

# ALICE Detector Upgrade

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第五届中国LHC物理研讨会(CLHCP2019), 10月14-27日, 大连

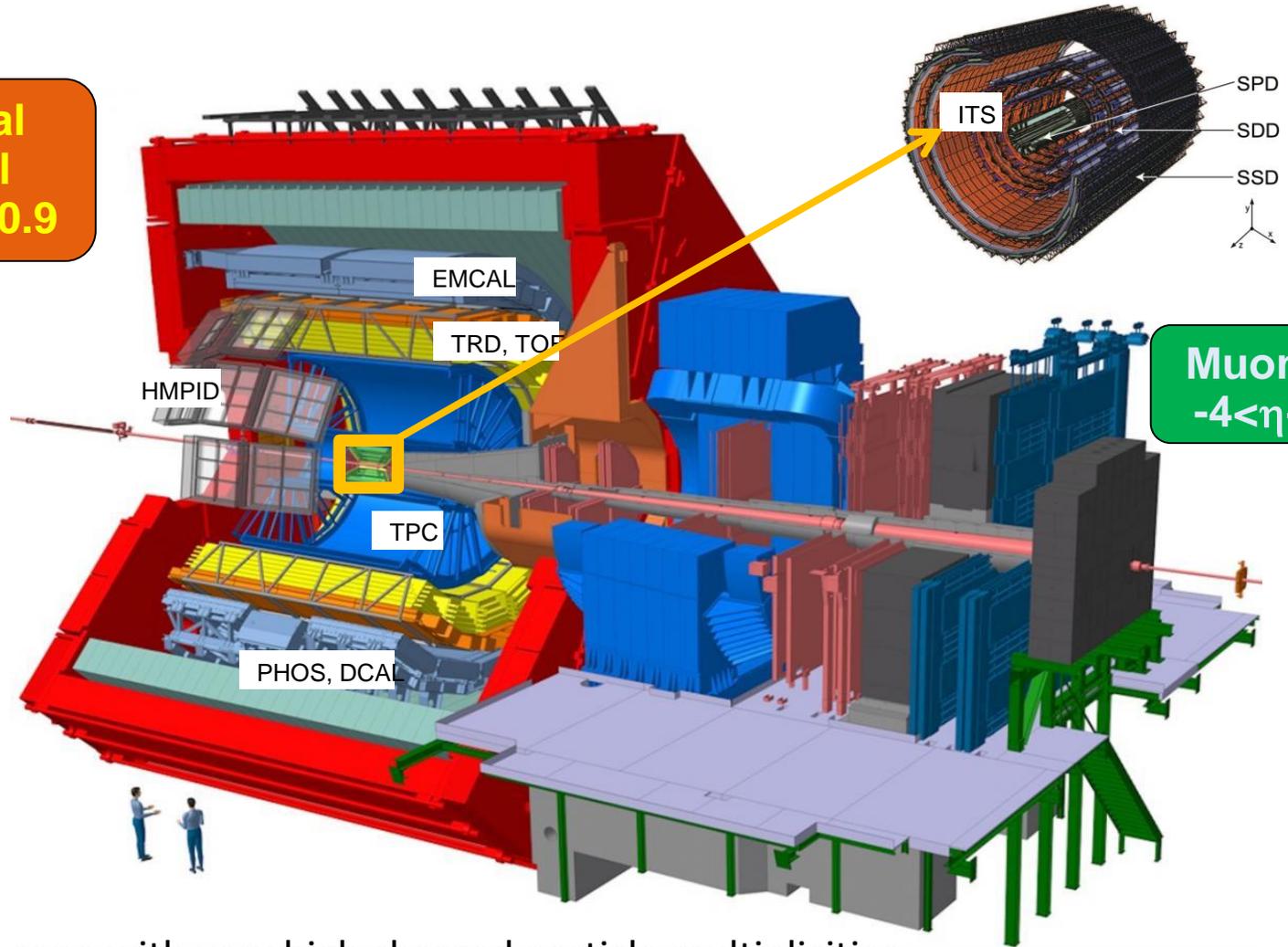


# Outline

- Overview on ALICE detector upgrade programs
- Chinese involvement on ALICE detector upgrade for LS2
- (Possible) involvement on ALICE detector upgrade for LS3
- Summary and outlook

# ALICE detector in RUN 1 and 2

Central barrel  
 $-0.9 < \eta < 0.9$

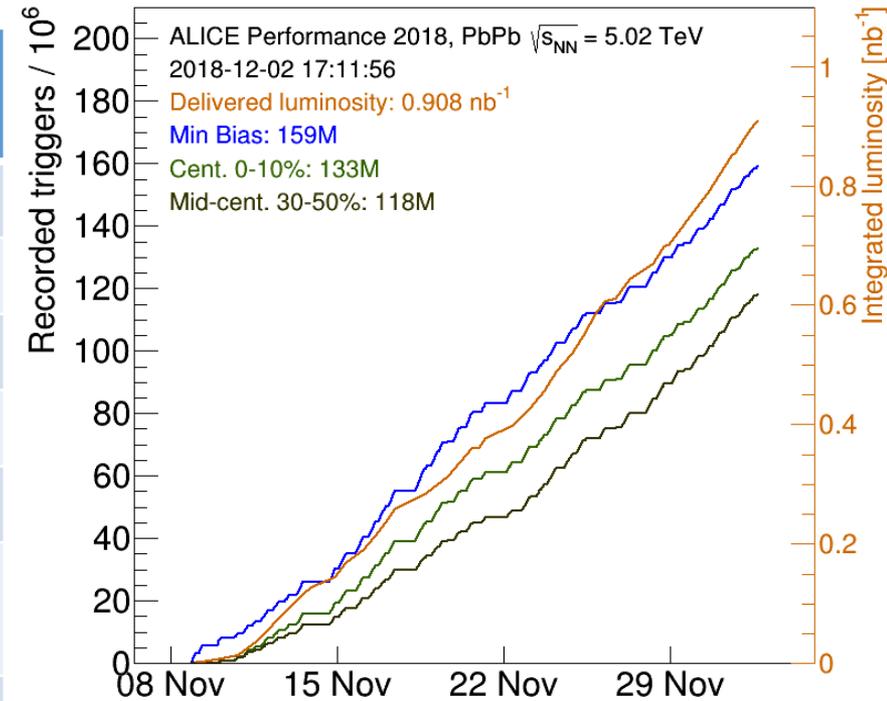


Muon arm  
 $-4 < \eta < -2.5$

- Designed to cope with very high charged particle multiplicities
- Excellent tracking and particle identification of charged particles over wide  $p_T$  range

# ALICE running status

Colliding System	Year	$\sqrt{s_{NN}}$ (TeV)	Integrated Luminosity
Pb-Pb	2010,2011	2.76	$\sim 75 \mu\text{b}^{-1}$
	2015	5.02	$\sim 250 \mu\text{b}^{-1}$
	<b>2018</b>	<b>5.02</b>	<b><math>\sim 1 \text{ nb}^{-1}</math></b>
Xe-Xe	2017	5.44	$\sim 0.3 \mu\text{b}^{-1}$
p-Pb	2013	5.02	$\sim 15 \text{ nb}^{-1}$
	2016	5.02 8.16	$\sim 25 \text{ nb}^{-1}$ $\sim 3 \text{ nb}^{-1}$
pp	2009-2013	0.9	$\sim 200 \mu\text{b}^{-1}$ ,
		2.76	$\sim 100 \text{ nb}^{-1}$
	7	$\sim 1.5 \text{ pb}^{-1}$ ,	
	8	$\sim 2.5 \text{ pb}^{-1}$	
	2015,2017	5.02	$\sim 1.3 \text{ pb}^{-1}$
2015-2018	13	$\sim 45 \text{ pb}^{-1}$	

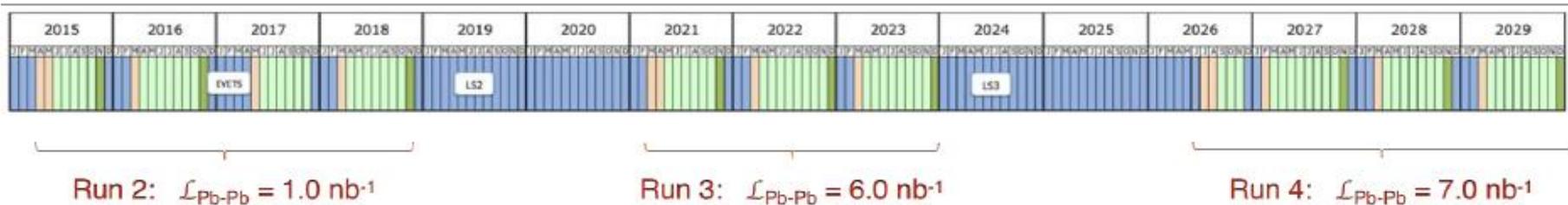


## Pb-Pb 2018 run

- 0-10%:  $\sim 9 \times 2015$
- 30-50%:  $\sim 4 \times 2015$
- Minimum Bias:  $\sim 2015$
- delivered lumi.  $\sim 2 \times 2015$

# Physics reach and limitations

- Measurements on a wide range of observables, from bulk particle production to specific probes, to characterize the de-confined hot and dense medium created in heavy-ion collisions at the LHC.
  - Up to now 244 papers published
- But some probes not fully exploited due to insufficient statistics or large combinatorial background.
  - Heavy flavor, quarkonium, jets, direct photons, dileptons,...



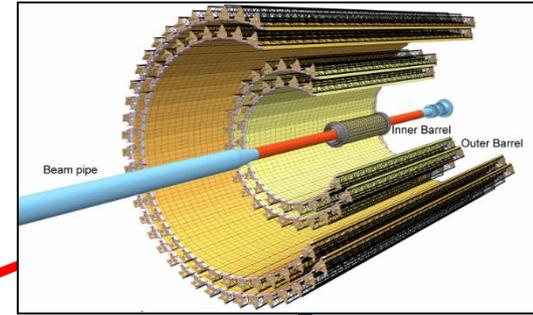
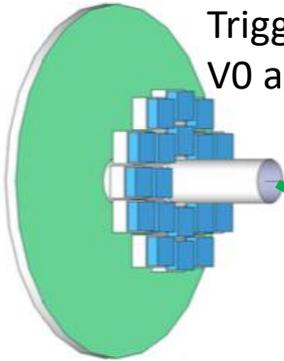
- ➔
- **A major detector upgrade for the LS2 to fully exploit the higher interaction rate (50 kHz Pb-Pb collisions) .**
  - **ITS3 and FoCal are proposed to upgrade the ALICE detector for LS3 to further enhance its physics capabilities.**



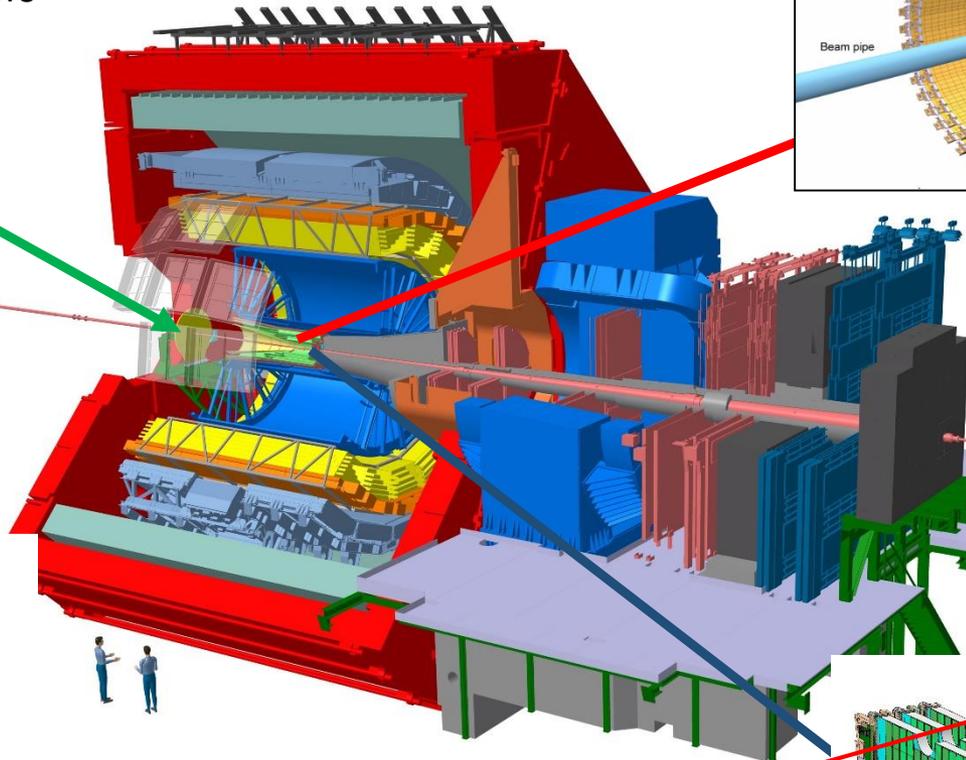
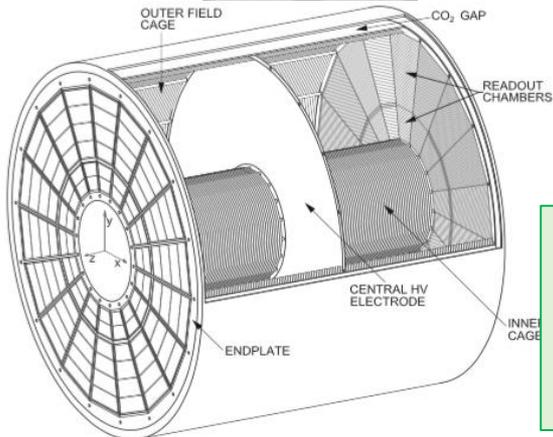
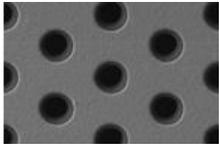
# Major detector upgrade for LS2

New Inner Tracking System (ITS2)

New Forward Interaction Trigger (FIT) to replace the VO and T0 detectors

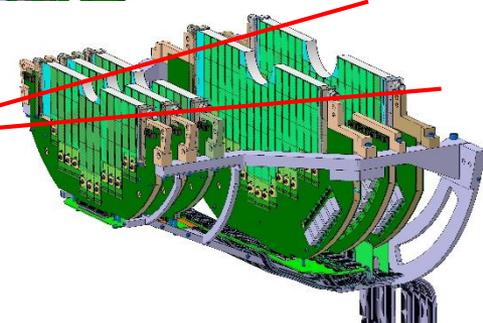


TPC with GEM based readout



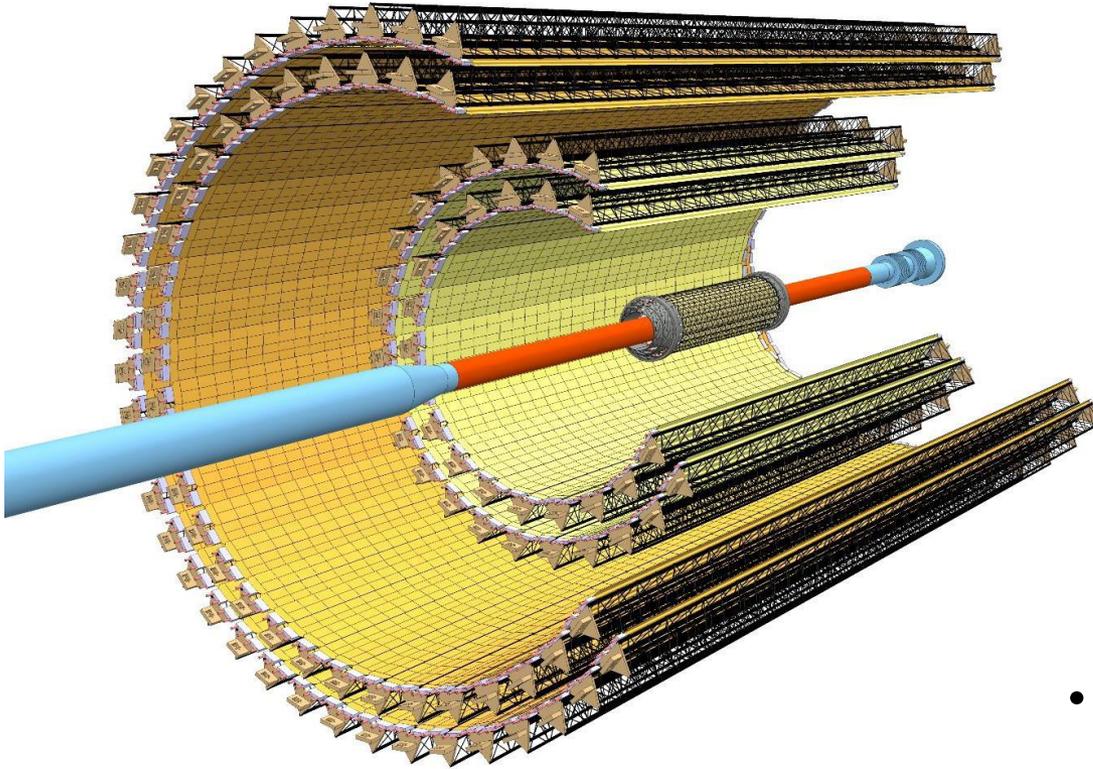
Both based on MAPS

MFT



- + improved readout for TOF, ZDC, TRD, MUON ARM
- + new Central Trigger Processor
- + new DAQ/Offline architecture

# ITS upgrade for LS2 (ITS2)



- Inner Barrel

- 3 Inner Layers (48x 9-chip Staves)
- Material per layer:  $\sim 0.35\% X_0$

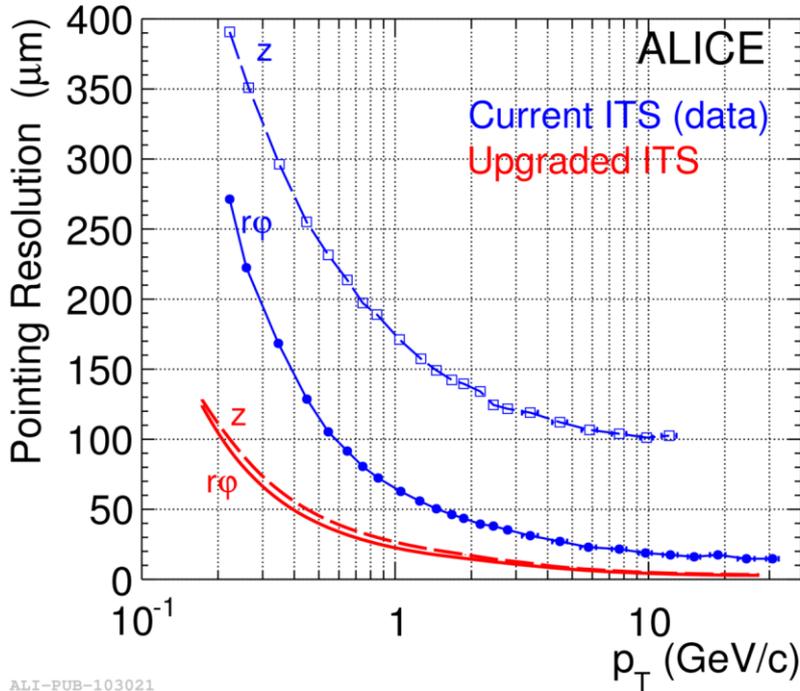
- 7-layer barrel fully equipped with dedicated **Monolithic Active Pixel Sensors (MAPS): ALice Pixel DEtector (ALPIDE)**
- Radial coverage: **23** – 400 mm
- $\eta$  coverage:  $|\eta| \leq 1.3$
- Total active area about 10 m<sup>2</sup>
- 24,000 pixel chips (12.5G pixels)
- Spatial resolution: 5  $\mu$ m

- Outer Barrel

- 2 Middle Layers (54x 8-module Staves)
- 2 Outer Layers (90x 14-module Staves)
- Material/layer:  $\sim 0.8\% X_0$

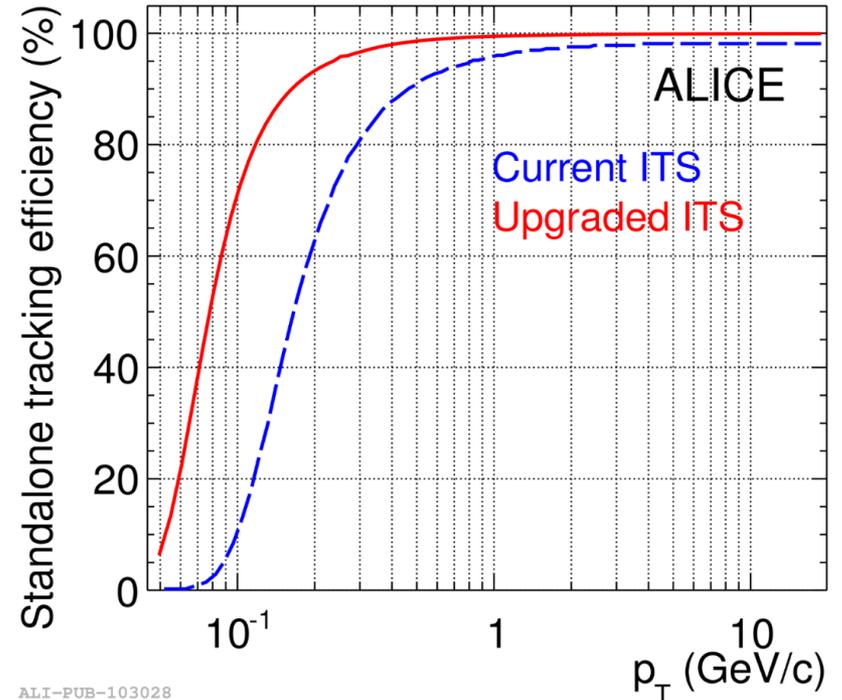
# Simulated detector performance

- Pointing resolution improved by a factor of 3 and 5 in  $r\phi$  and  $z$  direction for 0.5 GeV/c  $\pi$



ALI-PUB-103021

- Standalone tracking efficiency improved significantly at low  $p_T$

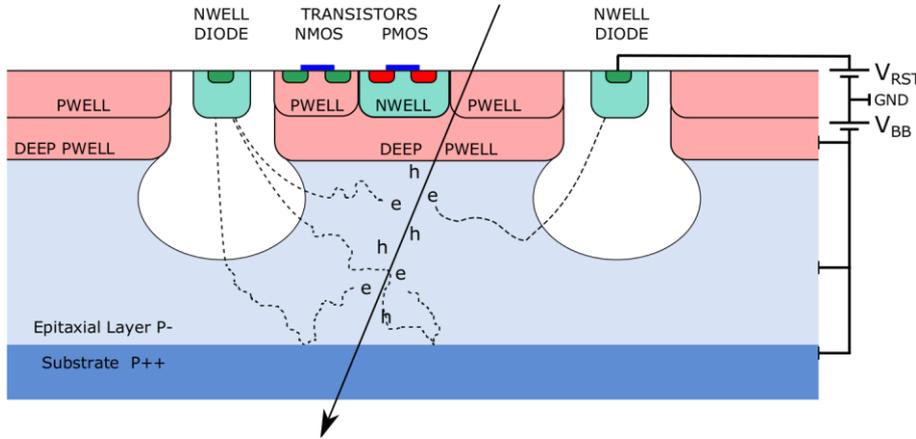


ALI-PUB-103028

- Physics goal: improve heavy-flavor physics studies through low momentum track reconstruction

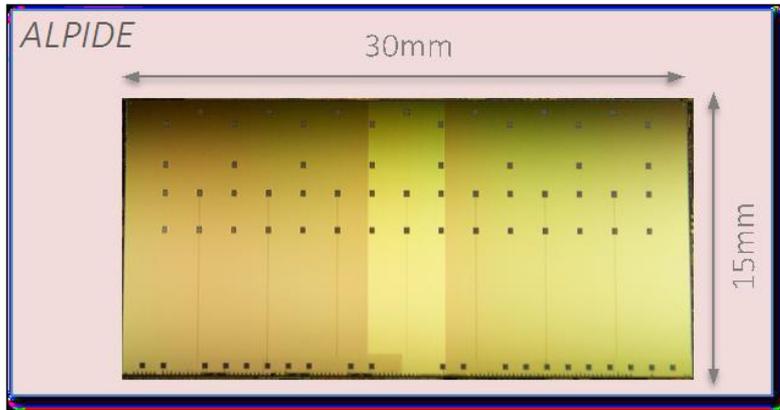
# The ALPIDE sensor

Pixel Sensor produced using TowerJazz 0.18 $\mu\text{m}$  CMOS Imaging Process



## Monolithic Active Sensor Technology

- Deep Pwell allows in-pixel full CMOS
- Low-power read-out
- High granularity, low material budget
- Resistivity ( $>1 \text{ k}\Omega\cdot\text{cm}$ ) p-type epitaxial layer ( $25 \mu\text{m}$ )
- Reverse bias to increase the depletion zone around NWELL collection diode

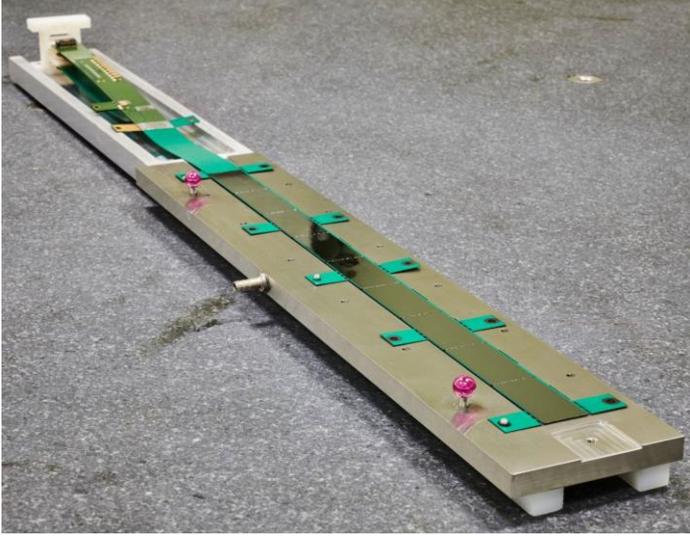


- Pixel Matrix: 1024 cols x 512 rows
- Pixel pitch:  $29 \mu\text{m} \times 27 \mu\text{m}$
- Ultra-low power:  $\sim 40 \text{ mW}/\text{cm}^2$
- Integration time:  $< 10 \mu\text{s}$
- Trigger rate: 100 kHz Pb-Pb, 1 MHz pp
- Continuous or triggered readout

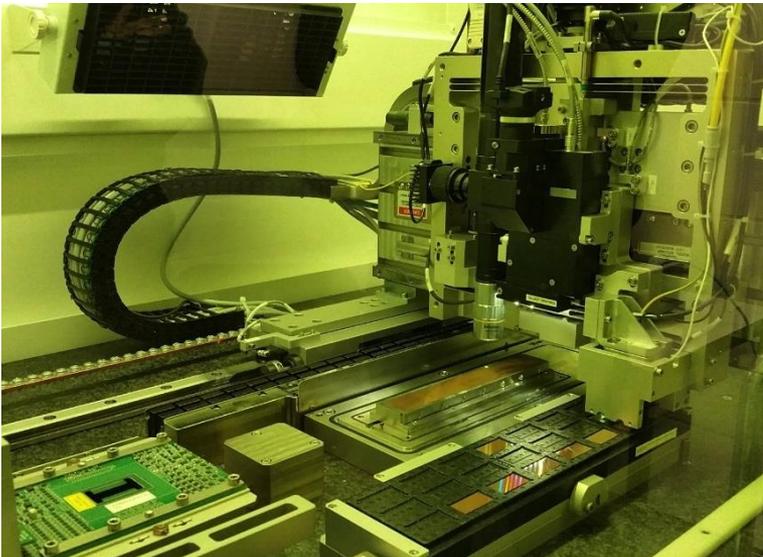
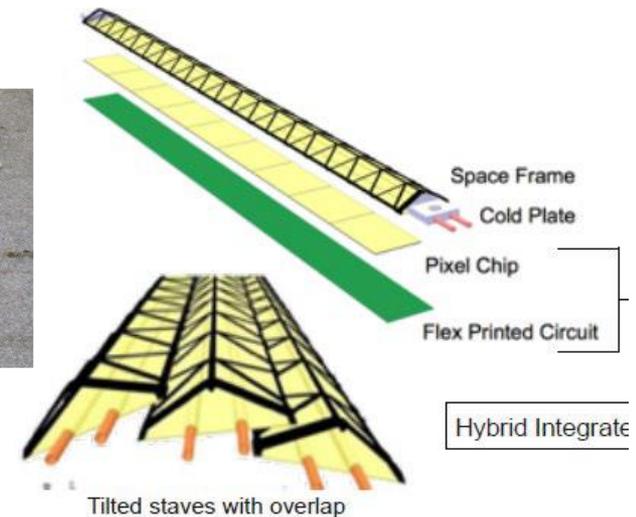
IB:  $50 \mu\text{m}$  thick  
 OB:  $100 \mu\text{m}$  thick

# From chips to staves: IB

Inner Barrel HIC: 9x 50 $\mu$ m-thick ALPIDE chips wire-bonded to FPC



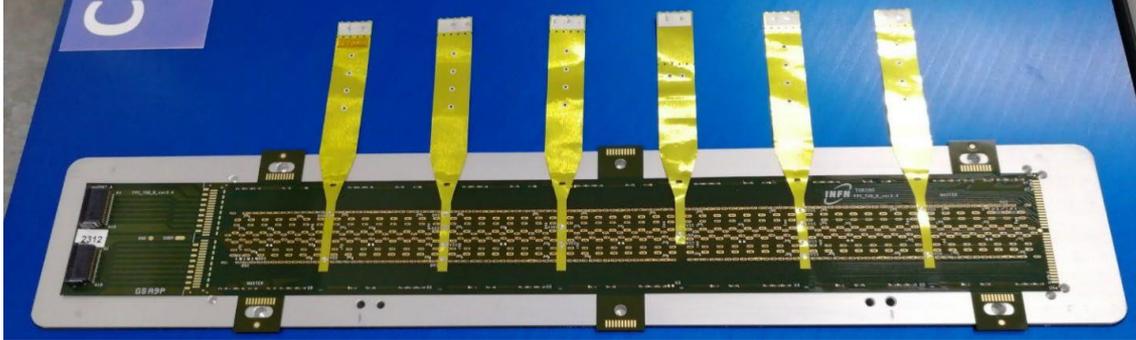
- Chips read out separately
- Clock, control, data, power lines wire-bonded to aluminum FPC
- Produced at CERN with 73% yield
- 27 cm length stave



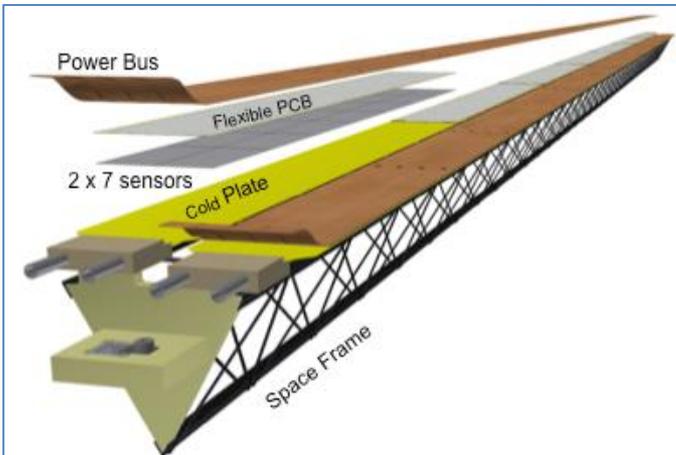
- $\langle$ radius $\rangle$  (mm): 23, 31, 39
- Nr. staves: 12, 16, 20
- Nr. chips: 432
- Readout speed: 1.2 Gbps

# From chips to staves: OB

Outer Barrel HIC: 14x 100 $\mu$ m-thick ALPIDE chips (2 rows)



- Data and control transferred through 1 master chip per row
- Chips wire-bonded to copper FPC
- Power delivered via 6 cross-cables soldered to FPC
- Produced at Bari, Liverpool, Pusan/Inha, Strasbourg and **Wuhan**



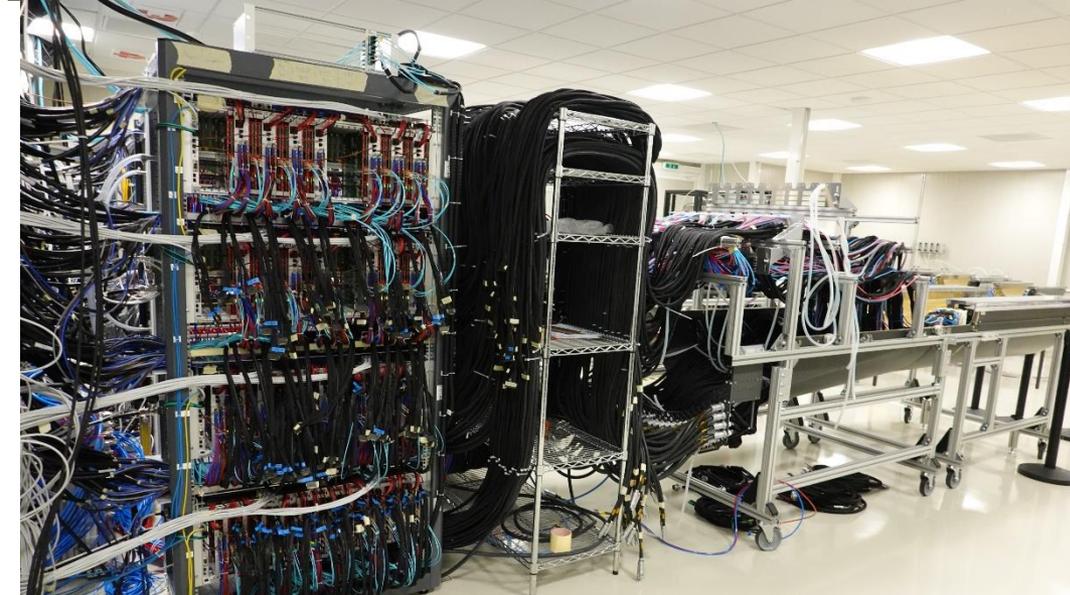
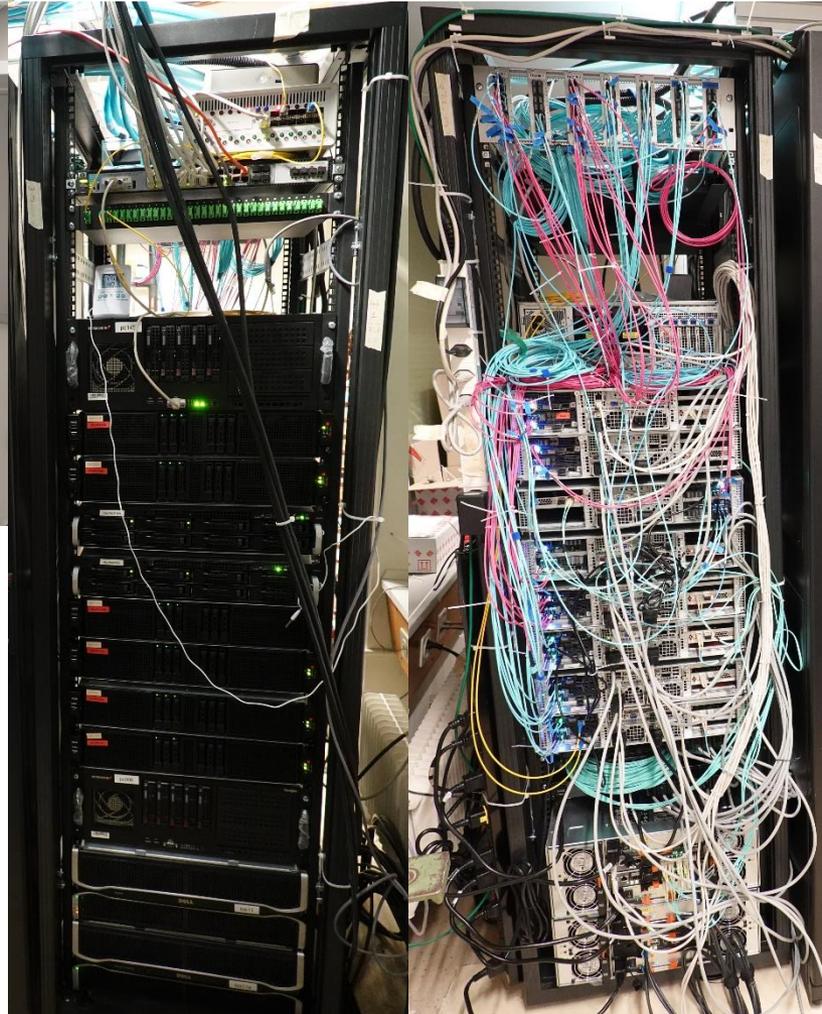
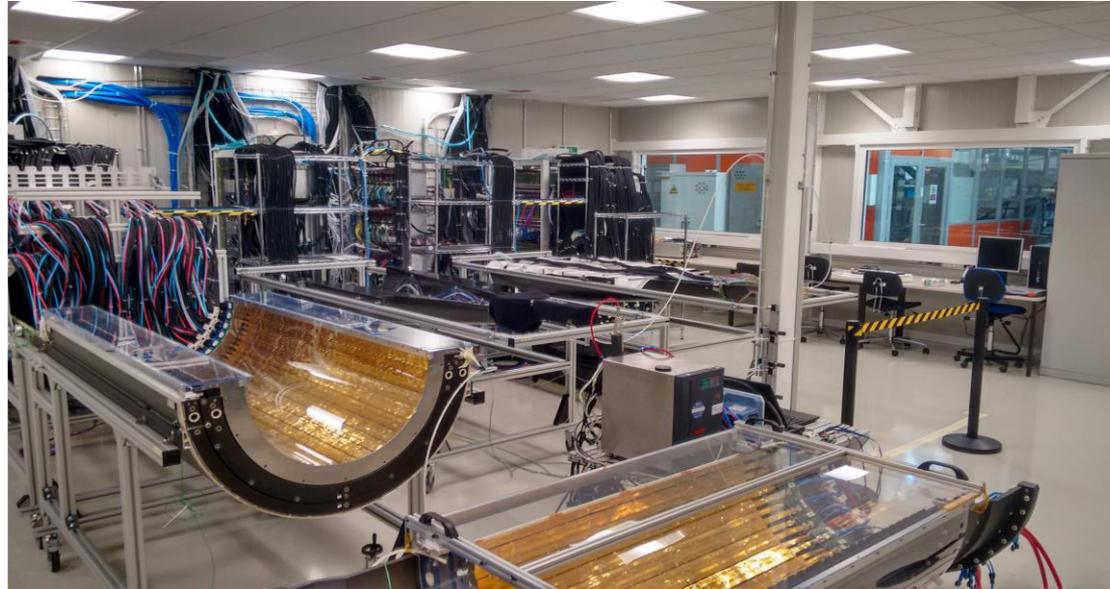
- HIC alignment on cold plate
- HIC-to-HIC (4 for ML and 7 for OL) interconnection soldering
- Two half staves on space frame
- Power bus installation
- Readout speed for OB: 400 MB/s



# Construction installation and commissioning timeline

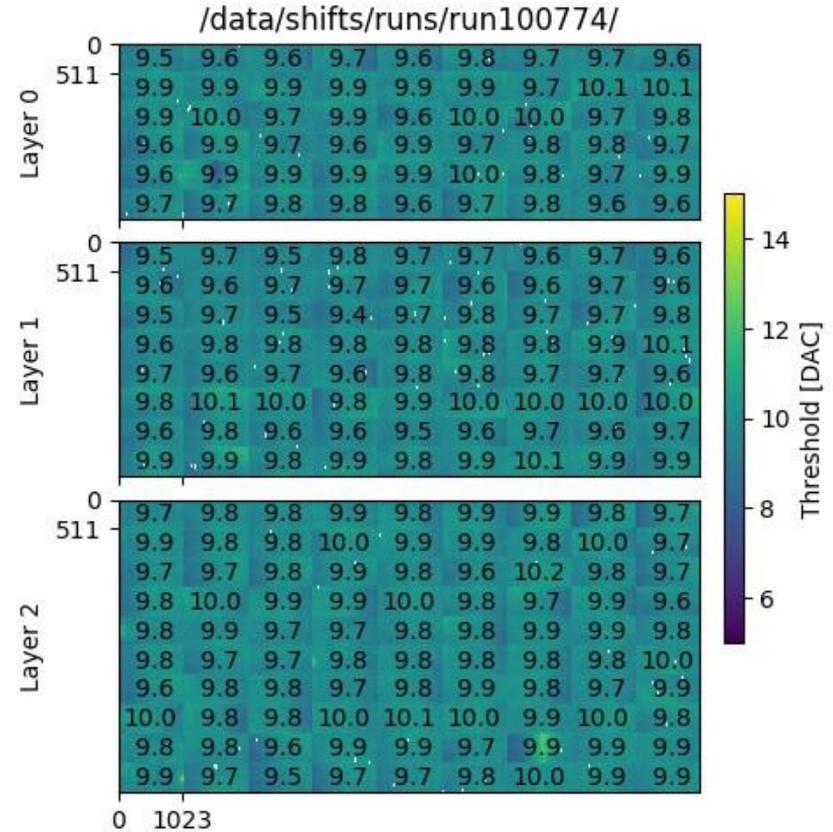
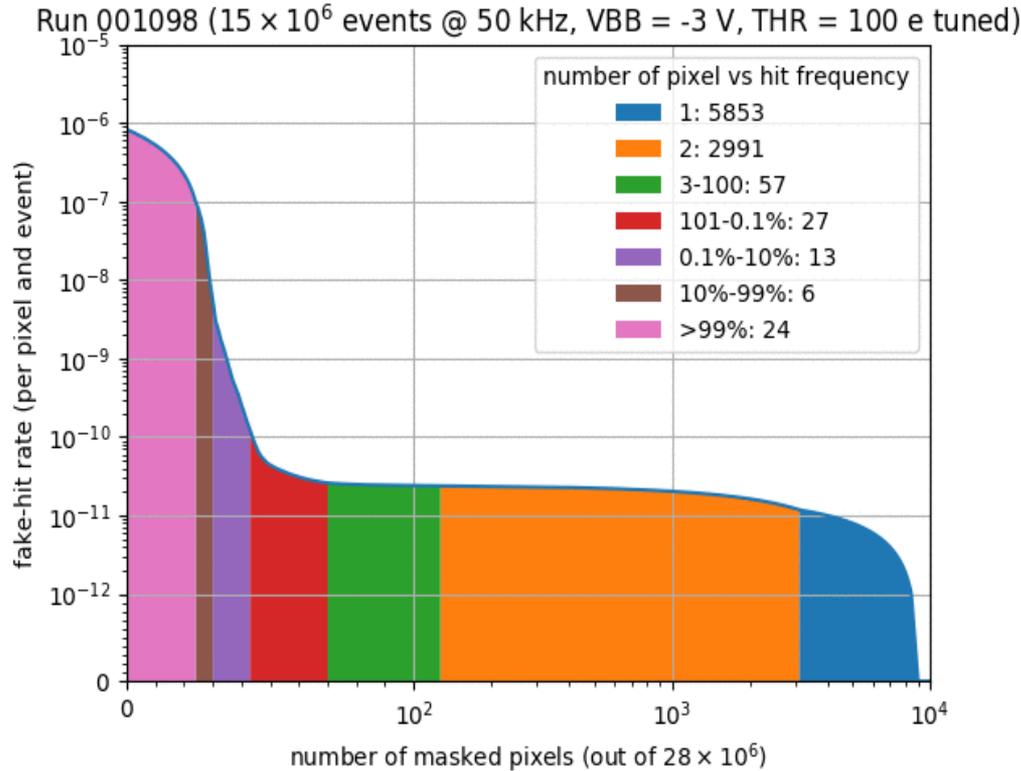


# Commissioning on surface





# Example results from commissioning

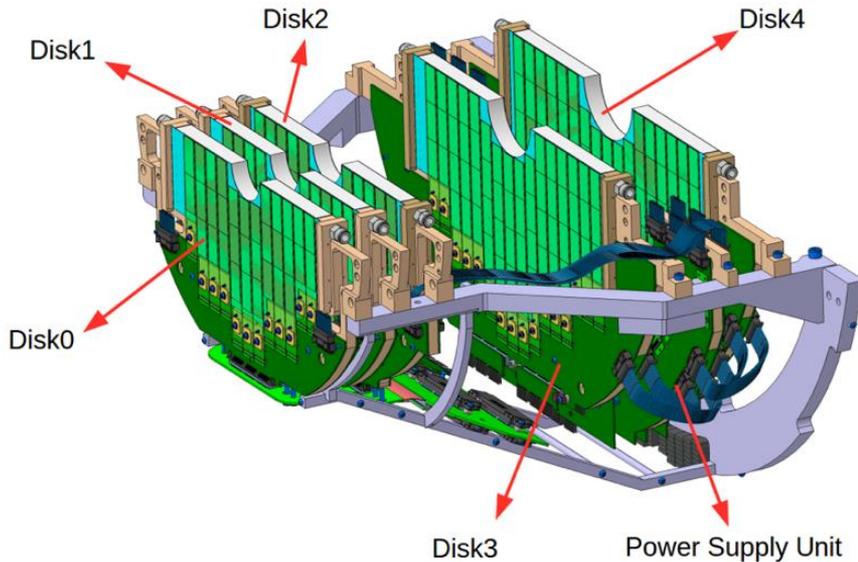
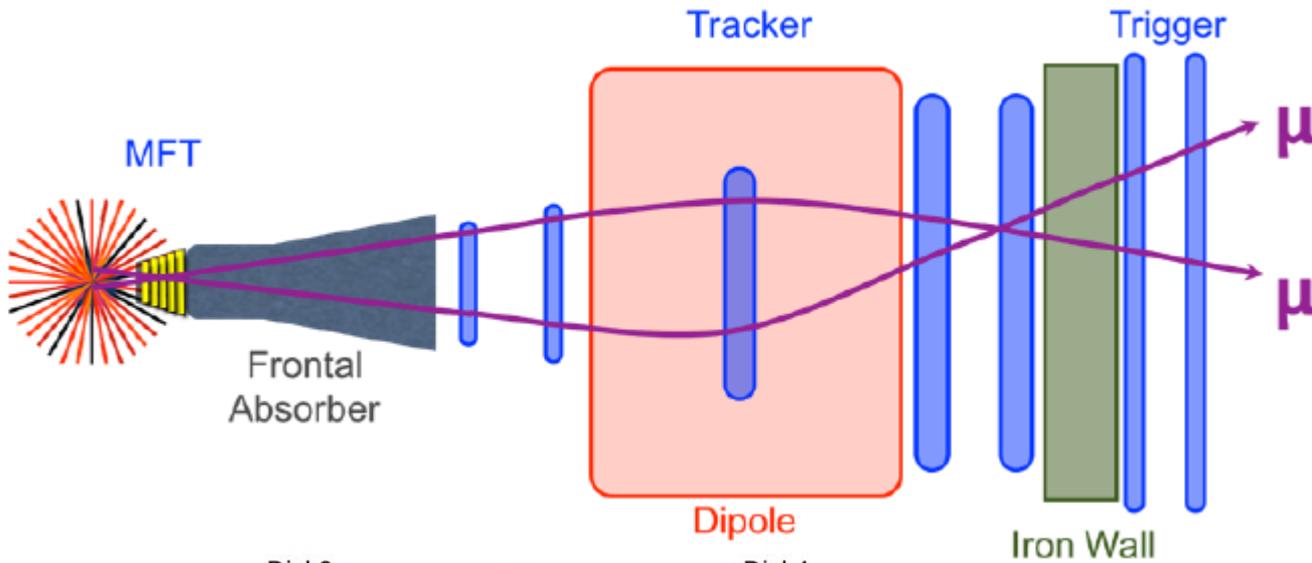


216 chips,  
~ 113M pixels

- Very quiet detector
- Fake-hit rate stable over time

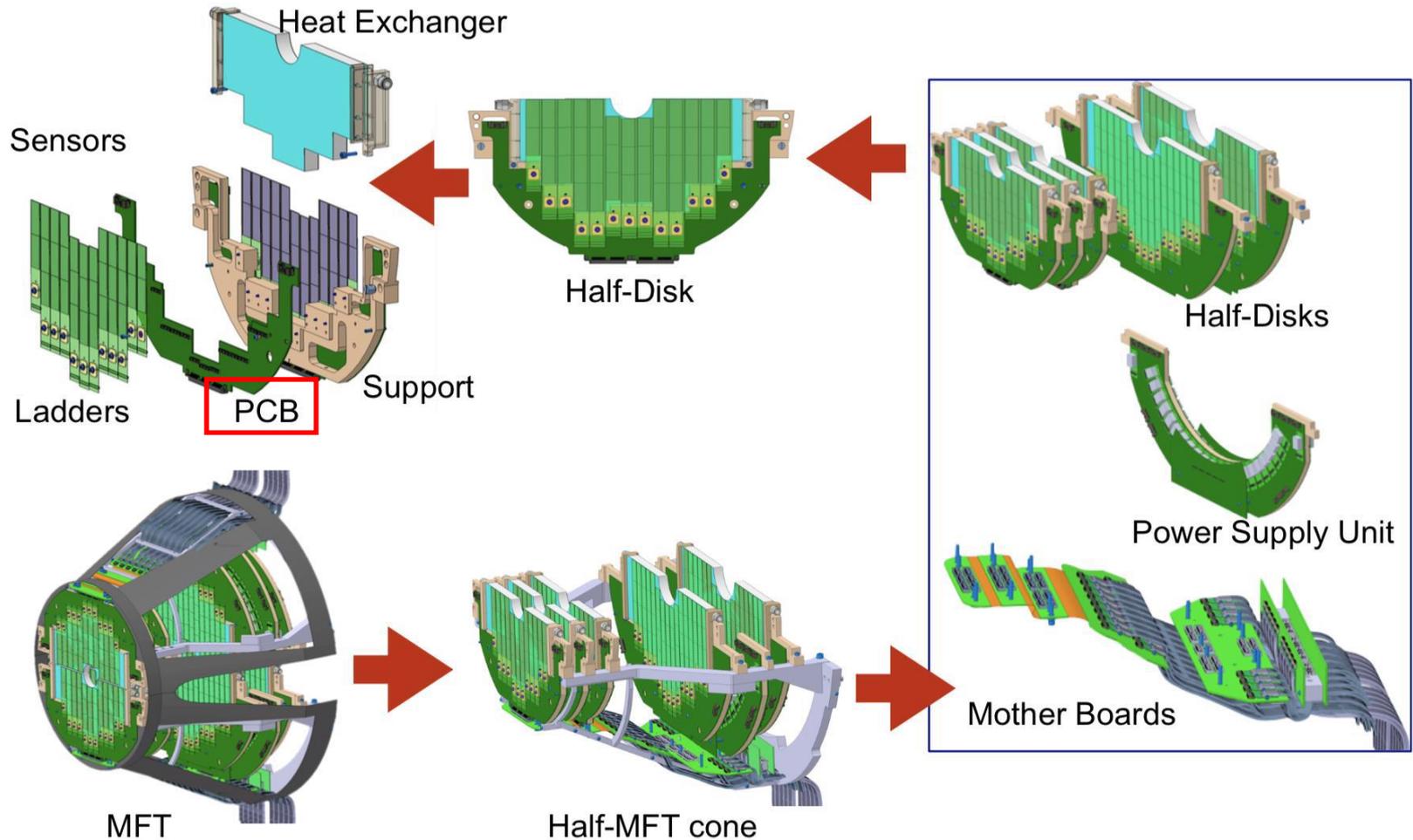
- Threshold tuning effective
- Very good uniformity
- Less than 1000 dead pixels

# Muon Forward Tracker (MFT)



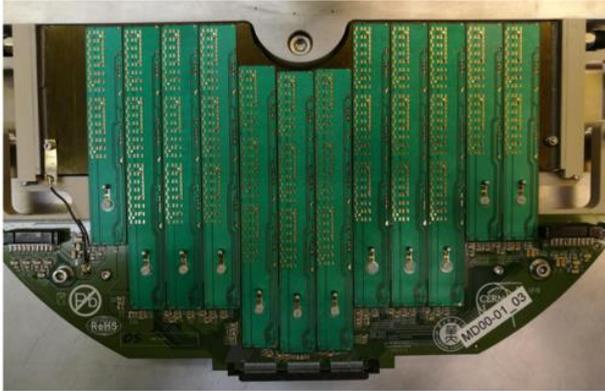
- **Based on same MAPS as ITS upgrade**
- 2212 chips in total
- 5 disks with 2 planes on each disk
- Enables better matching to vertex
- **Charm/beauty separation possible**
- **Improved mass resolution**

# Breakdown of MFT structure

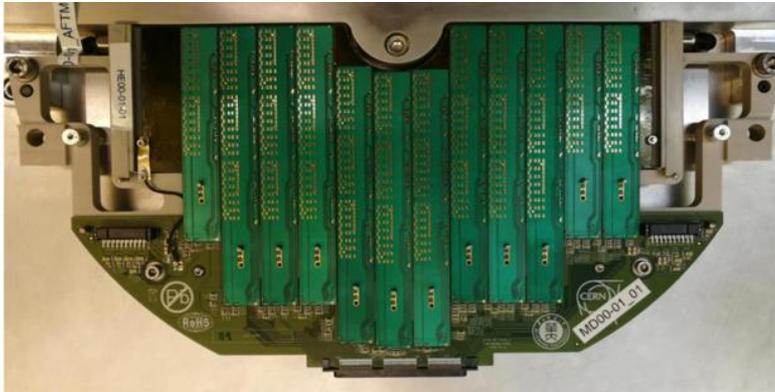
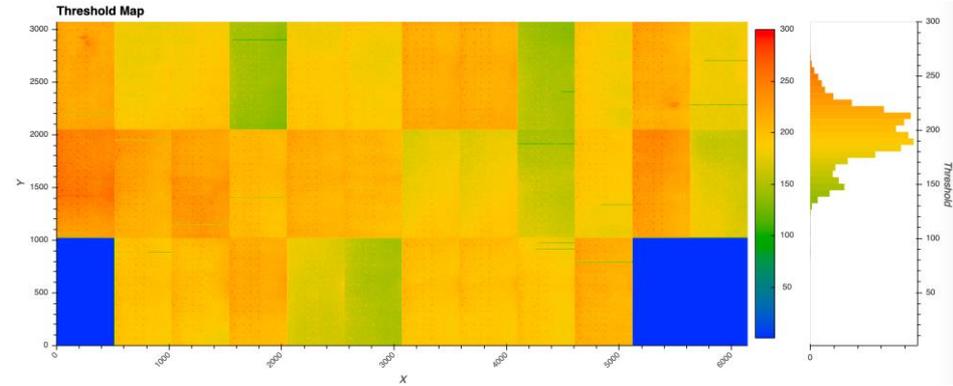


- **CCNU takes charge of PCB design, layout and production.**
- **Status: Completed**

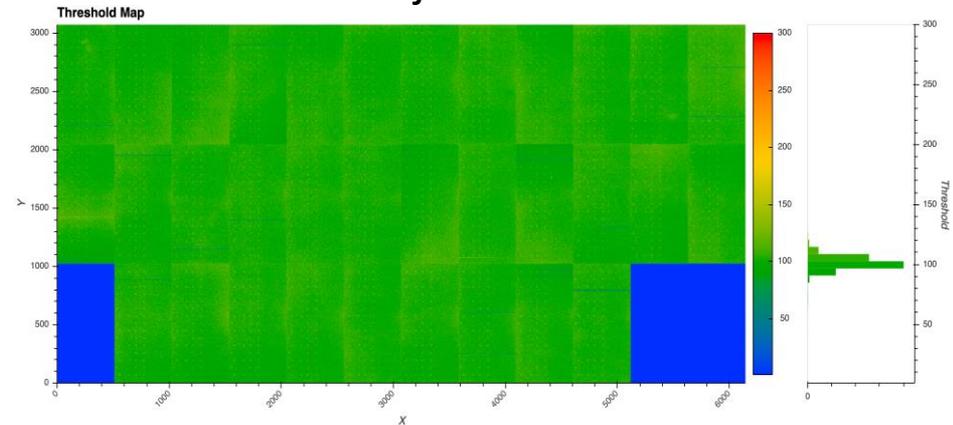
# Disk production & Commissioning



Before threshold adjustment



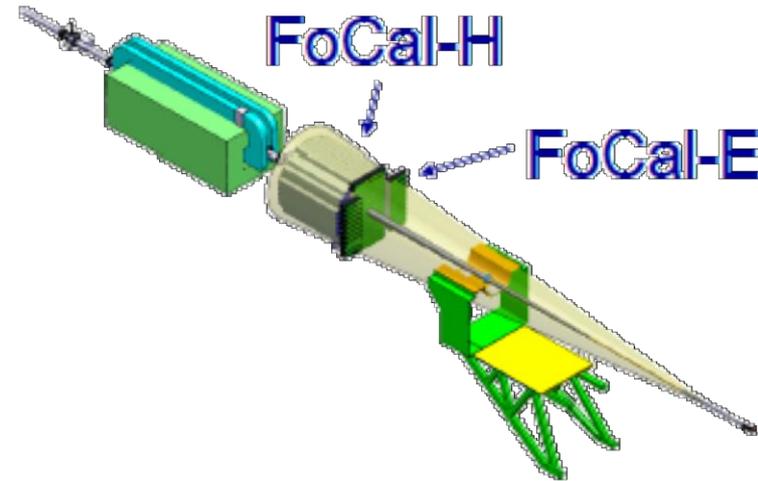
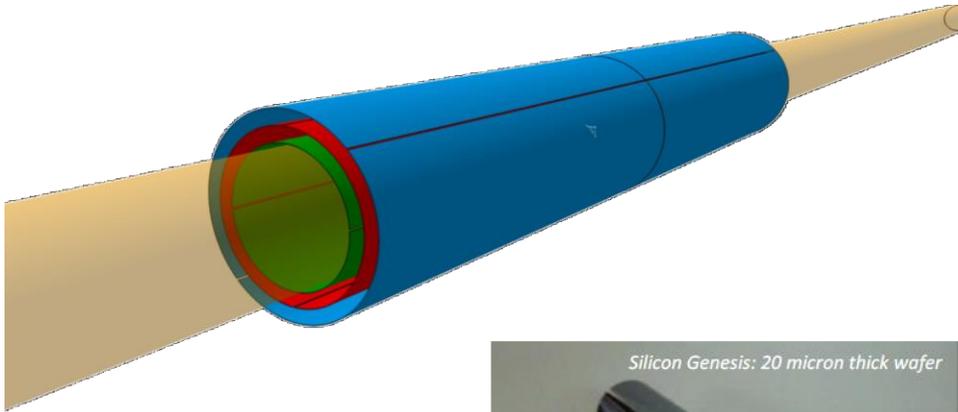
After threshold adjustment



- 2 disks of type 00/01 + 1 disk 02 produced

# Possible involvement on ALICE detector upgrade for LS3

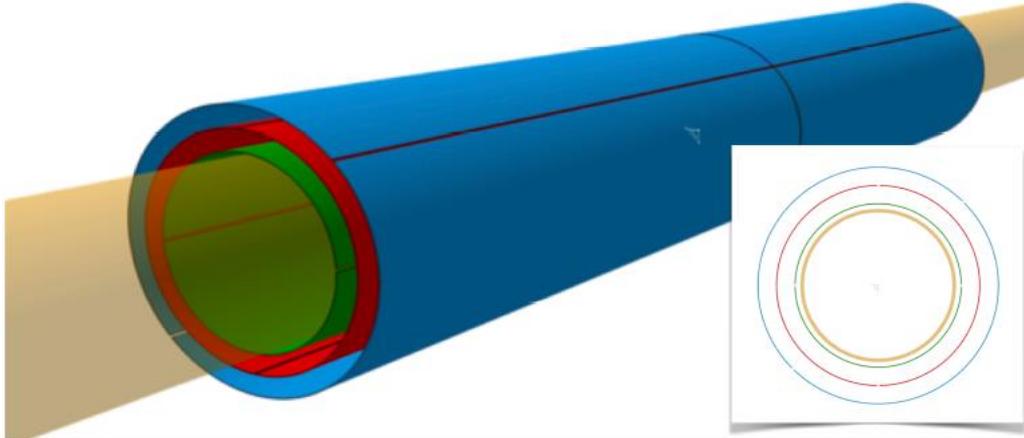
- Proposed upgrade in LS3



- **ITS3**: Replace the 3 inner layers with three truly cylindrical layers based on **curved** ultra-thin sensors
- Resolution improved further by factor of 2

- Add a forward calorimeter (**FoCal**)

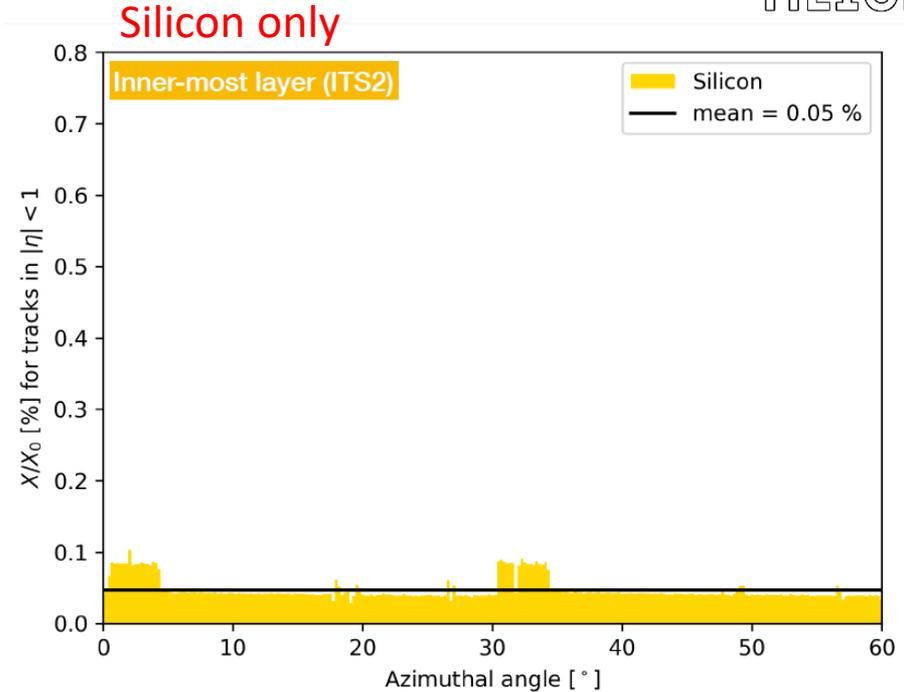
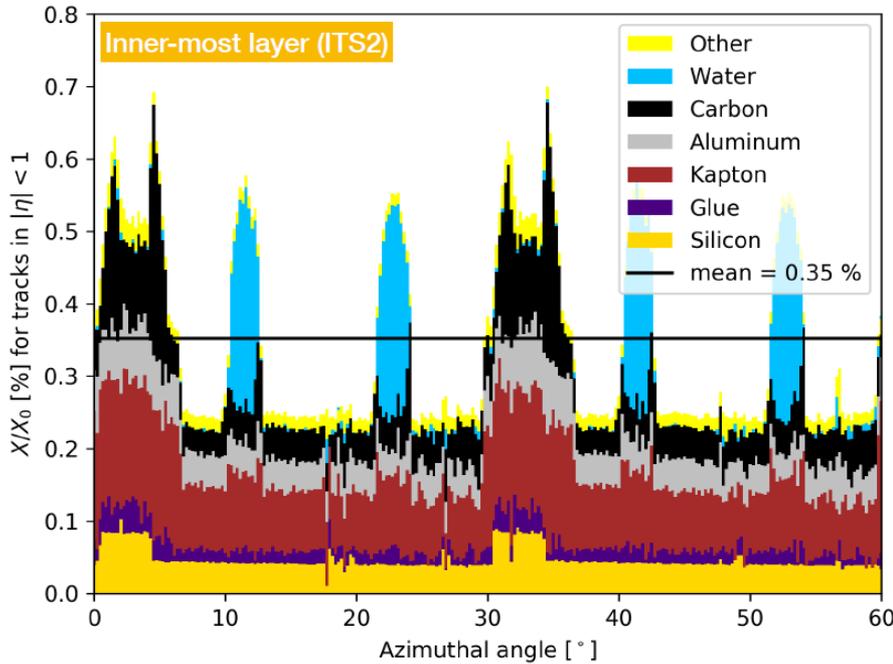
# ITS3 layout



- ▶ New beam pipe:
  - “old” radius/thickness: 18.2/0.8 mm
  - new radius/thickness: 16.0/0.5 mm
  
- ▶ Extremely low material budget:
  - Beam pipe thickness: 500  $\mu\text{m}$  (0.14%  $X_0$ )
  - Sensor thickness: 20-40  $\mu\text{m}$  (0.02-0.04%  $X_0$ )
  
- ▶ Material homogeneously distributed:
  - essentially zero systematic error from material distribution

Beam pipe Inner/Outer Radius (mm)	16.0/16.5		
IB Layer Parameters	Layer 0	Layer 1	Layer 2
Radial position (mm)	18.0	24.0	30.0
Length (sensitive area) (mm)	300		
Pseudo-rapidity coverage	$\pm 2.5$	$\pm 2.3$	$\pm 2.0$
Active area ( $\text{cm}^2$ )	610	816	1016
Pixel sensor dimensions ( $\text{mm}^2$ )	280 x 56.5	280 x 75.5	280 x 94
Number of sensors per layer	2		
Pixel size ( $\mu\text{m}^2$ )	O (10 x 10)		

# Material budget



- Observations:
  - Silicon makes only about **15%** of total material
  - **Irregularities** due to support/cooling and overlap

- Removal of water cooling
  - **possible** if power consumption stays below 20 mW/cm<sup>2</sup>
- Removal circuit board (power+data)
  - **possible** if integrated on chip
- Removal of mechanical support
  - **benefit** from increased stiffness by rolling Si wafers



# Implementation

- **Air cooling**
  - Possible below  $20 \text{ mW/cm}^2$
  - Studied in the context of ITS2
  - Achievable *if* periphery outside the fiducial volume

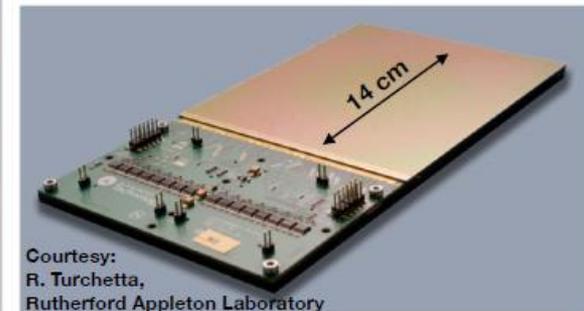
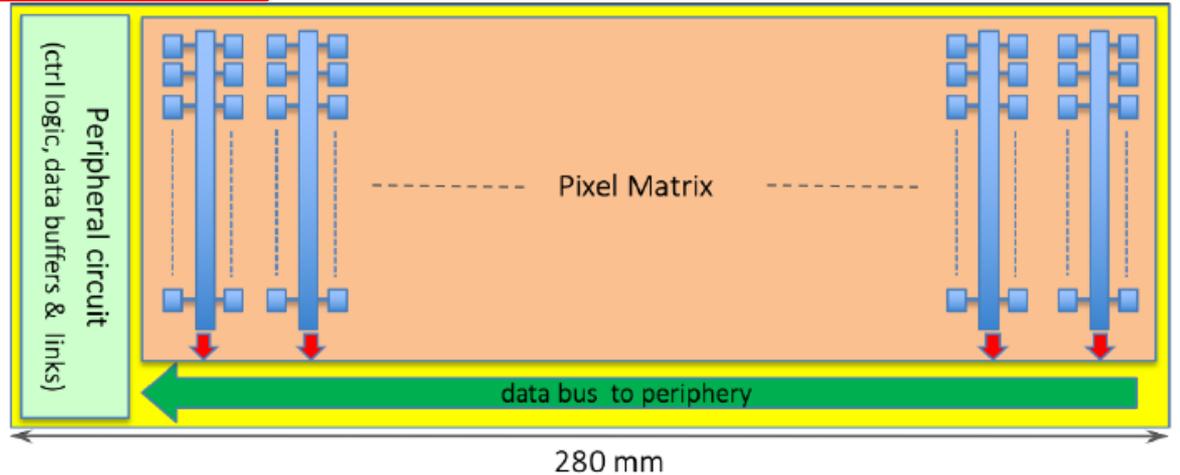
- **Wafer-scale chip**
  - Stitching to overcome reticle size limit
  - Chip spanning half or full stave length
  - Neither support structure nor electrical substrate necessary

- **Thinning and bending**
  - Currently  $50 \mu\text{m}$  ( $25 \mu\text{m}$  active volume)
  - Below  $50 \mu\text{m}$ , Si wafers become flexible, “paper-like”

ALPIDE

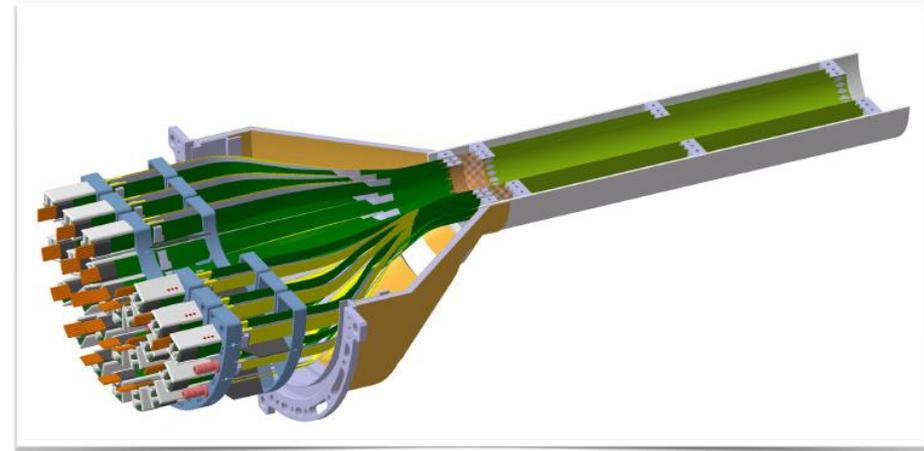
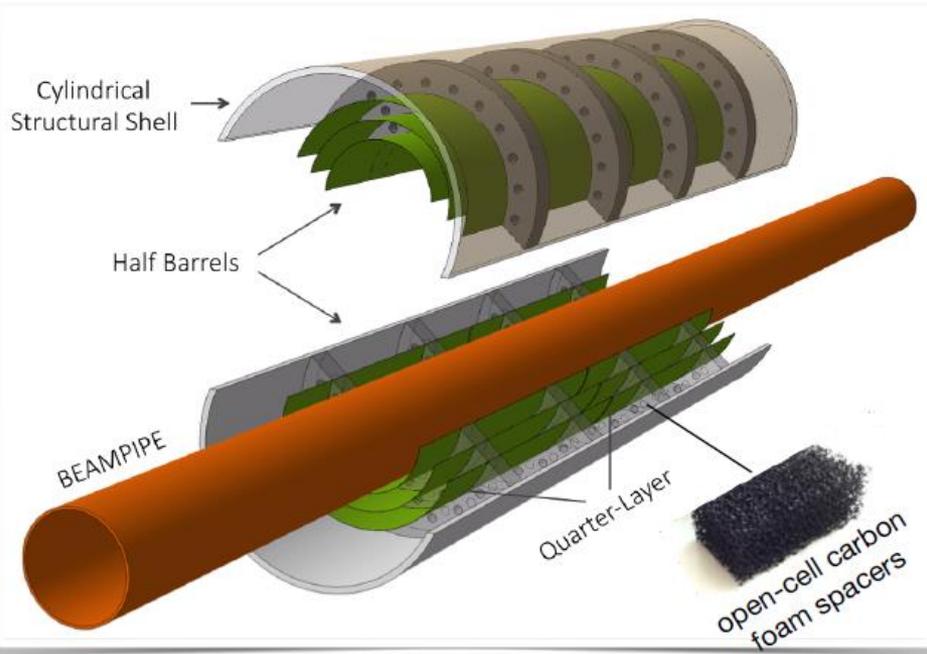


Wafer-Scale



Courtesy:  
R. Turchetta,  
Rutherford Appleton Laboratory

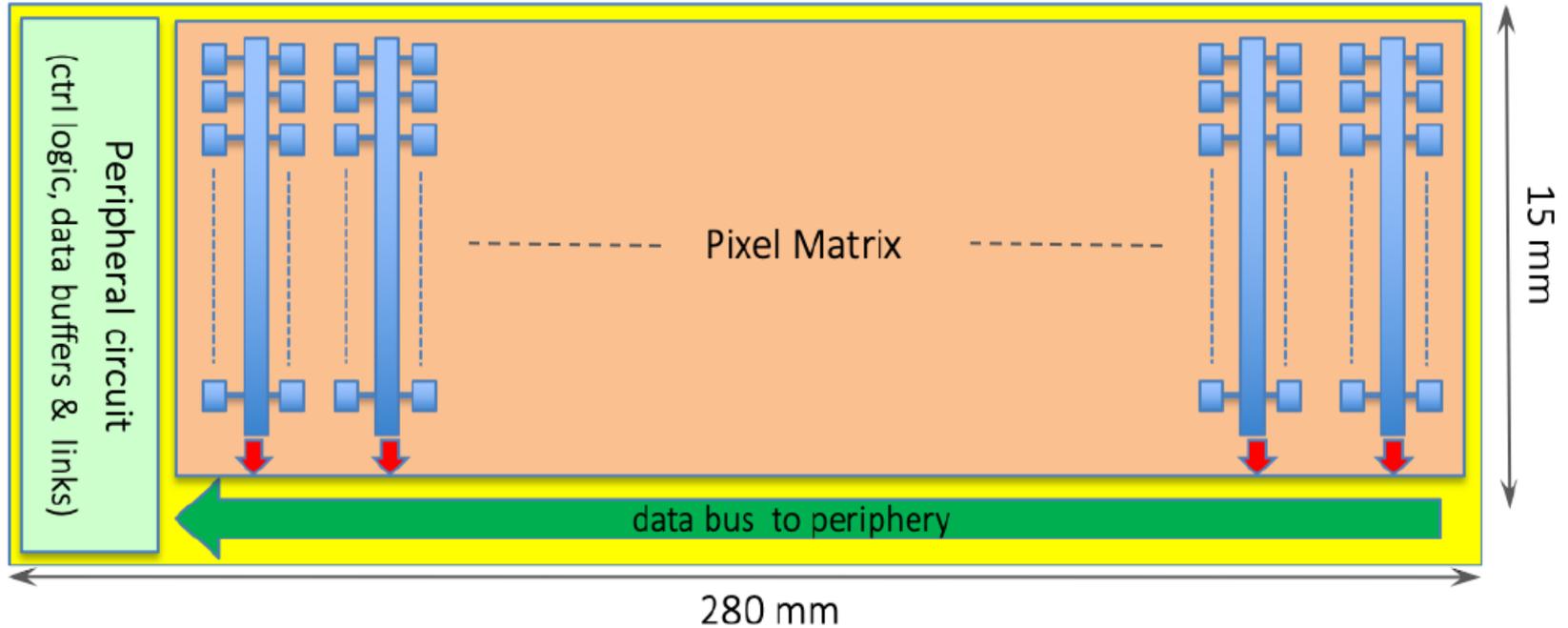
# Mechanics layout



- Possible layout based on air-cooling
- Sensors hold in place with low-density carbon foam

- Fixation into the experiment by surrounding support structure, as well as at both ends
- Cooling at the extremities (chip peripheries)

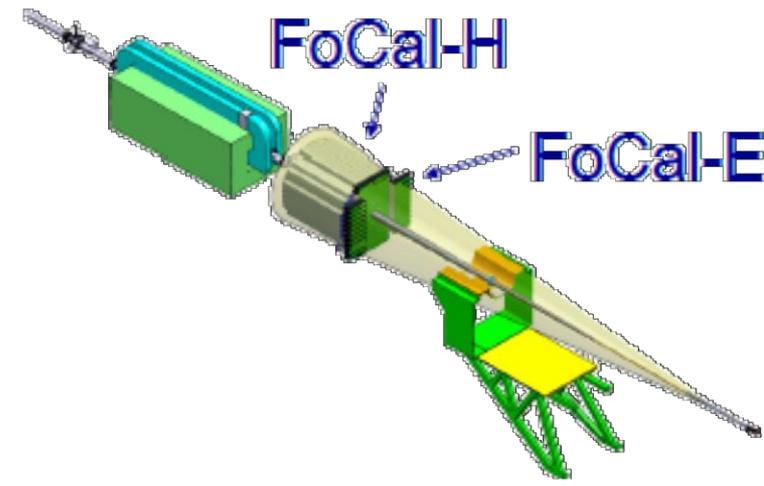
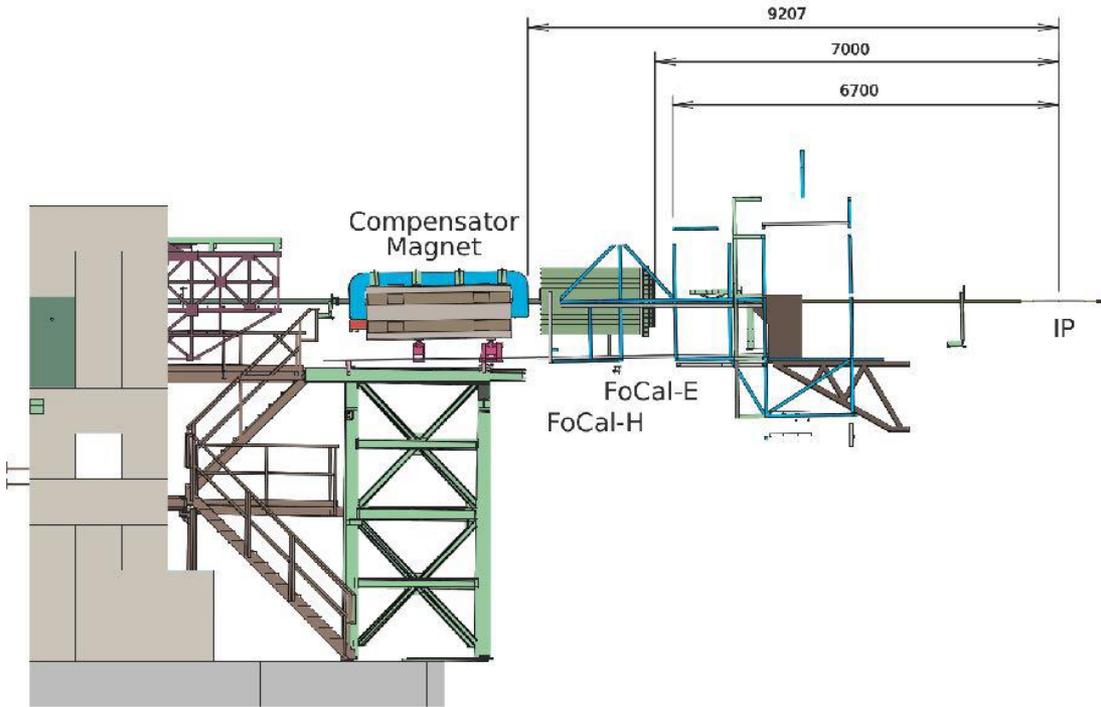
# Wafer-scale sensor R&D



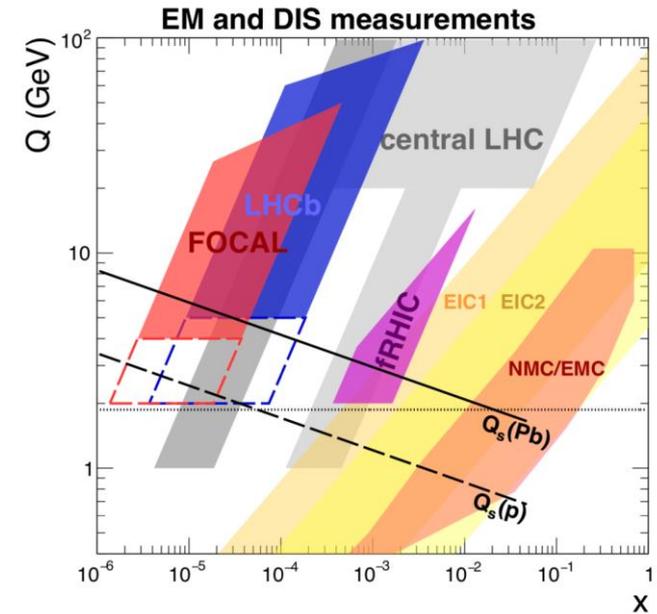
- Starting from ALPIDE architecture
- Porting to 65 nm technology node
  - smaller pixels
  - larger wafers (300 mm instead of 200 mm)
- Basic building block of 15 mm height
  - to be repeated n times in vertical direction to obtain the sizes needed per layer

**R&D starts now; TDR is foreseen for 2020.**

# FoCal for LS3

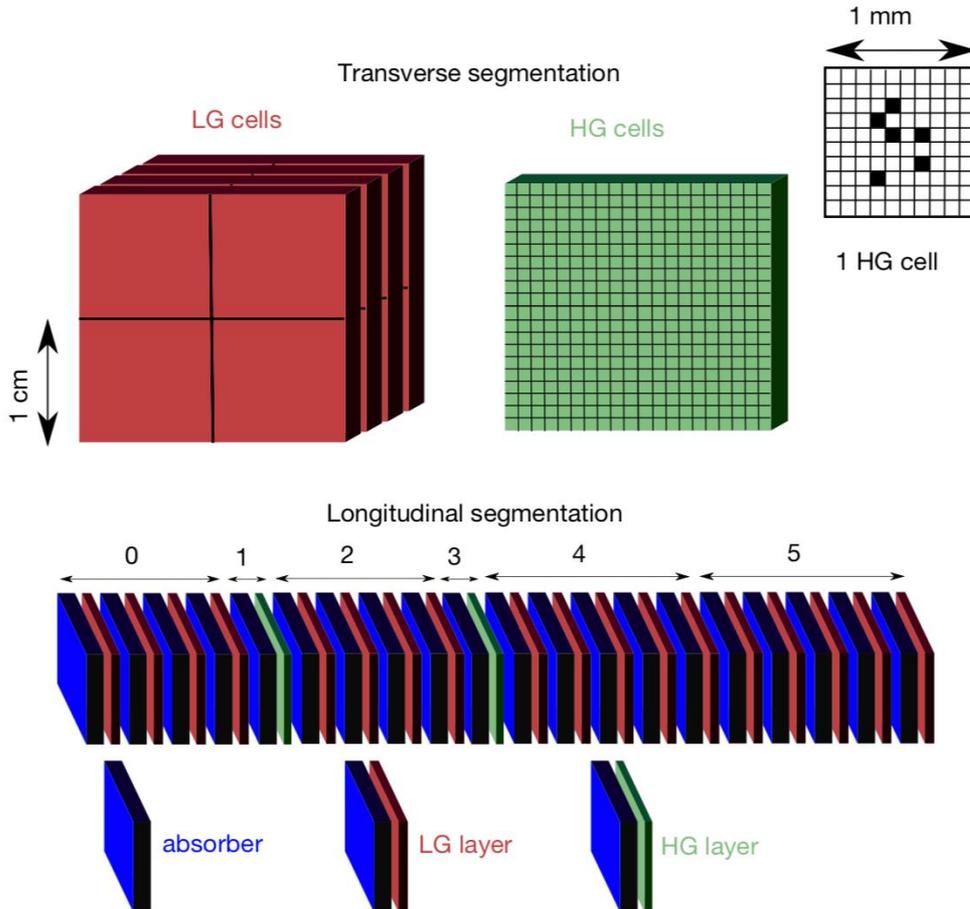


- 7 m away from the nominal IP
- Pseudo-rapidity coverage of 3.2 - 5.8
- To explore small x physics



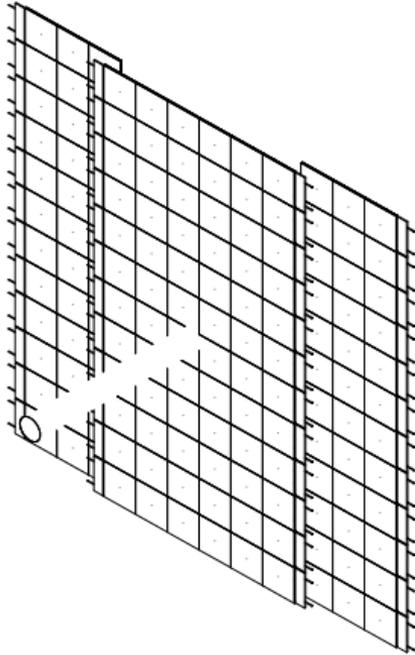
# FoCal-E design

high granularity electromagnetic calorimeter for  $\gamma$  and  $\pi^0$  measurements

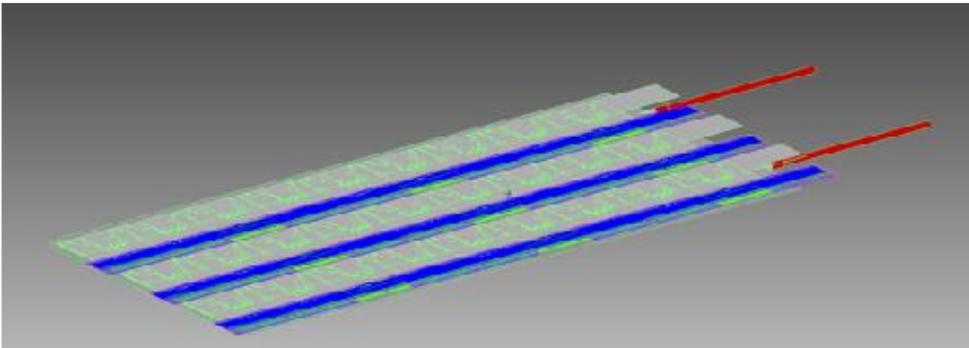


- Studied in simulations 20 layers: W(3.5 mm  $\approx$  1X0) + silicon sensors
- Two types: Pads (LG) and Pixels (HG)
  - Pad layers provide shower profile
  - Pixel layers provide position resolution to resolve shower overlaps
- Main challenge: Separate  $\gamma/\pi^0$  at high energy
  - Two photon separation from  $\pi^0$  decay ( $p_T = 10$  GeV/c,  $\eta=4.5$ )  $\sim$  2 mm
  - Needs small Molière radius and high granularity readout
  - Si-W calorimeter with effective granularity  $\approx$  1mm<sup>2</sup>

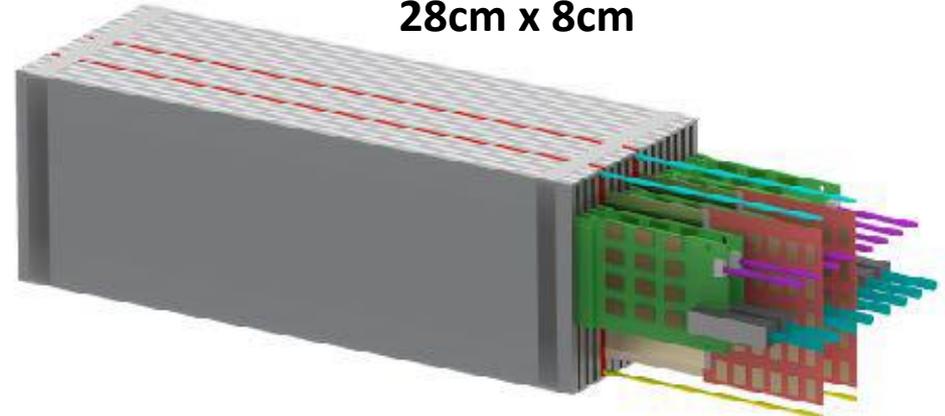
# FoCal-E detector Integration



Design of single pixel layer



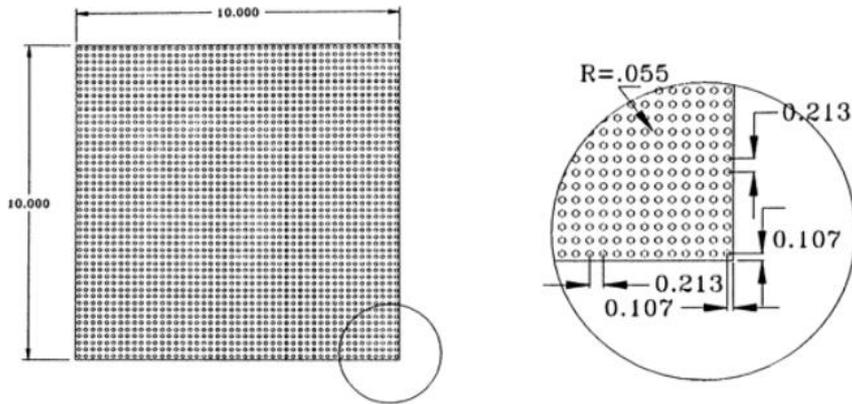
Design of detector module  
28cm x 8cm



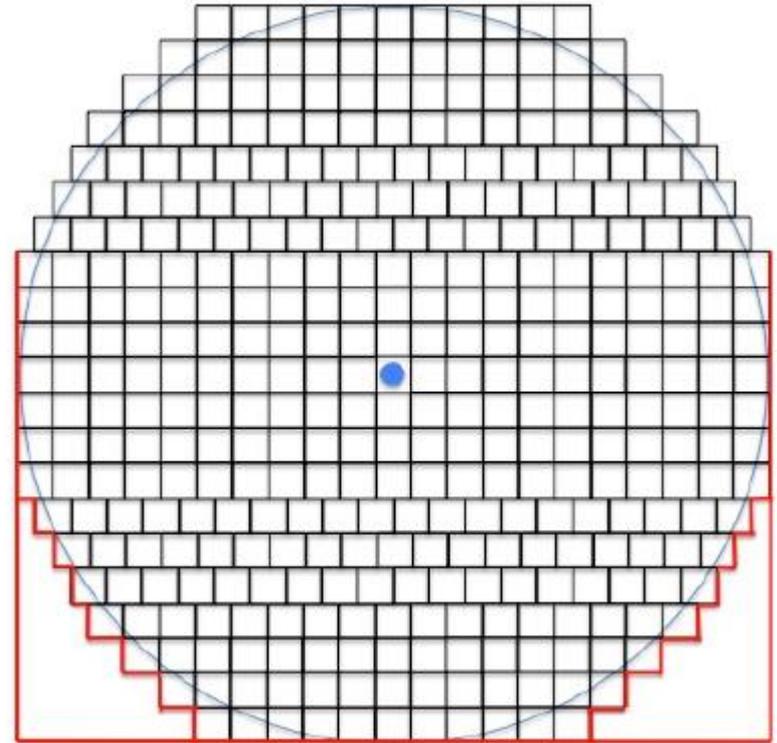
- module of  $\approx 18$  pad layer and 2 pixel layers
- sensitive area: 27cm x 8cm
- use edge of detector for services
- designed to be stacked vertically for full detector setup
- single metal layer with cooling pipes
- full area coverage with 2 x 3 chains of 9 sensors

# FoCal-H design

Allows to isolate photons in FoCal-E and jet measurements



- Pb/scintillating fiber spaghetti calorimeter module as used in E864
- a lead to fiber ratio of 4.55 : 1 by volume to provide good calorimetric compensation and resolution
- a mass of about 100 kg and an active depth of approximately  $8 \lambda_{\text{had}}$
- Cu as the passive material is also under consideration to reduce the length and weight



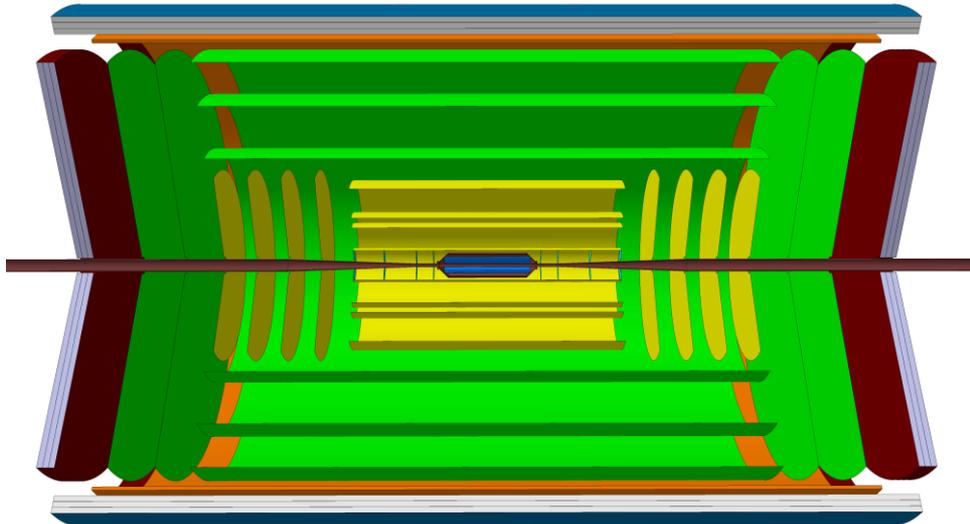
- A nearly circular geometry approximately 1 m in radius
- 372 modules
- 1488 towers of 5cm x 5 cm

# FoCal timeline

<b>Year</b>	<b>Activity</b>
<b>2016–2021</b>	<b>R&amp;D</b>
2019	Letter of Intent
2020–2021	final design Technical Design Report
<b>2022–2026</b>	<b>Construction and Installation</b>
2022 - 2024	production, construction and test of detector modules
2024	pre-assembly calibration with test beam
2025	installation and commissioning
06/2026	Start of Run 4

# Summary and outlook

- **Major upgrade of ALICE detector for LS2 is ongoing.**
  - China involved in ITS2 and MFT
  - OB HIC production completed
  - Commissioning of ITS2 is ongoing
  - MFT PCB production completed
- **ITS3 and FoCal proposed for ALICE detector upgrade during LS3**
  - China involved in R&D of wafer-scale sensor for ITS3
  - Possible contributions (either pixel sensors or readout electronics) to FoCal under discussion
- **Outlook: A nearly pure silicon detector for RUN5 (beyond 2030)**



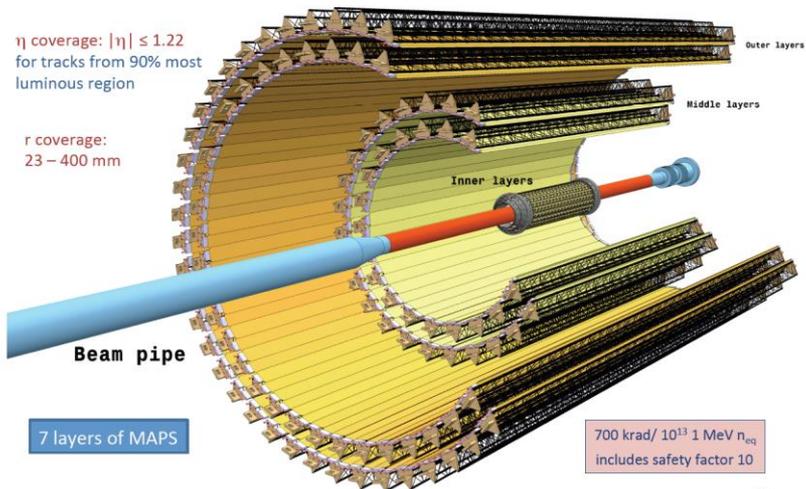
**Increase rate capabilities (factor 50 wrt to ALICE RUN4)**

- large acceptance, fast tracker based on wafer-scale MAPS
- 0.05%  $X_0$ /layer
- PID by TOF in Si layers
- electron and photons: pre-shower detector, converter



Thank you very much  
for your attention !

# 参与ALICE升级探测器研制的状况



11

- 参与ITS硅像素芯片设计: (1) 芯片读出结构; (2) 像素模拟前端电子学改进
- ITS探测器模块建造与测试
  - ✓ 2017年9月份启动预生产
  - ✓ 2018年4月份启动正式生产, 计划于2019年6月完成 (生产率为2 模块/天, 共建造500个模块)



(参与学生与技术员)

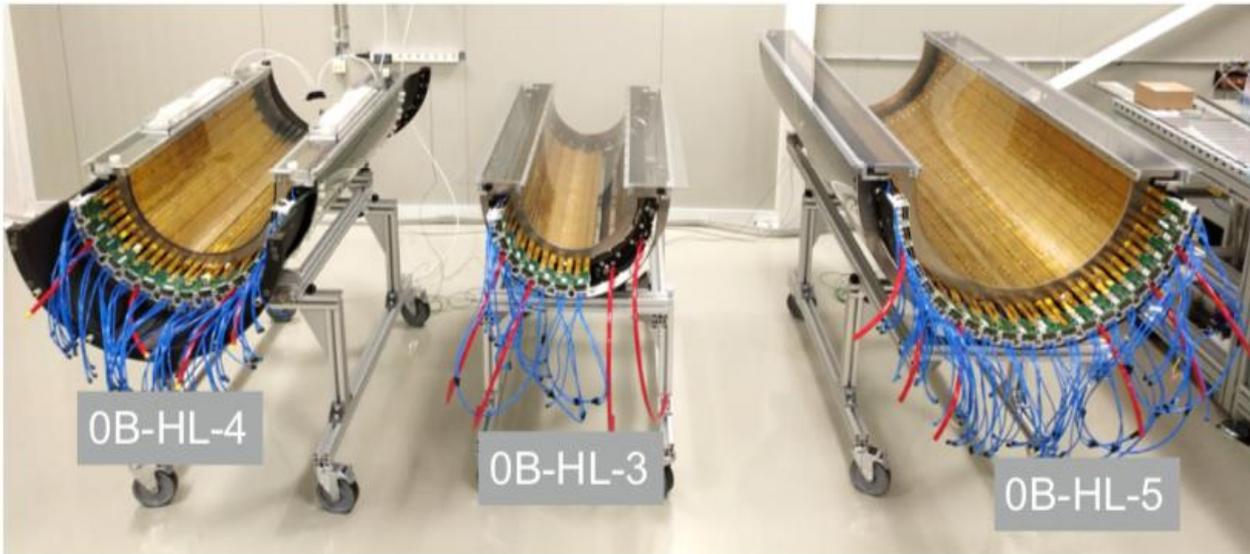


千级洁净室  
(温/湿度可控, 约100平方米)

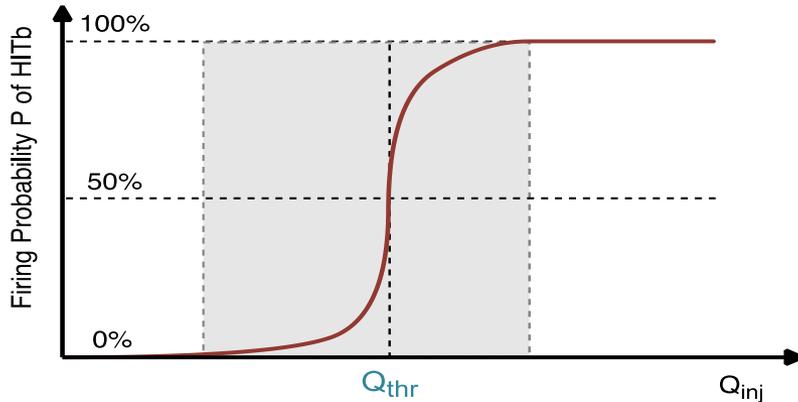
# Layer and barrel assembly



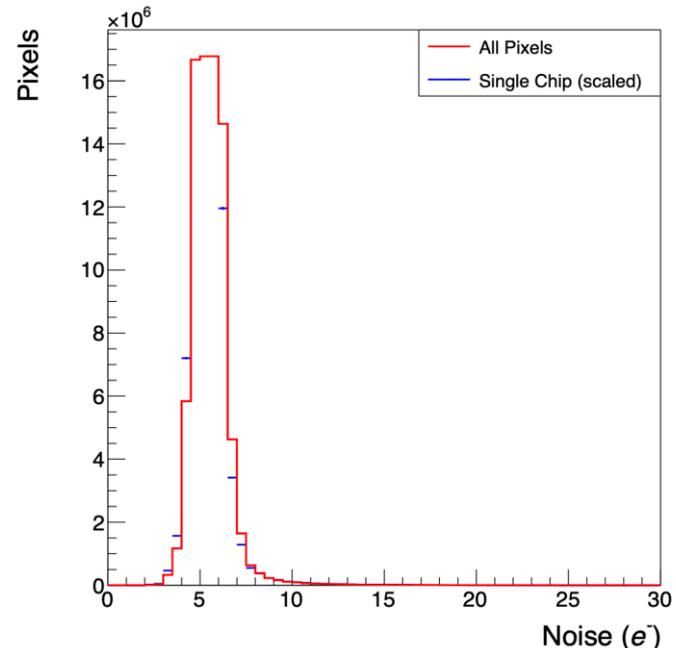
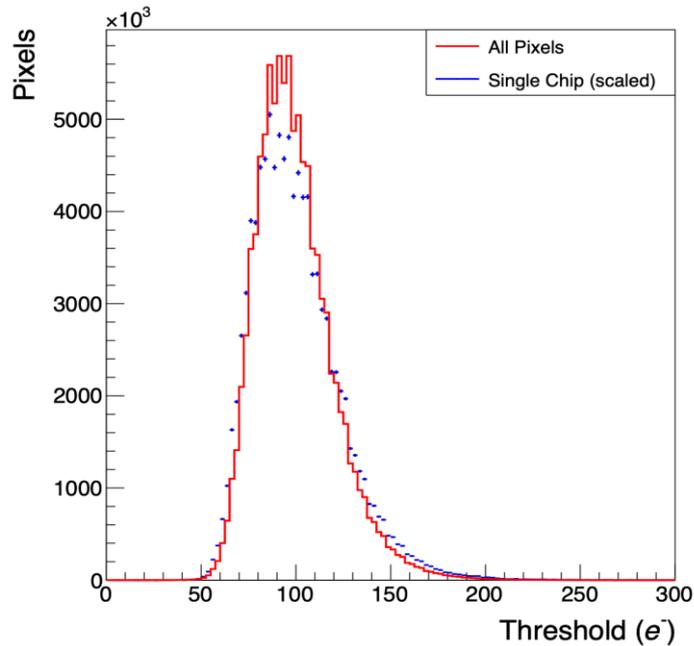
- Inner barrel assembly completed
- Outer barrel layer assembly almost done
- All staves validated after installation
- Inner barrel fully functional
- Outer half-barrels being assembled avoiding overlaps of dead chips
- Maximum accepted dead area per stave is 2%



# Noise and threshold performance

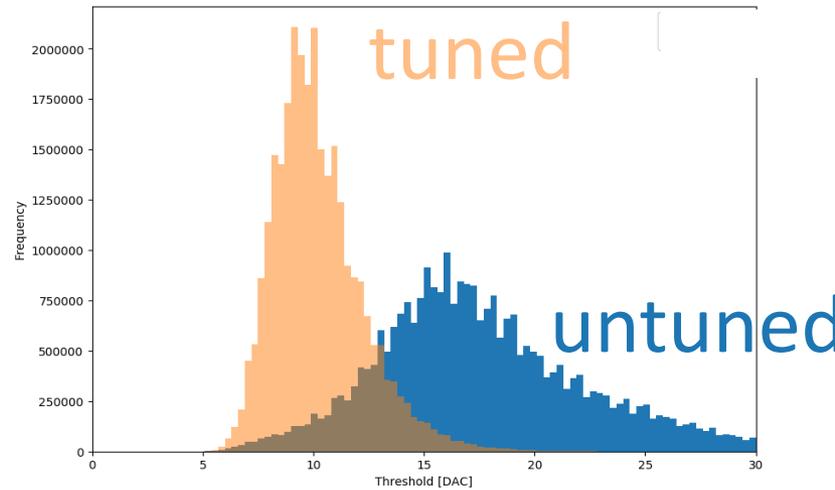
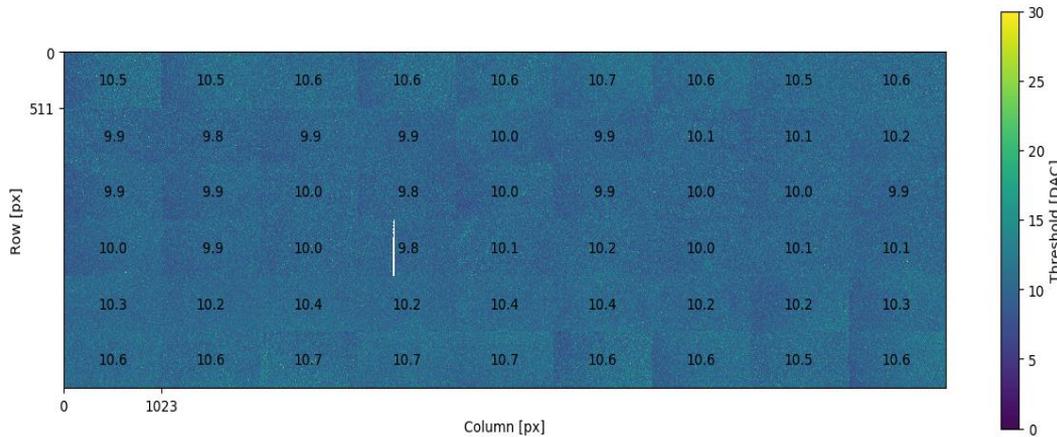
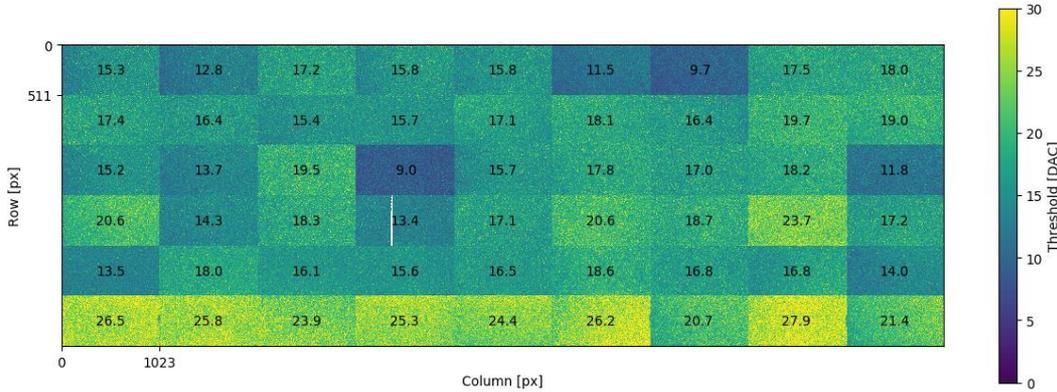


$$P(q) = \frac{1}{2} \cdot \text{Erf} \left[ \frac{q - Q_{thr}}{\sqrt{2} \cdot \sigma} \right]$$



Threshold and noise after tuning for an OL Stave ( $\sim 100\text{M}$  pixels) compared with a single chip test data

# Threshold tuning



- Adjustment front-end parameters to equilibrate the charge threshold of all chips
- Achieving uniform response across the detector, verified on Half-Layer 0