

# SUSY search with one isolated lepton at ATLAS

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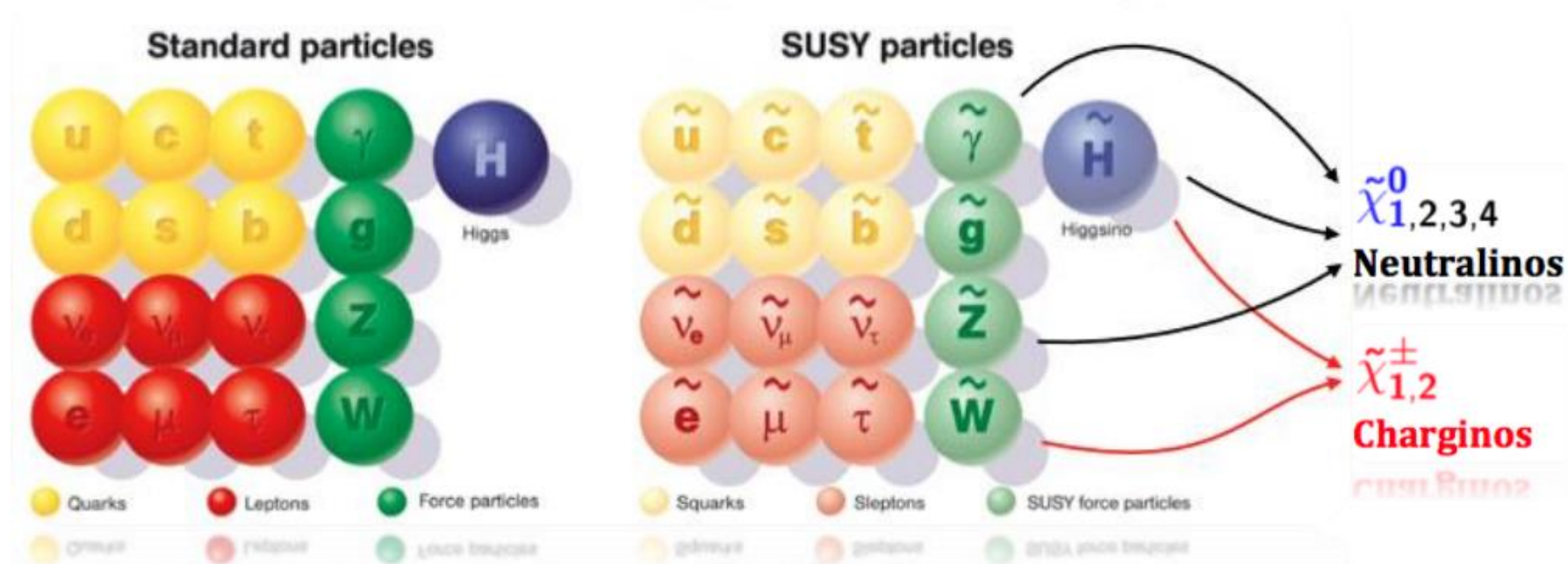
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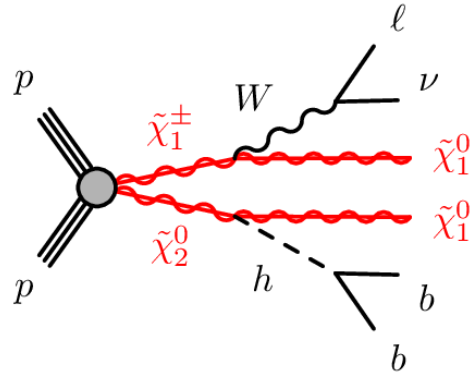
# Introduction



- ◆ The Supersymmetry is a well motivated and favored extension of the Standard Model (SM):
  - solves hierarchy problem, dark matter candidate...
- ◆ This talk will focus on the results of SUSY search with 1l (+2b-jets) at ATLAS with full Run-2 data (139 fb<sup>-1</sup>, included in the latest paper [SUSY-2019-08](#)).

# Analysis Overview

- ◆ Signature: **1 lepton + MET + 2 b-jets**, decay from **charginos and neutralinos** (via  $W$  and Higgs boson)



- ◆ Small cross sections, but one lepton requirement can largely suppress QCD backgrounds and 2 b-jets from Higgs can help to increase the sensitivity.
- ◆ Dominant backgrounds are  **$t\bar{t}$** , **single top** and  **$W$ +jets** which are studied in dedicated control regions (CR); other backgrounds estimated in Monte Carlo simulation.
- ◆ The contributions in SRs are derived using a **simultaneous fit**.

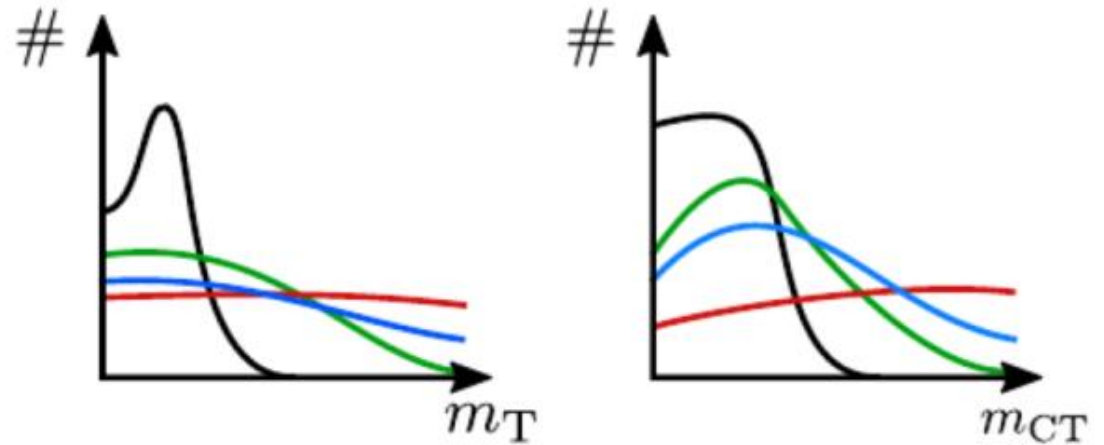
# Analysis Overview

Distributions in  $m_T$  and  $m_{CT}$  in general favour very different cuts for different signal points.

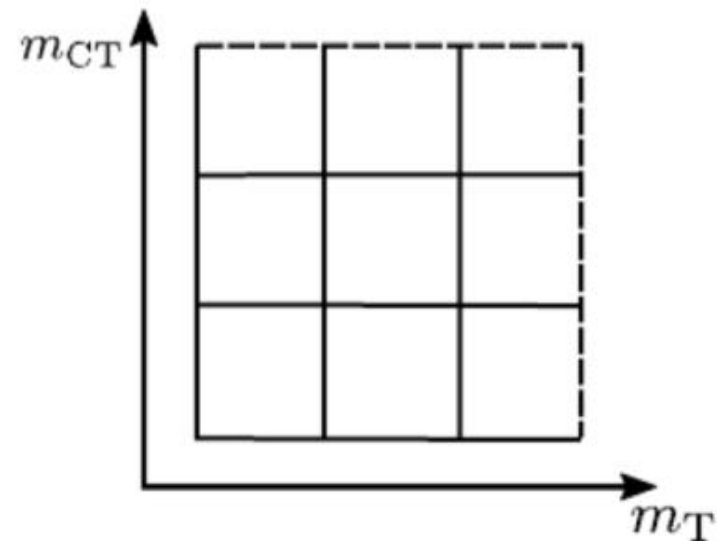
- ▶ Define signal regions with different bins in these observables.
- ▶ Signal regions otherwise share a (more or less) common set of requirements.

Simultaneous likelihood fit in all signal region bins (since all orthogonal to each other)

- ▶ Allows to explicitly consider the varying shapes between **background** and **signal**.
- ▶ Results in an increase in sensitivity.



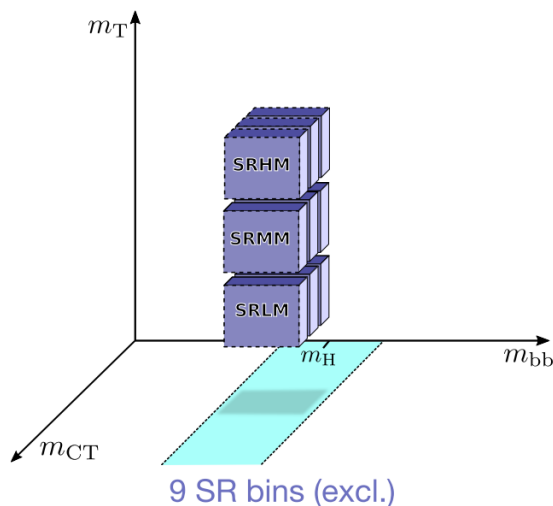
Background distribution against different signal model points in red, blue and green.



Definition of signal regions binned in  $m_T$  and  $m_{CT}$ .

# Signal Regions

- ◆ Three signal regions with increasing  $m_T$  requirement
  - 3-step signal region optimization
  - Targeting increasing mass differences between chargino/neutralino and LSP
  - Share common set of kinematic requirements (apart from  $m(l, b)$ )
- ◆ Binned in  $m_{CT}$  for model-dependent fit (excl. SRs)
  - 3 bins in  $m_{CT}$  each (in total 9 SRs), can perform 2D shapefit simultaneously
- ◆ No bins for model-independent fit (disc. SRs)
  - No  $m_T$  upper cut and no  $m_{CT}$  bins



	SR-LM	SR-MM	SR-HM
$N_{\text{lepton}}$		= 1	
$p_T^\ell$ [GeV]		> 7(6) for $e(\mu)$	
$N_{\text{jet}}$		= 2 or 3	
$N_{b\text{-jet}}$		= 2	
$E_T^{\text{miss}}$ [GeV]		> 240	
$m_{b\bar{b}}$ [GeV]		$\in [100, 140]$	
$m(\ell, b_1)$ [GeV]	-	-	> 120
$m_T$ [GeV] (excl.)	$\in [100, 160]$	$\in [160, 240]$	> 240
$m_{CT}$ [GeV] (excl.)	$\{\in [180, 230], \in [230, 280], > 280\}$		
$m_T$ [GeV] (disc.)	> 100	> 160	> 240
$m_{CT}$ [GeV] (disc.)		> 180	

# Background estimation

## ◆ Dominant backgrounds: ttbar, single top, W+jets

- Use dedicated **CRs** to derive normalization factors for SRs
- **VRs** used to validate extrapolation from CRs to SRs
- All regions share the same selection as the SR for all variables except  $m(l, b)$

## ◆ Small backgrounds: Z+jets, diboson, multiboson, ttV, tth, Vh

- Estimated from MC simulation

CR	TR-LM	TR-MM	TR-HM	WR	STR	
$m_{b\bar{b}}$ [GeV]		<100 or >140		$\in [50, 80]$		>195
$m_T$ [GeV]	$\in [100, 160]$	$\in [160, 240]$	>240	$\in [50, 100]$		>100
$m_{CT}$ [GeV]		<180		>180		>180
VR	VR-onLM	VR-onMM	VR-onHM	VR-offLM	VR-offMM	VR-offHM
$m_{b\bar{b}}$ [GeV]		$\in [100, 140]$		$\in [50, 80] \cup [160, 195]$	$\in [50, 80] \cup [160, 195]$	$\in [50, 75] \cup [165, 195]$
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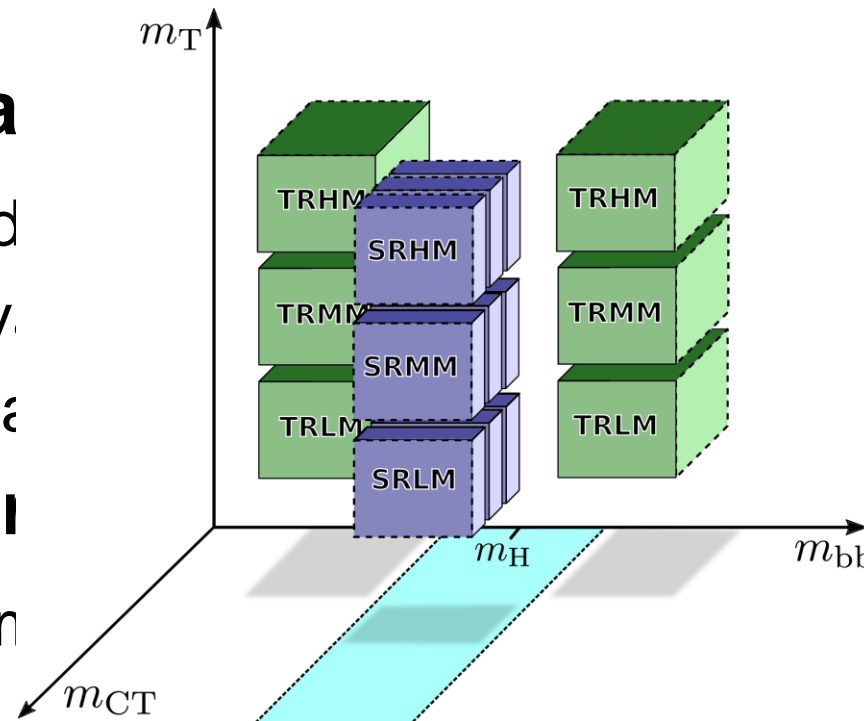
# Background estimation

## ◆ Dominant background

- Use dedicated
- **VRs** used to veto
- All regions share

## ◆ Small background

- Estimated from



**top, W+jets**

actors for SRs

to SRs

SR for all variables except  $m(l, b)$

**multiboson, ttV, tth, Vh**

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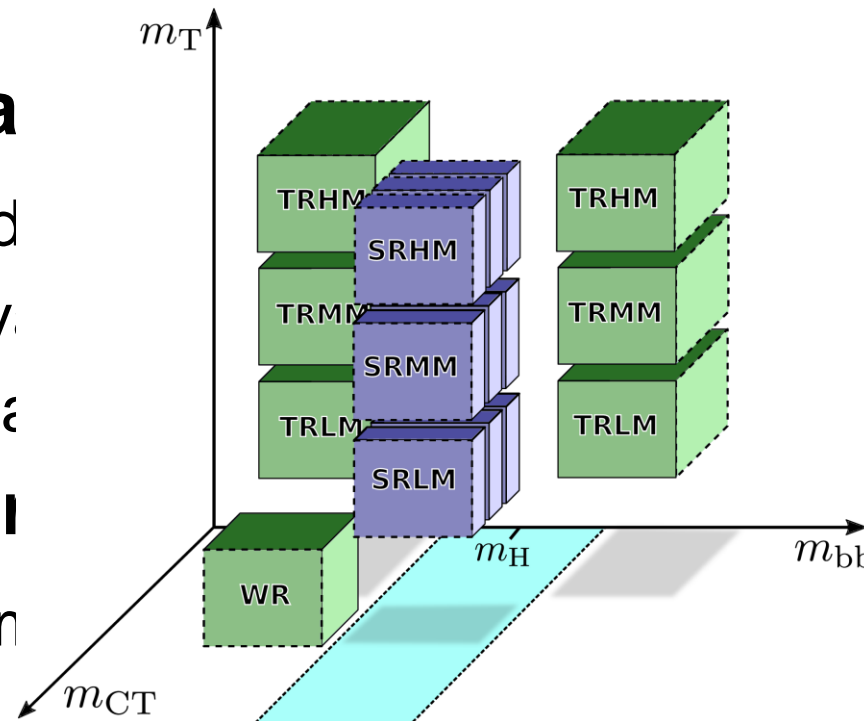
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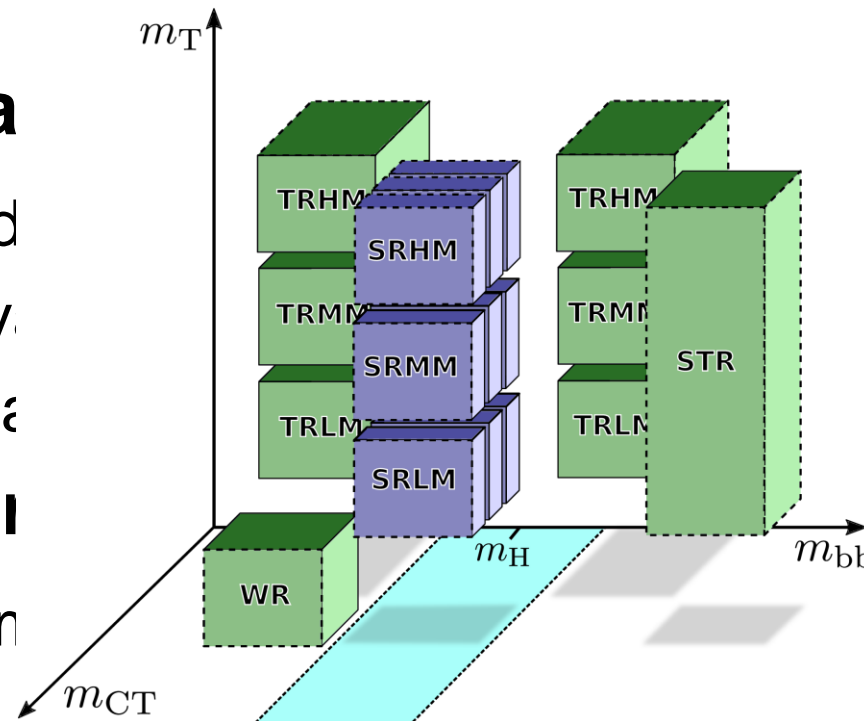
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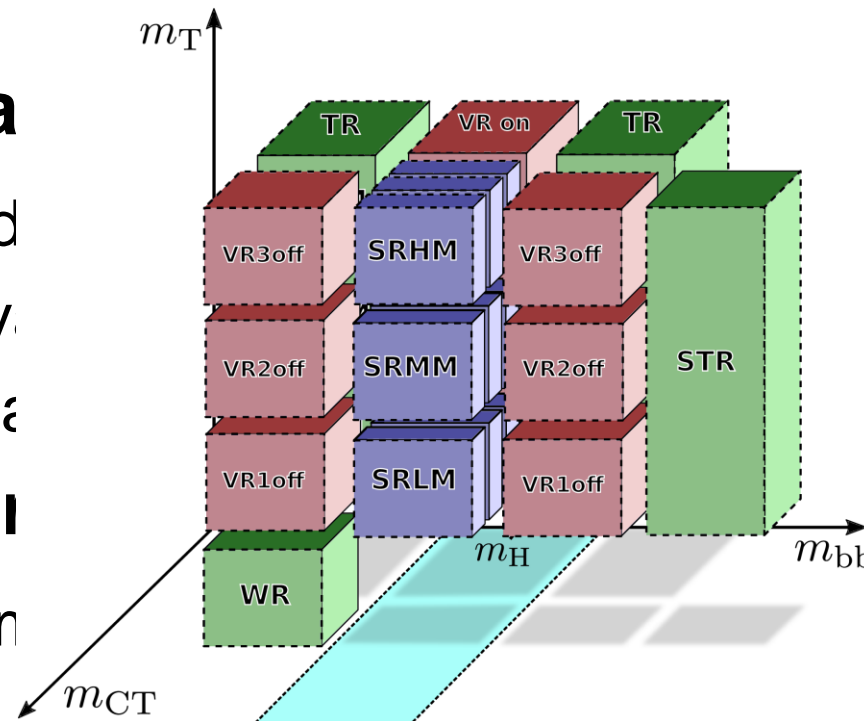
# Background estimation

## ◆ Dominant background

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- All regions share

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$m_{CT}$ [GeV]		<180		>180	>180	
VR	VR-onLM	VR-onMM	VR-onHM	VR-offLM	VR-offMM	VR-offHM
$m_{b\bar{b}}$ [GeV]		$\in [100, 140]$		$\in [50, 80] \cup [160, 195]$	$\in [50, 80] \cup [160, 195]$	$\in [50, 75] \cup [165, 195]$
$m_T$ [GeV]	$\in [100, 160]$	$\in [160, 240]$	>240	$\in [100, 160]$	$\in [160, 240]$	>240
$m_{CT}$ [GeV]		<180			>180	

# Systematic uncertainties

## ◆ Experimental systematics

- Dominant: JES, JER, MET, pile-up
- Less significant than theoretical uncertainties in all SRs

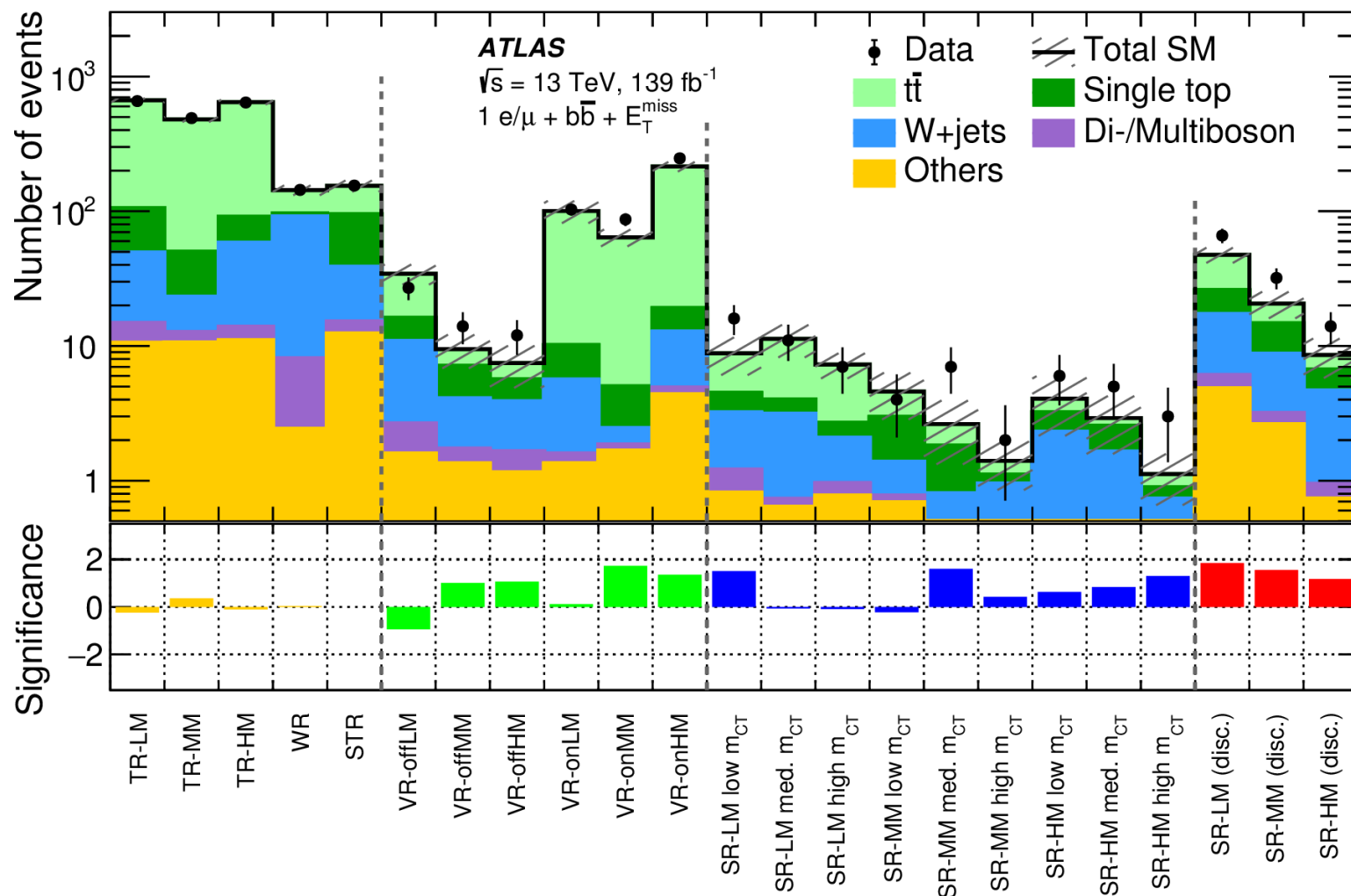
## ◆ Theoretical uncertainties

- Calculate the uncertainties on transfer factors for dominant backgrounds
- For small backgrounds, normalize to loose preselection to avoid double count scale uncertainties with the xsec
- Uncertainties for signals are evaluated using truth samples (in a relaxed SR in case of low stat)

Signal Region	SR-LM	SR-MM	SR-HM
Total background expectation	27	8.6	8.1
Total uncertainty	±4 [15%]	±2.2 [25%]	±2.7 [34%]
Theoretical systematic uncertainties			
$t\bar{t}$	±2.6 [10%]	±0.6 [7%]	±0.33 [4%]
Single top	±0.8 [2.7%]	±1.1 [12%]	±1.9 [23%]
W+jets	±0.23 [0.9%]	±0.07 [0.8%]	±0.19 [2.3%]
Other backgrounds	±0.13 [0.5%]	±0.15 [1.7%]	±0.08 [1.0%]
MC statistical uncertainties			
MC statistics	±1.7 [6%]	±1.1 [13%]	±1.2 [14%]
Uncertainties in the background normalisation			
Normalisation of dominant backgrounds	±1.3 [5%]	±1.6 [18%]	±1.3 [16%]
Experimental systematic uncertainties			
$E_T^{\text{miss}}/JVT/\text{pile-up}/\text{trigger}$	±1.8 [7%]	±0.4 [4%]	±0.4 [5%]
Jet energy resolution	±1.6 [6%]	±0.5 [6%]	±0.4 [5%]
b-tagging	±1.1 [4%]	±0.29 [3.4%]	±0.13 [1.5%]
Jet energy scale	±0.9 [3.2%]	±0.9 [10%]	±0.29 [4%]
Lepton uncertainties	±0.32 [1.2%]	±0.09 [1.0%]	±0.19 [2.3%]

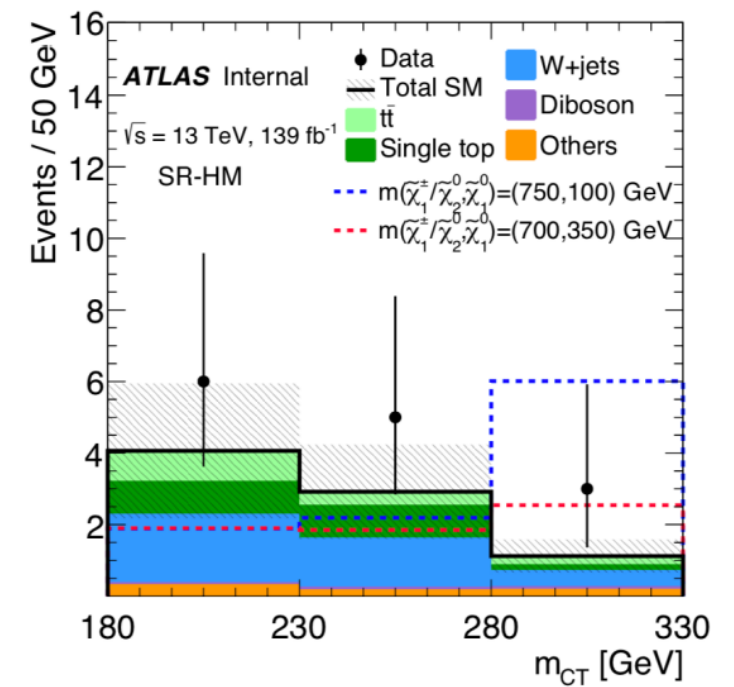
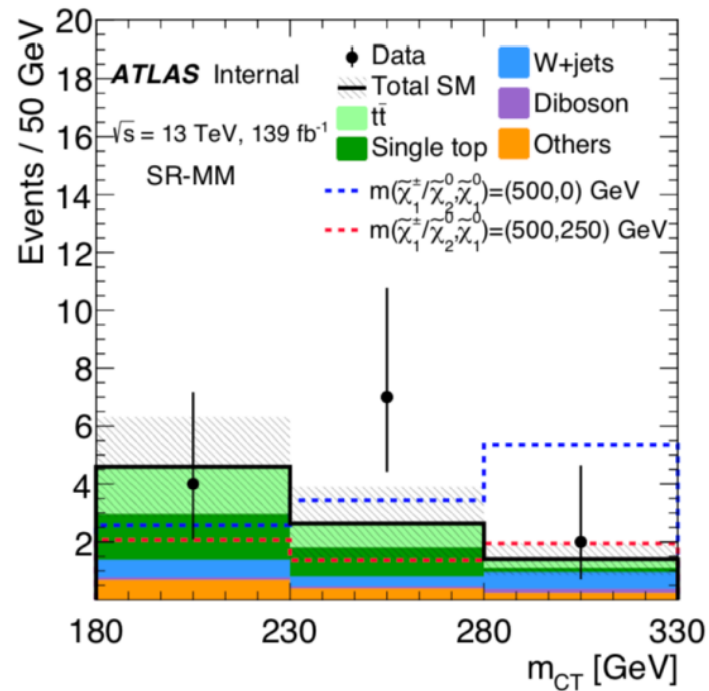
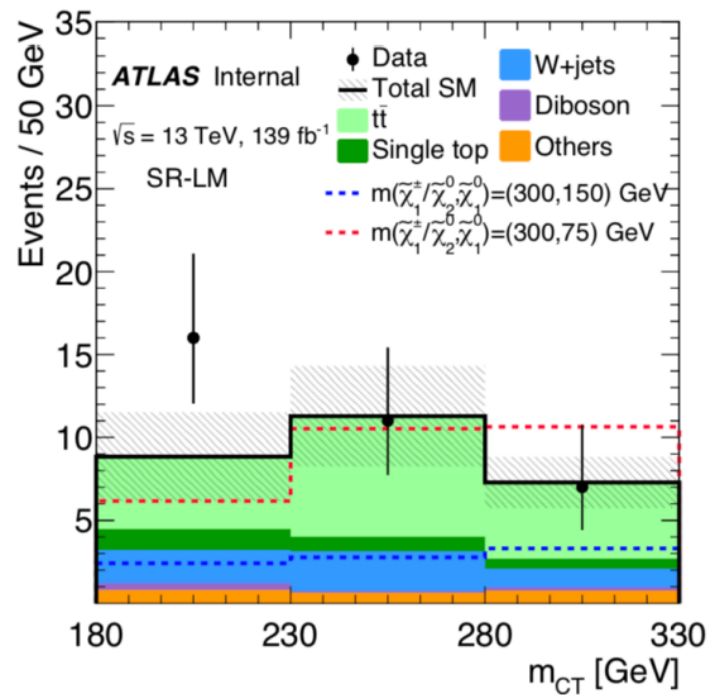
# Summary plot in all regions

- ◆ Both stat and syst uncertainties included
- ◆ Good agreement in all CRs
- ◆ Small discrepancies in VRs
- ◆ Kind of consequent (but not significant) offset in SRs



# Distributions in SRs

- ◆ Post-fit distributions of  $m_{CT}$  in SRs
- ◆ Both stat and syst uncertainties included
- ◆ Contributions from signals (pre-fit) also included

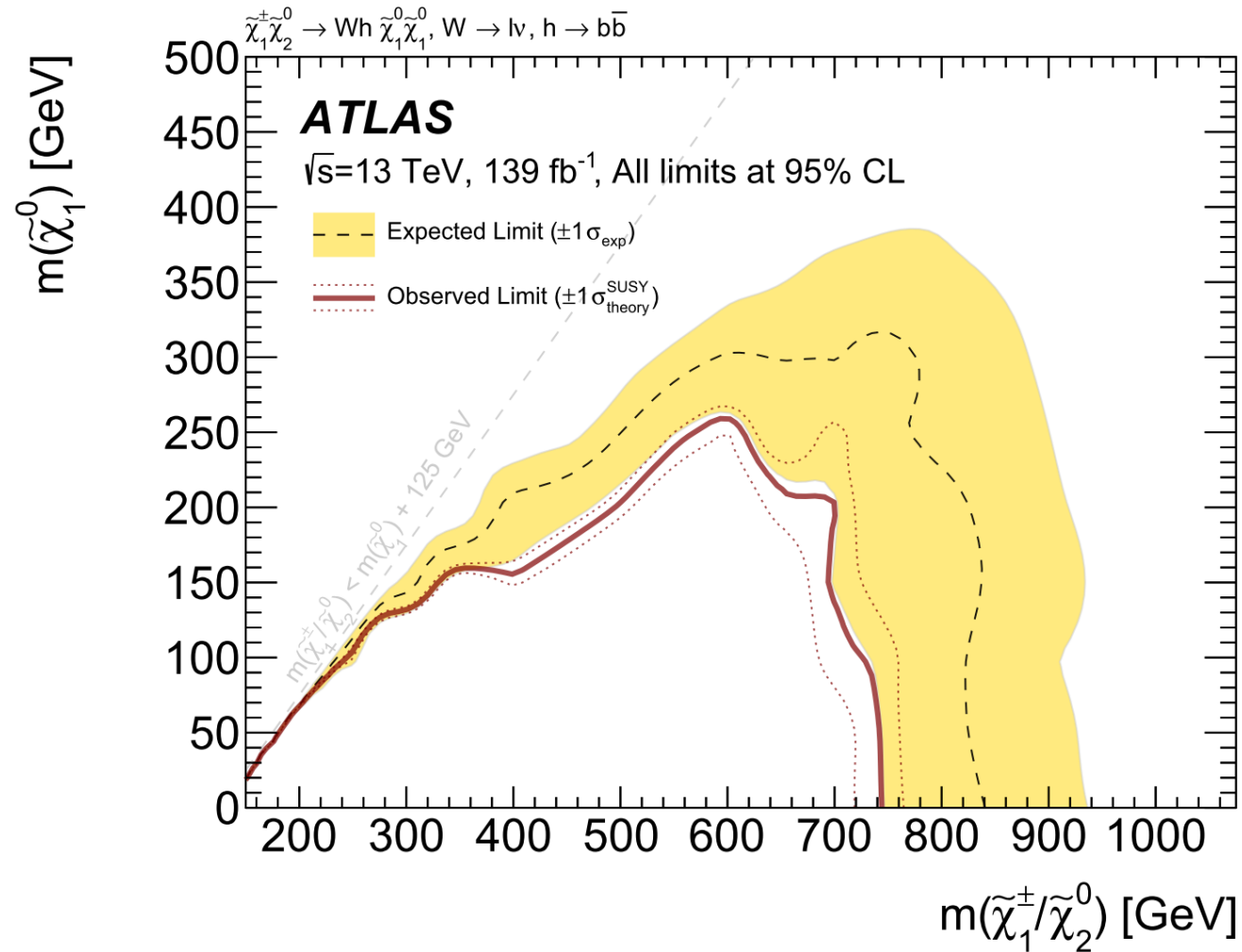


# Model independent upper limits

- ◆ Calculate the **model independent** upper limits for each discovery SR: the **visible cross-section**, the **observed and expected 95% CL upper limits on the BSM event yield**, **one-sided discovery p-value** and the **significance**.
- ◆ Model dependent limits are shown in next slide (interpreted in simplified model)

Signal Region	$\langle \epsilon \sigma \rangle_{\text{obs}}^{95}$ [fb]	$S_{\text{obs}}^{95}$	$S_{\text{exp}}^{95}$	$\text{CL}_B$	$p_0$	$Z$
SR-LM(disc.)	0.26	36.8	$20.0^{+8.0}_{-5.4}$	0.97	0.03	1.88
SR-MM(disc.)	0.18	24.8	$15.3^{+6.2}_{-4.6}$	0.94	0.06	1.54
SR-HM(disc.)	0.11	14.7	$9.7^{+3.3}_{-2.7}$	0.89	0.10	1.30

# Model dependent limits



- ◆ Small excess  $\rightarrow$  observed limit weaker than expected limit
- ◆ Exclusion extends to 740 GeV for massless LSP



# Summary

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- ◆ The SUSY search with 1 lepton + 2b-jets + MET in the final state is performed with full Run-2 data ( $139 \text{ fb}^{-1}$ ) in ATLAS.
- ◆ Observed small but not significant excess in the SRs
  - Not ready for the discovery
  - Extend the limits to 740 GeV for massless LSP
- ◆ New efforts for 1l analysis are ongoing: inclusive search, machine learning... Stay tuned!

*The End*

# Object definition

## Electrons

Cut	Value/description
Preselected Electron	
Algorithm	AuthorElectron
Acceptance	$p_T > 7 \text{ GeV},  \eta^{\text{clust}}  < 2.47$
Quality	LooseAndBLayerLLH
IP	$\Delta z_0 \sin(\theta) < 0.5 \text{ mm}$
Signal Electron	
Acceptance	$p_T > 7 \text{ GeV}$
Quality	TightLLH
Isolation	FCLoose for $p_T < 200 \text{ GeV}$ FCHighPtCaloOnly for $p_T > 200 \text{ GeV}$
IP	$d_0/\sigma(d_0) < 5$

## Muons

Cut	Value/description
Preselected muon	
Acceptance	$p_T > 6 \text{ GeV},  \eta  < 2.7$
Quality	Medium
IP	$\Delta z_0 \sin(\theta) < 0.5 \text{ mm}$
Signal muon	
Acceptance	$p_T > 6 \text{ GeV},  \eta  < 2.5$
Isolation	FCLoose
IP	$d_0/\sigma(d_0) < 3$

## Jets

Cut	Value/description
Preselected jet	
Algorithm	anti- $k_r$ -4, Topo
Acceptance	$p_T > 20 \text{ GeV},  \eta  < 4.5$
Signal jet	
Acceptance	$p_T > 30 \text{ GeV},  \eta  < 2.8$
JetVertexTagger	JVT @ 92 % working point for $p_T < 120 \text{ GeV}$ and $ \eta  < 2.8$
Signal $b$ -jet	
$b$ -tagger Algorithm	MV2c10 @ 77 % working point
Acceptance	$p_T > 20 \text{ GeV},  \eta  < 2.5$

- **Missing transverse momentum**

- Computed using baseline/preselected objects: electrons, muons, jets, photons and track soft term.
- Using *tight* working point, no fJVT.

- **Overlap removal**

- EleMuOR: Electrons sharing ID track with muons are rejected.
- EleEleOR: If electron shares same ID track with other electron, the one with lower  $p_T$  is rejected.
- MuJetOR: Jets within  $dR=0.2$  of muon are rejected (or if matched through ghost association).
- EleJetOR: Electrons close to jet are removed (sliding cone size).
- JetMuOR: Muons close to jet are removed (sliding cone size).

# Discriminating variables

Definition of all ROIs by using kinematic properties of  $b$ -jets, lepton and  $E_T^{\text{miss}}$ :

- $\underline{m_{bb}}$ : preferentially select  $b$ -jets from Higgs boson decays by requiring  $100 < m_{bb} < 140$ .
- $\underline{m_T}$ : aims to reconstruct leptonically decaying  $W$  boson, has kinematic endpoint at  $m_W$  for semileptonic  $t\bar{t}$  and  $W$ +jets.
- $\underline{m_{CT}}$ : contranverse mass of  $b$ -jets, has kinematic endpoint for  $t\bar{t}$  background.
- $\underline{m(\ell, b_1)}$ : invariant mass of lepton and leading  $b$ -jet, provides good discrimination against top backgrounds, esp. for high-mass signal points.

$$m_T = \sqrt{2p_T^\ell E_T^{\text{miss}}(1 - \cos[\Delta\phi(\mathbf{p}_T^\ell, \mathbf{p}_T^{\text{miss}})])}$$

$$m_{CT} = \sqrt{2p_T^{b_1} p_T^{b_2} (1 + \cos \Delta\phi_{bb})}$$

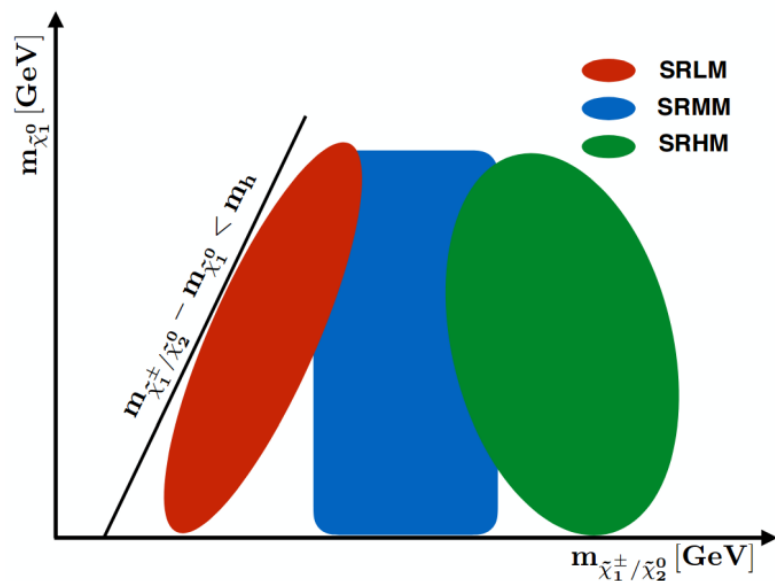
# Previous analysis

- ◆ Results based on 2015+2016 data: (arXiv:1812.09432)

Preselection: Exactly 1 lepton, 2-3 jets and exactly 2  $b$ -jets.

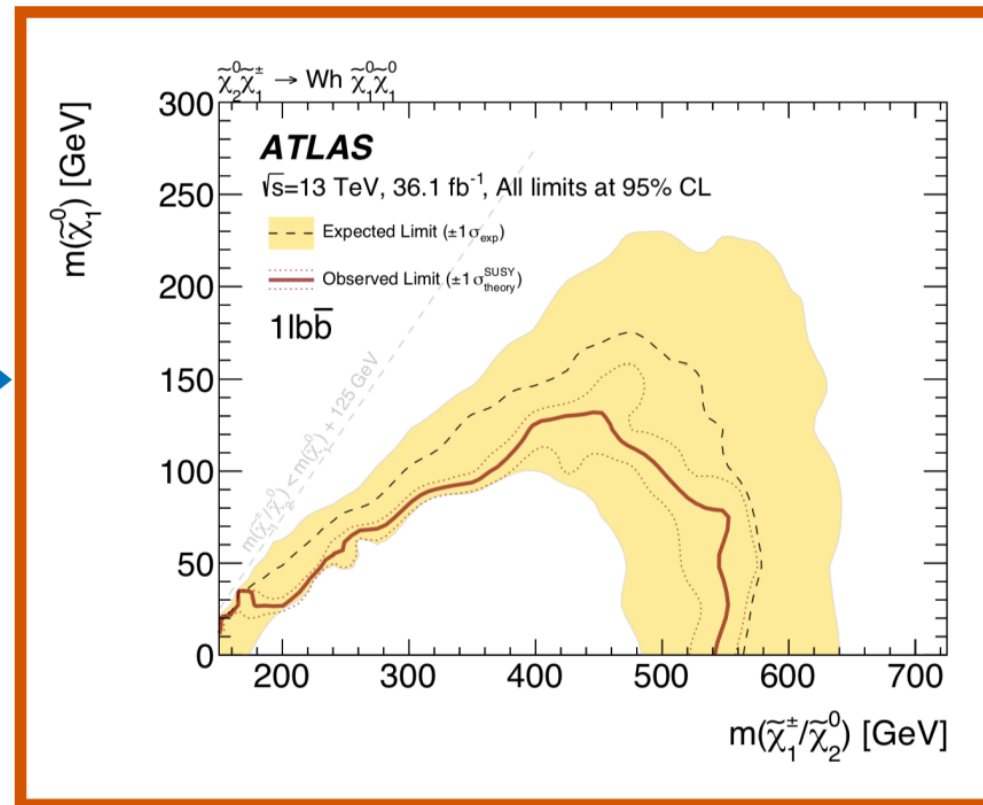
Observable	SR LM	SR MM	SR HM
$m_{bb}$ [GeV]		[105 – 135]	
$E_T^{\text{miss}}$ [GeV]		> 200	
$m_{CT}$ [GeV]		> 160	
$m_T$ [GeV]	[100 – 140]	[140 – 200]	> 200

3 signal region bins in  $m_T$



simultaneous likelihood fit (shape fit in  $m_T$ )

proper background estimation: CRs for dominant backgrounds



Exclusion up to chargino/neutralino mass of 540 GeV for massless LSP

# Theory uncertainty calculation

- **For dominant backgrounds:**

- Uncertainty on the transfer factors.
- Normalisation region is the sum of all control regions.
- Statistical uncertainty is only added if a separate systematic sample with different generator is used.

$$TF_{\text{Syst}}^{\text{Process}}(CR_i \rightarrow R_j) = \frac{N(R_j, MC)}{N(CR_i, MC)}$$

$$\Delta TF_{\text{Syst}}^{\text{Process}} = \frac{TF_{\text{Syst}}^{\text{Variation}} - TF_{\text{Syst}}^{\text{Nominal}}}{TF_{\text{Syst}}^{\text{Nominal}}} = \frac{TF_{\text{Syst}}^{\text{Variation}}}{TF_{\text{Syst}}^{\text{Nominal}}} - 1 = \frac{\frac{A}{B}}{\frac{C}{D}} - 1$$

$$\Delta TF_{\text{stat}} = \left( \Delta TF_{\text{Syst}}^{\text{Process}} + 1 \right) \sqrt{\left( \frac{\sigma_A}{A} \right)^2 + \left( \frac{\sigma_B}{B} \right)^2 + \left( \frac{\sigma_C}{C} \right)^2 + \left( \frac{\sigma_D}{D} \right)^2}$$

- **For small backgrounds:**

- Normalise to loose preselection such that scale uncertainties are not double counted with cross-section uncertainty.

$$\Delta N_{\text{region}}^{\text{sys}} = \frac{N_{\text{region}}^{\text{sys}} \cdot N_{\text{preselection}}^{\text{nominal}}}{N_{\text{preselection}}^{\text{sys}} \cdot N_{\text{region}}^{\text{nominal}}} - 1$$