



Top quark FCNC in Leptoquark interpretations of $R_D^{(*)}$ anomaly



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Outline

- $R_{D^{(*)}}$ in rare B decays
- Leptoquark explanations of $R_{D^{(*)}}$
 - SU(2) singlet: S_1, U_1
- Implications on top FCNC
 - Tree level
 - 1-loop level
- Collider prospects
- Summary

$R_{D^{(*)}}$ in rare B decays, lepton Non-universality

$$R_{D^{(*)}} = \frac{Br(B \rightarrow D^{(*)} \tau \bar{\nu})}{Br(B \rightarrow D^{(*)} l \bar{\nu})} \Big|_{l \in \{e, \mu\}}$$

$$R_D^{\text{SM}} = 0.300(8), \quad R_{D^*}^{\text{SM}} = 0.257(3).$$

2019 exp. world average $\sim 3.1 \sigma$ deviation from SM

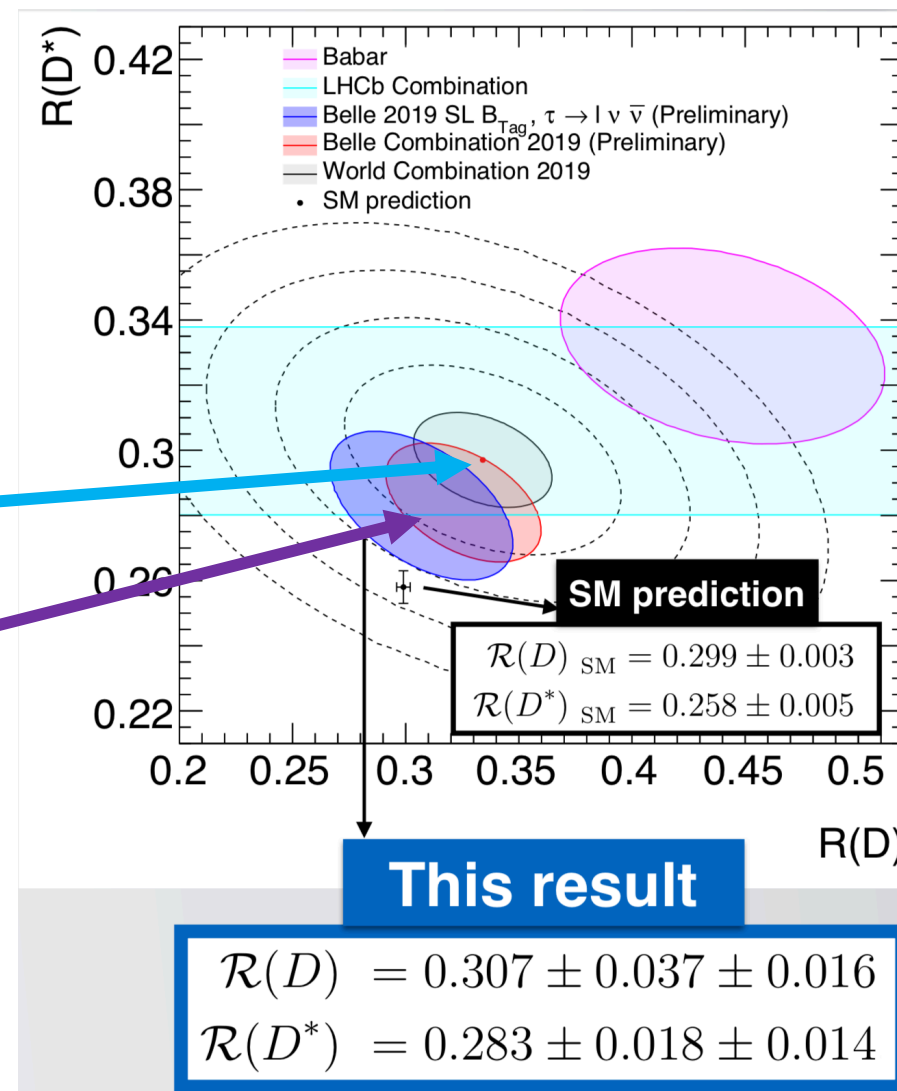
$$R_D = 0.334 \pm 0.031$$

$$R_{D^*} = 0.297 \pm 0.015$$

2019 Belle semi-lep. tau $\sim 1.2 \sigma$ consistent with SM

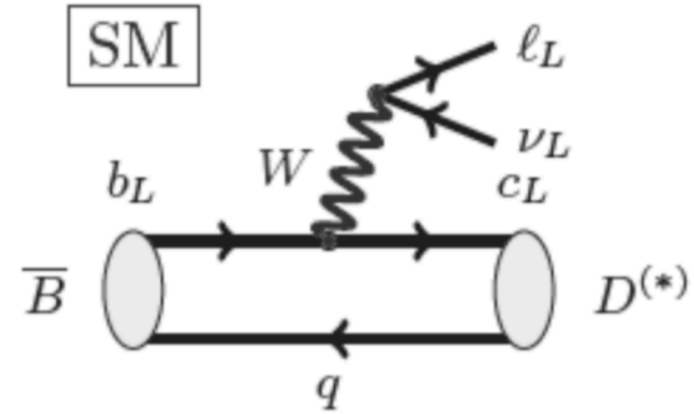
$$\mathcal{R}(D) = 0.307 \pm 0.037 \pm 0.016$$

$$\mathcal{R}(D^*) = 0.283 \pm 0.018 \pm 0.014$$



G. Caria
Moriond 2019

Low-energy EFT, $R_D^{(*)}$



$$-L_{\text{eff}} = (C_{\text{SM}}\delta_{l\tau} + C_{V_1}^l)O_{V_1}^l + C_{V_2}^l O_{V_2}^l + C_{S_1}^l O_{S_1}^l + C_{S_2}^l O_{S_2}^l + C_T^l O_T^l$$

$l = 1, 2, 3$ being the neutrino generation index

$$C_{\text{SM}} = 2\sqrt{2}G_F V_{cb}$$

$$O_{V_1}^l = (\bar{c}_L \gamma^\mu b_L)(\bar{\tau}_L \gamma_\mu \nu_{lL}), \quad O_{V_2}^l = (\bar{c}_R \gamma^\mu b_R)(\bar{\tau}_L \gamma_\mu \nu_{lL})$$

$$O_{S_1}^l = (\bar{c}_L b_R)(\bar{\tau}_R \nu_{lL}), \quad O_{S_2}^l = (\bar{c}_R b_L)(\bar{\tau}_R \nu_{lL}),$$

$$O_T^l = (\bar{c}_R \sigma^{\mu\nu} b_L)(\bar{\tau}_R \sigma_{\mu\nu} \nu_{lL}).$$

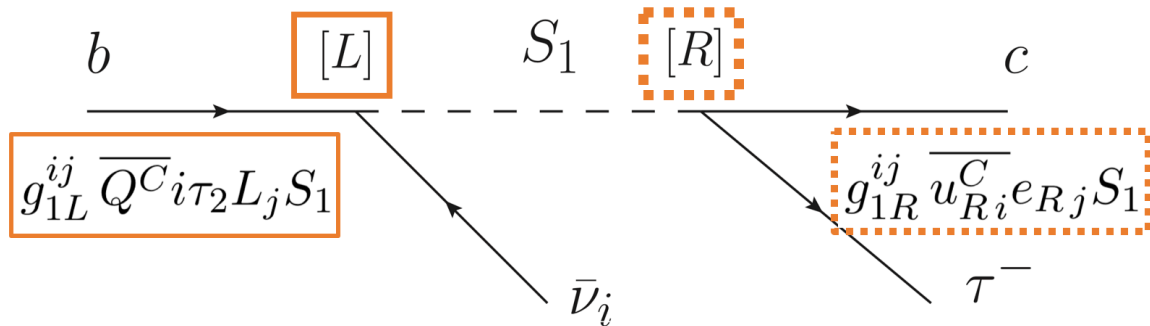
LQ contributions

Dumont *et al*, 1603.05248

$$\mathcal{L}^{\text{LQ}} = \mathcal{L}_{F=0}^{\text{LQ}} + \mathcal{L}_{F=-2}^{\text{LQ}},$$

$$\mathcal{L}_{F=0}^{\text{LQ}} = \left(h_{1L}^{ij} \bar{Q}_L^i \gamma_\mu L_L^j + h_{1R}^{ij} \bar{d}_R^i \gamma_\mu \ell_R^j \right) U_1^\mu + h_{3L}^{ij} \bar{Q}_L^i \boldsymbol{\sigma} \gamma_\mu L_L^j U_3^\mu + \left(h_{2L}^{ij} \bar{u}_R^i L_L^j + h_{2R}^{ij} \bar{Q}_L^i i \sigma_2 \ell_R^j \right) R_2 + \text{h.c.},$$

$$\mathcal{L}_{F=-2}^{\text{LQ}} = \left(g_{1L}^{ij} \bar{Q}_L^{c,j} i \sigma_2 L_L^j + g_{1R}^{ij} \bar{u}_R^{c,i} \ell_R^j \right) S_1 + g_{3L}^{ij} \bar{Q}_L^{c,i} i \sigma_2 \boldsymbol{\sigma} L_L^j S_3 + \left(g_{2L}^{ij} \bar{d}_R^{c,i} \gamma_\mu L_L^j + g_{2R}^{ij} \bar{Q}_L^{c,i} \gamma_\mu \ell_R^j \right) V_2^\mu + \text{h.c.},$$



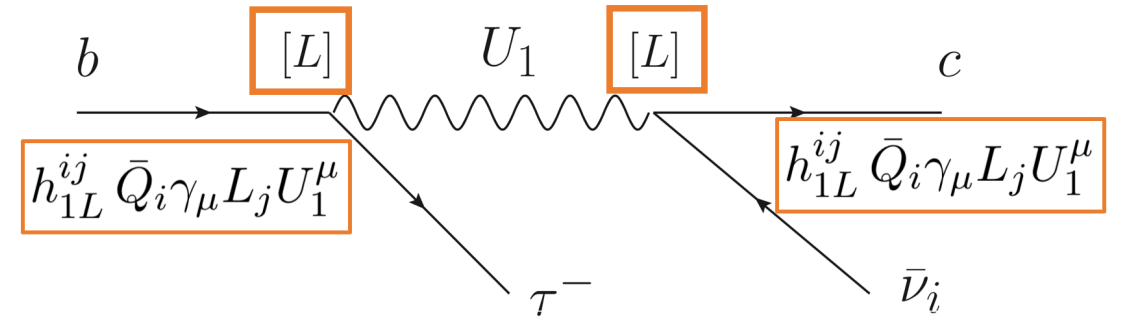
$$C_{V_1}^l = \sum_{k=1}^3 V_{k3} \left[\frac{g_{1L}^{kl} g_{1L}^{23*}}{2M_{S_1}^2} - \frac{g_{3L}^{kl} g_{3L}^{23*}}{2M_{S_3}^2} + \frac{h_{1L}^{2l} h_{1L}^{k3*}}{M_{U_1}^2} - \frac{h_{3L}^{2l} h_{3L}^{k3*}}{M_{U_3}^2} \right]$$

$$C_{V_2}^l = 0,$$

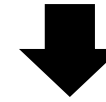
$$C_{S_1}^l = \sum_{k=1}^3 V_{k3} \left[-\frac{2g_{2L}^{kl} g_{2R}^{23*}}{M_{V_2}^2} - \frac{2h_{1L}^{2l} h_{1R}^{k3*}}{M_{U_1}^2} \right],$$

$$C_{S_2}^l = \sum_{k=1}^3 V_{k3} \left[-\frac{g_{1L}^{kl} g_{1R}^{23*}}{2M_{S_1}^2} - \frac{h_{2L}^{2l} h_{2R}^{k3*}}{2M_{R_2}^2} \right],$$

$$C_T^l = \sum_{k=1}^3 V_{k3} \left[\frac{g_{1L}^{kl} g_{1R}^{23*}}{8M_{S_1}^2} - \frac{h_{2L}^{2l} h_{2R}^{k3*}}{8M_{R_2}^2} \right],$$



focus of this talk: $R_{D^{(*)}}$



LQ contributions

$$\mathcal{L}^{\text{LQ}} = \mathcal{L}_{F=0}^{\text{LQ}} + \mathcal{L}_{F=-2}^{\text{LQ}},$$

$$\mathcal{L}_{F=0}^{\text{LQ}} = \left(h_{1L}^{ij} \bar{Q}_L^i \gamma_\mu L_L^j + h_{1R}^{ij} \bar{d}_R^i \gamma_\mu \ell_R^j \right) U_1^\mu + h_{3L}^{ij} \bar{Q}_L^i \sigma \gamma_\mu L_L^j U_3^\mu + \left(h_{2L}^{ij} \bar{u}_R^i L_L^j + h_{2R}^{ij} \bar{Q}_L^i i \sigma_2 \ell_R^j \right) R_2 + \text{h.c.},$$

$$\mathcal{L}_{F=-2}^{\text{LQ}} = \left(g_{1L}^{ij} \bar{Q}_L^{c,j} i \sigma_2 L_L^j + g_{1R}^{ij} \bar{u}_R^{c,i} \ell_R^j \right) S_1 + g_{3L}^{ij} \bar{Q}_L^{c,i} i \sigma_2 \sigma L_L^j S_3 + \left(g_{2L}^{ij} \bar{d}_R^{c,i} \gamma_\mu L_L^j + g_{2R}^{ij} \bar{Q}_L^{c,i} \gamma_\mu \ell_R^j \right) V_2^\mu + \text{h.c.},$$

Model	$R_{K^{(*)}}$	$R_{D^{(*)}}$	$R_{K^{(*)}} \& R_{D^{(*)}}$
S_1	\times^*	\checkmark	\times^*
R_2	\times^*	\checkmark	\times
\widetilde{R}_2	\times	\times	\times
S_3	\checkmark	\times	\times
U_1	\checkmark	\checkmark	\checkmark
U_3	\checkmark	\times	\times

Angelescu *et al*, 1808.08179

Numerical fit

$$m_{LQ} = 1 \text{ TeV}$$

Dumont *et al*, 1603.05248

Leptoquark	$\bar{B} \rightarrow D^{(*)} \tau \bar{\nu}$	$\bar{B} \rightarrow X_s \nu \bar{\nu}$
S_1	$-0.87 < g_{1L}^{33} g_{1R}^{23*} < -0.54$	$ g_{1L}^{3i} g_{1L}^{2j*} \lesssim 0.15$
	$1.64 < g_{1L}^{3i} g_{1R}^{23*} < 1.81 \quad (i = 1, 2)$	
	$0.19 < g_{1L}^{33} g_{1L}^{23*} < 0.48, \quad -5.59 < g_{1L}^{33} g_{1L}^{23*} < -5.87$	
S_3	$1.04 < g_{1L}^{3i} g_{1L}^{23*} < 1.67 \quad (i = 1, 2)$	$ g_{3L}^{3i} g_{3L}^{2j*} \lesssim 0.15$
	$0.19 < g_{3L}^{33} g_{3L}^{23*} < 0.48, \quad -5.59 < g_{3L}^{33} g_{3L}^{23*} < -5.87$	
	$1.04 < g_{3L}^{3i} g_{1L}^{23*} < 1.67 \quad (i = 1, 2)$	
R_2	$1.64 < \text{Im}(h_{2L}^{2i} h_{2R}^{33*}) < 1.81$	-
V_2	$g_{2L}^{3i} g_{2R}^{23*}$: no region within 2σ	$ g_{2L}^{3i} g_{2L}^{2j*} \lesssim 0.07$
U_1	$0.10 < h_{1L}^{23} h_{1L}^{33*} < 0.24, \quad -2.94 < h_{1L}^{23} h_{1L}^{33*} < -2.80$	-
	$0.52 < h_{1L}^{2i} h_{1L}^{33*} < 0.84 \quad (i = 1, 2)$	
	$h_{1L}^{2i} h_{1R}^{33*}$: no region within 2σ	
U_3	$0.10 < h_{3L}^{23} h_{3L}^{33*} < 0.24, \quad -2.94 < h_{3L}^{23} h_{3L}^{33*} < -2.80$	$ h_{3L}^{2i} h_{3L}^{3j*} \lesssim 0.04$
	$0.52 < h_{3L}^{2i} h_{3L}^{33*} < 0.84 \quad (i = 1, 2)$	

Numerical fit

only two non-vanishing couplings

Leptoquark	2σ range for $\bar{B} \rightarrow D^{(*)} \tau \bar{\nu}$
S_1	$g_{1L}^{3l} g_{1R}^{23*} \in \left(\frac{M_{S_1}}{1 \text{ TeV}} \right)^2 \times \begin{cases} (1.64, 1.81) & l = 1, 2 \\ (-0.87, -0.54) & l = 3 \end{cases}$
U_1	$h_{1L}^{2l} h_{1L}^{33*} \in \left(\frac{M_{U_1}}{1 \text{ TeV}} \right)^2 \times \begin{cases} (0.52, 0.84) & l = 1, 2 \\ (-2.94, -2.80) & l = 3 \end{cases}$

$$g_{1L}^{ij} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ \sim & \sim & g_{1L}^{3\ell} \end{pmatrix}$$

$\ell = 1 \text{ or } 2 \text{ or } 3$

$$g_{1R}^{ij} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & g_{1R}^{23} \\ 0 & 0 & 0 \end{pmatrix}$$

$$h_{1L}^{ij} = \begin{pmatrix} 0 & 0 & 0 \\ \sim & \sim & h_{1L}^{2\ell} \\ 0 & 0 & h_{1L}^{33} \end{pmatrix}$$

$\ell = 1 \text{ or } 2 \text{ or } 3$

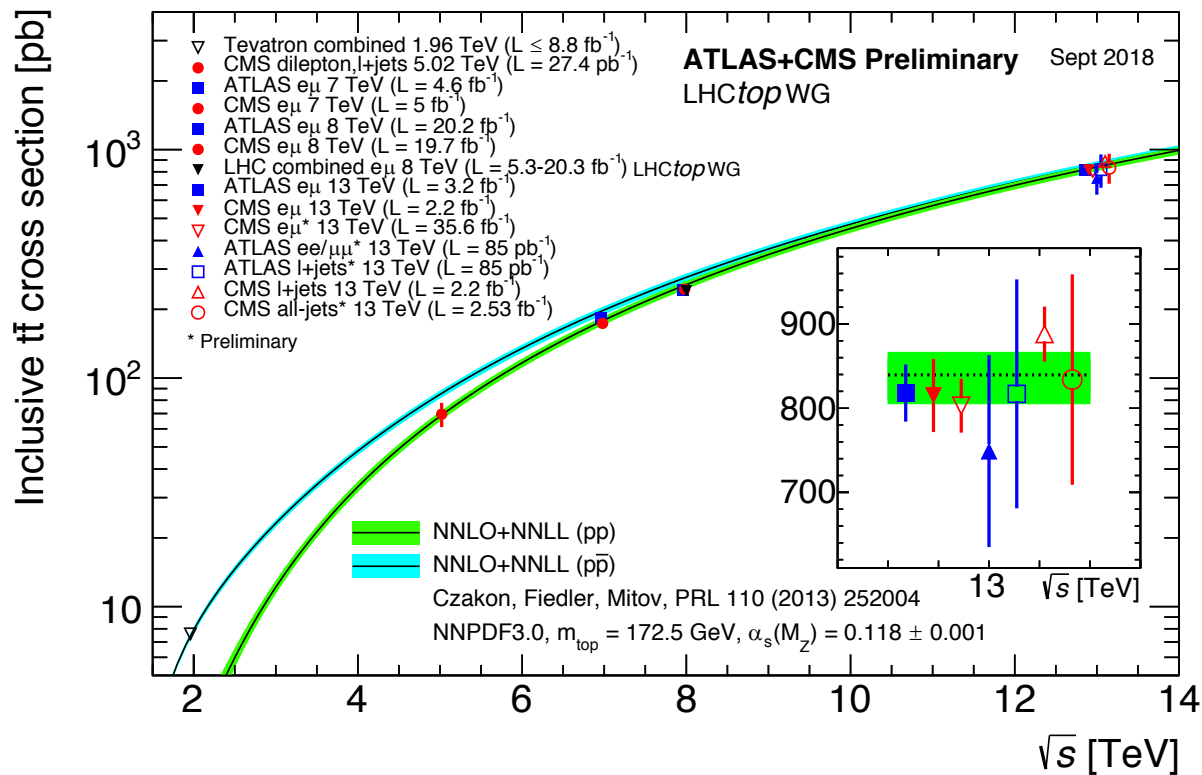
$$h_{1R}^{ij} = 0$$

Top quark at LHC

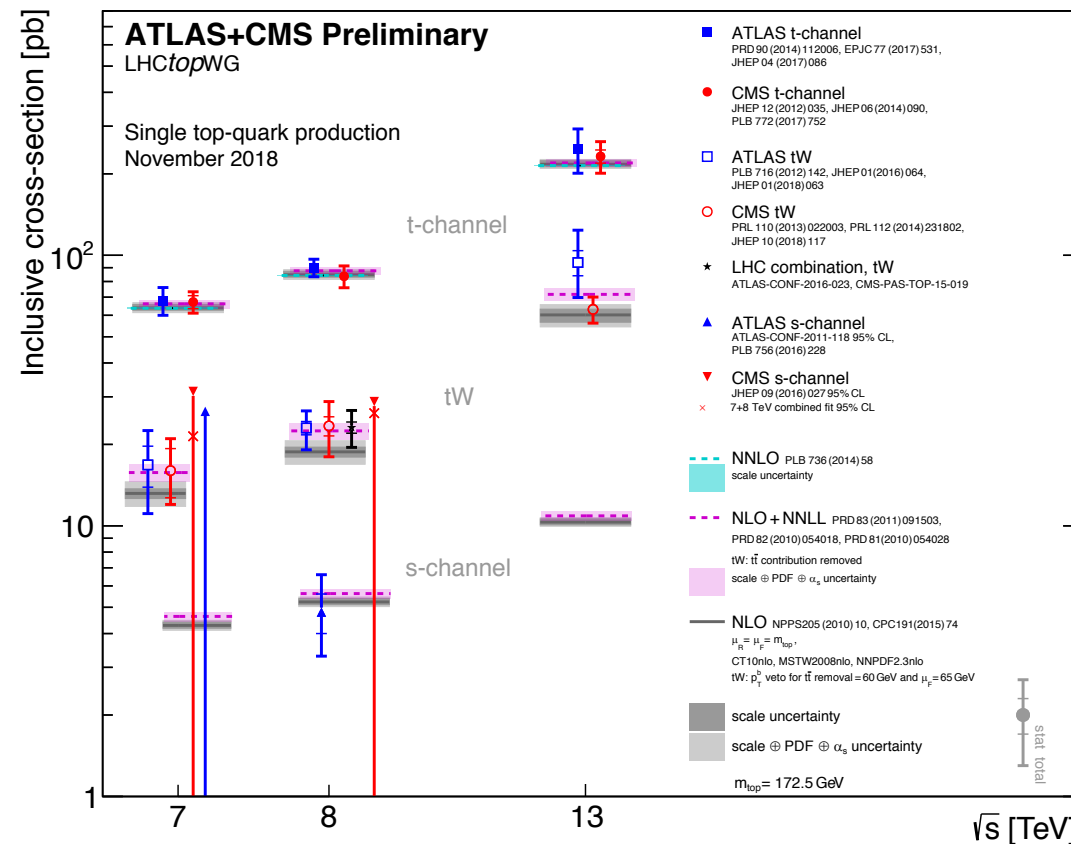
see more in talk of A. Gribsan

- fairly good agreements for cross section
- within theoretical uncertainties: scales of Ren. & Fact., PDF, α_s

$t\bar{t}$ cross section (pb)



single t cross section (pb)



Top quark at LHC

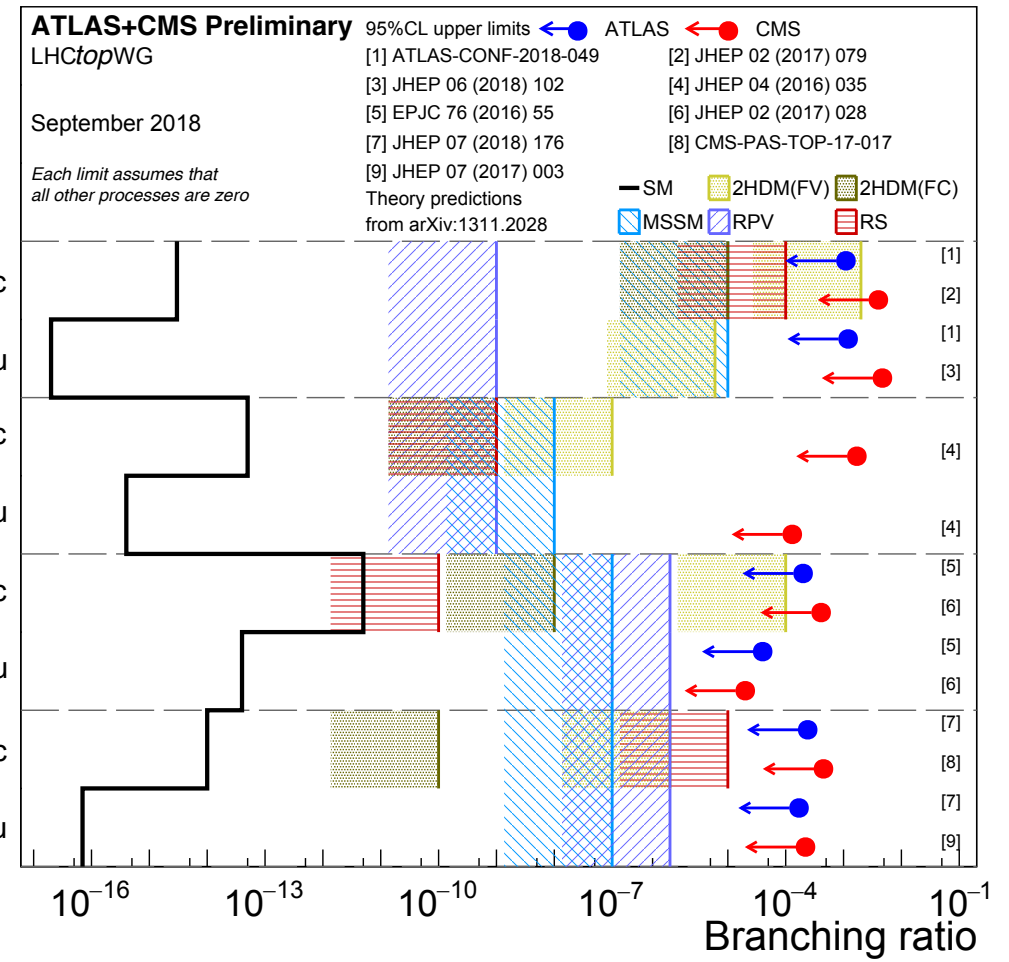
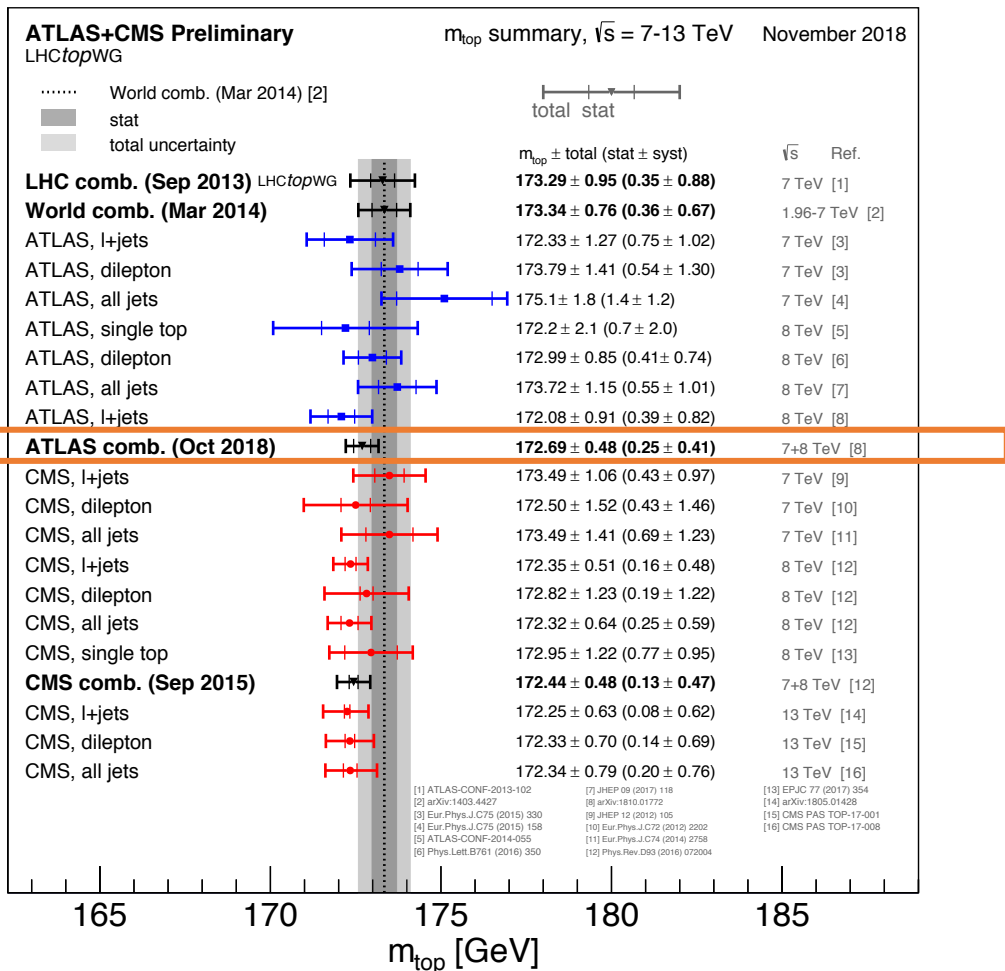
$$m_t = 172.69 \pm 0.48 \text{ GeV}$$

$$q = c$$

$$V = \{g, Z, \gamma, H\} \sim \{10^{-4}, 10^{-4}, 10^{-3}, 10^{-3}\}$$

$q = u$, slightly stronger limits, but same order

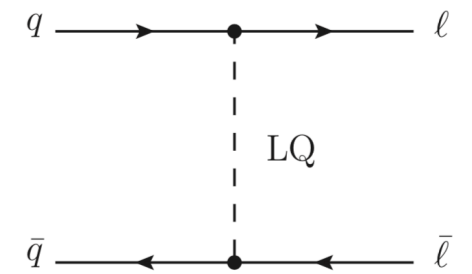
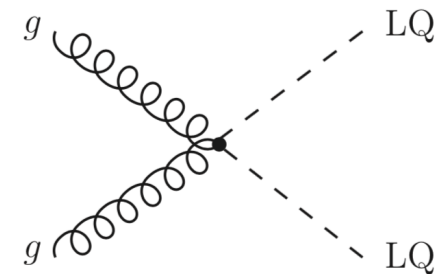
$$Br(t \rightarrow qV)$$



LQ limits at LHC

- to set limits, specific LQ decay modes are assumed
- currently, LQ mass bounds are 1 ~ 2 TeV, stronger for Vector LQ

Decays	LQs	Scalar LQ limits	Vector LQ limits	\mathcal{L}_{int} / Ref.
$jj \tau \bar{\tau}$	S_1, R_2, S_3, U_1, U_3	–	–	–
$b\bar{b} \tau \bar{\tau}$	R_2, S_3, U_1, U_3	850 (550) GeV	1550 (1290) GeV	12.9 fb ⁻¹ [52]
$t\bar{t} \tau \bar{\tau}$	S_1, R_2, S_3, U_3	900 (560) GeV	1440 (1220) GeV	35.9 fb ⁻¹ [53]
$jj \mu \bar{\mu}$	S_1, R_2, S_3, U_1, U_3	1530 (1275) GeV	2110 (1860) GeV	35.9 fb ⁻¹ [54]
$b\bar{b} \mu \bar{\mu}$	R_2, U_1, U_3	1400 (–) GeV	1900 (1700) GeV	36.1 fb ⁻¹ [55]
$t\bar{t} \mu \bar{\mu}$	S_1, R_2, S_3, U_3	1420 (950) GeV	1780 (1560) GeV	36.1 fb ⁻¹ [56, 57]
$jj \nu \bar{\nu}$	R_2, S_3, U_1, U_3	980 (640) GeV	1790 (1500) GeV	35.9 fb ⁻¹ [58]
$b\bar{b} \nu \bar{\nu}$	S_1, R_2, S_3, U_3	1100 (800) GeV	1810 (1540) GeV	35.9 fb ⁻¹ [58]
$t\bar{t} \nu \bar{\nu}$	R_2, S_3, U_1, U_3	1020 (820) GeV	1780 (1530) GeV	35.9 fb ⁻¹ [58]



Angelescu *et al*, 1808.08179

Tree

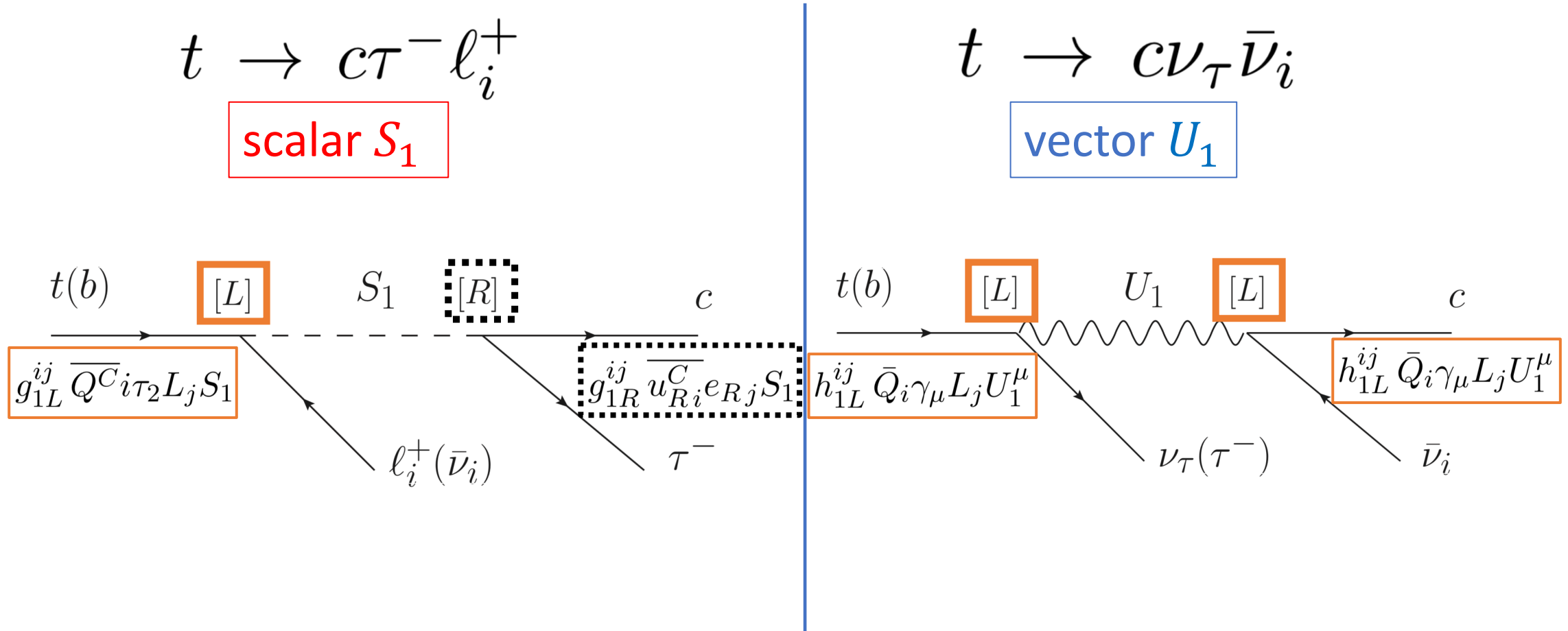
Top FCNC at tree-level, 3-body

$$t \rightarrow c \tau^- \ell_i^+$$

scalar S_1

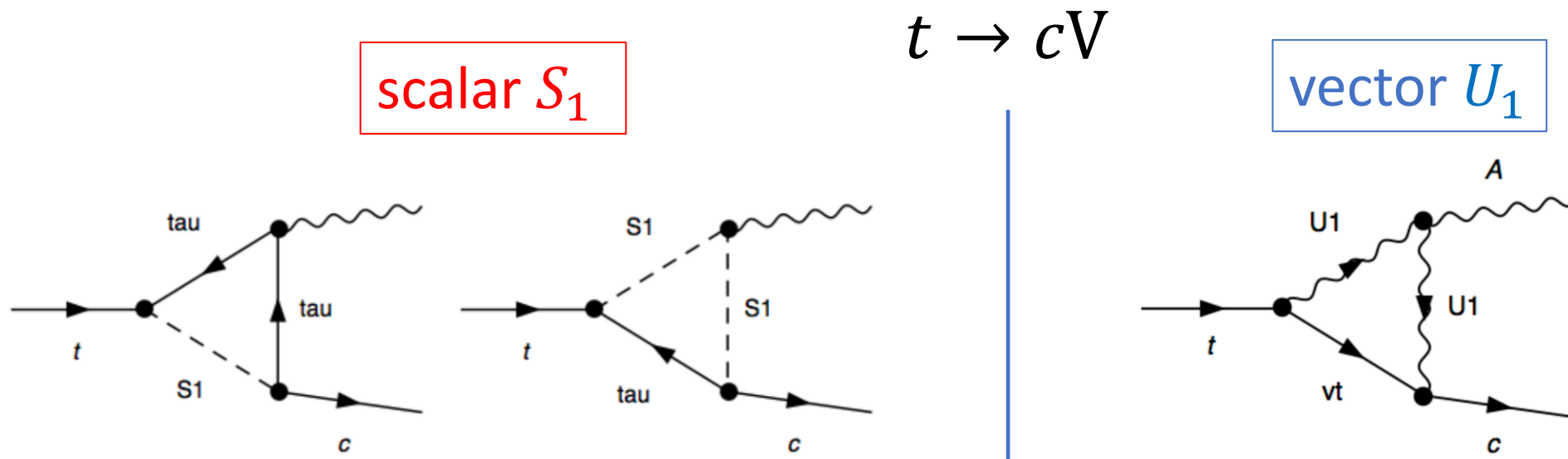
$$t \rightarrow c \nu_\tau \bar{\nu}_i$$

vector U_1



1-loop Top FCNC at one-loop, 2-body

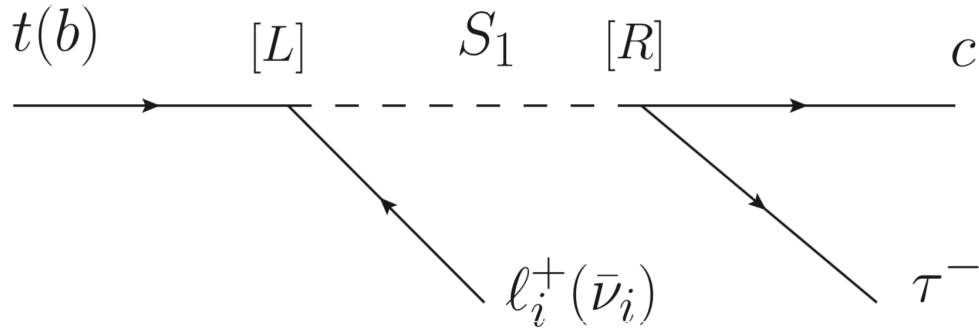
- we only consider loop for **scalar S_1**
- loop of **vector U_1** needs UV-completion of m_{U_1} generation
 - SM-GIM-like mechanism needed to cancel divergence
 - more complete flavor structure needed



Tree-level: $R_{D^{(*)}}$ fit of $\frac{g_L g_R}{m_{LQ}^2}$ naturally implies Br_t^{FCNC}

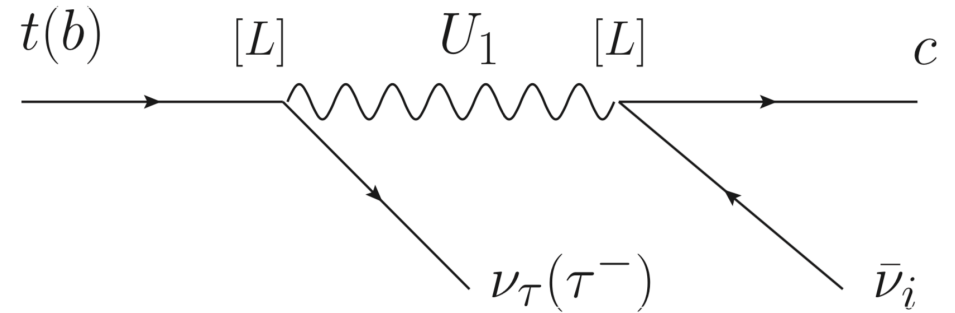
scalar S_1

$$t \rightarrow c\tau^- \ell_i^+$$



vector U_1

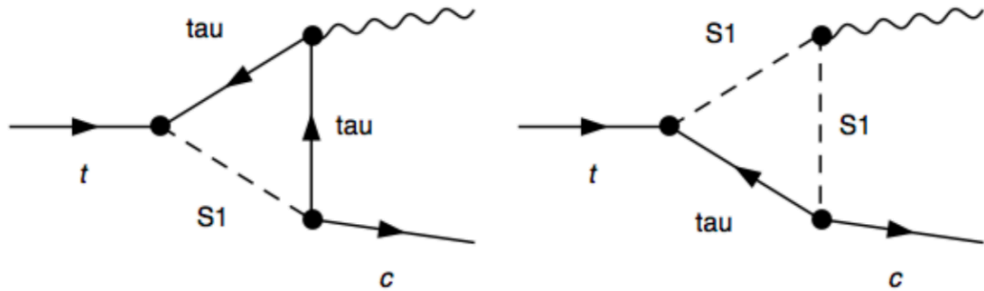
$$t \rightarrow c\nu_\tau \bar{\nu}_i$$



$$S_1 : Br(t \rightarrow c\tau^- \ell_l^+) \approx \frac{1}{\Gamma_{t,SM}} \left(\frac{m_t^5}{6144\pi^3} \right) \left| \frac{g_{1L}^{3l} g_{1R}^{23*}}{M_{S_1}^2} \right|^2 = 10^{-6} \times \begin{cases} 1.4 \sim 1.8 & l = 1, 2 \\ 0.16 \sim 0.41 & l = 3 \end{cases}$$

$$U_1 : Br(t \rightarrow c\nu_\tau \bar{\nu}_l) \approx \frac{1}{\Gamma_{t,SM}} \left(\frac{m_t^5}{1536\pi^3} \right) \left| \frac{h_{1L}^{33} h_{1L}^{2l*}}{M_{U_1}^2} \right|^2 = 10^{-6} \times \begin{cases} 0.58 \sim 1.5 & l = 1, 2 \\ 17 \sim 19 & l = 3 \end{cases}$$

Loop-level:



+ external leg corrections

Given the coupling chirality we choose, only **left-handed** part appears in dipole

$$f_{TR}^g = f_{TR}^\gamma = f_{TR}^Z = 0$$

$$f_{TL}^g \simeq \frac{1}{16\pi^2} g_s m_\tau \frac{g_{1L}^{33} g_{1R}^{23*}}{M_{S_1}^2} \times \frac{1}{12} \left(-6(22x_\tau x_t + 3x_t + 16x_\tau + 6) \log x_\tau - 49x_t - 48 \right)$$

$$f_{TL}^\gamma \simeq -\frac{1}{16\pi^2} e m_\tau \frac{g_{1L}^{33} g_{1R}^{23*}}{M_{S_1}^2} \times \frac{1}{3} \left(\frac{1}{6} (14x_t x_\tau + x_t + 9x_\tau + 3) + (x_t + 1) x_\tau \log x_\tau \right),$$

$$x_\tau = \frac{m_\tau^2}{M_{S_1}^2}$$

$$x_t = \frac{m_t^2}{M_{S_1}^2}$$

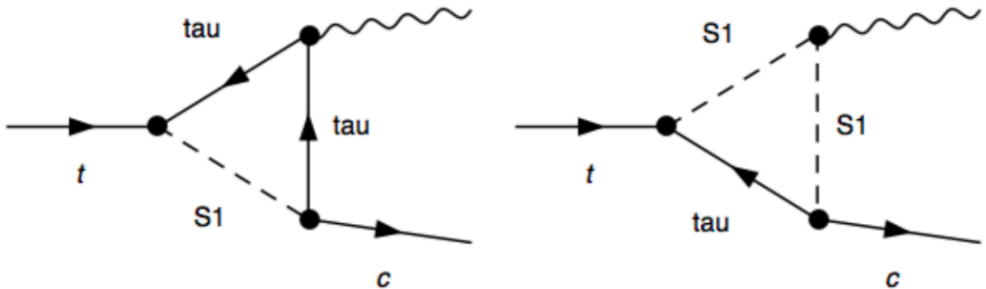
$$i\mathcal{M}_{tcV} = \bar{u}(p_2) \Gamma^\mu u(p_1) \epsilon_\mu(k, \lambda)$$

$$\Gamma_{tcZ}^\mu = \gamma^\mu (P_L f_{VL}^Z + P_R f_{VR}^Z) + i\sigma^{\mu\nu} k_\nu (P_L f_{TL}^Z + P_R f_{TR}^Z),$$

$$\Gamma_{tc\gamma}^\mu = i\sigma^{\mu\nu} k_\nu (P_L f_{TL}^\gamma + P_R f_{TR}^\gamma),$$

$$\Gamma_{tcg}^\mu = T^a i\sigma^{\mu\nu} k_\nu (P_L f_{TL}^g + P_R f_{TR}^g),$$

Loop-level:



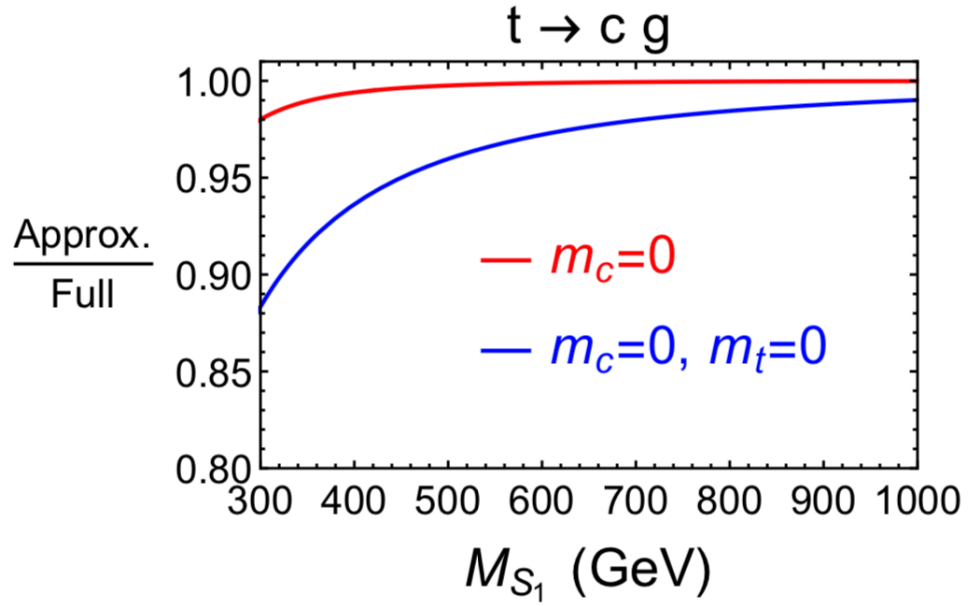
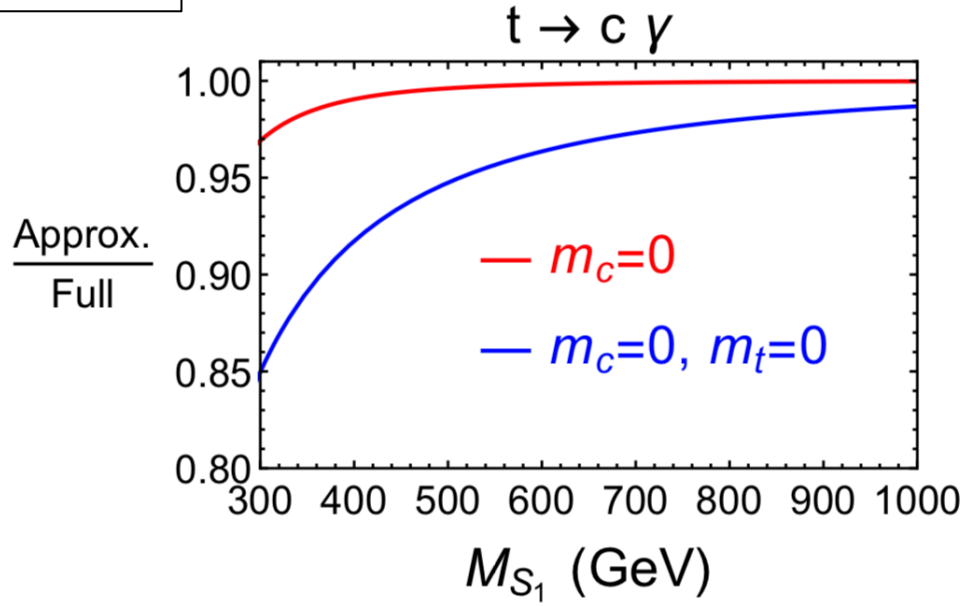
+ external leg corrections

for $m_V = 0$ (γ, g)

- we kept $O(m_t^1)$ in loop function expansions
- unlike $b \rightarrow s\gamma, \mu \rightarrow e\gamma$ with m_b, m_μ being ignored

for $m_V \neq 0$ (Z)

- we keep the full expressions

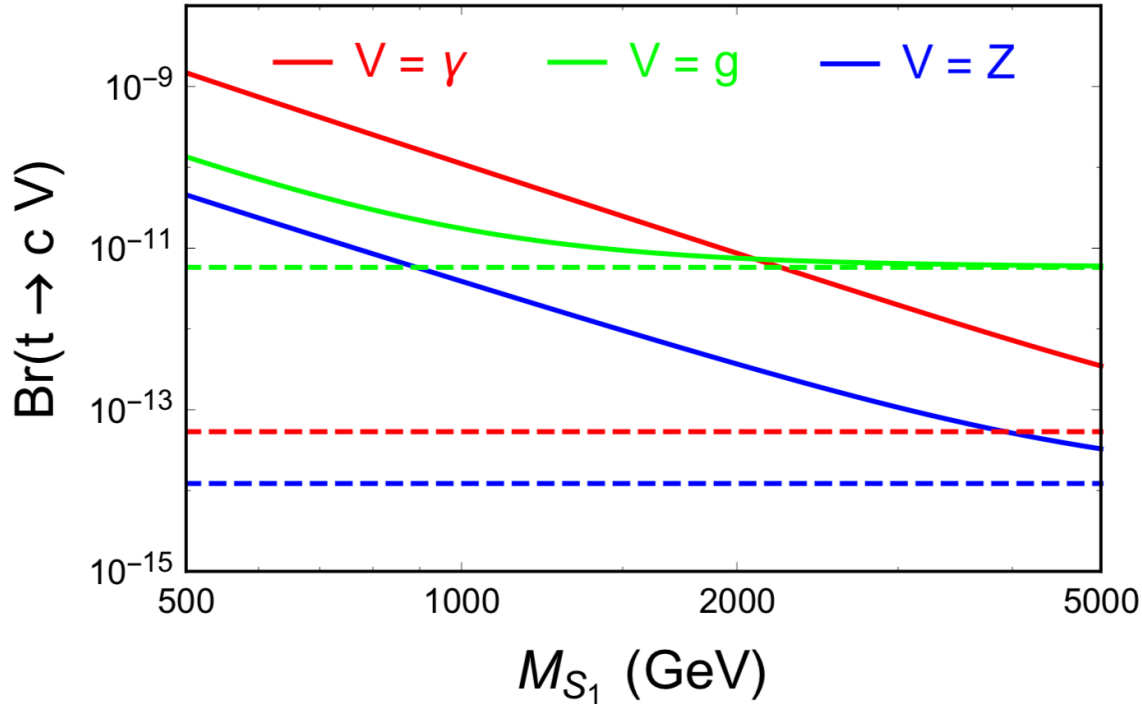


Loop-level:

decoupling
to SM

$$g_{1L}^{33} g_{1R}^{23*} = 1$$

$g_{1L}^{33} g_{1R}^{23*} = 1$; Solid: Total; Dashed: SM



For $M_{S_1} = [1, 2]$ TeV

EFT fitting
suggestion

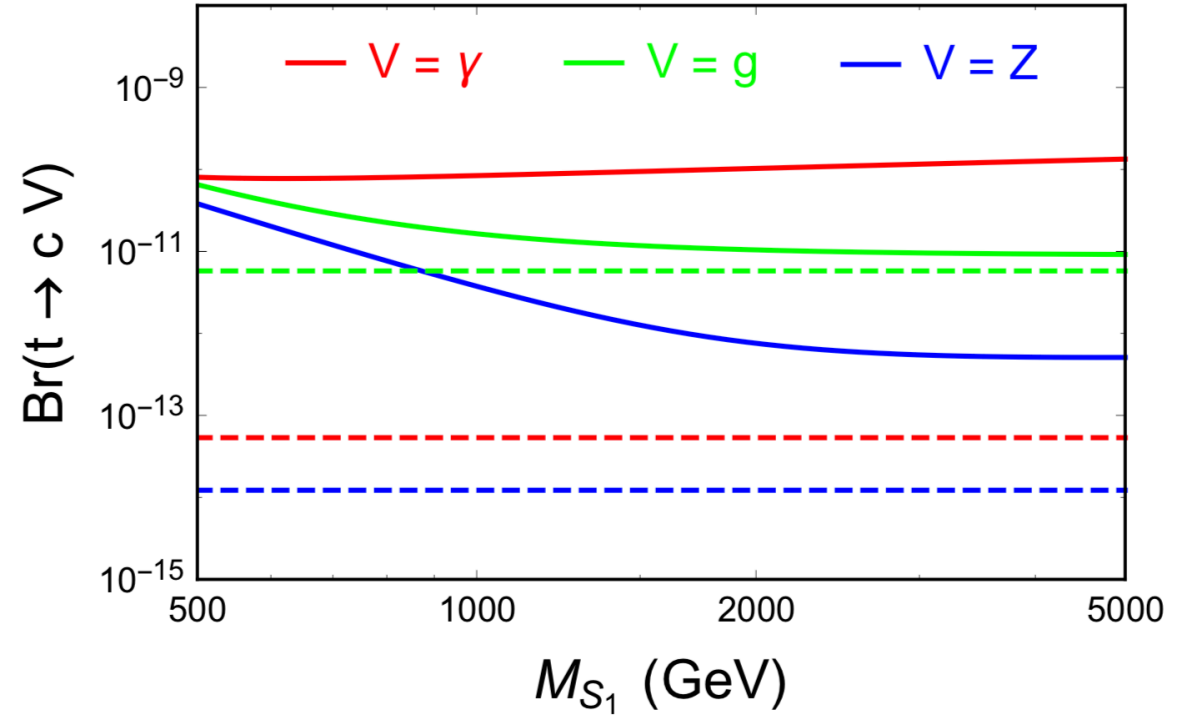
$$\frac{g_{1L}^{33} g_{1R}^{23*}}{M_{S_1}^2} = 0.87$$

$Br(t \rightarrow c\gamma) \sim 10^{-10}$

$Br(t \rightarrow cg) \sim 10^{-11}$

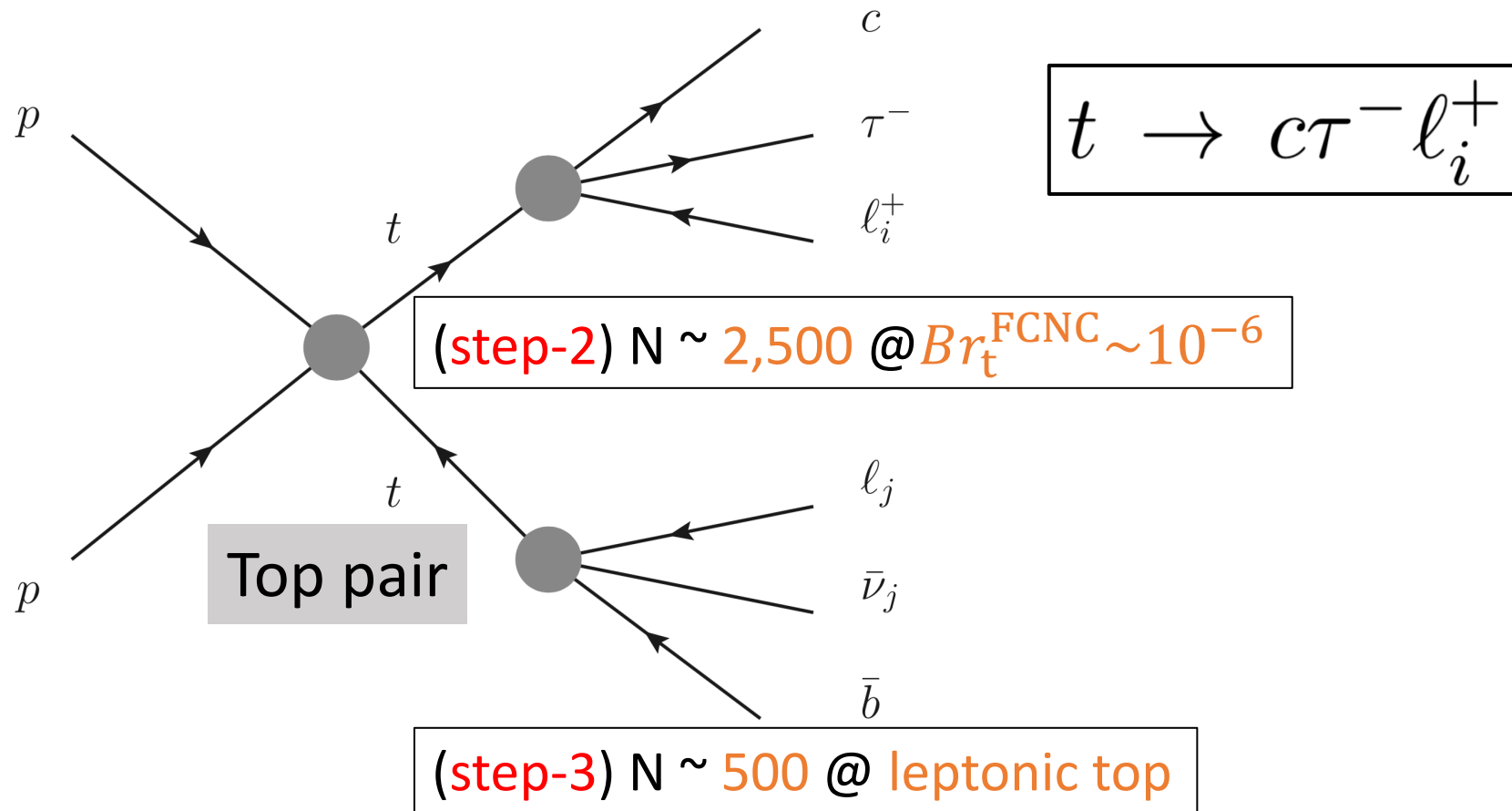
$Br(t \rightarrow cZ) \sim 10^{-[11,12]}$

$\frac{g_{1L}^{33} g_{1R}^{23*}}{M_{S_1}^2} = 0.87$; Solid: Total; Dashed: SM



S_1 collider prospects via $t\bar{t}$ production

(step-1) $N \sim 2.5 \times 10^9$ @ 3 ab^{-1} LHC-13



Cut-and-count analysis

$$1 \ell, \quad \geq 3 j \ni \{1 b, 2 \tau\}$$

- **Selection 1:** Exactly one lepton, at least three jets including exactly one b jet and two τ jets.

$$2 \ell \ni \{\mu, \ell\}, \quad \geq 2 j \ni \{1 b, 1 \tau\}$$

- **Selection 2:** Exactly two leptons, at least one muon, at least 2 jets including exactly one b jet and one τ jet.

$$1 \ell, \quad \geq 2 j \ni \{1 b\}$$

- **Selection 3:** Exactly one lepton and more than two jets in the final state, where one of the jet is b -tagged, the missing transverse energy $E_T^{\text{miss}} > 80$ GeV.

	VV	DY	W +jet	$t\bar{t}$	$t \rightarrow c\mu\tau$	$t \rightarrow c\tau\tau$	$t \rightarrow c\nu\nu$
Selection 1	9559	108095	-	1189719	28	19	0.3
Selection 2	5433	54047	-	839651	39	5	0.0
Selection 3	296814	594522	16530371	64764862	140	94	102

@ 3 ab^{-1} LHC-13
one leptonic top

- simple cuts give **signal/BG** $\sim 10^{-5}$
- advanced techniques needed

Multi-variate analysis of BDT method

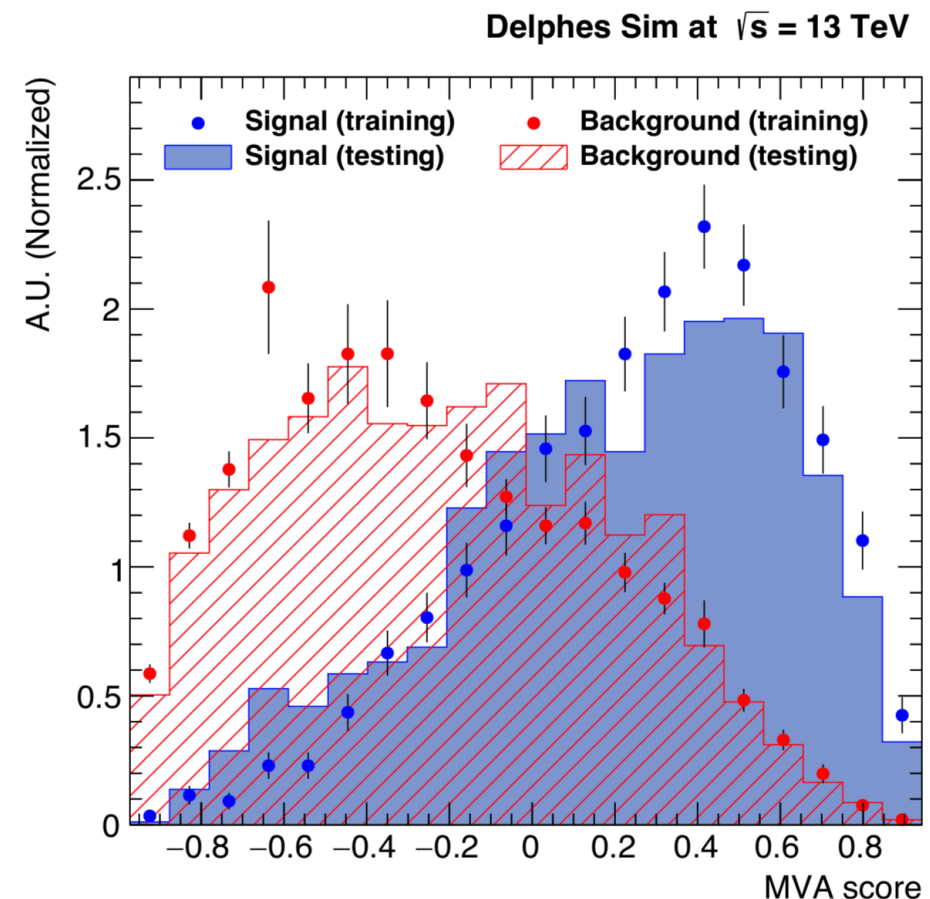
- Machine learning techniques based on
 - kinematic variables of the final objects
 - angle distances b.w. {leptons, MET}
 - ...

- multiplicity of jets, b jet, c jet and τ jet
- p_T, E_T^{miss} of the leading lepton
- p_T of the leading τ jet
- H_T which includes jets, leptons and E_T^{miss}
- p_T of leptons + E_T^{miss} , p_T of leptons + τ jet
- $\Delta R(\tau, \ell), \Delta\phi(\ell, E_T^{\text{miss}}), \Delta\phi(\tau, E_T^{\text{miss}})$
- $\Delta\phi(\tau + \ell, E_T^{\text{miss}})$
- $\Delta R(\ell, \text{leading } b \text{ jet})$

Multi-variate analysis of BDT method

- Increase of signal significance by O(30%) after using shape of final BDT distribution

- To further increase sensitivity
 - much larger event samples
 - more sophisticated statistical tools to perform shape analysis



Summary

- Anomalies in rare B decays have stimulated active discussions.
- LeptoQuark S_1, U_1 interpretations of $R_{D^{(*)}}$ naturally imply top FCNC
 - tree-level: $\sim 10^{-6}$ $S_1 : Br(t \rightarrow c\tau^- \ell_l^+)$ $U_1 : Br(t \rightarrow c\nu_\tau \bar{\nu}_l)$
 - loop-level: $\sim 10^{-10}$ $S_1 : Br(t \rightarrow c\gamma)$
- Collider searches, $t\bar{t}$ events as an example
 - challenging for simple cut-and-count method
 - Multi-variate-analysis using BDT can improve the sensitivity

Thank you for listening

Back up

Moriond, 2019

$R_{K^{(*)}}$ in rare B decays, lepton Non-universality

$$R_{K^{(*)}}^{\text{exp}} < R_{K^{(*)}}^{\text{SM}} \sim 2.5 \sigma \text{ deviation from SM}$$

$$R_{K^{(*)}}^{[q_1^2, q_2^2]} = \frac{\int_{q_1^2}^{q_2^2} dq^2 \frac{d}{dq^2} Br(B \rightarrow K^{(*)} \mu \mu)}{\int_{q_1^2}^{q_2^2} dq^2 \frac{d}{dq^2} Br(B \rightarrow K^{(*)} e e)}$$

$$q^2 = (p_{l^+} + p_{l^-})^2$$

$$\text{SM} \sim 1$$

$$R_K \equiv R_{K^+}^{[1,6]} = 0.846_{-0.054}^{+0.060} {}_{-0.014}^{+0.016} \text{ (LHCb)},$$

$$R_{K^*} \equiv R_{K^{*0}}^{[1.1,6]} = 0.96_{-0.29}^{+0.45} \pm 0.11 \text{ (Belle)}.$$

$$R_{K^{*0}}^{[0.045,1.1]} = 0.52_{-0.26}^{+0.36} \pm 0.05 \text{ (Belle)}$$