{ (sig. th.) fb} = 294^{+182}_{-162} \text{ fb.}$$

**Exp.**

V. S.

$$\sigma(t\bar{t}H) = 507^{+35}_{-50} \text{ fb}$$

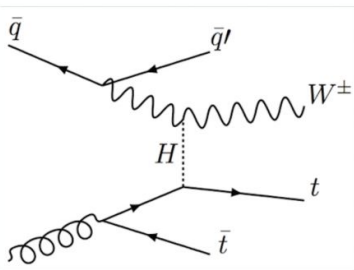
**Theory**

*Thanks For Your Attention!*

BACKUP

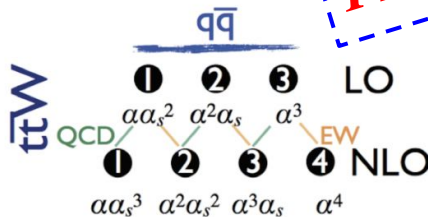
# t̄tW: higher order QCD and EW corrections

- A normalisation factor of **1.2** applied on top of the YR4 cross section for t̄tW
- Origin of the correction factor:
  - Factor **1.11** to account for missing QCD corrections in higher order XS
    - t̄tW+0j@NLO → t̄tW+0,**1j**@NLO
    - estimated using dedicated samples generated with Sherpa 2.2.1 using the MEPS@NLO prescription, and cross-checked with the NLO generator MadGraph5\_aMC@NLO 2.2.1 using the FxFx prescription
  - Factor **1.09** to account for missing EW corrections
    - [1711.02116] shows “subleading” NLO EWK corrections, not included in YR4 XS, can be large
    - primarily because of the large **NLO3 term** driven by the t̄tW+1-jet diagrams with a Higgs boson exchanged in the t-channel



13 TeV

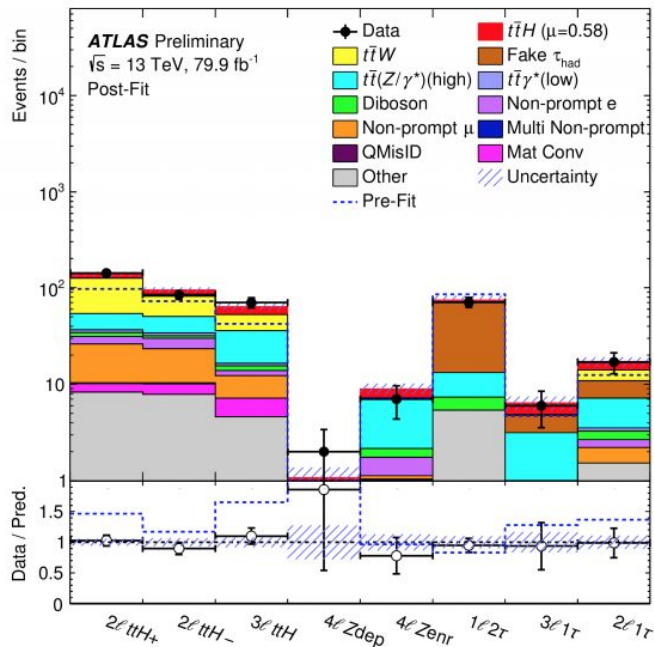
$\delta$ [%]	$\mu = H_T/2$
LO <sub>2</sub>	-
LO <sub>3</sub>	0.9
NLO <sub>1</sub>	50.0 (25.7)
NLO <sub>2</sub>	-4.2 (-4.6)
NLO <sub>3</sub>	<b>12.2 (9.1)</b>
NLO <sub>4</sub>	0.04 (-0.02)



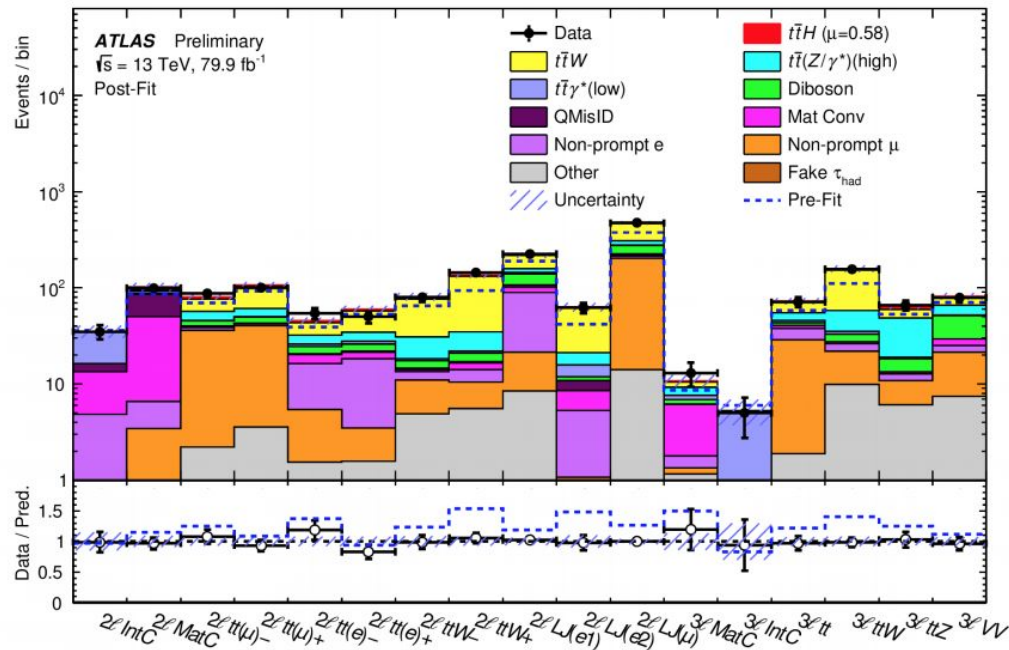
From Tamara's slide

[https://indico.cern.ch/event/792576/contributions/3405586/attachments/1913568/3162767/09242019\\_ttH\\_ATLASCMS\\_Top2019\\_TVVS.pdf](https://indico.cern.ch/event/792576/contributions/3405586/attachments/1913568/3162767/09242019_ttH_ATLASCMS_Top2019_TVVS.pdf)

# Post-fit distributions



**SR**



**CR**

# ttH (multilepton): object definition summary

	e				μ		
	L	L*	T	T*	L	L*	T/T*
Identification	Loose		Tight		Loose	Medium	
Isolation	No	Yes		No	Yes		
Non-prompt lepton veto	No		Yes		No	Yes	
Charge misidentification veto	No		Yes		N/A		
Material/internal conversion veto	No		Yes		N/A		
Lepton $ \eta $	< 2.47		< 2		< 2.5		
$ d_0 /\sigma_{d_0}$	< 5				< 3		
$ z_0 \sin \theta $	< 0.5 mm						

**From Tamara's slide**

<b>T<sub>had</sub></b>
Medium BDT ID to reject jets (1M, 1T in 1ℓ+2τ)
p <sub>T</sub> > 25 GeV
BDT to reject el faking τ
τ-μ overlap removal
b-jet veto
T <sub>had</sub> vertex is PV

<b>Jets</b> p <sub>T</sub> > 25 GeV
<b>BJets</b> MV2c10 70% WP

- **Material conversion candidates** have a reconstructed displaced vertex with radius  $r > 20$  mm that includes the track associated with the electron
  - The invariant mass of the associated track and the closest (in  $\Delta\eta$ ) opposite-charge track reconstructed in the silicon detector, calculated at the conversion vertex, is required to be  $< 100$  MeV.
- **Internal conversion candidates** are required to fail the requirements for material conversions, and the di-track invariant mass, this time calculated at the primary vertex, is also required to be  $< 100$  MeV.
- **Very tight** electron candidates are tight electrons that fail the internal conversion and material conversion requirements, and have  $|\eta| < 2$ .
  - The latter requirement rejects a small fraction of electrons with a *large charge misidentification rate* because of the limited number of hits used in the track reconstruction.

[https://indico.cern.ch/event/792576/contributions/3405586/attachments/1913568/3162767/09242019\\_ttH\\_ATLASCMS\\_Top2019\\_TVSpdf](https://indico.cern.ch/event/792576/contributions/3405586/attachments/1913568/3162767/09242019_ttH_ATLASCMS_Top2019_TVSpdf)

# Event selection

Channel	Selection criteria
Common	$N_{\text{jets}} \geq 2$ and $N_{b\text{-jets}} \geq 1$
$2\ell\text{SS}$	Two same-charge (SS) very tight (T*) leptons, $p_{\text{T}} > 20$ GeV No $\tau_{\text{had}}$ candidates $m(\ell^+\ell^-) > 12$ GeV for all SF pairs <b>13 categories:</b> enriched with $t\bar{t}H$ , $t\bar{t}W$ , $t\bar{t}$ , mat. conv, int. conv., split by lepton flavour, charge, jet and $b$ -jet multiplicity
$3\ell$	Three loose (L) leptons with $p_{\text{T}} > 10$ GeV; sum of light-lepton charges = $\pm 1$ Two SS very tight (T*) leptons, $p_{\text{T}} > 15$ GeV One OS (w.r.t the SS pair) loose-isolated (L*) lepton, $p_{\text{T}} > 10$ GeV No $\tau_{\text{had}}$ candidates $m(\ell^+\ell^-) > 12$ GeV and $ m(\ell^+\ell^-) - 91.2$ GeV  $> 10$ GeV for all SFOS pairs $ m(3\ell) - 91.2$ GeV  $> 10$ GeV <b>7 categories:</b> enriched with $t\bar{t}H$ , $t\bar{t}W$ , $t\bar{t}Z$ , $VV$ , $t\bar{t}$ , mat. conv, int. conv
$4\ell$	Four loose-isolated (L*) leptons; sum of light lepton charges = 0 $m(\ell^+\ell^-) > 12$ GeV and $ m(\ell^+\ell^-) - 91.2$ GeV  $> 10$ GeV for all SFOS pairs $m(4\ell) < 115$ GeV or $m(4\ell) > 130$ GeV <b>2 categories:</b> Zenr (Z-enriched; 1 or 2 SFOS pairs) or Zdep (Z-depleted; 0 SFOS pairs)
$1\ell 2\tau_{\text{had}}$	One tight (T) lepton, $p_{\text{T}} > 27$ GeV Two OS $\tau_{\text{had}}$ candidates At least one tight $\tau_{\text{had}}$ candidate $N_{\text{jets}} \geq 3$
$2\ell\text{SS}1\tau_{\text{had}}$	$2\ell\text{SS}$ selection, except: One medium $\tau_{\text{had}}$ candidate $N_{\text{jets}} \geq 4$
$3\ell 1\tau_{\text{had}}$	$3\ell$ selection, except: One medium $\tau_{\text{had}}$ candidate, of opposite charge to the total charge of the light leptons Two SS tight (T) leptons