Single-spin asymmetry at two loops

Hsiang-nan Li Presented at Peking U Nov. 10, 2019 In collaboration with S. Benic, Y. Hatta, D. Yang 1909.10684 (to appear in PRD) 巴斯 Sun, 2 Mar 2003 20:27:04 +0800 分 寄件者: "Kuang-Ta Chao" <ktchao@th.phy.pku.edu.cn> ♀ ♀ ► 完全表頭 收件者: "Hsiang-nan Li" <hnli@phys.sinica.edu.tw> 副本: <hexg@phys.ntu.edu.tw>, <wyhwang@phys.ntu.edu.tw> 戶言: IRsiaNg flaff,

Thank you, Pauchy, and Xiao-Gang very much again for your kind invitation.

白期: Tue, 8 Mar 2005 11:48:00 +0800 ₪ 寄件者: "ktchao" <ktchao@th.phy.pku.edu.cn> ♀ ♀ ↓ 收件者: Hsiang-nan Li <hnli@phys.sinica.edu.tw> 主旨: Re: a question ♀ Dear Hsiang-nan,

完全表頭

Nice to hear from you.

The meaning of "n" is different in PDG and conventional quantum mechanics.

In PDG, all states having radial wave functions without any nodes are called n=1 states (1S for J/psi; 1P for chi_{cJ} (J=0,1,2); 1D for psi"(3770) (^3D_1),...). The three n=1 chi_{cJ} (J=0,1,2) states have the same P-wave (L=1) radial wave functions in the nonrelativistic limit, and by the spin (S=1)-orbit (L=1) coupling one gets three states with J=0,1,2.

Single transverse spin asymmetry (SSA)

 Consider a transversely polarized proton scatter off an unpolarized proton or electron



Mechanism

• There exists correlation proportional to

$$\varepsilon_{\mu\nu\rho\lambda}S^{\mu}_{T}p^{\nu}_{hT}\cdots$$

- To generate such term in Feynman diagram, need $tr[\gamma_5 S_T p_{hT} \cdots] = i \varepsilon_{\mu\nu\rho\lambda} S_T^{\mu} p_{hT}^{\nu} \cdots$
- Projector for polarized proton $(p+m)\gamma_5 S_T$
- Projector for produced hadron $p_h + m_h$
- But need strong phase to make cross section real

Where is phase?

• Phase comes from on-shell internal particles

$$\frac{1}{k^2 + i\varepsilon} = \frac{P}{k^2} - i\pi\delta(k^2)$$

- Need time-like final states with FSI
- No phase at LO and one loop



Phase at two loops

- Need two final-state particles with one gluon exchange (FSI) between them
- Nonvanishing phase appears at two loops, and comes from box diagram



Brodsky, Hwang, Schmidt 2002



Collinear to initial state

 Picking up plus signs, ie., (l1=+,l2=+), gluons collimate to polarized proton

$$\begin{split} l_{1,2}^+ &\sim O(p_2^+) >> l_{1T,2T} >> l_{1,2}^- \\ p_1 - l_2 &\approx p_1^+ - p_2^+ &\longleftarrow & \text{collinear} \\ p_2 - l_1 &\approx p_2 - l_2 \approx p_2^- \end{split}$$

- Phase goes into Sivers function
- FSI gluon is soft

Sivers function

Sivers 1990

 Eikonalize outgoing quark and insert Fierz identity $(\gamma^{-})_{ik}$ $I_{ij}I_{lk} = \frac{1}{4}I_{ik}I_{lj} + \frac{1}{4}(\gamma^{\alpha})_{ik}(\gamma_{\alpha})_{lj}$ $+\frac{1}{4}(\gamma^5\gamma^{\alpha})_{ik}(\gamma_{\alpha}\gamma^5)_{lj}+\frac{1}{4}(\gamma^5)_{ik}(\gamma^5)_{lj}$ $(\gamma^+)_{l\,i}$ $+\frac{1}{8}(\gamma^5\sigma^{\alpha\beta})_{ik}(\sigma_{\alpha\beta}\gamma^5)_{lj}$ give dominant pick up $p = p^+ \gamma^-$ (twist-2) contribution

Parton transverse momentum

• Sivers function demands inclusion of parton transverse momentum



• This correlation determines preferred direction of k_T for polarized proton , which then propagates into p_h

Spin-transverse-momentum correlation $f_{q/p\uparrow}(x,k_T,\overrightarrow{S_T}) = f_{q/p}(x,k_T) - \frac{1}{M} f_{1T}^{\perp q}(x,k_T) \overrightarrow{S_T} \cdot (\hat{p}_h \times k_T)$ Unpolarized proton Transversely-polarized proton u PDF u Sivers 1.0 1.0 0.5 0.5 ky 0.0 ky 0.0 0.5 0.5 1.0 1.0 0.5 0.5 0.0 1.0 0.5 0.0 1.0 1.0 0.5 1.0 k_x k_x

produced hadron tends to move to right

Collinear to final state

 Picking up minus signs, ie., (-,-), gluons collimate to produced hadron

$$l_{1,2}^{-} \sim O(p_{2}^{-}) >> l_{1T,2T} >> l_{1,2}^{+}$$
 collinear
 $p_{2} - l_{1} \sim O(p_{2}^{-}), \quad p_{2} - l_{2} \sim O(p_{2}^{-}) <$

• Phase goes into Collins fragmentation function

Collins 1993

Collins function

- Eikonalize incoming quark and insert Fierz identity
- $\gamma_5 \sigma^{-\gamma}$ dominates
- Collins function demands inclusion of parton k_T
- LO hard kernel demands projector for initial state











Mechanism

- Transversity distribution for polarized proton determines preferred direction of quark spin
- This polarized quark scattered into final state
- Collins function then determines direction of polarized quark (produced hadron) preferred by correlation
- Without preferred direction of quark spin from initial state, Collins function cannot work



Factorization of transverse gluon

- As soft gluon carries transverse polarization, outgoing quark line cannot be eikonalized
- Collinear divergence in (+,+) combination goes into three-parton TMD, whose collinear version is Efremov-Teryaev-Qiu-Sterman (ETQS) function Efremov, Teryaev 1982; Qiu, Sterman, 1991
- Similar construction for three-parton fragmentation functions

 $\frac{\gamma}{(p+m)\gamma_5 S_T}$

Kang, Yuan, Zhou, 2010

Twist-2 TMDs

 $\Phi^{[\gamma^+]} = f_1 - \frac{\epsilon_T^{\rho\sigma} p_{T\rho} S_{T\sigma}}{M} (f_{1T}^{\perp}),$ $\Phi^{[\gamma^+\gamma_5]} = S_L g_{1L} - \frac{p_T \cdot S_T}{M} g_{1T},$ Sivers function in the case of FFs, Boer, Mulders 1997 Goeke, Meta, Schlegel 2005 it is Collins function

Bacchetta et al., 2007

Phase in hard kernel

- For other sign combinations, or arbitrary transverse momenta
- phase appears in hard kernel

- How to extract this phase?
- Use $\gamma_5 \gamma^{\perp}$
- A new contribution to SSA

$$\begin{split} & 2\text{-parton twist-3 TMDs} \\ & \Phi^{[i\gamma_5]} = \frac{M}{P^+} \Big[S_L e_L - \frac{p_T \cdot S_T}{M} e_T \Big], \qquad \Phi^{[1]} = \frac{M}{P^+} \Big[e - \frac{\epsilon_T^{\rho \sigma} p_{T\rho} S_{T\sigma}}{M} e_T^{\perp} \Big] \\ & \Phi^{[\gamma^{\alpha}]} = \frac{M}{P^+} \Big[-\epsilon_T^{\alpha \rho} S_{T\rho} f_T - S_L \frac{\epsilon_T^{\alpha \rho} p_{T\rho}}{M} f_L^{\perp} \\ & - \frac{p_T^{\alpha} p_T^{\rho} - \frac{1}{2} p_T^2 g_T^{\alpha \rho}}{M^2} \epsilon_{T\rho\sigma} S_T^{\sigma} f_T^{\perp} + \frac{p_T^{\alpha}}{M} f^{\perp} \Big] \\ & \Phi^{[\gamma^{\alpha}\gamma_5]} = \frac{M}{P^+} \Big[S_T^{\alpha} g_T^{-} + S_L \frac{p_T^{\alpha}}{M} g_L^{\perp} \\ & - \frac{p_T^{\alpha} p_T^{\rho} - \frac{1}{2} p_T^2 g_T^{\alpha \rho}}{M^2} S_{T\rho} g_T^{\perp} - \frac{\epsilon_T^{\alpha \rho} p_{T\rho}}{M} g^{\perp} \Big] \\ & \Phi^{[i\sigma^{\alpha\beta}\gamma_5]} = \frac{M}{P^+} \Big[\frac{S_T^{\alpha} p_T^{\beta} - p_T^{\alpha} S_T^{\beta}}{M} h_T^{\perp} - \epsilon_T^{\alpha\beta} h \Big], \\ & \Phi^{[i\sigma^{+-}\gamma_5]} = \frac{M}{P^+} \Big[S_L h_L - \frac{p_T \cdot S_T}{M} h_T \Big], \qquad \begin{array}{c} \text{Boer, Mulders 1997} \\ \text{Goeke, Meta, and Schlegel 2005} \\ \text{Bacchetta et al., 2007} \\ \end{array}$$

Factorization of new contribution

- Unpolarized twist-2 fragmentation function
- Polarized quark scattered into direction preferred by correlation

$$tr[\gamma_5\gamma^{y}p_{hT}\gamma^{+}\gamma^{-}\cdots]=i\varepsilon_{yx+-}p_{hT}^{x}\cdots$$

• 2-parton twist-3 TMD g_T defined for polarized proton

 $p_h = p_h^- \gamma^+$





Lesson learned

- Sivers, ETQS, Collins functions all have same origin, resulting from different factorization
- Their contributions start from LO hard kernel
- If allowed to go to higher orders of hard kernel, other projectors can be used
- Though higher orders, data with Q ~ few GeV (such as COMPASS), hard kernel effect may be sizable
- Hard kernel is process-dependent
- Rich phenomenology!

At 3 loops

- At 3 loops, we can have 2-loop TMD for polarized proton and 1-loop hard kernel
- In addition to Sivers function, can use γ^x to extract phase in initial state in this case
- 2-parton twist-3 TMD f_T defined
- Another new contribution



$$\begin{aligned} & \Phi^{[i\gamma_{5}]} = \frac{M}{P^{+}} \bigg[S_{L} e_{L} - \frac{p_{T} \cdot S_{T}}{M} e_{T} \bigg], \qquad \Phi^{[1]} = \frac{M}{P^{+}} \bigg[e - \frac{\epsilon_{T}^{\rho\sigma} p_{T\rho} S_{T\sigma}}{M} e_{T}^{\perp} \bigg] \\ & \Phi^{[\gamma^{\alpha}]} = \frac{M}{P^{+}} \bigg[-\epsilon_{T}^{\alpha\rho} S_{T\rho} f_{T} - S_{L} \frac{\epsilon_{T}^{\alpha\rho} p_{T\rho}}{M} f_{L}^{\perp} \\ & - \frac{p_{T}^{\alpha} p_{T}^{\rho} - \frac{1}{2} p_{T}^{2} g_{T}^{\alpha\rho}}{M^{2}} \epsilon_{T\rho\sigma} S_{T}^{\sigma} f_{T}^{\perp} + \frac{p_{T}^{\alpha}}{M} f^{\perp} \bigg] \\ & \Phi^{[\gamma^{\alpha}\gamma_{5}]} = \frac{M}{P^{+}} \bigg[S_{T}^{\alpha} g_{T} + S_{L} \frac{p_{T}^{\alpha}}{M} g_{L}^{\perp} \\ & - \frac{p_{T}^{\alpha} p_{T}^{\rho} - \frac{1}{2} p_{T}^{2} g_{T}^{\alpha\rho}}{M^{2}} S_{T\rho} g_{T}^{\perp} - \frac{\epsilon_{T}^{\alpha\rho} p_{T\rho}}{M} g^{\perp} \bigg] \\ & \Phi^{[i\sigma^{\alpha\beta}\gamma_{5}]} = \frac{M}{P^{+}} \bigg[\frac{S_{T}^{\alpha} p_{T}^{\beta} - p_{T}^{\alpha} S_{T}^{\beta}}{M} h_{T}^{\perp} - \epsilon_{T}^{\alpha\beta} h \bigg], \\ & \Phi^{[i\sigma^{+-\gamma_{5}}]} = \frac{M}{P^{+}} \bigg[S_{L} h_{L} - \frac{p_{T} \cdot S_{T}}{M} h_{T} \bigg], \end{aligned}$$

Up to twist-3 NNLO

• Up to 2-parton twist-3 in TMD and FF, 2-loop in hard kernel, SSA is given by

$$d\sigma = f_{1T}^{\perp} \otimes H_{\gamma^{-},\gamma^{+}}^{(0)} \otimes D_{1} + f_{1T}^{\perp} \otimes H_{\gamma^{-},\gamma^{x}}^{(1)} \otimes D^{\perp} + f_{1T}^{\perp} \otimes H_{\gamma^{-},\gamma_{5}\gamma^{x}}^{(2)} \otimes G^{\perp} + g_{1T} \otimes H_{\gamma_{5}\gamma^{-},\gamma^{+}}^{(2)} \otimes D_{1} + g_{1T} \otimes H_{\gamma_{5}\gamma^{-},\gamma_{5}\gamma^{y}}^{(1)} \otimes G^{\perp} + g_{1T} \otimes H_{\gamma_{5}\gamma^{-},\gamma^{y}}^{(2)} \otimes D^{\perp} + h_{1} \otimes H_{\gamma_{5}\sigma^{y-},\gamma_{5}\sigma^{y+}}^{(0)} \otimes H_{1}^{\perp} + h_{1} \otimes H_{\gamma_{5}\sigma^{y-},\gamma_{5}\sigma^{yx}}^{(1)} \otimes H^{*} + h_{1} \otimes H_{\gamma_{5}\sigma^{y-},I}^{(2)} \otimes E^{*} + e_{T} \otimes H_{\gamma_{5}\gamma_{5}\sigma^{y+}}^{(1)} \otimes H_{1}^{\perp} + e_{T}^{\perp} \otimes H_{I,\gamma_{5}\sigma^{y+}}^{(2)} \otimes H_{1}^{\perp} + f_{T} \otimes H_{\gamma_{y}\gamma^{+}}^{(1)} \otimes D_{1} + g_{T} \otimes H_{\gamma_{5}\gamma^{y},\gamma^{+}}^{(2)} \otimes D_{1} + h_{T}^{\perp} \otimes H_{\gamma_{5}\sigma^{yx},\gamma_{5}\sigma^{y+}}^{(1)} \otimes H_{1}^{\perp} + h_{T} \otimes H_{\gamma_{5}\sigma^{-+},\gamma_{5}\sigma^{y+}}^{(1)} \otimes H_{1}^{\perp},$$

• Similar discussion on jet production by Song, Gao, Liang, Wang, 2011, 2014

gT contribution

- Have given comprehensive picture for all known SSA sources
- Only gT term survives in collinear limit (with kT integrated out) Ji, 1993
- Calculation is similar to Ma and Sang's quark target model at two loops; they focused on IR cancellation for known sources. Ma, Sang, 2009
- Why did they not notice this contribution?
- Because gT contribution IR finite at this order?

QED Gauge invariance (GI)

- Three-parton contribution is not QED gauge invariant: no complete photon contractions
- Need two-parton reducible diagram



- Boer, Qiu (2001) used special propagator to include it, but did not notice it is gT
- Jaffe, Ji (1992) noticed it, but proved GI at LO

QED and QCD GI

- We proved QED and QCD gauge invariance for combination of gT and three-parton contributions to all orders (see 1909.10864)
- For QCD, three partons do not contain complete contractions of valence gluon, so not gauge invariant by themselves



Summary and outlook

- There are many sources to SSA
- Have derived complete 2-parton twist-3 contributions to SSA up to 2 loop hard kernel
- Only gT survives in collinear factorization, needed for QED and QCD gauge invariance of 3-parton contributions
- Rich phenomenology is expected, eg., impact on extraction of Sivers, Collins functions?
- Will study subleading contributions to understand all data and identify origin of SSA

Back-up slides

Sign change of Sivers function



Sign-mismatch problem

• No sign flip seen in $p^{\uparrow}p \rightarrow \pi + X$



Kang, Qiu, Vogelsang, Yuan 2011

expectation from SIDIS data (HERMES, COMPASS) under sign flip

result extracted from data (E704, STAR, PHENIX, BRAHMS)

• Now there are other twist-3 contributions...



Sivers Asymmetry in Drell-Yan: Hint of Sign Change!



Origin of SSA?

- Large twist-3 fragmentation function? Kanazawa, Koike, Metz, Pitonyak, 2014
- kT factorization does not apply to Drell-Yan
- Twist-3 FF implies $A_N \propto A^{-1/3}$ in pA collision? Hatta, Xiao, Yoshidac, Yuan 2016



Need to study complete subleading contributions to understand all data and identify origin of SSA!

Twist-2 TMDs



Twist-3 TMDs

	$e(x,k_{\perp}), f^{\perp}(x,k_{\perp})$	number density
* - ?	$e_T^{\perp}(x,k_{\perp}),$ $f_T^{\perp 1}(x,k_{\perp}), f_T^{\perp 2}(x,k_{\perp})$	Sivers function
	$e_L(x,k_\perp), g_L^\perp(x,k_\perp)$	helicity distribution
i - i	$e_T(x,k_\perp), \\ g_T(x,k_\perp), g_T^\perp(x,k_\perp)$	Worm gear: trans-helicity
	$h(x,k_{\perp})$	Boer-Mulders function
👌 - 👌	$h_T^{\perp}(x,k_{\perp})$	transversity distribution
-	$h_T(x,k_\perp)$	pretzelocity
? → - ? →	$h_L(x,k_\perp)$	Worm gear: longi-transversity
●→ ■ ● →	$f_L^{\perp}(x,k_{\perp})$	
😑 🗕 😔	$g^{\perp}(x,k_{\perp})$	