

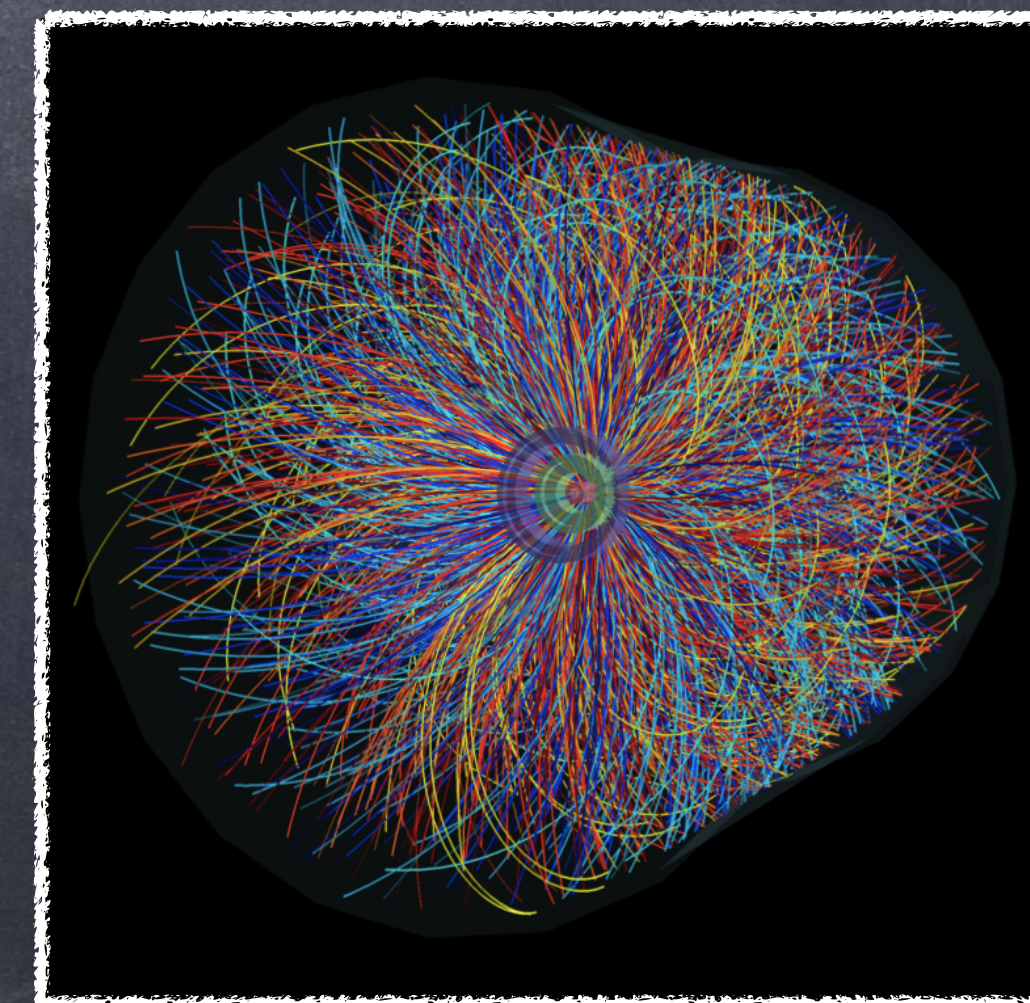
# Study on charmed-baryon production with the ALICE experiment at the LHC

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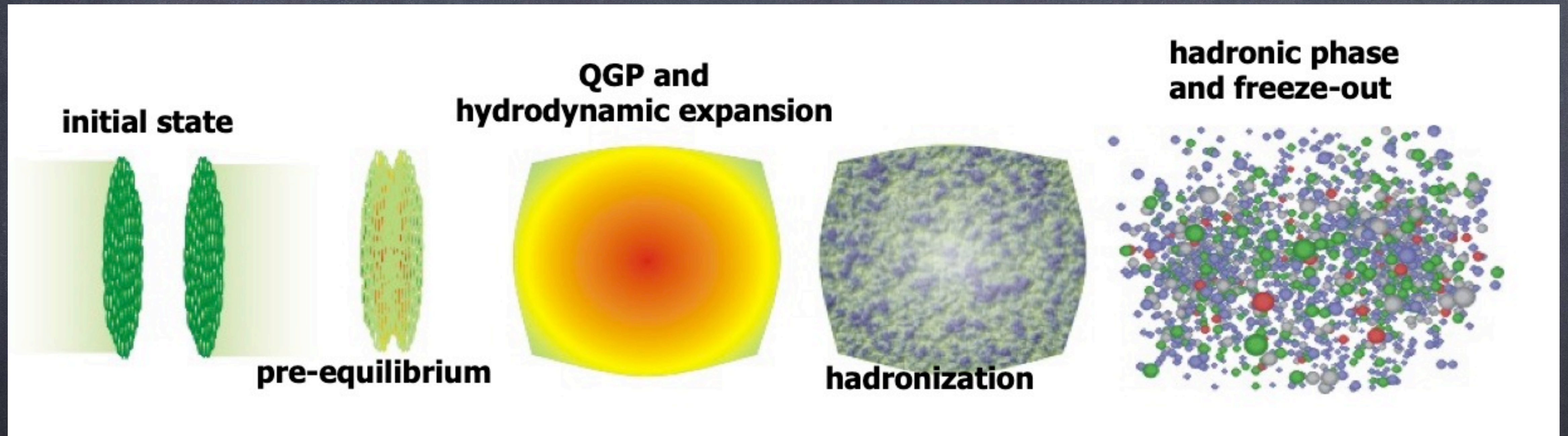
# Outline

- Introduction
- The ALICE detector
- Reconstruction strategy
- Results on  $\Lambda_c^+$
- Summary and outlook



# Motivations: Probing the Quark-Gluon Plasma

- The aim of heavy-ion collisions is to study the properties of the colour-deconfined medium, Quark-Gluon Plasma(QGP).

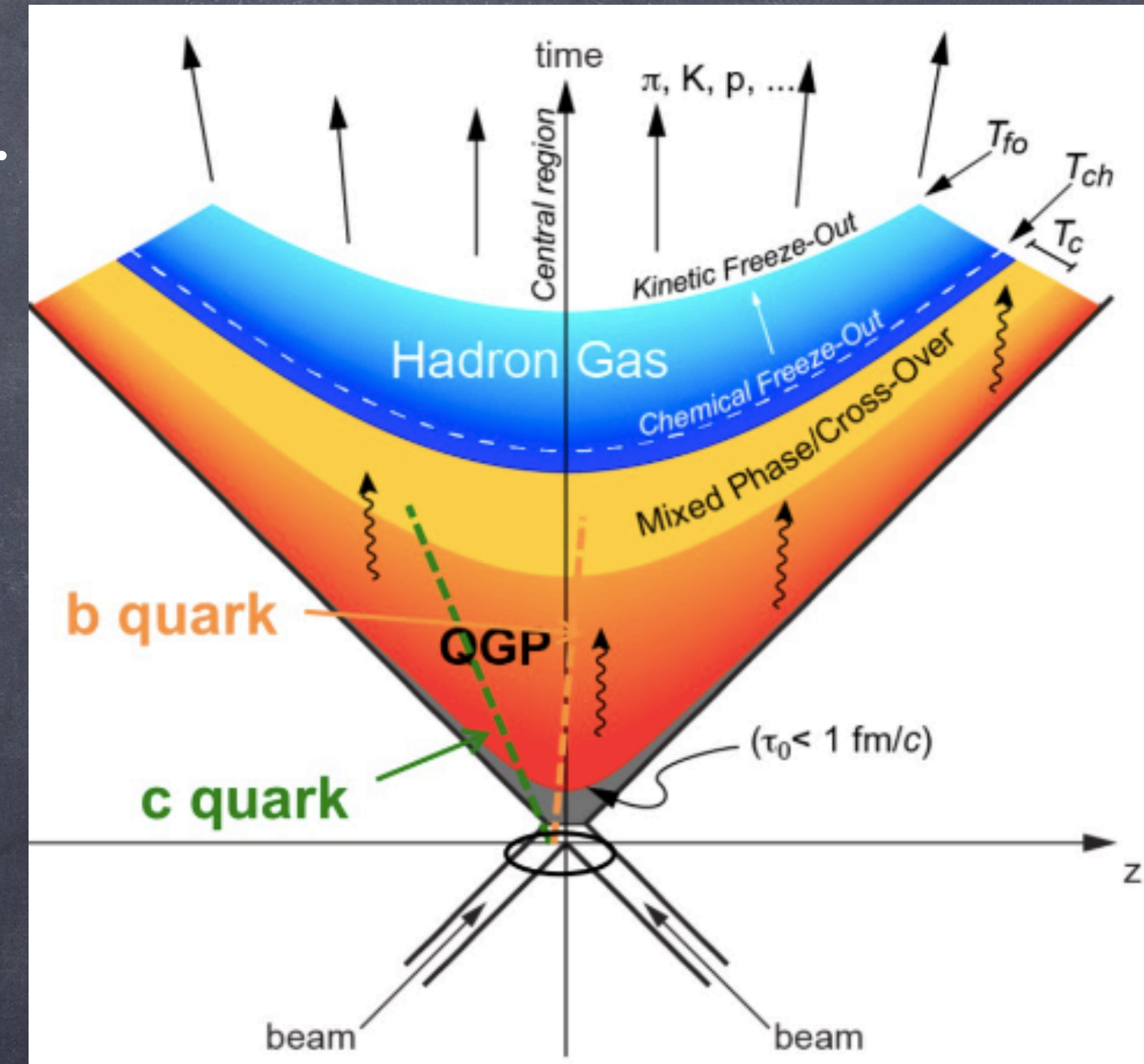




# Motivations: Heavy quarks in pp, p-Pb and Pb-Pb collisions

► Heavy quarks are excellent probes to characterise QGP medium.

- Early production in hard-scattering processes at the early stage of heavy-ion collisions.
- Experience the entire evolution of the medium.
- Strongly interacting with QGP.





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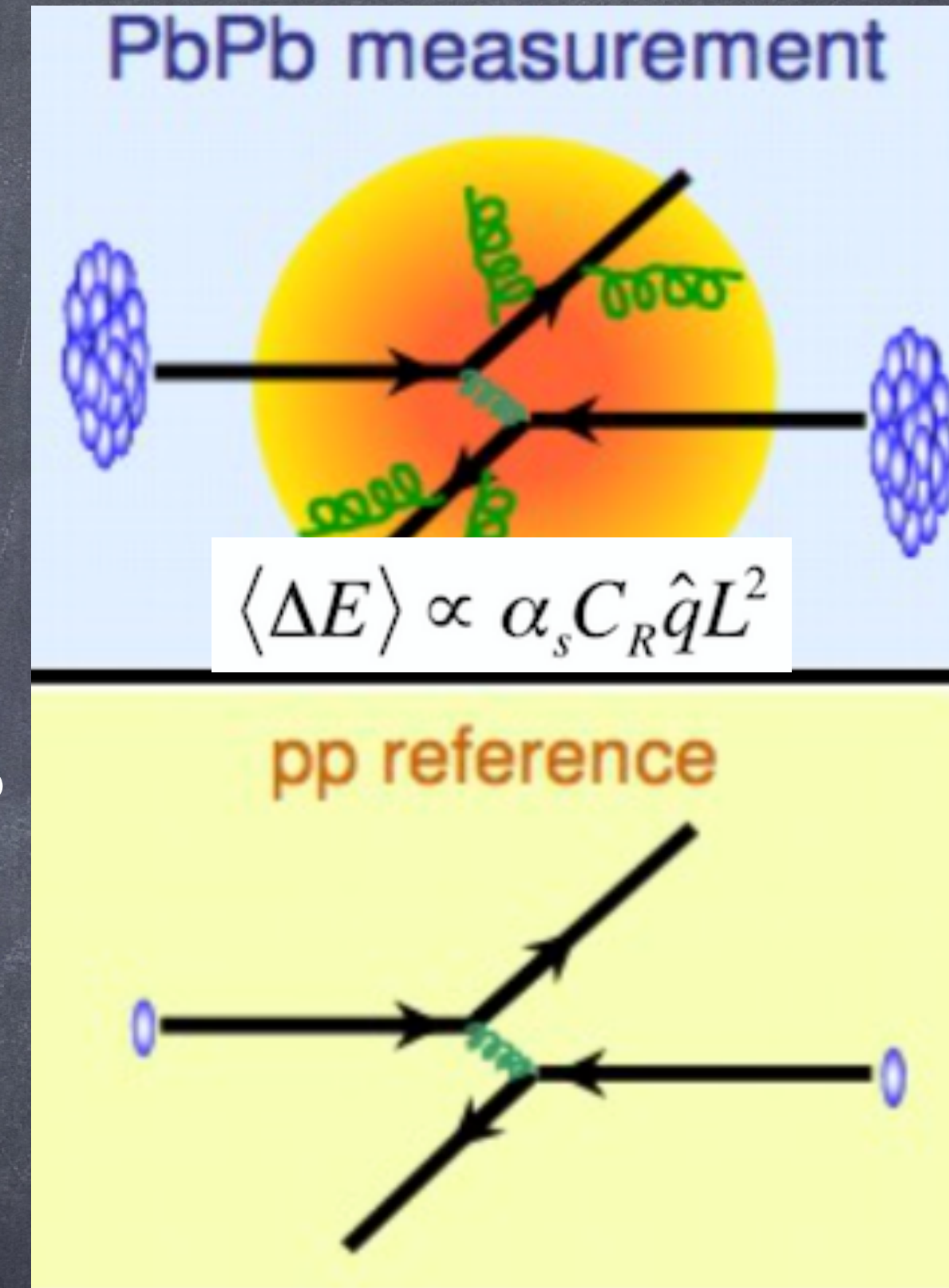
## Energy loss

Mechanisms: gluon radiation, elastic collisions

**Key Observables:** 
$$R_{AA}(p_T) = \frac{1}{\langle T_{AA} \rangle} \frac{dN_{AA}/dp_T}{d\sigma_{pp}/dp_T}$$

- $R_{AA} = 1$ , if there is no medium modification

$$\Delta E_g > \Delta E_q > \Delta E_c > \Delta E_b \rightarrow R_{AA}(\text{light hadron}) < R_{AA}(D) < R_{AA}(B)?$$





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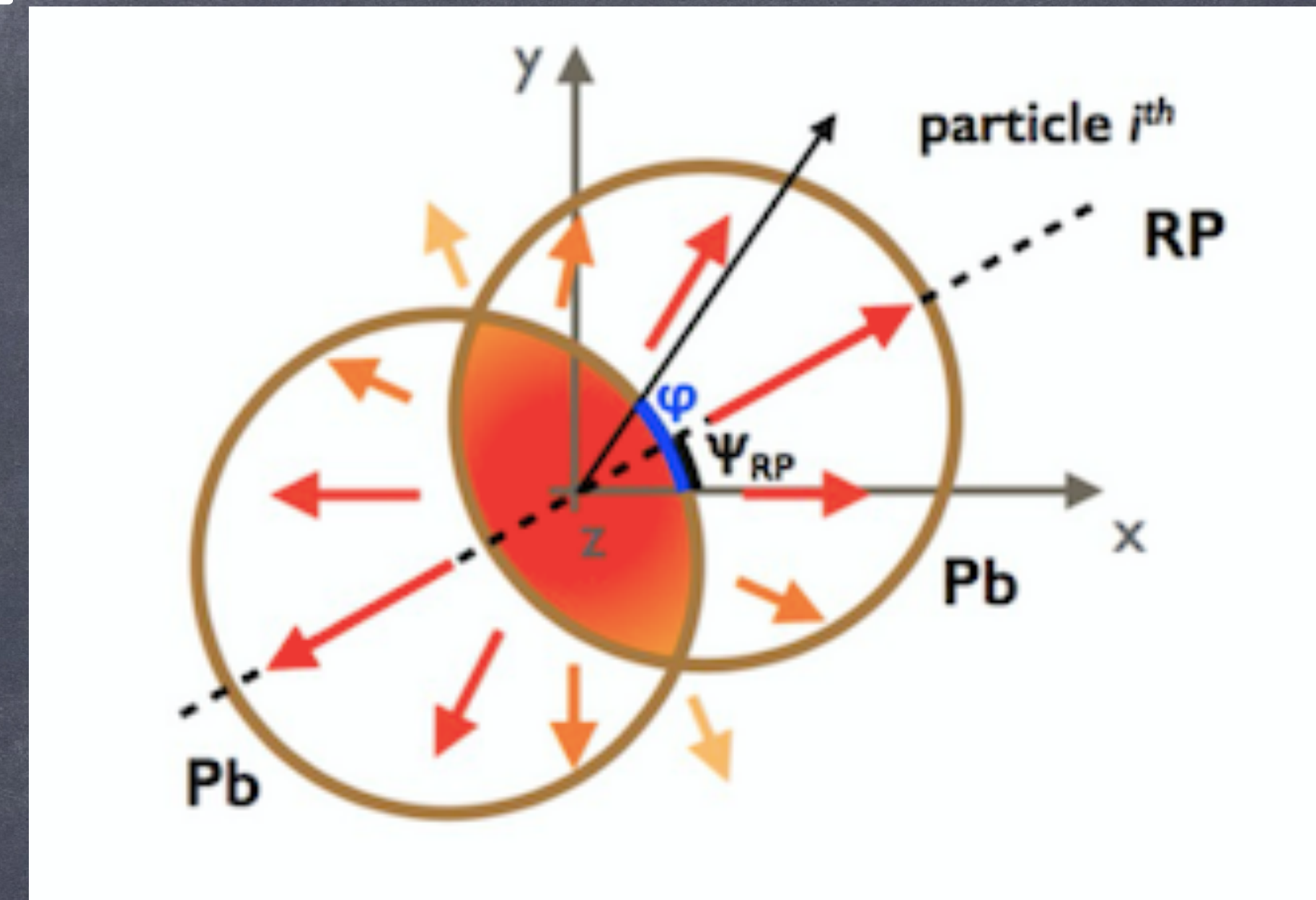
Energy loss

Collectivity

- Azimuthal anisotropy of produced particles.
- At low  $p_T$  → information on the transport properties of the medium, collectivity and thermalisation of heavy quarks.

Key Observables:

$$v_2 = \langle \cos 2(\varphi - \psi_2) \rangle$$





# Motivations: Heavy quarks in pp, p-Pb and Pb-Pb collisions

► Heavy quarks are excellent probes to characterise QGP medium.

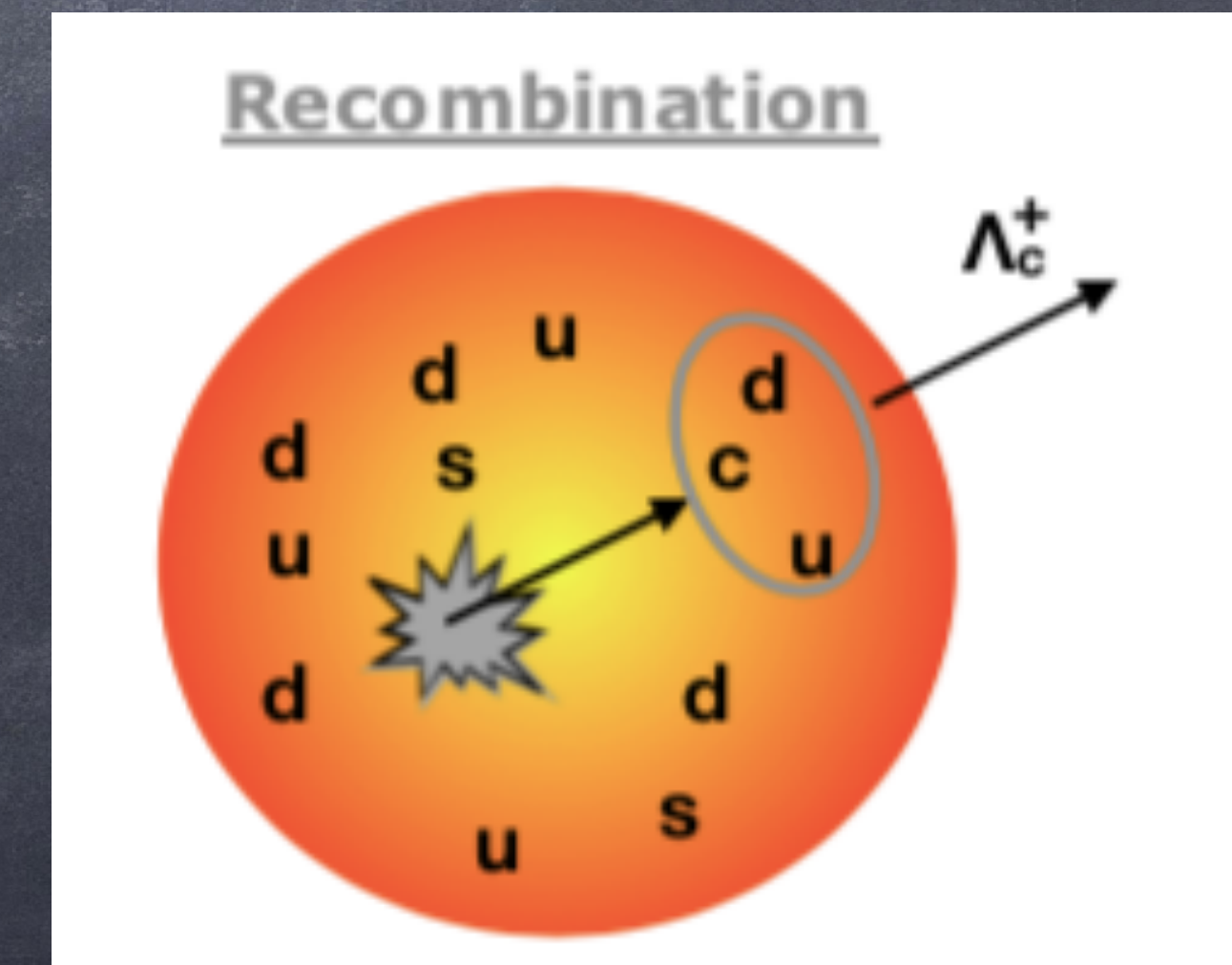
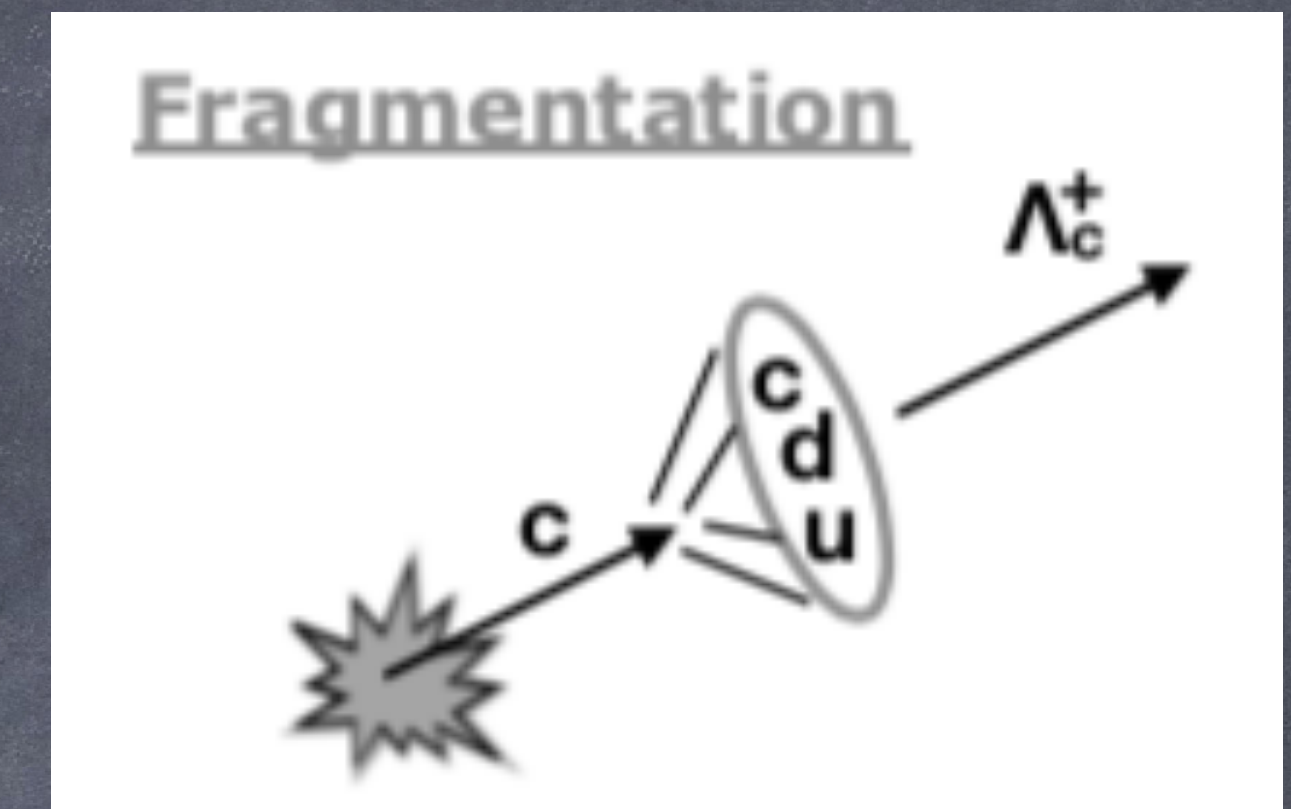
- Early production in hard-scattering processes at the early stage of heavy-ion collisions.
- Experience the entire evolution of the medium.
- Strongly interacting with QGP.

**Energy loss**

**Collectivity**

**Modification to hadron formation**

- Hadronisation via quark coalescence.





# Motivations: Heavy quarks in pp, p-Pb and Pb-Pb collisions

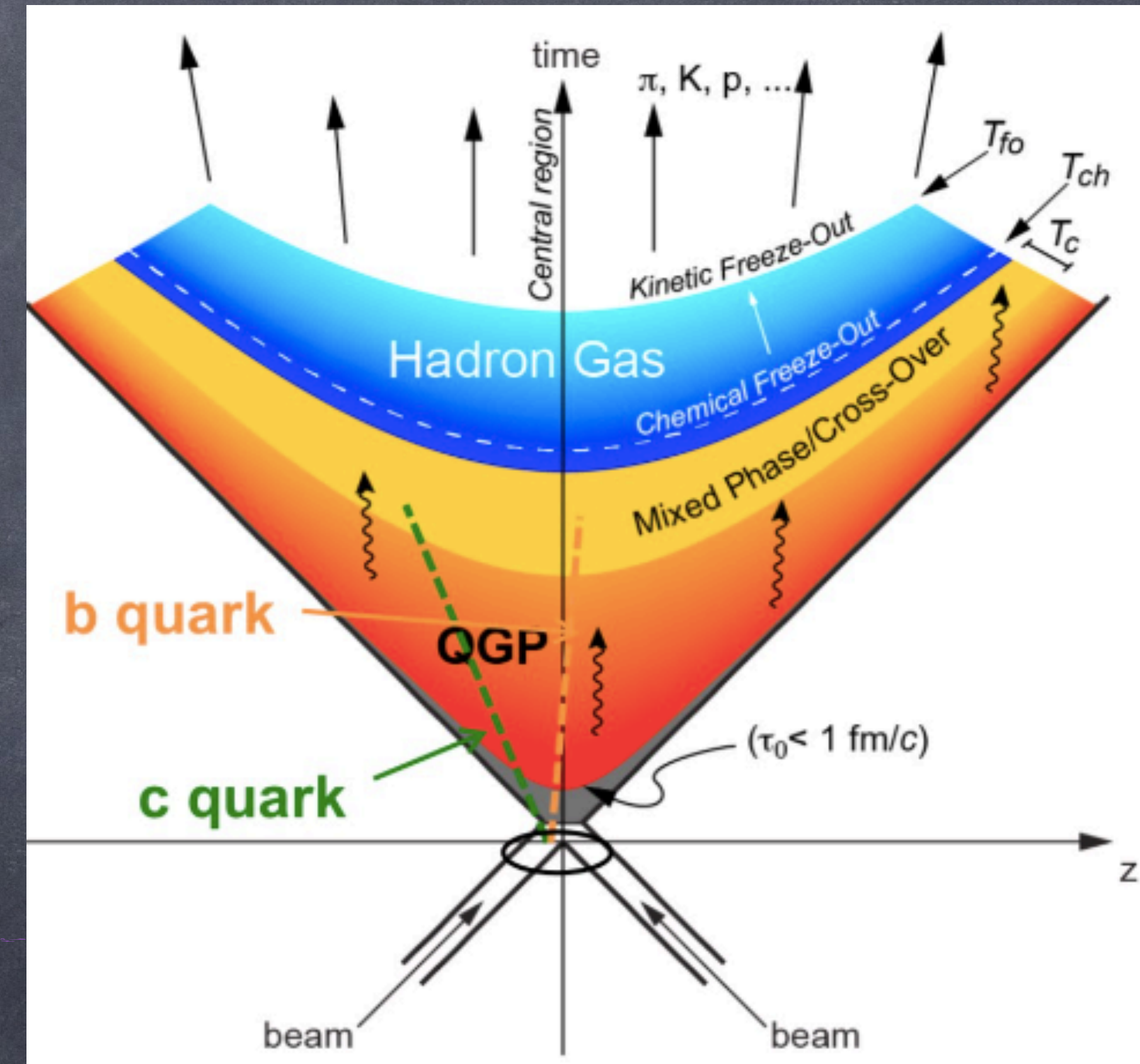
► Heavy quarks are excellent probes to characterise QGP medium.

- Early production in hard-scattering processes at the early stage of heavy-ion collisions.
- Experience the entire evolution of the medium.
- Strongly interacting with QGP.

Energy loss

Collectivity

Modification to hadron formation



► pp collisions:

- ✓ Study hadronization mechanism
- ✓ Set a reference for p-Pb and Pb-Pb

► p-Pb collisions:

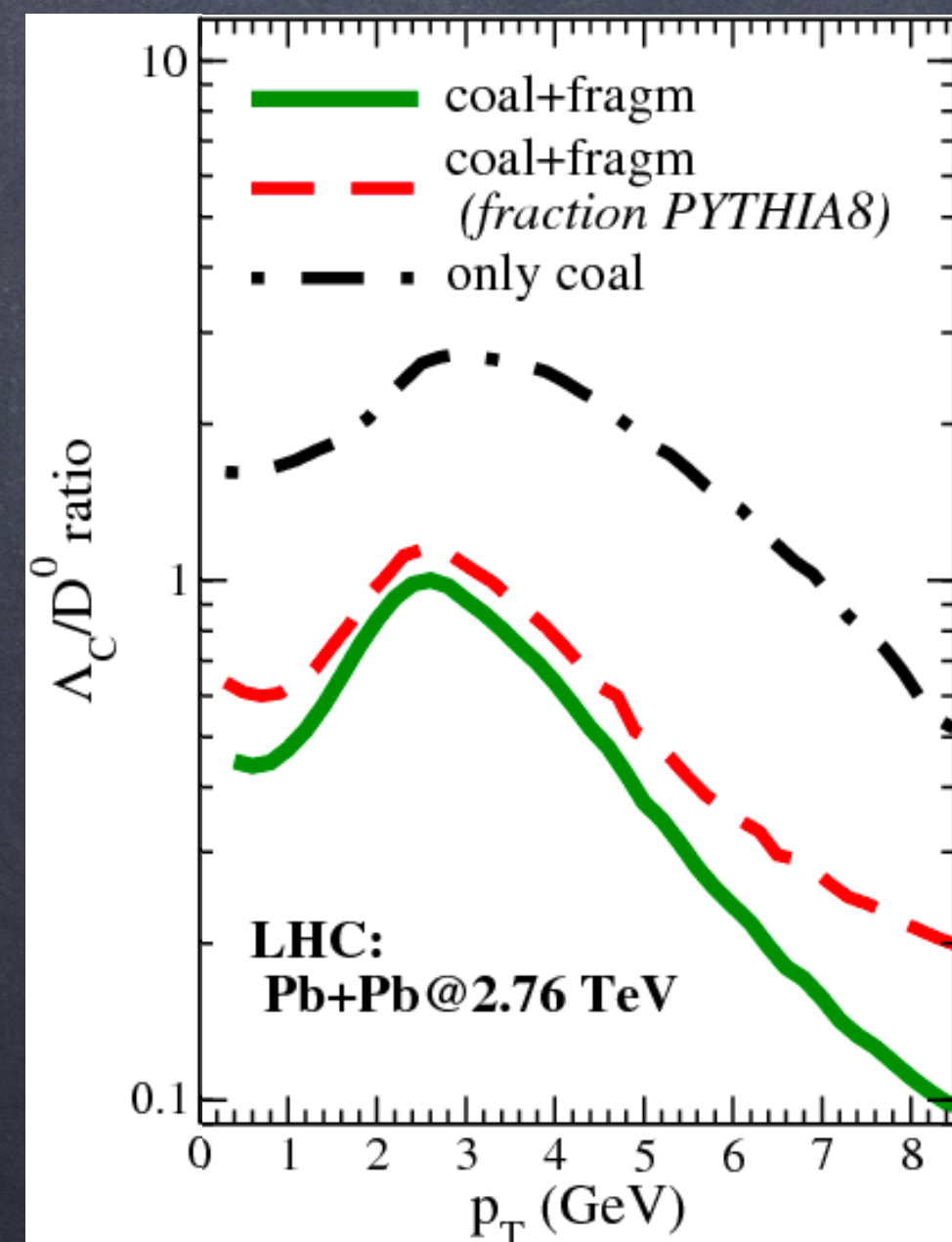
- ✓ Study cold nuclear matter (CNM) effects
- ✓ reference for Pb-Pb measurements



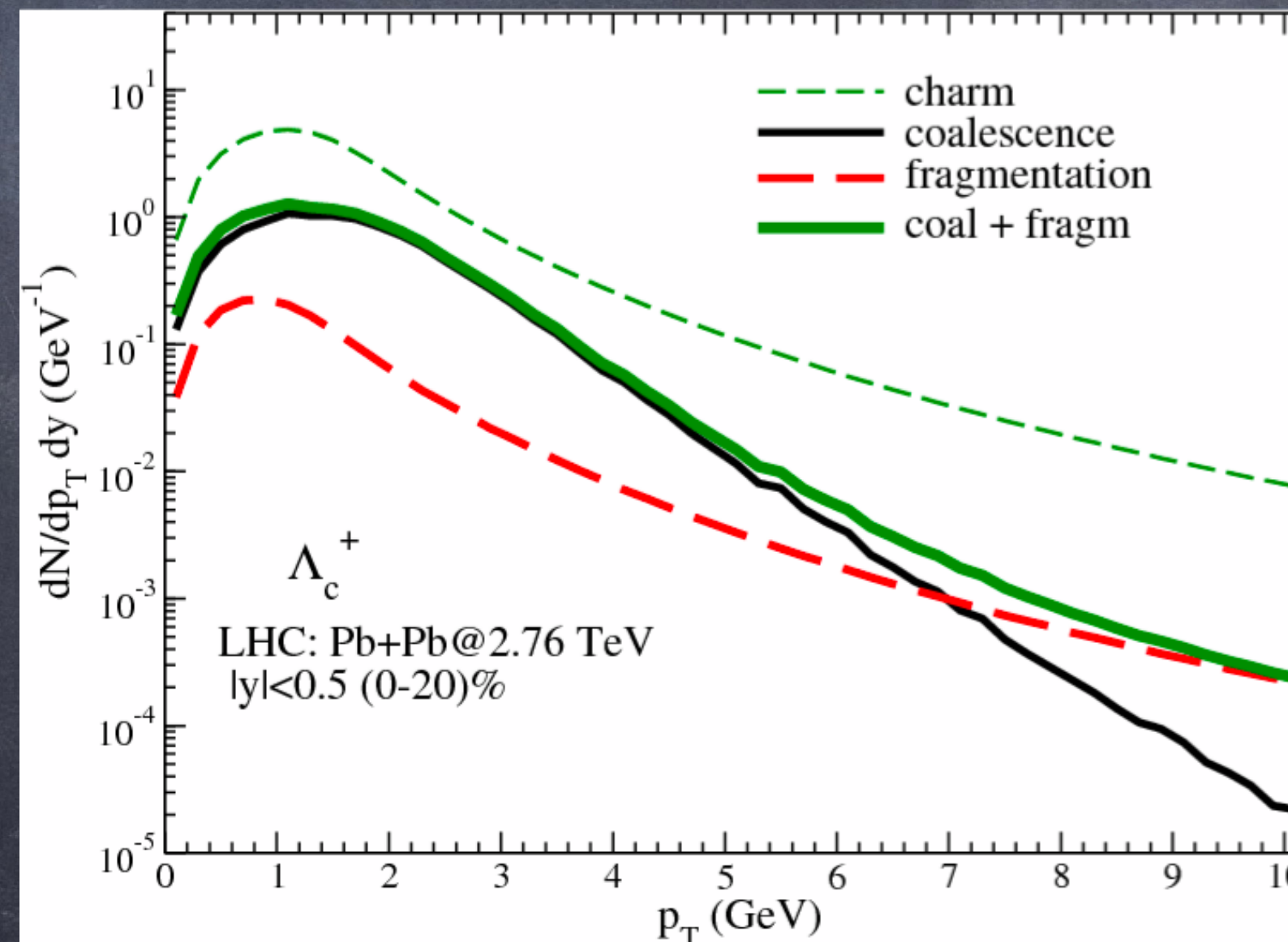
# Motivations: Charm-baryon measurements

► Charm-baryon measurements could provide unique insights into hadronisation in the QGP.

- ✓ Enhancement of baryon-to-meson ( $\Lambda_c^+/D^0$ ) ratio is predicted in coalescence models
- ✓ Further enhancement of baryon-to-meson ratio is expected if light di-quark states exist in the QGP
- ✓  $\Lambda_c^+/D^0$  is a good tool to disentangle different hadronization mechanisms.



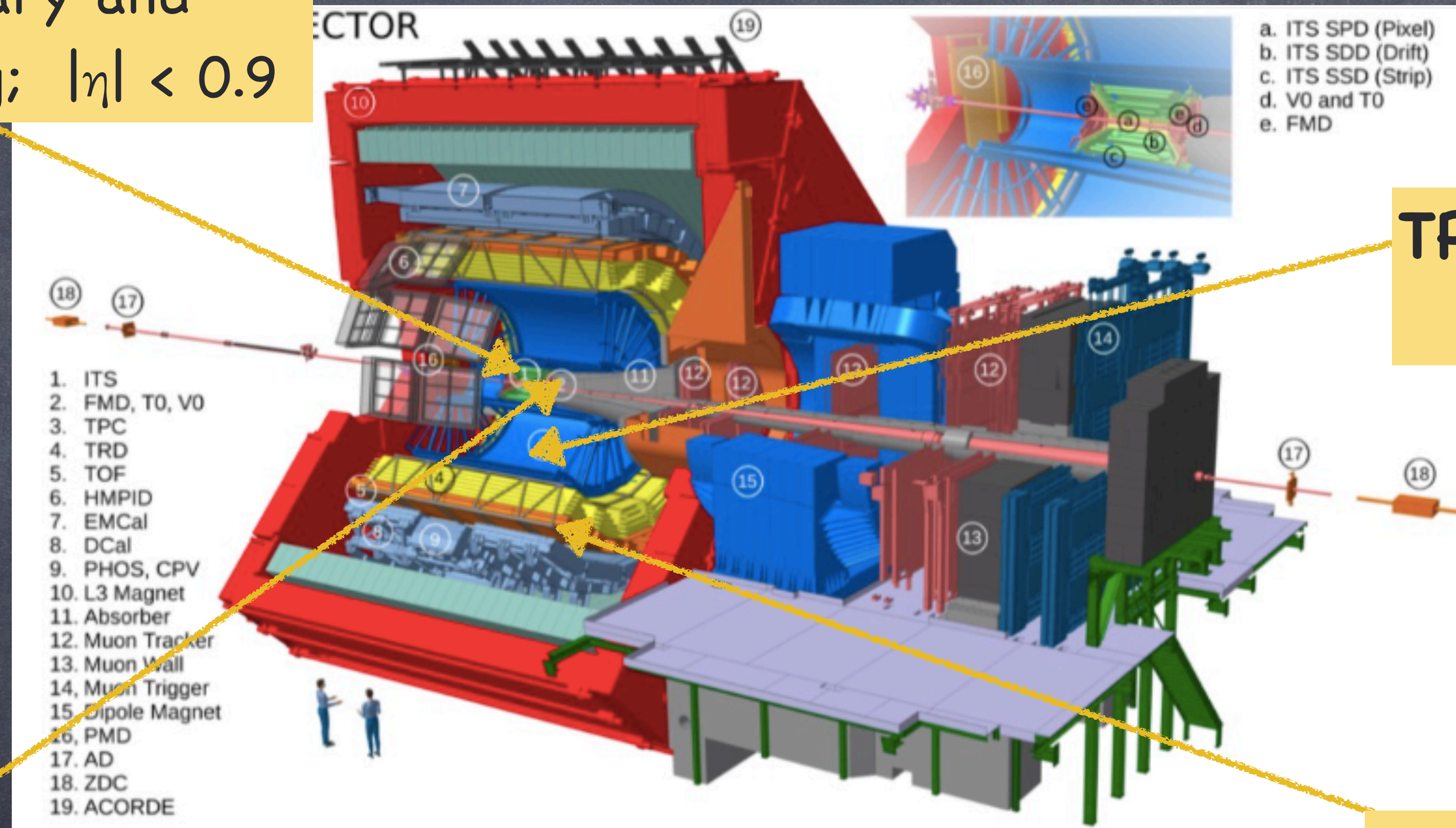
[1] Eur. Phys. J. C (2018) 78:348



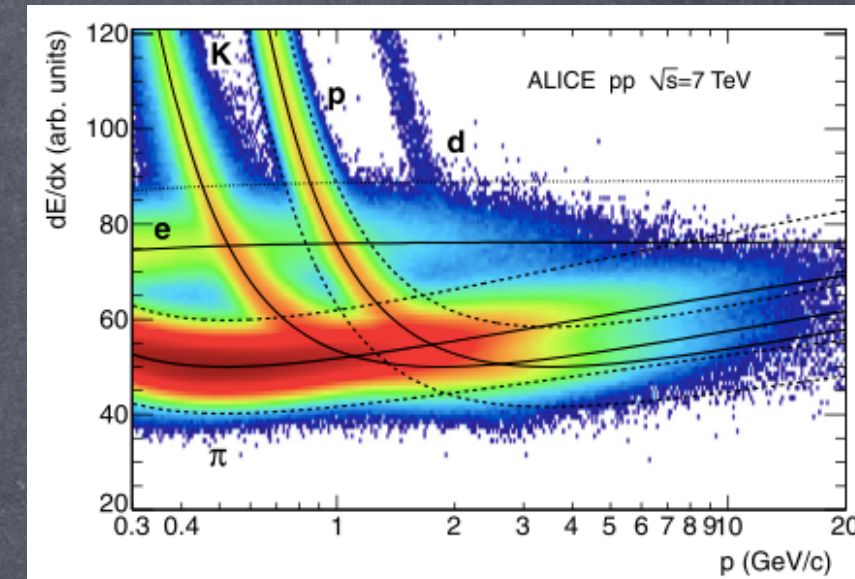


# A Large Ion Collider Experiment

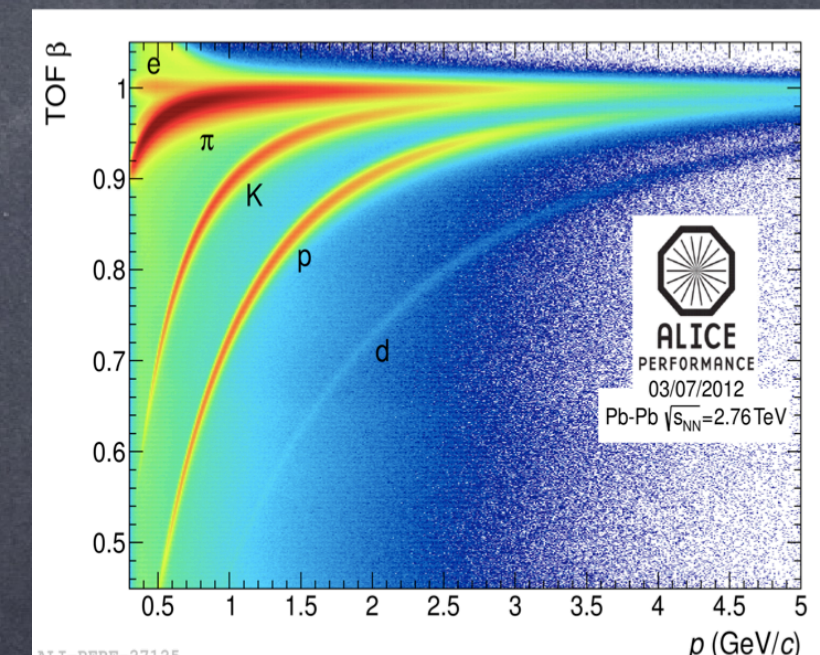
**ITS:** tracking; primary and secondary vertexing;  $|\eta| < 0.9$



**TPC:** tracking and PID via  $dE/dx$ ;  $|\eta| < 0.9$



**TOF:** PID(time of flight);  $|\eta| < 0.9$

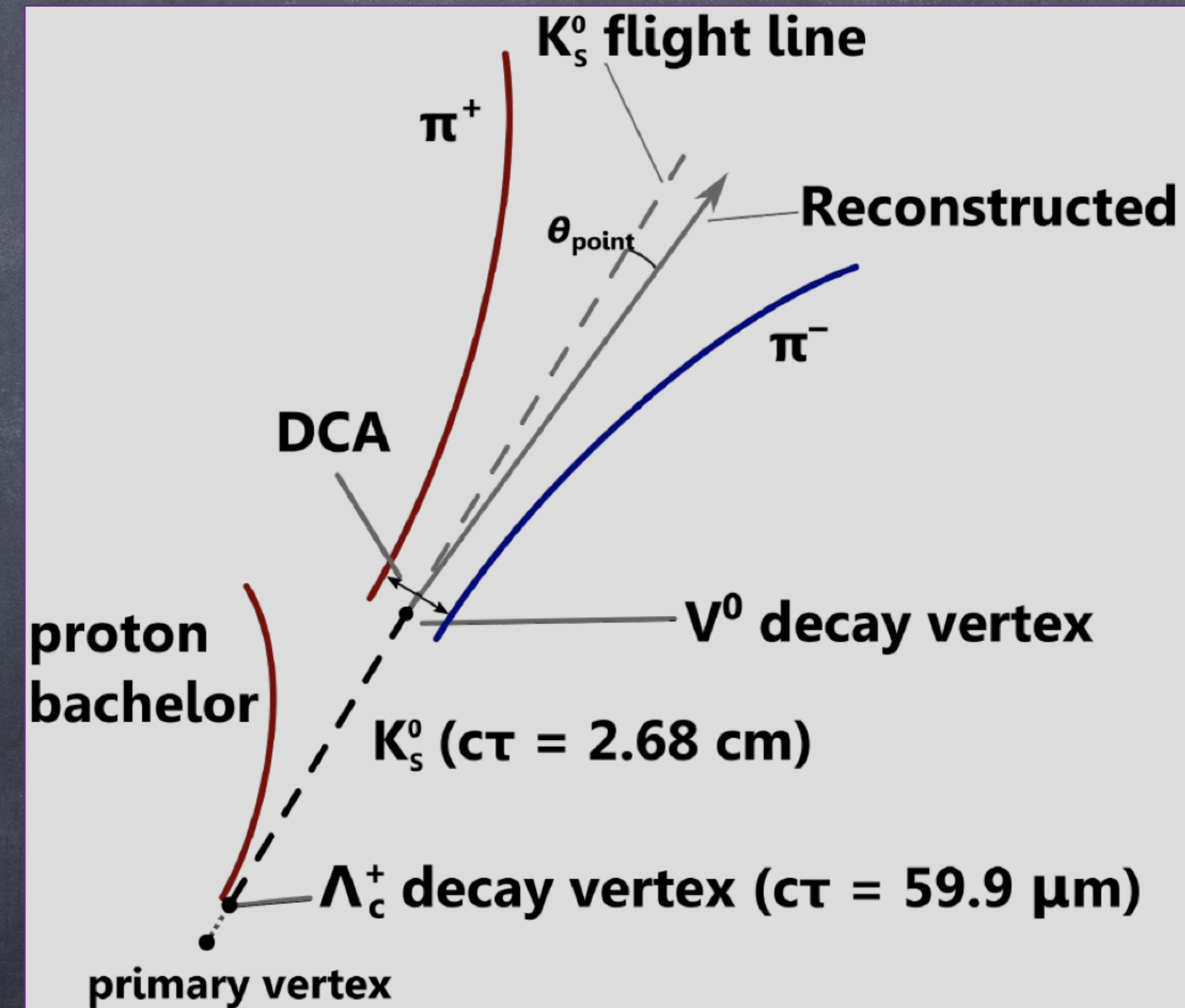


High precision tracking, good vertexing capabilities and excellent particle identification



# Reconstruction of $\Lambda_c^+$

- ▶ Invariant mass analysis of the decays
  - ✓  $\Lambda_c^+ \rightarrow pK^- \pi^+$  (BR=6.3%)
  - ✓  $\Lambda_c^+ \rightarrow pK_s^0 \rightarrow \pi^+ \pi^- p$  (BR=1.1%)
- ▶ Candidates build combining triplets of tracks reconstructed at mid-rapidity ( $|\eta| < 0.8$ ) with proper charge.
- ▶ Reduction of the combinatorial background
- ▶ Different method for signal selection of the decay topological variables:
  - ✓ Rectangular topological cuts.
  - ✓ BDT based TMVA method used for cut optimization.





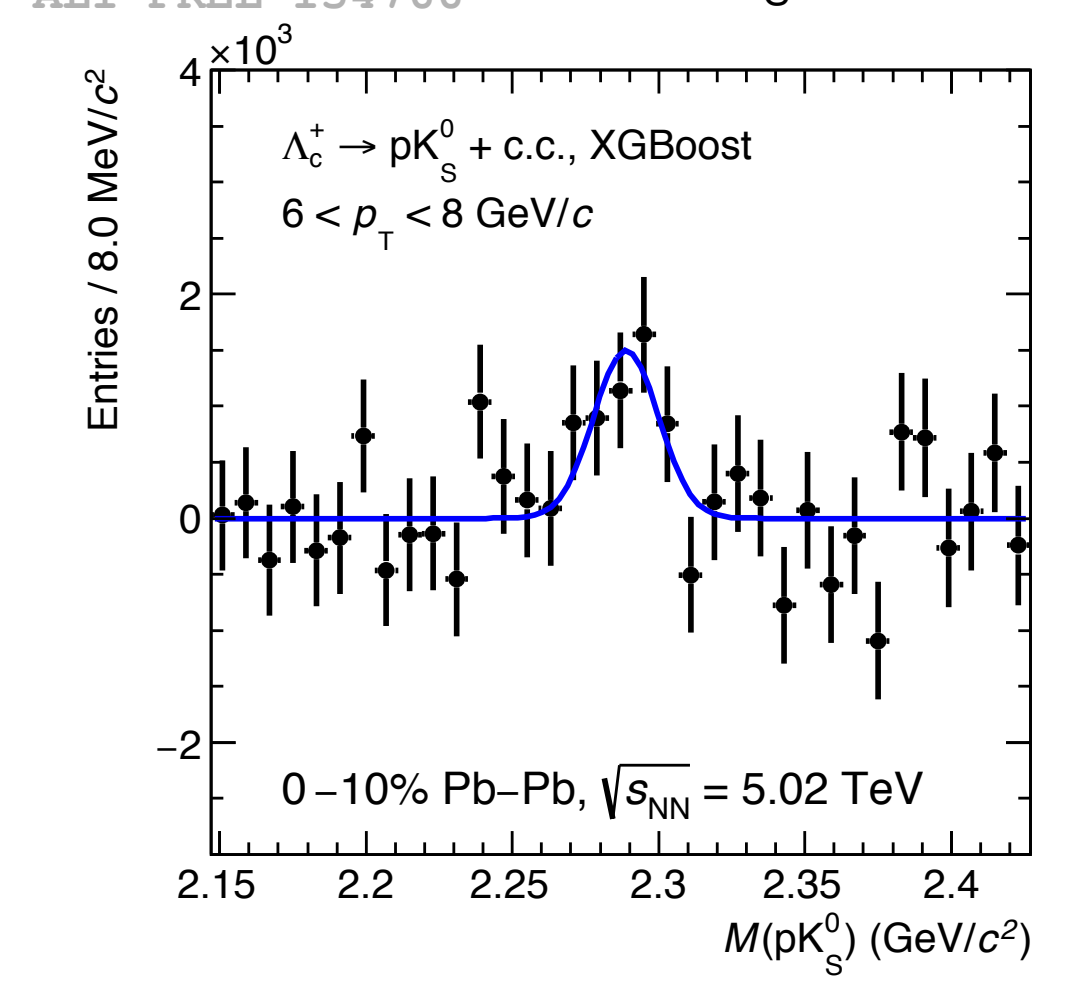
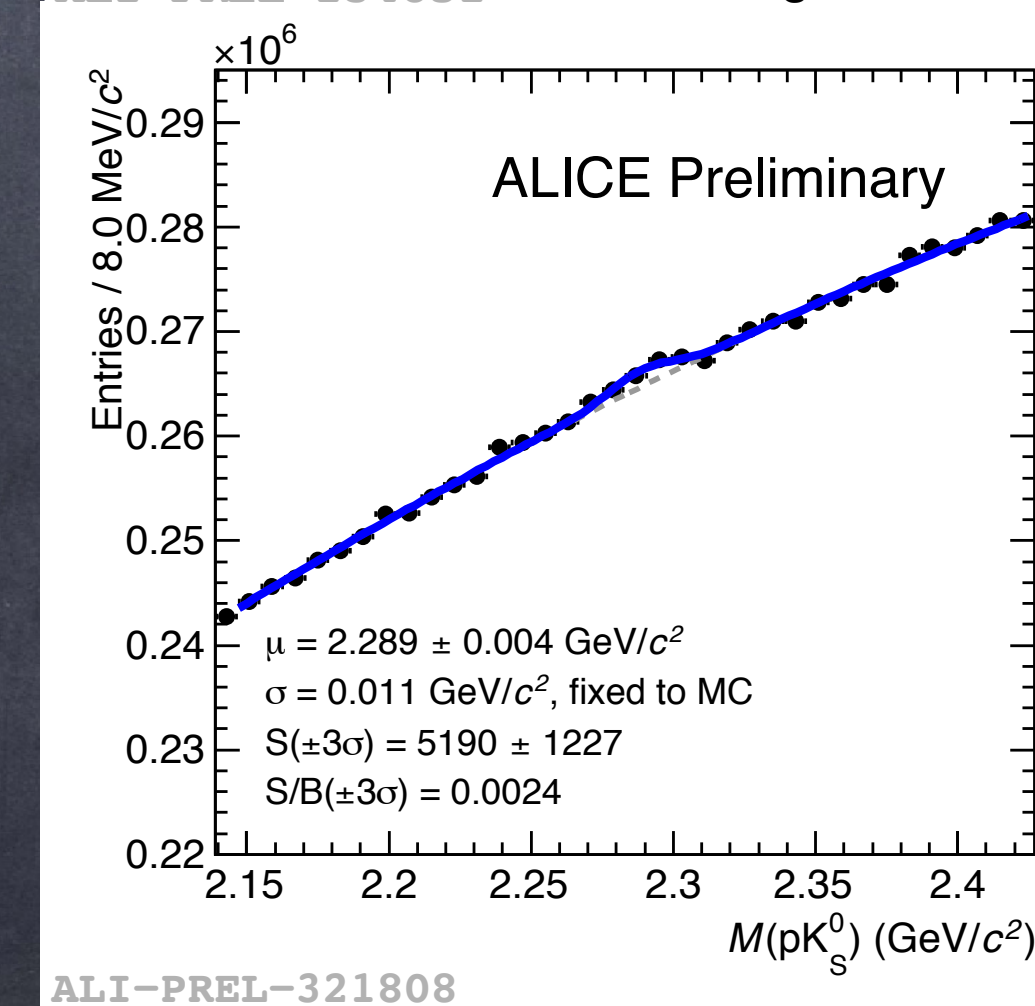
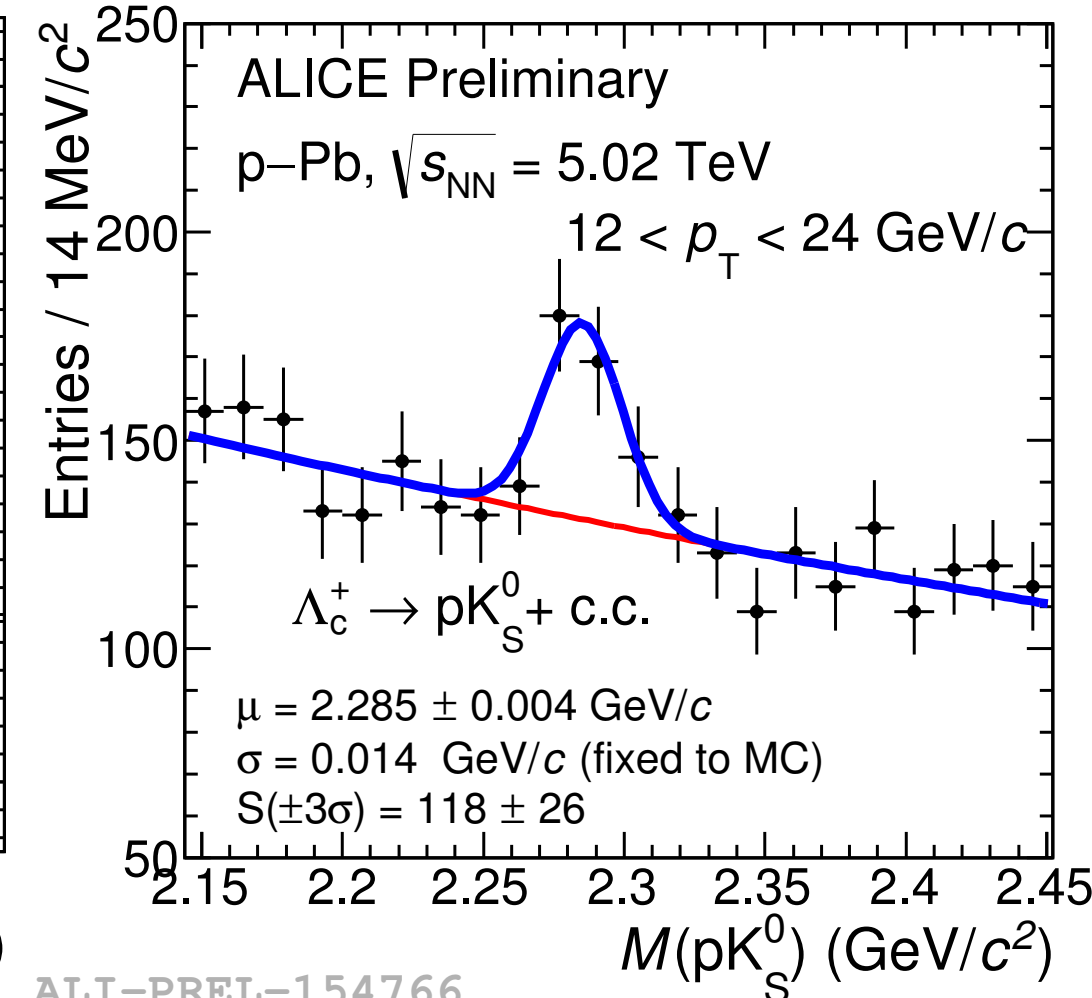
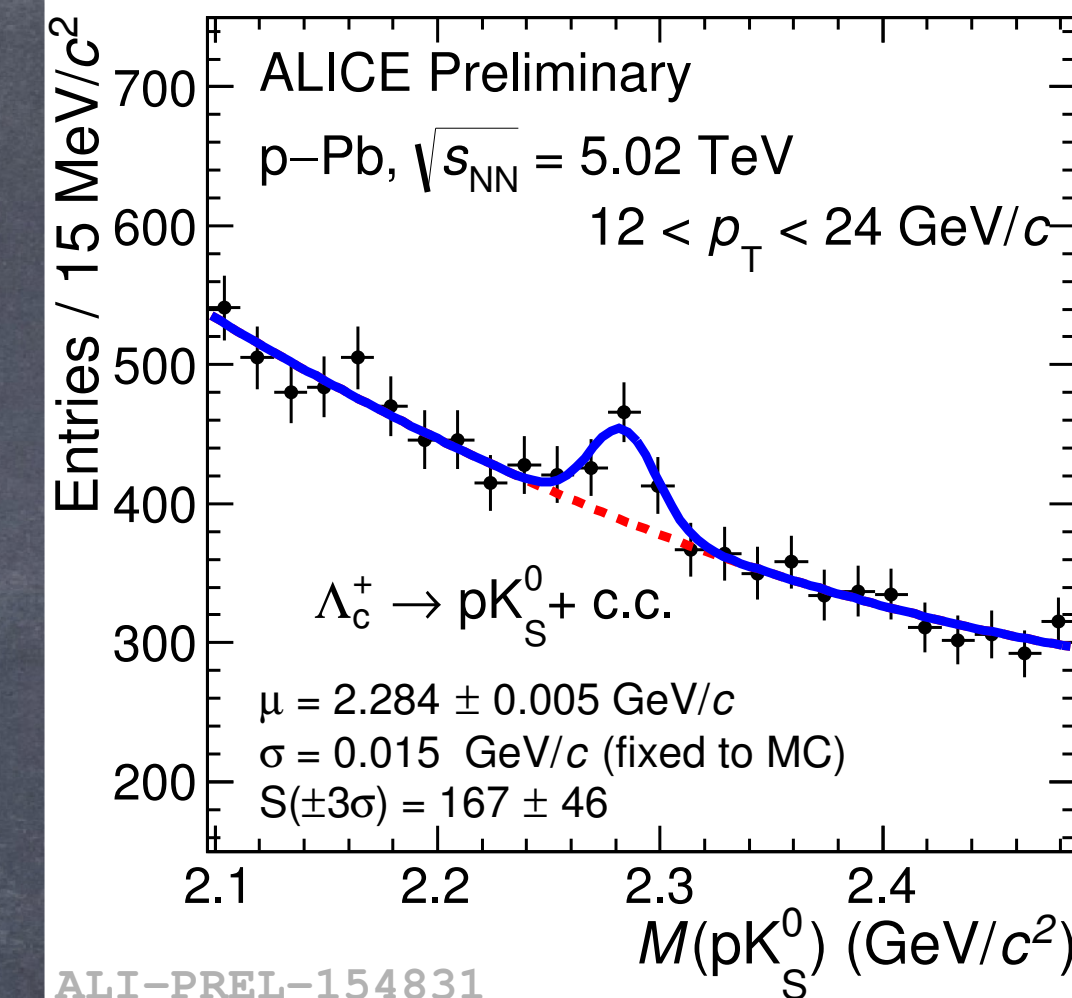
# ML to extract $\Lambda_c^+$ in p-Pb and Pb-Pb

► For the  $\Lambda_c^+$ , different machine-learning algorithms were exploited in p-Pb and Pb-Pb analyses.

► Training variables.

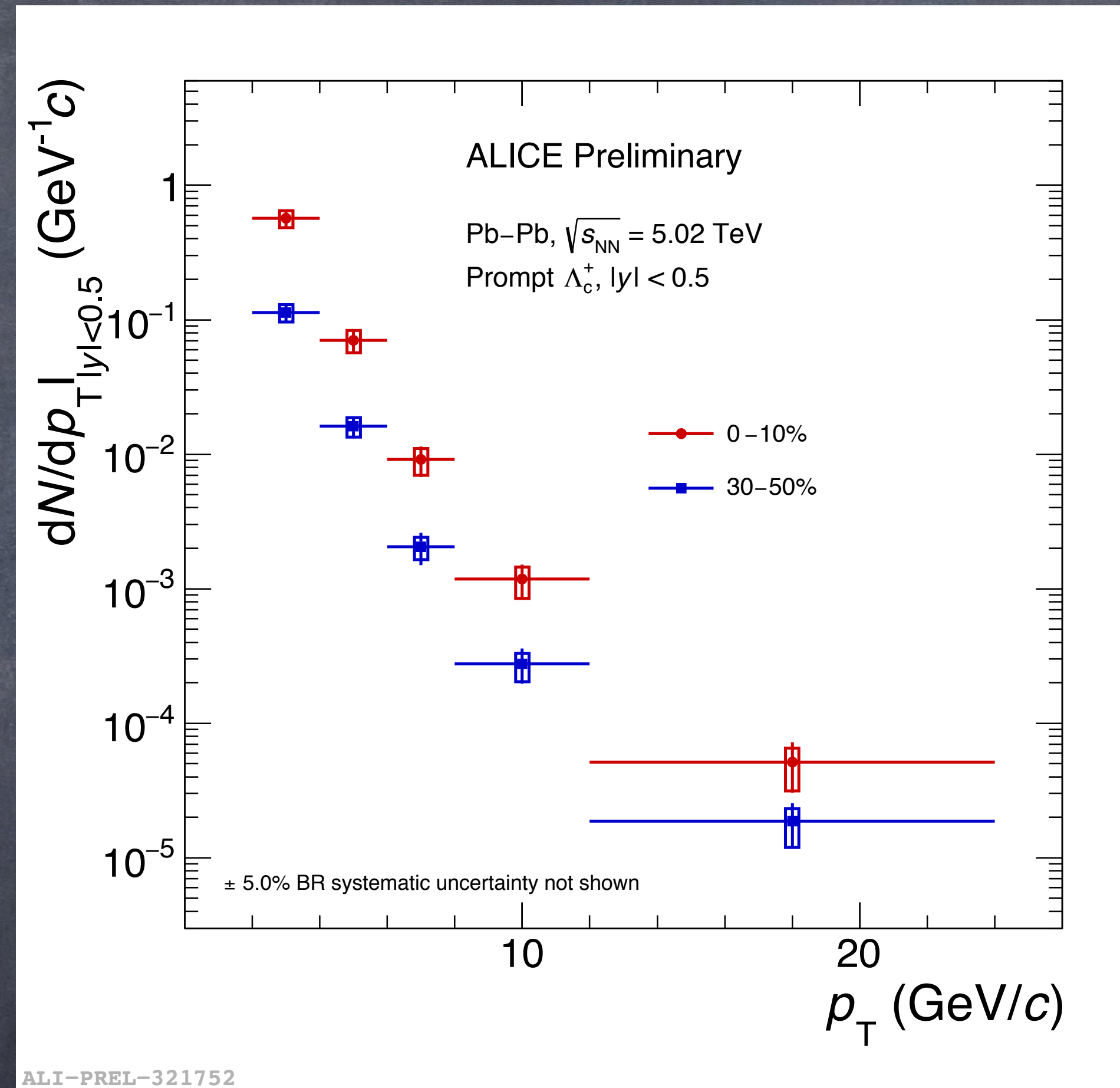
► Background used for training taken from side-bands in data.

► The invariant mass distribution was obtained after selecting on the ML algorithm response.





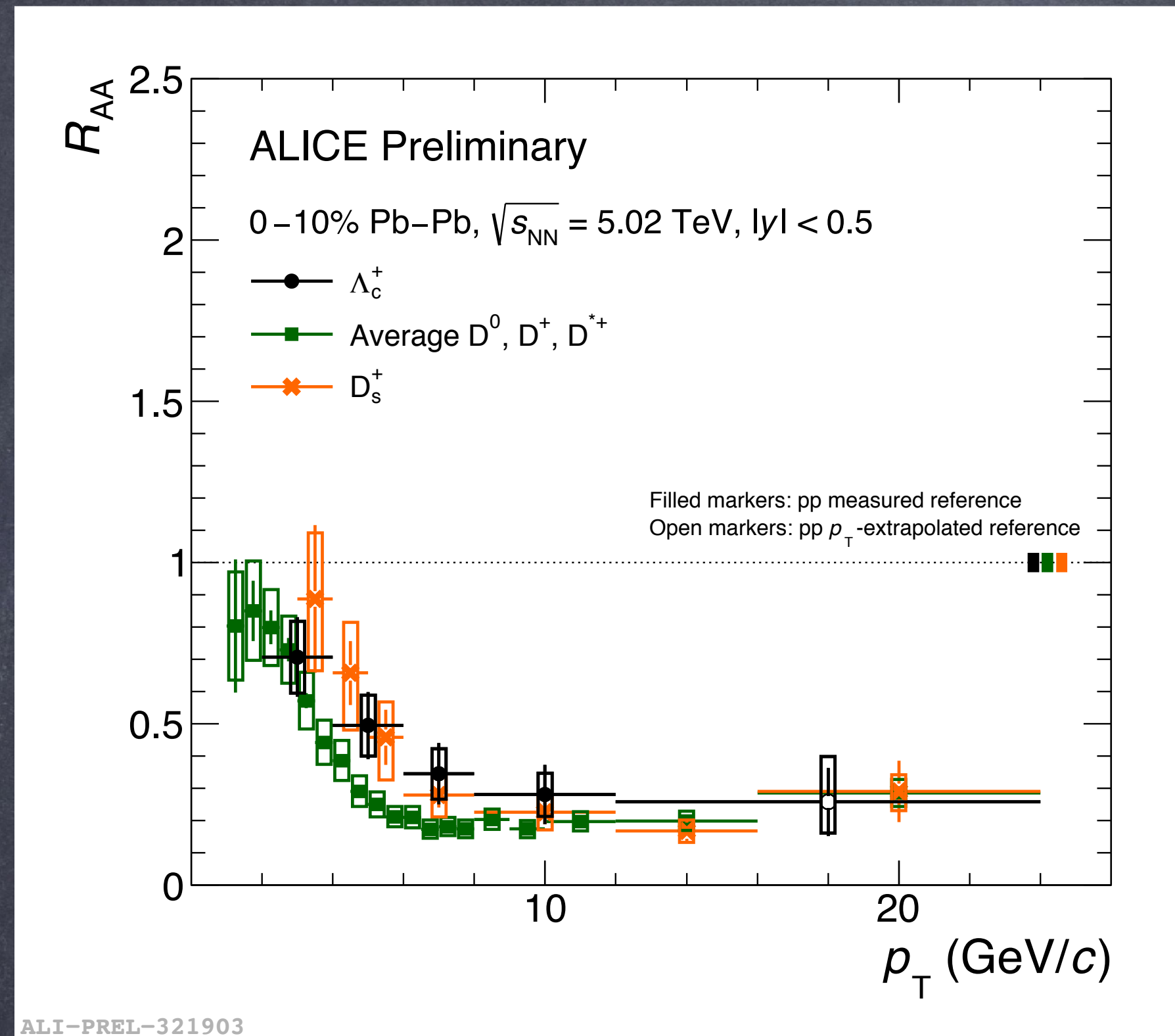
# $\Lambda_c^+$ cross section in Pb–Pb collisions (2018)



- ✓  $\Lambda_c^+$  are measured in the range  $2 < p_T < 24$  GeV/c for the 0–10% and 30–50% most central Pb–Pb collisions at 5.02 TeV.



# $\Lambda_c^+$ nuclear modification factor $R_{AA}$ (2018)

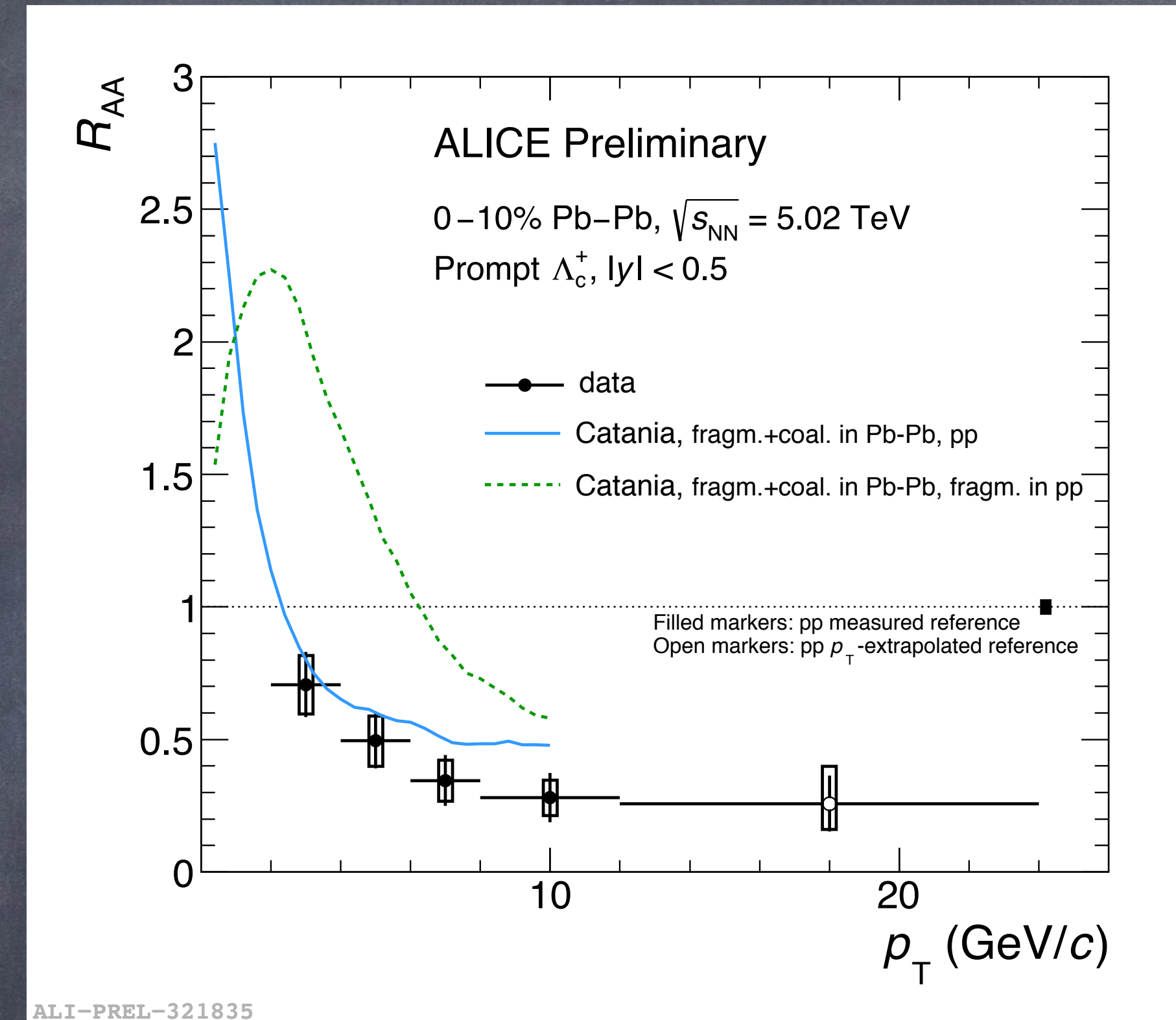
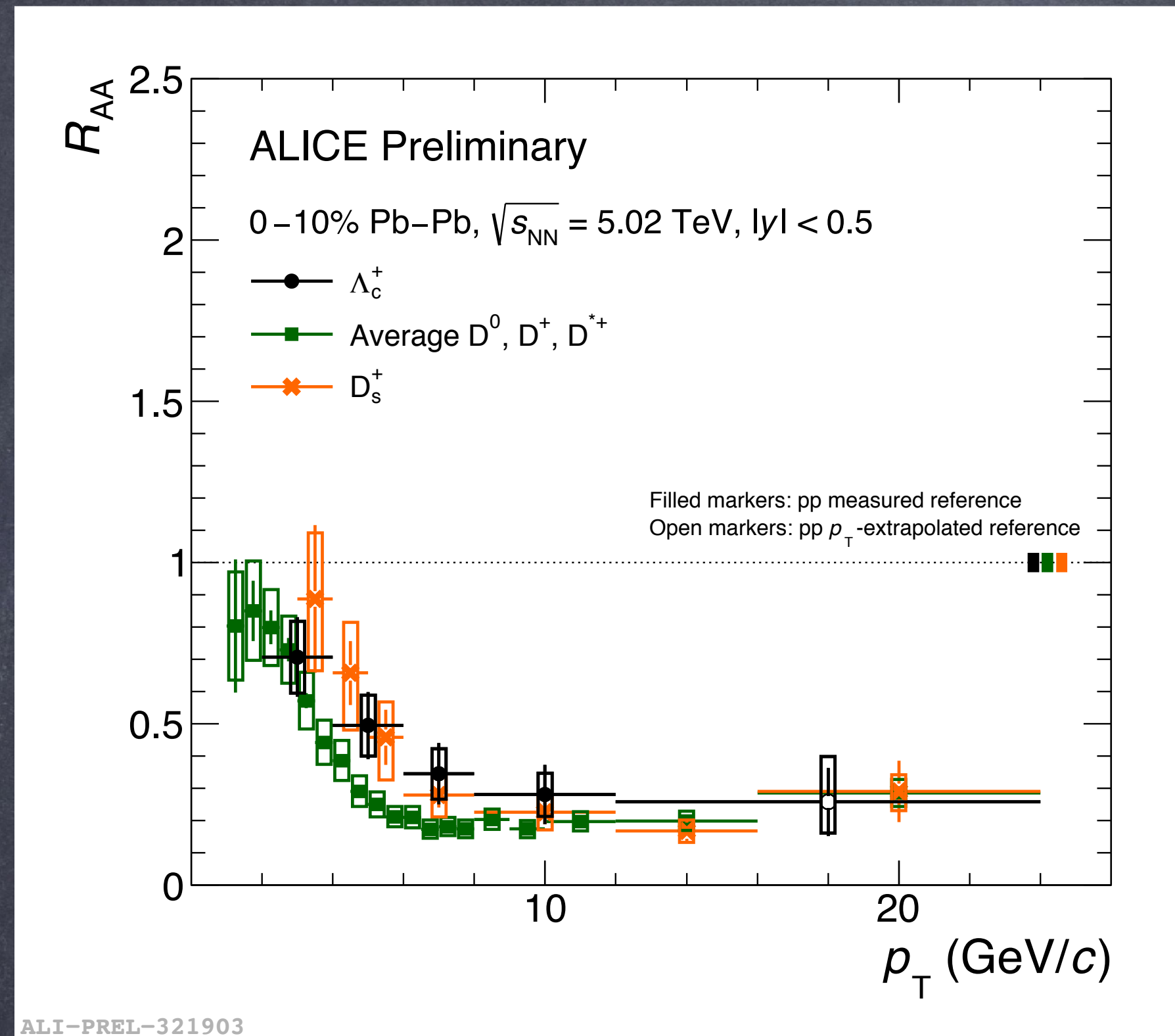


$$R_{AA}(p_T) = \frac{1}{\langle T_{AA} \rangle} \frac{dN_{AA}/dp_T}{d\sigma_{pp}/dp_T}$$

- ✓ Suppression observed for the  $\Lambda_c^+$  baryon in Pb-Pb collisions.



# $\Lambda_c^+$ nuclear modification factor $R_{AA}$ (2018)

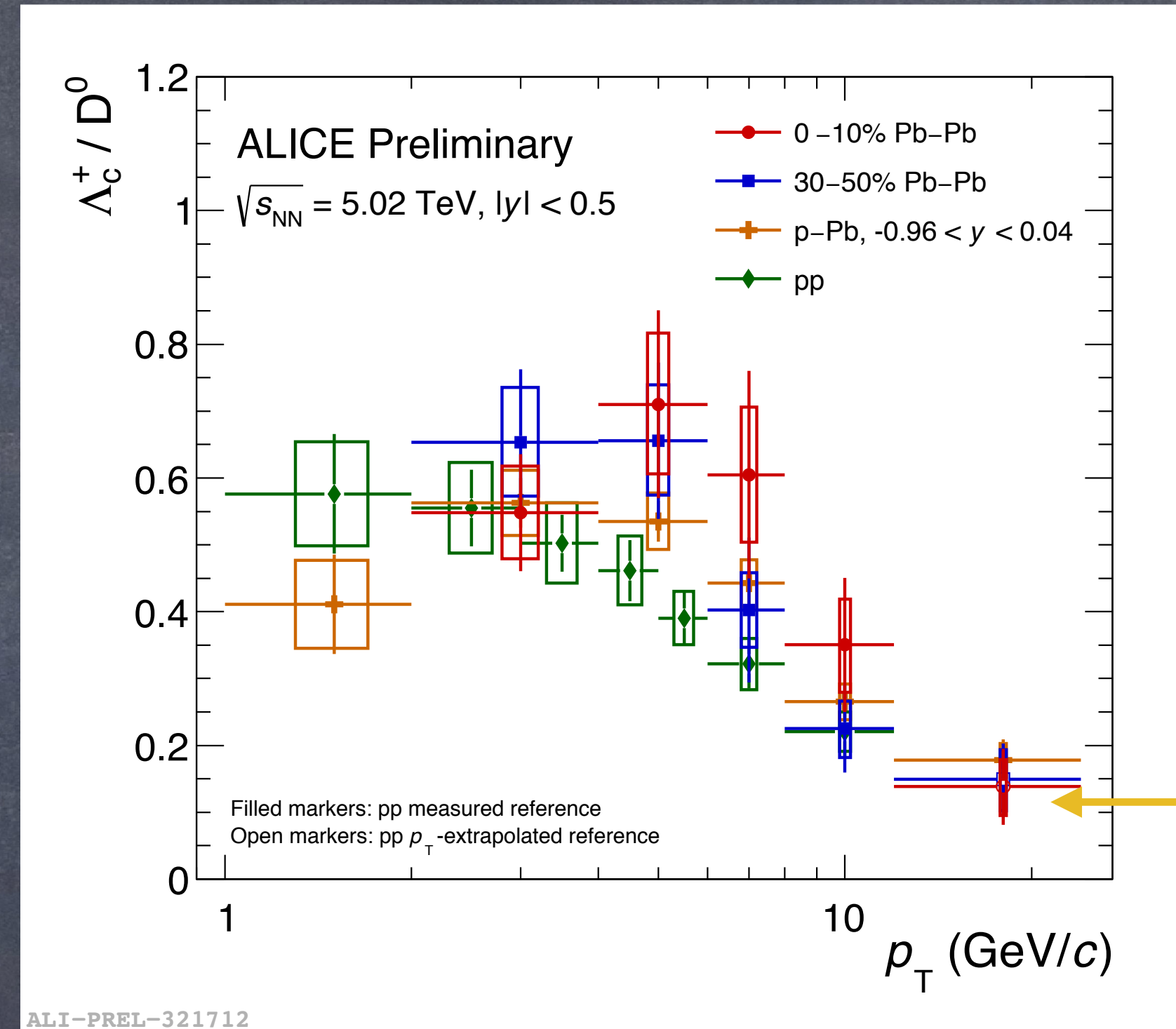


- ✓ Suppression observed for the  $\Lambda_c^+$  baryon in Pb-Pb collisions.
- ✓ Comparison to theory favours [4] a scenario where both fragmentation and recombination are present in Pb-Pb and pp collisions, for both centrality ranges.
- ✓ The same conclusion for semi-central collisions see backup.

[4]Catania: Eur. Phys. J. C (2018) 78: 348



# Baryon-to-meson ratio: $\Lambda_c^+ / D^0$ (2018)



- ✓ Hint to a higher  $\Lambda_c^+ / D^0$  ratio in Pb–Pb (0–10% and 30%–50%) collisions w.r.t. pp collisions.  
→ **Understanding of pp data is fundamental.** Ratio is underestimated by models with fragmentation parameters derived from  $e^+e^-$  collision data.
- ✓ More precision needed to investigate a pp → p–Pb → Pb–Pb trend.

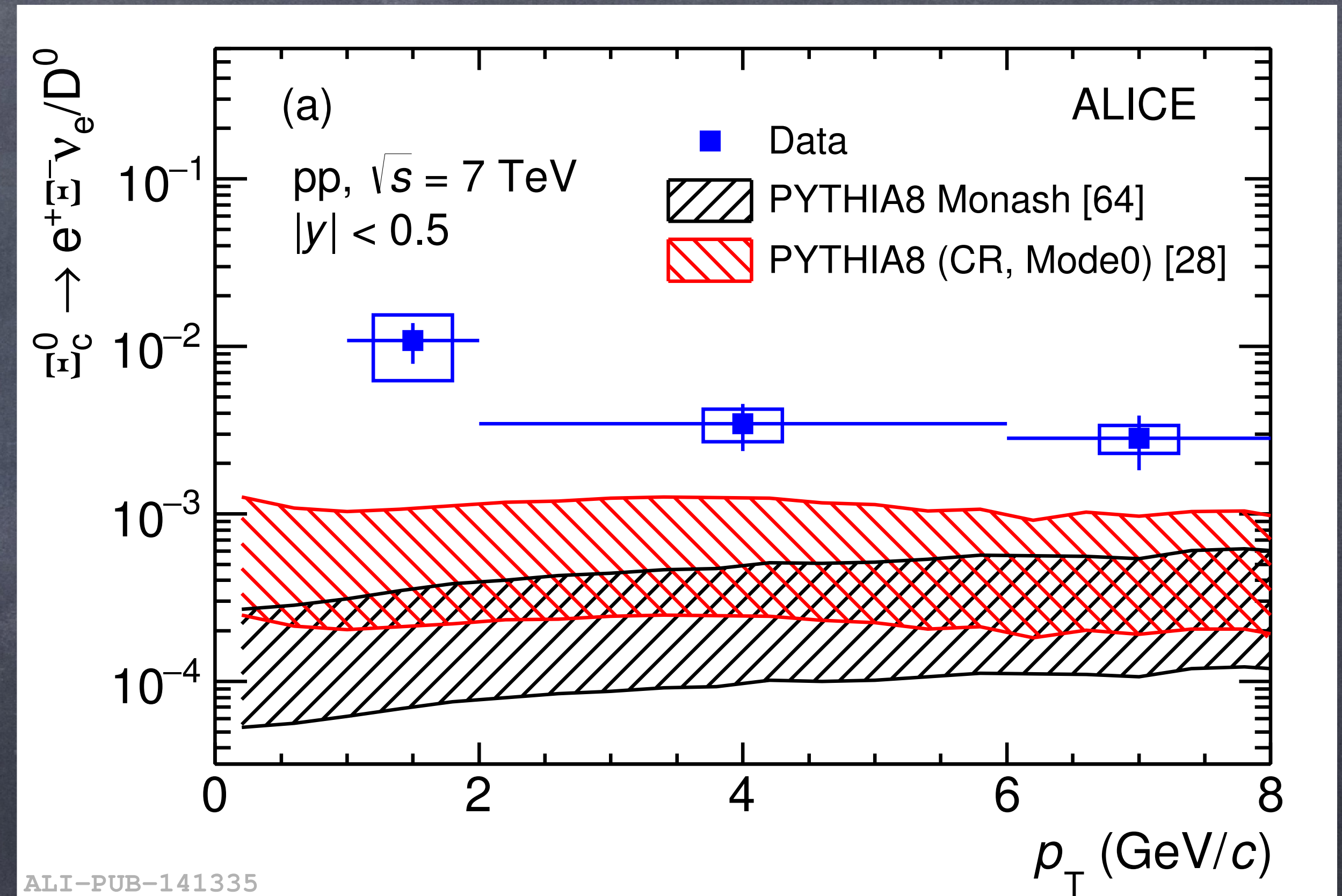


# Outlook: $\Xi_c^0$ production

## • First measurement of $\Xi_c^0$ production in pp collisions at $\sqrt{s_{NN}} = 7$ TeV [6]

- ✓  $\Xi_c^0 \rightarrow e^+ \Xi^- \nu$  ( $\Xi^- \rightarrow \pi^- \Lambda$ )
- ✓ Event generators PYTHIA8 [7][8] underestimate data.
- ✓ The same conclusion with DIPSY [9] and HERWIG7 [10] models see backup.

## • The investigation under $\Xi_c^0$ with hadronic decay and the $\Sigma_c$ work in processing.



[6] Phys. Lett. B 781 (2018) 8-19

[7] PYTHIA8 CR: JHEP 08 (2015) 003

[8] PYTHIA8: Eur. Phys. J. C (2014) 74:3024

[9] DIPSY: JHEP 08 (2011) 103

[10] HERWIG7: Eur. Phys. J. C58 (2008) 639-707



# Summary

- $\Lambda_c^+/D^0$  and  $\Lambda_c^+ R_{AA}$  are measured in the range  $2 < p_T < 24$  GeV/c for the 0–10% and 30–50% most central Pb–Pb collisions.
- ✓ Different machine-learning algorithms are used for the  $\Lambda_c^+$  analysis.
- ✓  $\Lambda_c^+/D^0$  Compatible with p–Pb within statistical uncertainties.
- ✓ The Results of  $R_{AA}$  in agreement with models that foresee both fragmentation and recombination.

## Upgrade:

- ALICE upgrade for Run3+4: (new ITS and TPC)
  - ✓ It will offer the opportunity to explore, with more precision, a wide  $p_T$  range of open HF measurements.



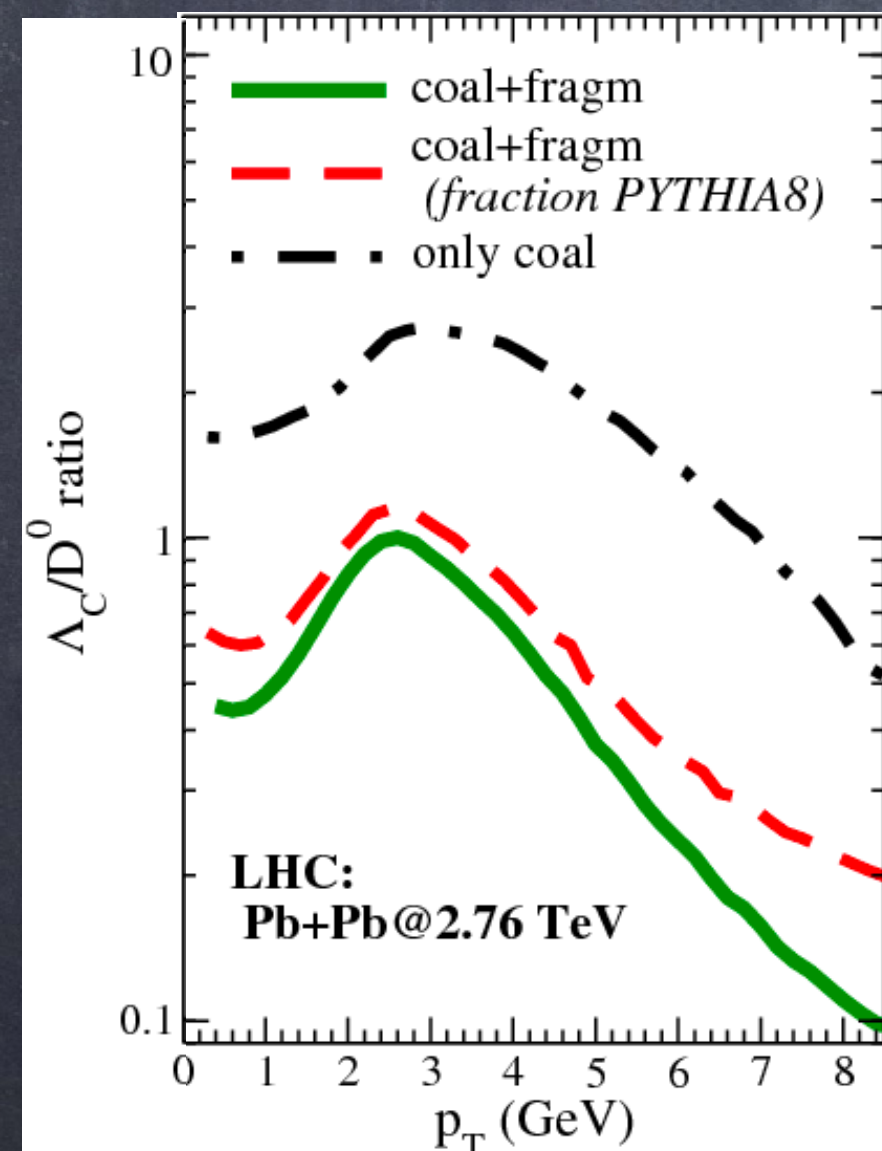
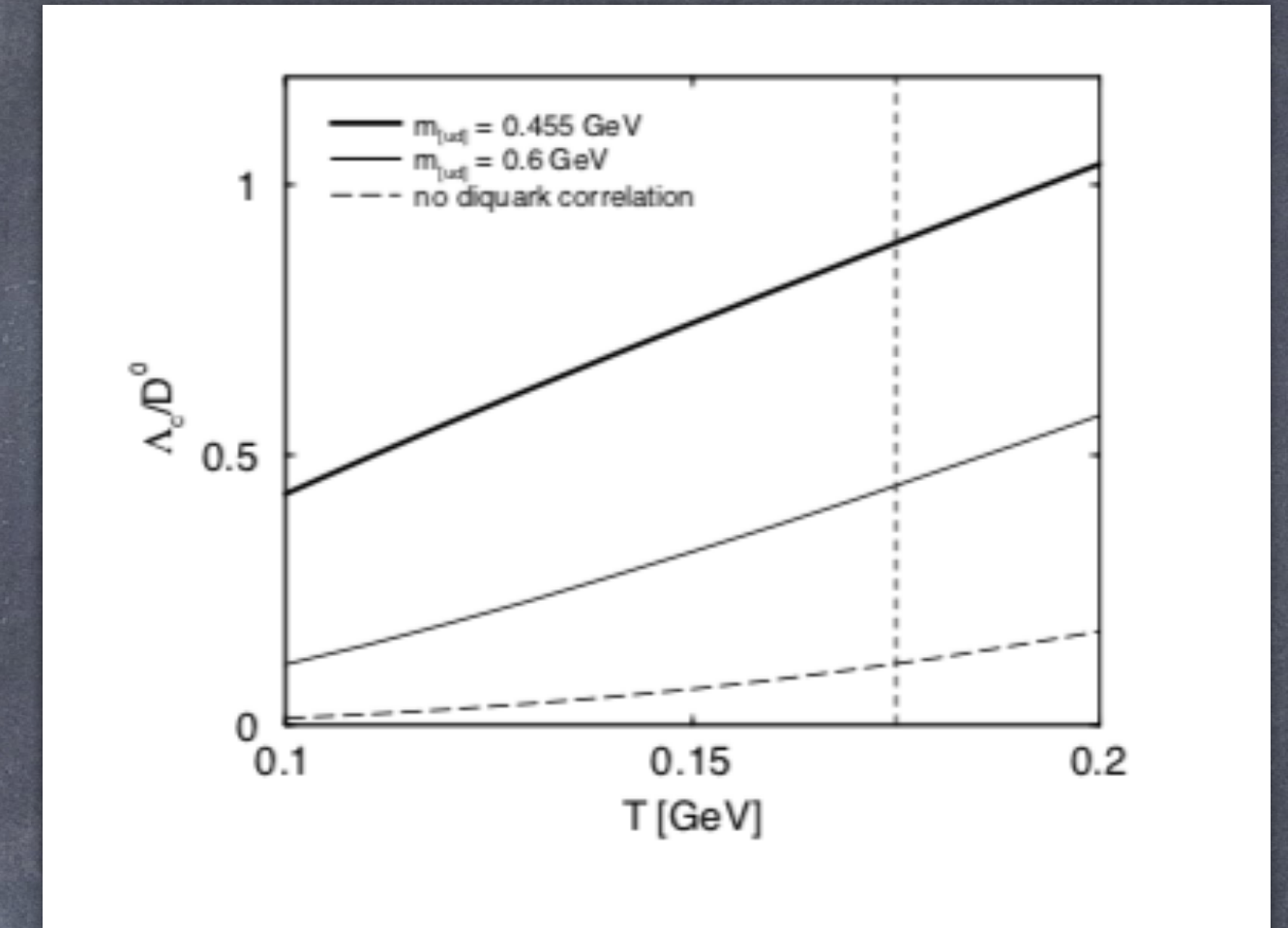
Back up



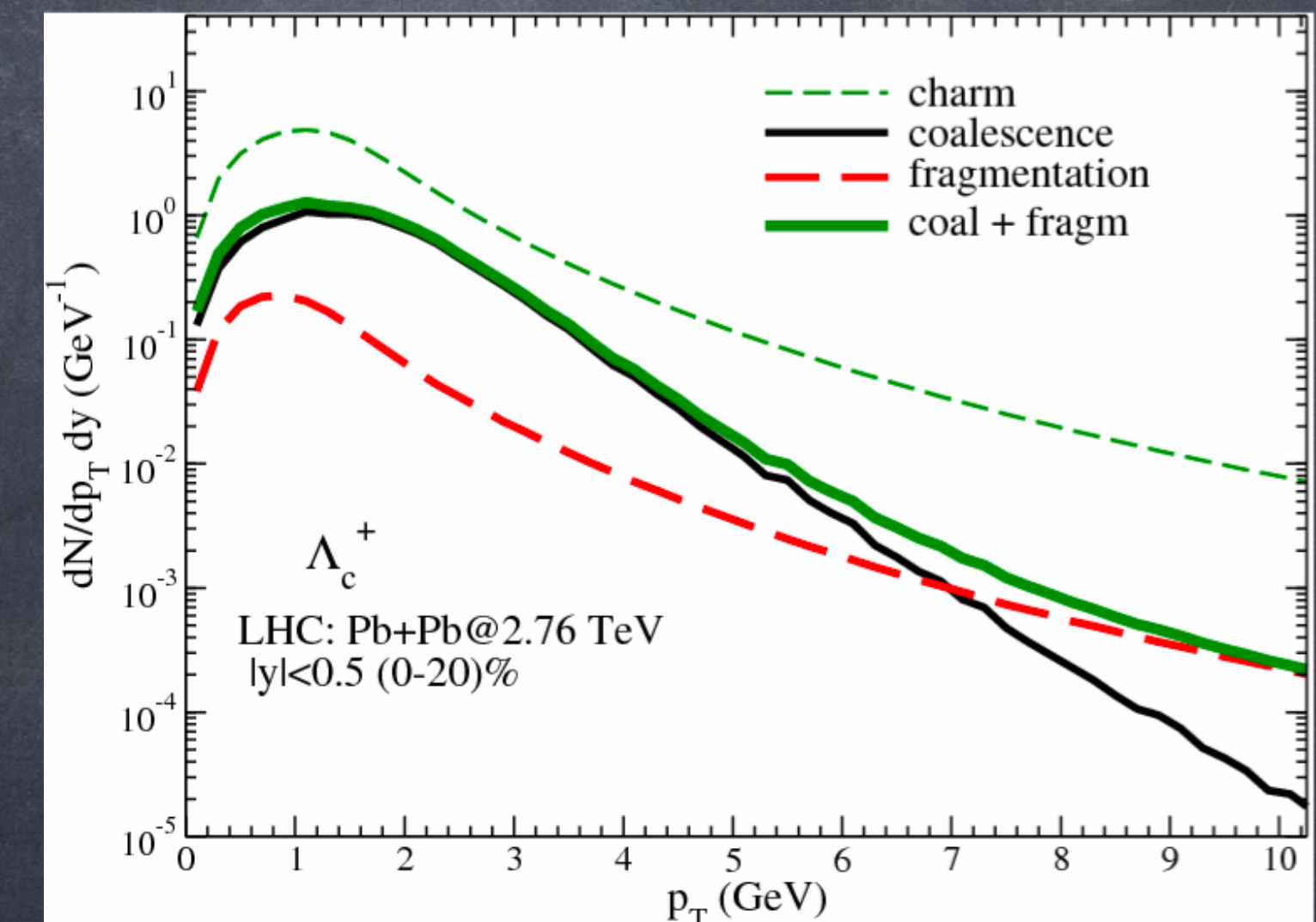
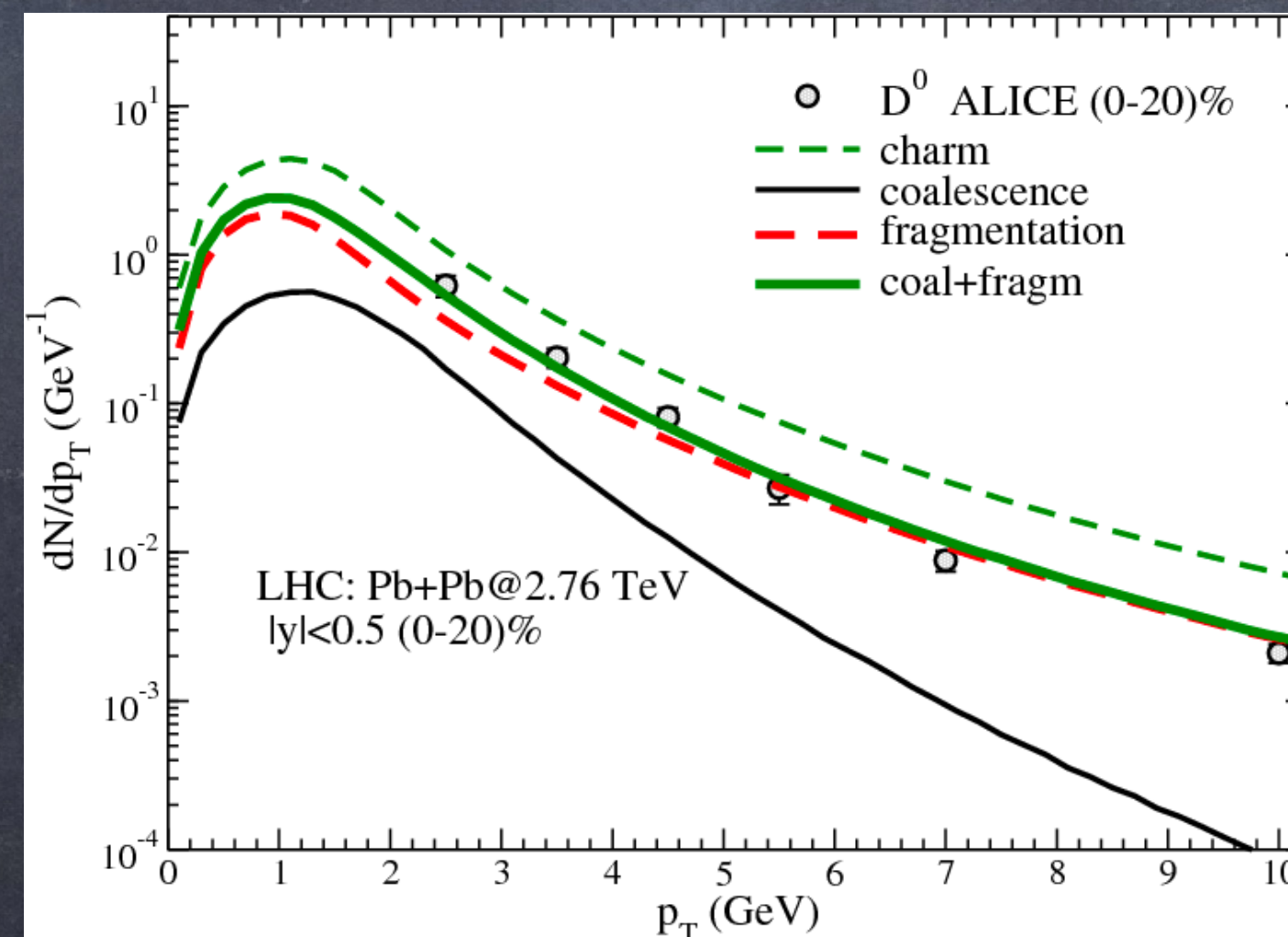
# Motivations: Charm-baryon measurements

- ✓ Enhancement of baryon-to-meson( $\Lambda_c/D^0$ ) ratio is predicted in recombination (or coalescence models)
- ✓ Further enhancement of baryon-to-meson ratio is expected if light di-quark states exist in the QGP
  - ✓ The baryon-to-meson ratio is expected to be enhanced if charm quarks hadronise via recombination with the surrounding light quarks in the QGP.

PRL 100, 222301 (2008)



Eur. Phys. J. C (2018) 78:348





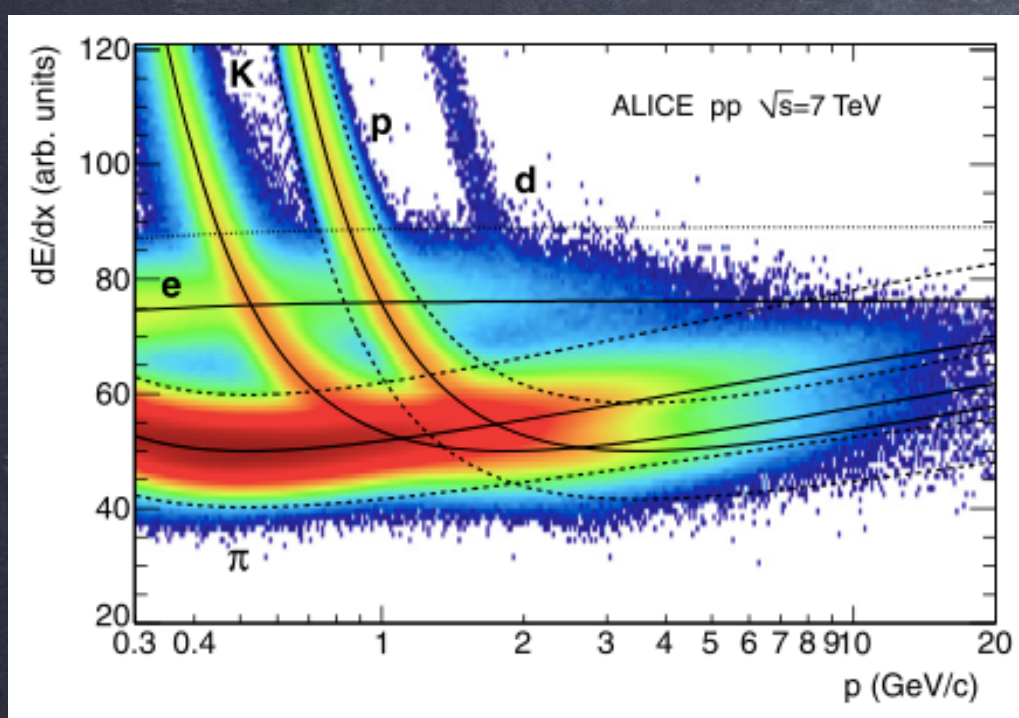
# A Large Ion Collider Experiment

**ITS:** tracking; primary and secondary vertexing;  $|\eta| < 0.9$

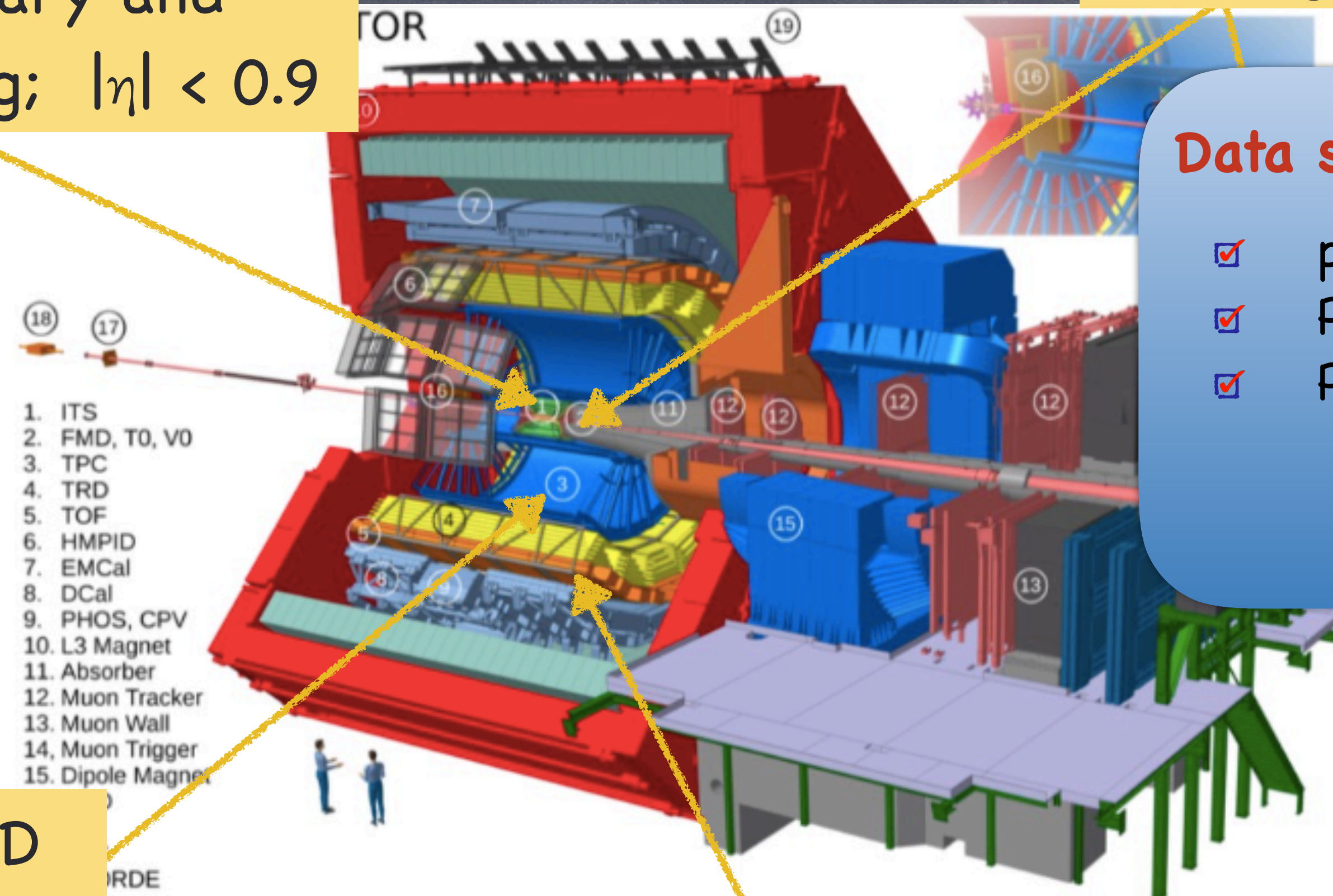
**V0:** trigger and centrality

## Data samples (Run-2):

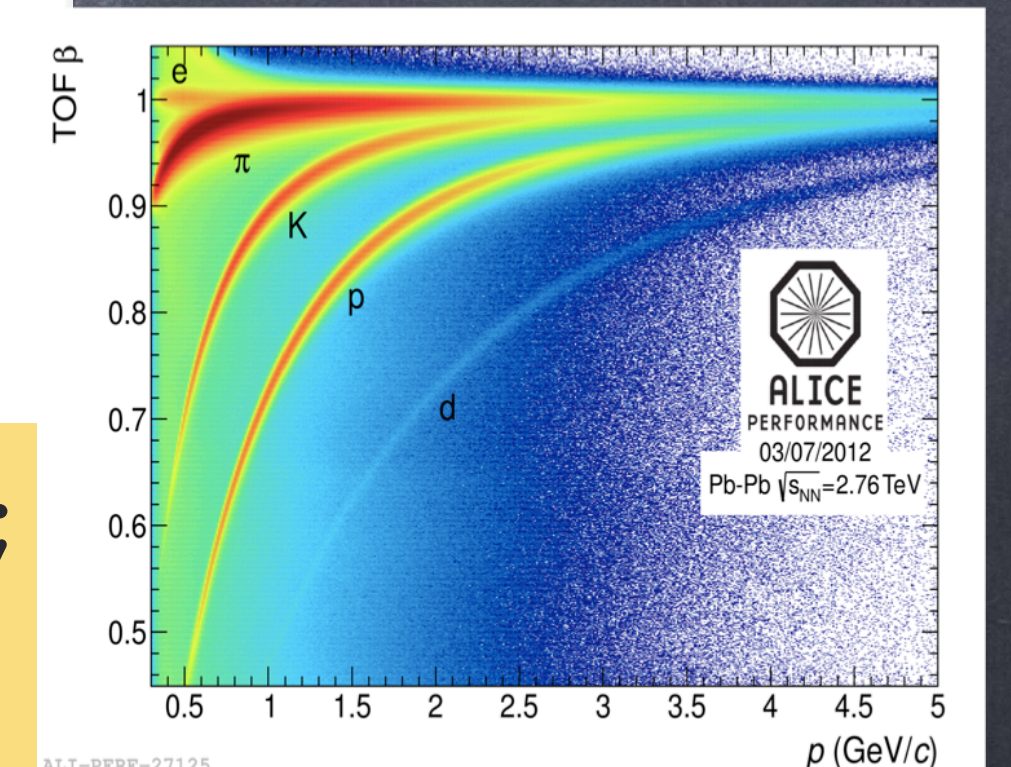
- ✓ pp, 5.02 TeV: 980M MB events.
- ✓ P-Pb, 5.02 TeV: 600M MB events.  
76M 30–50% events  
89M 0–10% events
- ✓ Pb-Pb, 5.02 TeV: 100M MB events.



**TPC:** tracking and PID via  $dE/dx$ ;  $|\eta| < 0.9$



**TOF:** PID(time of flight);  $|\eta| < 0.9$

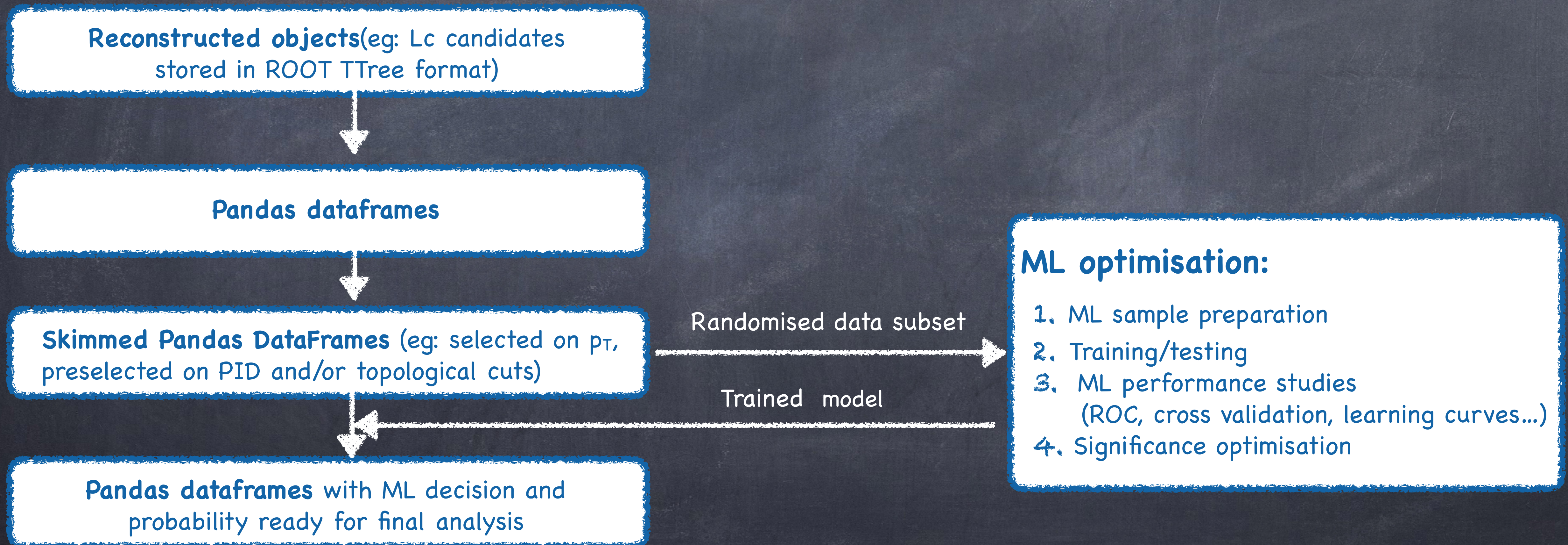


High precision tracking, good vertexing capabilities and excellent particle identification



# MLHEP python-based package

- General purpose Python package for performing parallelised analysis over large datasets and Machine Learning (ML) optimisation with Scikit, Keras, and XGBoost.





# Merging strategy: introduction

► Similar strategy as analyses that were statistically correlated (other Lc analysis: BDT/standard)

- ✓ Treat statistical uncertainties as fully correlated.
- ✓ Weighted average according to the uncorrelated uncertainties (in this case, assumed to just be the yield extraction systematic)
  - Ok assumption given different background shape, cut on response, etc.
- ✓ Corrected yield given weight
  - $1/a^2$  where  $a = \Delta\sigma_{\text{uncor.sys.}}/\sigma$  ( $\sigma = \text{yield}$ )
- ✓ So yield and uncertainties are worked out as follows:

$$\sigma_{\text{averaged}} = \frac{\sum w_i \sigma_i}{\sum w_i}$$

$$\Delta\sigma_{\text{corr}} = \frac{\sum w_i \Delta\sigma_i}{\sum w_i}$$

$$\Delta\sigma_{\text{nucorr}} = \frac{(\sum w_i^2 \Delta\sigma_i^2)^{1/2}}{\sum w_i}$$

- ✓ Note – this averaging does not reduce uncertainties expect for yield extraction uncertainties – but means not 1 analysis is favoured.



# Reconstruction of $\Lambda_c^+$

## ► Invariant mass analysis of the decays

- ✓  $\Lambda_c^+ \rightarrow pK^- \pi^+$  (BR=6.3%)
- ✓  $\Lambda_c^+ \rightarrow pK_s^0 \rightarrow \pi^+ \pi^- p$  (BR=1.1%)

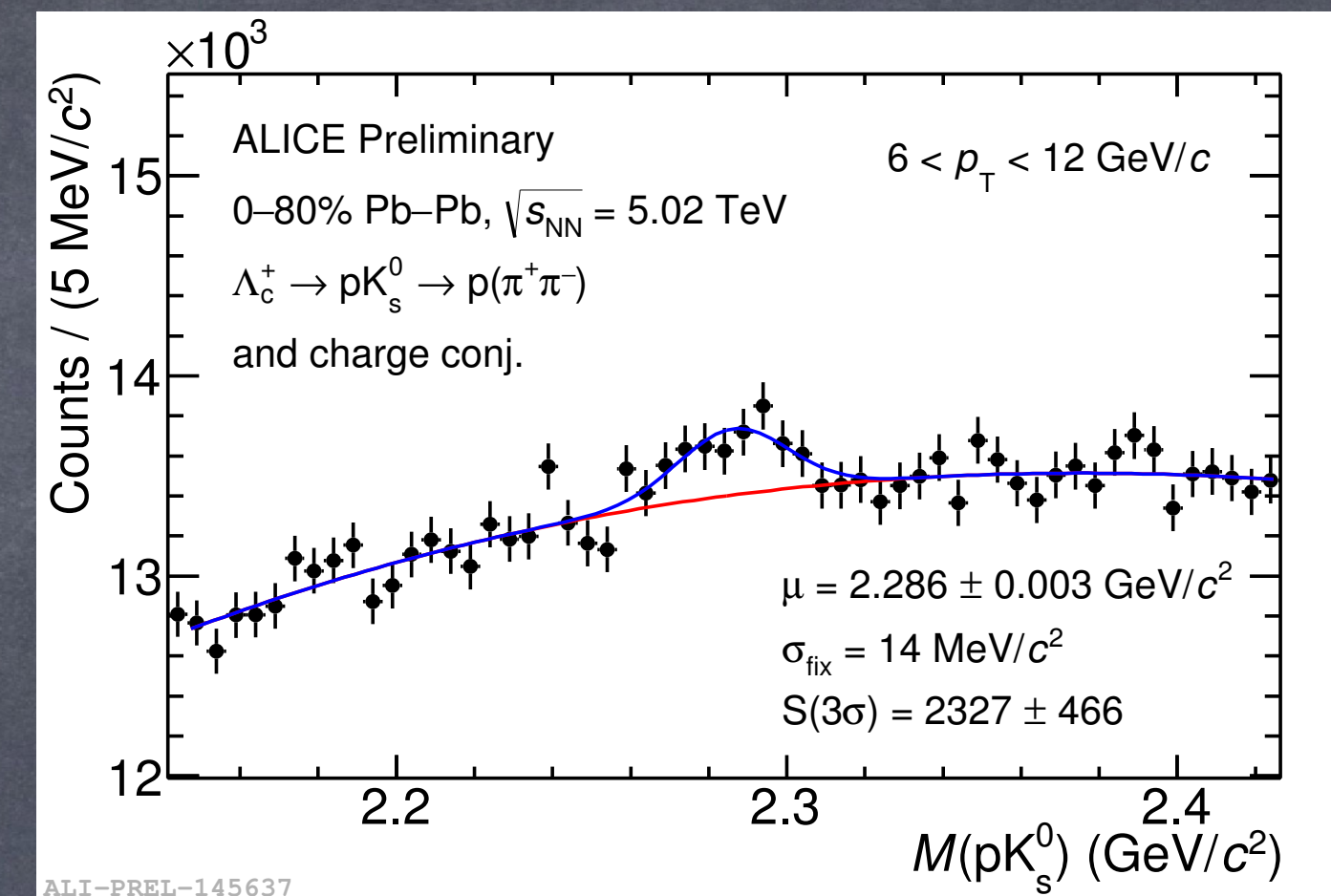
## ► Candidates build combining triplets of tracking reconstructed at Mid-rapidity ( $|\eta| < 0.8$ ) with proper charge.

## ► Reduction of the combinatorial background by:

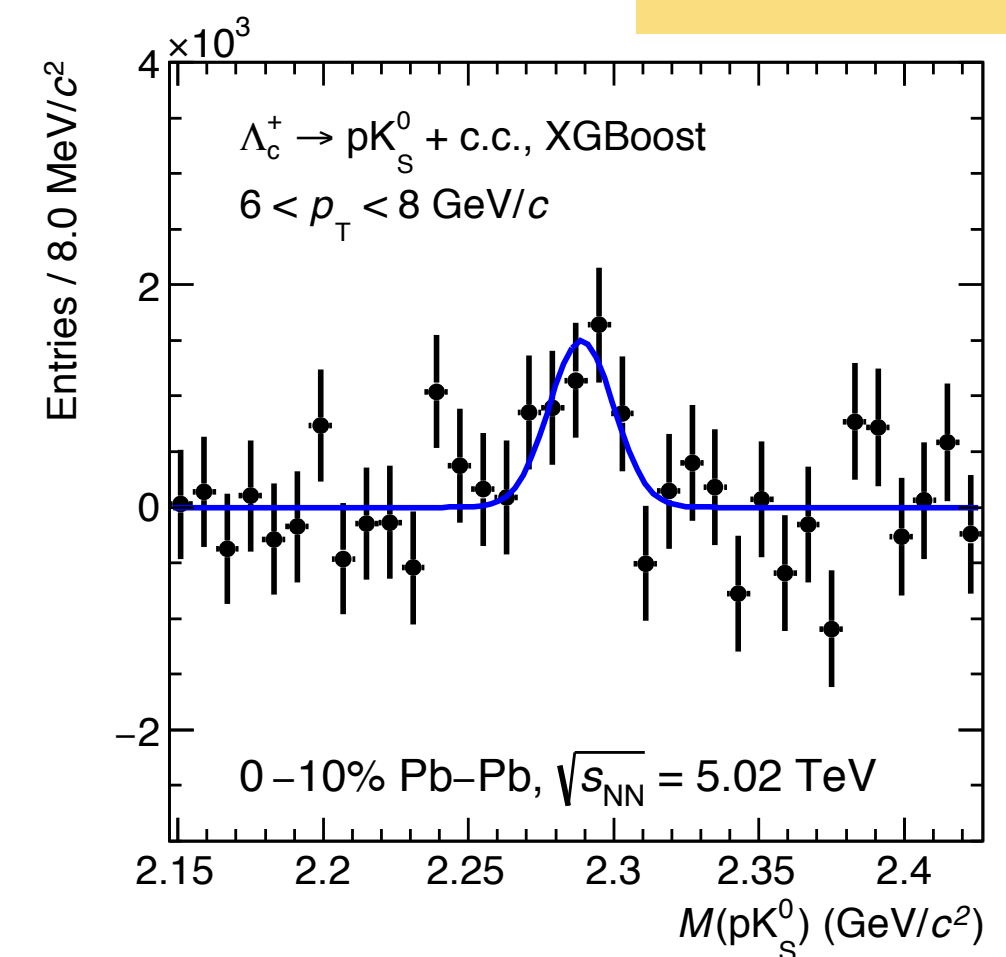
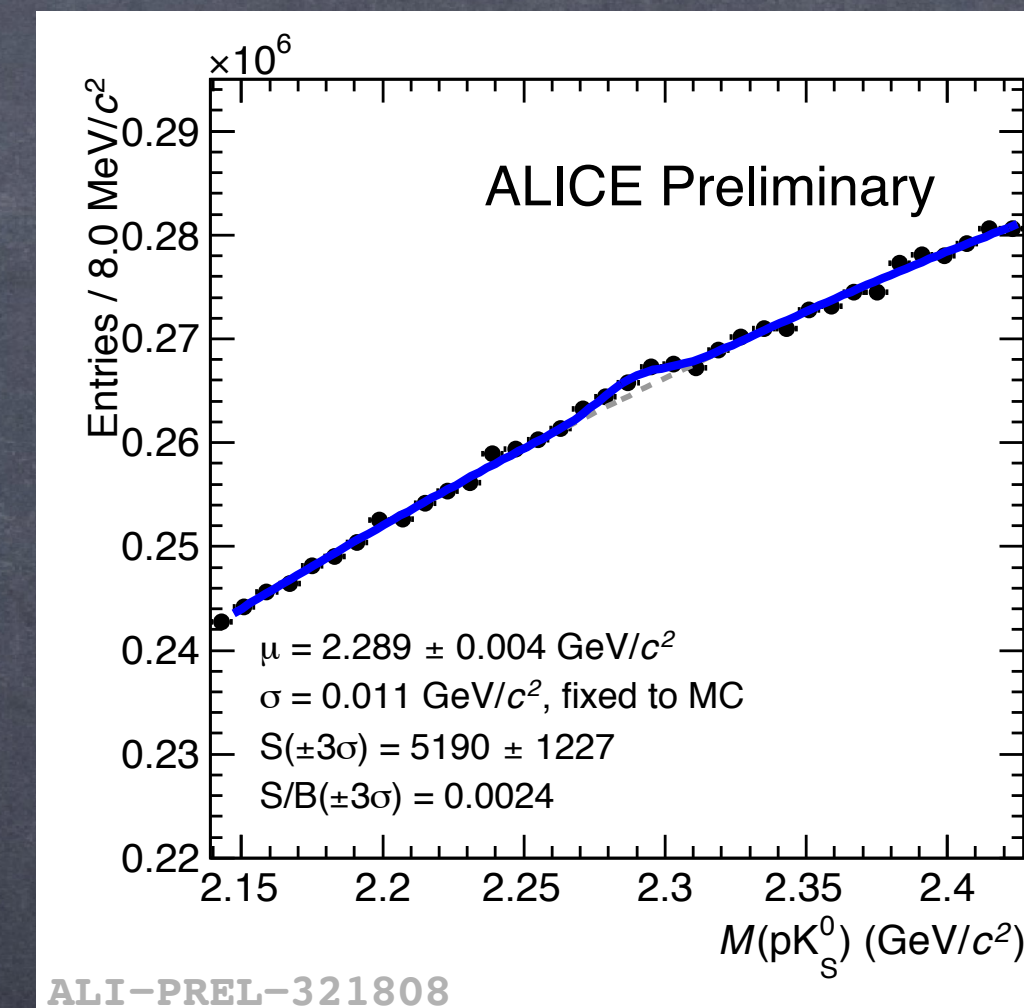
- ✓ Kinematical and geometrical selection of displaced decay-topology ( $c\tau \sim 60 \mu\text{m}$ ).
- ✓ Particle identification of decay tracks.

## ► Corrected for :

- ✓ Selection efficiency using MC simulations.
- ✓ Feed-down subtraction using FONLL predictions.



New



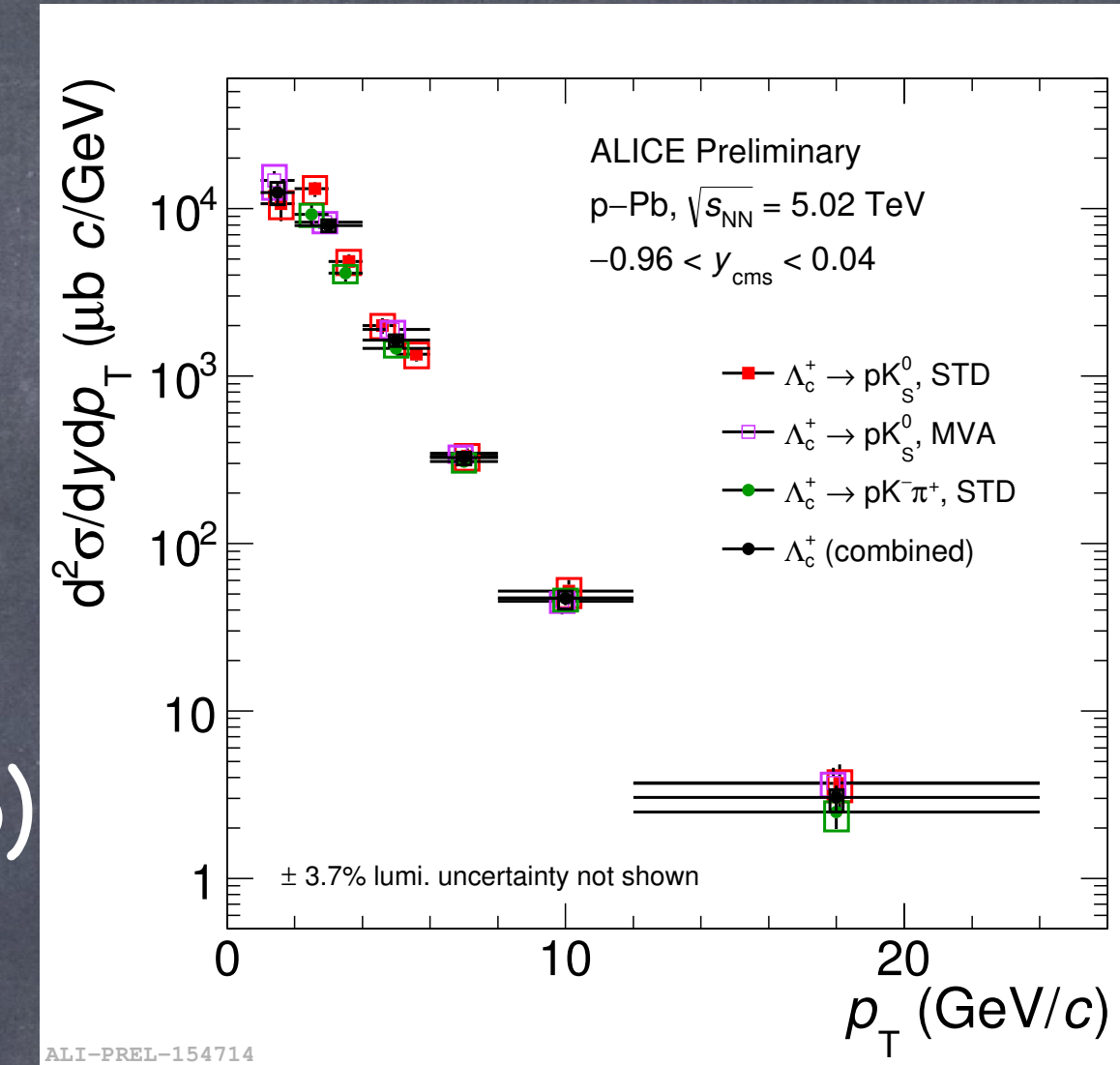
## ► Two analysis methods used :

- ✓ Rectangular topological cuts.
- ✓ Multivariate analysis exploiting **BDT's**

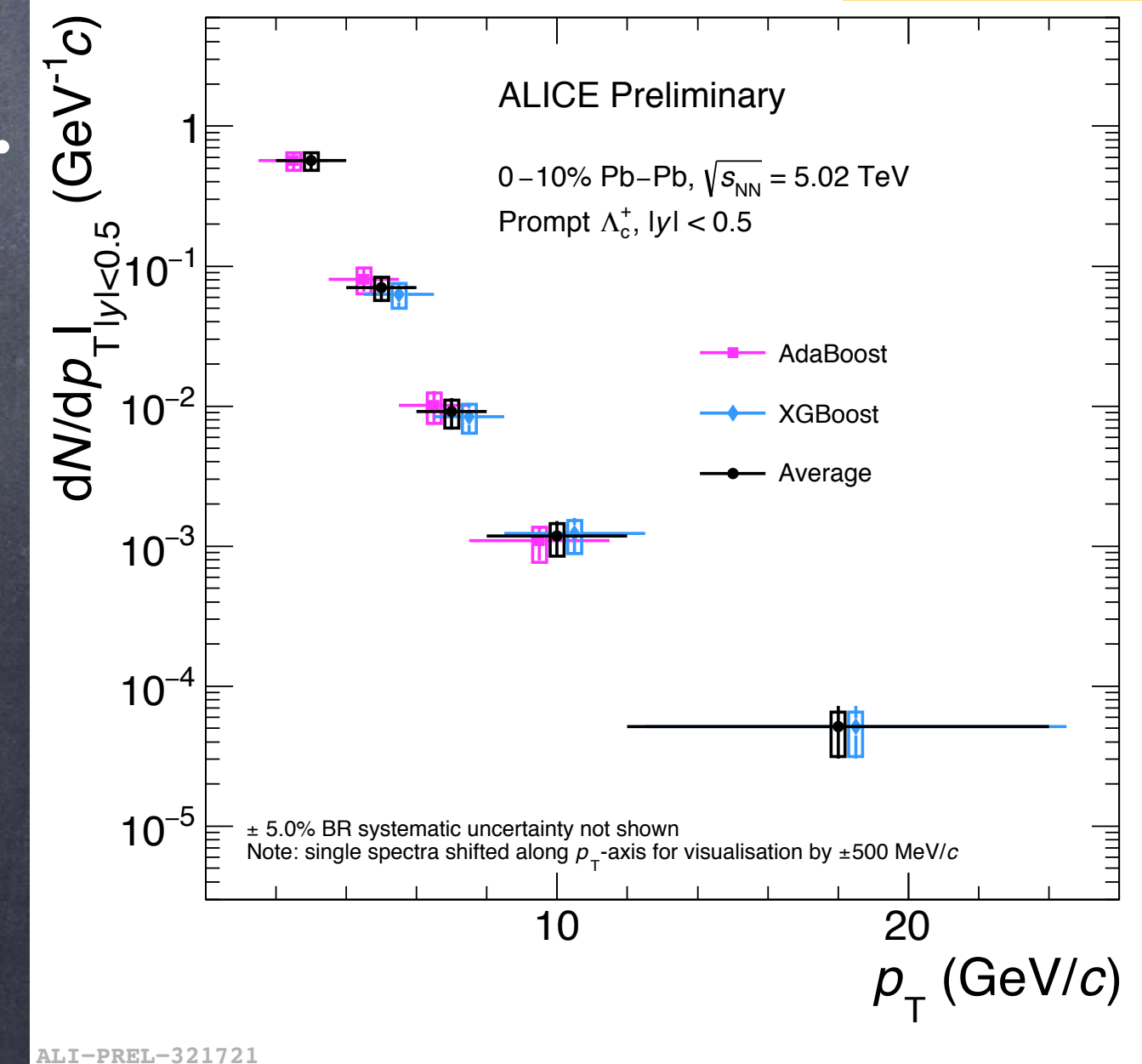


# ML to extract $\Lambda_c^+$ in p-Pb and Pb-Pb

- For the  $\Lambda_c^+$ , different machine-learning algorithms were exploited in p-pb and Pb-Pb analyses.
  - ✓ The TMVA package using AdaBoost.
  - ✓ New developed MLHEP (python-based fast analysis framework) using XGBoost. →(more details in the backup)
- Topological, kinematical and PID training variables.
- Background used for training taken from side-bands in data.
- The invariant mass distribution was obtained after selecting on the ML algorithm response.
- Average results obtained by weighting the different results by the inverse of the sum in quadrature of the relative uncorrelated systematics.



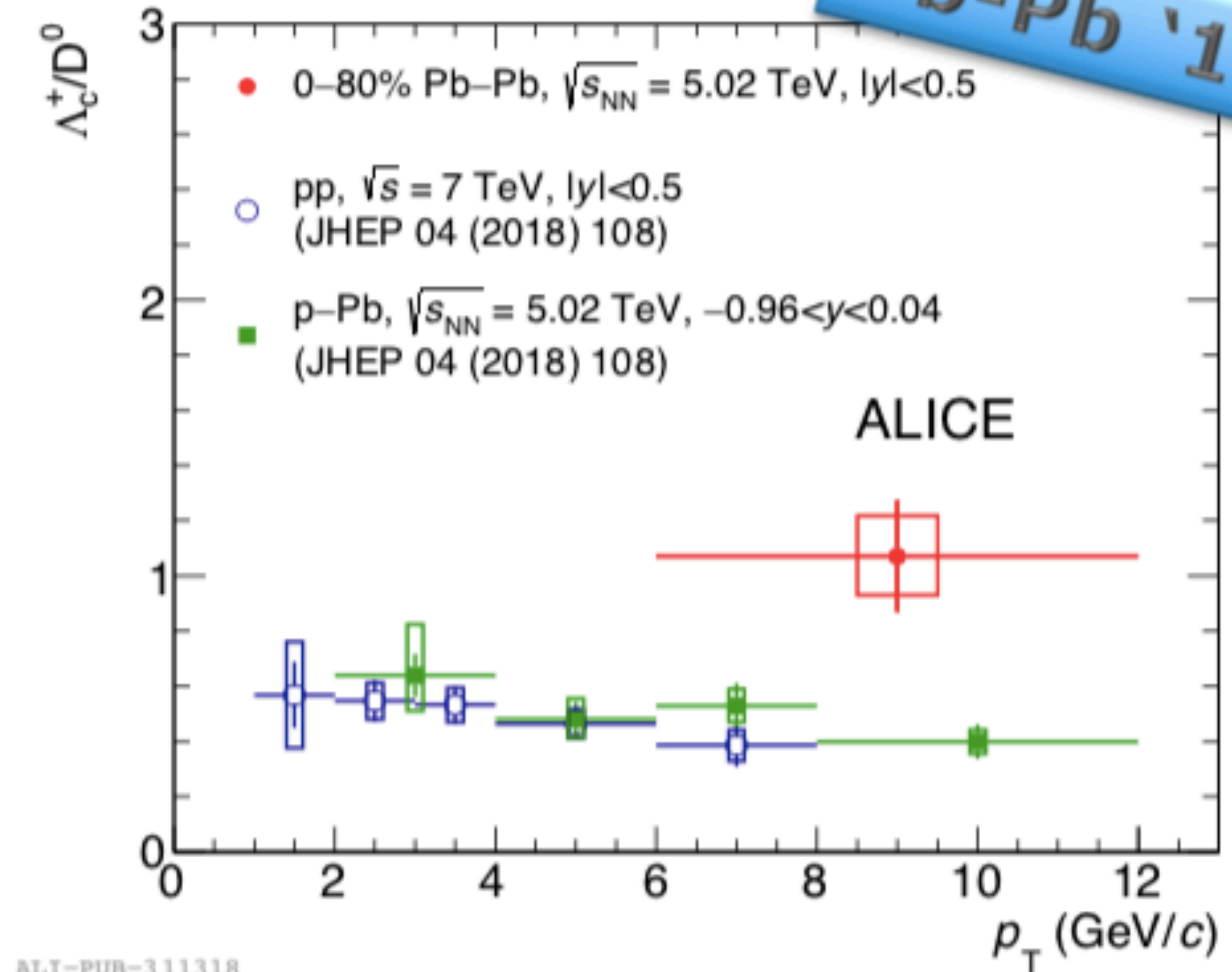
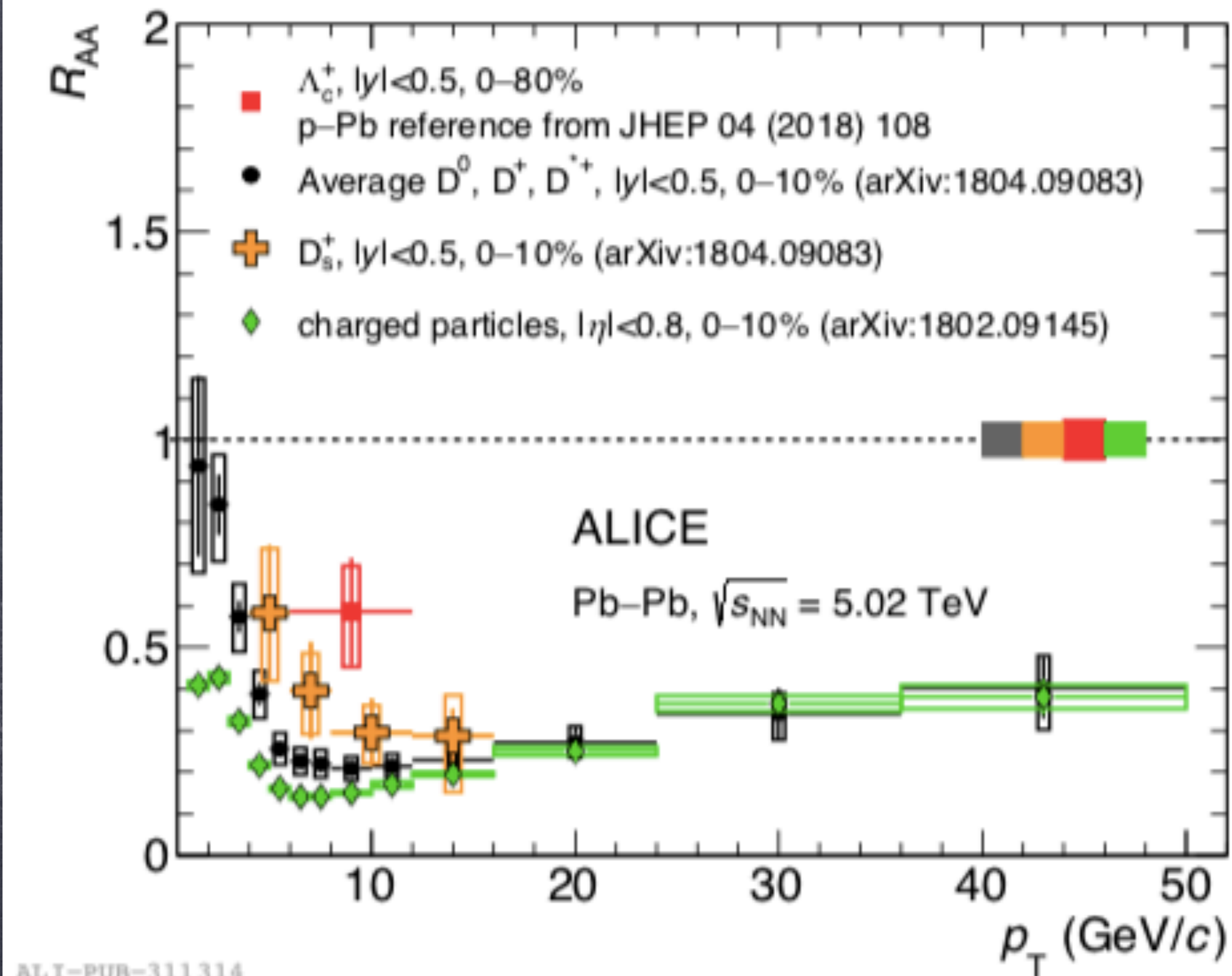
New





## Previous result by ALICE:

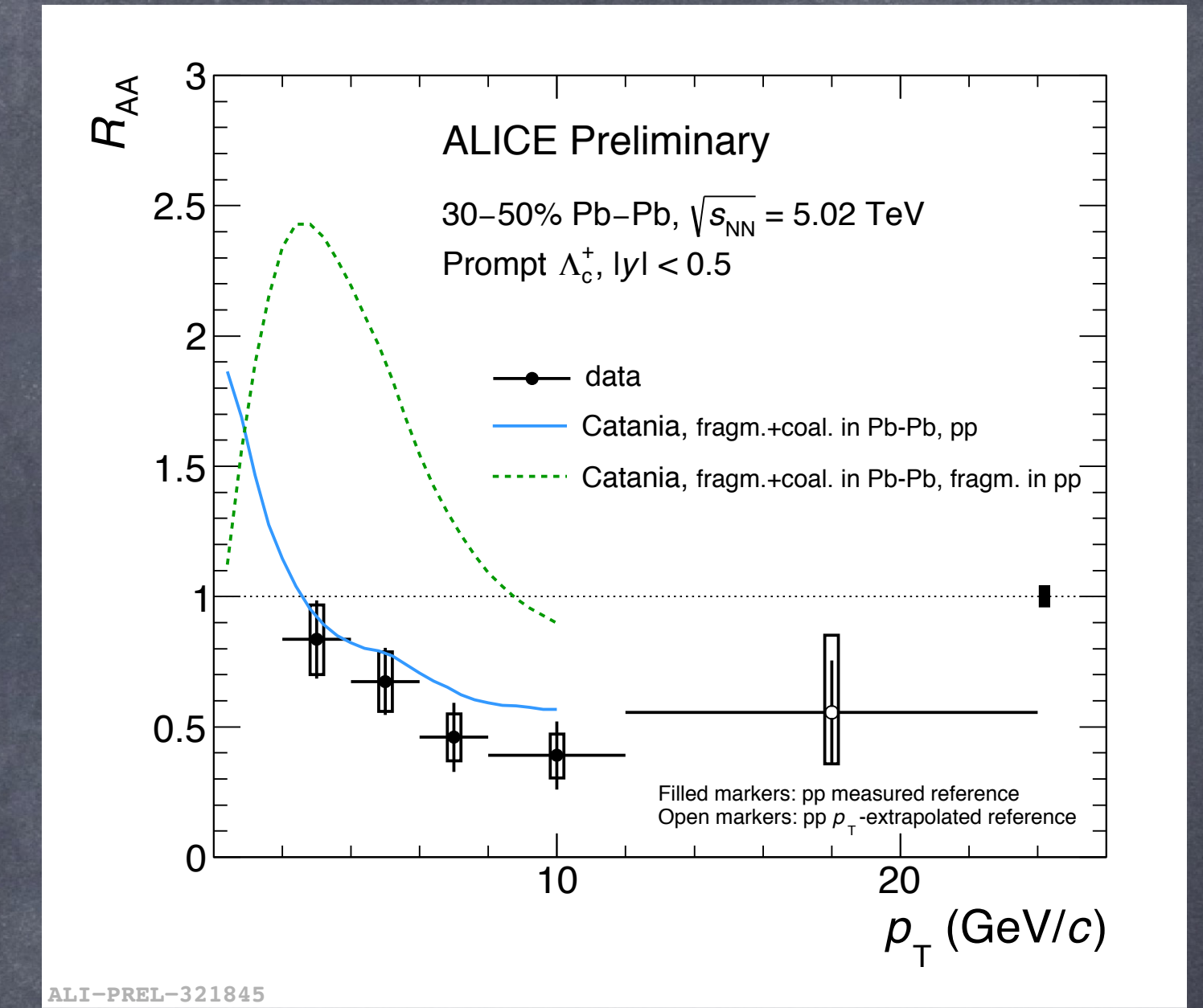
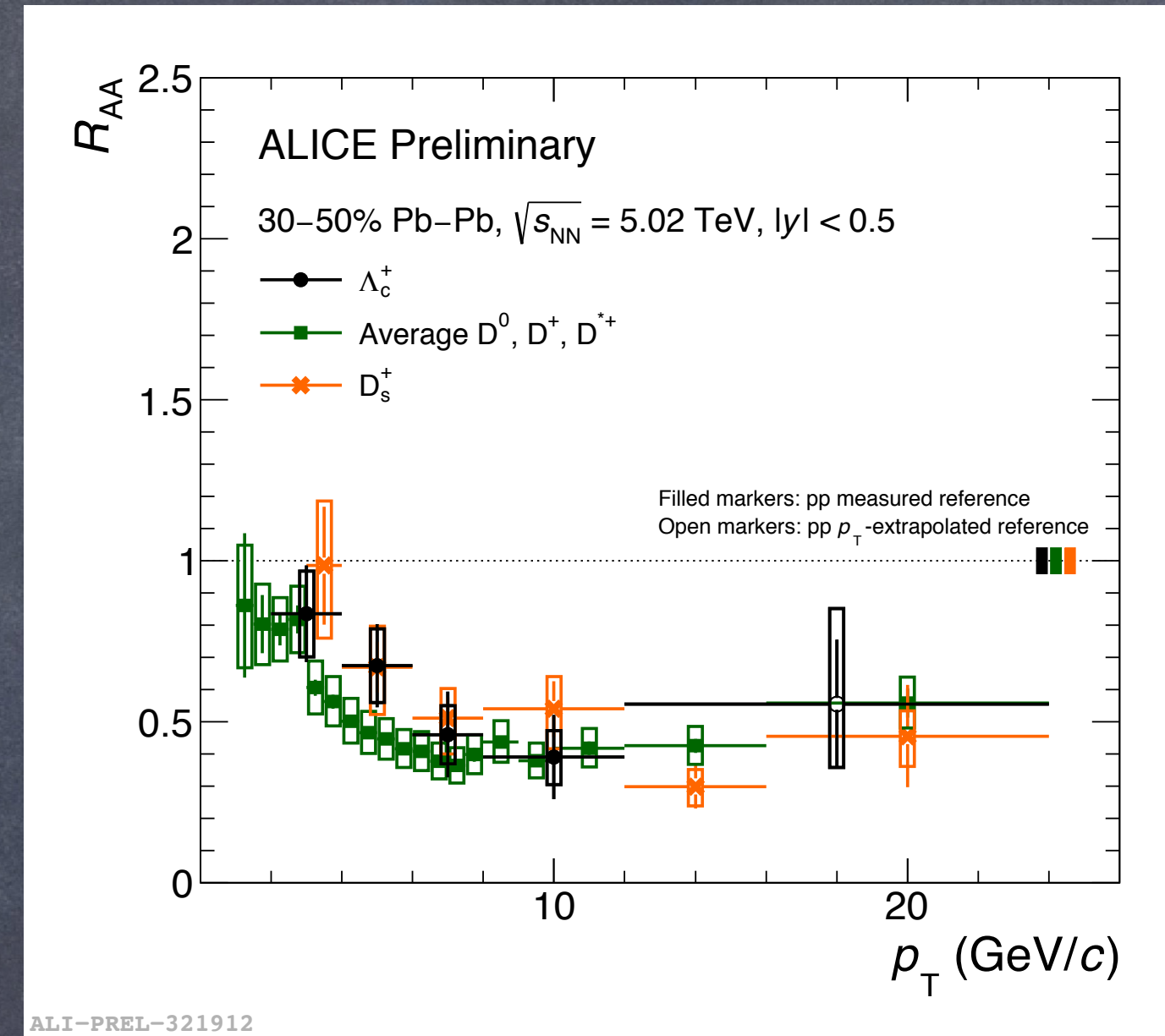
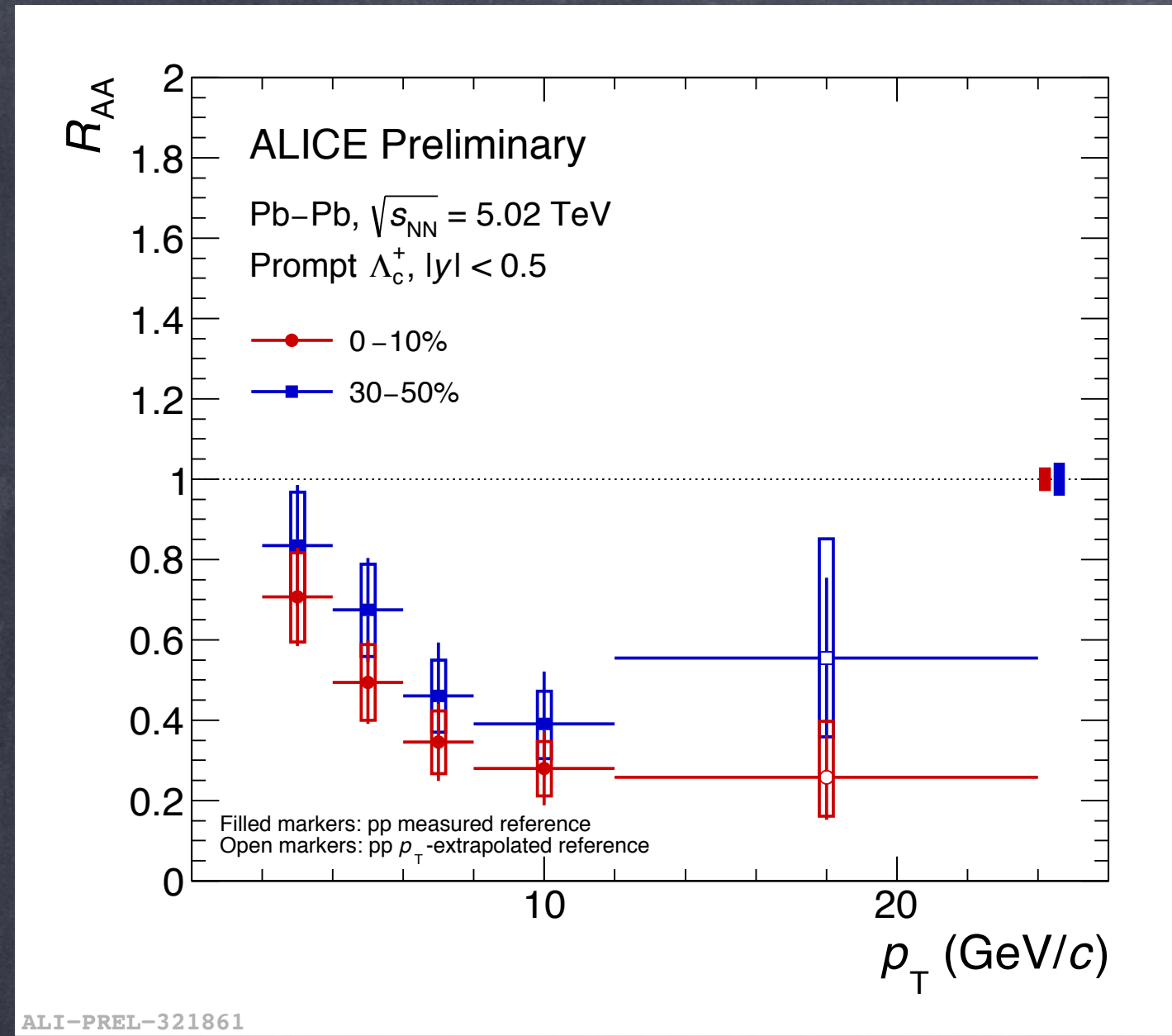
Pb-Pb '15



[3]Phys. Lett. B793 (2019) 212–223



# $\Lambda_c^+$ nuclear modification factor $R_{AA}$ (2018)

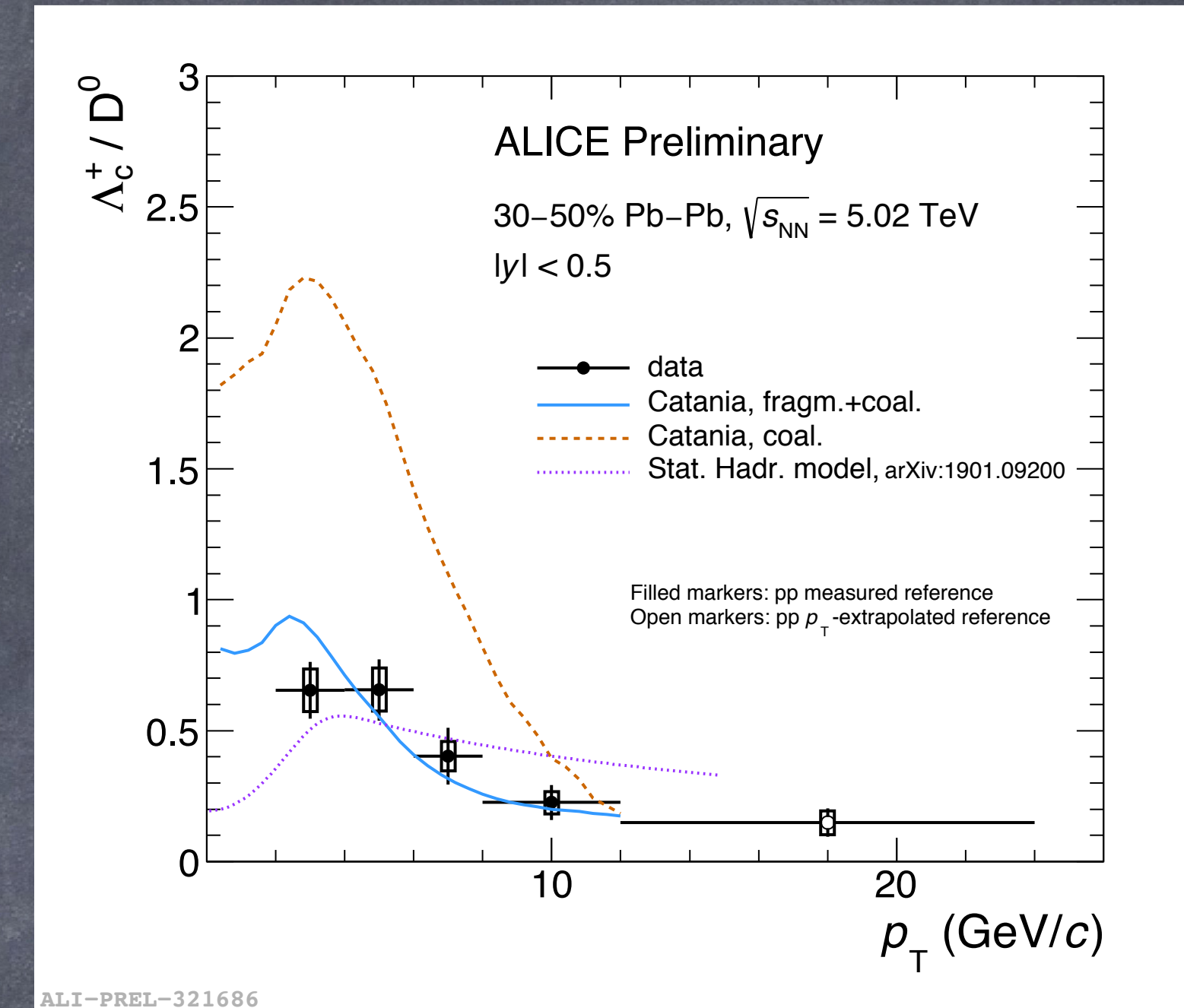
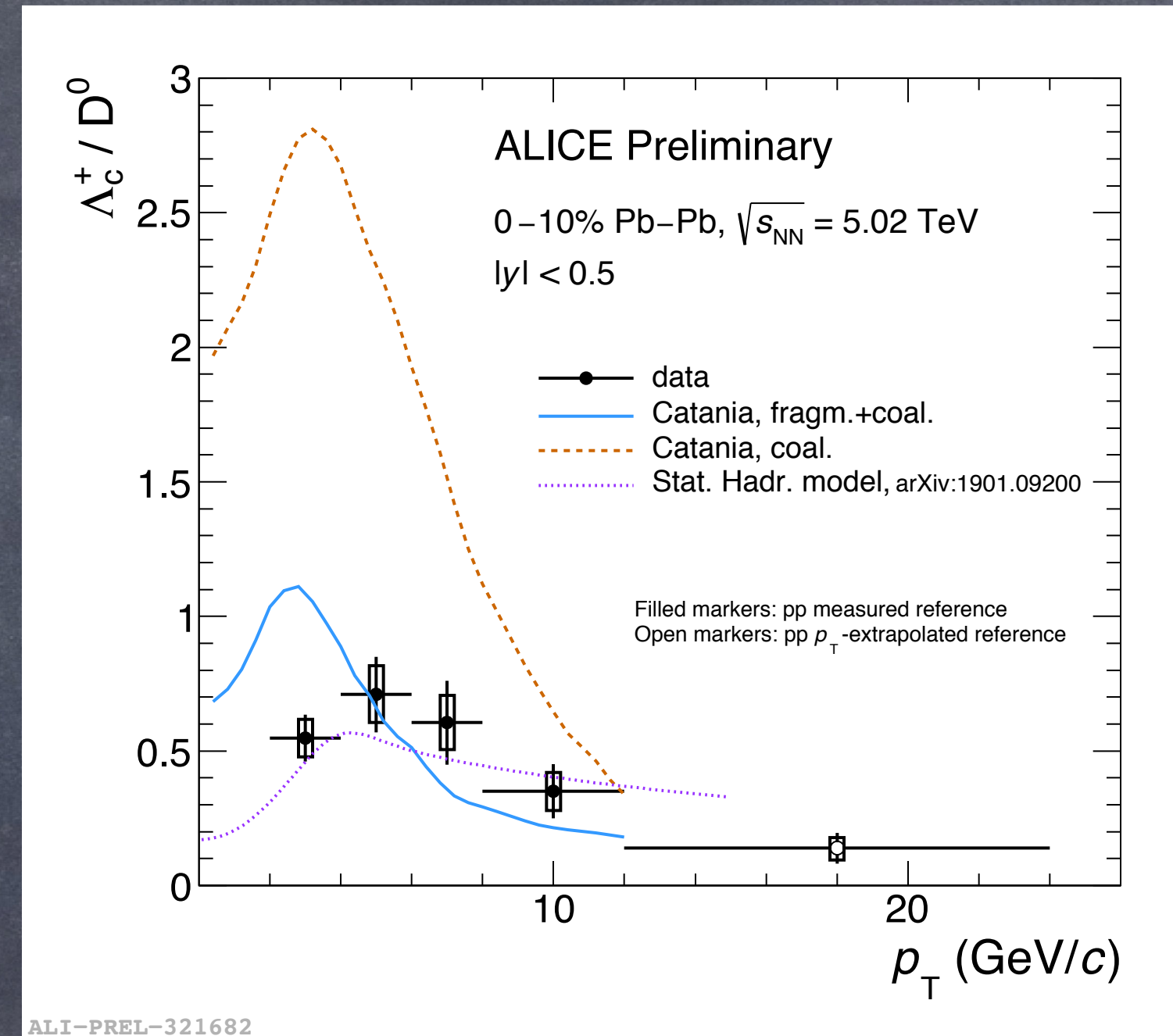
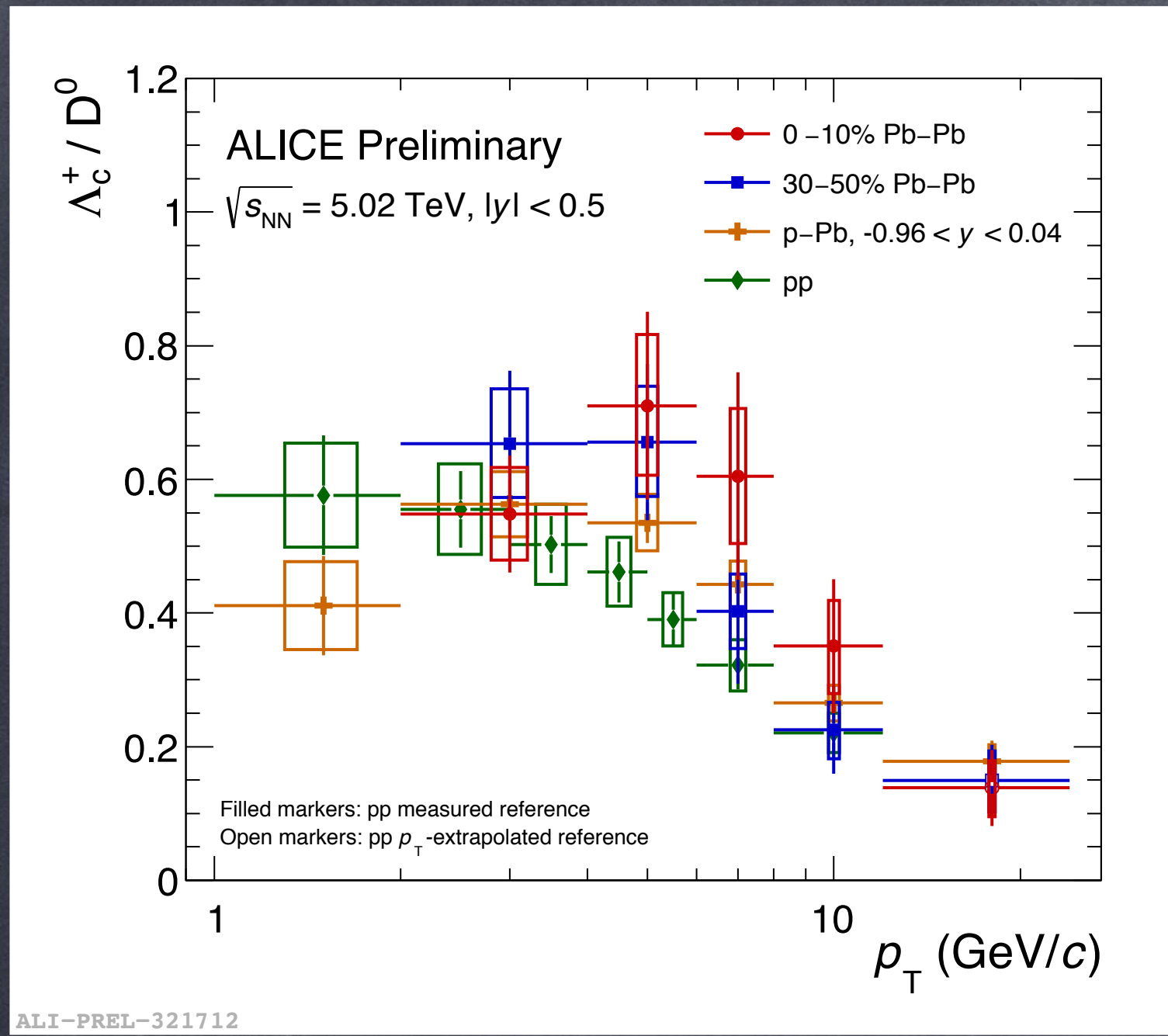


- ✓ Despite the compatibility within uncertainties, hint of larger suppression for central collisions by  $\sim 1.5\times$  up to  $p_T = 12$  GeV/c.
- ✓ Suppression observed for the  $\Lambda_c^+$  baryon in Pb–Pb collisions.
- ✓ Comparison to theory favours[4] a scenario where both fragmentation and recombination are present in Pb–Pb and pp collisions, for both centrality ranges.

[4]Catania: Eur. Phys. J. C (2018) 78: 348



# Baryon-to-meson ratio: $\Lambda_c^+ / D^0$ (2018)



- ✓ Hint to a higher  $\Lambda_c^+ / D^0$  ratio in Pb-Pb (0-10% and 30%-50%) collisions w.r.t. pp collisions.
- ✓ Same behaviour w.r.t. p-Pb collisions
- ✓ More precision needed to investigate a pp  $\rightarrow$  p-Pb  $\rightarrow$  Pb-Pb trend.
- ✓ Comparison to Catania theory favours[4] a scenario where both fragmentation and recombination are present, for both centrality ranges.
- ✓ Good agreement with statistical hadronization model[5].

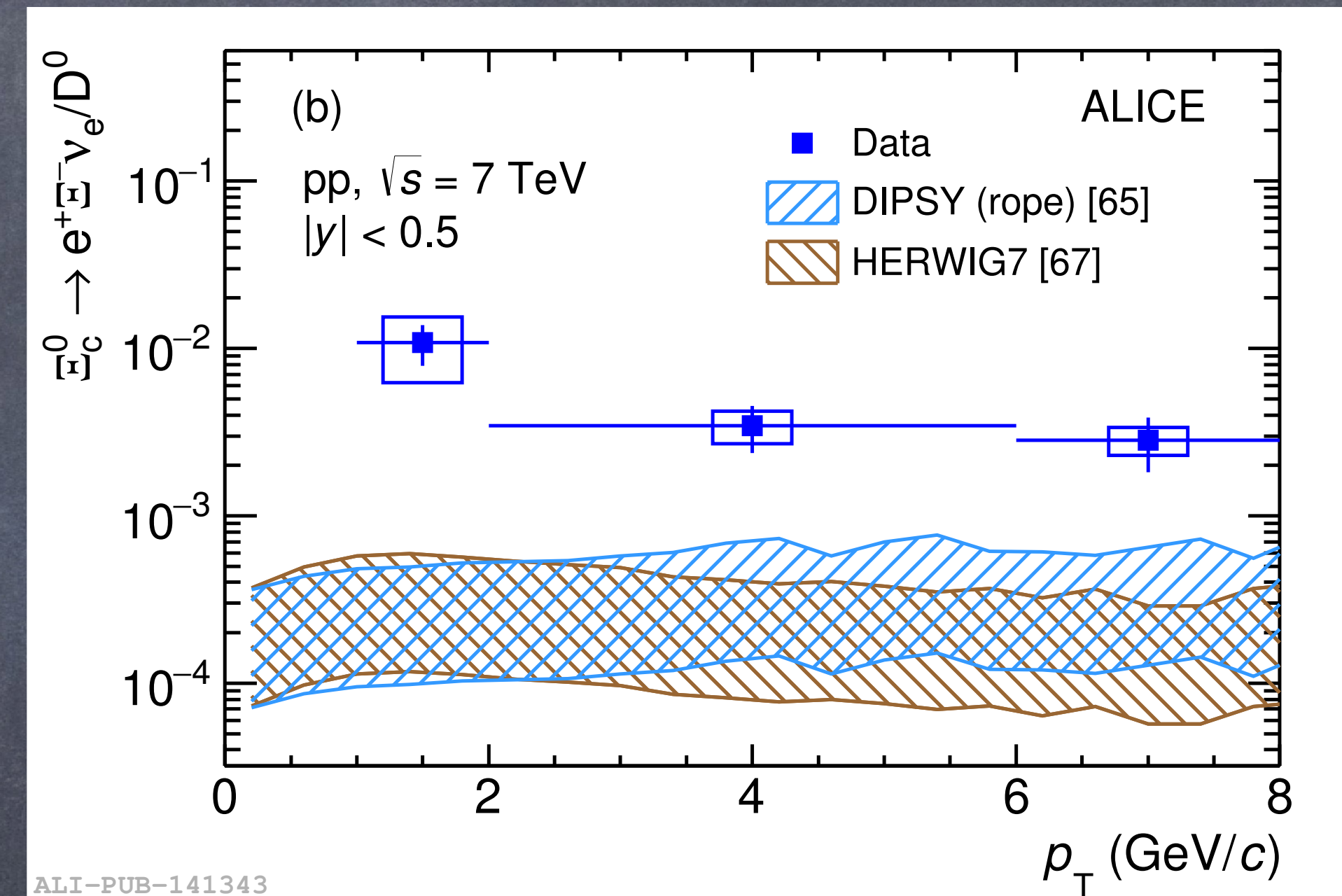
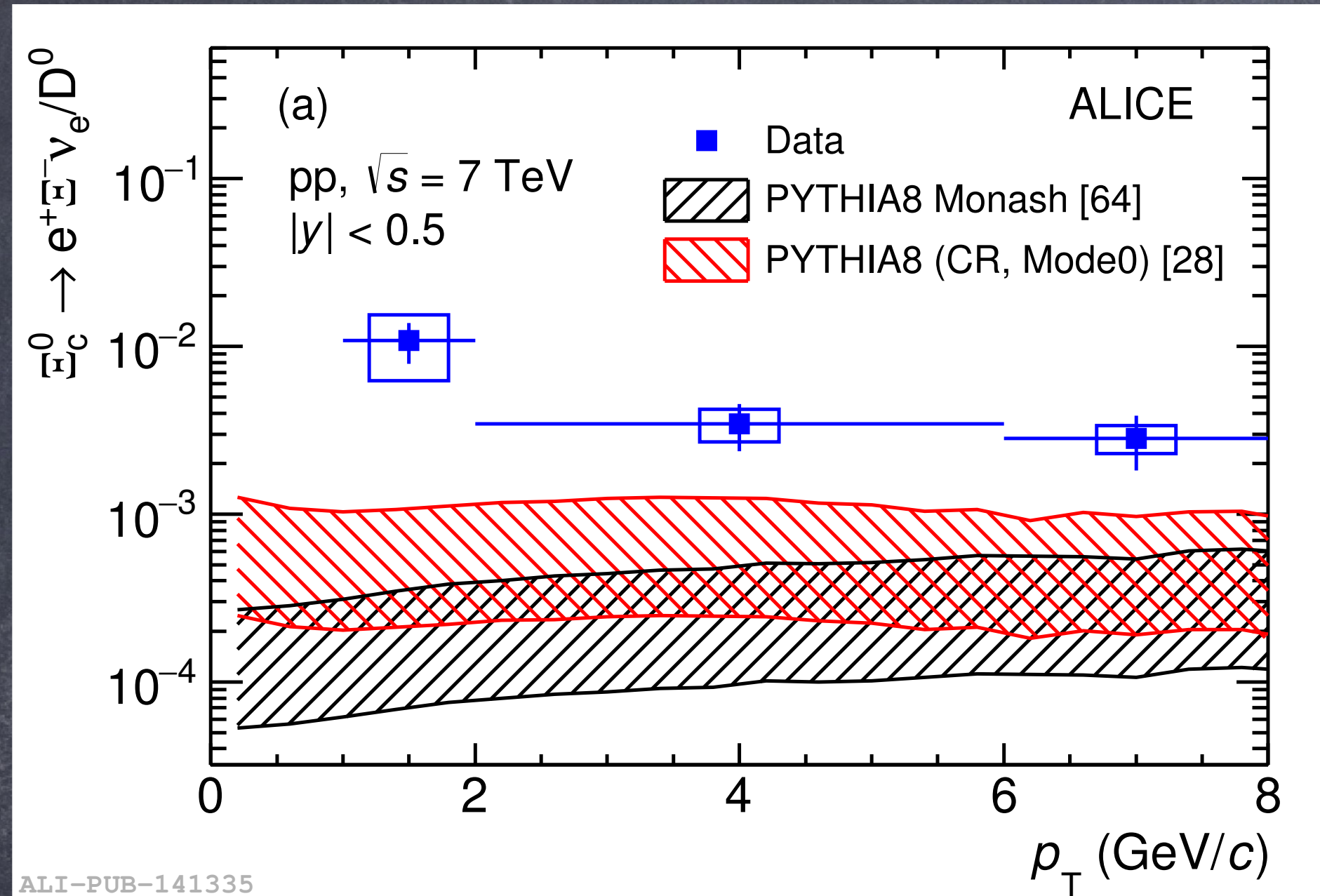
[4]Catania: Eur. Phys. J. C (2018) 78: 348

[5]SHM: arXiv: 1901.09200



# Results on $\Xi_c^0$

- First measurement of  $\Xi_c^0$  production in pp collisions at  $\sqrt{s_{NN}} = 7$  TeV [6]



✓  $\Xi_c^0 \rightarrow e^+ \Xi^- \nu$  ( $\Xi^- \rightarrow \pi^- \Lambda$ ) BR currently unknown, and can not measure neutrino.

✓ Event generators PYTHIA8[7][8], DIPSY[9] and HERWIG7[10] underestimate data.

[6] Phys. Lett. B 781 (2018) 8-19

[7] PYTHIA8 CR: JHEP 08 (2015) 003

[8] PYTHIA8: Eur. Phys. J. C (2014) 74:3024

[9] DIPSY: JHEP 08 (2011) 103

[10] HERWIG7: Eur. Phys. J. C 58 (2008) 639-707