

Optimization Studies on the CEPC PFA-oriented Calorimetry

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2019 CEPC Workshop





- Introduction
- Calorimeter Optimization
 - Simulation Setup
 - Calibration method
 - CellSize Optimization
- Conclusion



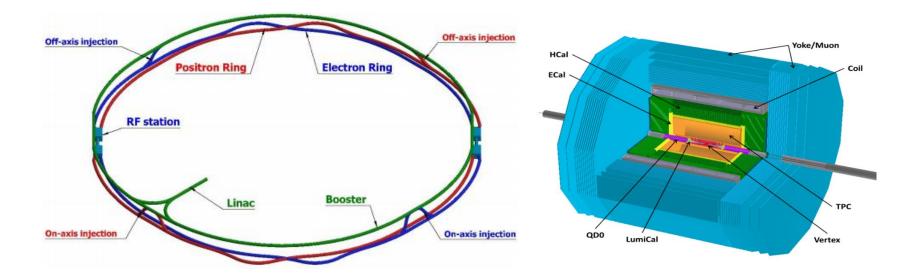


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Circular Electron Positron Collider(CEPC) is designed as a Higgs factory, the Particle Flow Algorithm(PFA) oriented HCAL is one of the option for Hadron Calorimeter



Particle Flow Algorithm



• PFA

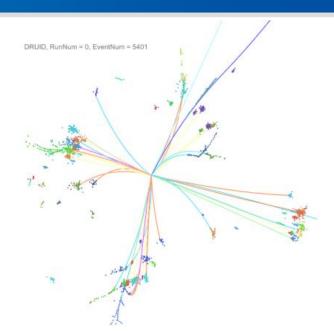
- The resolution of tracker, ECAL and HCAL is quite different
- The optimal detector to detect different components of a jet
- Granularity is the key parameter for PFA calorimeter

Charged particles

Charged particles carry 65% energy in a jet

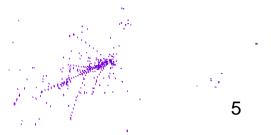
photons

photons carry 25% energy in a jet



Neutral Hadrons

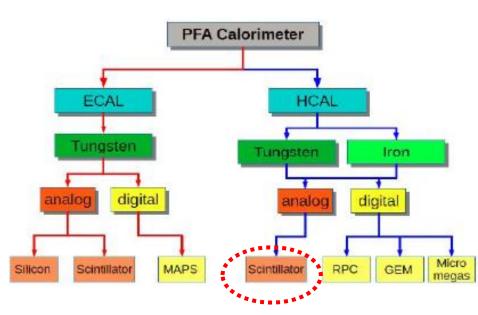
Neutral Hadrons carry 10% energy in a jet

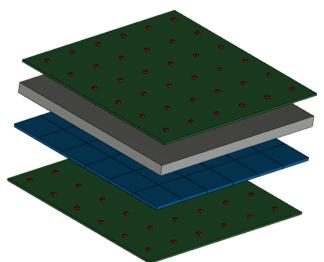


PFA Calorimetry



- ECAL
 - 30 layers
 - Absorber:2.8 mm tungsten
 - Si:10 \times 10 \times 0.5 mm³
 - PCB:2 mm
- HCAL
 - 40 layers
 - Absorber:20 mm Fe
 - Scintillator: $30 \times 30 \times 3$ mm³
 - PCB:2 mm

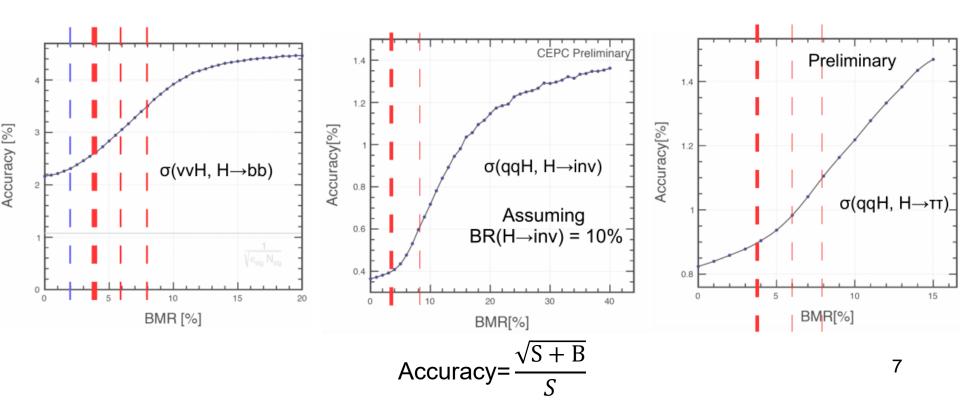




Physics Requirement



- 1/3 Higgs events have 2 jets : determined by hadronic decay boson
- The requirement from benchmark physics processes on boson mass resolution(BMR) : 4%
- Calorimeter cell size should be optimized in terms of BMR







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Simulation Setup



KLong Energy

Entries

Mean

Std Dev

25

Particle Energy(GeV)

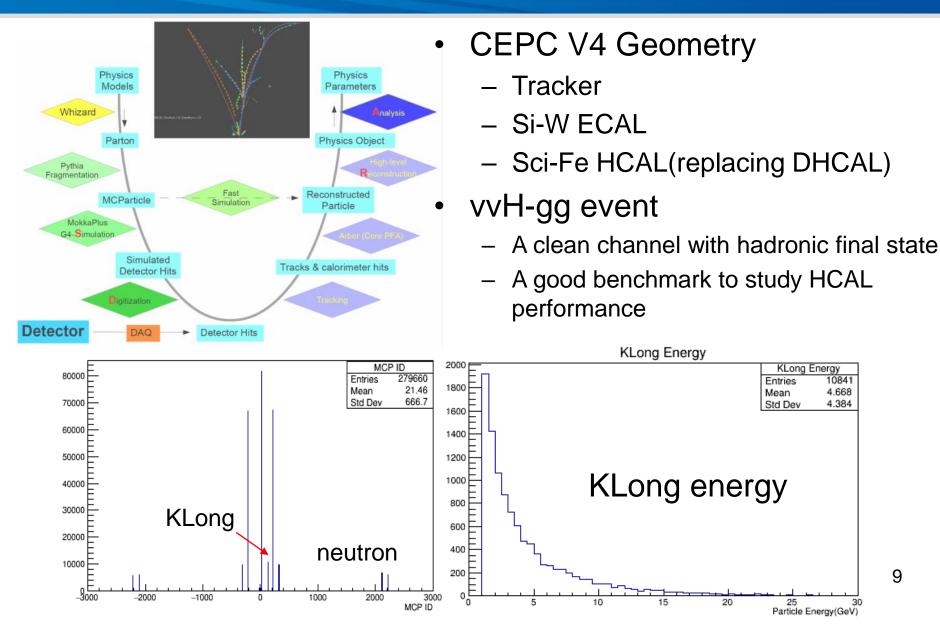
10841

4.668

4.384

9

30





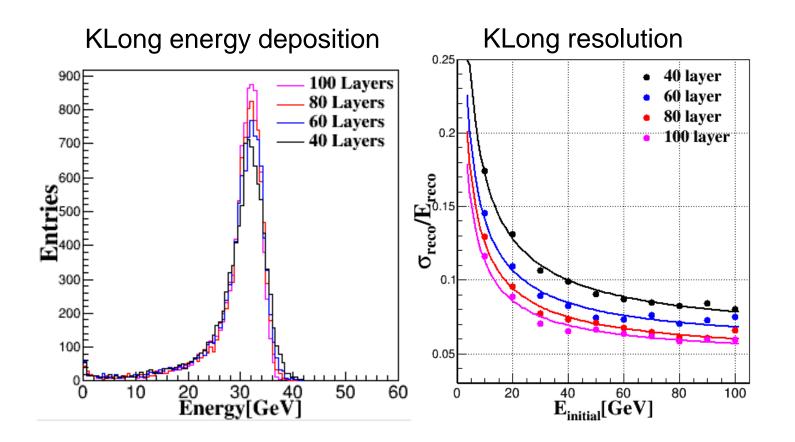


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Intrinsic HCAL response



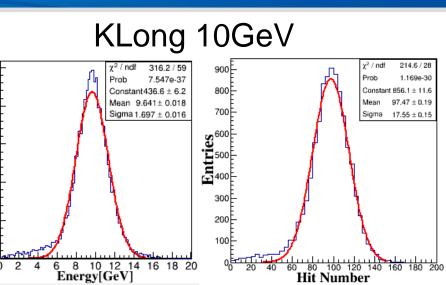
- Only HCAL is included to study intrinsic HCAL response for Klong
- Total absorber thickness fixed, change number of layer



12

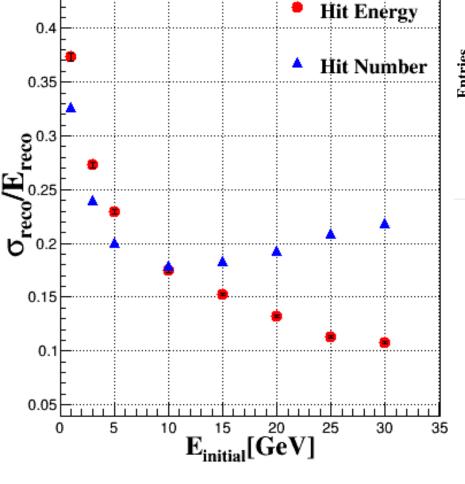
Intrinsic HCAL response

- 7.547e-37 Prob Prob Hit Energy Constant436.6 ± 6.2 800 Mean 9.641± 0.018 Mean 40 700 Sigma 1.697 ± 0.016 Sigma Entries 000 Entries Hit Number 300 200 100 6 8 10 12 14 16 18 20 Energy[GeV] B0 100 120 140 Hit Number 2 160 180 40 Hit number has better resolution at low energy
 - Hit number saturate at high energy causing a poor resolution



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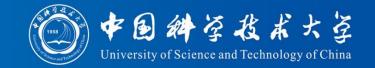
0.45

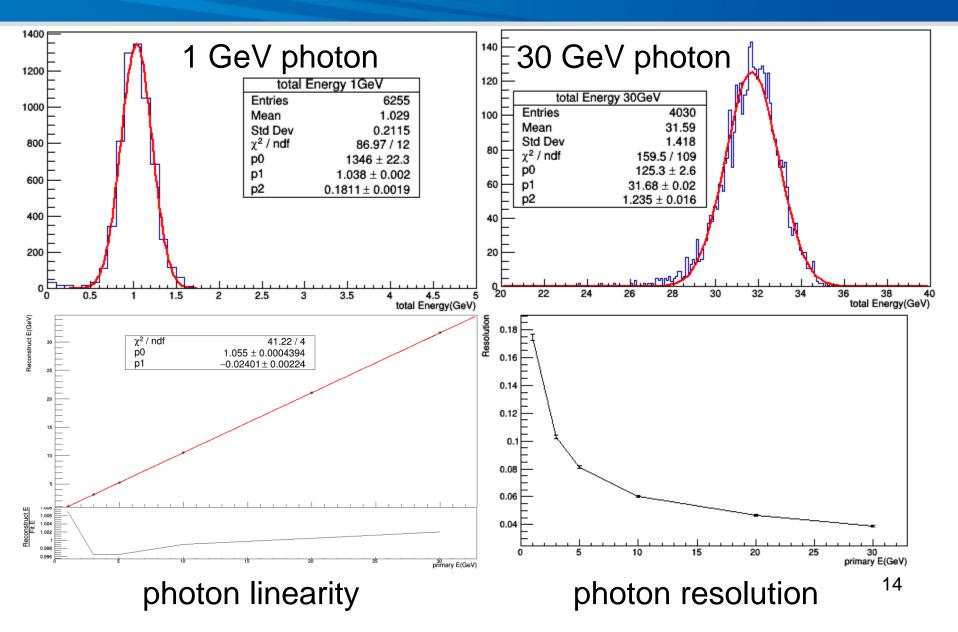
Calibration



- Calibration is done under V4 Geometry at hit level
- Event Selection
 - incident particle direction : $\cos\theta < 0.85$
 - Cell energy threshold : 0.5MIP
 - KLong must end in Hadronic calorimeter
- Analog and Digital mode
 - Analog mode: $E = E_{ECAL} \times a + E_{HCAL} \times b$
 - Digital mode : $E = E_{ECAL} \times a + N_{HCAL} \times b$
- Minimum χ^2 method
 - $\chi^2 = \sum (ECAL \times a + HCAL \times b E_{in})^2$
 - a is determined by gamma
 - b is determined by Klong

Photon response

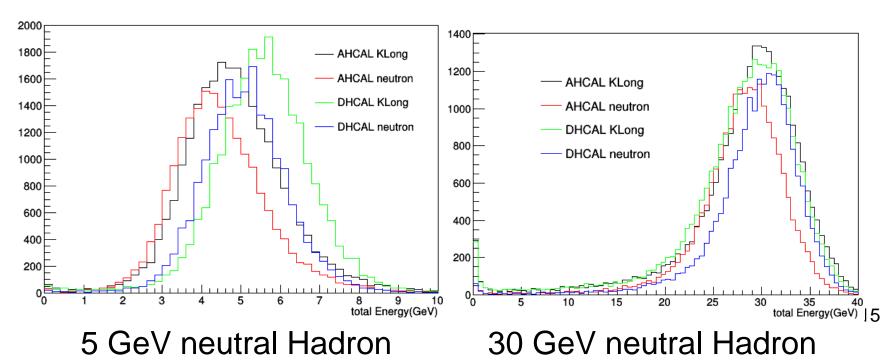




Hadron response



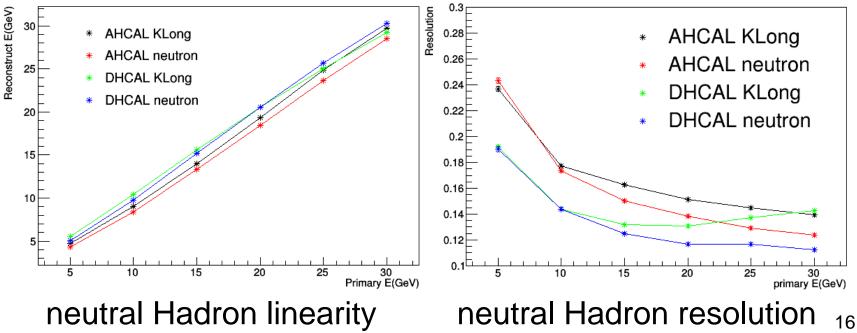
- KLongs of 5-30 GeV are used to determine calibration constant b
- Neutron use the same calibration constant as KLong
- DHCAL and AHCAL stand for analog and digital calibration mode, not geometry



Hadron response



- This calibration result is consistent with HCAL intrinsic Hadron response
- So digital calibration mode is used in this simulation while better algorithm is still on progress, but a reliable algorithm is essential to fully dig out Sci-Fe HCAL's potential



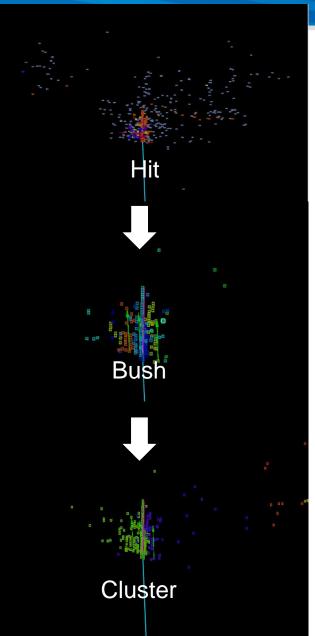




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PFA Reconstruction



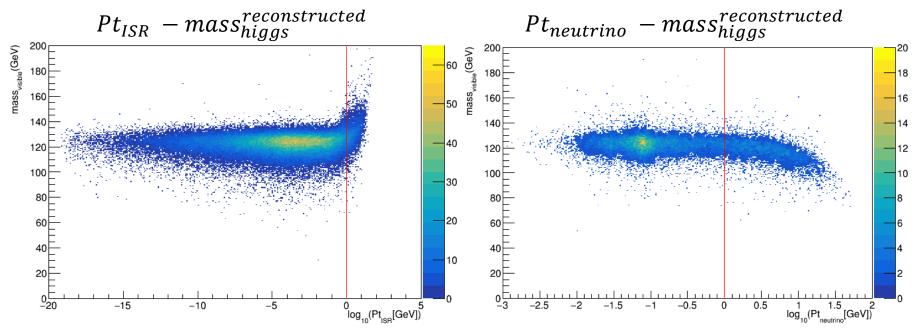


- Arbor processor : connect hits into a bush
- Bushconnect processor : connect bushes into a cluster, build a particle, gives its four-momentum
- LICH processor : judge a particle's type precisely
- In vvH-gg event Higgs fourmomentum is sum of four-momentum of all reconstructed particles

Event selection



- ISR(initial state radiation):Sum of ISR photons Pt < 1GeV
- Neutrinos generated by Higgs decay products:Sum of neutrinos Pt < 1GeV
- Acceptance of the detector: $|\cos \theta_{jet}| < 0.85$



Event selection



• Efficiency for selection in good agreement with baseline DHCAL $|\cos\theta_{jet}| - mass_{higgs}^{reconstructed}$

				20
Efficiency(%)	AHCAL	DHCAL		15
$Pt_{ISR} < 1 \text{GeV}$	95.20	95.15		10
Pt _{neutrino} < 1GeV	89.38	89.33		5
$\left \cos \theta_{jet}\right < 0.85$	67.32	67.30	$\begin{bmatrix} 0 & 0.1 & 0.2 & 0.3 & 0.4 & 0.5 & 0.6 & 0.7 & 0.8 & 0.9 & 1 \\ Cos(\theta_{jet}) \end{bmatrix}$	0

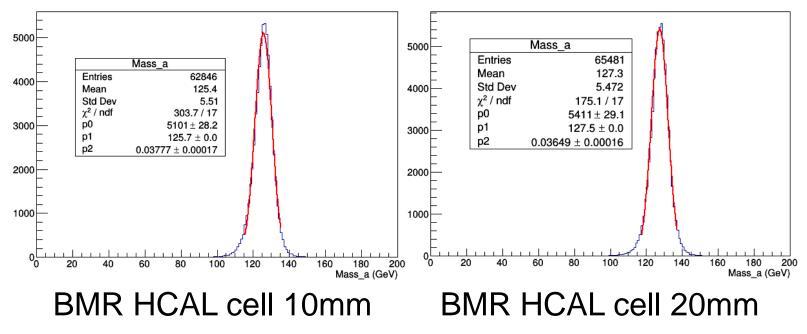
 After selection, the Higgs mass spectrum is almost dominant by detector response 30

25

CellSize optimization



- Boson mass resolution(BMR)
 - Higgs Boson is reconstructed at different HCAL Cell Size from 10mm to 70mm
 - Energy is calibrated using digital mode

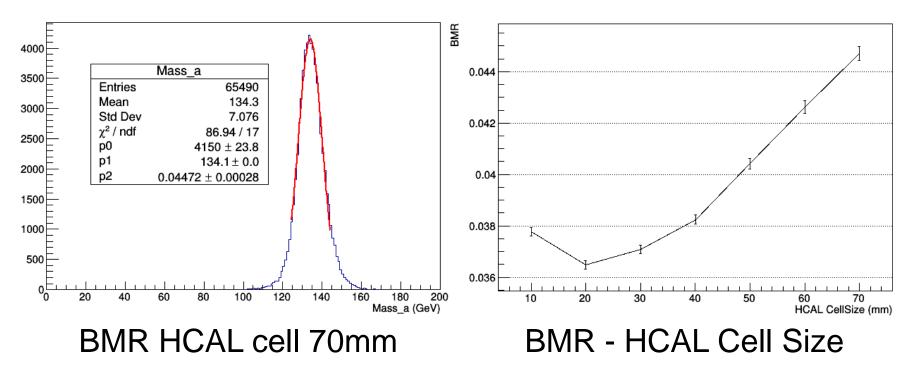


CellSize Optimization



Cell Size optimization

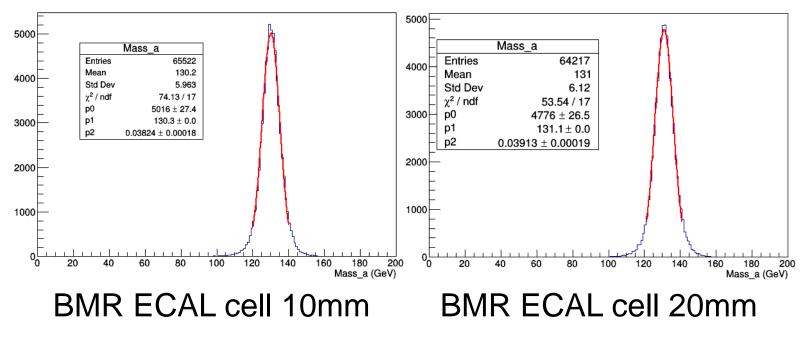
- 40 mm still has BMR under 4%



CellSize optimization



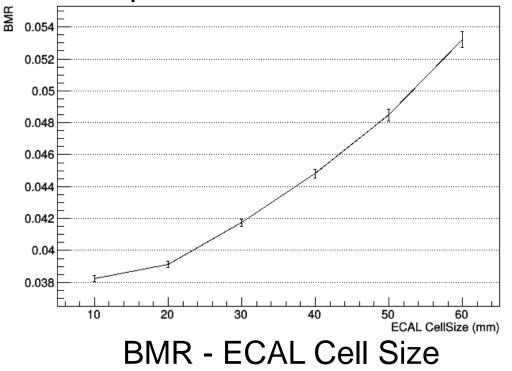
- Boson mass resolution(BMR)
 - HCAL Cell Size is 40mm
 - Higgs Boson is reconstructed at different ECAL Cell Size from 10mm to 60mm



CellSize Optimization



- Cell Size optimization
 - ECAL Cell Size can be increased to 20mm in terms of BMR in vvH-gg event
 - Other physics goals may have more strict requirement for ECAL Cell Size



Conclusion



- PFA oriented Sci-Fe HCAL is one option for CEPC HCAL
- Cell Size is optimized under CEPC software environment with PFA, 40 mm is the appropriate choice in terms of BMR
- From now on, more algorithm will be developed to find out the potential of this kind of calorimeter and a prototype will be built in 2 years to prove this

Conclusion

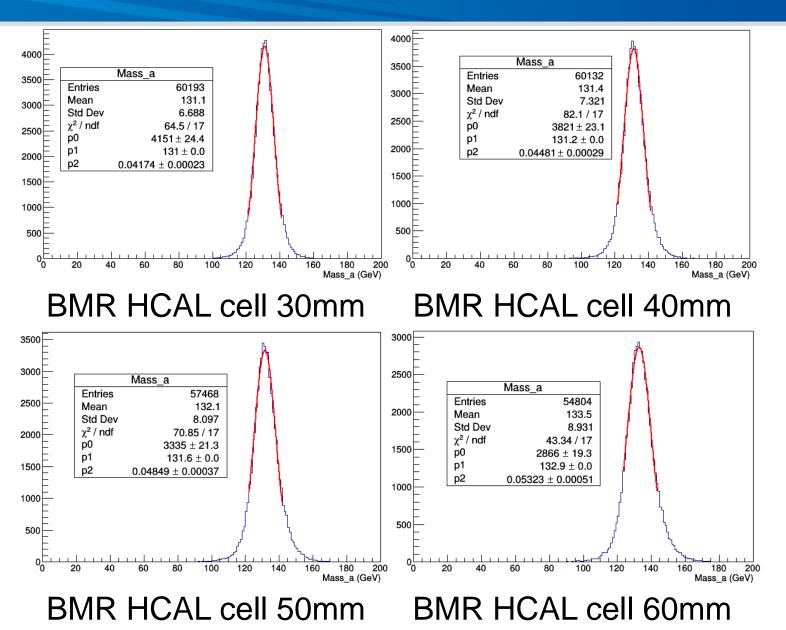


- PFA oriented Sci-Fe HCAL is one option for CEPC HCAL
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backup

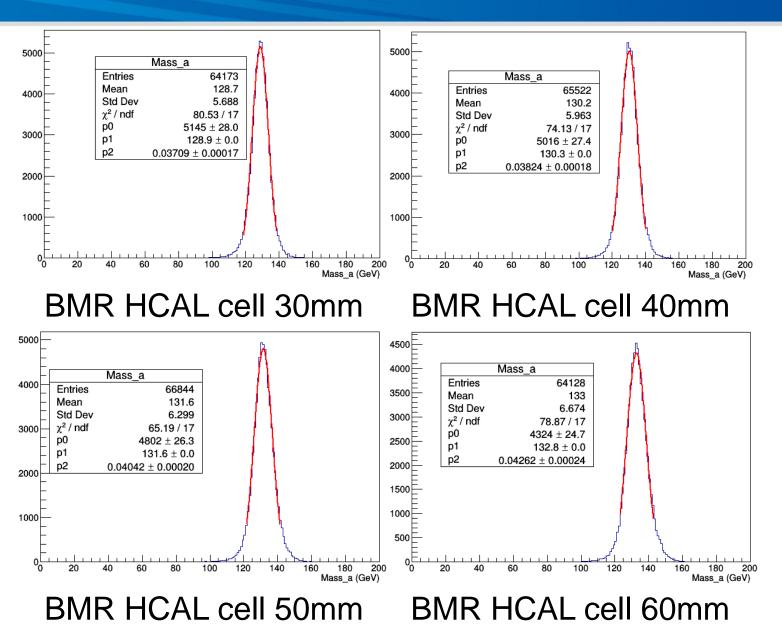
CellSize optimization



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CellSize optimization



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• WW fusion,ZZ fusion,ZH Z to vv

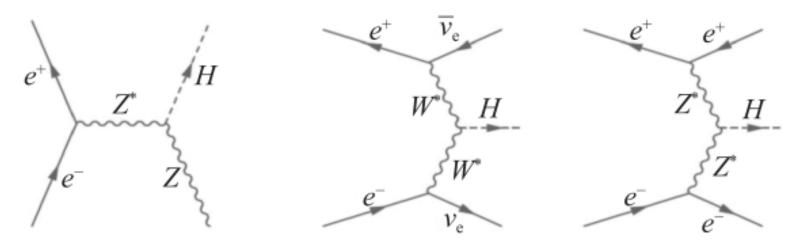
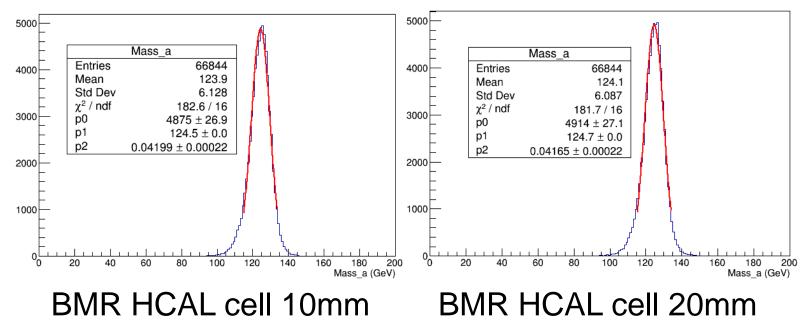


Fig. 1. Feynman diagrams of the Higgs production mechanisms at the CEPC: the Higgsstrahlung, WW fusion, and ZZ fusion processes.

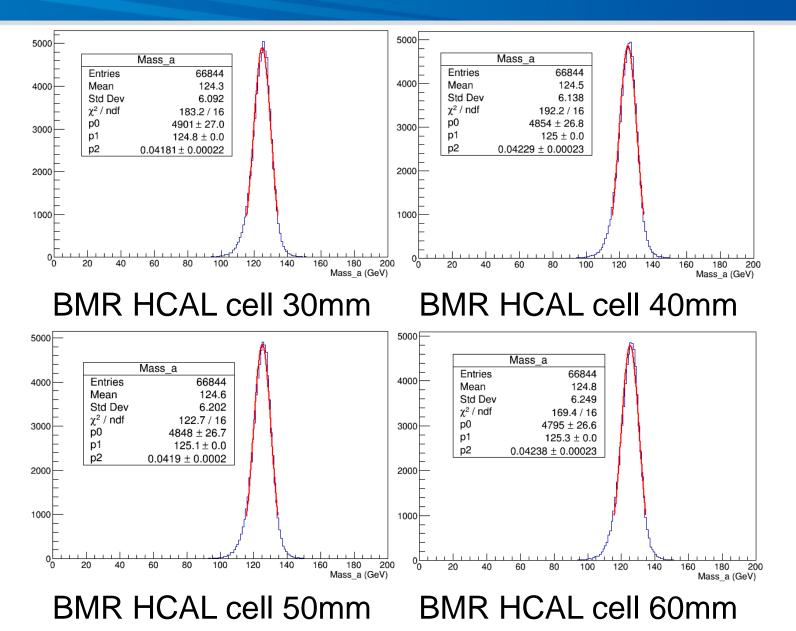
CellSize optimization



- Boson mass resolution(BMR)
 - Higgs Boson is reconstructed at different AHCAL Cell Size from 10mm to 70mm
 - Higgs BMR is fitted by gaus function



CellSize optimization



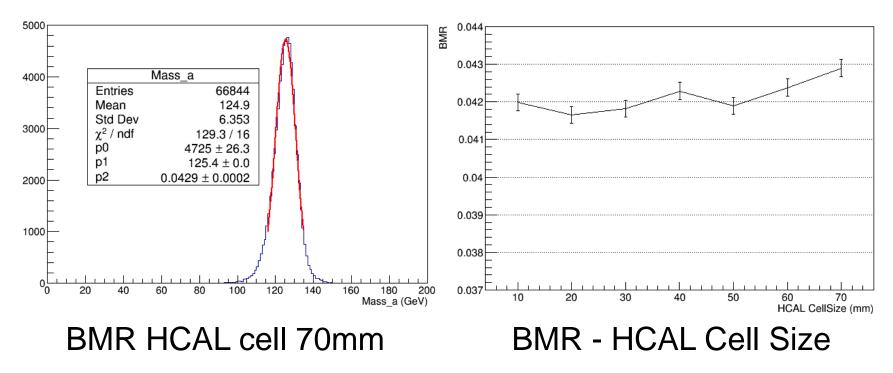
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CellSize Optimization



- Cell Size optimization
 - 30 mm is a appropriate choice



Background and motivation





Tracker

Charged

particle

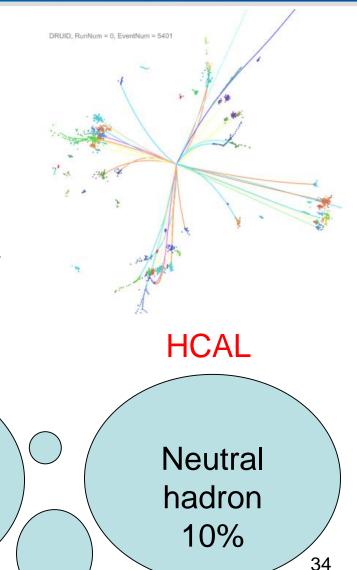
65%

- The resolution of tracker, ECAL and HCAL is quite different
- The optimal detector to detect different components of a jet
- granularity is the key parameter for PFA calorimeter

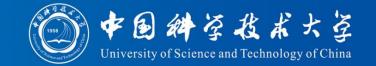
ECAL

photon

25%



Calibration Method

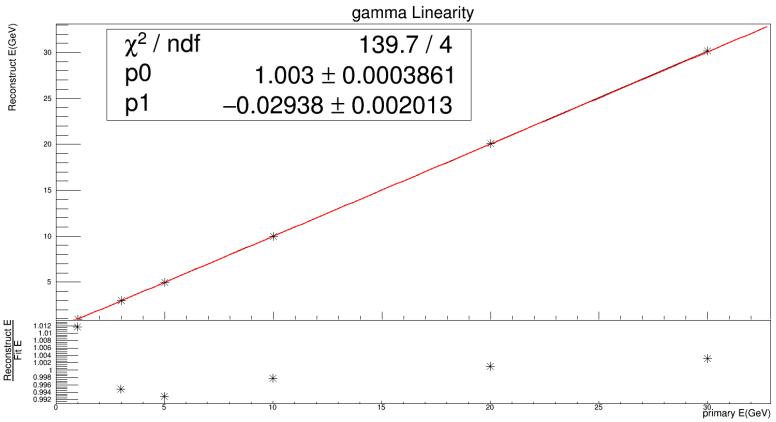


- Event Selection
 - MC particle direction $\frac{P_z}{P} < 0.85$
 - Hit Energy > 0.5MIP(0.5MeV)
 - Incident particle must end in calorimeter
- Analog and Digital mode
 - Analog: $E = E_{ECAL} \times a + E_{HCAL} \times b$
 - Digital:E = $E_{ECAL} \times a + N_{HCAL} \times b$
- Minimum χ^2
 - $\chi^2 = \sum (ECAL \times a + HCAL \times b E_{in})^2$
 - a is determined by gamma
 - b is determined by KLong



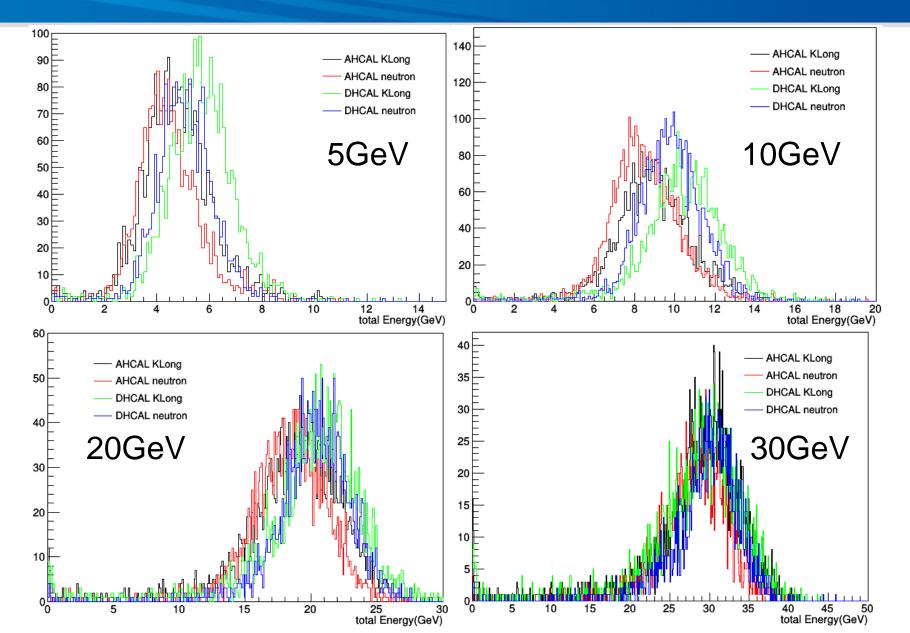


Gamma Linearity



Hadron



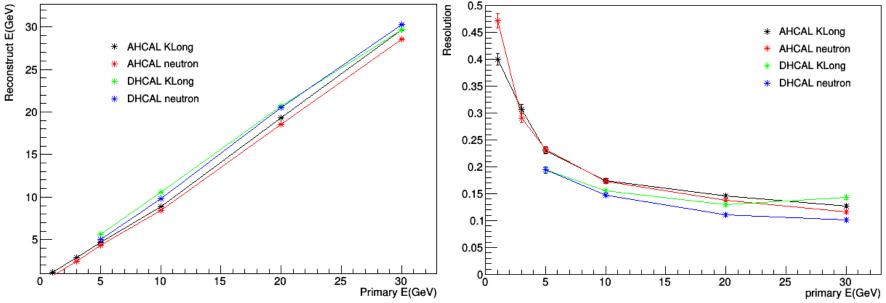






Linearity and Resolution

- Analog mode has good linearity and worse resolution
- Digital mode has saturation effect for KLong but neutron seems not to be influenced



AHCAL budget



- Price per channel
 - Combination of readout layers can reduce cost of SPIROC, Electronic calibration and DIF: 41¥ per channel

	scintillator	SiPM	SiPM power	SPIROC	Electronic calibration	HBU Soldering	LED and temperature	DIF	total
price per channel	10	55	7	25	3	10	12	13	135

- Budget also includes absorber, PCB, DAQ, mechanical structure, wrapping machine and test machine
- Back up ratio 0.1

Size(channel No)	30mm cell	40mm cell	40mm cell 30 layer	30mm cell 30 layer
$0.5 \times 0.5 m^2$	267(11560)	212(6760)		
$0.7 \times 0.7 m^2$	488(21160)	397(12960)	312(9720)	384(15870)

Number of Readout 中国科学技术大学 Layers Optimization University of Science and Technology of China 3000 5000 Mass a Entries 65483 Mass a Mean 124.8 2500 Entries 46902 4000 Std Dev 5.931 Mean 123 χ^2 / ndf 153.4 / 18 Std Dev 7.613 2000 0q 5086 ± 27.3 χ^2 / ndf 350.5 / 18 p1 125.3 ± 0.0 3000 p0 2944 ± 19.9 p2 0.0389 ± 0.0002 p1 124.6 ± 0.0 1500 p2 0.04691 ± 0.00031 2000 1000 1000 500 0 0 0^L 20 80 100 120 140 160 180 200 20 80 100 120 140 160 180 40 60 40 60 200 Mass a (GeV) Mass a (GeV) BMR HCAL Layer 40 BMR HCAL Layer 8 BMR 0.048 Readout layer combination • This idea is to combine adjacent 0.046 sampling layers inreadout 0.044

0.042

0.04

0.038≞ 5

10

15

20

25

30

35

40 HCAL Layer

- There are always 40 sampling layers
- AHCAL Cell Size is fixed to 30mm
- Readout layer range from 40 to 8

ECAL with AHCAL digital mode



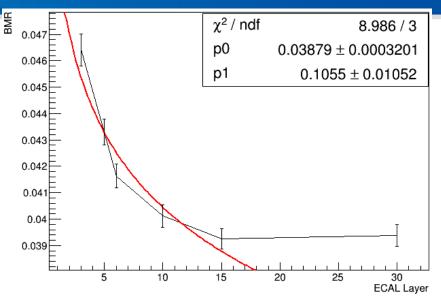
BMR 0.05 ECAL Cell Size Scan χ^2 / ndf 3.859 / 4 0.048 p0 0.03738 ± 0.0006192 AHCAL cell size 10 mm p1 -0.000186 ± 0.001324 0.046 AHCAL work in digital mode p2 8.265e-05 ± 2.015e-05 0.044 Similar results from Hyy channel 0.042 suggests ECAL cell size should be less than 10mm 0.04 $BMR = 3.74\% \times (1 - 1.86 \times 10^{-4}x)$ 0.038 0.036 $+8.265 \times 10^{-5} x^{2})$ 20 30 50 10 40 60 ECAL CellSize (mm) Mass a Mass a 8757 140 120 Entries 8757 Entries Mean 125.9 122.4 Mean Std Dev 7.413 120 6.285 100 Std Dev χ^2 / ndf 90.41 / 107 χ^2 / ndf p0 107.7 ± 1.6 131.3 / 107 100 126.3 ± 0.1 p1 133.2 ± 1.9 p0 80 p2 0.04817 ± 0.00077 123.5 ± 0.1 D1 80 p2 0.03767 ± 0.00056 60 40 20 20 80 120 130 140 150 90 100 110 160 120 130 140 90 100 110 150 160 Higgs Mass(GeV) Higgs Mass(GeV) 41 ECAL cell 1mm ECAL cell 60mm

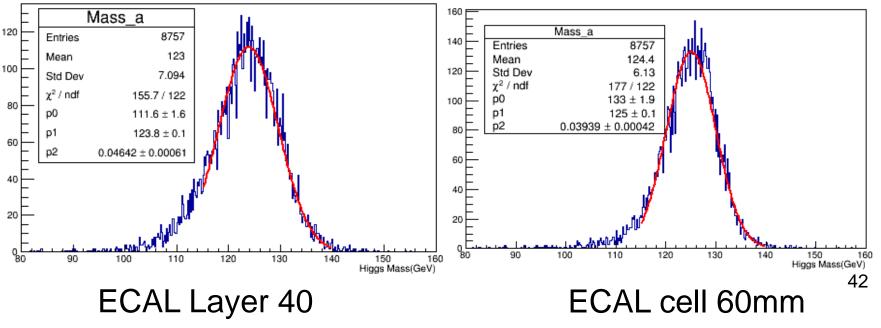
ECAL with AHCAL digital mode



- ECAL Readout Layer Scan
 - ECAL Cell Size 10mm
 - Similar results from Hγγ channel suggests ECAL Layer should be larger than 15

•
$$BMR = 3.88\% \times (1 + 0.106 \times ln \frac{15}{x})$$





Calorimeter BMR model

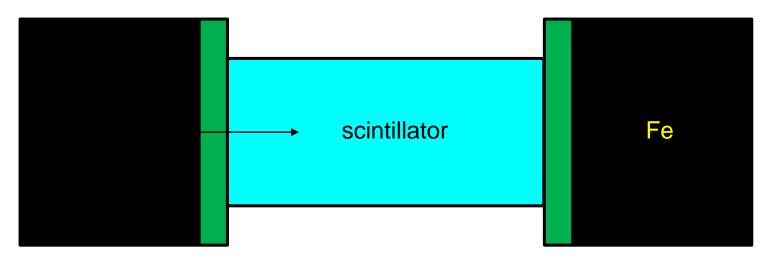


- BMR
 - $BMR = 3.74\% \times (1 + 16.48 \times 10^{-4} HC + 2.54 \times 10^{-5} HC^2) \times (1 + 0.061 \times ln \frac{40}{HL}) \times (1 1.86 \times 10^{-4} EC + 8.265 \times 10^{-5} EC^2) \times (1 + 0.106 \times ln \frac{15}{EL})$
 - HC : HCAL CellSize , EC : ECAL CellSize
 - HL : HCAL readout layer , EL : ECAL readout layer
- Channel Number
 - SiW ECAL Total Channel : 24.3M
 - HCAL(10mm) Total Channel : 64M
 - Minum Channel for 4% BMR : 15.2M
 - ECAL: 10 readout layer, 10 mm cellsize
 - HCAL: 40 readout layer, 30 mm cellsize
 - Minum Channel for 4.3% BMR : 6.025M
 - ECAL: 10 readout layer, 20 mm cellsize
 - HCAL: 40 readout layer, 40 mm cellsize

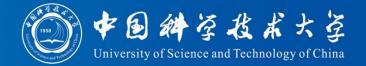
New design AHCAL



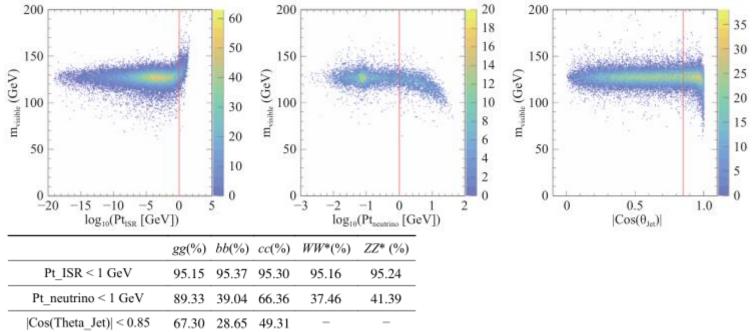
- Geometry
 - 20 layer scintillator : $30 \times 30 \times 30 \times 30 mm^3$ (even can longer)
 - 20 layer Fe : 26mm
 - 40 layer PCB : double side readout at Z axis
 - 4.5λ : worse resolution at high energy but better for low energy neutral Hadron(<30GeV)



Event selection

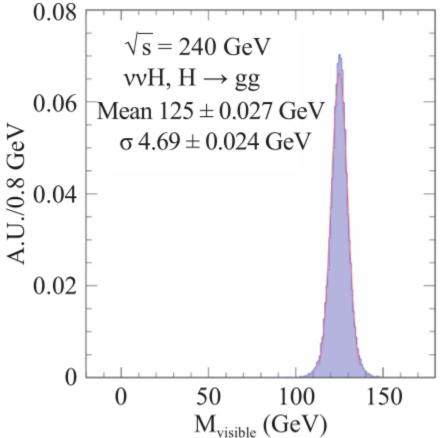


- vvh-gg
 - ISR(initial state radiation): no parent , first 4 created photon





Zhaohang's works

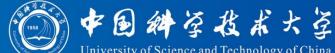




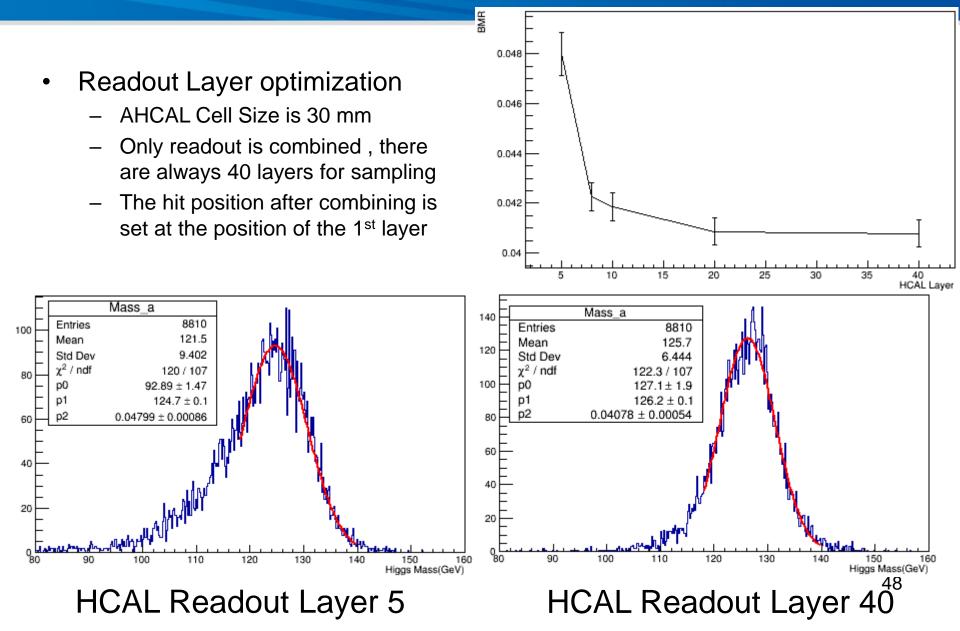
	Total #	Occupancy	Nbit	# Channels	Volume	Data rate
	channels	10(1	/channel	readout/evt	/evt	@100 kHz
	$[M(10^6)]$	[%]		$[k(10^3)]$	[MBytes]	[GBytes/s]
Vertex	690	0.3	32	2070	8.3	830
Silicon Tracker						
Barrel	3238	$0.01\sim 1.6$	32	1508	3.15	315
Endcap	1238	$0.01\sim 0.8$	32	232	0.4	40
TPC	2	0.1-8	30	1375	5	500
Drift Chamber	0.056	5-10	480		3	300
ECAL						
Barrel	17/7.7	0.17	32	28.8/13.1	0.117/0.053	11.7/5.3
Endcap	7.3/3.3	0.31	32	22.4/10.2	0.090/0.041	9.0/4.1
AHCAL						
Barrel	3.6	0.02	32	0.72	0.0029	0.3
Endcap	3.1	0.12	32	3.72	0.015	1.5
DHCAL						
Barrel	32	0.004	8	1.28	0.00128	0.13
Endcap	32	0.01	8	3.2	0.0032	0.32
Dual Readout						
Calorimeter	22	0.4-1.6	64	88-352	0.704-2.8	70-280
Muon						
Barrel	4.9	0.0002	24	0.01	< 0.0001	< 0.01
Endcap	4.6	0.0002	24	0.01	< 0.0001	< 0.01
LumiCal	0.5	0.2	12	0.5	0.0007	0.07

Table 8.1: CEPC DAQ data rate estimation. TPC and drift chamber are options for the outer tracker. AHCAL and DHCAL are two options for the PFA hadronic calorimeter, while the Dual Readout Calorimeter is a calorimeter option to cover both the ECAL and HCAL functionality. With the level-1 trigger operating at 100 kHz, the total raw data rate is 2 TBytes/s.

AHCAL Optimization

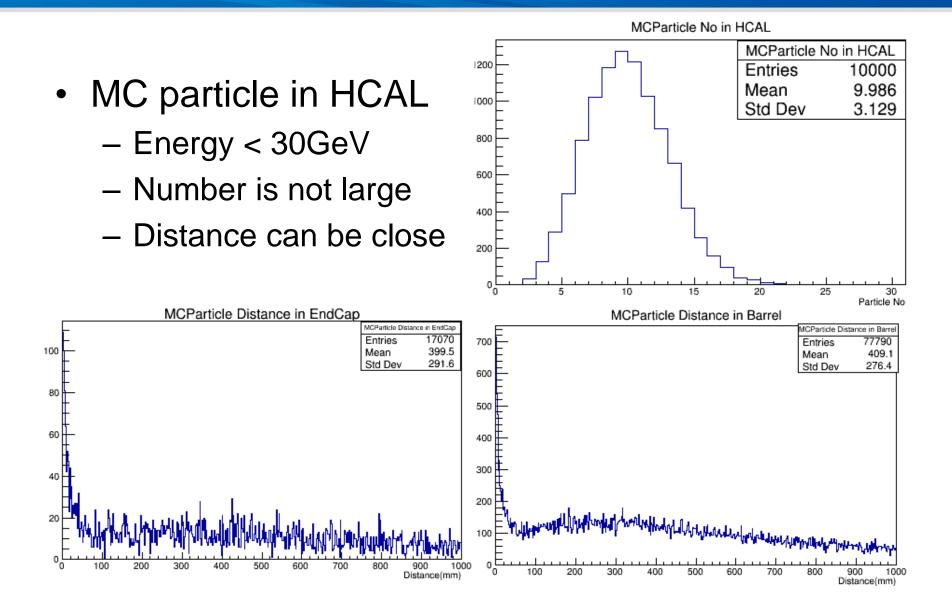


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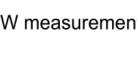
MCtruth

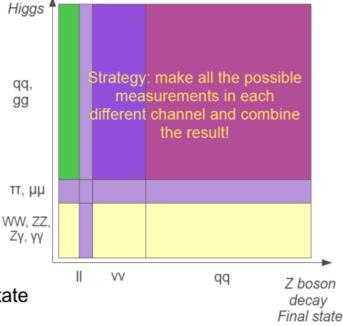




Recent Highlight: Jet study

- SM Higgs
 - **0 jets: 3%:** Z \rightarrow II, vv (30%); H \rightarrow 0 jets (~10%, $\tau\tau$, $\mu\mu$, $\gamma\gamma$, γ Z/WW/ZZ \rightarrow Ieptonic)
 - 2 jets: 32%
 - *Z*→*qq*, *H*→0 jets. 70%*10% = 7%
 - *Z*→*II*, *vv*; *H*→2 jets. 30%*70% = 21%
 - $Z \rightarrow II$, vv; $H \rightarrow WW/ZZ \rightarrow semi-leptonic. 3.6\%$
 - 4 jets: 55%
 - *Z*→*qq*, *H*→2 jets. 70%*70% = 49%
 - *Z*→*II*, *vv*; *H*→*WW*/*ZZ*→4 jets. 30%*15% = 4.5%
 - 6 jets: 11%
 - *Z*→*qq*, *H*→*WW*/*ZZ*→4 jets. 70%*15% = 11%
- 97% of the SM Higgsstrahlung Signal has Jets in the final state
- 1/3 has only 2 jets: be described by the mass resolution of the hadronic decay boson (BMR)
- 2/3 need color-singlet identification: grouping the hadronic final sate particles into color-singlets
- Jet is important for EW measurements & jet clustering is essential for differential measurements



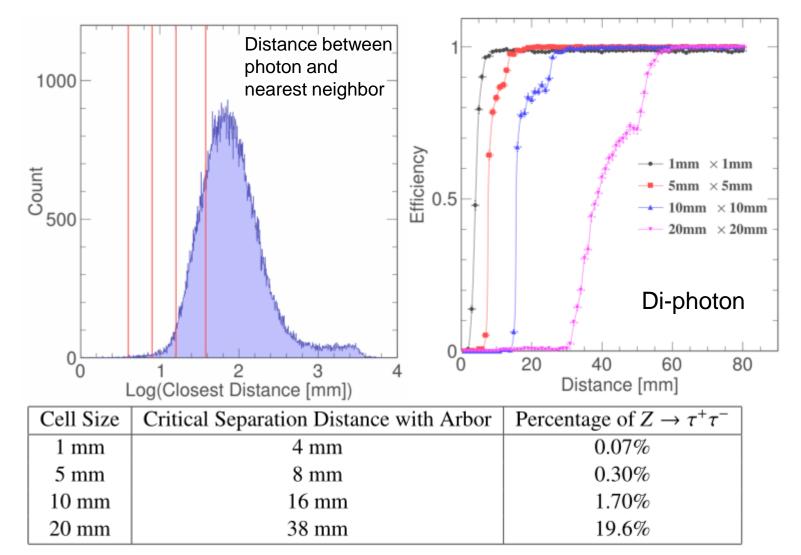


CEPC WS@Chicago U

ECAL optimization



SiECAL



51

Conclusion and plan



- Simulation
 - Cell combine and calibration
 - Reconstruction
- AHCAL budget
 - Combine readout:save 1058000
 - BNU SiPM:15 per pitch;save 846400

name	scintillator	scintillator wrapping machine	electronics	calibration	SiPM	stainless steel	total
unit price	10	350000	00	23	55	2744	
quantity	21160	1	21160	21160	21160	40	
total	211600	350000	2116000	486680	1163800	109760	4437840





- Background and Simulation Setup
- Reconstruction Process
- CellSize Optimization
 - Pion and KLong separation
 - Simple PFA method
- Read out layer Optimization
- Conclusion

Background and Simulation Setup





Tracker

Charged

particle

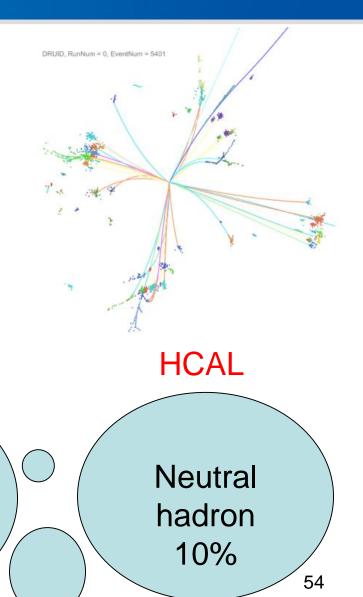
65%

- The resolution of tracker, ECAL and HCAL is quite different
- The optimal detector to detect different components of a jet
- Cellsize is the key parameter for PFA calorimeter

ECAL

photon

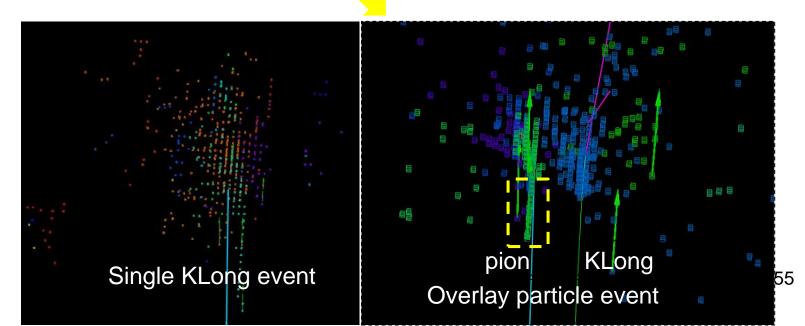
25%



Background and Simulation Setup

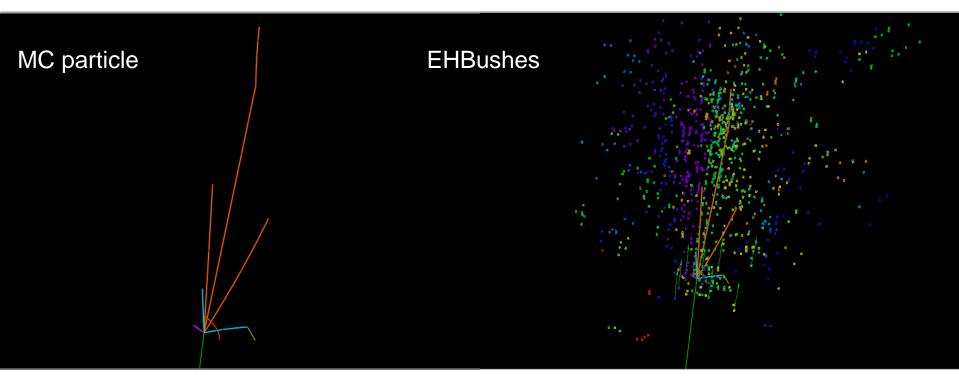


- Incident particle
 - 10 GeV pion-
 - 10 GeV KLong: abundant in H-gg event
- Feature of KLong
 - KLong usually doesn't deposit significant energy in ECAL so that it doesn't have a 'stick' in the front
 - KLong is wider than pion





- The physical structure of a shower is exactly like a tree
- So we reconstruct bushes first



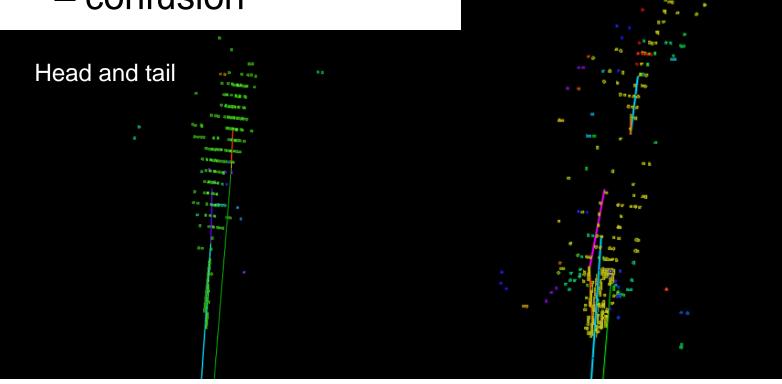


57

confusion

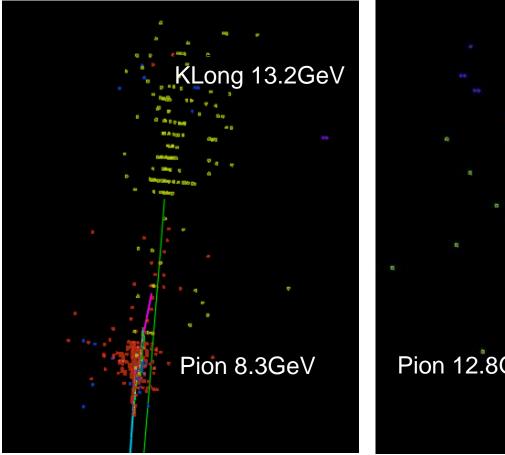
Fail events

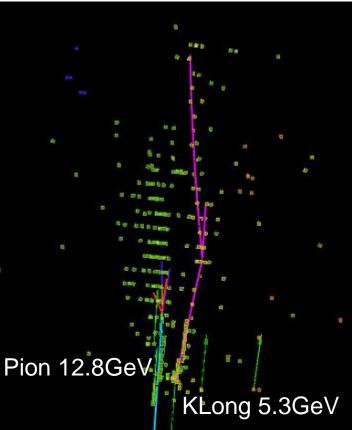
 Head and tail
 confusion





- Successful event
 - Pion KLong distance 30mm



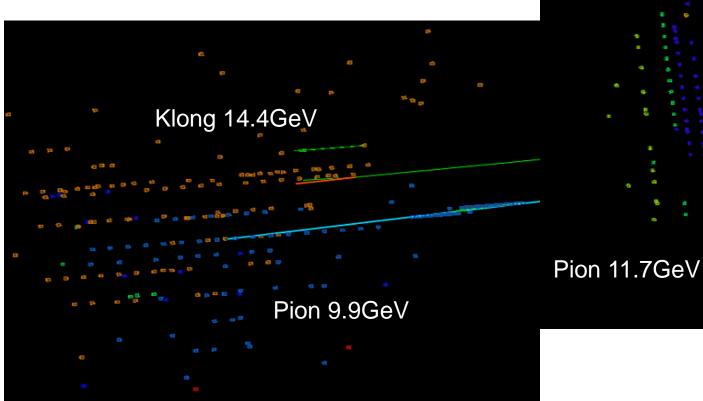




Klong 11.8GeV

59

- 70mm cellsize
 - Incident position
 - pion:75mm
 - Klong:0mm



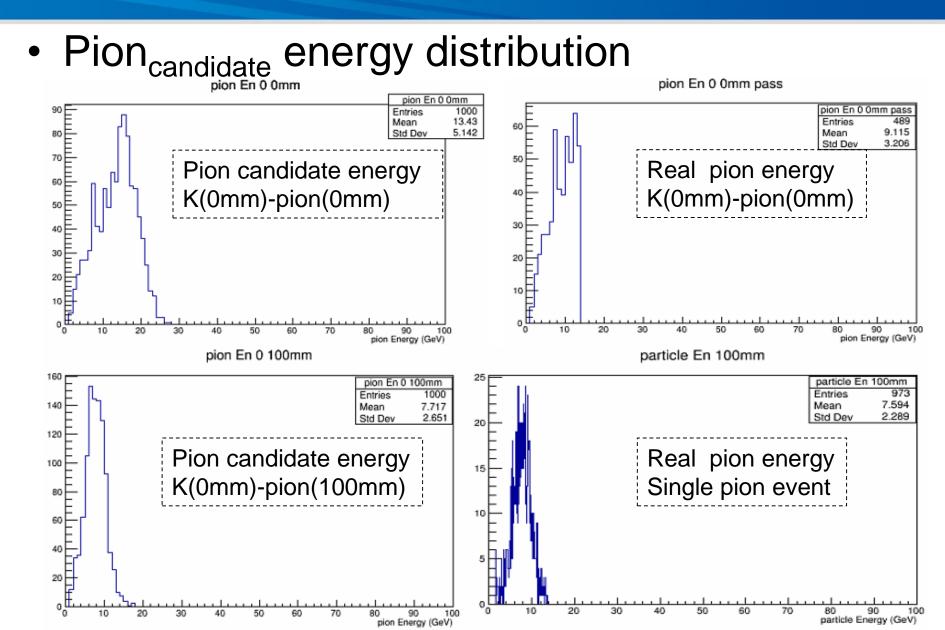
Pion and KLong separation



- Real situation
 - Charged particle: track can provide energy and direction
 - Uncharged particle: even don't know the number of them
- Strategy for pion, K in MC
 - Assume that the incident direction is the track in Simplified geometry
 - Pion, K candidate selection
 - Pion_{candidate}: cluster closest to pion track and energy >mean 3σ
 - K_{candidate}: cluster doesn't match pion track with largest cluster energy
 - KLong fragment : cluster away from pion track
 - Efficiency for pion, K
 - Real pion: | energy pion_{candidate} mean_{pion} | <3σ && close to track(COG dis < 80mm && Angle < 30degree)
 - Real KLong: |energy $K_{candidate}$ mean_K| <3 σ && close to track
 - All : a Event with a real pion and a real KLong

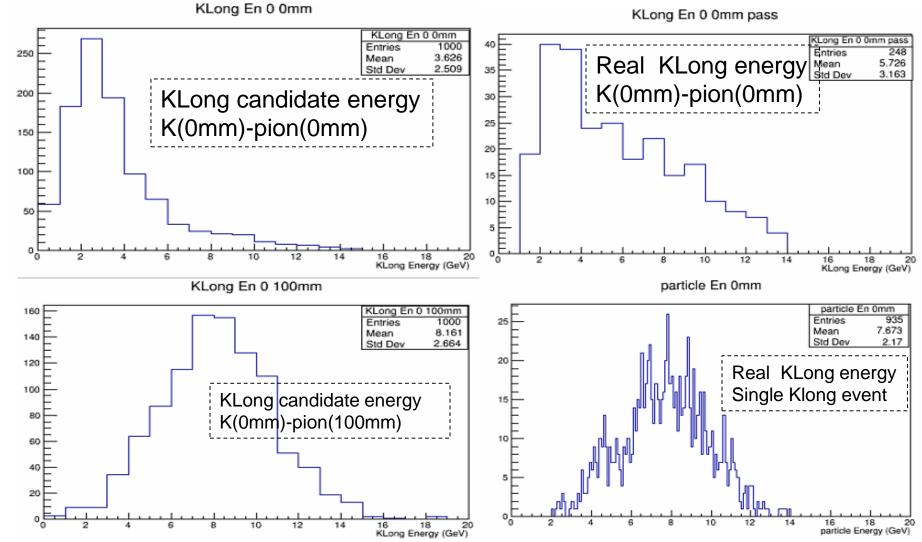
Pion and KLong separation





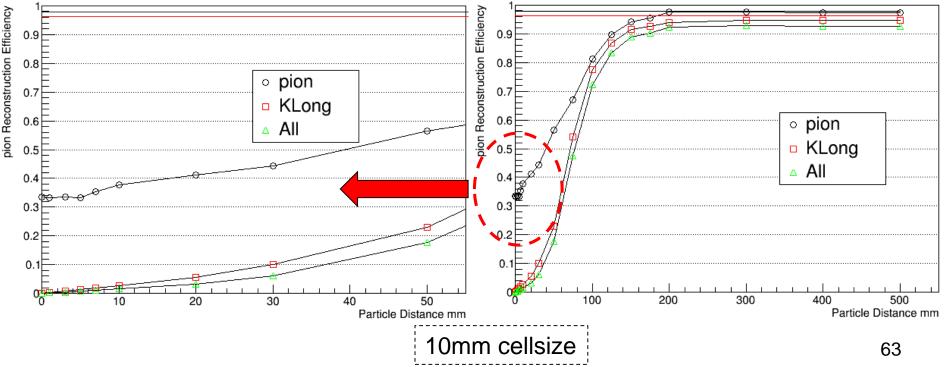


KLong_{candidate} energy distribution





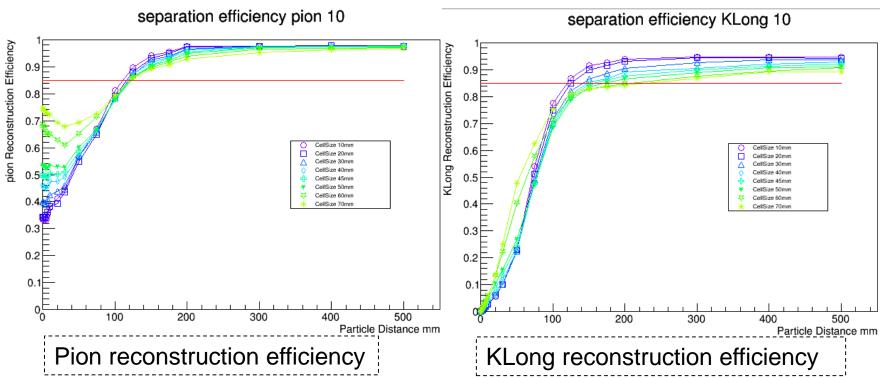
- Straight Line is efficiency of single particle event
- Marker is efficiency of di particle event
- particle distance is the distance of 2 particle on ECAL surface



Pion and KLong separation



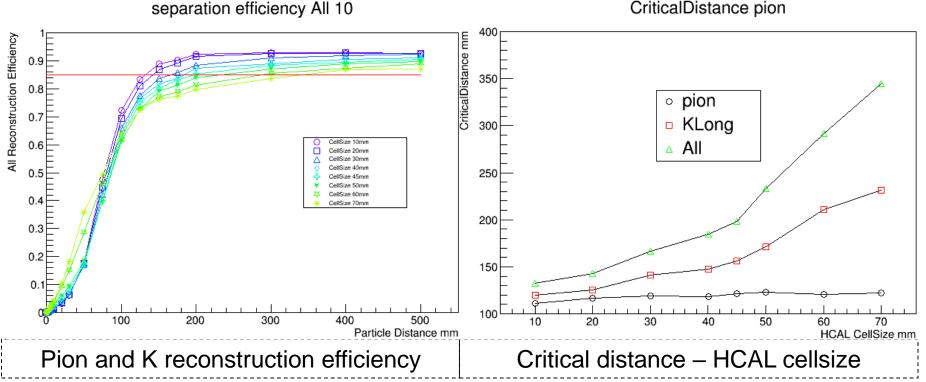
- Different cellsize
 - Keep the energy mean of single particle similar
 - Energy sigma stays unchanged as 10mm cellsize situation



Pion and KLong separation

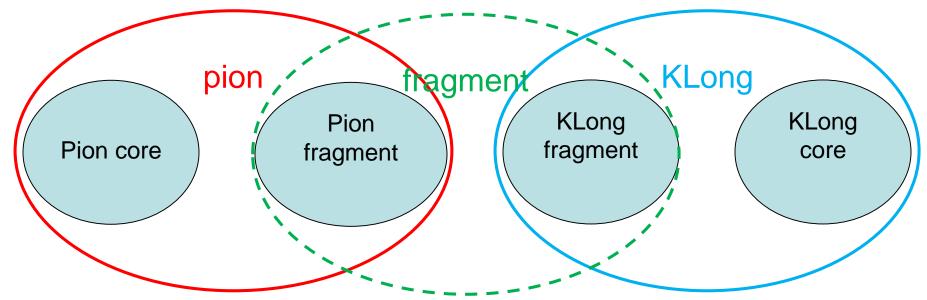


- Critical Distance
 - The distance which has 85% efficiency is define as critical distance

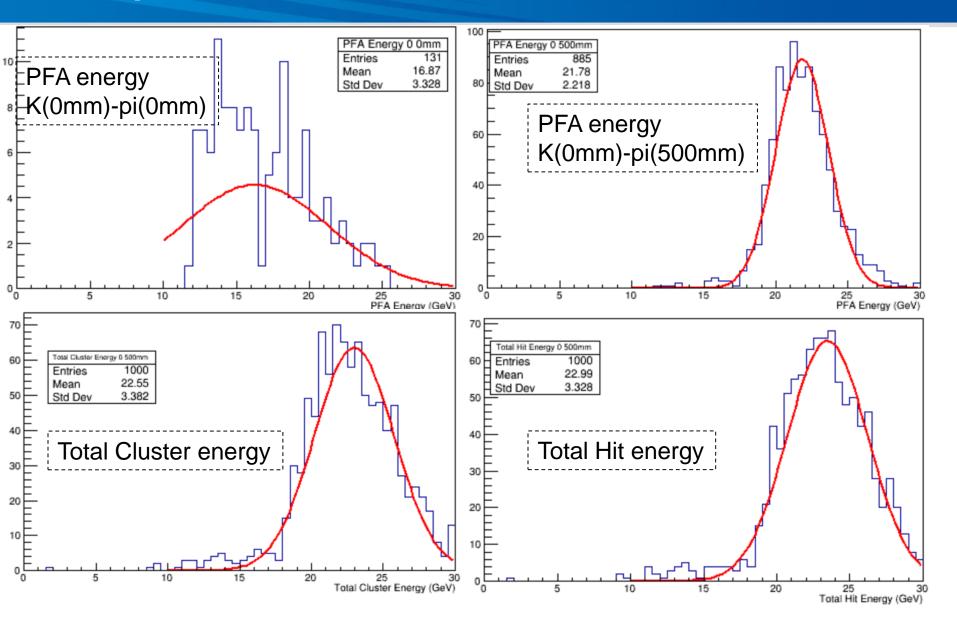




- Simple PFA method
 - PFA:E = pion track + KLong calorimeter
 - Real situation: E = pion track + part of pion fragment + KLong

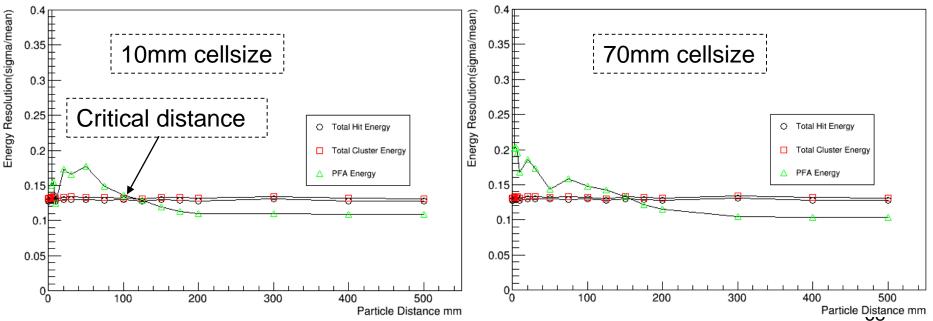








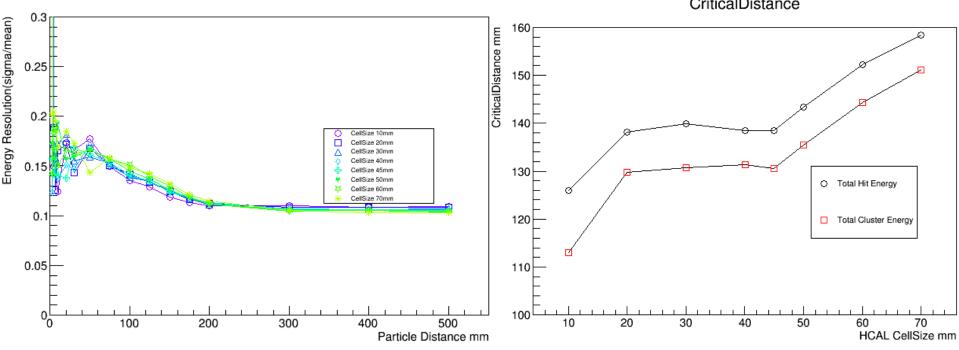
- Energy resolution
 - Total Hit energy resolution
 - Total cluster energy resolution
 - Simple PFA resolution





- 50mm is obviously worse than others

- 30mm is a safe choice

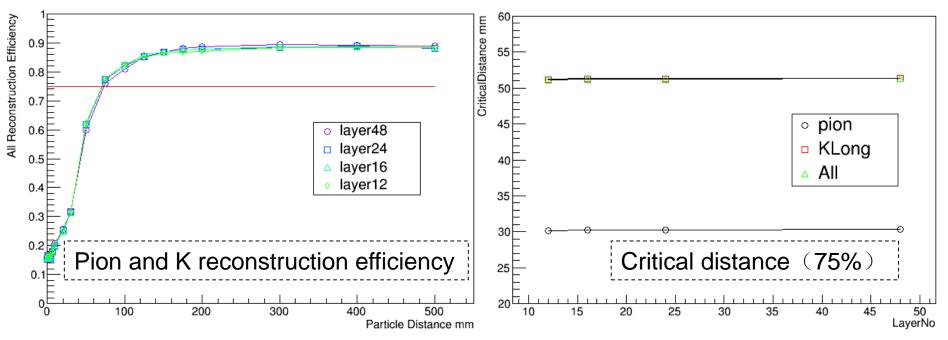


CriticalDistance

Read out layer optimization



- Setup
 - Optimization of read out layer is done with 30mm cellsize
 - The optimization is done by combining the read out of adjoint layers
 - Layer ranges from 48 to 24,16,12
 - Hit position after combining is set to the 1st layer of combined layers

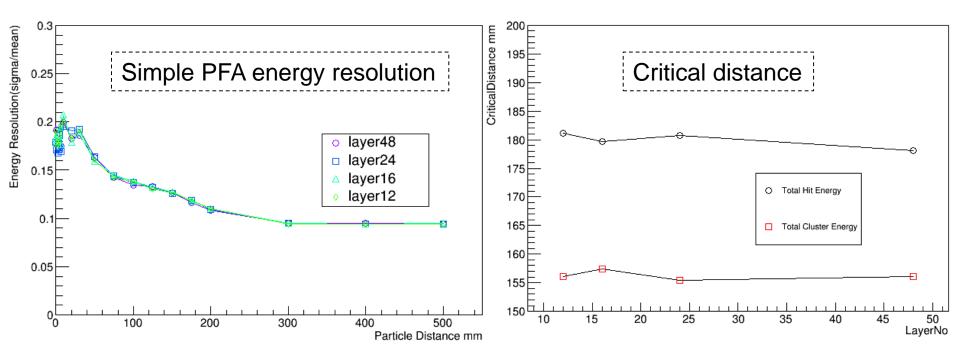


Read out layer optimization



• Results

- No significant influence is observed till 12 layer

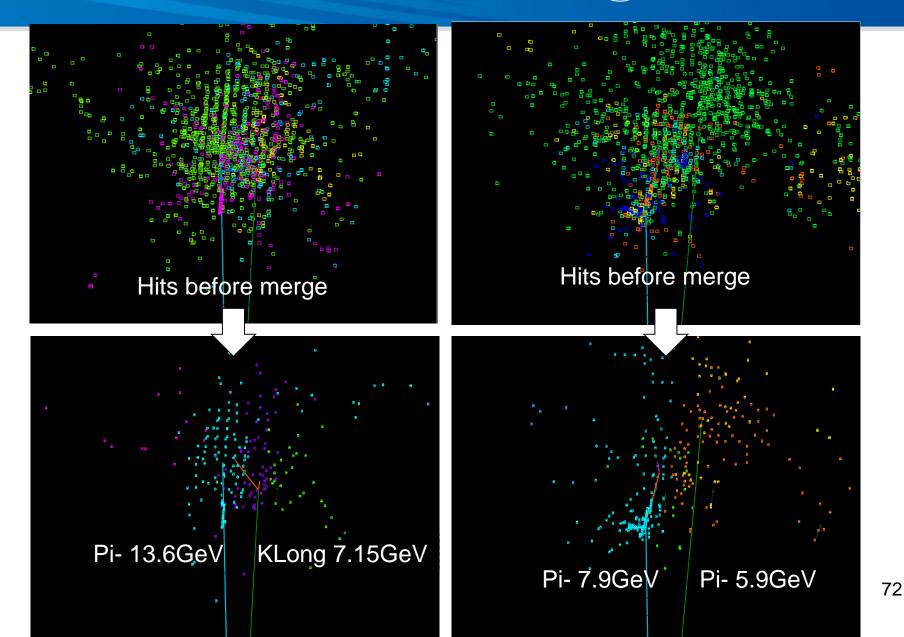


Read out layer optimization



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Conclusion



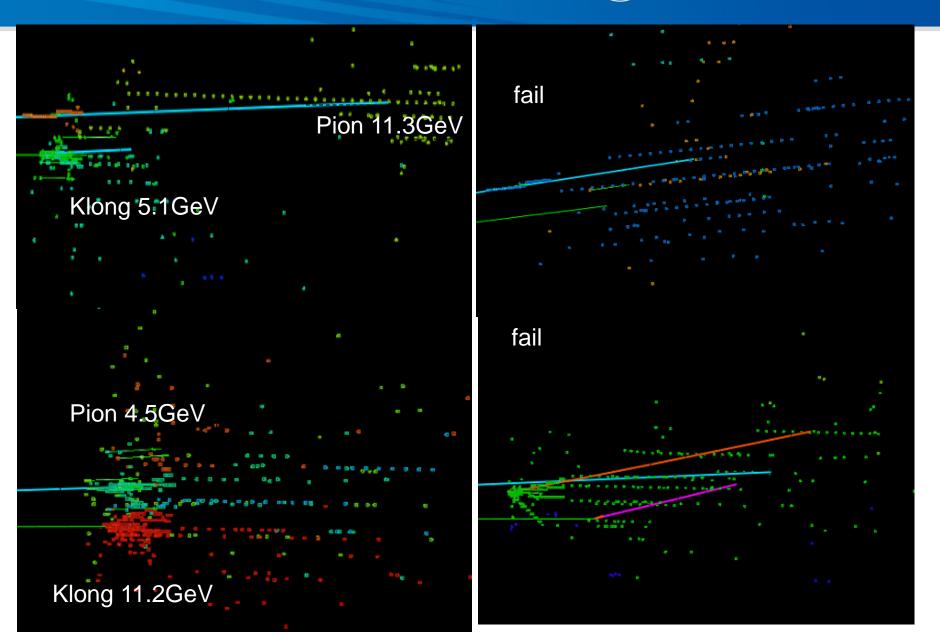
- CellSize
 - Performance for different AHCAL cellsize has been studied
 - 30mm is a safe choice
- Readout layer number
 - Performance stays unchanged till 12 layer
 - Double layer combined readout won't affect
 PFA

Pion and KLong separation



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Pion and KLong separation

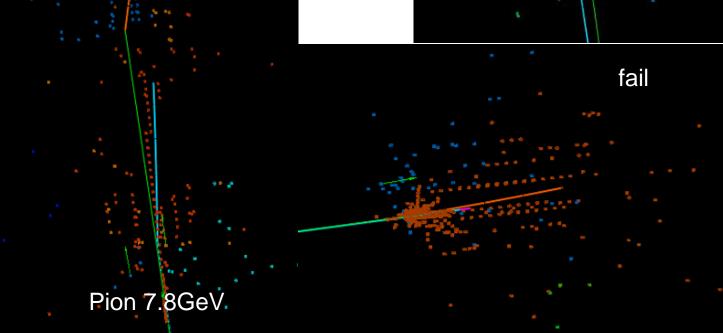


- 70mm cellsize
 - Incident position
 - pion:0mm

KLong 8.4GeV

• Klong:0mm





Marlin arbor and Cluster building



- Digitization
 - Combine small cell into the size we want
- arbor
 - 6 parameter in total
 - EE connection(xy direction and z direction)
 - EH connection
 - HH connection(xy direction and z direction)
 - EE Seed connection

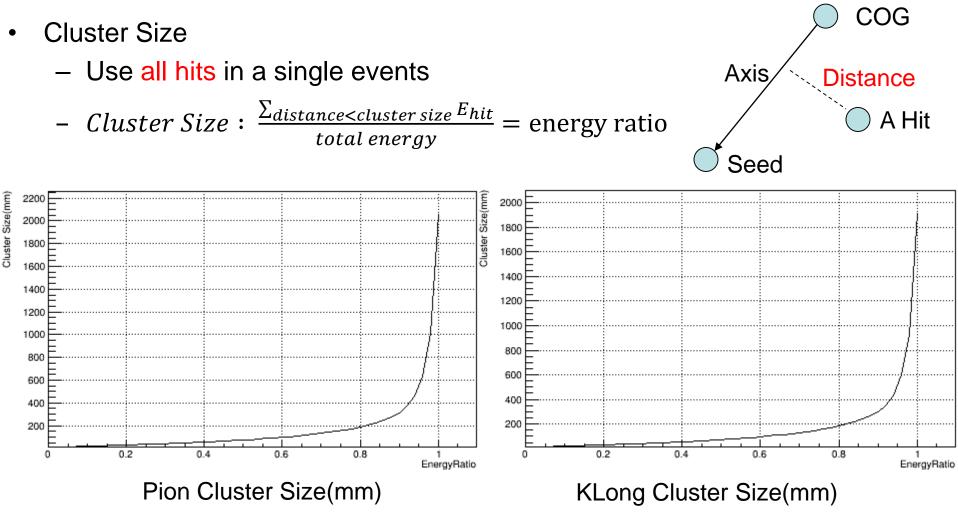
Marlin arbor and Cluster building



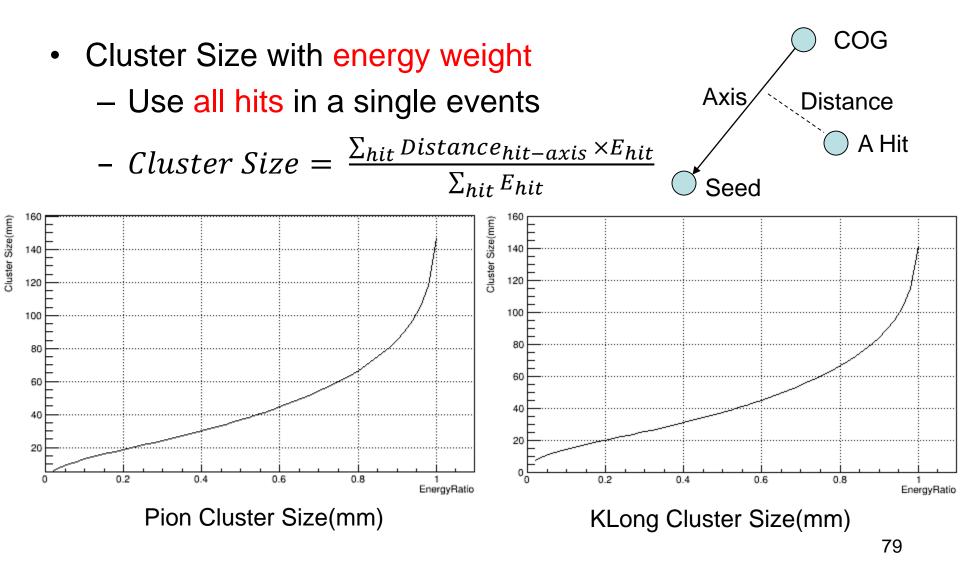
• Bush merge

- Merge small cluster into core and fragment
- Cluster Seed:hit closest to origin point in cluster
- Cluster COG:center of energy gravity
- Cluster direction:vector connecting COG and Seed
- Joint: close hits from different cluster
- Cluster depth:distance from cluster Seed to ECAL surface
- Connection of EE,EH,HH clusters has different cut on cluster Seed difference,COG difference,number of joints,transSeed difference
- Only deeper cluster can be merge into shallower 77 cluster

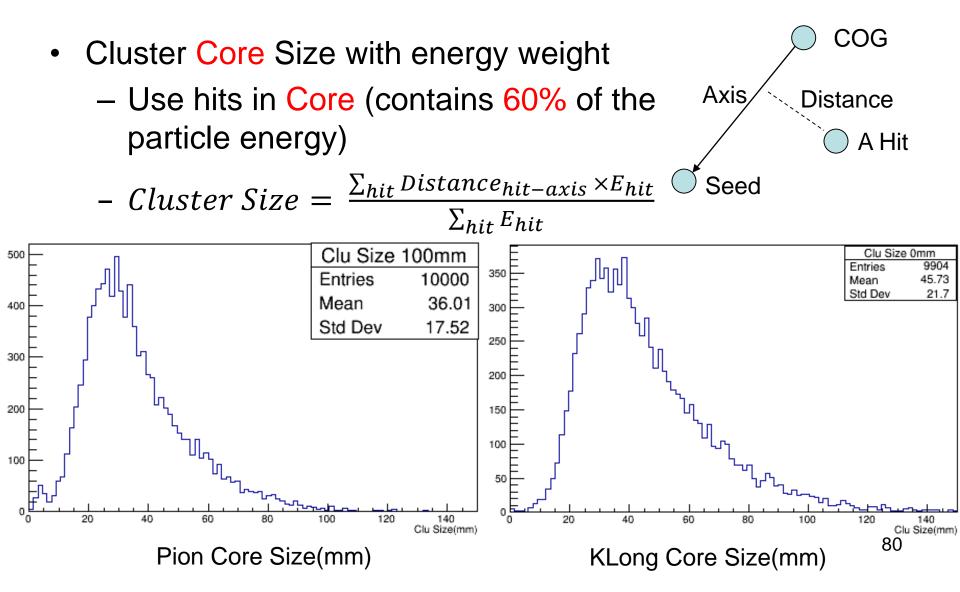












Marlin arbor and Cluster building



• For single pion event in 10mm cellsize

